

# Multifocal Papillary Thyroid Carcinoma Hashimoto's Thyroiditis and Lymph Node Metastasis A Retrospective Cohort Study

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## Research

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1 **Multifocal Papillary Thyroid Carcinoma , Hashimoto's**  
2 **Thyroiditis and Lymph Node Metastasis : A**  
3 **Retrospective Cohort Study**

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5 Running title: A STUDY OF LYMPH NODE METASTASISI IN MPTC WITH HT

6 **Abstract:**

7 Objective:Few studies have evaluated the influence of HT and Multifocality on  
8 central lymph node metastases(CLNM) and lateral lymph node metastases(LLNM) of  
9 PTC. The present study focused on risk factors for lymph node metastasis in PTC  
10 according to the presence of HT or multifocality. Materials and methods:1413 patients  
11 were identified.The relationship between HT or multifocality and lymph node  
12 metastasis was analyzed by univariate and multivariate logistic regression, ROC

1 curves were constructed to show the predictive effect of each variable on the target  
2 outcome.

3 Results: The PTCs with HT were more likely to be multifocal.(40.0% versus 17.5%,P  
4 <0.001). Compared to MPTC without HT, MPTC with HT showed a lower number  
5 of metastatic CLNs and LLNs (P < 0.05). HT was identified as an independent  
6 protective factor for CLNM in all PTC patients (OR, 0.480; 95% CI, 0.359-0.643; P<  
7 0.001) and in MPTC patients (OR, 0.094; 95% CI, 0.044-0.204; P < 0.001), the  
8 multicocality was independent risk factors for CLNM(OR, 2.316; 95% CI,  
9 1.667-3.217; P< 0.001) and LLNM(OR, 2.004; 95% CI, 1.469-2.733; P< 0.001).The  
10 variables concluded HT or MPTC were screened to predict CLNM in all patients,  
11 CLNM in patients with MPTC and LLNM in all patients (AUCs: 0.731, 0.843 and  
12 0.696, respectively, P < 0.0001). The two type of diseases existed concurrently may  
13 result in the decrease of CLNM and LLNM, AUCs of ROC to predict CLNM and  
14 LLNM are 0.696 and 0.63(P<0.0001).

15 Conclusions:Our study identified multifocality as an independent risk factor  
16 predicting CLNM and LLNM in PTC patients. HT was proven to be a protective  
17 factor that reduced the CLNM risk in all patients and in patients with MPTC. The  
18 existence of both type of diseases can result in the reduction of CLNM and LLNM.

19

20 **INTRODUCTION**

1 As the most common endocrine tumor, thyroid carcinoma has rapidly increased  
2 in incidence in recent years and was estimated to account for approximately 90.0 per  
3 1000 and 6.8 per 1000 of the thyroid cancer incidence rate and mortality rate in China  
4 in 2015<sup>(1)(2)</sup>. Approximately 85% of thyroid cancers are papillary thyroid carcinomas  
5 (PTCs)<sup>(3)</sup>, which exhibit a relatively benign clinical course.

6 Hashimoto thyroiditis (HT) is the most common form of autoimmune thyroid  
7 disease<sup>(4)</sup>, with an incidence of approximately 3.5-5 cases per 1000 persons per year  
8 <sup>(5)</sup>. HT was first described by Hakaru Hashimoto in 1912 as “lymphomatous struma”  
9 <sup>(6)</sup>.

10 The relationship between HT and PTC has been controversial for a long time in  
11 the literature since its initial description by Dailey et al. in 1955<sup>(7)</sup>. Some  
12 investigations have reported that HT is a risk factor for PTC, whereas other studies  
13 have not observed a positive correlation. The frequency of the association between  
14 PTC and HT ranges from 0.5% to 30% in a series of studies<sup>(8)</sup>.

15 Recently, the prognosis of PTCs with HT has attracted attention. Despite the fact  
16 That this link has been the subject of numerous studies, there is no unanimous scientific  
17 literature opinion, and the medical debate remains open. Some published studies have  
18 reported that PTC with HT has a lower risk of lymph node metastasis and lower  
19 capsule invasion, which seems to suggest a favorable prognosis. However, other  
20 investigations have yielded conflicting results showing that HT has no significant  
21 protective effects, while still other studies have revealed that PTC with HT is more

1 likely to be multifocal <sup>(9)(10)(11)</sup>. Interestingly, some studies have reported that  
2 multifocal tumors are more likely to have lymph node metastasis <sup>(12)</sup>. Other studies  
3 have found no difference between unifocal and multifocal PTC<sup>(13)</sup>. To date, there is no  
4 unanimous opinion in the scientific literature, even though this link has been the  
5 subject of numerous studies.

6 Even if PTC has a good prognosis <sup>(14)</sup>, the presence of regional lymph node metastasis  
7 is still extremely important for the prognosis of this disease. The lymph node status of  
8 MPTC with HT is still uncertain. At present, there are few studies on the relationship  
9 between MPTC or HT and LNM, The purpose of the present  
10 investigation: (1) evaluate the lymph node status of PTC according to the presence of  
11 multifocality and HT (2) explore the risk factors for lymph node metastasis of PTC  
12 combined with multicocality and HT.

13

## 14 **MATERIALS AND METHODS**

### 15 **General information**

16 This study was approved by the ethical committees of the First Affiliated  
17 Hospital of Chongqing Medical University. The required informed consent  
18 was obtained from each patient. Data from January 2017 to December 2018 were  
19 analyzed. All 1413 PTC patients who underwent total thyroidectomy with unilateral  
20 central neck dissection were retrospectively collected in this study. Tumor size was  
21 defined as the largest diameter measured by preoperative ultrasound. Multifocality

1 was defined as more than 1 foci of PTC in total (either in the same lobe or different  
2 lobes). We reviewed the electronic medical records and surgical pathology reports of  
3 each patient to define initial clinicopathological features, including age at diagnosis,  
4 tumor size, capsular characteristics, HT, multifocality, central lymph node metastasis  
5 (CLNM), lateral lymph node metastasis (LLNM), thyroid stimulating hormone (TSH),  
6 antithyroglobulin antibodies (TGAb), and thyroid peroxidase antibodies (TPOAb).

7 Thyroidectomies were performed in patients with high levels of suspicion of  
8 malignancy based on prior thyroid FNA and ultrasound examinations. Extension of the  
9 thyroidectomy was decided upon by the endocrinologist and operating surgeon,  
10 depending on the extension of lesions, patient approval and intraoperative  
11 findings. Patients who underwent total thyroidectomy were required to meet the  
12 following criteria: (1) the presence of a suspected malignancy whose diameter was  
13 greater than 1 cm; (2) suspected malignancy based on the presence of thyroid nodules  
14 less than 1 cm with nodules in the contralateral thyroid, which were defined as being  
15 in more than 3 categories by TI-RADS; (3) coexistence with HT; (4) capsule invasion  
16 found by preoperative or intraoperative ultrasound (5) intraoperative frozen section  
17 examination revealing the metastatic involvement of lymph nodes.

18 The inclusion criteria were as follows: (1) All patients were treated for the first  
19 time, and their postoperative pathology was confirmed to be PTC. (2) The patient's  
20 medical records and relevant examination information, such as ultrasound, thyroid  
21 function, thyroid auto-antibodies, and postoperative pathological records, were

1 complete.(3 )The surgical method involved total thyroidectomy with unilateral central  
2 neck dissection.

### 3 **Statistical analysis**

4 For continuous data,we use the mean and standard deviation to describe the data  
5 statistically.T test and analysis of variance were adopted to hypothesize the data that  
6 met a normal distribution and homogeneity test of variance; otherwise, we used the  
7 rank-test. We used case numbers and percentages to describe the discrete data  
8 statistically and used the chi-square test to conduct a hypothesis test. To study the risk  
9 factors related to the tumor lymph node metastasis, we used logistic regression, and  
10 then the ROC curve was created to show the predictive effect of each variable on the  
11 target outcome.  $P < 0.05$  was considered statistically significant. All studies used SPSS  
12 22.0 to perform the analyses.

13

## 14 **RESULTS**

### 15 **Comparisons of the Clinicopathologic Features of Papillary Thyroid Carcinoma**

#### 16 **Patients with and without Hashimoto Thyroiditis**

17 In the study including 1413 patients,395(28%) patients had HT,while 1018(72%)  
18 did not.Central lymph node metastases were pathologically confirmed in 921 patients  
19 (48.4%), and lateral lymph node metastases (LLNM) were confirmed in 469 patients  
20 (52.4%; Table 1).The male-to-female ratio of patients with HT was 1:5.81, which is  
21 higher than that of patients without HT(1:2.46).Compared with PTC without HT,PTC

1 patients with HT tended to be younger( $p<0.001$ ), multifocal (40.0% versus 17.5%,  $P <$   
2 0.001)and have a larger tumor diameter( $11.06\pm 7.10$ versus $11.42\pm 6.37$ ,  $P=0.034$ );  
3 however,there were no statistically significant differences in capsule formation  
4 (26.6% versus 28%,  $P =0.594$ ).The numbers of CLNM and LLNM were additionally  
5 assessed. Patients with HT had more removed lymph nodes than patients without HT  
6 ( $15.17\pm 8.12$ versus $10.27\pm 6.6$ ,  $p<0.001$ ). However, there were no statistically  
7 significant differences in CLNM( $P=0.154$ ),the number of metastatic CLNs( $P=0.884$ ),  
8 the number of removed LLNs( $P=0.238$ ), and the number of metastatic  
9 LLNs( $P=0.415$ ).Furthermore, the levels of TSH( $3.37\pm 3.17$ versus $2.58\pm 2.56$ ,  $P<0.001$ ),  
10 TGAb( $145.48\pm 356.04$ versus $13.22\pm 89.41$ ,  $P<0.001$ )and  
11 TPOAb( $234.05\pm 308.91$ versus $8.21\pm 48.07$ ,  $P<0.001$ )in patients with HT were higher  
12 than those in patients without HT(Table 2).

13 **TABLE 1. Clinicopathologic Characteristics of the Study Population**

Characteristics	ALL patients (n=1413)
Age at diagnosis, y (M $\pm$ SD, range)	42.44 $\pm$ 12.02 (7-85)
Sex ratio (M/F)	352/1061
Tumor size, mm(M $\pm$ SD, range)	11.16 $\pm$ 6.90, 1-50, 10
Capsular, n (%)	390 (27.6%)
PTC with HT, n (%)	395 (28%)



Multifocality, n (%)	336 (23.8%)
CLNM, n (%)	921 (65.2%)
Number of removed CLNs (M±SD, range)	11.66±7.37 (1-54)
Number of metastatic CLNs (M±SD, range)	2.66±3.53 (0-25)
LLNM, n (%)	469 (52.4%)
Number of removed LLNs (M±SD, range)	21.47±12.34 (1-86)
Number of metastatic LLNs (M±SD, range)	2.20±3.61 (0-32)
TSH(M±SD, range)	2.80±2.77 (0.01-59.86)
TGAb(M±SD, range)	50.19±211.32 (0.1-2523)
TPOAb(M±SD, range)	71.34±196.4 (0-1027)

- 1 CLNM = central lymph node metastases, CLNs =central lymph nodes, HT = Hashimoto
- 2 thyroiditis, LLNM =lateral lymph node metastases, LLNs=lateral lymph nodes.
- 3 Values are expressed as the mean± standard deviation and frequency (percentage).

**4 Table 2. Comparison of the Correlation Between Hashimoto Thyroiditis and**

**5 Clinicopathological Features**

		Non-HT (1018)	HT (n=395)	<i>z/c<sup>2</sup></i>	<i>P</i>
Age at diagnosis, y, (M±SD)		43.37±12.09	40.06±11.53	4.519	<0.001
Sex ratio	M	294 (28.9)	58 (14.7)	30.662	<0.001
	F	724 (71.1)	337 (85.3)		
Tumor size, (M±SD)		11.06±7.10	11.42±6.37	2.117	0.034
multifocality, n (%)	no	840 (82.5)	237 (60)	79.591	<0.001
	Yes	178 (17.5)	158 (40)		
Capsular, n (%)	No	733 (72)	290 (73.4)	0.285	0.594
	yes	285 (28)	105 (26.6)		
CLNM, n (%)	No	343 (33.7)	149 (37.7)	2.034	0.154
	Yes	675 (66.3)	246 (62.3)		
Number of removed CLNs (M±SD)		10.27±6.6	15.17±8.12	11.016	<0.001
Number of metastatic		2.63±3.47	2.73±3.68	0.147	0.884

CLNs (M±SD)					
LLNM, n (%)	No	301 (48.0)	119(46.7)	0.130	0.718
	Yes	326 (52.0)	136(53.3)		
Number of removed LLNs (M±SD)		21.21±12.13	22.09±12.84	1.180	0.238
Number of metastatic LLNs (M±SD)		2.10±3.52	2.41±3.83	0.816	0.415
TSH ((M±SD)		2.58±2.56	3.37±3.17	5.264	<0.001
TGAbs ((M±SD)		13.22±89.41	145.48±356.04	22.593	<0.001
TPOAbs ((M±SD)		8.21±48.07	234.05±308.91	21.140	<0.001

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1 CLNM = central lymph node metastases, CLNs =central lymph nodes, HT = Hashimoto  
2 thyroiditis, LLNM =lateral lymph node metastases, LLNs=lateral lymph nodes.Values are  
3 expressed as the mean± standard deviation and frequency (percentage).

#### 4 Hashimoto's Thyroiditis, Multifocal Carcinoma, and Lymph Node Metastases

5 Among the patients with HT, no significant differences were observed in  
6 CLNM(P=0.330) and LLNM(P=0.142) between the MPTC (n=158) and UPTC  
7 (n=237) groups (Table 3). A total of 336 patients with MPTC were included and  
8 grouped according to the presence of HT.Compared to the patients without HT,the  
9 patients with HT were inclined to have less CLNM(65.2% versus 93.3%, P < 0.001),

1 fewer metastatic CLNs(3.01±4.03 versus 5.16±4.36, P < 0.001), a smaller number of  
 2 metastatic LLNs(2.70±3.95 versus 3.93±4.88, P =0.010) and LLNM(58.6%  
 3 versus71.3%, P=0.028)(Table 4). When multifocality and HT existed at the same  
 4 time,the number of metastases of CLNs, the number of metastases of LLNs, and the  
 5 LLNM was higher than that of UPTC without HT(1.46±2.18  
 6 versus2.09±3;0.042.0.31±0.56versus 1.43±2.57,p=0.001; 16 (26.2%)versus 207  
 7 (45.0%), p=0.005).(Table 5)

8 **TABLE 3 Comparison of Neck Lymph Node Metastases of Papillary Thyroid**  
 9 **Cancer Combined with Hashimoto’s Thyroiditis Based on the Presence of**  
 10 **Multifocality**

PTC with HT	Unifocal (n=237)	Multifocal (n=158)	$\chi^2$	P
CLNM, n (%)	143 (60.3)	103 (65.2)	0.950	0.330
Number of removed CLNs(M±SD)	14.46±7.86	16.24±8.41	2.055	0.040
Number of metastatic CLNs	2.55±3.43	3.01±4.03	0.997	0.319

(M±SD)

LLNM, n (%)      71/144 (49.3)      65/111 (58.6)      2.156      0.142

Number of removed LLNs      21.22±12.10      23.23±13.71      1.380      0.168

(M±SD)

Number of metastatic LLNs      2.18±3.73      2.70±3.95      1.675      0.094

(M±SD)

- 1 CLNM = central lymph node metastases, CLNs =central lymph nodes, HT = Hashimoto
- 2 thyroiditis, LLNM =lateral lymph node metastases, LLNs=lateral lymph nodes.
- 3 Values are expressed as the mean±standard deviation and frequency (percentage).

**4 TABLE 4 Comparison of Neck Lymph Node Metastases of Multifocal Papillary**  
**5 Thyroid Carcinoma Based on the Presence of Hashimoto's Thyroiditis**

MPTC	Non-HT (n=178)	HT (n=158)	$\chi^2$	P
CLNM, n (%)	166 (93.3)	103 (65.2)	41.308	<0.001

Number of removed CLNs (M±SD)      13.75±7.3      16.24±8.41      2.588      0.010

Number of metastatic CLNs (M±SD)	5.16±4.36	3.01±4.03	6.056	<0.001
LLNM, n (%)	119/167 (71.3)	65/111 (58.6)	4.805	0.028
Number of removed LLNs (M±SD)	25.16±13.97	23.23±13.71	0.899	0.369
Number of metastatic LLNs (M±SD)	3.93±4.88	2.70±3.95	2.564	0.010

1 CLNM = central lymph node metastases, CLNs =central lymph nodes, HT = Hashimoto

2 thyroiditis, LLNM =lateral lymph node metastases, LLNs=lateral lymph nodes.

3 Values are expressed as the mean±standard deviation and frequency (percentage).

**4 TABLE 5 Comparison of Neck Lymph Node Metastases of Papillary Thyroid**

**5 Carcinoma based on the Presence of Hashimoto's Thyroiditis and Multifocality**

MPTC	UPTC without HT (n=840)	MPTC with HT (n=158)	$\chi^2$	P
CLNM, n (%)	509 (60.6)	56 (51.9)	3.038	0.081
Number of removed CLNs (M±SD)	9.55±6.18	14.94±7.11	7.716	<0.001

Number of metastatic CLNs (M±SD)	2.09±3	1.46±2.18	2.032	0.042
LLNM, n (%)	207 (45.0)	16 (26.2)	7.751	0.005
Number of removed LLNs (M±SD)	19.78±11.06	20.13±10.51	0.634	0.526
Number of metastatic LLNs (M±SD)	1.43±2.57	0.31±0.56	3.441	0.001

- 
- 1 CLNM = central lymph node metastases, CLNs =central lymph nodes, HT = Hashimoto  
2 thyroiditis, LLNM =lateral lymph node metastases, LLNs=lateral lymph nodes  
3 Values are expressed as the mean±standard deviation and frequency (percentage).

#### 4 **Multivariate logistic regression analysis of CLNM and LLNM in all** 5 **patients,patients with HT and patients with MPTC.**

6 Multivariate logistic regression analysis reported that there were independent  
7 relationships between CLNM and HT, which were found in the univariate analysis in  
8 patients with MPTC. Some clinicopathological factors, such as multifocality, were  
9 independently correlated with CLNM and LLNM in all patients, and HT was  
10 independently correlated with CLNM.The OR for the associations of CLNM with HT  
11 was 0.480 in all patients and 0.094 in patients with MPTC(TABLE 7).The ORs for  
12 the associations of CLNM and LLNM with multifocality in all patients were 2.316  
13 and 2.004,respectively(TABLE 6). Receiver operating characteristic curves were used  
14 to predict CLNM and LLNM in all patients and CLNM in patients with MPTC  
15 (AUCs: 0.731,0.842 and 0.690, respectively,  $P<0.0001$ )(Figure 1,Figure 2 and  
16 Figure 3).We considered whether to combine HT and MPTC simultaneously as a new  
17 variable,which was a protective factor in CLNM and LLNM (OR=0.502,  
18 95%CI=0.321-0.785,  $P<0.001$  and OR=0.459, 95%CI=0.250-0.842,  $P<0.012$ ) .  
19 (TABLE 8).Then receiver operating characteristic curve analysis was also performed to  
predict CLNM and LLNM .(AUCs:0.696 and 0.630, respectively,  $P<0.0001$ ) (Figure  
4 and Figure 5)

**1 TABLE 6 Multivariate logistic regression analysis of CLNM and LLNM in all patients**

		OR	95% CI	<i>P</i>
CLNM	Sex	0.459	0.340-0.619	<0.001
	Age at diagnosis	0.965	0.956-0.975	<0.001
	Tumor size	1.062	1.041-1.084	<0.001
	HT	0.480	0.359-0.643	<0.001
	Multifocality	2.316	1.667-3.217	<0.001
	Number of removed CLNs	1.063	1.043-1.084	<0.001
LLNM	Age at diagnosis	0.985	0.974-0.997	0.016
	Tumor size	1.070	1.047-1.093	<0.001
	Multifocality	2.004	1.469-2.733	<0.001
	Number of removed LLNs	1.031	1.017-1.044	<0.001

3

4



1 **TABLE 7 Multivariate logistic regression analysis of CLNM and LLNM in**  
 2 **MPTC**

		OR	95% CI	<i>P</i>
CLNM	Sex	0.292	0.106-0.819	0.019
	Age at diagnosis	0.939	0.913-0.966	<0.001
	HT	0.095	0.044-0.204	<0.001
	Number of removed CLNs	1.096	1.044-1.151	<0.001
LLNM	Tumor size	1.104	1.054-1.156	<0.001
	TSH	0.824	0.705-0.962	0.015
	Number of removed LLNs	1.054	1.020-1.073	<0.001

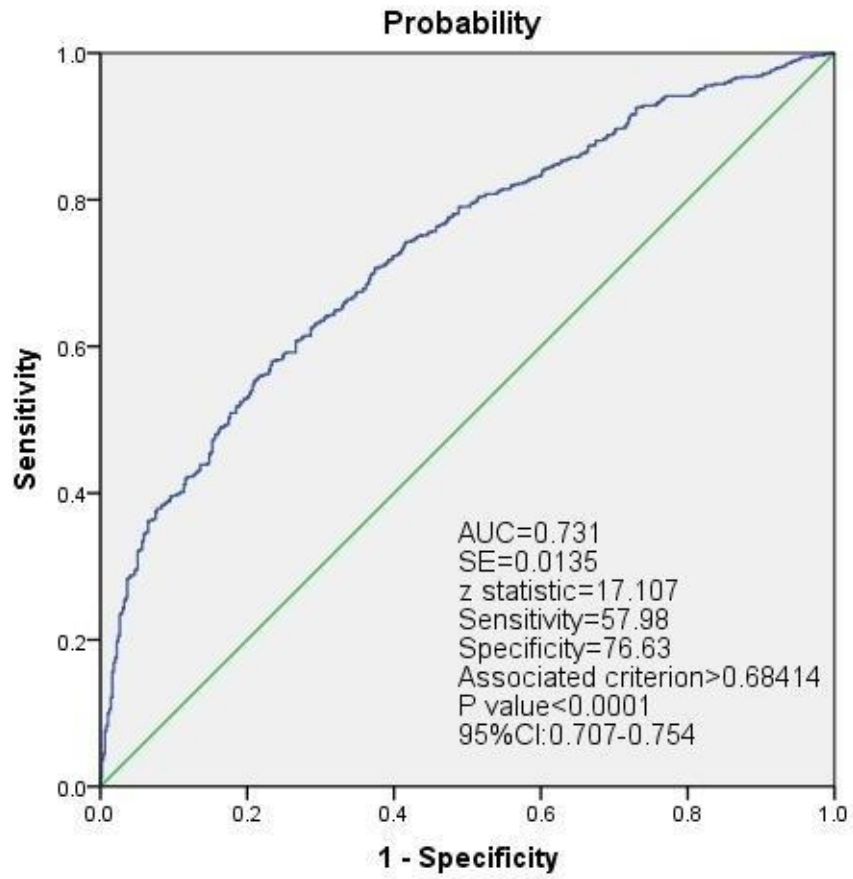
3 **TABLE 8 Multivariate logistic regression analysis of CLNM and LLNM in PTCs**  
 4 **based on the Presence of Hashimoto's Thyroiditis and Multifocality**

		OR	95% CI	<i>P</i>
CLNM	Sex	0.493	0.353-0.689	<0.001
	Age at diagnosis	0.967	0.955-0.978	<0.001

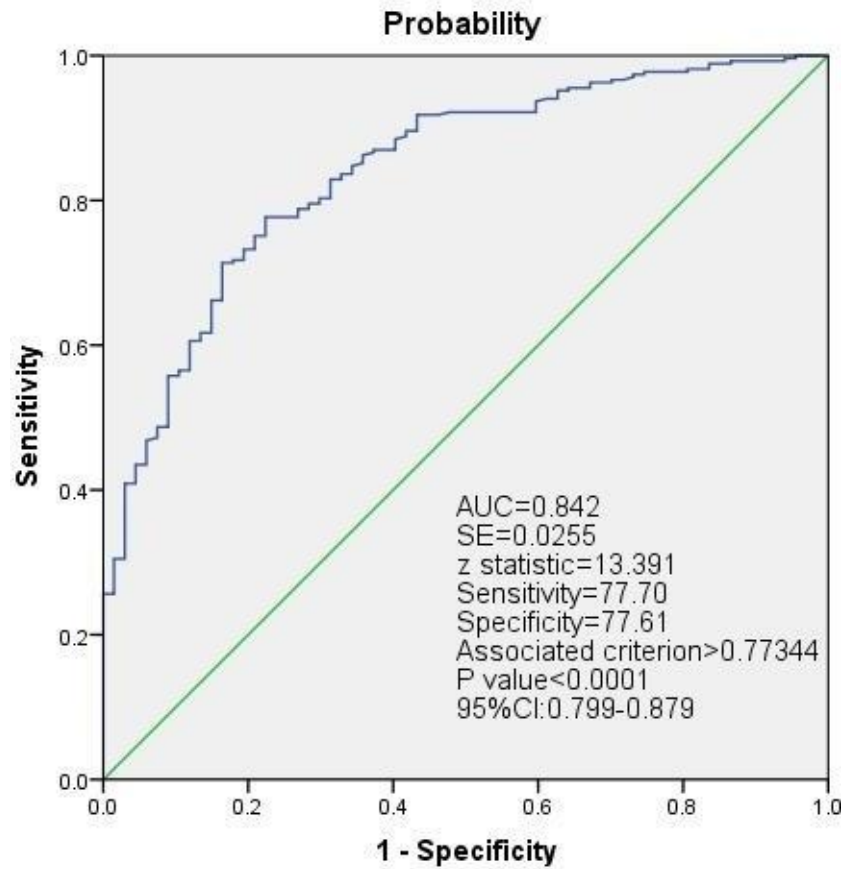
	Tumor size	1.063	1.038-1.09	<0.001
	Number of removed CLNs	1.064	1.039-1.09	<0.001
	Multifocality with HT	0.502	0.321-0.785	0.003
LLNM	Tumor size	1.055	1.027-1.083	<0.001
	Multifocality with HT	0.459	0.25-0.842	0.012
	Number of removed LLNs	1.017	1.001-1.034	0.043

---

- 1 **Figure 1** Receiver operating characteristic curve analyses for predicting CLNM in all
- 2 patients

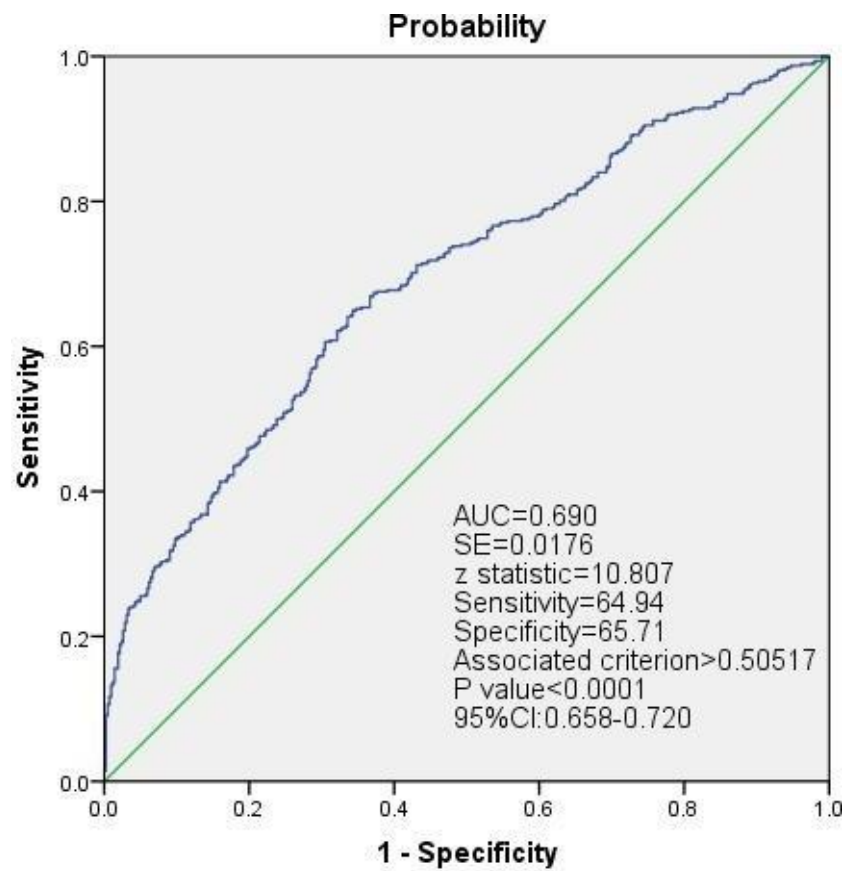


1 **Figure 2** Receiver operating characteristic curve analyses for predicting CLNM in  
2 patients with MPTC.

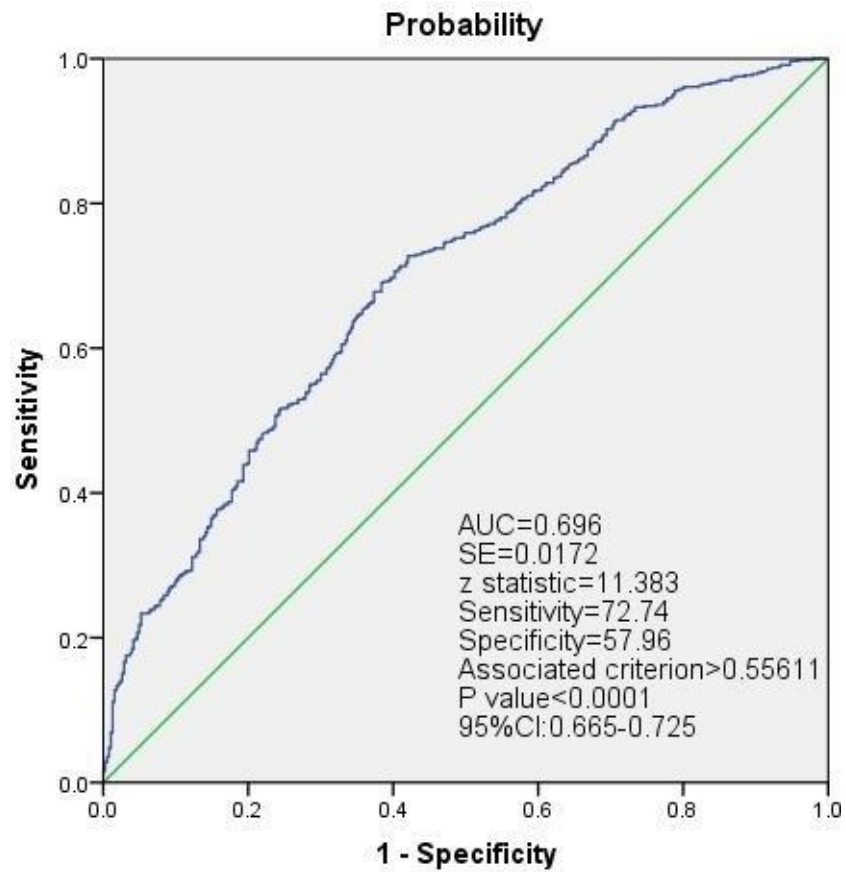


- 1 **Figure 3** Receiver operating characteristic curve analyses for predicting LLNM
- 2 in all

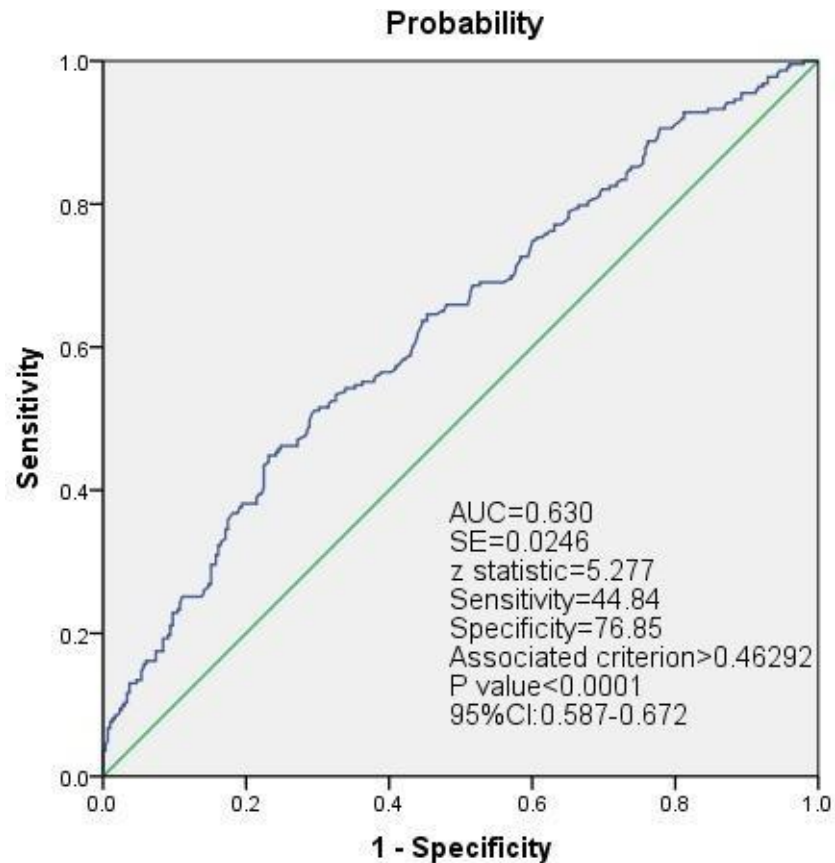
1 patients



2 **Figure 4** Receiver operating characteristic curve analyses for predicting CLNM in  
3 patients with and without MPTC and HT concurrently



- 1 **Figure 5** Receiver operating characteristic curve analyses for predicting LLNM in
- 2 patients with and without MPTC and HT concurrently



## 1 Discussion

2 HT is a chronic inflammation of the thyroid gland that was initially described  
 3 over a century ago but still has an incompletely defined etiopathogenesis<sup>(6)</sup>. It is now  
 4 considered the most common autoimmune disease<sup>(4)</sup>often accompanied by diffuse  
 5 lymphocyte infiltration,fibrosis, and parenchymal atrophy.HT is an autoimmune  
 6 disease of the thyroid, and its own inflammation is closely related to the occurrence of  
 7 tumors.Long-term infiltration of inflammation and damage to follicular epithelium  
 9 may lead to the occurrence of tumors <sup>(15)</sup>.A large-cohort meta-analysis of recent  
 10 studies concluded that HT is clearly associated with PTC <sup>(16)</sup>.Some investigations  
 11 have reported the genetic background of thyroid tumors with and without associated

1 autoimmunity, showing that the molecular features are significantly different in the  
2 two groups of PTCs ( $P = 0.001$ ). Particularly in biomolecules, RET/PTC was more  
3 represented in patients with PTC and autoimmunity<sup>(17)</sup>.

4 PTC, as the most common thyroid cancer,<sup>(1)</sup> is an indolent disease with a  
5 favorable prognosis, but there are still some patients with a poor prognosis, which is  
6 closely related to whether there is lymph node metastasis. PTC is the most important  
7 factor that increases the risk of local recurrence and overall survival<sup>(18)(19)</sup>.

8 Although the link between chronic inflammation and cancer is well established,  
9 the association between HT and PTC has been controversial in the literature since its  
10 initial description by Dailey et al. in 1955. Regarding the outcomes and clinical  
11 progression, a recent meta-analysis that included 71 published articles totaling 44,034  
12 patients (of whom 11,132 had HT) showed a negative association between PTC with  
13 comorbid HT and aggressive behavior of cancer<sup>(20)</sup>. A study that retrospectively  
14 analyzed 305 patients revealed elevated incidence rates of PTC in patients with HT<sup>(25)</sup>.

15 Multifocality is one of the clinicopathological features of tumors, and the  
16 diagnostic criteria are defined as the presence of  $\geq 2$  anatomically separated foci in the  
17 thyroid gland. Multifocality has been reported in 18% to 87% of patients with PTC<sup>(22)</sup>.  
18 Some studies have revealed that PTC with HT is more multifocal, and PTC patients  
19 with HT tend to exhibit multifocality (46.6% versus 21.6%,  $P < 0.001$ )<sup>(24)</sup>, which  
20 means that PTC with HT should exhibit more lymph node metastasis. Amazingly, the  
21 result is the opposite, and many studies have proven that the coexistence of HT and



1 PTC appears to be associated with clinicopathological characteristics of reduced  
2 tumor aggressiveness and with diminished recurrence of the pathology, as particularly  
3 reported in <sup>(10)</sup> and <sup>(11)</sup>. A meta-analysis including 10 648 PTC cases showed that PTCs  
4 with coexisting HT were significantly related to the absence of lymph node metastasis  
5 (OR=1.3; P=0.041), and PTCs with HT were significantly associated with long  
6 recurrence-free survival (HR=0.6; P=0.001)<sup>(9)</sup>. Therefore, the outcome of lymph node  
7 metastasis in MPTC with HT is still unclear, and consequently, this study attempted  
8 to clarify its influence on lymph nodes and the importance of this mechanism.

9 In our study, 28% of all enrolled patients had combined HT, and 23.8% of them  
10 had MPTC. We also found that 158 MPTCs (40%) were confirmed at histological  
11 examination in patients with HT, while 178 (17.5%) were confirmed in patients  
12 without HT (P<0.001), which suggests that HT may predispose patients to the  
13 development of MPTC. No significant difference was found in lymph node metastasis.  
14 To determine the status of lymph node metastasis of MPTC combined with HT, we  
15 divided the patients into 2 groups based on the presence of HT and MPTC. The results  
16 in the HT group suggest that, there was no significant difference between MPTC and  
17 UPTC, whether in the CLN or the LLN. In contrast, compared with MPTC present  
18 without HT, MPTC combined with HT showed a lower CLNM (65.2% versus 93.3%,  
19 P < 0.001), number of metastatic CLNs (3.01±4.03 versus 5.16±4.36, P < 0.001) ,  
20 LLNM (58.6% versus 71.3% P=0.028) and number of metastatic LLNs (2.70±3.95  
21 versus 3.93±4.88, P = 0.010). It seems that HT was a potential protective factor for  
22 reducing the risk of lymph node metastasis, which agrees with the conclusions of

1 most studies<sup>(25)(27)(28)</sup>. This result may benefit from the immunologic response with a  
2 cancer-impeding effect of the lymphocytic infiltration in HT<sup>(29)</sup>. When multifocality  
3 and HT existed at the same time, we found that the number of metastases of CLN or  
4 LLN was lower than that of UPTC without HT. ( $1.46 \pm 2.18$  versus  $2.09 \pm 3.00$ ,  $p=0.042$ ;  
5  $0.31 \pm 0.56$  versus  $1.43 \pm 2.57$ ,  $p < 0.001$ ) and it seemed that the ability to promote lymph  
6 node metastasis of HT was stronger than that of MPTC. In addition, whether present  
7 in the whole population or in people with MPTC, the number of removed CLNs of  
8 patients with HT was more than that of patients without HT, which may suggest that  
9 patients with HT have more visible swollen lymph nodes during surgery, thereby  
10 proving the importance of intraoperative frozen-section examination. Therefore,  
11 unnecessary lymph node dissection was reduced to avoid serious complications after  
12 surgery that would affect the patient's quality of life.

13 Next, multivariate logistic regression analysis was performed in all  
14 patients ( $n=1413$ ), patients with HT ( $n=395$ ), patients with MPTC ( $n=336$ ) and patients  
15 with and without HT and MPTC ( $n=998$ ). In all patients, the presence of HT was noted  
16 as a protective factor for CLNM, however, in the univariate analysis, there was no  
17 obvious effect of HT on CLNM, which may be influenced by other factors. And  
18 multifocality was a risk factor for CLNM and LLNM, revealing that multifocality  
19 may increase the risk of lymph node metastasis in PTC with HT, same as the findings  
20 in most studies<sup>(12)(22)(23)</sup>. In addition, we found that the presence of HT was  
21 significantly associated with CLNM in patients with MPTC, suggesting that HT may  
22 decrease the risk of lymph node metastases. Then receiver operating characteristic

1 curves were constructed. Sex, age at diagnosis, tumor size, HT, multifocality, and  
2 number of removed CLNs were included to predict CLNM in all patients. Sex, age at  
3 diagnosis, HT, and number of removed CLNs were included to predict CLNM in  
4 patients with MPTC. Age at diagnosis, tumor size, multifocality and number of  
5 removed LLNs were included to predict LLNM in all patients (AUCs: 0.731, 0.842  
6 and 0.690, respectively,  $P < 0.0001$ ) (Figure 1, Figure 2 and Figure 3)

7 We also found that the new variable that indicates whether HT and MPTC exist at the  
8 same time was a protective factor in CLNM and LLNM (OR=0.502,  
9 95%CI=0.321-0.785,  $P < 0.001$  and OR=0.459, 95%CI=0.250-0.842,  $P < 0.012$ ), which  
10 suggests that the two type of diseases may indirectly exert opposite effects and that  
11 the final effects may lead to a decrease in lymph node metastasis. Receiver operating  
12 characteristic curves were still used to predict CLNM and LLNM according to the  
13 status whether combined with HT and MPTC. (AUCs: 0.696 and 0.630,  $P < 0.0001$ )

14 HT is an autoimmune inflammatory disease that is considered to be related to  
15 PTC<sup>(25)</sup>. Chronic inflammation elicits an immune response leading to reactive  
16 alterations of stromal cells, genetic alterations, inappropriate cell proliferation and  
17 subsequent neoplastic transformation<sup>(29)(30)</sup>. Another hypothesis is that HT can cause  
18 hypothyroidism, resulting in increased TSH, which stimulates follicular epithelial  
19 proliferation and causes tumor progression<sup>(32)</sup>. To determine the relationship between  
20 HT and PTC, many studies have examined the biomolecular profiles between them  
21 and identified their common characteristics. The main molecular findings are

1 mutations in the oncogene BRAF (B-type Raf kinase)V600E and the recombination  
2 of RET/PTC  
3 (rearranged during transfection), and many studies have revealed  
4 that RET/PTC oncogene rearrangements may be an early event in thyroid oncogenesis  
5 associated with HT<sup>(32)</sup>; this relationship had been demonstrated between the BRAF  
6 (V600E) mutation and HT. Catriona E Anderson et al revealed that HT may play a  
7 protective factor in PTCs by directly or indirectly inhibiting the expression of the  
8 BRAFV600E mutation and reducing the presence of aggressive factors in PTCs with  
9 the BRAFV600E mutation<sup>(33)</sup>. Furthermore, CD98 expression and the P63 gene were  
10 found to be expressed in both PTC and HT<sup>(28)(34)</sup>, which may indicate a link between  
11 the two diseases.

12 For PTC, whether to perform lymph node dissection and how to choose the  
13 scope of dissection are very important, especially for prophylactic central neck  
14 dissection, and can increase the incidence of postoperative complications and  
15 decrease the patients' quality of life<sup>(35)</sup>. Therefore, in the case of HT, which can  
16 reduce lymph node metastasis, when PTC is combined with HT, it is possible to  
17 further narrow the indications of prophylactic central neck dissection to achieve more  
18 accurate treatment and fewer complications. It is worth noting that we should pay  
19 more attention to multifocality when discovering HT because multifocality has a  
20 significant effect on lymph node metastasis. Our study shows that the combination of  
21 HT and MPTC can lead to the decrease of CLNM compared with that without HT and

1 MPTC, but whether prophylactic central neck dissection must be performed still  
2 requires more research and experiments to prove its true clinical effect when surgeons  
3 discover HT or MPTC.

4 In conclusion, our results suggest that HT may predispose patients to the  
5 development of MPTC. HT is a protective factor in CLNM, and multifocality is a risk  
6 factor in CLNM and LLNM, when the two coexist, there is obvious protective effect  
7 on CLNM or LLNM. Therefore, these risk factors should be considered by surgeons  
8 when assessing a patient's condition preoperatively and intraoperatively.  
9

10 **Declaration:** This study was approved by the ethical committees of the First  
11 Affiliated Hospital of Chongqing Medical University. There is no individual person's data  
12 in any form in this manuscript.  
13

14 **Data Availability:** The data used to support the findings of this study are included  
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15 **Conflict of interest:** None.

#### 16 **Contributions:**

Denghui Wang: data acquisition, analysis, drafted the manuscript and revised it.

Jiang Zhu, : data acquisition and revised.

Chang Deng : data acquisition.

Zhixin Yang : data acquisition.

Daixing Hu: revised.

Xiujie Shu: data acquisition.

Ping Yu: data acquisition.

Xinliang Su : examine and modify the manuscript.

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# Figures

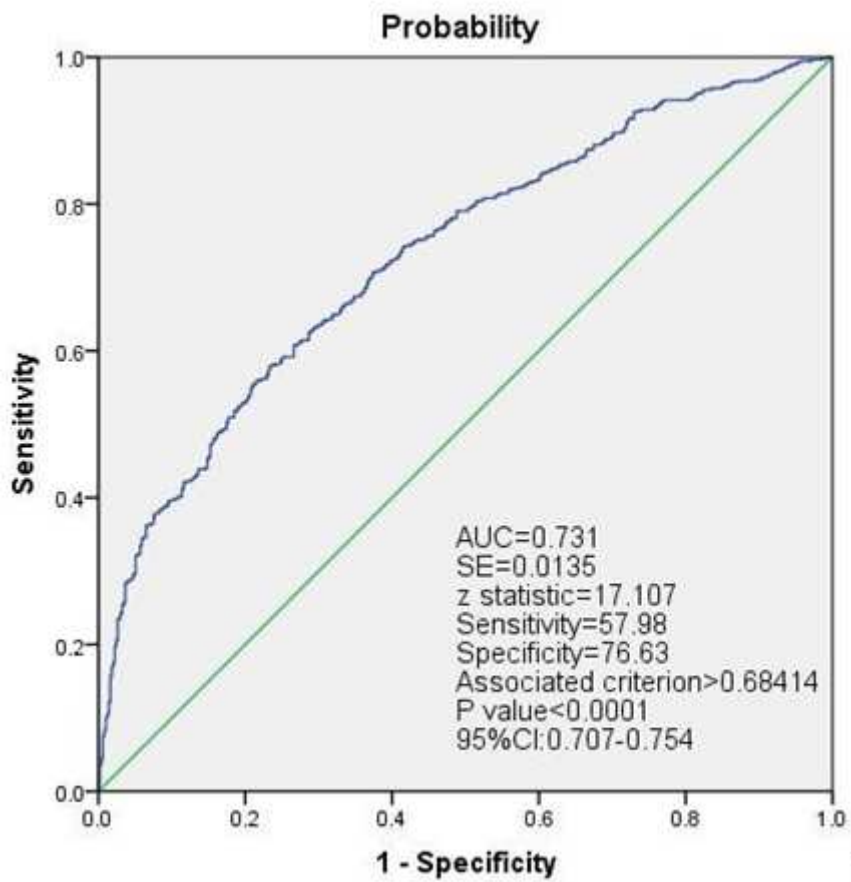


Figure 1

Receiver operating characteristic curve analyses for predicting CLNM in all patients

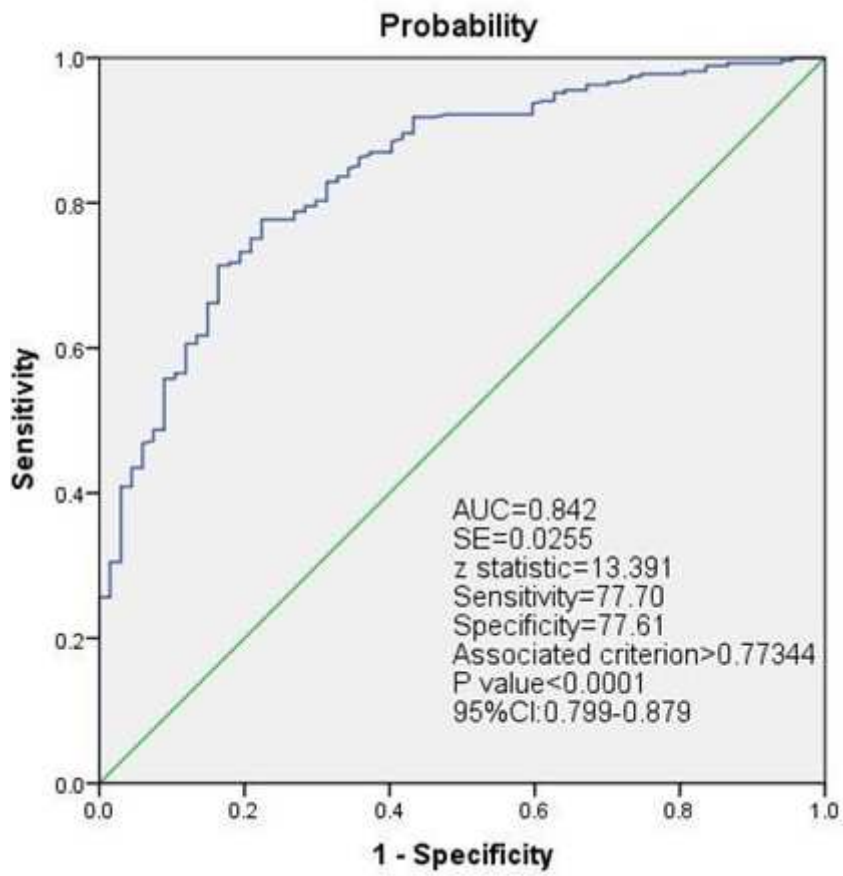


Figure 2

Receiver operating characteristic curve analyses for predicting CLNM in patients with MPTC.

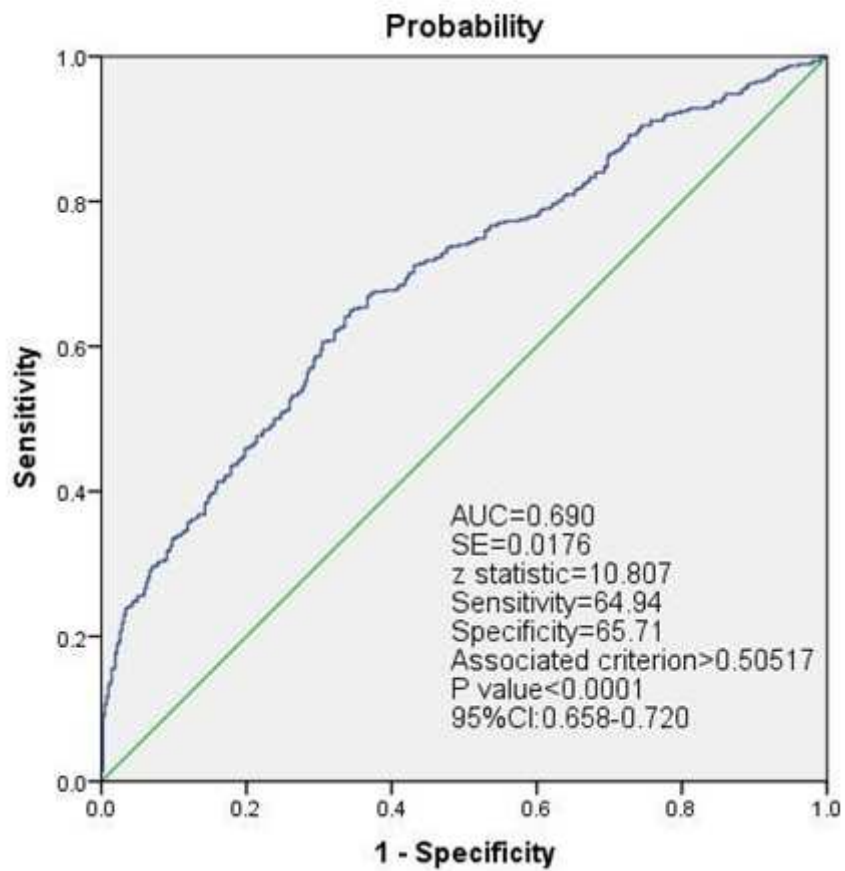
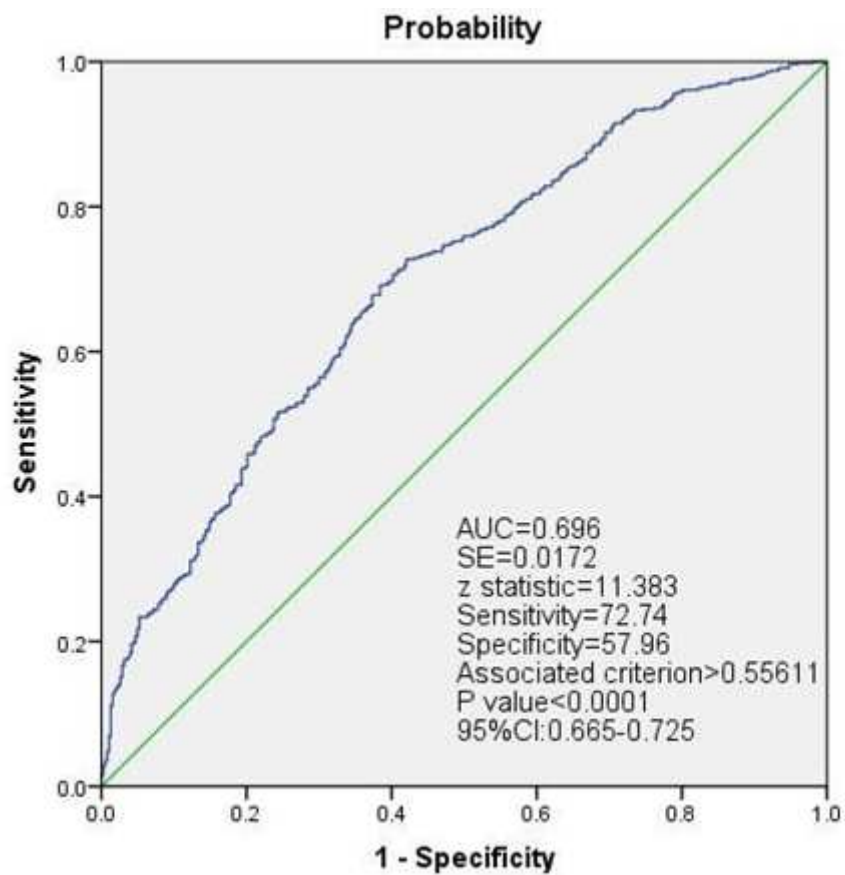


Figure 3

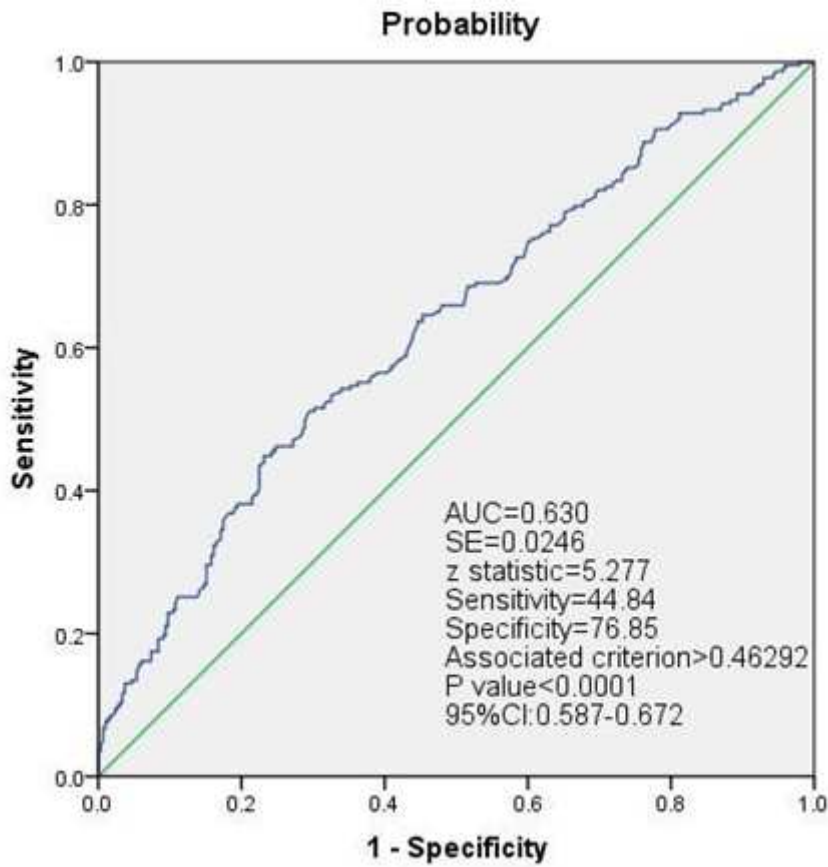
Receiver operating characteristic curve analyses for predicting LLNM in all



**Figure 4**

Receiver operating characteristic curve analyses for predicting CLNM in patients with and without MPTC and HT concurrently





**Figure 5**

Receiver operating characteristic curve analyses for predicting LLNM in patients with and without MPTC and HT concurrently

## Supplementary Files

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