

# A novel culture method that sustains ER $\alpha$ signaling in human breast cancer tissue microstructures

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## Research

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# Abstract

## Background

Estrogen receptor  $\alpha$  (ER $\alpha$ ) signaling is a defining and driving event in most breast cancers; ER $\alpha$  is detected in malignant epithelial cells of 75% of all breast cancers (classified as ER-positive breast cancer) and, in these cases, ER $\alpha$  targeting is the main therapeutic strategy. However, the biological determinants of ER $\alpha$  heterogeneity and the mechanisms underlying therapeutic resistance are still elusive, hampered by the challenges in developing experimental models recapitulative of intra-tumoral heterogeneity and in which ER $\alpha$  signaling is sustained. Ex vivo cultures of human breast cancer tissue have been proposed to retain the original tissue architecture, epithelial and stromal cell components and ER $\alpha$ . However, loss of cellularity, viability and ER $\alpha$  expression are well-known culture-related phenomena.

## Methods

BC samples were collected and brought to the laboratory. Then they were minced, enzymatically digested, entrapped in alginate and cultured for one month. The histological architecture, cellular composition and cell proliferation of tissue microstructures were assessed by immunohistochemistry. Cell viability was assessed by measurement of cell metabolic activity. The presence of ER $\alpha$  was accessed by immunohistochemistry and RT-qPCR and its functionality evaluated by challenge with 17- $\beta$ -estradiol and fulvestrant, respectively.

## Results

We describe a strategy based on entrapment of breast cancer tissue microstructures in alginate capsules and their long-term culture under agitation, successfully applied to tissue obtained from 63 breast cancer patients. After one month in culture, the architectural features of the encapsulated tissue microstructures were similar to the original patient tumors: epithelial, stromal and endothelial compartments were maintained with an average of 97 of cell viability compared to day 0. In ER $\alpha$ -positive cases, fibers of collagen, the main extracellular matrix component in vivo, were preserved. ER $\alpha$  expression was retained at gene and protein levels and response to ER $\alpha$  stimulation and inhibition was observed at the level of downstream targets, demonstrating active ER signaling.

## Conclusions

The proposed model system is a new methodology to study ex vivo breast cancer biology, in particular ER $\alpha$  signaling. It is suitable for interrogating the long-term effects of anti-endocrine drugs in a set-up that closely resembles the original tumor microenvironment, with potential application in pre- and co-clinical assays of ER $\alpha$ -positive breast cancer.

# Background

Breast cancer (BC) is the most commonly diagnosed cancer among women worldwide (1). It is a heterogeneous disease with distinct biological features and clinical outcomes. Almost 75% of diagnosed BC express estrogen receptor-alpha (ER $\alpha$ ), being classified as ER $\alpha$ -positive (ER+) BC (2). ER $\alpha$  acts as a ligand-dependent transcription factor for genes associated with cell survival, proliferation, and tumor growth (3). Therefore, targeting the ER $\alpha$ -signaling pathway is the main therapeutic strategy for the treatment of ER + BCs. Nonetheless, the disease often progresses in 20% of the patients undergoing hormonal therapy due to intrinsic drug resistance (4). Thus, there is a need to select patients that would respond to endocrine therapy and to elucidate the molecular mechanisms behind endocrine resistance, as well as to identify biomarkers that predict drug response and resistance and novel therapeutic targets in resistant tumors.

When cultured in classical 2D monolayers, ER + BC cell lines fail in recapitulating the typical intratumoral ER $\alpha$  heterogeneity (5) and, due to cell confluency, cannot be kept continuously for more than one week (6), hampering the possibility to perform cycles of drug treatment for more than one week. Only a few ER $\alpha$  + cell lines can generate xenografts in mice, requiring supplementation with estrogen (7). Recently, an estrogen supplementation-independent in vivo model was reported, based on intraductal implantation of ER $\alpha$  + tumor cells. The demonstration that the intraductal but not the mammary fat pad microenvironment favors epithelial malignant cells of the luminal subtype, consolidated the role of the tumor microenvironment (TME) in sustaining ER $\alpha$  + tumor cells (7). Although there is a report showing that it is possible to propagate normal primary breast ER $\alpha$  + cells in 2D (8), there are no reports for ER + BC cell propagation. In fact, ER + BC cells cultured in 2D loose cellularity and ER $\alpha$  expression after a short culture period.

Ex vivo cultures have been explored to sustain ER $\alpha$  + malignant epithelial cells within the original BC microenvironment (9). Typically, these models retain tissue architecture and heterogeneity for short periods of time, around 3 to 4 days of culture (10,11). Naipal et al. reported extension of culture time up to 7 days by exploring dynamic culture conditions (12). Recently, Muraro et al. reported high cell viability and maintenance of ER expression up to 14 days in culture, when combining a collagen scaffold and a medium perfusion system (9). Nonetheless, this methodology supports BC tissue maintenance by taking advantage of collagen scaffolds, biologically active animal-derived biomaterials which bring variability, as well as environmental and ethical concerns (13).

Here, we hypothesized that retention of the original microenvironment would favor the maintenance of ER + BC phenotype and ER $\alpha$  signaling. We implemented an ex vivo strategy based on the encapsulation of tissue microstructures in alginate, an inert biomaterial, combined with dynamic culture, aiming to maintain the original tissue structure, cell populations and extracellular matrix (ECM). We have recently shown that alginate microencapsulation of cancer cell spheroids and TME cellular components promotes tumor-stromal crosstalk and retention of secreted ECM components towards reconstruction of TME features (14,15). Therefore, we reasoned that by using alginate encapsulation to promote the original TME retention, while resorting to dynamic culture to guarantee efficient diffusion of nutrients and oxygen, tissue microstructures would retain architectural integrity and potentially ER signaling.

## Methods

### Ethics statement

BC samples were collected at the Lisbon Oncology Hospital (Instituto Português de Oncologia de Lisboa Francisco Gentil – IPOLFG). The use of patient material was approved by the IPOFLG ethics committee and all patients have signed an informed consent form to agree to donate the material for research purposes. All tissues were anonymized before transfer to the laboratory for further processing.

### Cell culture

MDA-MB-231 cell line was obtained from the American Type Culture Collection (ATCC). MDA-MB-231 cells were cultured in Dulbecco's Modified Eagle Medium (DMEM) high glucose and pyruvate medium (Gibco), supplemented with 10% (v/v) fetal bovine serum (FBS, Gibco) and 1% (v/v) Penicillin/Streptomycin (P/S, Gibco) at 37 °C in 5% CO<sub>2</sub>. Mycoplasma contamination was routinely checked.

### Collection and processing of patient material

This study was elaborated on treatment-naïve patient-derived BC tissue (Table 1). Tumor samples were collected during surgery and immediately submerged in phenol red-free DMEM/F-12 (Gibco), supplemented with 1% (v/v) P/S (Gibco) and 10% (v/v) FBS (Gibco). Samples were kept at 4°C and transported to the laboratory within 1 to 3 hours of surgery (Fig. 1A). Sixty-three BC samples were collected, with an average weight of 315 ± 225 mg (Figure S1).

The first 20 BC samples were used for optimization of the processing methodology. Tissue samples were mechanically dissociated with two surgical scalpels to obtain pieces of 1 to 2 mm of diameter. Subsequently, the minced tissue was resuspended in phenol red-free DMEM/F-12, HEPES medium (Gibco) containing 0.09 U/mL of Collagenase A (Roche), 30 U/mL of Benzonase (Merck Millipore), 10% (v/v) FBS (Gibco) and 1% (v/v) P/S (Gibco). Digestion was performed in an incubator at 37°C, in a humidified atmosphere containing 5% CO<sub>2</sub>. After 12–15 hours of enzymatic digestion, tumor fragments (tissue microstructures, average of 1 mm<sup>3</sup>) were sedimented by centrifugation at 100x g for 5 min at 4°C and washed with Phosphate-Buffered Saline (PBS; Life Technologies) (Fig. 1A).

Table 1  
Clinico-pathological parameters of the breast cancer patients. pT: primary tumor; pN: primary node.

Clinico-pathological parameters	(n)	
Tumor female samples	63	
Mean age at diagnosis	62 (42–87)	
	(n)	Percentage (%)
Histological subtype		
Invasive ductal	33	54
Invasive lobular	9	15
Mix type	19	31
Tumor grade		
1	5	9
2	46	79
3	6	11
Tumor size		
pT1	36	60
pT2	20	33
pT3	5	7
Lymph node involvement status		
pN0	39	65
pN1	19	32
pN2	3	3

## Tissue microstructure encapsulation and culture

Tissue microstructures were entrapped in alginate employing protocols previously developed by our team (15). Briefly, tissue microstructures were dispersed in 1 mL of 2% (w/v) of Ultrapure Ca<sup>2+</sup> MVG alginate (UP MVG NovaMatrix, Pronova Biomedical, Oslo, Norway) dissolved in NaCl 0.9% (w/v). Encapsulation was performed using an electrostatic bead generator (Nisco VarV1, Zurich, Switzerland), with an air flow rate of 10 mL/h, at 5.3 V under air pressure of 1 bar, using a 1.1 mm nozzle. The resulting alginate droplets containing tissue micro fragments (1–2 fragments/droplet) were cross-linked in a 100 mM CaCl<sub>2</sub>/10 mM HEPES (pH 7.4) solution for 10 min, washed three times in a 0.9% (w/v) NaCl solution and

finally equilibrated in culture medium. Encapsulated tissue microstructures were then transferred into 6-well plates and placed under orbital shaking (100 rpm), in a humidified incubator, with 5% CO<sub>2</sub>.

Encapsulated tissue microstructures cultures were maintained up to 30 days, with 50% medium exchange every 3–4 days (Fig. 1A). Cultures were maintained in human mammary epithelial cell (HMEC) culture medium: DMEM/F12 phenol red free with 1% P/S (v/v) solution (both from Life Technologies), 5 ng/mL Epidermal Growth Factor (EGF), 10 µg/mL Insulin, 0.5 µg/mL Hydrocortisone, 0.5 µg/mL Transferrin, 0.1 mM Isoproterenol, 0.1 mM Ethanolamine, 0.1 mM O-Phosphoethanolamine, 70 µg/mL Bovine Pituitary Extract (all reagents are from Sigma-Aldrich) and 100 µg/mL Primocin (InvivoGen Europe). Non-encapsulated tissue microstructures were maintained under the same culture conditions. Encapsulated tissue microstructures were assessed for cell viability, architecture, cell populations, ECM deposition, ERα presence and signaling, as described below; the extent of assessment performed for each sample was determined by the initial sample size.

## Cell viability assessment

Cell viability was correlated with resazurin reduction capacity (PrestoBlue™ Cell Viability Reagent, ThermoFischer Scientific), according to manufacturer's instructions. Encapsulated and non-encapsulated samples were incubated for 1 hour with PrestoBlue reagent in culture medium, at 37°C, in a humidified atmosphere incubator, containing 5% CO<sub>2</sub>. Medium was sampled in quadruplicate and resazurin reduction evaluated by fluorescence detection (ext/em 560/590 nm) in a fluorimeter (Infinite®200 PRO NanoQuant, Tecan Trading AG). Resazurin reduction was evaluated for 1 month, once a week. Data is represented as fold-change in resazurin reduction relative to the first week of the assay.

## Histological and immunohistochemistry analysis

Samples were collected after 1 month of culture and alginate capsules were de-polymerized with 50 mM EDTA for 5 min at RT. De-encapsulated tissue microstructures were centrifuged at 300x g, 5 min at 4°C, washed with PBS, fixed with formol overnight at RT. For paraffin cell-block preparation, the cellular suspension was centrifuged for 5 min, at 1270x g, resuspended in 10% (v/v) buffered formalin (VWR BDH Chemicals, ref. 9713.9010) to which a drop of haematoxylin was added for specimen counterstain, and stored in a 1.5 mL microtube. The remaining supernatants were subjected to a second centrifugation, for 5 min, at 1990x g. The supernatant was discarded and four drops of liquefied HistoGel (Thermo Scientific, ref. HG-4000-012) were added to the pellet. After gentle homogenization with a Pasteur pipette and centrifugation for 2 min, at 1990x g, the sample was placed at -20°C for 5 min to solidify. The cone shape solidified sample was removed from the microtube, cut along the meridional section and placed in a biopsy cassette, which was then immersed in a container with buffered formalin to be included in paraffin. After processing, the samples were sectioned and stained with hematoxylin and eosin (H&E) (Dako CoverStainer for H&E equipment, Agilent, Santa Clara, CA, USA). Paraffin blocks were sectioned (3 µm) for H&E and immunohistochemical staining. Immunohistochemistry (IHC) was carried out using standard procedures implemented at IPOLFG; antigen retrieval was done using Cell Conditioning 1 (CC1, Ventana) and tissue staining was performed using an automated IHC/ISH slide staining Ventana

BenchMark Ultra (all from Ventana Medical Systems, Inc). Antibodies and details on the protocol used are indicated in Table S1. Histologic analysis was performed by an expert breast pathologist.

## Multi-photon microscopy

Fibrillar Collagen was assessed by multi-photon microscopy. After 1 month in culture, encapsulated tissue microstructures were collected, fixed in PFA 4% (w/v) in PBS for 30 min, washed thrice with PBS and kept at 4°C until further analysis. Samples were imaged with two-photon-excited fluorescence (TPEF), second harmonic generation (SHG) and infrared (IR) absorption in a home-made multiphoton microscope (16). The excitation laser was a Ti:Sapphire at 810 nm and the laser power, at entrance of the microscope, was of 40 mW. Initial tests performed with 100 mW resulted in no observable sample damage. The illumination objective was an Olympus 25 × 1.05 W. The TPEF signal was collected through a photomultiplier tube (PMT) in backward direction (using a LP410 filter) while IR absorption and SHG (405/25 filter) were collected in forward direction through a Nikon 25 × 1.10 W objective, using a photodetector and a PMT respectively. During acquisition, 3–4 images were averaged to reduce noise.

## Challenge with ER $\alpha$ agonist and antagonist

At day 28–30 of culture, encapsulated BC tissue microstructures were stimulated with 10 nM 17- $\beta$ -estradiol (Sigma-Aldrich). Three days before 17- $\beta$ -estradiol challenge, encapsulated tissue microstructures were washed thrice with PBS and were then kept in phenol red-free HMEC medium without insulin, hydrocortisone and EGF, which may trigger activation or phosphorylation of ER (17–22). Alternatively, a 50% culture medium exchange was performed by the time of 17- $\beta$ -estradiol challenge. Control wells were also included, in which only ethanol (17- $\beta$ -estradiol vehicle) was added to a final concentration of 0.001% (v/v). After 24 h of exposure, encapsulated tissue microstructures were collected and alginate dissolved (as described in Sect. 6 of Materials and Methods). Encapsulated tissue microstructures were also challenged with an ER antagonist and degrader, fulvestrant (ICI182,720). For these experiments, 3–5 days after encapsulation, HMEC medium was supplemented with 1  $\mu$ M fulvestrant (23,24) (Tocris Bioscience). Twice a week, half volume of culture medium was changed and fulvestrant was replenished to keep a constant concentration. After 2 weeks, samples were centrifuged at 300x g, 5 min at 4°C, washed with PBS and processed for IHC (as detailed above) or RT-qPCR analysis. Samples for RT-qPCR were stored in RNAlater Stabilization Solution (Roche), according with the manufacturer's instructions, until further analysis.

## Gene expression analysis

Tissue microstructures were thawed, total RNA was extracted in a tissue lyser (Precellys Evolution Homogenizer, Bertin Instruments) and purified using the RNeasy Kit (Qiagen), according to the manufacturer's instructions. Reverse transcription was performed using Sensiscript RT kit (Qiagen), also according with the manufacturer's instructions. qPCR was performed in triplicates, using the SYBR green I Master kit (Roche), in a LightCycler 480 II (Roche). Gene expression of the ER downstream target genes - ER $\alpha$ , pS2, AREG and PGR (25), and of two housekeeping genes, RPL22 (14) and 36B4 (25). Primer sequences are provided in Table S2. The MDA-MB-231 cell line was used as negative control because it is

ER $\alpha$  and PR negative (26). Results are shown as fold change in mRNA amount compared to the vehicle control (CTRL), calculated according to the  $2^{-\Delta\Delta C_t}$  method (27), considering a geometric mean between the 2 housekeeping genes used.

## Statistical analysis

Statistical analysis was performed using GraphPad Prism version 6.0 (GraphPad Software). Data were analyzed as indicated in the figure legends. The Mann-Whitney test was performed to evaluate statistical difference between conditions. Data are presented as mean  $\pm$  SD, unless otherwise specified.

## Results

# Alginate encapsulated tissue microstructures maintain parental tumor tissue characteristics for at least one month of culture

To establish an ER + BC ex vivo model, we investigated the possibility of retaining the TME and consequently ER $\alpha$  signaling of patient-derived tissue microstructures immobilized within alginate capsules and cultured under agitation (Fig. 1A). Encapsulated tissue microstructures were cultured for up to 30 days, showing high cell viability, as indicated by maintenance of resazurin reduction capacity along culture time ( $97 \pm 28\%$  by the end of week 4, relatively to the beginning of the culture, Figure S2A). Moreover, detection of extracellular lactate in culture medium (data not shown), as an indicator of high metabolic activity (28) corroborated the high cell viability within the encapsulated tissue microstructures.

The original tumors were very heterogeneous, not only between but also within patients (Fig. 1B): tissue architecture varied in epithelial versus stromal content, cell organization and on the presence/absence of immune cells (CD45 $^+$  cells). A complete mixture of malignant epithelial cells and stromal cells was rarely observed. Instead, there were islets of tumor cells surrounded by multiple stromal cells (Fig. 1B, upper panels). These histopathological characteristics were maintained in encapsulated tissue microstructures cultured for a month (Fig. 1B, lower panels). By day 30 of culture, E-cadherin, vimentin, CD31 and CD45 were immunohistochemically-detected (Fig. 2A). The detection of membranous E-cadherin indicated that carcinoma cells maintained the typical cell-cell adhesions and differentiated phenotype (29). On the other hand, vimentin detection confirmed the presence of stromal cells. CD45 $^+$  cells were also detected in 5 out of 8 cases evaluated (Fig. 2A). In two analyzed tissue microstructures, CD31 positivity confirmed the presence of endothelial cells (Fig. 2A). Absence of cells positive for the basal/myoepithelial marker p63 was observed similarly to the original tumors (Figure S2B). Ki67-positive cells were also detected at different levels, indicating the presence of proliferating cells even after one month of culture (Fig. 2B). Although at low levels, this is consistent with the parental tissues, where the median of proliferating cells was 20% (Q1 = 15; Q3 = 30). Second harmonic generation analysis (SHG) of encapsulated BC tissue microstructures revealed dense and organized/fibrillar collagen fibers in peripheral regions of the samples analyzed, surrounding areas of cellularity (Fig. 3). As a culture control, non-encapsulated tissue

microstructures were cultured in parallel. A significant decrease in resazurin reduction ability after 3–4 weeks of culture was observed, suggesting a reduced cell viability of these cultures. Remarkably, cell viability was increased in encapsulated versus non-encapsulated tissue microstructures (Figure S2C).

Altogether, we were able to extend the lifespan of BC explant cultures for up to one month whilst maintaining tissue architecture, the different cell types of the BC microenvironment, and cell viability.

## **ER expression and functionality are maintained over 1 month of culture**

After one month in culture, ER $\alpha$ + carcinoma cells were detected in the encapsulated tissue microstructures by IHC analysis (Fig. 4A). When sample material was not sufficient for IHC evaluation, mRNA was quantified, relatively to MDA-MB-231, a human cancer cell line which does not express ER $\alpha$  nor PR (30) (Figure S3A). All samples presented higher mRNA level than MDA-MB-231 cells, indicating ER $\alpha$  gene expression after one month of culture (Figure S3B).

To assess ER $\alpha$  function, encapsulated ER + BC tissue microstructures from 9 distinct patients were stimulated with 10 nM 17- $\beta$ -estradiol for 24 hours and the mRNA levels of the ER target genes evaluated: protein PS2 (also known as Trefoil Factor 1 -TFF1-, pS2), progesterone receptor (PGR) and amphiregulin (AREG) (25). AREG and PGR were upregulated upon challenging with 17- $\beta$ -estradiol compared to vehicle-controls (mean fold increase in AREG and PGR expression of  $3.4 \pm 5.6$  and  $6.3 \pm 11$  respectively, Fig. 4B and Figure S3C). Strikingly, we detected a generalized upregulation of pS2 (in 7 out of 9 tissue microstructures), with a mean fold increase in gene expression of  $45 \pm 45$ , compared to the vehicle-treated control (Fig. 4B and Figure S3C). Two ER-negative BC tissue microstructures were also treated with 17- $\beta$ -estradiol and no upregulation of ER $\alpha$  downstream genes was observed (Figure S3D). This data also indicates that the original phenotype is maintained in culture, and that absence of ER $\alpha$  expression is maintained during culture. A different set of 7 encapsulated ER + BC tissue microstructures, that were maintained in HMEC medium until 17- $\beta$ -estradiol challenge, showed a mild stimulation of ER target genes (on average, 2.3-, 1.8- and 1.2-fold increase relatively to vehicle control for pS2, PGR and AREG, respectively, Figure S3E).

To further confirm intact ER $\alpha$  signaling in encapsulated tissue microstructures, cultures were exposed to fulvestrant (or ICI182,720), a ER full antagonist (31) widely used in endocrine therapy (32), for 2 weeks. A generalized down regulation of AREG compared to vehicle controls was observed (Fig. 4C). In addition, for two samples, we also evaluated PGR and pS2 response and observed a strong reduction of mRNA levels compared to vehicle controls (Fig. 4D). Collectively, these results indicate that ER $\alpha$  is expressed in encapsulated tissue microstructures and can respond to stimulation and inhibition.

## **Discussion**

ER $\alpha$  signaling is considered a defining and driving event contributing to ER + BC carcinogenesis; ER $\alpha$  overexpression in primary tumors has been linked to disease progression, influencing patient survival (33–35). Nonetheless, approximately 50% of patients with ER + BC fail to respond to endocrine therapy (36). Several reports have shown the intricate relation between response to therapy and TME components, such as fibroblasts (37–39) and ECM components (40,41). Therefore, it is paramount to define the biological determinants of ER $\alpha$  intra-tumoral heterogeneity and the mechanisms underlying therapeutic resistance. However, this knowledge has been hampered by the challenges in developing experimental models recapitulative of intra-tumoral ER $\alpha$  heterogeneity and in which ER $\alpha$  signaling is sustained, essential to address long-term effects of tumor-stromal interactions in ER $\alpha$  signaling and drug response mechanisms against ER.

Here, we propose a culture strategy in which patient-derived tissue microstructures retain ER $\alpha$  + carcinoma cells for at least one month of culture; of note, these cells still respond to ER stimulation and inhibition, therefore constituting a functional ex vivo model of ER-positive BC. Tissue microstructures that were entrapped in alginate capsules and cultured under dynamic conditions maintained high cellularity, low levels of tumor cell proliferation, as reported for human ER + BC (42) and parental tissue architecture (including epithelial, stromal and endothelial cell compartments and deposited fibrillar collagen). Although all interrogation was limited to one month of culture, as we have not detected signs of explant decline in cell viability up to that timepoint, we conjecture that the lifespan of encapsulated tissue microstructures could be extended for even longer periods.

We hypothesized that using tissue microstructures within the millimeter size range would be more favorable to attain an accurate representation of intra-tumoral heterogeneity and TME, than more miniaturized ex vivo models. To overcome the major limitations of ex vivo cultures – the reduced lifespan and zonation due to diffusional gradients (43), we resorted to dynamic culture conditions. Agitation improves mass transfer, promoting nutrient and oxygen diffusion, reducing the formation of gradients typically observed for tissue microstructures within the above mentioned size range (44,45). Moreover, we encapsulated in alginate, a biocompatible, inert hydrogel (46) since it has defined composition and confers support and protection from agitation-induced shear stress (15,47,48). This contributes to the preservation of tissue architecture and cell viability, but also promotes the built-up of relevant cell microenvironment factors. In fact, we have previously shown that cells entrapped in alginate capsules, and cultured under agitation, accumulate secreted soluble factors (e.g., cytokines) and ECM components, promoting homotypic and heterotypic cellular crosstalk, cell migration and reconstruction of cancer-related microenvironments (14,15), such as an immunosuppressive microenvironment in a non-small cell lung cancer model (14). In terms of ECM components, we not only observed the maintenance of TME cellular components in the encapsulated tissue microstructures, such as the stromal cells, which are involved in the secretion of collagen (49), but also ECM components as collagen fibers. These were detected by SHG microscopy, a technique broadly applied to BC tissue (50). In all the encapsulated tissue microstructures analyzed fibrillar collagen presence was observed. Increased collagen density has been shown to directly promote BC tumorigenesis (51). Moreover, collagen is strongly associated with

mammographic density used as a measurement of risk of BC (52) and is responsible for drug resistance since it prevents the penetration of therapeutic agents, such as antibodies (53).

The preservation of tumor heterogeneity and TME are critical to closely mimic the in vivo situation (5,54). We observed a high degree of heterogeneity between distinct parental tissues - not only the levels of ER-positivity were different, but also the percentages and physical distribution of carcinoma and stromal cells - that were recapitulated in the derived tissue microstructures. In 5 out of 8 tissue microstructures, infiltrating immune cells were also detected, even after one month of culture, although in very low amounts. This is in accordance with the typically low frequency of immune cell infiltrates in ER $\alpha$  + tumors (55).

After 1 month in culture, p63 was not detected in tissue microstructures, in accordance with what is reported for luminal BC. In fact, the myoepithelial marker p63 is present in basal cells of a variety of healthy epithelial tissues (56), such as in normal breast tissue. However, its expression in BC is rare (57,58). On the other hand, tissue microstructures presented low levels of Ki-67; in fact, ER $\alpha$ -positive subtypes have lower proliferative indexes than other BC subtypes (59). The intrinsic low levels of proliferation and the reduced amount of patient tissue available to set-up tumor microtissue cultures, limit their application in high throughput assays.

The maintenance of ER $\alpha$  + cells in culture is a major accomplishment, as ER $\alpha$  ablation ex vivo has been a major issue in ER + BC research (60). The maintenance of ER $\alpha$  is pivotal for the study of the luminal A BC subtype, as cell proliferation is ER-dependent and targeted therapies typically rely on prolonged treatment with ER $\alpha$  antagonists (61). After one month in culture, we detected ER $\alpha$  + cells in the encapsulated tissue microstructures. ER $\alpha$  functionality was evaluated by challenging encapsulated tissue microstructures, with either activator (17- $\beta$ -estradiol) or inhibitor (fulvestrant) molecules. Our results show differential expression of PGR, AREG and pS2 in tissue microstructures originated from different ER + BC patients, suggesting that the model reflects inter-patient heterogeneity and differential reactivity and signaling activation in response to 17- $\beta$ -estradiol challenge (5,62). pS2 is a well-known direct downstream ER $\alpha$  target, which is under the positive control of an ERE consensus sequence located 400 bp before transcription starting site (63). Our results show a higher upregulation of pS2 when comparing with AREG and PGR. In fact, it has been reported for the ER $\alpha$  + MCF-7 BC cell line that, upon estrogen exposure, pS2 expression strongly increases compared to PR, not only at mRNA but also at protein levels (64,65).

Aiming to retain ER $\alpha$  + cells, we employed a culture medium enriched in molecules with reported ER stimulatory effects, such as insulin, hydrocortisone and EGF (17–22). 17- $\beta$ -estradiol and EGF may also be produced by the breast fibroblasts present in culture (66–68). Our observation of reduced effects upon 17- $\beta$ -estradiol stimulation in tissue microstructures cultured in complete medium compared with tissue microstructures cultured in depleted medium in the 3 days preceding stimulation, corroborates the presence of soluble ER activators in culture. Further studies are required to understand the signaling events that contribute to the maintenance of ER $\alpha$  signaling under the culture conditions here presented, which will potentially also contribute to further disclose its role in ER + BC.

# Conclusions

Overall, we advocate a new methodology for ER $\alpha$  + BC TME modelling, in which the original cell populations, the native ECM and tissue architecture are maintained, and ER function sustained. This ex vivo culture system can contribute to the study of breast cancer biology, in particular ER $\alpha$  signaling and microenvironmental-driven molecular mechanisms. Moreover, due to the extended culture time, the system can be a useful tool to study novel anti-endocrine therapies and other therapeutic modalities.

# Abbreviations

AREG: amphiregulin; BC: breast cancer; CTRL: control; ER $\alpha$ : estrogen receptor  $\alpha$ ; ER+ BC: estrogen receptor  $\alpha$ -positive breast cancer; H&E: hematoxylin and eosin; IHC: immunohistochemistry; PRG: progesterone; SHG: second harmonic generation; TME: tumor microenvironment; TPEF: two-photon-excited fluorescence,

# Declarations

## Ethics approval and consent to participate

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee. Informed consent was obtained from all individual participants included in the study. Anonymized patient tumor samples were obtained from the IPOLFG after institutional review board approval (UIC/1088).

## Consent for publication

All authors have given consent for publication.

## Availability of data and materials

All data generated or analyzed during this study are included in this published article (and its supplementary information files).

## Competing interests

The authors declare that they have no competing interests.

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### Authors' contributions

ME, CBriskin, PMA, CBrito: study conceptualization. ALC, ME, GD, GS, CBrito: experimental design. ALC, ME, GD, RR, FS, EG: data acquisition. ALC, ME, GD, EG, SA and CBrito: Data analysis. ALC, ME, GD, SA and CBrito: data interpretation. PLA, SA, PMA, CBrito: resources. ALC, ME and CBrito: Manuscript preparation. ALC, ME, GD, RR, FS, EG, PLA, GS, CBriskin, PMA, SA and CBrito: manuscript revision and editing. All authors read and approved the final manuscript.

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## Supplementary Figure Captions

**Figure S1:** Sample weight.

**Figure S2:** Encapsulated BC tissue microstructures do not present myoepithelial markers and maintain high metabolic viability. **a** Metabolic activity was assessed along culture. **b** Immunohistochemistry analysis of p63 (myoepithelial cells) at one month of culture; (scale bar: 60  $\mu\text{m}$ ). **c** Metabolic activity was assessed in encapsulated and non-encapsulated tissue microstructures derived from the same patients.

**Figure S3:** ER-negative breast cancer encapsulated tissue microstructures do not respond to 17-b-estradiol challenging. **a** Hematoxylin and eosin staining and immunohistochemistry for ER of MDA-MB-231 (ER- cell line) cells cultured in 2D (scale: 200  $\mu\text{m}$ ). **b** *ER $\alpha$*  gene expression in encapsulated microstructures cultured for one month relatively to MDA-MB-231 cells. **c** Encapsulated tissue microstructures were cultured for three days in depleted medium before stimulation with 17-b-estradiol; expression of ER downstream target genes was assessed by RT-qPCR (amphiregulin - *AREG*, progesterone receptor - *PGR* and protein PS2 - *pS2*, N=9) - quantitative evaluation of data shown in Figure 3b). **d** ER-negative BC encapsulated tissue microstructures cultured in complete medium were challenged with 17-b-estradiol and expression of ER downstream target genes were assessed by RT-qPCR (*AREG*, *PGR* *pS2*, N=2). **e** Encapsulated tissue microstructures cultured in complete medium were challenged with 17-b-estradiol and ER downstream targets were assessed by RT-qPCR (*AREG*, *PGR* and *pS2*, N=7). Data are shown as fold-change in gene expression upon 17- $\beta$ -estradiol challenge relatively to vehicle-exposed control. Data are shown as fold change in gene expression upon 17-b-estradiol challenge relatively to vehicle-exposed control (CTRL). Statistical analysis was performed by the Mann-Whitney test (\**p*-value<0.05).

## Figures

Figure 1

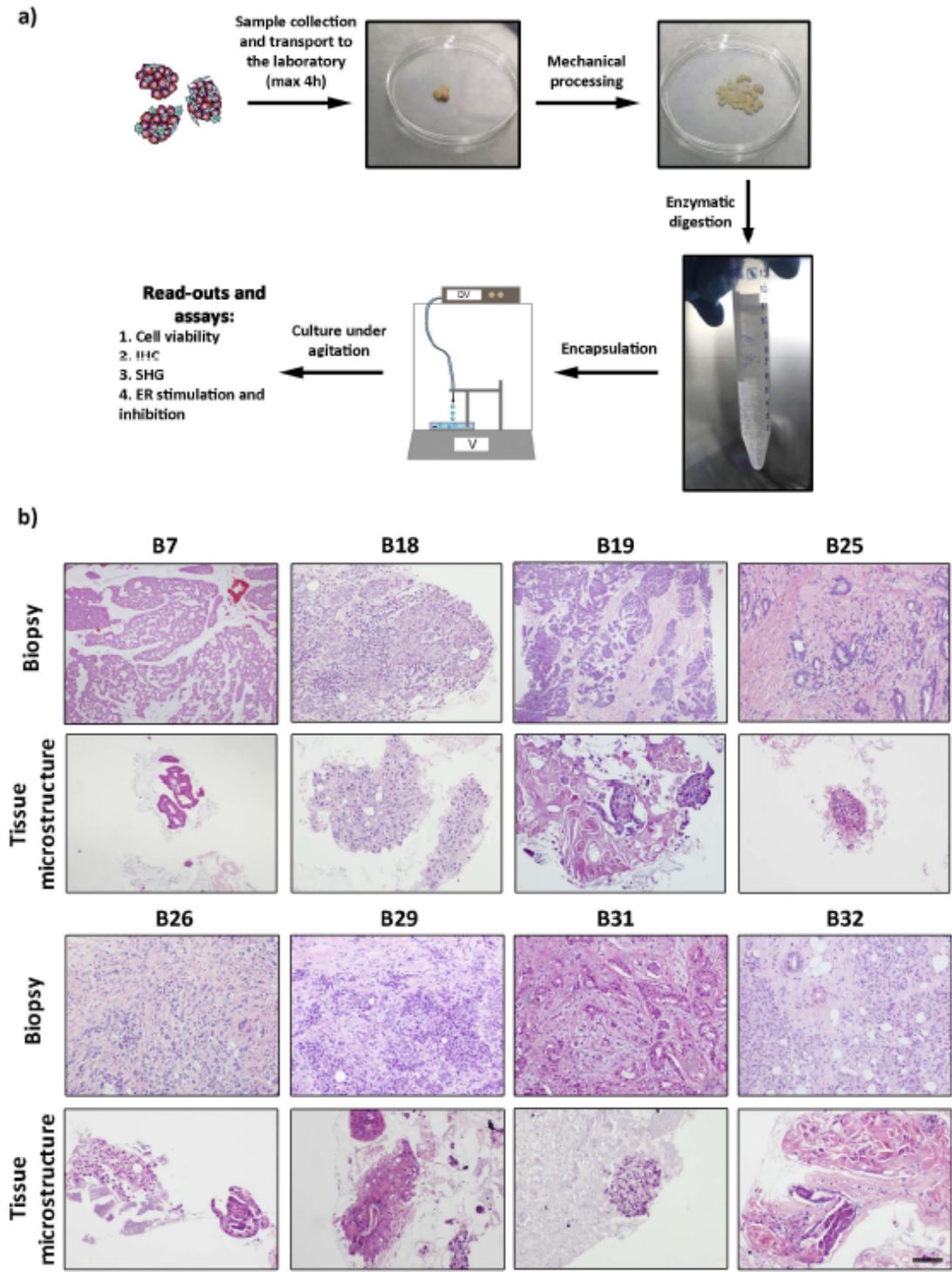
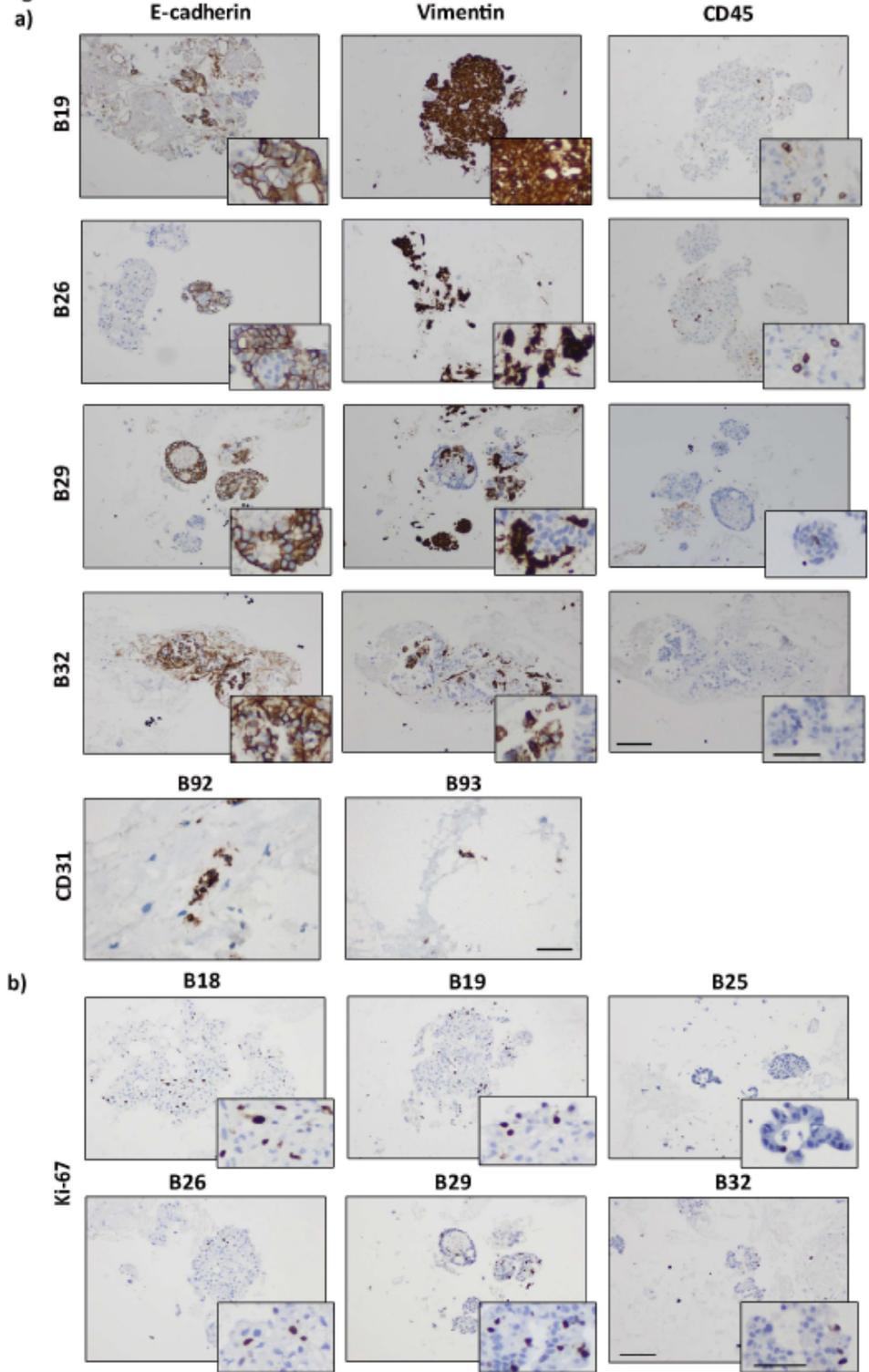


Figure 2

Alginate encapsulated tissue microstructures maintained parental tumor architecture. a Experimental workflow for the establishment of long-term cultures of BC patient-derived tissue microstructures: samples were collected at the hospital and brought to the laboratory within 1-3 hours of surgery. Tissue samples were mechanical processed and subjected to mild enzymatic digestion. The obtained BC tissue microstructures were encapsulated in alginate and cultured for up to one month. Along culture, tissue

microstructures were interrogated: cell viability assessment, immunohistochemistry analysis (IHC), Second Harmonic Generation (SHG) microscopy and estrogen receptor  $\alpha$  (ER) stimulation and inhibition were performed. b Hematoxylin and eosin of biopsy (top row) and corresponding encapsulated microstructures at one month of culture (bottom row) (scale: 200  $\mu\text{m}$ ).

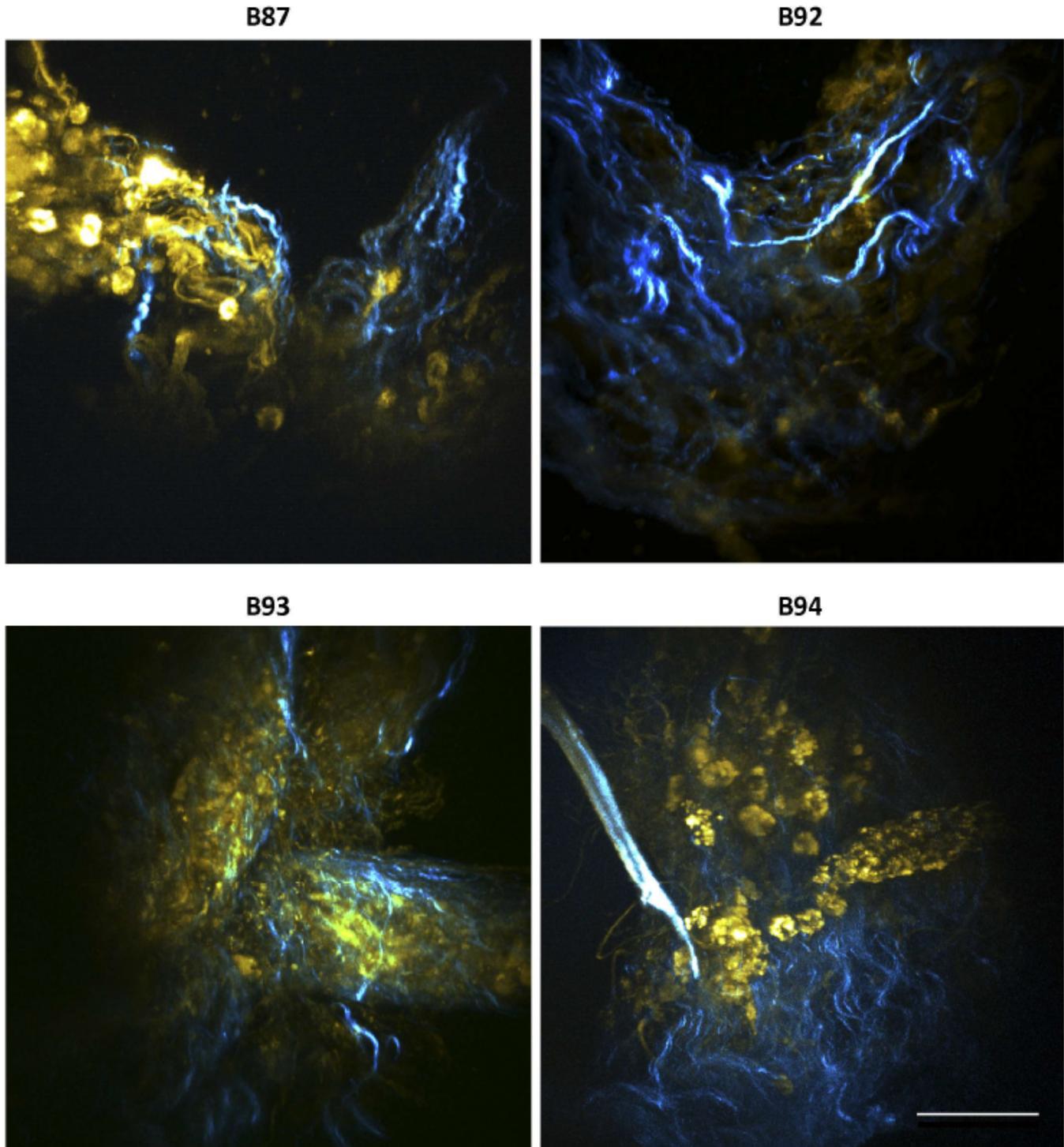
**Figure 2**



**Figure 4**

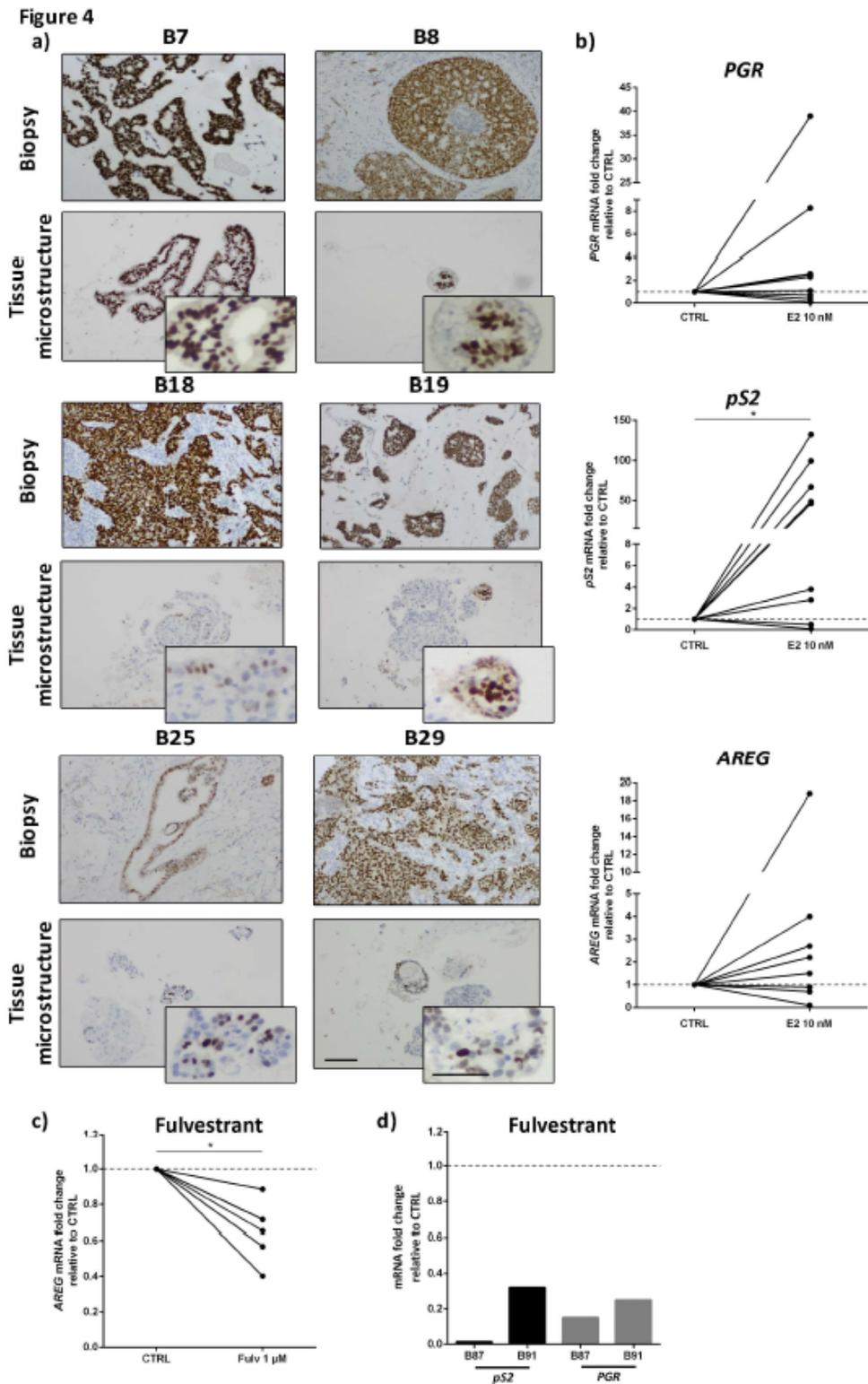
Alginate encapsulated tissue microstructures maintained cell populations and contain proliferating cells. a Immunohistochemistry analysis of: E-cadherin (epithelial cells); vimentin (stromal cells); CD45 (immune cells); CD31 (endothelial cells) at one month of culture b Immunohistochemistry analysis of Ki-67 (cell proliferation) of encapsulated microstructures at one month of culture (scale bars: 200  $\mu\text{m}$  for low magnification and 100  $\mu\text{m}$  for high magnification).

**Figure 3**



**Figure 6**

Encapsulated tissue microstructures maintained collagen fibrillar structures Second Harmonic Generation (SHG) microscopy at one month of culture: yellow – Two-Photon Excitation Microscopy (TPEF); blue - collagen fibers (scale bar: 50  $\mu$ m).



**Figure 8**

Estrogen Receptor  $\alpha$  (ER) expression and functionality are maintained in alginate encapsulated tissue microstructures up to 1 month of culture. a Immunohistochemistry detection of ER in biopsy (top row)

and encapsulated tissue microstructures culture for a month (bottom row) (scale bars: 200  $\mu\text{m}$  for low magnification and 100  $\mu\text{m}$  for high magnification). b Encapsulated tissue microstructures were cultured for 3 days in depleted medium and stimulated with 17- $\beta$ -estradiol; expression of ER downstream target genes was assessed by RT-qPCR (amphiregulin - AREG, progesterone receptor - PGR and protein PS2 - pS2, N=9). Data are shown as fold change in gene expression upon 17- $\beta$ -estradiol challenge relatively to vehicle-exposed control (CTRL). c,d Encapsulated tissue microstructures were cultured for 3-5 days in complete medium, before challenge with fulvestrant; ER downstream targets were assessed by RT-qPCR (AREG, PGR and pS2, N=5). Data are shown as fold change in gene expression upon fulvestrant challenge relatively to vehicle-exposed control. Statistical analysis was performed by the Mann-Whitney test (\*p-value<0.05).

## Supplementary Files

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