

# Immune Response and Clinical Outcomes of BNT162b2 and mRNA1273 Fourth Dose COVID-19 Vaccines; Three Months Follow-up

**Michal Canetti**

Sheba Medical Center <https://orcid.org/0000-0001-5235-3447>

**Noam Barda**

Sheba Medical Center <https://orcid.org/0000-0002-3400-235X>

**Mayan Gilboa**

Sheba Medical Center

**Victoria Indenbaum**

Sheba Medical Center

**Michal Mandelboim**

Sheba Medical Center

**Tal Gonen**

Sheba Medical Center

**Keren Asraf**

Sheba Medical Center

**Yael Weiss-Ottolenghi**

Sheba Medical Center

**Sharon Amit**

Sheba Medical Center

**Ram Doolman**

Sheba Medical Center

**Ella Mendelson**

Sheba Medical Center

**Dror Harats**

Sheba Medical Center

**Laurence S Freedman**

Sheba Medical Center

**Yitshak Kreiss**

Sheba Medical Center

**Yaniv Lustig**

Sheba Medical Center

**Gili Regev-Yochay** (✉ [Gili.Regev@sheba.health.gov.il](mailto:Gili.Regev@sheba.health.gov.il))

## Research Article

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# Abstract

Booster doses for the ongoing COVID-19 pandemic are under consideration in many countries. We report a three-month follow-up of 700 participants in a fourth vaccine dose study, comparing BNT162b2 and mRNA1273, administered four months after a third BNT162b2 dose. Waning of the immune response was evident during follow-up, with an 11% ( $\beta=0.89$ , 95% CI, 0.88–0.9) and 21% ( $\beta=0.79$ , 95% CI, 0.76–0.82) multiplicative decay per week of IgG and neutralizing antibodies, respectively, in the mRNA1273 group, and of 14% ( $\beta=0.86$ , 95% CI, 0.86–0.87) and 26% ( $\beta=0.74$ , 95% CI, 0.72–0.76), respectively, in the BNT162b2 group. Direct neutralization of Omicron variants was low relative to ancestral strains. Cumulatively over the study period, both vaccines showed little efficacy against infection but were highly efficacious against substantial disease [89% [(IRR 0.11, 95% CI, 0.02–0.37) and 71% (IRR 0.29, 95% CI, 0.13–0.57) for mRNA1273 and BNT162b2, respectively]. These results are informative for further boosting policy-making.

## Introduction

Since its emergence in December 2019, Coronavirus disease 2019 (COVID-19) has claimed the lives of more than 6 million people (1). During this period, multiple measures have been taken to reduce infection, disease, and death rates, including the messenger RNA (mRNA) COVID-19 vaccines, Pfizer-BioNTech (BNT162b2) and Moderna (mRNA1273) (2–3).

Following the receipt of two mRNA vaccine doses, a significant humoral immune response was reported (4), as well as a decrease in the rates of infection, infectivity, disease, hospitalization, and death (5–8). However, the waning of this protective effect was evident after a few months, as observed by decreases in immunogenicity and vaccine effectiveness against infection and disease (9–11). This decline, along with the emergence of novel variants of concern (VOC), led to the rollout of a third dose. Initially, receipt of the third dose was reported to decrease infection and severe illness rates and to generate a stronger immune response in comparison to that induced by the first and second doses (12–14). Yet, shortly after, vaccine effectiveness was reported to wane (15–17), probably due to the emergence of the highly transmissible Omicron VOC (18–20), as well as waning of the immune response (21). This raised the question of whether a fourth vaccine dose was needed.

On December 27, 2021, we began an open-label, nonrandomized, clinical study, assessing the safety, immunogenicity, and efficacy of the mRNA1273 and BNT162b2 fourth-dose vaccines in health care workers (HCW). The study was carried out during the time when Omicron was the predominant VOC in Israel. We previously reported the short-term (up to one month) results of this study, showing that the fourth vaccine dose was safe and led to an immune response comparable to that of the third dose, but resulted in a vaccine efficacy (VE) of only 11–30% (22), which was lower than that achieved after the previous doses (23–24).

The durability of the immune response and the longer-term vaccine efficacy of the fourth dose have not yet been studied. Here we report results from a 102-day follow-up of the fourth dose study, examining immune response and clinical outcomes, and comparing the BNT162b2 and mRNA1273 mRNA COVID-19 vaccines.

## Results

This open-label controlled intervention study was conducted at the Sheba Medical Centre (SMC), the largest tertiary hospital in Israel. Eligible participants were HCW that had previously received three doses of the BNT162b2 vaccine, had no known history of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection, and had recorded baseline IgG levels < 700 BAU (full eligibility criteria are described in the Methods section). Participants (n = 700) were enrolled to either receive the BNT162b2 vaccine, the mRNA1273 vaccine, or to serve as controls for one or both of the two arms. Participants were followed up for immune response and clinical outcomes.

Of a total of 6,597 HCW enrolled to the Sheba HCW COVID Cohort (4, 9, 25–26), 1050 were eligible to participate. Of these, 154 were enrolled to the BNT162b2 arm on December 27–28, 2021. One week later, on January 5–6, 2022, 120 participants were enrolled to the mRNA1273 arm. From the remaining eligible 776 participants, a total of 426 controls were age-matched in a 2:1 ratio to participants in each arm. See Fig. 1 for the complete study population flow chart.

Baseline characteristics of the study population are described in Table 1. Baseline characteristics of the population used in the analysis of the clinical outcomes (which excludes those infected during the first 7 days of follow-up) are described in Table S1.

Table 1  
Baseline characteristics of the study population.

		BNT162b2	Control (BNT162b2)	mRNA1273	Control (mRNA1273)
Participants enrolled	N	154	308	120	239
Female sex	N (%)	91 (59)	218 (71)	81 (67)	178 (74)
Male sex	N (%)	63 (41)	90 (29)	39 (33)	61 (26)
Age	Median (range)	60.85 (30.44– 85.31)	61.33 (30.27–89.61)	55.97 (29.26– 86.94)	56.85 (29.21–89.61)
BMI (kg/m <sup>2</sup> )	Median (IQR)	26.03 (23.11– 28.4)	25.48 (23.15–28.12)	24.77 (22.4– 28.23)	25.48 (22.96–28.76)
Missing data	%	16		14	
Comorbidities					
0	N (%)	92 (60)	195 (63)	80 (67)	150 (63)
1	N (%)	41 (27)	51 (17)	28 (23)	41 (17)
≥ 2	N (%)	21 (13)	62 (20)	12 (10)	48 (20)
Hypertension	N (%)	42 (27)	*	23 (19)	*
Diabetes	N (%)	14 (9)	*	13 (11)	*
Lung disease	N (%)	7 (5)	*	7 (6)	*
Heart disease	N (%)	11 (7)	*	7 (6)	*
Liver disease	N (%)	1 (0.6)	*	1 (1)	*
Chronic kidney disease	N (%)	1 (0.6)	*	3 (3)	*
Autoimmune disease	N (%)	12 (8)	*	4 (3)	*
Immunosuppression	N (%)	3 (2)	4 (2)	2 (2)	1 (0.5)
Missing data	%	9		10	
Profession					
Physician	N (%)	41 (27)	*	35 (29)	*
Nurse	N (%)	34 (22)	*	21 (18)	*

		BNT162b2	Control (BNT162b2)	mRNA1273	Control (mRNA1273)
Paramedical personnel	N (%)	34 (22)	*	35 (29)	*
Administrative personnel	N (%)	43 (28)	*	26 (22)	*
Other personnel	N (%)	2 (1)	*	3 (2)	*

BMI – body mass index. \*Data was not available in this group.

## Immunogenicity

Crude values of the serological markers are presented in Table S2 and Fig. 2. The different immunological markers show a similar trend of reaching a maximum level 2–3 weeks following vaccination and then slowly declining. During the first month after vaccination, a 9-10-fold increase was demonstrated in anti-RBD immunoglobulin G (IgG) titer in both vaccine recipient groups. Waning of this response was observed over time, with IgG geometric mean titer (GMT) of 1442 binding antibody units (BAU) (95% CI, 1194–1741) in the mRNA1273 group and 854 BAU (95% CI, 738–989) in the BNT162b2 group observed after 90 days. In neutralizing antibodies, the increase to peak levels was of 16.5-fold in the mRNA1273 group and 9-fold in the BNT162b2 group. After 90 days, the GMT of neutralizing antibodies in the mRNA1273 group was 1046 (95% CI, 772–1417), while the BNT162b2 group had a neutralizing antibody titer of 347 (95% CI, 238–507), close to their pre-fourth dose titers. Fourteen days following the fourth dose, a 4.5-fold increase in the mRNA1273 group and a 3-fold increase in the BNT162b2 group was observed in anti-RBD immunoglobulin A (IgA), reaching GMT of 4.63 sample-to-cutoff ratio (s/co) (95% CI, 3.88–5.53) and 3 s/co (95% CI, 2.57–3.53), respectively. After three months, IgA levels declined to 1.82 (95% CI, 1.48–2.25) and 1.39 (95% CI, 1.16–1.65), respectively. The number of activated T cells elevated in the mRNA1273 group from 6 per 10<sup>6</sup> peripheral blood mononuclear cells (PBMC) (95% CI, 2–14) to 52 per 10<sup>6</sup> PBMC (95% CI, 20–134) and declined to 11 per 10<sup>6</sup> PBMC (95% CI, 3–48) after three months, while smaller changes were observed in the BNT162b2 group.

Using an adjusted model for each vaccine, we estimated in the mRNA1273 vaccine arm a 11% ( $\beta=0.89$ , 95% CI, 0.88–0.9) multiplicative decay per week in IgG, 21% ( $\beta=0.79$ , 95% CI, 0.76–0.82) in neutralizing antibody titers, and 10% ( $\beta=0.9$ , 95% CI, 0.88–0.92) in IgA. In the BNT162b2 vaccine arm, we estimated a multiplicative decay per week of 14% ( $\beta=0.86$ , 95% CI, 0.86–0.87), 26% ( $\beta=0.74$ , 95% CI, 0.72–0.76), and 8% ( $\beta=0.92$ , 95% CI, 0.9–0.93), respectively. No significant decay was shown in the number of activated T cells in both groups [10% ( $\beta=0.9$ , 95% CI, 0.77–1.05) and 10% ( $\beta=0.9$ , 95% CI, 0.74–1.09), respectively] (Table S3).

Using an adjusted model comparing the two mRNA vaccines, we demonstrated a significant difference in the decline of IgG and neutralizing antibody titers between the two vaccines, with a further multiplicative decay of 2% per week ( $\beta=0.98$ , 95% CI, 0.96–0.99) and 7% ( $\beta=0.93$ , 95% CI, 0.89–0.98), respectively, in

the BNT162b2 group. Furthermore, we showed a 29% ( $\beta=0.71$ , 95% CI, 0.57–0.89) lower IgA peak in the BNT162b2 group (Table S4).

Higher direct neutralization titers were observed for the wild-type strain and the Delta VOC than for the Omicron VOC. All direct neutralization titers demonstrated waning between days 14 and 90 post-vaccination. Additionally, direct neutralization results for the Omicron BA.2 VOC were comparable and perhaps higher than for Omicron BA.1 (Fig. 3).

### **Vaccine efficacy**

Cumulative incidence was estimated in both arms for two clinical outcomes: (i) infection, defined by positive SARS-CoV-2 test, with or without symptoms, as determined by active surveillance, and (ii) substantial disease, as defined by two or more days in which the participant was mostly in bed due to feeling unwell (Table S5 and Fig. 4). Within 102 days of follow-up, 40–50% of the study population were infected, with only little difference between the intervention arms and their controls. In contrast, the cumulative incidence of substantial symptomatic disease was higher in the control groups: 20% (incidence = 0.2, 95% CI, 0.11–0.27) in the controls compared with 3% (incidence = 0.03, 95% CI, 0–0.06) in the mRNA1273 vaccine arm, and 23% (incidence = 0.23, 95% CI, 0.15–0.3) and 9% (incidence = 0.09, 95% CI, 0.03–0.13) in the controls and vaccine recipients in the BNT162b2 arm, respectively.

A multivariable model, adjusted for age and sex, estimated a VE against SARS-CoV-2 infection of 25% (IRR 0.75, 95% CI, 0.51–1.11) for mRNA1273 and 3% (IRR 0.97, 95% CI, 0.69–1.38) for BNT162b2. The VE against substantial disease was 89% (IRR 0.11, 95% CI, 0.02–0.37) in the mRNA1273 group and 71% (IRR 0.29, 95% CI, 0.13–0.57) in the BNT162b2 group (Table 2, Table S6, and Fig. 4E).

Table 2  
 COVID-19 Vaccine efficacy for infection and substantial disease

<b>A. COVID-19 VE for infection</b>					
Variable	Person-days	Positive cases	Incidence rate per 1000 person-days	Crude VE	Adjusted VE
mRNA1273 controls (N = 129)	7,577	61	8.05	-ref-	-ref-
mRNA1273 (N = 115)	8,029	45	5.6	30.4%	24.6% (-10.8-49.1%)
BNT162b2 controls (N = 297)	9,669	69	7.14	-ref-	-ref-
BNT162b2 (N = 150)	10,697	66	6.17	13.6%	2.8% (-37.7-31.5%)
<b>B. COVID-19 VE for substantial disease</b>					
mRNA1273 controls (N = 126)	7,377	20	2.71	-ref-	-ref-
mRNA1273 (N = 115)	8,029	2	0.25	90.8%	89.3% (63.2-98.3%)
BNT162b2 controls (N = 291)	9,395	35	3.73	-ref-	-ref-
BNT162b2 (N = 147)	10,541	10	0.95	74.5%	71% (42.63-86-63%)

Vaccine efficacy is calculated as 1-IRR.

## Discussion

We conducted an interventional study, comparing the immune response and the vaccine efficacy of the fourth dose of mRNA1273 and BNT162b2 vaccines with a 102-day follow-up. We report waning of the

immune response across a wide range of immunologic markers – IgG, IgA, neutralizing antibodies, and direct neutralization- similar to that described after the third vaccine dose (22). A similar change was not observed in the number of activated T cells. Furthermore, we found that the peak immune response was lower and the waning faster in the BNT162b2 group. Despite this finding, VE for preventing substantial disease from the Omicron VOC was high in both groups [89% (IRR 0.11, 95% CI, 0.02–0.37) and 71% (IRR 0.29, 95% CI, 0.13–0.57), for mRNA1273 and BNT162b2, respectively]. Finally, both vaccines showed little efficacy in preventing infection by the Omicron VOC in our study cohort [VE of 25% (IRR 0.75, 95% CI, 0.51–1.11) and 3% (IRR 0.97, 95% CI, 0.69–1.38) for mRNA1273 and BNT162b2, respectively].

This is the first study reporting waning of the immune response following a fourth vaccine dose. After three months, a significant decay of IgG, IgA, and neutralizing antibodies was evident in both vaccines, with a further decay in IgG and neutralizing antibodies in the BNT162b2 group. We were able to show that after three months, the immunological markers tested lost much of the elevation gained following receipt of the fourth dose and that some nearly returned to their baseline point as measured four months after the third vaccine dose. A decay of the immune response was previously reported after both the second and third vaccine doses (9, 21, 27–28). We previously showed similar waning of IgG and neutralizing antibodies after the third BNT162b2 dose (21). Therefore, the data presented here further support our previous results (22) that a fourth vaccine dose temporarily restores antibody levels but does not change antibody dynamics. Immunologically, this suggests that periodic booster doses will be necessary to maintain high antibody levels.

Interestingly, a larger increase in T cell activation was noted following the administration of a fourth mRNA1273 dose but not after a BNT162b2 fourth dose. This difference, however, was not maintained and T cell activity was similar between the two vaccines two and three months following the fourth dose. Future studies should directly investigate the role of cellular response by examining the correlation between activated T cells and protection from SARS-CoV-2 infection or symptomatic COVID-19.

Due to its involvement in mucosal immunity, we also investigated IgA levels. Our results point to similar kinetics for IgA, IgG, and neutralizing antibodies: a rapid induction following the fourth dose followed by a slow decline. A recent study implicated serum IgA levels with protection against SARS-CoV-2 infection (29), thus suggesting that IgA may also contribute to mRNA vaccines protective effects.

It has been suggested that a heterogeneous boost may be beneficial in inducing humoral and cellular responses compared to homogeneous boosting (30–31). Yet, higher levels of humoral immune response were previously reported for two doses of the mRNA1273 vaccine compared to the BNT162b2 vaccine (32). Recently, Kaplonek et al. reported a more detailed analysis, showing further immunological benefits of mRNA1273 compared to BNT162b2 vaccine (33). Here we demonstrate that a single mRNA1273 vaccine dose, given as a fourth dose after three BNT162b2 doses, achieves slightly higher peak levels and a lower decay rate of different immunologic markers compared with four consecutive doses of BNT162b2.

Our study did not show that the fourth vaccine dose was effective in protecting against infection. Indeed, nearly half of the study population were infected with SARS-CoV-2, despite receiving three or four vaccine doses. Yet, we show high VE against substantial disease, defined as disease leading to spending at least two days in bed feeling unwell. Significant reductions in hospitalization and death rates after receipt of a fourth BNT162b2 dose were previously reported (34). Here, we demonstrated high effectiveness of a fourth dose even in non-severe but substantially symptomatic disease over three months of follow-up. This finding is important, as severe disease is now relatively rare (35–37) in healthy vaccinated individuals, and protection against substantial symptomatic disease is important for maintaining an open economy, especially in light of the high infection rates of Omicron and its sub-lineages.

We were unable to determine SARS-CoV-2 lineage for participants who were infected, but Omicron BA.1 was the predominant strain in Israel during the follow-up period and was likely the infecting VOC in most, if not all, cases. The finding of a low VE against infection during follow-up is further supported by the low titer for Omicron VOC observed in the direct neutralization assay, compared with other variants, thus suggesting that immune evasion might have a significant role in the low VE observed. These data suggest that an Omicron-modified or a new mucosal vaccine may be needed to provide better protection from infection by future booster vaccine doses.

Our study has several limitations. First, treatment allocation was not randomized. While this should not bias immunogenicity, it could lead to potential biases in assessing vaccine efficacy. We attempted to mitigate these potential biases using regression adjustment. Second, follow-up intensity varied over the study period, with weekly COVID-19 tests performed during the first month but at larger intervals as time passed. This could result in misclassification bias. We attempted to mitigate this bias by sending weekly reminders to participants through text messages and emails encouraging them to undergo testing. Third, our study is relatively small, and the confidence intervals are correspondingly wide for the vaccine efficacy outcomes. Finally, individuals infected during the study period were eligible to receive treatment with anti-viral drugs (e.g., Paxlovid) if their risk for severe disease was high. While this could bias the VE outcomes, the relatively young and healthy profile of the HCW in our sample resulted in few patients receiving such treatment.

To conclude, our data provide evidence regarding the waning of the immune response during the three months following receipt of the fourth mRNA COVID-19 vaccine dose, with a difference favoring the mRNA1273 vaccine. Both vaccines were found to have little effect against any COVID-19 infection over the follow-up period but were found highly effective in preventing substantial disease, with possibly important implications at both personal and health policy levels.

## Methods

### Study setting and design

This open-label controlled intervention study with a 180-day follow-up was conducted at the Sheba Medical Centre (SMC), the largest tertiary hospital in Israel. Eligible participants were identified among HCW already enrolled in the Sheba HCW COVID-19 Cohort study (4, 9, 25–26), were 18 years of age or older, with no known history of COVID-19 infection, who previously responded to a vaccine dose (i.e., at least one serology assay with IgG > 100 BAU), and who received the third dose of the BNT162b2 vaccine at least four months earlier. To enroll persons at higher risk of infection, the trial population was selected among participants who had documented IgG titers below 700 BAU from the 90 days before the beginning of the study.

The study included four arms: two vaccine arms, BNT162b2 and mRNA1273, and a corresponding control arm for each vaccine arm. Enrollment to the two vaccine arms was time-dependent – those enrolled by December 28, 2021, joined the BNT162b2 arm, and those enrolled later, until January 6, 2022, joined the mRNA1273 arm. Age-matched controls (with an age difference of  $\pm 5$  years) were selected in a 2:1 ratio from the remaining eligible HCW who did not enroll in either vaccine arm. A single control was allowed to serve as a matched control of both intervention groups. In each vaccine arm and its corresponding control arm, follow-up began at receipt of the vaccine dose. This is an interim analysis of  $90 \pm 14$  days of follow-up. Participants in the vaccine arms were followed until a positive SARS-CoV-2 test or the end of follow-up on April 8, 2022, a total of 102 days of follow-up. In the control group, follow-up was additionally terminated if individuals received the fourth dose, which became available to the HCW population on January 2, 2022. The trial took place during the fifth surge of SARS-CoV-2 infections in Israel, which was predominated by the Omicron BA.1 VOC.

## Study conduct

Upon enrollment, following receipt of informed consent, medical and vaccine history, along with blood samples for immunogenicity assays, and nasopharyngeal swabs for SARS-CoV-2 quantitative real-time polymerase chain reaction (qRT-PCR), were collected from individuals in the vaccine arms. The designated vaccine dose was then administered; either 30 $\mu$ g of BNT162b2, for those enrolled on December 27–28, 2021, or 50 $\mu$ g of mRNA1273 for those enrolled on January 5–6, 2022.

Planned follow-up encounters took place on 7, 14, 21, 60, and 90 days after receipt of the vaccine dose. Each encounter included screening for symptoms, a SARS-CoV-2 qRT-PCR nasopharyngeal swab, and blood sample collection for immunogenicity assays. In addition, participants were allowed to perform additional serological tests between the planned encounters. During the study period, participants were encouraged to test by either qRT-PCR or an antigen rapid diagnostic test (Ag-RDT) in any case of exposure to an individual with SARS-CoV-2 or the development of symptoms, and at least once weekly. Participants in the control group were similarly encouraged to perform SARS-CoV-2 tests at least once weekly. To enhance compliance, personal telephone reminders were made to all participants. A final assessment of SARS-CoV-2 infection status (either qRT-PCR, Ag-RDT tests, or seroconversion) and symptoms was performed after 102 days by telephone, through electronic questionnaires, and by linkage to the national COVID-19 testing database.

# Variables

The exposure of interest was the type of mRNA SARS-CoV-2 vaccine received as a fourth vaccine dose following a three-dose BNT162b2 regimen: BNT162b2, mRNA1273 or no fourth vaccine dose. The primary outcomes were IgG, neutralizing antibodies, and microneutralization and the secondary outcomes were IgA, T cell activation, and clinical outcomes of SARS-CoV-2 infection and substantial disease. Substantial disease was defined as two or more days in which the participant was mostly in bed due to feeling unwell.

A complete description of the laboratory methods used for each outcome, including the intervals in which it was measured, is included in the Supplementary Methods. Direct neutralization assays were performed on a randomly selected sample of 25 individuals. SARS-CoV-2 infection was defined as either a positive SARS-CoV-2 qRT-PCR test, a positive Ag-RDT test, or seroconversion after a decline from peak vaccination levels was already observed. All SARS-CoV-2 tests conducted in SMC or in other medical institutions (including community settings) are reported to a central country-wide reporting system, and participants were actively inquired about the results of home rapid antigen tests (via electronic questionnaires or telephone calls). Seroconversion was defined as a positive case when an IgG increase of > 500 BAU or > 1000 BAU was observed from previous IgG titers of < 700 or > 700, respectively. Similarly, for controls, a seroconversion was defined as a positive case when IgG increased > 200 BAU, due to the initially lower titers. All cases were assessed by electronic questionnaires or telephone calls to ascertain disease severity. A detailed definition of the variables is included in Table S7.

## Statistical analysis

The sample size was chosen to allow identification of a 2-fold difference in the GMT of IgG between the two intervention groups, with an alpha of 0.05 and power of 0.8. Under these conditions, the required sample size for the study was deemed to be 65 participants in each intervention group.

The study population was described using the appropriate summary statistics used for different variables.

### Immunogenicity

For each outcome, levels were plotted as a function of vaccine type (BNT162b2 or mRNA1273) and time following vaccination. An estimate of the geometric mean with 95% confidence intervals at each encounter was overlaid on the plot.

The association between the logarithm of the different outcomes (base 10 logarithm for SARS-CoV-2 IgG and IgA and base 2 logarithm for neutralizing antibodies, and T cell activation), vaccine type, and time, was modeled using a multivariable linear regression adjusted for age, sex, BMI, immunosuppression, and the number of comorbidities. A random intercept was included for each participant. For the purpose of the models, outcome levels were considered constant for the first 30 days following vaccination (peak level) and linearly changing (as a function of time) thereafter. We first modeled each vaccine separately

to estimate peak levels (the intercept) and the decay (the coefficient of time elapsed). We then modeled both vaccines together to contrast the decay rates between them (the coefficient of the interaction between vaccine type and time elapsed). HCW with missing covariates data were rare and were thus dropped from the analysis. Outcome missing data were handled by the inclusion of the random effect, under a missing at random assumption.

## **Vaccine efficacy**

Individuals who were diagnosed with SARS-CoV-2 during the first 7 days of follow-up were excluded from the vaccine efficacy analysis, as the fourth dose was assumed to not yet have an effect at that point. The Kaplan-Meier estimator was used to plot cumulative incidence curves and to estimate the crude risk for infection and substantial disease at 102 days. Multivariable Poisson regression, adjusted for age and sex, was used to estimate the incidence rate ratio (IRR) for infection and substantial disease between vaccinated and unvaccinated individuals. VE was defined as  $1 - \text{IRR}$ . This analysis was performed separately for the BNT162b2 and mRNA1273 vaccines. Observations with missing outcome data for substantial disease, which were rare, were dropped.

Analyses were performed with the use of R software, version 4.0.4. Some figures were drawn using Graphpad Prism Software version 9.0.

## **Ethics**

The ongoing trial is being conducted in accordance with the International Council for Harmonization of Technical Requirements for Pharmaceuticals for Human Use, Good Clinical Practice guidelines, and applicable government regulations. The national and the institutional review board approved the protocol and the consent forms (IRB-8980-21 and IRB-9035-21). All participants provided written informed consent before enrollment. This is an independent study, not sponsored or funded by any commercial company. All trial vaccines were acquired through the government procurement process.

Trial registration numbers (clinicaltrials.gov): NCT05231005 and NCT05230953.

## **Declarations**

### **Conflict of interest**

GRY reports institutional grant funding from Pfizer, consulting/honoraria from Teva, MSD, AstraZeneca, Pfizer and Moderna. YL reports an institutional grant from Pfizer. All other authors report no conflicts of interest.

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## Figures

### Figure 1

*Study population flow chart. A chart detailing the number of individuals included and excluded according to each eligibility criteria in the study. Participants who experienced early infection (positive SARS-CoV-2 test before day 8) were excluded from the vaccine efficacy analysis. HCW, Health care workers, VE, Vaccine efficacy. \*Participants in the control group were allowed to serve as controls of both intervention arms.*

### Figure 2

*Immunogenicity after the fourth dose messenger RNA (mRNA) vaccines: A three-month follow-up following mRNA1273 or BNT162b2 fourth dose vaccines. (a) IgG antibodies, (b) neutralizing antibodies, (c) IgA antibodies, and (d) number of activated T cells. In each figure, the raw values are presented on a log Y scale. Geometric mean titers and their 95% confidence intervals at each planned encounter are overlaid on the plot.*

### Figure 3

*Direct neutralization titers against different strains: Live virus neutralization efficiency follow-up at 14- and 90-days post-vaccination with mRNA1273 or BNT162b2 fourth dose vaccines against different strains [Wild type (Wu-1), Delta VOC, Omicron BA.1 VOC, and Omicron BA.2 VOC]. raw values are presented on a log Y scale, with geometric mean titers and their 95% confidence intervals overlaid.*

### Figure 4

*Cumulative incidence and vaccine efficacy against infection and substantial disease: Cumulative incidence of (a) all SARS-CoV-2 infections among the mRNA1273 fourth dose arm and their matched controls, (b) all SARS-CoV-2 infections among the BNT162b2 fourth dose arm and their matched controls, (c) substantial disease among the mRNA1273 fourth dose arm and their matched controls, and (d) substantial disease among the BNT162b2 fourth dose arm and their matched controls. Vaccine efficacy against infection and substantial disease for both vaccines, estimated using an adjusted Poisson regression, is demonstrated in plot (e).*

## Supplementary Files

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