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# The Anti-Proliferative Effects of a Frankincense Extract in a Window of Opportunity Phase Ia Clinical Trial for Patients with Breast Cancer

Ingrid V. Bonilla Medical University of South Carolina **Denise Garcia** Medical University of South Carolina Andrea Abbott Medical University of South Carolina Laura Spruill Medical University of South Carolina Julie Siegel Medical University of South Carolina Jessica Forcucci Medical University of South Carolina George Hanna Medical University of South Carolina **Rupak Mukherjee** Medical University of South Carolina Mark Hamann Medical University of South Carolina **Eleanor Hilliard** Medical University of South Carolina Mark Lockett Medical University of South Carolina David J. Cole Medical University of South Carolina Nancy Klauber-DeMore ( demore@musc.edu ) Medical University of South Carolina

#### **Research Article**

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### Abstract

# Background

Boswellic acids, the active components of frankincense, have been shown to suppress tumor proliferation and apoptosis in vitro with a strong clinical trial safety profile in patients with inflammatory diseases. We performed a Phase Ia window of opportunity trial of *Boswellia serrata (B. serrata)*, the plant that produces frankincense, in patients with breast cancer to evaluate its biologic activity and safety.

## Methods

This was a Phase la window of opportunity trial invasive breast cancer patients treated pre-operatively with *B. Serrata* (2400 mg/day PO) until the night before surgery. Paraffin-embedded sections from pretreatment diagnostic core biopsies were evaluated using a tunnel assay and immunohistochemistry staining with Ki-67 antibodies. A non-intervention control arm consisted of core and surgical tissue specimens from untreated patients was used to compare to patients treated with *B. Serrata*. The change in proliferation and apoptosis between diagnostic core specimens and specimens obtained at surgery was compared between the control and treatment groups using a two-tailed paired t-test.

## Results

There was an increase in percent change in proliferation from core biopsy to surgical excision in the control group (n = 18) of  $54.6 \pm 21.4\%$ . In the *B. serrata*-treated group there was a reduction in proliferation between core biopsy and excision (n = 18) of  $13.8 \pm 11.7\%$ . The difference between core and surgical specimens was statistically significant between the control and *B. serrata*-treated groups (p = 0.008). There was no difference in change in apoptosis. There were no serious adverse events related to the drug.

# Conclusion

Boswellia serrata inhibited breast cancer proliferation in vivo in a clinically well-tolerated Phase Ia window of opportunity trial.

Trial registration: ClinicalTrials.gov. Identifier NCT03149081, date of registration May 11, 2017

### Background

Despite the advances in breast cancer treatments and early detection methods that improve breast cancer prognosis, many current treatments have long-term adverse side effects, including neuropathy [1], neutropenia [2], alopecia [3], lymphedema [4], cardiovascular disease [5], and increased risk of developing other cancers [6]. Additionally, cancer recurrence in this patient population is not unusual, and so the development of effective and safe therapies is needed. A potential opportunity exists in the use of complementary and alternative therapies, such as natural plant products. The biological activity of botanical products often lacks a strong basis in scientific or clinical evidence and effective methodologies are needed to characterize their utility and application.

Previous plant-derived highly successful single chemical entities used in medicine include digitoxin [7], aspirin[8], lovastatin[9], topotecan[10], irinotecan [10], and paclitaxel [11]. A potential botanical that warrants further scientific investigation is *Boswellia serrata* (*B. serrata*), the active component of frankincense. Frankincense is a hardened gum-like material (resin) that comes from the trunk of trees of the Boswellia genus. The gum resin of *B. serrata* contains at least 12 different types of boswellic acids (BAs), but among these the six major acids identified are α and β-boswellic acids (BA), acetylated α and β-boswellic acids (ABA), 11-keto-β-boswellic acid (KBA), and 3-O-acetyl-11-keto-β-boswellic acid (AKBA) (Fig. 1) - Boswellic acids, are reported to have properties that help suppress tumor activity and interact in various signaling pathways involved in apoptosis, cell proliferation, and angiogenesis [12, 13]. They have anti-proliferative and pro-apoptotic properties in multiple human cancer cell lines in vitro including meningioma [14], leukemia [15], melanoma, fibrosarcoma [16], and cancers of the colon [17], pancreas [18], brain [14] breast [19], and prostate [20]. Oral administration of AKBA in an orthotopic nude mouse model of pancreatic cancer caused inhibition of tumor growth and metastasis, with a decrease in proliferation and angiogenesis [18]. Oral AKBA also inhibited human prostate tumor growth in xenograft mice through inhibition of angiogenesis induced by VEGFR2 signaling pathways [20]. Additionally, clinical trials have shown that *B. serrata* is effective in inflammatory conditions such as arthritis PMID: 32549135 [21], bronchial asthma [13, 22], and gingivitis[23] with a strong safety profile.

An emerging highly significant and innovative approach to the assessment of botanicals involves the application of window of opportunity clinical trials (window trials) [24]. Window of opportunity trials study the treatment of a drug between diagnosis and the planned, standard treatment. These trials are uniquely suited to the assessment of botanicals since they can provide early insight into potential biologic activity in humans. During this time, researchers can evaluate target modulation after drug exposure and understand an agent's biologic effect early in its development. The advantage of window trials is that they allow for rapid evaluation of novel agents in patients who already have surgery planned as their primary therapy. In this setting the agents may be tested in patients who have not been exposed to other forms of treatment [25]. Unlike the more traditional neoadjuvant trials, correlative studies are primary endpoints rather than therapeutic benefit. Several window trials have used proliferative marker Ki-67 as an appropriate primary end-point to evaluate the outcome in post-treatment breast cancer patients and as potential early determinant of long-term benefit of endocrine therapy [26–28]. - expression level is the most widely used surrogate marker of effectiveness based on data that shows its association with long-term outcomes [25]. With a direct trial in humans, investigators have the opportunity to make an early determination of whether a drug is worth further investigation. Given the pre-clinical data of tumor efficacy and clinical data demonstrating a favorable safety profile, we hypothesized that *B. serrata* had in vivo efficacy in human breast cancer and so pursued a Phase la window of opportunity trial.

### Methods

Study Participants and Eligibility. This was an open-label, single center, single arm, prospective Phase Ia window of opportunity trial. The clinical trial was registered on ClinicalTrials.gov. Identifier NCT03149081 (Date of registration May 11, 2017), and the Institutional Review Board at the Medical University of South Carolina approved this study. Eligibility criteria included: 1) histological confirmation (by diagnostic core biopsy) of invasive breast cancer (stages I, II, or III) with primary tumor(s)  $\geq$  1.0 cm on mammogram, ultrasound, breast MRI, or physical exam; 2) patients scheduled for surgery no less than five days from the planned start of day one of *B.serrata* treatment and no more than 56 days from the planned start of day one; 3) age  $\geq$  18 years; 4) Eastern Cooperative Oncology Group status 0 or 1; 5) women of child-bearing potential must agree to use an approved form of birth control and have negative pregnancy test; 6) adequate hematologic and end organ function, defined by ANC  $\geq$  1.5 × 10<sup>9</sup>/L, platelet count  $\geq$  100 × 10<sup>9</sup>/L, hemoglobin  $\geq$  9 g/dL, albumin  $\geq$  2.5 g/dL, bilirubin  $\leq$  1.5 × the upper limit of normal (ULN), AST, ALT, and alkaline phosphatase  $\leq$  3 × ULN, serum creatinine  $\leq$  1.5 × ULN or creatinine clearance  $\geq$  40 mL/min; and 7) at least six sections of unstained slides available from the core biopsy for use for the study.

Exclusion criteria were: 1) patients undergoing neoadjuvant chemotherapy or endocrine therapy; 2) subjects with end-stage kidney disease and/or grade II liver dysfunction; 3) active or history of deep vein thrombosis; 4) history of coagulopathies or hematological disorders; 5) patients already taking anti-coagulant, platelet inhibitors, 5-lipoxygenase inhibitors, drugs that interact with OATP1B3 (an anion transporter), MRP2 (a multidrug resistant protein), and/or P-glycoprotein were also ineligible for study participation as these interact with Boswellia [29, 30] [31] (Table 1 for all prohibitive medications). A non-intervention control arm was included that consisted of core and surgical tissue specimens from 20 untreated patients obtained from the Hollings Cancer Center Biorepository to compare core biopsy and surgical excision in this non treatment set of patients to the treatment arm. This group of patients had pathologic T1c-T2 invasive breast cancer, hormone receptor positive and Her2neu negative, and had not received neoadjuvant chemotherapy or endocrine therapy. No clinical data was requested and specimens were de-identified.

#### Table 1 Prohibited Medications

5-Lipoxygenase Inhibitors	Anti-coagulants or	OATP1B3, MRP2, and/or PgP substrates
	Anti-platelet Drugs	
zileuton	coumarins and indandiones	amiodarone
	Factor Xa inhibitors	asunaprevir
	heparins	atazanavir
	thrombin inhibitors	atovarstatin
		benzylpenicillin
		bosentan
		carvedilol
		cerivastatin
		cholecystokinin octapeptide (CCK-8)
		clarithomycin
		cyclosporine
		dabigatran
		danoprevir
		digoxin
		docetaxel
		dronedarone
		elacridar
		erythomycin
		estradiol-17β-glucuronide
		estrone-3-sulfate
		fexofenadine
		gemfibrozil
		glyburide
		itraconazole
		ketoconazole
		lapatinib
		loperamide
		lopinavir
		methotrexate
		2-amino-1-methyl-6-phenylimidazo[4,5-b]pyridine (PhIP)

The primary endpoint of this study was to determine whether oral administration of *B. serrata* causes biological changes related to cell proliferation (Ki-67) and apoptosis (DNA fragmentation) in primary tumors of breast cancer patients. The secondary endpoint was to determine the safety and toxicity of oral *B. serrata* in patients with breast cancer.

# **Drug Information**

BosPure® 400 mg was supplied for the study by Arjuna Natural Pvt. Ltd (Aluva, India). It is an oral non-GMO extract of the *B. serrata* resin that is standardized to contain no less than 70% triterpenic boswellic acids. Per the manufacturer, each vegetarian capsule contains up to 35% boswellic acids and 40% total organic acids, and the boswellic acids that make up 35% of the drug are: acetyl-alpha boswellic acid (8-14%), acetyl-beta- boswellic acid (17-25%), and acetyl-11-keto-beta boswellic acid (AKBA, at least 10%).

In previous clinical studies the major toxic effects of *B. serrata* that limited dose were gastrointestinal [32, 33]. Patients were instructed to contact the study team if they experienced any intolerable side effect, and the dose was withheld for up to three days until the adverse event improved or resolved. If the event symptoms did not improve or resolve within three days, the patient was discontinued from study.

### **Treatment Administration and Compliance**

All potential study patients with a core biopsy confirmed invasive breast cancer were evaluated at initial consultation by one of four breast surgeons (AA, DJC, ML, NKD) as per the study design (Fig. 2). The surgeon decided whether the patient was eligible based on tumor size and plan for surgery first. Patients who were screened and deemed potentially eligible had a pathologist (LS) assess for adequate tissue on the diagnostic core biopsy. After informed consent, patients were given BosPure® 400 mg and instructed to take two capsules by mouth three times a day (morning, afternoon, and evening) with food. The drug was taken approximately 6–8 hours apart for a total dose of 2400 mg/day. Patients were required to track daily doses of study drugs by maintaining a daily medication diary and to report any side effects. During the first week of drug administration, patients were allowed to take them as long as the next dose was due in more than four hours. The skipped dose and the reason for missing were documented in the drug diary. Missed doses were not replaced. The last dose of BosPure® was taken the night before surgery.

# Immunohistochemistry

Formalin fixed, paraffin embedded breast sections were deparaffinized twice for ten minutes in xylene and hydrated twice in absolute ethanol, twice in 95% ethanol, and then tap water. Slides were incubated in 3% hydrogen peroxide for ten minutes at room temperature followed by two washes in phosphate buffered saline (PBS, Corning, Manassas, VA, cat # 46-013-CM). A citrate buffer antigen retrieval step was performed in a vegetable steamer using the kit Vector Antigen Retrieval Citrate Buffer pH6 (Vector Labs, Newark, CA, cat # H-3300) for 40 minutes with 10 minutes to cool. Slides were incubated in blocking serum provided in the Vector Rabbit IMPRESS HRP Kit (Vector Labs, Newark, CA cat # MP-4100) in a humidified slide chamber at room temperature for one hour. The blocking serum was then drained off, and the slides were incubated overnight at 4°C with the Ki-67 antibody 1:40 dilution (Biocare Medical, Pacheco, CA, cat # PA1-21520). The next day, the slides were rinsed three times with PBS 1X for five minutes per wash. The secondary antibody from the Vector Rabbit IMPRESS HRP Kit was added and the slides were incubated for 30 minutes at room temperature and then rinsed three times with PBS for five minutes per wash. A solution of 3,3'-diaminobenzidine (DAB) was prepared and added to the slides as instructed in the Vector DAB kit (Vector Labs, Newark, CA, cat # SK-4100) for five minutes, rinsed in PBS, and counterstained with hematoxylin (Vector Labs, Newark, CA, cat # H-3401) for 30 seconds. Slides were then washed in distilled water, followed by ammonia alcohol, dehydrated twice in 95% ethanol, twice in 100% ethanol, twice in xylene, and then cover slipped. The slides were reviewed at 20x independently for Ki-67 staining by two board-certified pathologists (LS, JF), blinded to treatment modality as well as the time at which a particular sample was obtained. Representative areas of tumor filling the ocular were evaluated for Ki-67 positivity. Ki-67 was counted in four fields of representative tumor, when available, and raw counts were provided for statistical evaluation. This technique was applied for both the core and the resection specimens.

TUNEL assay was performed for apoptosis analysis on breast tumor sections following the manual in the Apoptag® Peroxidase In Situ Apoptosis Detection Kit (EMD Millipore, Burlington MA, cat #S7100). All sections were deparaffinized with Histoclear (National Diagnostics, Atlanta, GA, cat# HS-200). The following materials were not supplied with the TUNEL kit and were purchased separately: 30% hydrogen peroxide (JT Baker, Radnor, PA, cat# 5155-01), Proteinase K (Millipore, Burlington MA, cat# 21627), Metal enhanced DAB substrate kit (Thermo Scientific, Rockford, IL, cat# 34065), stable peroxidase substrate buffer 1X (Thermo Scientific, Rockford, IL, cat# 1855910) and 1-butanol (Fisher Scientific, Fair Lawn, NJ, cat# B7908). Images were acquired using the EVOS FLc microscope (Life Technologies, Carlsbad, CA). Tumor apoptosis was quantified in a blinded manner as the number of apoptotic nuclei/total tumor nuclei (40x) counting four by NKD in four fields of representative tumor, and raw counts were provided for statistical evaluation. This technique was applied for both the core and the resection specimens.

### **Statistical Analysis**

For Ki-67 analysis, the scores from each assessor for corresponding samples were examined for inter-rater variability using intraclass correlation tests. The change in Ki-67 staining from core specimens to core excision was determined as a percentage and compared between the control and treatment groups using a two-tailed paired t-test. Differences in TUNEL staining between the control and treatment groups were tested for statistical significance using a two-sided t-test. Values are presented as Mean ± standard error of the mean (SEM). Statistical tests were performed using the STATA package (v16.1, College Station, TX). Values of p less than 0.05 were considered to be statistically significant.

### Breast cancer proliferation in vitro

MCF7 cells were cultured in Eagle's Minimum Essential Medium (Corning #10-009-CV) with 10% fetal bovine serum (FBS) (Biofluid #BT-201-500-D), 10 ng/mL insulin (Sigma #I0516), and Antibiotic Antimycotic solution (Corning 30-004-Cl). MDA-MB-231 cells were cultured in Dulbecco's Modified Eagle's Medium (Corning #10-013-CV), 10% FBS and Antibiotic Antimycotic solution. For the proliferation study, MCF7 and MDA-MB-231 cells were plated in a 96 well plate at 3,000 and 2,500 cells/well, respectively. Once the cells settled overnight, they were treated with a concentration curve of BosPure® (in DMSO, 1  $\mu$ M to 20  $\mu$ M) or vehicle alone (DMSO 1:250). After 48 hours of treatment, the number of healthy cells in each well was assessed using Cyquant Direct Cell Proliferation Assay (Life Technologies Corporation, Eugene, OR, Cat# C35011). One way ANOVA was used to determine statistical significance between the treatment groups. The EC<sub>50</sub> was determined using an online calculator (https://www.aatbio.com/tools/ec50-calculator).

### Results

#### Study Population and Characteristics.

Twenty-two subjects with invasive breast cancer were enrolled between August 2017 and March 2019. Two patients did not receive the study drug, for a total of 20 evaluable patients (one subject was deemed ineligible after registration, and one subject withdrew from study). The demographics and characteristics of the 20 patients that started *B. serrata* and completed the study are shown in Table 2. The median age was 60 (range 46–81); 15% of patients were African American, 80% Caucasian, 5% other. The mean duration of drug intake was 11 days (SD 6 days; range: 5–23 days). All patients were pathologic stage I or II. Although the study was not restricted to endocrine receptor tumors, all patients in the study had estrogen receptor (ER) or progesterone receptor (PR) positive breast cancer, and 17 of 20 were Her2neu negative. In the control group, all patients were endocrine receptor positive, Her2neu negative, and pathologic stage I or II.

AGE	Ν	%
Mean	60.8	-
Range	46-81	-
RACE	Ν	%
Black/African-American	3	15
White	16	85
Other	1	5
ETHNICITY	Ν	%
Non-Hispanic	19	95
Hispanic	0	-
Unknown	1	5
ER or PR	Ν	%
Positive	20	100
Negative	0	
Her2 neu	Ν	%
Positive	3	15
Negative	17	85
PRIMARY TUMOR	Ν	%
pT1	14	70
рТ2	6	30
REGIONAL LYMPH NODES	Ν	%
pN0	20	100
pN1	0	
TUMOR GRADE	Ν	%
G1	11	55
	8	40
GZ	0	-10

#### Table 2 Patient Demographics and Tumor Characteristics

**Primary Outcome Measures** 

# Change in tumor Ki-67 and apoptosis

Two of twenty patients in both control and treated groups were excluded because of technical difficulty with immunohistochemistry (IHC) in the core biopsy, leaving 18 patients for analyses. Proliferation was determined as a function of Ki-67 immunostaining in histological samples. Scoring of Ki-67 immunostaining was performed independently by two pathologists who were blinded with respect to treatment. The measurements from the two pathologists had an intraclass correlation r = 0.63, p < 0.01, and there was a significant correlation between the observers (r = 0.68, p < 0.01). Changes in proliferation before and after treatment with *B. serrata* were measured as the paired difference between Ki-67 IHC staining in core biopsy and surgical specimens and compared to values obtained from the control set of patients (Fig. 3). The percent change in proliferation from core biopsy to excisional biopsy in the control group (n = 18) was an increase of 54.6 ± 21.4%. In the *B. serrata* group, there was a reduction in proliferation between core biopsy and excision (n = 18) of 13.8 ± 11.7%. The

difference in Ki-67 staining between core and surgical specimens was statistically significant between the control and *B. serrata* groups (p = 0.008). There was no significant interaction between *B. serrata* treatment effect and length of treatment (core vs. excision; p = 0.80). Changes in cellular apoptosis, determined by TUNEL staining / HPF, between the core and surgical specimens were similar in the control and *B. serrata* groups (81.4 ± 57.3 vs. 73.0 ± 66.6%, respectively, p = 0.92).

# Adverse Events

There was one Grade 3 adverse event reported on trial (intraoperative hypotension – not related to study drug); however, the majority of toxicities reported were Grade 1. The most prevalent adverse events (AEs) are reported in Fig. 4. There were no serious adverse events reported.

# Changes in tumor proliferation in vitro

Since the clinical trial showed a reduction in tumor proliferation in humans, we wanted to determine if *B. serrata* has a direct effect on proliferation of breast cancer cells *in vitro*. MCF7 (ER positive) and MDA-MB-231 (triple negative) cells were treated with 1, 5, 10, and 20  $\mu$ M *B. serrata* resuspended in DMSO. *B. serrata* inhibited both MCF7 and MDA-MB-231 breast cancer cell proliferation (Fig. 5), n = 12 per group, demonstrating a direct anti-proliferative effect of *B. serrata* on tumor cells.

### Discussion

Although the clinical use of complementary medicine with plant natural products is growing among patients, there still remains very little evidence of efficacy and safety for this class of potential medications in humans. However, frankincense is one of the few herbal products with several published pre-clinical and clinical studies showing promising effectiveness for various inflammatory diseases and cancer[18, 20, 34–36]. In this Phase Ia window of opportunity study, *B. serrata* significantly decreased tumor cell proliferation in humans with breast cancer, and *in vitro* in both endocrine ER positive breast cancer cells and triple negative breast cancer cells. B. serrata was well tolerated in patients with breast cancer, with no SAEs reported due to study drug. The decrease in tumor proliferation comparted to control seen in this study is consistent with several pre-clinical studies that showed an anti-proliferative effect of Boswellia acids on pancreatic cancer[18], meningioma [14], and leukemia [15]. There have been several preclinical studies have also shown pro-apoptotic effects on cancer cells (breast and colon cancer, melanoma and fibrosarcoma) with Boswellia treatment [16, 17, 19], however *B. serrata* did not affect tumor apoptosis in humans in this trial. The lack of apoptotic effect seen in vivo in this study may be a function of treatment time or biology of the tumor treated.

The decrease in proliferation seen in the treatment group was in contrast to the increase in proliferation observed between the core biopsy and surgical excision in the control group. This effect has previously been seen in other window of opportunity trials and is explained by a wound healing effect [37]. Morrogh et al. found that control patients had differentially expressed genes between core and excision tumors, including genes involved in cell proliferation. Their IHC analysis confirmed parallel changes in proliferation [37]. In this study, *B. serrata* not only prevented this increase in tumor proliferation, but it decreased the baseline proliferative character of the tumor, which was reduced compared to the control group. Preclinical studies in mouse models have similarly shown a decrease in tumor proliferation with treatment of boswellic acids for different cancers[18, 38].

Although a direct anti-proliferative effect was also seen on both ER positive and triple negative breast cancer cells *in vitro*, the patients enrolled in this trial were all endocrine receptor positive, and only three patients were Her2neu positive. Although we did not restrict eligibility type, patients who received neoadjuvant chemotherapy were excluded. The majority of patients with triple negative and Her2neu positive breast cancer receive neoadjuvant chemotherapy [39], and neoadjuvant chemotherapy was an exclusion criteria for participation in this trial, which explains why these patients were not represented on this trial. Future studies are needed with a different clinical trial design to evaluate if *B. serrata* reduces breast cancer proliferation in humans with triple negative or Her2neu positive breast cancer.

There have been at least four published clinical trials using *B. serrata* in patients with cancer. Patient with astrocytoma [40], malignant cerebral tumors [41], pediatric progressive or relapsed brain tumors [42], and glioblastoma multiform [43] showed a reduction in cerebral edema. The present study is the first evidence to our knowledge of an *in vivo* anti-tumor effect of a frankincense extract in breast cancer in humans.

# Conclusions

Overall, this trial demonstrated that oral administration of *B. serrata* at a dose of 2400 mg daily for 5–56 days has a good safety profile and reduced breast tumor cell proliferation in humans in a Phase !a window of opportunity trial and was well tolerated. The purpose of window of opportunity trials is to provide a relatively inexpensive and fast way to determine whether a novel therapeutic has biologic activity in humans. Biologic active of *B. serrata* in humans was demonstrated in this study. Further research is now needed to determine the most active metabolites of *B. serrata* to increase potency, which will be the goal of future studies, and to evaluate whether *B. serrata* reduces local recurrence or improves survival are warranted.

### Abbreviations

adverse events (AEs) *Boswellia serrata (B. serrata)* 3,3'-diaminobenzidine (DAB) estrogen receptor (ER) fetal bovine serum (FBS) immunohistochemistry (IHC) 3-O-acetyl-11-keto-β-boswellic acid (AKBA) progesterone receptor (PR) standard error of the mean (SEM) vascular endothelial growth receptor 2 (VEGFR2)

### Declarations

#### Ethics approval and consent to participate:

All subjects signed an informed consent form prior to enrollment in the study. Research was performed in accordance with the Declaration of Helsinki and was approved by the IRB at Medical University of South Carolina, Office of Research Integrity, 1 South Park Circle, Building 1, Suite 401, Charleston, SC 29407.

Consent for publication:

Not applicable.

Availability of data and materials:

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Competing interests:

The authors declare that they have no competing interests.

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#### Author's contributions:

NKD and IVB were responsible for the conception and design. AA, NKD, ML, and DJC were responsible for patient enrollment and management, and IVB, DG, JL, GH and EH were responsible for the acquisition of data (correlative studies on clinical samples and cellular assays). LS, JF and NKD, MH, and RM were responsible for the analysis and interpretation of the data. All authors read and approved the final manuscript.

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### Figures



#### Figure 1

Major types of boswellic acids in B. serrata



#### Figure 2

Study Design. Patients enrolled on trial received *B. serrata* pre-operatively for 5-56 days until the night before surgery. A non-intervention control arm was included consisting of tissue specimens from matched untreated patients



#### Figure 3

Percent change in proliferation between core biopsy and surgical excision in control patients and patients treated with *B. serrata* as scored by two pathologists. There was an increase in percent change in proliferation from core biopsy to excisional biopsy in the control group (n=18) of  $54.6 \pm 21.4\%$ . In the *B. serrata* treated group, there was a reduction in proliferation between core biopsy and excision (n=18) of  $13.8 \pm 11.7\%$ . The difference in Ki-67 staining between core and surgical specimens was statistically significant between the control and Boswellia groups (p=0.008).



#### Figure 4

Top 20 adverse events (AE). The red square indicates the serious adverse event count. The yellow square indicates the related/possibly related AE count.



#### Figure 5

Proliferation studies were conducted to determine the in vitro effect of *B. serrata* (1  $\mu$ M to 20  $\mu$ M) on ER+ breast cancer cells (MCF7) and triple negative breast cancer cells (MDA-MB-231). Both cells types responded to treatment at concentrations as low as 5  $\mu$ M (P<0.0001, n=12). The EC50 of *B. serrata* on MCF7 cells is 2.8  $\mu$ M and on MDA-MB-232 cells is 5.9Phase  $\mu$ M