

Climate Change and Ecological Engineering Jointly Induced Vegetation Greening in Global Karst Regions From 2001 to 2020

Jing Huang (✉ jingjing520@email.swu.edu.cn)

Southwest University <https://orcid.org/0000-0003-1378-6906>

Zhongxi Ge

Southwest University, School of Geographical Sciences

Yuqing Huang

Nanning Normal University

Xuguang Tang

Southwest University, School of Geographical Sciences

Zhan Shi

Southwest University, School of Geographical Sciences

Peiyu Lai

Southwest University, School of Geographical Sciences

Zengjing Song

Southwest University, School of Geographical Sciences

Binfei Hao

Guangdong Ocean University

Hong Yang (✉ h.yang4@reading.ac.uk)

Southwest University

Mingguo Ma

Southwest University, School of Geographical Sciences

Research Article

Keywords: Vegetation greenness trends, SINDVI, Climate factors, Human activities, Global karst regions

Posted Date: June 1st, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-192599/v1>

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1 REGULAR ARTICLE

2 **Climate Change and Ecological Engineering Jointly Induced**
3 **Vegetation Greening in Global Karst Regions From 2001 to 2020**

4 Jing Huang^{1,2,3}, Zhongxi Ge^{1,2,3}, Yuqing Huang⁵, Xuguang Tang^{1,2,3}, Zhan Shi¹, Peiyu Lai^{1,2,3},

5 Zengjing Song^{1,2,3}, Binfei Hao⁶, Hong Yang^{2,4}, Mingguo Ma^{1,2,3}

6 1 Southwest University, School of Geographical Sciences, Chongqing Jinpo Mountain Field Scientific Ob-
7 servation and Research Station for Karst Ecosystem, Ministry of Education, Chongqing 400715, China;

8 2 Chongqing Engineering Research Center for Remote Sensing Big Data Application, School of Geo-
9 graphical Sciences, Southwest University, Chongqing 400715, China;

10 3 Chongqing Key Laboratory of Karst Environment, School of Geographical Sciences, Southwest Univer-
11 sity, Chongqing 400715, China;

12 4 Department of Geography and Environmental Science, University of Reading, Whiteknights Reading,
13 RG6 6AB, UK;

14 5 Key Laboratory of Beibu Gulf Environment Change and Resource Use, Ministry of Education, Nanning
15 Normal University, Nanning 530000, China;

16 6 College of Electronics and Information Engineering, Guangdong Ocean University, Zhanjiang 524088,
17 China.

18 Corresponding author: Mingguo Ma; Hong Yang

19 Address: Tiansheng Road No.2, Beibei District, Chongqing 400715, China;

20 Whiteknights, RG6 6AB, Reading, Berkshire, United Kingdom

21 Tel: +86-23-6825-4917; 0044(0)1183787750

22 Fax: +86-23-6825-4917

23 Email: mmg@swu.edu.cn; h.yang4@reading.ac.uk

24

25 Abstract

26 *Backgrounds* Vegetation dynamic plays a dominant role in the global carbon cycle and climate, especially in
27 vulnerable karst ecosystem. Many studies have examined past several decades changes in vegetation greenness
28 and the associated with climate drivers. Yet, few studies have analyzed the vegetation change in global karst
29 regions particularly in the last decades when climate change and anthropogenic disturbance widely occurred.

30 *Methods* In this study, we investigated the spatio-temporal variations of vegetation dynamic using the Season-
31 ally Integrated Normalized Difference Vegetation Index (SINDVI) and examined their relationship to climate
32 changes by correlation analysis, the ordinary least squares method investigate the variation trends and the Mann-
33 Kendal test to detect the turning point from 2001 to 2020.

34 *Results* As expected, there have greening trend in global karst SINDVI from 2001 to 2020, with significant
35 increasing trend in China (range = 0.836, $P < 0.05$), Europe (range = 0.456, $P < 0.05$) and many other regions.
36 According to correlation analyses, SINDVI is water-limited in arid and semi-arid regions, such as Middle East
37 and central Asia, and temperature-limited in northern high-latitude.

38 *Conclusions* consistent with previous studies, our results suggest that anthropogenic activities are mainly re-
39 sponsible for increasing vegetation greenness in tailoring management measures (e.g., Ecological Engineering,
40 the Grain to Green Project) of China and Europe, intensive farmed in Middle East. Coupling warming temper-
41 ature and increasing precipitation, southeastern Asia and Russia showed an increasing trend in SINDVI. In
42 general, climate factors were the dominant drivers of the variation in vegetation greenness in globally karst
43 regions during research period.

44 **Keywords:** Vegetation greenness trends · SINDVI · Climate factors · Human activities · Global karst regions

45 Introduction

46 Karst physiognomy is created by mechanical erosion and chemical solubility (Liu et al. 2012), and there are
47 substantive movement and large reciprocity of water and rock in karst areas. The global area of karst regions is
48 approximately 2.2×10^7 km², around 15% of global land areas (Falkowski et al. 2000). Karst dynamic system
49 is characterized by the epikarst vegetation interaction, providing more than 25% of groundwater for global
50 population (Cao et al. 2017). The karst ecosystem is particularly vulnerable mainly due to the alternation of
51 seasonal drought and flood, soil erosion, surface subsidence, and rocky desertification (Huang et al. 2000; Yuan
52 1993). Under intensive anthropogenic disturbances and extreme climate changes, fragile karst terrains have
53 been facing the threat of serious ecosystem degradation. This type of degradation often manifests as a reduction
54 in vegetation cover and the consequence of soil erosion, is one of the most serious environmental problems in
55 the world (Yuan 2000; Yue et al. 2010). Therefore, the dynamics of vegetation cover has been considered as an
56 essential indicator of the ecosystem changes in karst regions (Kelly et al. 2011; Piao et al. 2015).

57 Vegetation is the main component of the terrestrial ecosystem and it plays an important role in global carbon
58 cycle, water and energy balance. Under global climate change, how plant's photosynthesis responds to warming
59 temperature and extreme climate events. Analysis vegetation greenness between temperature, precipitation are
60 essential for designing policies to mitigate the passive impacts of extreme climate, anthropogenic activities on
61 the well-being of mankind and environment (Begue et al. 2011, Peters et al. 2012). Chen et al. (2019); De Jong
62 et al. (2013) have attempted to examined the causal factors responsible for the vegetation greenness by investi-

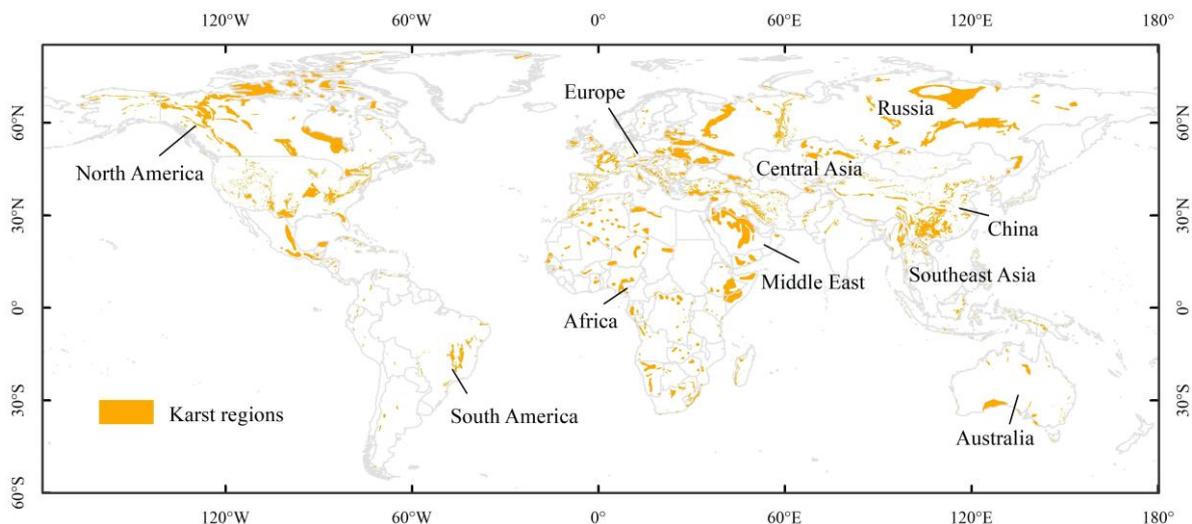
63 gating the response of vegetation to climate changes, as represented by variables such as temperature and pre-
64 cipitation. however, different geological backgrounds may result in significant differences in soil and moisture
65 regimes for the weathered crust, which restricts the spatial allocation of regional water and soil, as well as
66 constrains the geochemical cycling processes of nutrients, leading to impacts on vegetation greenness (Florin-
67 sky and Kuryakova 1996; Seddon et al. 2016). As for the driving factors for spatiotemporal changes in vegeta-
68 tion, natural elements such as elevation precipitation and air temperature are usually consider to explore the
69 spatial distribution of greening or browning trends (Chen and Pan 2010; Jiang et al. 2017; Ndayisaba et al.
70 2016). Furthermore, anthropogenic effect also have a significant impact on vegetation dynamics and distribu-
71 tion (Martínez and Gilabert 2009). Many previous studies have investigated the relationship between human
72 practices and vegetation variations at different spatial scales (Liu et al. 2018; Sun et al. 2015; Tong et al. 2017).
73 The vegetation in karst regions is an essential part of the global ecosystem and has strong spatial heterogeneity
74 in different regions (Cao et al. 2017; Vermote et al. 2002). Understanding the characteristics of temporal and
75 spatial variation in vegetation cover, and quantitatively analyzing the correlation between its changes with ge-
76 ographical and climate factors, is critical to study the relationship of vegetation, climate change and human
77 activity to unveil the process of global environmental evolution and to predict future development trends (Aguil-
78 lar et al. 2012; Pouliot et al. 2009).

79 The remote sensing (RS) technology have been widely applied in ecological research (Cabello et al. 2012;
80 Feng et al. 2010; Pettorelli et al. 2005; Xie et al. 2008). Moderate Resolution Imaging Spectroradiometer
81 (MODIS) data have been widely used since the launch of Terra platform in December 1999. The Normalized
82 Difference Vegetation Index (NDVI) product from MODIS have been widely applied for vegetation research
83 (Eisfelder et al. 2012; Fensholt et al. 2012). In recent decades, many studies have used NDVI data to monitor
84 and evaluate variations in vegetation over different spatial and temporal scales, including research into vegeta-
85 tion phenology and terrestrial carbon cycle (Azzali and Menenti 2000), the characteristics of different crop
86 species (Jakubauskas et al. 2002), forest fires (Jia et al. 2004; Leblon et al. 2001), the impacts of human activities
87 on vegetation dynamics and distribution (Possingham et al. 2016), and vegetation cover characteristics and its
88 response to climate change (Fensholt et al. 2009; Ma and Frank 2006; Pelkey et al. 2003; Wen et al. 2017).
89 However, these impacts were rarely considered in the greenness trend of vegetation in global karst area, so as
90 the influence of natural process and human activities effects on vegetation change. The study could provide
91 foundations for the predicting of vegetation growth trends, ecosystem evolution and environmental change in
92 the global karst regions. What's more, due to the seasonal variations in solar radiation and vegetation growth,
93 Seasonally Integrated Normalized Difference Vegetation Index (SINDVI) works better than NDVI in reflecting
94 inter-annual mutability and integrative vegetation cover trends (Sun et al. 2010). In addition, SINDVI, defined
95 as the sum of NDVI values ($NDVI > 0.1$) in all time phases for each pixel during the growing season, can
96 effectively eliminate the impact of regions with bare and sparse vegetation (Hope et al. 2003; Stow et al. 2003).
97 SINDVI has been widely applied in exploring the land use and vegetation change, such as in Ejin Oasis (Hu et
98 al. 2015), and the effect of vegetation change on albedo (Li et al. 2018) and land surface temperature (Song et
99 al. 2018). Based on previous research, we aimed to provide since the beginning of the 21th century information
100 on the different vegetation in the global karst regions in this study. There were two main research objectives of
101 the current study: (1) to investigate the overall trends of vegetation greenness and climate variables, applied
102 Mann-Kendall to detect potential breaking points of SINDVI time series data, and (2) to analyzed changes in
103 SINDVI and their relationships with climate factors and land cover change.

104 Datasets and Methods

105 Study area

106 Global karst regions, with a total area of 2.2×10^7 km² were chooses as the study area in this research (Fig.
 107 1). The World Karst Map V3.0 was obtained from Geography and Environmental Science, University of Auck-
 108 land (https://www.fos.auckland.ac.nz/our_research/karst/index.html). To improve the accuracy of boundary in-
 109 formation for the karst areas, the World Karst Map V3.0 data were integrated with detailed karst data in each
 110 continent by Karst Scientific Data Center and is available from <http://www.karstdata.cn>. There is a prominent
 111 karst zone around the world from western China through the Middle East to the Mediterranean and along the
 112 coast of the western Atlantic. There are three major karst regions in the world: East Asia, the Mediterranean
 113 coast and eastern America. In specific, these include southwestern China, northern Vietnam, central and south-
 114 ern Europe, the central plateau of France, Ural in Russia, northeastern America, Cuba and Jamaica. According
 115 to climatic conditions, karst areas be divided into 4 categories: the glacial karst area, Eurasian plate karst area,
 116 North American plate karst area, and Gondwana continental karst area. Most karst regions have suffered from
 117 a series of ecosystem damages, including rocky desertification, soil erosion, vegetation degeneration, and
 118 productivity decline. In particular, Mexico, Middle East, Southeast Asia (Ford and Williams 2007), southwest
 119 China (Jiang et al. 2014) and Mediterranean basin (Yassoglou 2000) are the most ecologically fragile areas in
 120 the world (Kelly et al. 2011).



121
122 **Fig. 1** Global distribution of karst regions

123 Datasets

124 *Vegetation index data*

125 This study selected the MODIS/Terra NDVI (collection 6) dataset, which was based on cloud-free composites
 126 images from 16 days with a spatial resolution of 1 km (Wen et al. 2017). The NDVI data were supplied as a Level
 127 3 product projected onto a 0.05-degree (5600 m) Climate Modeling Grid (CMG) with monthly temporal resolution,
 128 provided by Land Processes Distributed Active Archive Center (LP DAAC), the NASA Earth Science Data and
 129 Information System project, available from (<https://doi.org/10.5067/MODIS/MOD13C2.006>).

130 SINDVI was defined as the sum of NDVI values greater than certain threshold (0.1 was selected in this study)
 131 in all time phases throughout the year of each pixel (Hope et al. 2003; Ma and Frank 2006; Stow et al. 2003), it
 132 was calculated by summing monthly NDVI values when $NDVI > 0.1$ for each pixel as bellow:

$$133 \quad SINDVI = \sum_{i=0}^{i=12} NDVI_i \quad (NDVI > 0.1, i=1,2,\dots,12) \quad (1)$$

134 where i represents the i th month.

135 *Meteorological data*

136 Meteorological parameters including air temperature and precipitation were obtained from
 137 GLDAS_NOAH025_M data, Goddard Earth Sciences Data and Information Services Center
 138 (https://disc.gsfc.nasa.gov/datasets/GLDAS_NOAH025_M_2.1/summary?keywords=GLDAS), which were pro-
 139 cessed in monthly 0.25-degree resolution. The GLDAS air temperature was instantaneous observation per 3 hours,
 140 which firstly generated into monthly temperature by averaging, and then generated to the scale of annual temper-
 141 ature was in this study. While the GLDAS precipitation was the sum of rain and snow amount per 3 hours, which
 142 was firstly calculated to monthly precipitation and then accumulated to annual. GLDAS-2.1 data were archived in
 143 machine independent and self-describing NetCDF format. MATLAB R2016b was used to convert data format and
 144 synthesize meteorological data to a yearly scale.

145 *Land cover data*

146 To monitor and quantify the land cover change over the full time period in global karst regions, the Terra and
 147 Aqua combined MODIS (MCD12C1, V 6.0) data product (<https://doi.org/10.5067/MODIS/MCD12Q1.006>) were
 148 used. Maps of the International Geosphere-Biosphere Programme (IGBP), University of Maryland (UMD), and
 149 Leaf Area Index (LAI) classifications schemes are provided at annual scale at 0.05 degree (5,600 meter) spatial
 150 resolution for the entire globe, the dataset is available from 2001 to 2019.

151 *Methods*

152 *Reconstruct NDVI data*

153 The Mean Value Iteration filter (MVI), a simple and effective method was used to reconstruct NDVI and
 154 reduce the noise caused by the atmosphere water vapor and clouds. The reconstruction process can also elimi-
 155 nate some NDVI outliers in the original image, improving the homogeneity of each land cover type and spatial
 156 uniformity of NDVI image (Ma and Veroustraete 2006). A high quality of NDVI time series was calculated as
 157 follows:

$$158 \quad \Delta i = \left| NDVI_i - \frac{(NDVI_{i-1} + NDVI_{i+1})}{2} \right| \quad (2)$$

159 where i means the i th monthly NDVI. 10% of the multiyear NDVI average of each pixel was set at a threshold
 160 (ΔT), when Δi is greater than ΔT , NDVI will be replaced by $NDVI_i - (NDVI_{i-1} + NDVI_{i+1}) / 2$. Finally, when all
 161 Δi are less than ΔT the iteration ended.

162

163 *Time series analyses*

164 The monthly SINDVI, air temperature, and precipitation from 2001 to 2020 were processed to synthesize the
 165 annual data, therefore time series in each pixel had a length of 20 years. In order to estimate the inter-annual
 166 trends of SINDVI, meteorological parameters, and area for different land use types, we used an ordinary least
 167 squares method to calculate their slopes and ranges in different karst regions (Hu et al. 2015; Li et al. 2018; Peng
 168 et al. 2018). The slopes, representing the change rates of each pixel from 2001 to 2020, were calculated as
 169 follows:

$$170 \text{ Slope} = \frac{n \times \sum_{i=1}^n (i \times X_i) - \sum_{i=1}^n i \times \sum_{i=1}^n X_i}{n \times \sum_{i=1}^n i^2 - (\sum_{i=1}^n i)^2} \quad (3)$$

171 where n is the cumulative number of years in the monitoring period; i is the serial number of years and $i=1, 2,$
 172 $\dots, 20$; and X_i is the SINDVI, air temperature, or precipitation in the i th year. Slopes > 0 indicates that variables
 173 are increasing in n years, while slopes < 0 means a downward trend. The more the slope values are near to 0,
 174 the much less changes are occurred in the trends (Jafary et al. 2018). To detect statistical significance of the
 175 variables trends in karst regions from 2001 to 2020, a Pearson correlation analysis was used and significance
 176 level was set to 0.05 ($P < 0.05$) in this study.

177 The range was used to assess the magnitude of the changes. The value calculated for each pixel indicates the
 178 change between 2001 and 2020.

$$179 \text{ Range} = \text{Slope} \times (n-1) \quad (4)$$

180 where n is the length of study periods.

181 *Mann-Kendall test*

182 Mann-Kendall analysis is a trend estimation method of time series based on nonparametric rank (Kendall
 183 1975; Mann 1945), which is suitable for elastic processing of outliers (Lanzante 2015). The sequential version
 184 of the Mann-Kendal rank statistics can be performed using the following steps:

- 185 a. Replace original values of the series x_i by their ranks y_i , arrange in ascending order.
- 186 b. The magnitudes of y_i ($i=2, \dots, n$) were compared with y_j ($j=1, \dots, i-1$). At each comparison, the number of
 187 cases $y_i > y_j$ was marked by r_i .
- 188 c. For the time series X , which containing n samples, a rank sequence (S_m) was first constructed as follows:

$$189 S_m = \sum_{i=1}^m r_i \quad (m = 2, 3, \dots, n) \quad (5)$$

190 where m is length of study periods; S_m is the sum of all individual S statistics (r_i) for all years

- 191 d. r_i is the cumulative number of the i th sample when $y_i > y_j$ and it was defined as follows:

$$192 r_i = \begin{cases} 1 & x_i > x_j \\ 0 & x_i \leq x_j \end{cases} \quad (6)$$

- 193 e. Considering that the time series are independent and random, the statistical UF is defined as follows:

$$194 UF_m = \frac{[S_m - E(S_m)]}{\sqrt{\text{Var}(S_m)}} \quad (m = 1, 2, 3, \dots, n) \quad (7)$$

195 f. $E(S_m)$ is the mathematical probabilities; and $\text{Var}(S_m)$ is the variances. $E(S_m)$ and $\text{Var}(S_m)$ were cal-
 196 culated as follows:

$$197 \quad E(S_m) = \frac{n(n-1)}{4} \quad (8)$$

$$198 \quad \text{Var}(S_m) = \frac{n(n-1)(2n+5)}{72} \quad (9)$$

199 where n is the number of observations. A positive value of UF_m indicates an increasing trend, which is a series
 200 of positive statistics calculated by range $x_1, x_2, x_3, \dots, x_n$. Subsequently, calculating the inverted sequence of
 201 time series $X(x_n, x_{n-1}, \dots, x_1)$ by repeating the above process, to obtain the reverse statistical sequence UB_m . If
 202 UB_m and UF_m intersect, their intersection may be a breakpoint ($P < 0.05$).

203 *Correlation analysis*

204 In order to explore the relationship between SINDVI and meteorological parameters (air temperature and
 205 precipitation), Pearson's correlation coefficients (r) were calculated as follows:

$$206 \quad r_{xy} = \frac{\sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (x_i - \bar{x})^2} \sqrt{\sum_{i=1}^n (y_i - \bar{y})^2}} \quad (10)$$

207 where r_{xy} is the correlation coefficient between x and y , and the values range from -1 to 1; x_i and y_i are the
 208 values of the i th year; \bar{x} and \bar{y} are their averages for 20 years.

209 Partial correlations were conducted to assess the dominant factor of each pixel. When estimating the partial
 210 correlation between temperature and SINDVI, the influence of precipitation will be considered as a constant.
 211 For example, if the partial correlation coefficient between SINDVI and temperature was greater than the corre-
 212 lation coefficient between SINDVI and precipitation, it indicates that temperature had larger influence on
 213 SINDVI. The partial correlation coefficients were calculated as follows:

$$214 \quad r_{yz.x} = \frac{r_{yz} - r_{yx}r_{zx}}{\sqrt{(1-r_{yx}^2)(1-r_{zx}^2)}} \quad (11)$$

215 where $r_{yz.x}$ is the partial correlation coefficient between y and z without consideration the influence of x ; and r_{xy}
 216 and r_{xz} are the simple correlation coefficient between x and y . For each pixel, the dominant by comparing the
 217 partial coefficient between NDVI and temperature or precipitation. Different significance levels were also set
 218 for difference confidence interval (Extremely significant, $P < 0.01$; very significant, $P < 0.05$; significant, $P <$
 219 0.1 ; not significant, $0.1 < P < 1$).

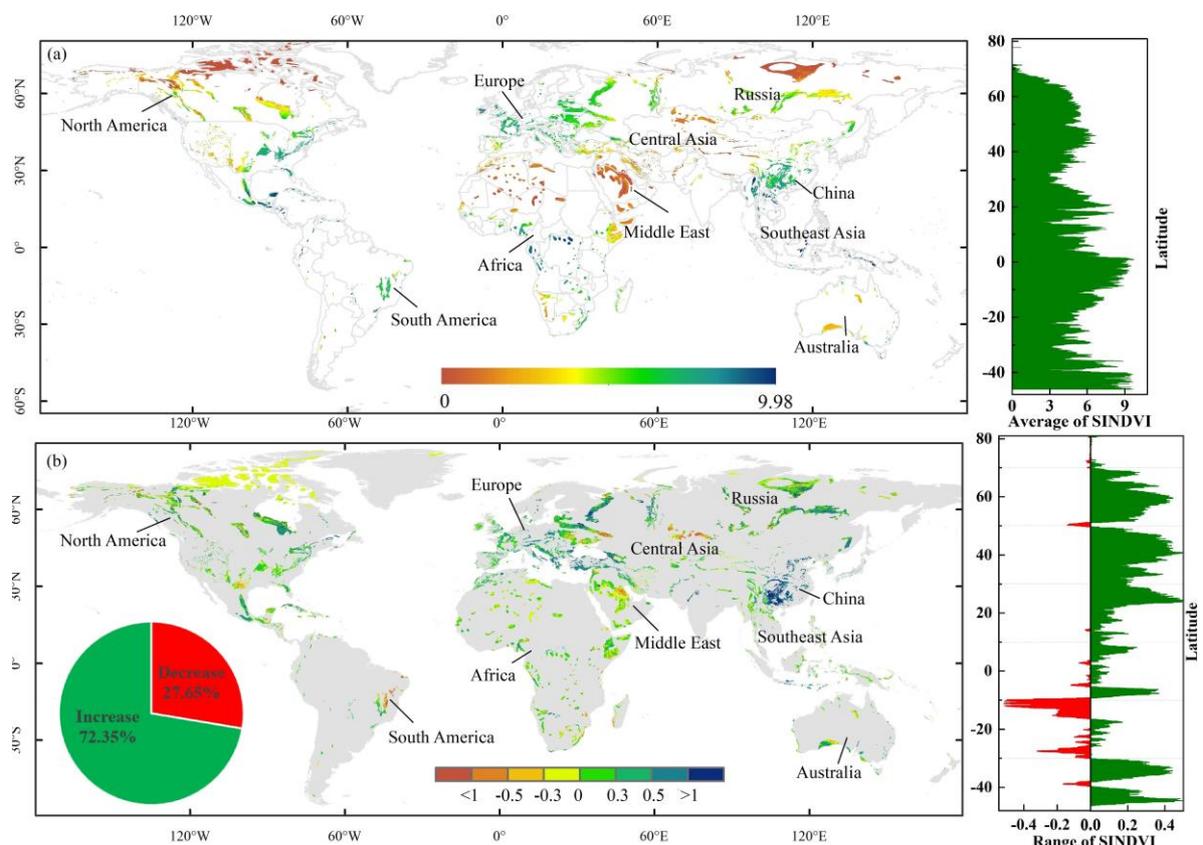
220 **Results**

221 *Spatiotemporal variation in SINDVI*

222 Temporally, SINDVI showed an obvious increase trend during the period of 2001 – 2020 in karst regions,
 223 with an average of 3.99. While, there was large spatial heterogeneity (**Fig. 2a**), with the largest average SINDVI
 224 values appeared in China and Europe.

225 There were remarkable and widespread increment of SINDVI in the global karst areas during the research
 226 period (Fig. 2). In general, approximately 72.35% of the pixels showed upward trends. Meanwhile, there were
 227 also spatial differences between various regions. Especial the obvious disparity of the increasing trends ap-
 228 peared in tropical regions, subtropical humid regions and temperate regions. Notably, the increasing trend of
 229 the SINDVI in China was the most obvious, which increased 0.836 from 2001 to 2020 (Fig. 2b). In contrast,
 230 the decreasing trends of SINDVI mainly distributed in arid areas, such as -0.020 in Central Asia and -0.006 in
 231 South America respectively.

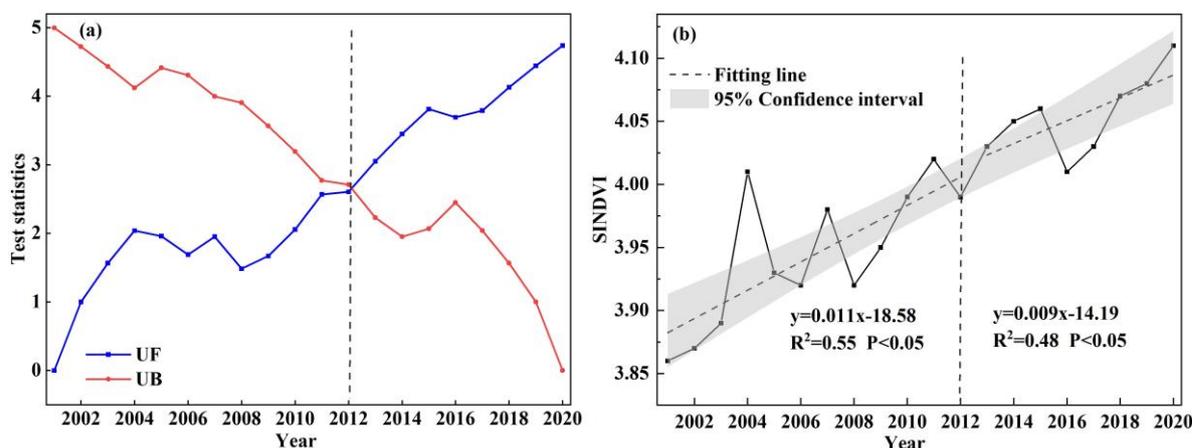
232 . The positive trends of SINDVI at low latitudes in the Northern Hemisphere were generally weaker than in
 233 middle and higher latitudes (Fig. 2b). In additional, SINDVI in the Northern Hemisphere growth 0.28, while
 234 there was no significant trend in the Southern Hemisphere.



235
 236 **Fig. 2** Average (a) and change range (b) of the SINDVI during the period of 2001-2020 in the global karst areas. Pie chart
 237 at the lower left corner (b) shows the percentages of increasing and decreasing SINDVI pixels. Bar charts in the right show
 238 the latitudinal distribution of averages (a) and ranges (b) of SINDVI.

239 From 2001 to 2020, SINDVI in the global karst regions showed an increasing trend with large volatility (Fig.
 240 3b) (slope = 0.011/a, $P < 0.01$). The sequential version of the Mann-Kendall tests was conducted to detect the
 241 breakpoints (Fig. 3a). The forward (UF) and backward (UB) statistics sequence curves intersected near the year
 242 of 2012, indicating that there was a significant change in SINDVI around 2012. Before the breakpoint, SINDVI
 243 exhibited a stronger positive trend with a rate of 0.011/a ($P < 0.05$). However, after the breakpoint, the SINDVI
 244 exhibited a relatively weaker positive trend with a rate of 0.009/a ($P < 0.05$). In order to determine the patterns
 245 of inter-annual trends, we calculated the trends of both before the breakpoint (BBP) and after the breakpoint
 246 (ABP) for all regions and classified them into four categories (Fig. 4): increased in BBP but decreased in ABP,
 247 decreased in BBP but increase in ABP, upward trends in both BBP and ABP, and downward trends in both

248 BBP and ABP. The results showed that the NINDVI in Australia, Middle East, Russia and South America
 249 showed climbed in BBP but decreased trends in ABP. China, North America, Europe, Africa and Southeast
 250 Asia showed sustained increasing trends from 2001 to 2020 at the rate of 0.034/a, 0.028/a, 0.011/a, 0.006/a and
 251 0.005/a in BBP respectively. After that, with the exception of North America, the growth trends of the other
 252 three regions were stronger after the turning points. Central Asia showed a continuous downward trend, with
 253 the breakpoints in 2009, and the decreasing trends in ABP were weaker than those in BBP.



254
 255 **Fig. 3** Abrupt changes (a) and inter-annual variations (b) of SINDVI from 2001 to 2020. UF is the positive
 256 sequence of time series and UB is the negative sequence. UF and UB larger than 0 indicates increasing
 257 trends. The point where two curves intersect is the breakpoint ($P < 0.05$).

258 **Table 1** Change rates of SINDVI overall trend, BBP trend and ABP trend in global karst regions.

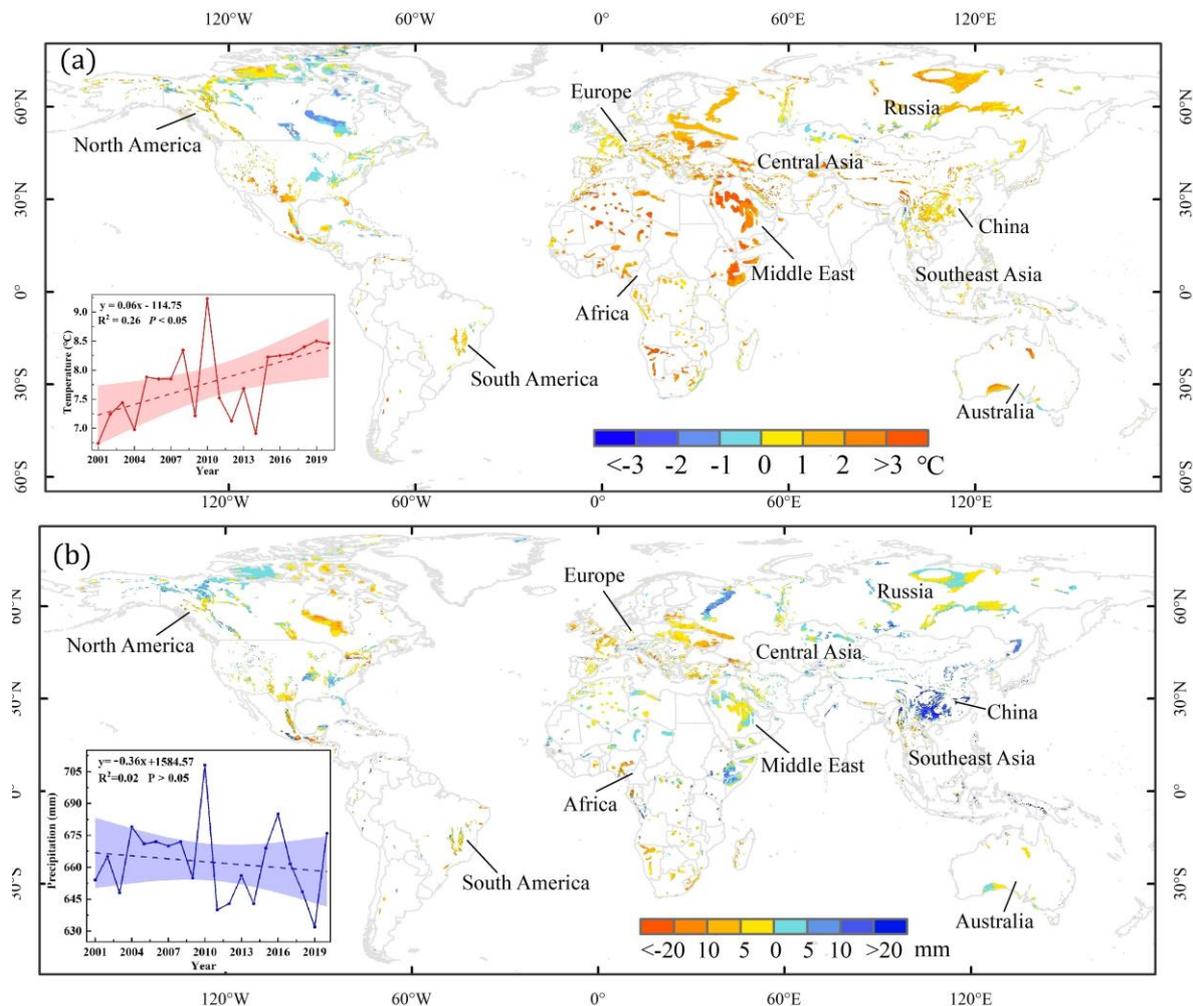
Karst regions	BP year	Trend slope		
		Allover	BBP	ABP
Africa	2007	0.003	0.006	0.041
Australia	2012	0.006	0.022	-0.004
China	2012	0.044	0.034	0.039
Central Asia	2009	-0.020	-0.039	-0.012
Europe	2012	0.024	0.011	0.024
Middle East	2012	0.011	0.004	-0.001
North America	2009	0.011	0.028	0.011
Russia	2011	0.004	0.029	-0.031
South America	2006	-0.006	0.012	-0.009
Southeast Asia	2011	0.011	0.005	0.018

259 *: BP, BBP and ABP represent breakpoint, before the breakpoint and after the breakpoint, respectively.

260 Relationship between SINDVI and meteorological factors

261 **Fig. 4** illustrated the spatial patterns of the trend in precipitation and temperature in global karst areas
 262 during 2001 to 2020. The annual average temperature showed an increasing trend of 0.06 °C/a ($P < 0.05$)
 263 (**Fig. 4a**). Spatially, all the karst regions experienced air temperature warming trends, particularly in Africa

264 and Middle East where the magnitude of the temperature trend exceeds 2 °C during research period. Con-
 265 versely, precipitation experienced slightly decreased at the rate of -0.36 mm/a ($P > 0.05$) (**Fig. 4b**), only
 266 China and Southeast Asia have increasing trends. The maximum of temperature and precipitation appeared
 267 in 2010, which trends were in good agreement with long-term trends in precipitation in previous study of
 268 Adler et al. (2017).



269
 270 **Fig.4** Spatial distribution of change range in (a) temperature and (b) precipitation. Line chart in lower left corner
 271 illustrated the inter-annual variations in air temperature and precipitation in global karst regions from 2001 to 2020.

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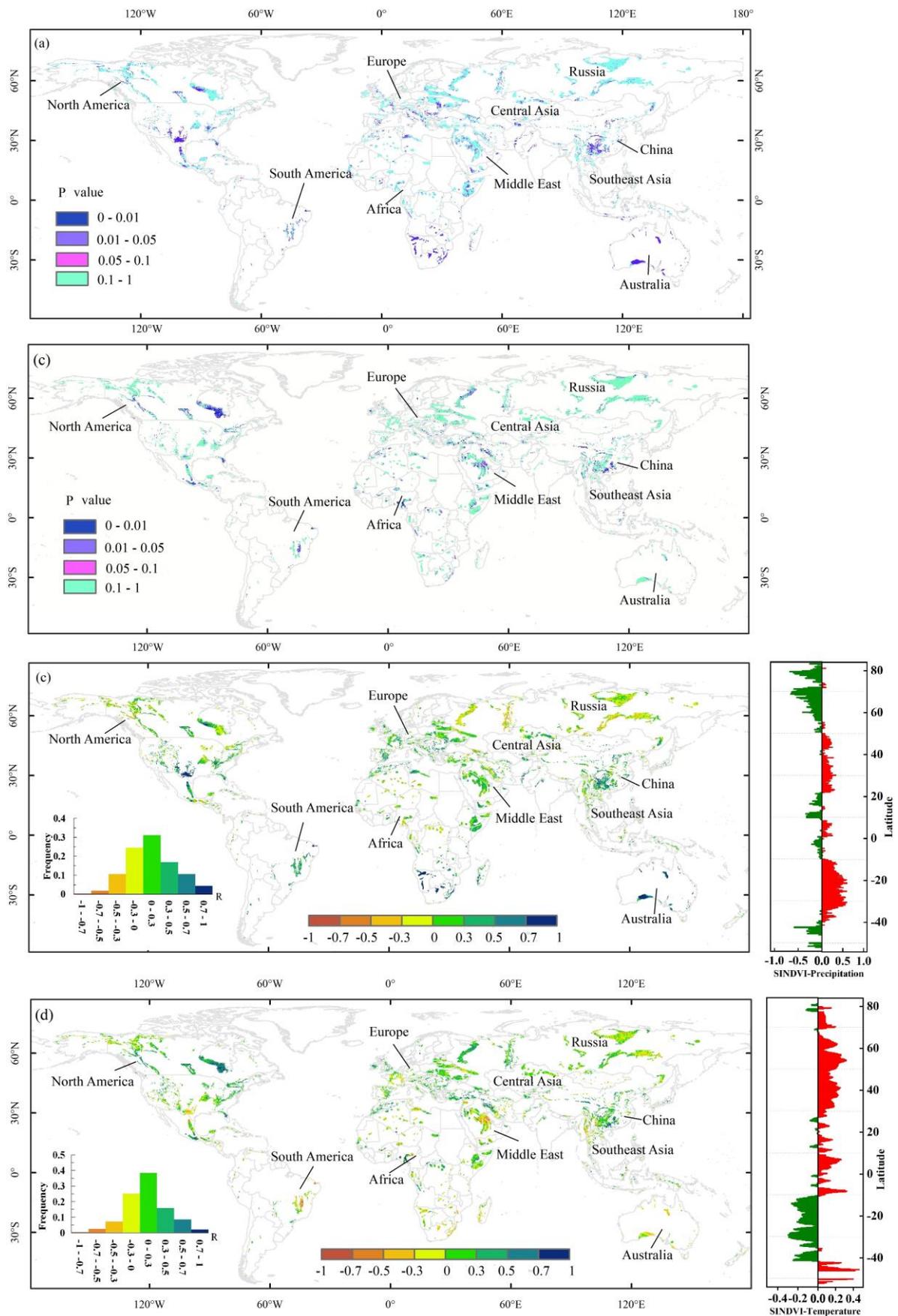
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277

278
279**Table 2.** Overall changes of the SINDVI, air temperature and precipitation in global karst regions during the period of 2001-2020.

Karst regions	SINDVI	Temperature (°C)	Precipitation (mm)
Africa	0.057	2.27	-3.87
Australia	0.114	1.52	-40.37
Central Asia	-0.380	0.50	49.30
China	0.836	1.40	188.32
Europe	0.456	1.46	-49.56
Middle East	0.209	2.46	-21.09
North America	0.209	0.27	-21.50
Russia	0.076	1.25	6.12
South America	-0.114	1.14	-19.94
Southeast Asia	0.209	0.75	220.47

280 In order to determine the spatial correlation between SINDVI and precipitation or air temperature, correlation
 281 coefficients were calculated for each pixels (Fig. 5). About 21.12% of SINDVI pixels was affected by precipi-
 282 tation ($P < 0.05$) in global karst regions. (Fig. 5a). Correlation coefficients greater than 0.5 mainly occurred in
 283 Middle East peninsula, Mexico, Southwest China, Southern Australia and Southern Africa (Fig. 5c). Approxi-
 284 mately 15.22% pixels indicated that temperature have significant influenced on the variation of SINDVI (Fig.
 285 5b), with r values larger than 0.5 were mainly distributed in Central Eurasia, Eastern Mediterranean, Eastern
 286 and Northwest Canada, Southwestern China and Eastern United States (Fig. 5d).



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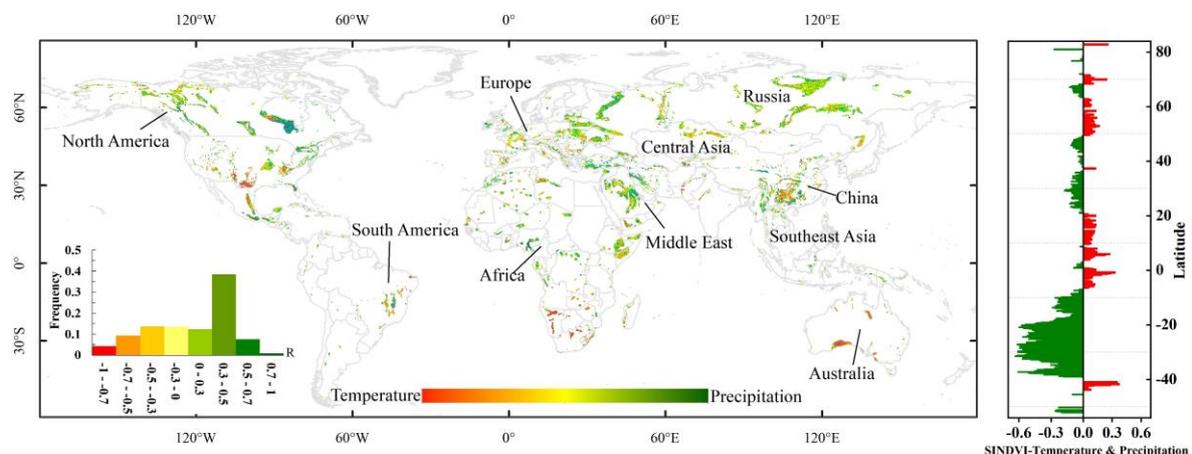
Fig. 5 Spatial significance levels of correlation (a) between SINDVI and precipitation, (b) between SINDVI and temperature from 2001 to 2020 in global karst areas. (c) The correlation coefficients between SINDVI and precipitation, and (d) between

290 SINDVI and temperature. Non-vegetation regions were removed. Histogram in the left is the frequency distribution of
 291 correlation coefficients of the pixel count.

292 The partial correlation coefficient was calculated for each pixel to determine the main factor of SINDVI
 293 change (Fig. 6), wherein only 21.06% of the areas are significance ($P < 0.05$). The karst regions of several
 294 different regions have analyzed below.

295 In the arid regions in the Southern Hemisphere, including Australia and South Africa, SINDVI dynamic were
 296 synergistic with precipitation change (Fig. 6). In near the equator, where the precipitation is abundant through-
 297 out the year, temperature seems to play a powerful role in the fluctuation of SINDVI.

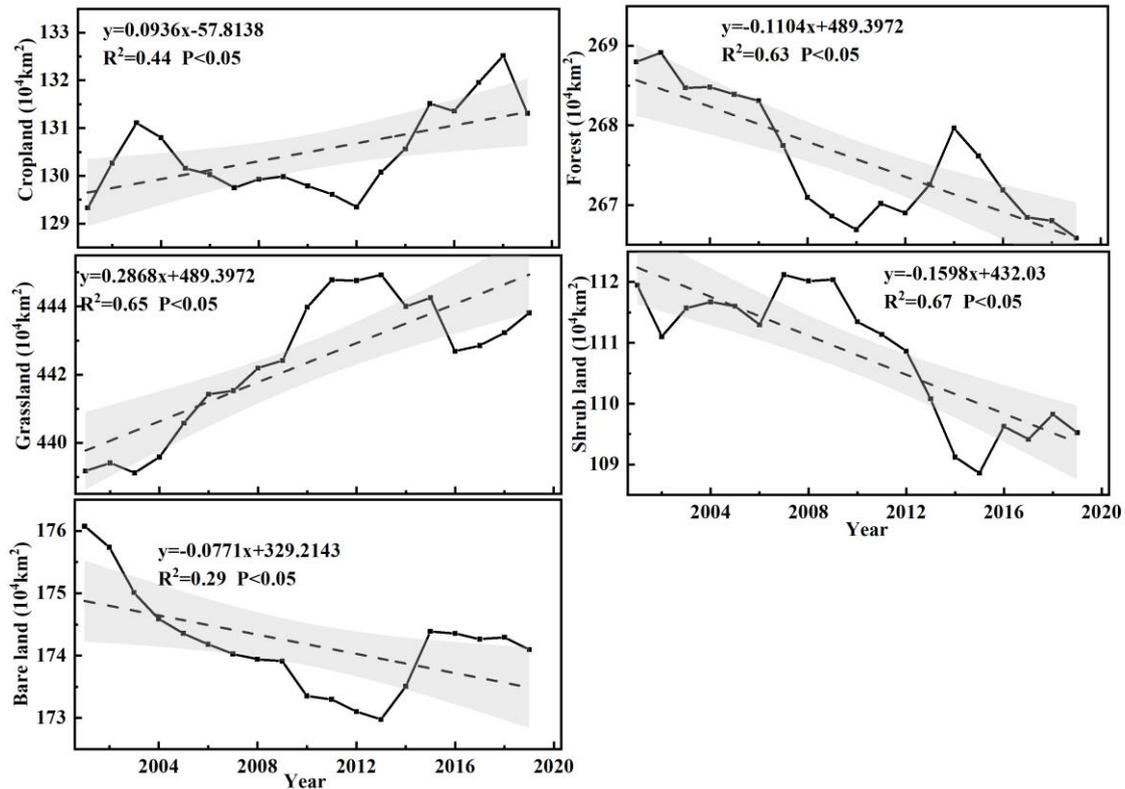
298 In the high latitude areas of the Northern Hemisphere, temperature acted as the dominant factor affecting the
 299 SINDVI. All of the rising temperature, stronger photosynthesis and longer growth season, facilitated the plant
 300 growth vigorously and then promoted SINDVI.



301
 302 **Fig. 6** Partial correlation coefficient of SINDVI with temperature and precipitation in global karst areas. The green color
 303 represents the area where precipitation is the main factor of SINDVI change; while the red color represents the area where
 304 temperature is the dominant factor. Histogram in the lower left corner is the frequency distribution of correlation coeffi-
 305 cients.

306 Trend of land cover change in karst regions

307 Since we interested in changes in type of vegetation cover, and take into account only five land uses in the
 308 karst regions: cropland, forest, shrub land, grassland and bare land the dominant types in global karst regions
 309 were grassland, and forest. Between 2001 and 2019, grassland area has increased from $438.986 \times 10^4 \text{ km}^2$ to
 310 $443.810 \times 10^4 \text{ km}^2$ with a rate of $0.2868 \times 10^4 \text{ km}^2/\text{a}$, cropland area has a slightly increasing at the rate of 0.0936
 311 $\times 10^4 \text{ km}^2/\text{a}$. In contrast, the shrub land, forest and bare land exhibited decreasing trends with rates of -0.1103
 312 $\times 10^4 \text{ km}^2/\text{a}$, $-0.1598 \times 10^4 \text{ km}^2/\text{a}$ and $-0.0771 \times 10^4 \text{ km}^2/\text{a}$, respectively (Fig. 7).



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Fig. 7 Temporal changes of land cover types in global karst regions from 2001 to 2019.

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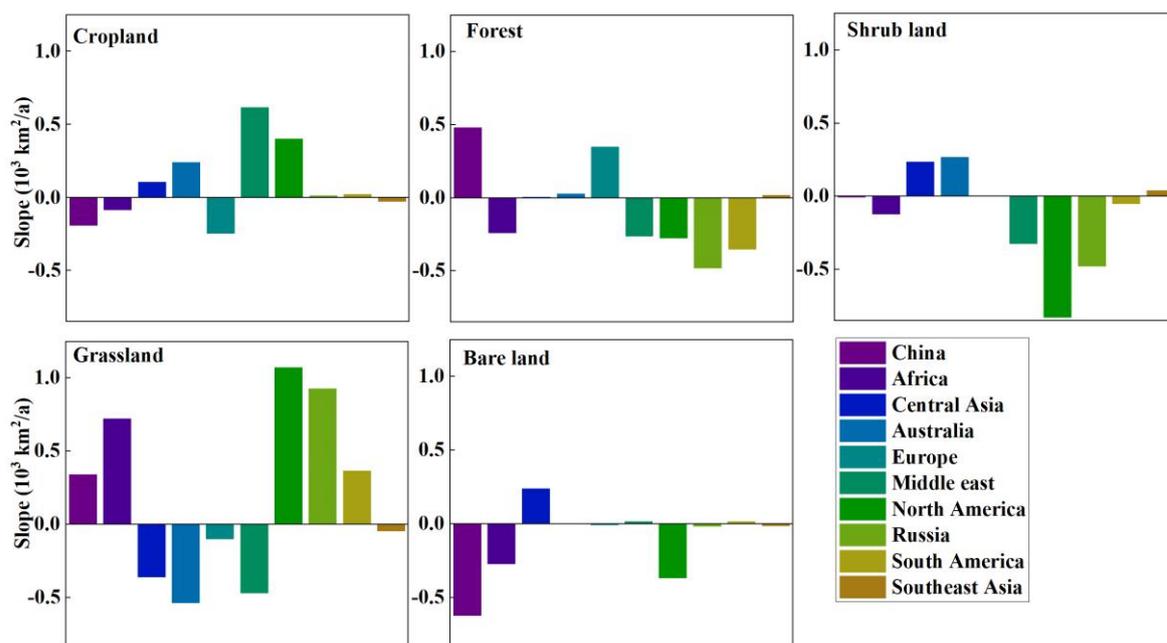
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At the regional scale, the various land cover types undergo different changes at different regions, and a detailed statistical analysis of the five main land cover types were performed for ten karst regions (Fig. 8). The cropland area reduced in Europe, China, Africa and Southeast Asia. In terms of forest, with exception of Russia, Africa, North America, South America and Middle East, all other regions showed increasing trends. In addition, most regions experienced the disappearing of shrub land excepted three regions (Central Asia, Australia and Southeast Asia). For grasslands, besides five regions (Central Asia, Australia, Europe, Middle East and Southeast Asia), all other regions showed upward trends. Regarding bare land, China, Africa and North America remarkably dropped, conversely, while Middle East has positive trend, and other regions have no visible changes.



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Fig. 8 Change rates of the land cover types in different karst regions from 2001 to 2019

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Discussions

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Our results indicate that the spatio-temporal variations of SINDVI over the global karst regions show a greening trend during 2001-2020 (slope = 0.011/a, $P < 0.01$), which is consistent with previous study (Zhang et al., 2017, Piao et al. 2020). There are two distinct periods with growing trends in SINDVI, in the first period (Fig. 3), SINDVI has significantly increased from 2001 to 2012 (slope = 0.011/a, $P < 0.01$), and then relatively weaker grown from 2012 to 2020 (slope = 0.009/a, $P < 0.01$). Changes in the latter period is largely contributed by the browning vegetation which occurred in Australia and Middle East after 2012, and Russia after 2011 respectively. Which offset the greening trend before 2012 in other karst regions, this finding also consistent with previous reports (Long et al. 2018, Piao et al. 2020).

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This study also indicates that climate change is the most important factor influencing vegetation dynamics in karst regions (Fig. 5), which is in a good agreement with previous results (Piao et al. 2014). During the research period, two meteorological factors (air temperature and precipitation) had significantly positive relationships with the inter-annual SINDVI variation in the global karst regions, indicating that the increase of temperature and precipitation could promote the growth of vegetation.

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Despite the positive correlation between temperature and SINDVI in most karst areas of the Northern Hemisphere, including China, Europe and North America, the significant negative correlation also appeared in most dry areas and the middle-latitude areas, such as South Africa, Southern Australia, Middle East (Fig. 5d). In the dry areas, the persistent warming and decreasing of precipitation can seriously aggravate the water deficit and evapotranspiration loss, bringing heavy damage to plant growth (Zhou et al. 2015). Because of obvious spatiotemporal differences, this study chosen several regions with obvious variation of SINDVI to explore the related in global karst areas. Increasing trends in the SINDVI

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Vegetation in the karst areas of China has increased in the last decade (Fig. 2b). The relationship between SINDVI and temperature was closer than that between SINDVI and precipitation (Fig. 6). This might be related

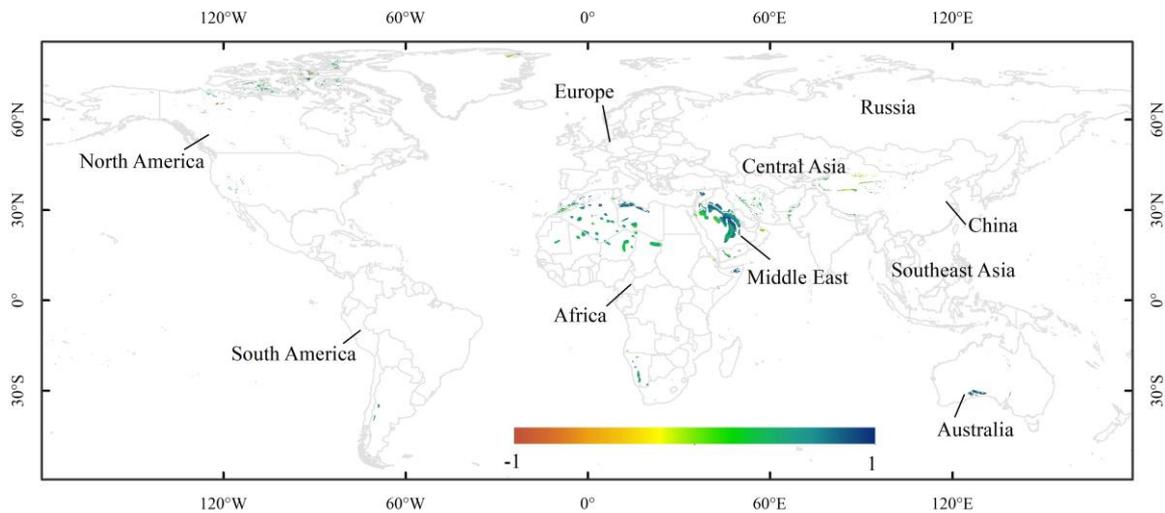
349 to the location of Southwestern China, which is the subtropical monsoon climate zone, with adequate precipi-
350 tation. Therefore, small changes of precipitation may not cause seriously change on vegetation growth (Lai et
351 al. 2020; Hou et al. 2015; Zhao et al. 2017). In addition, the dual hydrological structures of surface and under-
352 ground in karst region in Southwest China lead to drainage of precipitation through leakage and runoff (Tong
353 et al. 2016), indicating the precipitation has limited effect of vegetation growth. As for the reason behind the
354 greening in this area, on the one hand, governments have taken some effective measures (e.g., relocation and
355 ecological migration) to reduce land abandonment and land degradation caused by the outflow of rural popula-
356 tion (Chen et al. 2019). On the other hand, ecosystem restoration projects (for example, the Grain to Green
357 Project, the Karst Rocky Desertification Restoration Project, and the Natural Forest Protection Project) have
358 been implemented (Mueller et al. 2014; Tong et al. 2018; Zhang 2008) are considered an important factor in
359 turning green, especially in China since 2008.

360 The similar political decisions appeared in Europe, the afforestation and forest management have accelerated
361 the growth of vegetation (Naudts et al. 2016), causing the dramatic increase in the forest area of 0.3485×10^3
362 km^2/a (Fig. 8) and contributing to the upward trend in the SINDVI. Furthermore, the rising temperature (Range
363 = $1.46 \text{ }^\circ\text{C}$) might have promoted the growth of vegetation, which may explain the greening trend. Previous
364 study indicated that the positive effect of meteorological parameters change in the northern high latitudes were
365 attributed to nitrogen deposition and CO_2 concentration in the boreal forests, other factors including enhanced
366 photosynthesis and prolonged growing seasons (LeBauer and Treseder 2008; Liu et al. 2015; Menzel et al.
367 2006; Xu et al. 2013). In central and northeastern America, the increasing temperature was the dominant factor
368 affected the SINDVI (Fig. 5d), this upward trend was contributed to vegetation propagation caused by global
369 warming (Zhu et al. 2016). Moreover, agricultural fertilization and irrigation would also have a significant
370 impact on the trend of SINDVI dynamics (Neigh et al. 2008) In contrast, the effect of precipitation was stronger
371 than that of temperature in southern North America (Fig. 6). Fig. 3 shows that the BP of the inter-annual vari-
372 ation in SINDVI occurred in 2012, the SINDVI showed an increasing trend with a slope of $0.028/\text{a}$ before
373 breaking point (BBP); while, the upward trend was relatively slight (slope = $0.011/\text{a}$) after the breakpoint (ABP).
374 The extreme climate and the cool winters in 2009/2010, 2010/2011, and 2013/2014 in North America led to
375 anomalous vegetation growth (Yu and Zhang 2015).

376 For arid and semi-arid areas, the land use type is mainly savanna and desert, although the trend in vegetation
377 cover is similar to that of global level, their extents of changes are differently. Precipitation is characterized by
378 regular seasonal drought and large inter-annual fluctuation. Due to the non-irrigated crops and natural ecosys-
379 tems mainly depend on soil moisture produced by snow melt in spring and seasonal rainfall (Baldocchi et al.
380 2004; Zaitchik et al. 2007), there is large inter-annual fluctuation in the vegetation coverage (Fig. 4). The
381 drought episodes that struck the Middle Eastern countries in 2007-2009 were the worst drought events to impact
382 the region in more than 60 years (Villa et al. 2014). What's more, both the dominant impact of the lower pre-
383 cipitation and the climbed temperature (Table. 2) in this period provide the sufficient conditions of extreme
384 drought in this area. Nonetheless, a fact that a faster recovery of cropland in intensive agricultural areas, these
385 greening trends were due to farming in the North Iraq and Turkey for which groundwater and desalinated sea-
386 water were used (Rousta et al. 2020). In the low latitudes, except for temperature and precipitation, solar radi-
387 ation is also a limiting factor (Nemani et al. 2003). In Southeast Asia the NDVI product may include some
388 inaccuracies. We applied the Maximum Value Composite (MVC) method to reduce the outliers of data, but the
389 selected maximum value is not always the nadir value that tends to overestimate NDVI values (Huete et al.

390 2002). Moreover, the NDVI value saturates easily under high vegetation coverage and cannot sensitively monitor the changes in vegetation growth (Wang et al. 2003). These issues associated with NDVI also influence the accuracy of the correlations.

393 In order to evaluate the temporal evolution of low vegetation areas when $NDVI < 0.1$ (Fig. 9), we found that Middle East, Arctic tundra and the Sahara have greening trends, while central Asia shew a browning trend. These results, at least in part, are in agreement with previous studies (Berner et al. 2020, Piao et al. 2020 Roustae et al. 2020)



397
398 **Fig. 9** Spatial distribution of change range of $NDVI < 0.1$.

399 Decrease trends in SINDVI

400 Located in the hinterlands of the Eurasian continent, Central Asia is one of the driest areas in the world (Cihlar et al. 1997) with low vegetation coverage. Most area is desert in this region besides Tajikistan and Kyrgyzstan which are predominantly mountainous. The variation of SINDVI indicates that vegetation degradation mainly occurred in northern Kazakhstan, southern Tajikistan and southwestern Kyrgyzstan. The spatial distribution of temperature from 2001 to 2020 showed a significance warming trend (Fig. 4), although the slightly increasing trend in precipitation, sufficient sunlight and solar energy resources in these domains are abundant than other places, resulting in annual precipitation less than annual evapotranspiration; thus, Excessive evapotranspiration is the hinge process of soil moisture loss and leads to the shallow roots of desert plants to withered (Li et al. 2015; Yuan et al. 2017). In the drought periods, moisture insufficiency inhibited the growth of vegetation and reduced photosynthesis of plants (Stocker et al. 2019; Zhang et al. 2012).

410 This study found the sharply decreasing trend of the forest cover of Russia, Africa, North America, South America and Middle East (Fig. 8), mainly caused by fire, diseases or even over-logging (for example, large areas tree mortality in North America owing to plant disease and insect pests (Yang, et al. 2017); Middle East and South America experienced a widespread deforestation and fire (Aparna et al. 2020; Qin et al. 2017)). This study also found that the increment of grassland and cropland areas in these regions make contribution to the positive trend of SINDVI, which is consistent with the findings that cropland land increase in some regions and that the increase in vegetation greenness occurred in regions of intensive agricultural activities (Hansen et al. 2014; Lamchin et al. 2020).

418 In the Northern Hemisphere, vegetation growth was mainly affected by temperature, while precipitation was
419 the dominant factor to the change of vegetation greenness in the Southern Hemisphere. The karst areas of South
420 America, which are mainly in the east of Brazil. According to [Table 2](#), SINDVI here showed a downward trend,
421 and the vegetation coverage in this region did not couple with temperature and precipitation. This lack of cor-
422 relation is attributed to heavy human intervention. Under pressure from human society, land use change is
423 increasingly threatening tropical rainforest area (Dirzo and Raven 2003). The quick transformation of forests
424 into agricultural, timber production and other uses has produced a vast and human dominated landscape, which
425 will bring immeasurable destruction to the rainforest (Gardner et al. 2009, Hansen et al. 2014). However, some
426 studies have shown that the occurrence of extreme weather in recent years has aggravated the natural disasters,
427 such as the severe droughts occurred in Brazil in 2007 (Cunha et al. 2019).

428 This study aimed to observe the impact of meteorological parameters change and human environmental pro-
429 tection policies on vegetation dynamic from 2001 to 2020. Our results indicate that the karst ecosystem is par-
430 ticularly vulnerable to the alternation of seasonal drought and flood. Especially in the 21th century, under in-
431 tensive anthropogenic activities and climate changes (extreme weather events), fragile karst terrains have been
432 facing the threat of serious ecosystem degradation. This study assesses the important issues including anthro-
433 pogenic disturbance and climate change in karst area on global or regional scales. In particular, we have elabo-
434 rated the impact of climate change in Southwest China, human activities were the dominate factor of vegetation
435 greening, while in 2009/2010, there was a downward trend in SINDVI due to a period of extreme drought (Li
436 et al. 2019; Zhao et al. 2017). Many previous studies also have confirmed that abnormal cyclone in the western
437 North Pacific during an El Niño period resulted in a severe reduction in precipitation in Southwest China in the
438 autumn of 2009 (Zhang et al. 2013). Climate change has caused large variations in seasonal and annual precip-
439 itation and temperature, both locally and globally (Fernández et al. 2014). Especially in the recent decades,
440 drought occurred with longer durations, greater intensities and more frequently, with the serious impact on karst
441 ecosystem (Trenberth et al. 2014; Williams et al. 2015). Deep rooting plant access to bedrock water storage and
442 groundwater is an importance feature to plant survival in drought environments (Ding et al. 2020, Wang et al.
443 2019). For example, the effects of droughts have been widely reported in the karst areas in the United States
444 (Ganguli and Ganguly 2016), Europe (Spinoni et al. 2017) and central equatorial Africa (Hua et al. 2016). A
445 better knowledge of the dynamics of vegetation greenness and it responses to extremely climate is an essential
446 process in understanding current situations and project future changes. The current study is mainly about the
447 global karst vegetation change, with focuses on several fragile karst regions. The results provide scientific evi-
448 dence for the protection of fragile karst ecosystem, while detailed studies in each karst area are still needed.

449 Conclusions

450 In summary, this study assessed spatiotemporal variations in vegetation cover by estimating global SINDVI
451 trends for karst region. On a global karst scale, inter-annual SINDVI of the karst regions from 2001 to 2020
452 exhibited an upward trend by 0.011/a. Temperature and precipitation had prominent effect on inter-annual
453 changes in SINDVI with large spatiotemporal heterogeneities. In dry areas such as Central Asia, persistent
454 warming coupled with decreasing precipitation caused serious water deficit and great evapotranspiration loss,
455 thus affected the growth of vegetation. However, in some middle and high latitude areas, warming temperature
456 was considered to be the main reason for greening trends, such as in northern Eurasia and northern North Amer-
457 ica. In addition to studying climate factors (temperature and precipitation), this study assessed anthropogenic

458 activities (e.g., Ecological Engineering, the Grain to Green Project) also have significant impact on the varia-
459 tions in SINDVI.

460 **Acknowledgments** In this study, we archived multi-resource datasets from different data centers. The data
461 include the MODIS NDVI, GLDAS-2.1 data, land cover data and population density data. They were provided
462 by USGS, ESA and SEDAC. The authors express their gratitude for the data sharing of above datasets.

463 **Author Contributions** Conceptualization, Jing Huang and Mingguo Ma; Data curation, Xuguang Tang; For-
464 mal analysis, Jing Huang, Yuqing Huang and Xuguang Tang; Funding acquisition, Mingguo Ma; Methodology,
465 Jing Huang, Zhongxi Ge and BinfeiHao; Resources, Mingguo Ma; Software, Peiyu Lai, BinfeiHao and
466 Zengjing Song; Validation, Zhan Shi; Writing – original draft, Jing Huang and Zhongxi Ge; Writing – review
467 & editing, Jing Huang, Yuqing Huang, Peiyu Lai, Hong Yang and Mingguo Ma.

468 **Funding** This work was jointly supported by supported by the National Natural Science Foundation of China
469 projects (grant numbers: 41830648 and 41771453), the Fundamental Research Funds for the Central Universi-
470 ties of China (Grant No. XDJK2015C007), National Key Technology R&D Program of China (grant number:
471 2016YFC0500106).

472 **Conflicts of Interest** The authors declare no conflict of interest.

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