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Factors affecting farmers' use of inorganic fertilizers and manure in South Asia

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41 **Factors affecting farmers' use of inorganic fertilizers and**
42 **manure in South Asia**

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44

45 **Abstract**

46 Fertilizer, though one of the most essential inputs for increasing agricultural production, is a
47 leading cause of nitrous oxide emissions from agriculture, contributing significantly to global
48 warming. Therefore, understanding factors affecting farmers' use of fertilizers is crucial to
49 develop strategies to improve its efficient use and to minimize its negative impacts. Using data
50 from 2,558 households across the Indo-Gangetic Plains in India, Nepal, and Bangladesh, this
51 study examines the factors affecting farmers' use of organic and inorganic fertilizers for the
52 two most important cereal crops – rice and wheat. Together, these crops provide the bulk of
53 calories consumed in the region. As nitrogen (N) fertilizer is the major source of global
54 warming and other environmental effects, we also examine the factors contributing to its
55 overuse. We applied multiple regression models to understand the factors influencing the use
56 of inorganic fertilizer, Heckman models to understand the likelihood and intensity of manure
57 use, and a probit model to examine the over-use of N fertilizer. Our results indicate that various
58 socio-economic and geographical factors influence the use of inorganic fertilizer in rice and
59 wheat. Across the study sites, N fertilizer over-use is the highest in Haryana (India) and the
60 lowest in Nepal. Across all locations farmers reported a decline in manure application,
61 concomitant with a lack of awareness of the principles of appropriate fertilizer management
62 that can limit environmental externalities. Educational programs highlighting measures to
63 improving nutrient-use-efficiency and reducing the negative externalities of N fertilizer over-
64 use are proposed to address these problems.

65
66

Keywords: inorganic fertilizer; manure; India; Nepal; Bangladesh

67 **1. Introduction**

68 Achieving food security, addressing climate change, and halting environmental and natural
69 resource degradation are among the key challenges faced by the agricultural sector in efforts
70 to achieve sustainable development goals (SDGs¹) and the Paris Agreement to limit global
71 temperature increase to below 2 °C (IPCC, 2014). Fertilizer use, particularly nitrogen (N), is
72 one of the important land management practices to increase crop production and improve soil
73 fertility. Thus, the use of soil fertility enhancing amendments to supply essential nutrients in
74 crop production is of clear importance. Along with the nutrient supply from soil organic matter,
75 crop residues, wet and dry deposition, and biological nitrogen fixation, synthetic fertilizer is a
76 primary source of essential nutrients in crop production.

77

78 The success of the Green Revolution (GR) in 1960s to increase food production and to reduce
79 hunger world-wide was made possible, partly due to increasing use of chemical fertilizer
80 (Erisman *et al.*, 2008). However, excessive chemical fertilizer use during and post GR caused
81 a number of environmental and ecological problems such as soil acidification, degradation,
82 and water eutrophication, severely undermining the sustainability of agriculture (Lu and Tian,
83 2017). The loss of applied nutrients into the environment resulted in the fertilizer-induced
84 emission of nitrous oxide (N₂O) from agricultural production, a major source anthropogenic
85 greenhouse gas emissions (Sutton *et al.*, 2013). Around 60% of nitrogen pollution is estimated
86 to originate from crop production alone, particularly through nitrogen (N) fertilizer application
87 (Sapkota *et al.*, 2018b). Hence, agricultural development pathways need to address these
88 concerns, in addition to climate change adaptation and mitigation (van Beek *et al.*, 2010; Aryal
89 *et al.*, 2020a; Aryal *et al.*, 2020b).

90

¹ <https://sustainabledevelopment.un.org/>

91 In South Asia (SA), use of N fertilizer has been increasing over the last fifty plus years (FAO,
92 2013). Increased use of fertilizer together with irrigation and improved genetics were core to
93 the GR philosophy that aimed to increase crop productivity in SA (Firdousi, 1997; Pingali,
94 2012; Benbi, 2017; Roy, 2017). Food-grain production in India increased from 82 million tons
95 in 1960 to 284 million tons in 2018/19, rendering the country largely self-sufficient in cereals
96 (GoI, 2020). Yet to achieve this, rates of fertilizer application have increased dramatically
97 (Benbi, 2017; Roy, 2017). For instance, in Punjab and Haryana states of India, fertilizer-N use
98 increased from meager 2-8 kg N ha⁻¹ in 1960s to more than 160-180 kg N ha⁻¹ in 2017 (Benbi,
99 2017).

100

101 Many farmers in SA are unaware of scientifically recommended rates of fertilizer application.
102 Rather, they apply fertilizers when and where they believe them to be necessary, often in
103 quantities and with elements based on what are available and affordable at markets (Takeshima
104 *et al.*, 2016; Kishore *et al.*, 2019). Further, recommendations for fertilizer rates tend to be based
105 upon small-plot crop yield response data that are extrapolated over large geographic areas
106 without considering spatial variability in the nutrient supplying capacity of the soils and
107 temporal variability due to management factors (Ladha *et al.*, 2020). Heavy subsidies for N
108 fertilizer relative to other nutrients, and the lack of adequate knowledge on fertilizer
109 management have resulted in unbalanced fertilizer application (Kishore *et al.*, 2019).
110 Inappropriate and unbalanced nutrient addition not only reduces nutrient use efficiency (NUE)
111 and profitability (Krupnik *et al.*, 2004; Ladha *et al.*, 2005), but also increases environmental
112 risks associated with the loss of unused nutrients through emissions, leaching or run-off
113 (Sapkota *et al.*, 2014) . SA has one of the lowest NUE in the world (Ladha *et al.*, 2020).
114 Average efficiency of fertilizer N in India has been reported to be 30-40% in rice and 50-60%
115 in other cereals (Brar *et al.*, 2011; Dobermann, 2006).

116 Opportunities exist to improve NUE through adoption of better fertilizer management practices
117 such as adjusting application rates based on more precise estimate of plant demand, using the
118 right form of fertilizer, and applying fertilizer using right method so that it is delivered directly
119 to the root zone (Dobermann and Witt, 2004). A recent study in India showed that adoption of
120 precision nutrient management technologies would substantially reduce fertilizer N
121 consumption, thereby reducing GHG emission of 17.5 Mt CO₂e per year with an estimated
122 cost saving of 100 USD per t CO₂e abated. (Sapkota *et al.*, 2019). Also, the application of
123 manures contributes to the retention of synthetic fertilizer N and reduction of losses, mainly
124 through gradual improvements in soil structure and ability to store nitrogen for slow release
125 from soil organic matter (Krupnik *et al.*, 2004; Ladha *et al.*, 2005; Ladha *et al.*, 2020).

126

127 Realization of the benefits of improved fertilizer and organic matter management depends on
128 the extent to which farmers are aware of and able to implement new appropriate agronomic
129 management techniques. Farmers' use of different management practices are difficult to predict
130 and depends largely on the socio-economic and cultural context under which they operate
131 (Aryal *et al.*, 2018c; Sapkota *et al.*, 2018a). Understanding farmer behavior towards use of
132 fertilizer and manure is crucial because improving N fertilizer use efficiency can substantially
133 lower the carbon footprints of agriculture (Liu *et al.*, 2016). Though methods to improve the
134 NUE continue to be developed, inefficient use of fertilizer persists. To date, relatively little is
135 known about farmers' behavior towards fertilizer and manure use in South Asia (Stuart *et al.*,
136 2014; Sapkota *et al.*, 2018a).

137

138 This study explores the factors affecting the use of inorganic (Urea and Di-ammonium
139 Phosphate) and organic (Manure) fertilizers in rice and wheat production in SA, using data
140 from 2,550 households spread across Bangladesh, India and Nepal. Also, it examines the

141 factors associated with the overuse of N fertilizer (both inorganic and organic) in rice and
142 wheat. This study contributes to the existing literatures in several ways. Firstly, it is the first
143 study that assesses the factors explaining farmers' fertilizer use behavior across these countries,
144 while controlling for farmer characteristics, socio-economic factors, and farmland
145 characteristics. Secondly, as the over-use of N fertilizer is one of the major reasons behind
146 nitrous oxide emission and other negative environmental externalities, we examine the factors
147 explaining its over-use using both quantitative and qualitative methods. It helps us to provide
148 insights in designing low emission agricultural development and making investments
149 consistent with the SDGs.

150

151 **2. Study area and data**

152

153 This study used data collected from a survey of 2,500 households across Indo-Gangetic plains
154 of Bangladesh, India and Nepal in 2013. The data was collected through multistage sampling.
155 In the first stage, three South Asian countries were purposively selected as they comprise
156 approximately 84% of the land area allocated to rice and wheat in the Ingo-Gangetic Plains
157 (Timsina and Connor, 2001). In the second stage, three districts in Bangladesh (Bagerhat,
158 Jhalokhati, and Satkhira), one district in the Terai (plain lands) region of Nepal (Rupandehi),
159 one district in Bihar state (Vaishali) and one district in Haryana state in India (Karnal) were
160 selected. A total of 38 villages were selected for the study: 14 from Bangladesh, 12 from Bihar
161 (India), 13 from Haryana (India) and 12 from Nepal (Table 1).

162

[Insert here Table 1]

163 In Bangladesh, agricultural input data come from 1,182 rice fields, cultivated by 630
164 households. In Nepal, the same data were collected from 1,576 rice and 977 wheat fields
165 operated by 631 households, respectively. In Haryana, dataset included information from 665

166 rice and 667 wheat fields operated by 630 households and in Bihar 1,299 rice and 1,604 wheat
167 fields, operated by 641 households were registered. Therefore, we analyze the factors affecting
168 the use of fertilizer for rice and wheat in Nepal and India, and only for rice in Bangladesh.
169 Furthermore, we collected qualitative information about the use organic and inorganic
170 fertilizers, and possible reasons for their inappropriate use through two focus group discussions
171 in each of the study sites.

172 3. Empirical methods

173

174 3.1 Multiple regression models: to analyze the factors affecting urea and DAP use in 175 rice and wheat

176

177 As all sampled farmers growing rice and wheat applied urea and DAP, we used multiple
178 regression models to analyze the factors affecting the use of these fertilizers in each crop.
179 Farmers' use of inorganic fertilizers can be affected by several factors including household
180 characteristics, socio-economic variables, market access and information, and farm
181 characteristics including soil fertility status, irrigation, and soil depth (Shrestha *et al.*, 2013;
182 Fishman *et al.*, 2016; Kpadonou *et al.*, 2019; Ward *et al.*, 2019). We included country dummy
183 to capture the differences in fertilizer subsidy policy and price controls. Therefore, following
184 empirical model is estimated:

$$185 Y_i = \alpha + \beta X_h + \gamma X_s + \delta X_k + \psi X_f + \varepsilon \quad (1)$$

186 Where Y_i denotes the amount of urea (DAP) applied per hectare (kg ha^{-1}) of rice or wheat
187 produced per season, X_h is a matrix of household characteristics including age, education and
188 gender of the household head, X_s is a matrix of the ownership of and access to economic
189 resources, X_k represents a matrix of knowledge enhancing activities such as participation in
190 agricultural trainings and access to extension services, in addition to access to and market

191 information and markets, and X_f refers to biophysical and farm characteristics including soil
192 depth, soil fertility, access to irrigation, and distance from homestead to the rice or wheat field.
193 $\alpha, \beta, \gamma, \delta$ and ψ are unknown parameters to be estimated, and ε is the stochastic error term.
194 We controlled for possible hetereskedasticity using Huber-white robustness test and checked
195 for multi-collinearity using variance influence factor (Wooldridge, 2010).

196

197 *3.2 Heckman two-step model: to analyze the factors affecting the application of manures to* 198 *rice and wheat fields*

199

200 Considering that many rice and wheat fields did not receive manure, we applied Heckman two-
201 step model to acknowledge censoring in the data (Heckman, 1979). The Heckman mdoel
202 consists of two sequential decisions: first, a household decides whether or not to apply manure,
203 and second, if they do apply it, they also need to decide how much to apply. Hence in the first
204 step, we estimate a probit model with a dichotomous dependent variable (0 if manure is not
205 applied and 1 if it is applied). In the second step, we analyze the factors influencing the quantity
206 of manure used. Given that farmers applying manure have made the decision to use manure,
207 we base this analysis on a sub-set of the data. As such, we assume that unobserved factors,
208 which differentiate users from non-users, can also influence the amount of manure applied,
209 resulting in selection bias. Consequently, we control for the self-selectivity bias described by
210 using Inverse Mills Ratio (Heckman, 1979). The first step (selection mechanism) in a Heckman
211 two-step model is:

$$212 \quad z^* = \gamma w + \upsilon \quad (2)$$

213 As z^* is not directly observable, we assume idiosyncratic criteria are applied by farmers. z^*
214 may be the difference in expected returns between applying and not applying manure.
215 Therefore, a binary variable z is defined which takes on the value of one if the household
216 decides to apply manure and zero otherwise.

217 $z = \gamma w + \nu$, where $z = 1$ if $z^* > 0$ and $z = 0$ otherwise. (2a)

218 Therefore, $\text{Prob}(z = 1) = \text{Prob}(z^* > 0) = \text{Prob}(\nu > -\gamma w) = \Phi(\gamma w)$, where Φ is the cumulative
 219 distribution at γw .

220 The second step in the Heckman model is given by:

221 $y = \beta x + \varepsilon$ (3)

222 Equation 3 is observed only if $z^* > 0$. When ν and ε follow a bivariate normal distribution
 223 with mean of zero, standard deviation σ and correlation ρ , we get:

224 $E[y|z = 1] = E[y|z^* > 0] = \beta x + \rho\sigma\lambda(\gamma w)$ (4)

225 where λ is the Inverse Mills Ratio (IMR) $[\lambda = \phi(\gamma w)/\Phi(\gamma w)]$ i.e., the ratio of the value of
 226 density function of a standard normal distribution and cumulative distribution function

227 calculated at γw . In equation 4, $\rho\sigma$ is equal to the regression coefficient on the IMR, β_λ .

228 Inclusion of IMR in the second-stage as an explanatory variable helps correct selection bias.

229 After this, ordinary least-square method can be used for estimation as follows (see Wooldridge
 230 2010):

231 $y = \beta x + \beta_\lambda \lambda + \varepsilon$ (5)

232 Where β_λ is the coefficient of the IMR. Statistically significant IMR implies selection bias and
 233 confirms the appropriateness of Heckman's two-step model, supporting the hypothesis that the
 234 set of variables influencing the likelihood to adopt can be different from variables affecting the
 235 intensity of its use.

236 **3.3 Probit model: to analyze factors influencing the over-use of N Fertilizer**

237 We applied probit model to examine the factors associated with the over-use of N in rice and
 238 wheat. To obtain the total amount of N applied, we take the sum of nitrogen from urea, DAP
 239 and manure, accounting for their standard nutrient composition at 46%, 18% and 0.5%,

240 respectively. For defining over-application of N, we followed the findings from (Sapkota *et al.*,
241 2018a) in Bihar and Haryana in India and adapted it for our study sites. According to their
242 study, wheat farmers who applied more than 140 kg N ha⁻¹ suffered a yield penalty and had
243 high greenhouse gas emissions intensity. Similarly, rice yield leveled off with increased N
244 application beyond 100 kg N ha⁻¹. Based on (Sapkota *et al.*, 2018a), we created two variables,
245 (1) ‘over-use of N for rice’ and (2) ‘over-use of N for wheat’. The variable first is a binary
246 variable with value of one if the amount of nitrogen applied is more than 120 kg N ha⁻¹ in rice
247 fields, and zero otherwise. Similarly, the variable ‘over-use of N for wheat’ is a binary variable
248 with value of one if the amount of nitrogen applied is more than 140 kg N ha⁻¹ in wheat plots
249 and zero otherwise. Hence, we applied probit model combining all locations together (for
250 details, see (Wooldridge, 2010)).

251

252 **3.4 Variables and hypotheses**

253

254 We have eight dependent variables in our analysis: urea applied to rice and wheat, respectively,
255 DAP applied to rice and wheat, respectively, decision to use manure in rice and wheat,
256 respectively, as well as the quantity of manure applied in rice, and wheat, respectively. The use
257 of manure in rice and wheat are binary variables, while others are continuous.

258

259 **3.4.1. Explanatory variables**

260 Based on the theoretical framework and the previous literature on technology adoption, we
261 included explanatory variables in the empirical analysis (Takeshima *et al.*, 2016; Aryal *et al.*,
262 2018b; Aryal *et al.*, 2018c; Pingali *et al.*, 2019; Ward *et al.*, 2019). A description of explanatory
263 variables and hypotheses about their effects can be found below.

264

265

266 **3.4.1.1. Household characteristics**

267 Household characteristics including education, age, and gender of household head, family size,
268 and migration can influence technology adoption decisions. Educated individuals are assumed
269 to be able to more easily acquire new information and are more likely to adopt (Chowdhury *et*
270 *al.*, 2014). Past studies indicate that they are more likely to use chemical fertilizer (Omamo *et*
271 *al.*, 2002; Takeshima *et al.*, 2016). Further, elderly farmers apply more fertilizer relative to
272 manure, as its use requires less labor compared with manure application. Conversely, with
273 longer experience in agricultural management and benefits of organic matter in improving soil
274 fertility, older farmers have also been observed to prefer manure (Waithaka *et al.*, 2007). Rural
275 out-migration reduces the availability of household members to perform farm tasks; however,
276 it also increases access to alternative income streams through remittances that can assist in
277 purchasing fertilizer.

278

279 **3.4.2. Economic and social capital**

280 Economic capital consists of land, livestock, farm assets, household endowments and off-farm
281 income sources, whereas social capital can include membership in village institutions such as
282 farmer cooperatives/clubs. To capture the effect of wealth on the use of fertilizer and manure,
283 we constructed household asset index (AI) using principal component analysis (for details, see
284 <https://www.stata.com/manuals13/mvpca.pdf>). Wealthier households with higher index values
285 are hypothesized to be more likely to use more fertilizer (Omamo *et al.*, 2002; Waithaka *et al.*,
286 2007). By alleviating cash constraints, access to off-farm income and remittances was also
287 hypothesized to facilitate the use of fertilizer (Pingali *et al.*, 2019).

288

289

290

291 **3.4.3. Market, institutional services, and training**

292 Access to markets and institutional services can influence transactions costs and the degree of
293 farmers' knowledge and access to information, thereby influencing technology adoption
294 (Chowdhury *et al.*, 2014; Aryal *et al.*, 2018a; Aryal *et al.*, 2018c). Though extension staff is
295 mobile, lack of sufficient staff to cover all the geographical territory is common in SA. We
296 therefore consider distances to village markets and to extension service offices as proxies for
297 accesses to markets and information services, respectively. Households farther from input
298 markets conversely tend to use less fertilizer (Zhou *et al.*, 2010). Farmers' participation in
299 agriculture-related trainings and educational programs also influences technology adoption
300 (Aryal *et al.*, 2018c).

301

302 **3.4.5. Farm land characteristics**

303 To control for the potential effects of land attributes on fertilizer/manure use, we included
304 farmers' tenure status, soil fertility, soil depth, land slope, irrigation status, and distance to plot
305 from homestead in the analysis. Distant plots require increased transaction costs due to the
306 price of purchasing transport for inputs. Fields far from the household are also more difficult
307 to monitor. Therefore, input use was hypothesized to be inversely related to distance from the
308 household to the field. Farmers want to supply adequate inputs to fields that they can more
309 closely monitor and intervene in with management to achieve greater productivity. Fields that
310 farmers perceive as being less fertile may also receive more manure compared to fertile ones
311 because manure releases nutrients slowly and can improve soil physical and chemical
312 properties over time (Waithaka *et al.*, 2007).

313

314

315 **4. Results and discussion**

316

317 **4.1. Descriptive statistics**

318

319 Considerable differences were observed in the application of urea and DAP across study sites
320 (Table 2). The average amount of urea applied to rice was 315 kg ha⁻¹ in Haryana (India), while
321 it is only 205 kg ha⁻¹ in Nepal. The average amount of DAP applied in rice was highest in
322 Haryana (130 kg ha⁻¹), followed by Bihar (95 kg ha⁻¹), Bangladesh (65 kg ha⁻¹), and then Nepal
323 (62 kg ha⁻¹). The average amount of urea applied in rice is much higher in Bangladesh
324 compared to Bihar and Nepal. Generally, average rates of urea and DAP applied in both rice
325 and wheat were lowest in study sites in Nepal when compared with India and Bangladesh.

326

327 Unlike urea and DAP, farmers did not apply manure to all fields in the survey sample. In Bihar,
328 (India) and Nepal, 47% rice plots received manure, while 26% received manure additions in
329 Bangladesh. Manure was applied in 24% of plots cultivated to wheat in Nepal. Across all
330 locations, farmers in focus groups indicated that their use of manure is decreasing. They
331 reported that educated young household members are less interested in carrying manure to plots
332 and as a result, use of chemical fertilizer is increasing over time. In Haryana, the average
333 amounts of manure use in rice and wheat fields were 1,899 and 1,680 kg ha⁻¹, respectively
334 while they were 925 and 1,250 kg ha⁻¹ in rice and wheat fields in Bihar.

335

[Insert here Table 2]

336 On the average, age of household heads ranges between 47 and 51 years. Nepal had the highest
337 percentage of illiterate household heads (48%), while Haryana (India) has the lowest
338 percentage of illiterate household heads (22%). Almost 38% of the sample households in Nepal
339 had at least one member migrated for employment, while only 11% indicated out-migration
340 from Haryana.

341

342 Average landholding size in Haryana was 3.33 ha, much greater than in other sites (0.44 to
343 0.51 ha). Livestock holdings in the study locations exhibited similar trends, with the highest in
344 Haryana (3.81) followed by Nepal (1.25), Bangladesh (0.92) and Bihar (0.70). Access to credit
345 is relatively better in Bangladesh (69%) compared with other locations (34-44%).
346 Farmland characteristics substantially vary across study sites. Farmers in Haryana self-
347 described that almost 90% of their plots have fertile soil, whereas only 24% of farmers in
348 Bangladesh suggested that their soil was fertile. Access to irrigation facilities varied
349 considerably, with all farmers in Haryana indicating they could access irrigation, while 95% in
350 Bihar, 56% in Nepal and 76% in Bangladesh could access irrigation reliably.

351

352 **4.1 Factors influencing the amount of urea and DAP use in rice and wheat**

353

354 The factors influencing the amount of urea used in rice are, in most cases, similar to the ones
355 affecting DAP (Table 3). Gender, education and migration are key household characteristics
356 significantly affecting the amount of urea applied in rice. Compared to female-headed
357 households (FHHs), male-headed households (MHHs) in Bihar and Bangladesh applied
358 significantly higher rates of urea in rice. However, MHHs in Nepal applied significantly less
359 urea and DAP in rice compared to their FHHs counterparts. This result is somewhat expectable,
360 as Nepalese women face fewer restrictions in carrying out agricultural activities than in India
361 or Bangladesh, where socio-cultural norms may inhibit them from doing so (Mallick and Rafi,
362 2010; Mahmud *et al.*, 2012; Aryal *et al.*, 2014). Additionally, male out-migration has
363 substantially changed the traditional gender norms in Nepal (Sugden *et al.*, 2018; Spangler and
364 Christie, 2020). Compared to illiterate household heads, those with secondary and higher
365 education also applied more urea and DAP to rice. This finding does not corroborate with (Zhou
366 *et al.*, 2010) and (Adesina, 1996). Rural out-migration also appears to reduce fertilizer use in
367 Bihar and Bangladesh, while it conversely increased fertilizer use in Nepal. Male out-migration

368 negatively influenced fertilizer use in Bihar, India and Bangladesh as fertilizer application is
369 mainly the men's task in these countries.

370

371 **[Insert here Table 3]**

372

373 Farm size was positively associated with the amount of both urea and DAP applied in rice.

374 Households with more livestock and wealthier households (measured in terms of AI) in all

375 study locations except Haryana have applied more urea and DAP. Access to credit is positively

376 associated with the application of urea and DAP in rice in Bangladesh, while the reverse was

377 found in Bihar. Off-farm income, market access and training all positively influenced the

378 application of urea and DAP across all locations. These findings suggest that it may be

379 preferential for government policy to focus on facilitating additional opportunities for off-farm

380 employment and income generation, increasing market access, and training rather than on

381 access to credit.

382

383 Farmers applied less urea and DAP to fields they indicated were more inherently fertile in

384 comparison to those they deemed as fertile. Irrigation was positively associated with fertilizer

385 rates in Bangladesh and Nepal, but not in Bihar. Farmers with secured tenure also tended to

386 apply higher rates of fertilizer compared to those who rented-land. Most farmers are found to

387 use of Urea and DAP as complementary inputs in rice farming. We also observed that factors

388 affecting the amount of urea and DAP applied to wheat (Table 4) are generally similar to that

389 of rice in all countries (see Table 3).

390

391 **[Insert here Table 4]**

392

393

394

395 **4.2 Factors determining the adoption and intensity of manure use in rice and wheat**

396

397 Wald chi-square tests for the Heckman two-step models were significant at 1% level (Tables 5
398 and 6), indicating the validity of the models in explaining observed differences in farmers'
399 decision to adopt manure in rice and wheat. The Inverse Mills Ratio was highly significant in
400 all models, implying that manure use intensity depends on the likelihood of farmers to decide
401 to apply manure. Sets of the observed factors appear to affect the likelihood of farmers' choice
402 to utilize manure. These factors differed from the ways they influence the rates of manure used
403 among the subset of farmers who chose to apply manure. Furthermore, some factors appear to
404 have contradictory effects on the choice to apply manure *vis-à-vis* its application rate. As
405 several factors influencing the adoption and intensity of adoption of manure in rice (Table 5)
406 and wheat (Table 6) are similar, we describe their results together.

407

[Insert here Table 5]

408

409 Compared to FHHs, MHHs in Bihar, Bangladesh and Nepal appear to be more likely to choose
410 to use manure, but the rates of application are higher among MHHs in Bangladesh and Nepal
411 only. Farmers' educational levels have differential impacts on the likelihood to use and rate of
412 application. Household heads with primary education (relative to no formal schooling) were
413 more likely to apply manure, though the same variable failed to influence the amount of manure
414 applied. Household heads with secondary and higher educational levels were conversely less
415 likely to apply manure. However, if they do apply manure, the rates of use were higher than
416 those with no or primary education only.

417

418

[Insert here Table 6]

419

420 The likelihood of manure application increased with land size, but the rate of application was
421 inversely related. Farmers reported that the young and educated generation tend not to prefer

422 labour-intensive approaches such as manure application. Livestock ownership, the major
423 source of manure, was unsurprisingly positively associated with both likelihood and intensity
424 of manure use in all sites. Access to credit had variable effects manure use: no impact was
425 observed in Haryana, while negative and positive impacts on were found in Bihar relative to
426 Bangladesh and Nepal. Factors such as off-farm income, market access, training and
427 membership in village institutions also increased the use of manure in both crops.
428 Farmers are also less likely to apply manure they perceived of as being highly saline. Use of
429 organic amendments has however been shown to help mitigate the effects of salinity on crop
430 productivity (Xu *et al.*, 2014; Ding *et al.*, 2020). Educational programs could be of use in
431 saline-affected locations where farmers have access to manure and can afford labor to apply it,
432 although application over multiple seasons may be required for desirable impacts (Ding *et al.*,
433 2020). Those who did apply manure also used lower rates. Similar is the findings for plots that
434 are located farther from homestead. In contrast, farmers were more likely to adopt manure in
435 fields they perceived of having greater soil depth. In Bangladesh and Nepal, irrigation was also
436 positively associated with both the likelihood and rate of manure use. Compared to rented
437 fields, farmers with tenure also had greater rates of manure application.

438

439 **4.4 Factors affecting the over-use of N fertilizer**

440 A total of 33.6% of rice fields in our data were reported by farmers as receiving more than 120
441 kg N ha⁻¹ and 18.5% of the wheat fields received more than 140 kg N ha⁻¹ (see appendix: Tables
442 A1 and A2). Though the critical value of N that is used here for defining over-use of N may
443 vary across sites, we believe it to be rational here as our objective is to examine the factors
444 contributing to the over-use of N. Moreover, we estimated the models by changing the critical
445 value of N for rice as more than 100 kg N ha⁻¹ and for wheat 120 kg N ha⁻¹, the variables
446 significantly affecting the over-use of N in rice and wheat remained almost same. Therefore,

447 we analyzed the factors affecting over-use of fertilizer by combining data from all locations
448 (Table 7).

449 **[Insert here Table 7]**

450 Compared to FHHs, MHHs appear to be more likely to over-use N. Household heads with
451 secondary and higher educational levels also appear to have a higher likelihood to over-use of
452 N (significant at 5% and 1% level). Land size, off-farm income, and wealth were also
453 significantly and positively associated with the over-use of N.

454

455 Households that are farther from market are perhaps unsurprisingly less likely to over-use N
456 fertilizer. Training on fertilizer management is negatively associated with the N over-use.
457 Farmers are not likely to over-use N fertilizer in plots they consider as having higher inherent
458 fertility levels, or that have deeper soil profiles. Over-use of N fertilizer does appear to increase
459 with access to irrigation, a consistent findings as observed in the states with successful GR.
460 Across the study locations, Nepal has lowest percentage of over-use of N in both rice and
461 wheat. All other countries conversely have a greater likelihood of overusing N.

462

463 Overall, a wide variation is observed across the study sites regarding the over-use of N fertilizer
464 in rice and wheat. The findings indicate that training on fertilizer management is more crucial
465 than formal education to reduce the over-use of N. This also calls for a new study focusing on
466 the institutional analysis including the information and support that farmers get from extension
467 agents to regularly update the fertilizer need for their farm, information on soil testing and
468 nutrient adjustment as per requirement, and increased access to nutrient management tools.

469

470 **4.5 Farmer focus group findings**

471 Our discussions with farmers in the study villages confirmed the complex interactions of
472 variables that conditions decisions on fertilizer use. Although many farmers indicated that they

473 could not clearly understand or ‘separate’ the impact of a single production factor such as
474 fertilizer to distil its implication for overall crop productivity, they did however clearly indicate
475 that they understand the importance of fertilizer but lack knowledge on its balanced application.
476 Therefore, they are more likely to go for over-application of N, mainly from urea, ignoring
477 other nutrients.

478

479 Farmers reported that government subsidy plays important role in fertilizer use. In all South
480 Asian countries exempting Sri Lanka, government subsidy is higher on urea than on other
481 fertilizers. In focus groups, farmers indicated that this has a strong influence on their
482 application of fertilizer N at rates that are often higher than required. Together, the uneven
483 subsidy structure also works as disincentives in using recommended rates of secondary and
484 micronutrients. This is in line with the findings of (Sapkota *et al.*, 2018a).

485

486 Farmers also reported that increase in formal education level may not necessarily reduce the
487 over-use of N fertilizer as more educated family members have more non-farm opportunities
488 and may not stay as involved in agriculture. Therefore, agricultural training, awareness raising,
489 appropriate extension services – which can be delivered by public and also by the private sector
490 – and interventions to increase farmers’ knowledge of nutrient responses to plant are important
491 to encourage change in farmers’ knowledge and behavior towards fertilizer use to reduce
492 inefficiencies (Sapkota *et al.*, 2018a; Afrad *et al.*, 2019; Ananth *et al.*, 2019). Some farmers
493 also reported that traditional extension service by government institution is not very effective
494 in providing recent advancement in fertilizer management properly and thus, there is a need to
495 mobilize local youth clubs to update the recent developments in soil fertility management.

496

497 Very few participants were aware of possibility of non-point water pollution due to nitrogen
498 leaching from over-use of N and its negative human health and environmental impacts. This
499 underscores the importance of programs to educate farmers on the principles of efficient
500 fertilizer management – perhaps coupled with appropriate nutrient management policy
501 (Kishore *et al.*, 2019).

502

503 ***5. Conclusions and policy implications***

504

505 This study revealed that various factors influence the amount of fertilizer (urea and DAP) and
506 manure application in rice and wheat in South Asia. Wealth, gender, education, migration,
507 access to market, training, and off-farm income sources are some of the key factors influencing
508 the application of chemical fertilizer and manure. Economic and elements of social capital are
509 primarily positively correlated with increased chemical fertilizer use. Discussions with farmers
510 show that they prefer using off-farm income to purchase agricultural inputs rather than credit.
511 Thus, public policy that create off-farm income generation opportunities are likely to be of use
512 – particularly for resource poor and smallholder farm families – when increasing fertilizer use
513 are the goal. Conversely, where farmers routinely over-apply fertilizers, or practice imbalanced
514 application, more complex policy and development interventions may be needed, including
515 educational programs, direct training, and behavioral nudging methods that may encourage
516 more rational use. Similarly, few farmers in the study locations appeared to have sophisticated
517 knowledge on the negative effects of fertilizer over-use. Thus, training on fertilizer
518 management, along with the dissemination of knowledge on negative externalities of its
519 inappropriate use is likely to be important in preventing unsound over-use.

520

521 Another crucial finding is that application of manure is decreasing in all locations. Perhaps
522 surprisingly, where farmers have higher levels of education, our data suggest that they are more

523 likely to opt out of manure application to rice and wheat fields. Combined with evidence on
524 decreasing concentrations of soil organic matter in our study countries, this is arguably
525 concerning, and indicates the need for appropriate educational and extension programs,
526 methods to offset the high labor demand and costs of manure application, possibly through
527 scale-appropriate machinery options to encourage educated and young farmers to use manure
528 when and where it is most needed to maintain long-term soil fertility.

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548 **Ethical Approval**

549 This study is based on survey methods involving interviewing farmers to answer questions
550 about their socioeconomic and farming activities. Like all socioeconomic surveys (or any data
551 collection that involves collecting data from family or community representatives) Institutional
552 Research Ethics Committee (IREC) of International Maize and Wheat Improvement Centre
553 (CIMMYT) classified it as low risk and approved the study. Entire research methods were
554 performed in accordance with the relevant guidelines and regulations issued by CIMMYT
555 institutional research ethics committee (IREC).

556

557 **Consent to Participate**

558 Each questionnaire of this study had a front page section that required informed consent for
559 interview before the interview could proceed. Interviewers were trained and under instructions
560 to read aloud the consent statement to each interviewee before the interview could advance.
561 Participants were informed that they were under no obligations to answer any questions or they
562 could stop the interview at any time, without giving any reasons and ask that any partial data
563 recorded be removed from the records. This way the survey was consistent with CIMMYT-
564 IREC policies and those generally applied in low-risk social science research.

565

566 **Consent to Publish**

567 Before the interview could proceed, we obtained informed consent from the participant that the
568 data will be analyzed and a paper will be published. No personal information will be shared
569 and published. This way the survey was consistent with CIMMYT-IREC policies and those
570 generally applied in low-risk social science research.

571

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583

584 **Authors Contributions**

- 585 • **Jeetendra Prakash Aryal:** Led the preparation of data collecting instruments, survey
586 design and data collection (both quantitative survey and focus group discussion),
587 conceptualization, analysis and write up
- 588 • **Tek Bahadur Sapkota:** Contributed in the research idea formulation, and write up of
589 the paper and project management
- 590 • **Timothy J. Krupnik:** Contributed in conceptualization, write up of the paper
- 591 • **Dil Bahadur Rahut:** Contributed in the interpretation of the result and the write up of
592 the paper, provided input in the development of the instrument
- 593 • **ML Jat:** Contributed in the write up of the paper
- 594 • **Clare M. Stirling:** Contributed in the write up of the paper

595

596 **Competing Interests**

597 Authors do not have any competing interest

598

599 **Availability of data and materials**

600 Authors do not have the right to share the data. However, it will be made available to the reader
601 upon request.

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603

604

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753 **Tables for South Asia fertilizer paper**

754
755 **Table 1: Study villages and sample size (n)**

Bangladesh ¹		Bihar-India: Vaishali district		Haryana-India: Karnal district		Nepal: Rupandehi district	
Village name	n	Village name	n	Village Name	n	Village name	n
Boro Galua (J)	32	Bhatha Dasi	63	Anjanthali	67	Aahirauli	50
Burigoalini (S)	45	Bilandpur	68	Bir Narayana	49	Bairiyan	50
Chandipur (S)	66	Dedhpur	46	Barthal	41	Bhaglapur	32
Dumuria (S)	64	Dhabhaich	46	Churni Jagir	20	Dewapar	59
Durgapur (J)	8	Laxminarayanpur	44	Darar	25	Dhakdahi	92
Gabgasia (B)	66	Mirpur	55	Garghi Jattan	46	Haraiya	47
Gopalpur (J)	32	Mukundpur	69	Hathlana	46	Hati Bangai	33
Hatsala (S)	28	Panapur Camp	56	Mohri Jagir	40	Mahuwari	71
Horinagor (S)	45	Raja Pakar	70	Nanhara	43	Parasi Thuga	66
Jagannathpur (J)	64	Rampur Ratnagar	45	Pakhana	80	Razadh	36
Joka (B)	40	Rasalpour	48	Sandhir	64	Rehara	48
Sreefal Kathi (S)	45	Varishpur	31	Sanwat	45	Samrahana	47
Tarabunia (J)	45			Sounkra	60		
Teligati (B)	50						
Total sample HHs	630		641		626		631
No. of rice plots	1182		1299		665		1576
No. of wheat plots	0		1544		667		977

756 Note: ¹B, J, and S denotes for Bagerhat, Jhalokathi and Satkhira districts in Bangladesh, respectively.
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Table 2: Description of variables used in the study

Variables	Haryana		Bihar		Bangladesh		Nepal		Overall		Variable Description
	Mean	S.D	Mean	S.D	Mean	S.D.	Mean	S.D.	Mean	S.D.	
Dependent Variables											
Urea_rice (C)	315	93	210	87	285	101	205	91	254	93	Amount of urea applied in rice (kg ha ⁻¹)
Urea_wheat (C)	320	96	225	79	na	na	210	73	252	82	Amount of urea applied in wheat (kg ha ⁻¹)
DAP_rice (C)	130	49	95	53	65	29	62	45	88	44	Amount of DAP applied in rice (kg ha ⁻¹)
DAP_wheat (C)	125	35	110	51	na	na	79	43	104	43	Amount of DAP applied in wheat (kg ha ⁻¹)
Manure_rice (C)	1899	1409	925	1586	1120	950	1362	1102	1326	1261	Amount of manure applied in rice (kg ha ⁻¹)
Manure_wheat (C)	1680	1233	1250	955	na	na	1030	725	1320	971	Amount of manure applied in rice (kg ha ⁻¹)
Manure_r (D)	0.46	0.49	0.47	0.50	0.26	0.36	0.47	0.49	0.42	0.45	1 if manure is applied in rice plots
Manure_w (D)	0.25	0.29	0.43	0.48	na	na	0.24	0.34	0.31	0.36	1 if manure is applied in wheat plots
Independent Variables											
Household (HH) characteristics											
Male headed HH (D)	0.97	0.17	0.91	0.29	0.90	0.30	0.78	0.41	0.89	0.31	1 if male headed house and 0 if female
Age of HH head (C)	49	13	51	14	47	13	50	14	49	13.45	Age of household in years
Education of HH head (D)	0.67	0.47	0.62	0.49	0.71	0.45	0.51	0.5	0.63	0.48	1 if HH went to school and 0 otherwise
Illiterate	0.22	0.41	0.38	0.49	0.29	0.45	0.48	0.50	0.22	0.41	Illiterate
Primary education	0.19	0.39	0.09	0.29	0.33	0.47	0.19	0.38	0.33	0.47	Up to primary education (Grade 1-5)
Secondary education	0.40	0.49	0.38	0.48	0.31	0.46	0.28	0.45	0.34	0.48	Up to secondary education (Grade 6-10)
Higher education	0.18	0.38	0.15	0.36	0.07	0.26	0.05	0.22	0.12	0.32	Higher secondary or above education (Grade 11 and above)
Education of Spouse (D)	0.51	0.5	0.3	0.46	0.63	0.48	0.22	0.41	0.42	0.49	1 if HH's spouse went to school and 0 otherwise
AEC (C)	4.46	1.79	0.89	0.17	3.27	1.27	4.57	2.19	3.28	2.15	Household labor worked in agriculture
Family Size (C)	6.03	2.47	6.05	2.65	4.67	1.68	6.39	3.08	5.78	2.61	Total members in family
Food Security status (D)	1	0.07	0.69	0.46	0.72	0.45	0.89	0.3	0.82	0.37	1 if HH is food secure and 0 otherwise
Migration (D)	0.11	0.31	0.28	0.45	0.23	0.42	0.38	0.48	0.25	0.43	1 if HH has migrant member and 0 otherwise
Economic and social capital											
Land Size (C)	3.33	3.81	0.51	0.41	0.44	0.48	0.49	0.85	1.1	2.32	Total land operated in ha
TLU (C)	3.81	5.7	0.70	0.74	0.92	1.19	1.25	1.54	1.63	3.27	Livestock owned in tropical livestock unit
Asset index (C)	0.93	0.61	0.30	0.53	0.43	0.75	0.45	0.68	0.53	0.59	Household asset index ¹
Access to credit (D)	0.4	0.49	0.34	0.48	0.69	0.46	0.44	0.49	0.47	0.5	1 if taken loan in last 24 months and 0 otherwise
Non-Agricultural income (D)	0.15	0.36	0.47	0.5	0.16	0.36	0.38	0.49	0.29	0.45	1 if HH has income from non-agriculture source, 0 otherwise
Farm labor income (D)	0.01	0.09	0.04	0.19	0.13	0.34	0.04	0.18	0.05	0.22	1 if works as on farm labor, 0 otherwise

Non-Farm labor income (D)	0.03	0.18	0.25	0.43	0.19	0.38	0.15	0.34	0.15	0.36	1 if works as non-farm labor, 0 otherwise
Membership (D)	0.35	0.48	0.09	0.28	0.39	0.49	0.45	0.52	0.32	0.47	1 if any family member is member in any institution in village and 0 otherwise
Access to market and agriculture extension service, and training											
Distance to input market (C)	6.43	3.07	4.69	4.67	3.54	4.02	4.39	5.81	6.07	5.21	Distance to nearest input market from house (in km)
Distance to agriculture extension office (C)	5.25	2.84	5.04	3.66	9.16	8.79	3.72	3.56	6.78	6.80	Distance to agriculture extension service from house (in km)
Training (D)	0.22	0.41	0.52	0.5	0.04	0.18	0.02	0.15	0.2	0.4	1 if HH has received training on improved seeds, soil & water management, crop rotation, minimum tillage, 0 otherwise
Farmland characteristics											
Soil fertility (D)	0.91	0.23	0.46	0.50	0.24	0.35	0.37	0.49	0.48	0.39	1 if good and 0 otherwise
Soil depth (D)	0.38	0.19	0.15	0.36	0.42	0.49	0.09	0.38	0.27	0.41	1 if deep and 0 if shallow
Land slope (D)	0.91	0.29	0.47	0.50	0.75	0.43	0.56	0.47	0.68	0.46	1 if gentle slope and 0 if medium/steep slope
Irrigation (D)	0.99	0.07	0.92	0.17	0.76	0.46	0.56	0.38	0.75	0.45	1 if irrigated and 0 if rainfed
Soil salinity (D)	0.16	0.47	0.04	0.18	0.45	0.49	0.07	0.31	0.19	0.38	1 if soil salinity high and 0 if low
Land ownership (D)	0.88	0.33	0.75	0.43	0.62	0.48	0.89	0.32	0.78	0.37	1 if owner-operated plot and 0 if leased-in
Distance to plot (C)	1.39	0.99	0.76	1.06	0.59	0.82	0.87	1.15	0.81	0.83	Average distance from homestead to farm plot (in km)

Notes:

1. C and D refer to continuous and dummy variables, respectively.
2. na refers to not applicable.
3. To capture the effect of wealth on the use of urea, DAP and manure by the farm household, we constructed household asset index using principal component analysis (for detail, see <https://www.stata.com/manuals13/mvpca.pdf>). We included most of the household assets such as tractor, car, television, water pump, motorbike, etc. for constructing household asset index.
4. Adult equivalent
5. Tropical livestock unit (TLU): calculated using Chilonda, P., Otte, J., 2006. Indicators to monitor trends in livestock production at national, regional and international levels. Livestock research for Rural Development 18. Article number 117. Accessed at <http://www.lrrd.org/lrrd18/8/chil18117.htm>

Table 3: Factors influencing amount of Urea (kg ha⁻¹) and DAP (kg ha⁻¹) used in rice in the study sites

	Urea (kg ha ⁻¹)				DAP (kg ha ⁻¹)			
	Haryana	Bihar	Bangladesh	Nepal	Haryana	Bihar	Bangladesh	Nepal
<i>Household (HH) characteristics</i>								
Male headed HH	19.76 (21.36)	22.17** (11.02)	21.04*** (7.06)	-18.08*** (6.07)	4.04 (6.56)	8.12*** (2.14)	5.17*** (1.95)	-7.35*** (2.33)
Age of HH head	0.32 (0.39)	4.81 (8.45)	1.47 (2.31)	3.31 (5.78)	0.73 (0.75)	-0.10 (0.17)	-0.37 (0.49)	-1.10 (1.12)
Primary education	1.70 (9.26)	10.22* (5.41)	7.63 (8.12)	9.70** (4.33)	-4.56 (5.83)	3.61** (1.67)	2.59 (1.83)	2.35*** (0.81)
Secondary education	15.23*** (5.07)	13.72** (6.14)	10.12** (4.05)	18.87*** (7.06)	5.09** (2.02)	3.63** (1.72)	1.92** (0.80)	2.04*** (0.63)
Higher education	22.10** (10.89)	28.75*** (9.07)	26.81*** (8.78)	20.35** (10.01)	4.86*** (1.55)	3.97*** (1.23)	2.32*** (0.78)	2.83*** (0.91)
Family size (AEC)	-20.49 (39.23)	-27.11 (28.71)	6.80** (3.05)	17.49 (16.74)	-6.49 (14.40)	-5.51 (8.11)	2.53* (1.40)	-7.88 (8.01)
Migration	-11.29 (7.45)	-20.16*** (5.03)	-15.21** (6.01)	25.08*** (8.14)	-6.19 (7.58)	-2.50** (0.98)	-3.16** (1.50)	4.21*** (1.09)
<i>Economic and social capital</i>								
Land size	47.93*** (9.24)	22.15*** (7.01)	29.36*** (10.12)	25.11*** (6.17)	10.44*** (3.30)	7.21*** (2.45)	3.57** (1.54)	5.40* (2.93)
Livestock owned	9.28** (4.01)	5.46** (2.24)	4.42** (2.00)	4.04*** (1.14)	2.39 (1.92)	2.98*** (1.03)	4.13** (2.01)	3.24*** (1.21)
Asset index	5.34 (6.76)	4.17** (1.98)	7.03*** (2.59)	2.82*** (0.76)	3.15 (3.31)	3.29** (1.31)	3.10*** (1.09)	2.06** (0.83)
Credit access	-17.83 (16.91)	-6.74** (2.85)	4.18*** (1.58)	5.74 (6.85)	-4.55 (3.18)	-3.12** (1.30)	2.04*** (0.58)	-2.55 (3.24)
Off-farm income	7.57*** (2.33)	12.48*** (3.57)	5.32** (2.17)	11.81*** (2.86)	3.29** (1.39)	3.54*** (1.11)	2.46*** (0.91)	2.53*** (0.89)
Membership	4.84 (5.15)	5.08** (2.02)	-18.84 (13.85)	4.84*** (1.25)	3.65 (5.28)	2.91** (1.29)	5.14 (5.51)	2.63*** (0.88)
<i>Access to market, extension service and training</i>								

Distance to input market	-7.98*** (2.78)	-10.01*** (3.04)	-9.25*** (2.37)	-11.71*** (3.14)	-6.01*** (1.35)	-3.26** (1.50)	-4.05*** (0.98)	-5.20*** (1.04)
Distance to extension service	-0.80 (0.95)	-1.73 (1.87)	-3.48 (4.62)	-2.31 (3.11)	-0.60 (0.41)	-2.45 (3.12)	-0.87 (0.89)	-1.60 (1.57)
Training	-3.53 (6.43)	5.61*** (1.32)	7.30** (3.00)	5.02*** (1.43)	4.84** (2.06)	6.16*** (1.95)	3.25*** (1.10)	2.93** (1.45)
<i>Farm land characteristics</i>								
Soil fertility	-10.10*** (3.09)	-15.21*** (5.11)	-12.44** (6.01)	-9.07** (4.28)	-4.22*** (1.05)	-2.83*** (1.01)	-5.72*** (2.13)	-6.01*** (1.98)
Soil depth	-4.13 (7.07)	-12.07** (5.14)	-10.39** (4.72)	-9.22* (5.41)	-3.35 (3.27)	-2.62*** (0.97)	-4.53*** (1.27)	-4.85*** (1.40)
Land slope	5.33 (10.62)	9.53 (9.21)	7.81 (8.18)	6.72 (8.29)	4.21 (4.85)	3.35 (4.07)	2.18 (3.66)	3.41 (3.30)
Irrigation	na na	29.54 (33.45)	39.12*** (12.37)	36.41*** (11.83)	na na	8.71 (8.60)	13.95*** (3.77)	11.85*** (2.91)
Soil salinity	10.15*** (2.49)	5.15 (7.19)	15.39*** (5.12)	4.15 (6.14)	7.99** (3.41)	-3.99 (3.63)	5.84*** (2.05)	-2.75 (3.17)
Land ownership	15.10** (6.44)	22.01*** (7.09)	27.41*** (9.07)	18.05* (10.01)	10.22** (5.05)	12.83*** (4.10)	9.01*** (3.04)	9.42*** (2.81)
Distance to plot	-11.95*** (3.08)	-18.07*** (5.14)	-17.58*** (5.13)	-21.17*** (5.06)	-7.85*** (1.53)	-9.25*** (2.04)	-8.53*** (2.17)	-7.15*** (1.91)
Amount of urea applied	na na	na na	na na	na na	0.19*** (0.05)	0.13*** (0.04)	0.07** (0.03)	0.09*** (0.03)
Amount of DAP applied	0.55*** (0.17)	0.46*** (0.11)	0.17*** (0.03)	0.21*** (0.05)	na na	na na	na na	na na
Constant	143.45*** (31.41)	115.37 (20.24)	122.21*** (24.04)	107.08*** (29.37)	89.34*** (22.21)	67.90*** (19.40)	46.15*** (11.65)	43.26*** (11.33)
R-squared	0.53	0.59	0.47	0.64	0.61	0.68	0.51	0.66
Number of observations	667	1299	1182	1576	667	1299	1182	1576

Note: Standard errors in parenthesis. *, **, and *** refer to 10%, 5%, and 1% level of significance, respectively. 'na' refers to not applicable.

Table 4: Factors influencing amount of Urea (kg ha⁻¹) and DAP (kg ha⁻¹) used in wheat in the study sites

	Urea (kg ha ⁻¹)			DAP (kg ha ⁻¹)		
	Haryana	Bihar	Nepal	Haryana	Bihar	Nepal
<i>Household (HH) characteristics</i>						
Male headed HH	22.68 (25.45)	18.09** (9.10)	-21.32*** (7.12)	7.12 (7.23)	10.08*** (2.81)	-5.18** (2.50)
Age of HH head	0.46 (0.51)	6.75 (7.04)	5.24 (4.91)	0.93 (0.92)	-0.85 (0.94)	-2.13 (2.10)
Primary education	3.67 (5.92)	9.36*** (3.21)	11.51** (5.24)	-6.11 (6.07)	3.01*** (1.02)	3.35*** (0.96)
Secondary education	12.17** (6.03)	17.23*** (4.10)	15.46*** (5.13)	7.10** (3.31)	4.52** (2.03)	3.12*** (0.89)
Higher education	24.08*** (7.02)	19.14*** (5.17)	18.19*** (6.42)	5.14*** (1.28)	4.61*** (1.50)	2.96*** (0.97)
Family size (AEC)	-15.94 (16.11)	-13.28 (12.97)	-22.47 (23.19)	-11.46 (13.22)	-7.63 (8.92)	-8.78 (8.25)
Migration	-13.42 (9.73)	-24.20*** (4.31)	19.25*** (5.80)	-7.16 (7.95)	-4.54** (1.25)	7.23*** (2.42)
<i>Economic and social capital</i>						
Land size	25.24*** (7.51)	27.08*** (7.74)	19.50*** (5.42)	12.40*** (4.16)	9.20*** (3.15)	6.71*** (2.09)
Livestock owned	11.14*** (3.89)	8.79*** (3.04)	6.58*** (2.01)	3.56*** (0.98)	5.89*** (1.81)	5.20*** (1.43)
Asset index	7.85 (7.76)	7.50*** (2.10)	3.51** (1.72)	4.69 (4.37)	5.23*** (1.31)	2.21*** (0.84)
Credit access	-12.33 (13.61)	-4.28* (2.55)	4.08 (3.85)	-3.15 (3.45)	-4.12*** (1.47)	-3.57 (3.33)
Off-farm income	11.10*** (3.30)	8.92*** (2.54)	15.01*** (3.69)	6.21*** (2.08)	7.11*** (2.45)	5.63*** (1.39)
Membership	5.73 (5.44)	4.25** (1.97)	5.91*** (1.30)	5.19 (5.13)	4.81** (1.88)	3.95*** (0.98)
<i>Access to market, extension service and training</i>						

Distance to input market	-10.77*** (3.14)	-17.15*** (4.10)	-14.50*** (3.36)	-7.01*** (2.30)	-8.53** (2.57)	-10.24*** (3.15)
Distance to extension service	-2.96 (3.98)	-3.27 (4.13)	-1.67 (2.56)	-0.96 (0.94)	-3.45 (3.32)	-2.93 (2.87)
Training	8.34** (3.63)	3.96*** (1.25)	7.02*** (2.08)	5.04** (2.25)	7.06*** (2.14)	4.90** (1.85)
<i>Farm land characteristics</i>						
Soil fertility	-17.51*** (5.11)	-12.42*** (3.28)	-11.90*** (3.59)	-7.20*** (2.12)	-5.08*** (1.39)	-6.78*** (1.68)
Soil depth	-5.09* (2.68)	-9.21*** (3.10)	-7.50*** (2.41)	-4.89 (4.73)	-4.42*** (1.06)	-5.05*** (1.53)
Land slope	7.08 (9.60)	8.23 (8.44)	4.65 (6.88)	3.80 (4.71)	3.89 (4.11)	4.74 (5.30)
Irrigation	na	21.59 (24.32)	30.20*** (8.73)	na	9.15 (10.01)	13.50*** (3.21)
Soil salinity	15.05*** (3.61)	6.86 (7.04)	6.33 (6.44)	8.50** (3.56)	-4.74 (4.63)	-2.95 (3.47)
Land ownership	14.50** (5.69)	25.27*** (6.25)	12.01** (5.21)	9.02** (4.15)	13.33*** (3.85)	10.14*** (2.98)
Distance to plot	-10.04*** (3.10)	-20.55*** (5.25)	-23.50*** (6.01)	-6.81*** (1.61)	-10.92*** (2.48)	-9.55*** (2.01)
Amount of urea applied	na	na	na	0.17*** (0.04)	0.11*** (0.03)	0.15*** (0.03)
Amount of DAP applied	0.61** (0.19)	0.45*** (0.13)	0.28*** (0.10)	na	na	na
Constant	155.23*** (29.87)	121.33*** (22.19)	113.04*** (24.97)	71.35*** (16.41)	63.14*** (15.08)	47.26*** (12.37)
R-squared	0.57	0.59	0.45	0.65	0.48	0.62
Number of observations	667	1544	977	667	1544	977

Note: Standard errors in parenthesis. *, **, and *** refer to 10%, 5%, and 1% level of significance, respectively. 'na' refers to not applicable.

Table 5: Factors influencing adoption and amount of manure used in rice in the study sites (Heckman two-step model)

Variables	Haryana		Bihar		Bangladesh		Nepal	
	Adoption	Intensity	Adoption	Intensity	Adoption	Intensity	Adoption	Intensity
<i>Household (HH) characteristics</i>								
Male headed HH	0.09 (0.17)	-271.19 (305.04)	0.40** (0.18)	-351.33 (335.99)	0.29** (0.13)	151.51** (61.33)	0.35** (0.14)	220.22** (105.10)
Age of HH head	-0.03 (0.13)	21.51 (18.81)	-0.01* (0.00)	11.15 (7.79)	-0.09*** (0.03)	9.37 (10.70)	-0.10** (0.04)	8.14 (8.31)
Primary education	0.28*** (0.10)	134.17 (213.04)	0.46*** (0.14)	155.71 (316.02)	0.34*** (0.11)	234.18 (242.02)	0.38*** (0.09)	184.16 (213.10)
Secondary education	-0.19** (0.09)	264.56** (112.15)	-0.23** (0.10)	364.55** (175.15)	-0.21*** (0.07)	205.17** (95.42)	-0.31** (0.15)	195.22** (83.14)
Higher education	-0.12*** (0.03)	298.16*** (100.21)	-0.12 (0.13)	304.79** (152.31)	-0.12 (0.13)	271.83** (135.30)	-0.12 (0.13)	276.79** (122.26)
Family size (AEC)	0.39*** (0.13)	109.58 (256.75)	0.36*** (0.10)	153.28** (76.75)	0.25*** (0.09)	201.58** (96.75)	0.41*** (0.11)	185.58** (84.23)
Migration	0.04 (0.07)	103.70 (122.95)	-0.16* (0.09)	-203.70** (89.23)	-0.13** (0.06)	-214.08** (101.11)	-0.19** (0.09)	-195.03** (91.12)
<i>Economic and social capital</i>								
Land size	0.23*** (0.06)	-201.09 (230.22)	0.43*** (0.08)	-199.37* (112.30)	0.51*** (0.17)	-205.51** (97.30)	0.39*** (0.12)	-185.72** (75.14)
Livestock owned	0.53*** (0.06)	289.01** (124.71)	0.31*** (0.10)	270.19*** (101.11)	0.25*** (0.04)	270.15*** (94.17)	0.35*** (0.07)	300.09*** (99.38)
Asset index	-0.09 (0.08)	35.33 (134.92)	0.16** (0.07)	235.33** (104.27)	0.27*** (0.09)	151.74** (71.32)	0.21** (0.10)	135.33** (61.92)
Credit access	-0.07 (0.13)	130.08** (63.51)	-0.15* (0.09)	290.86* (166.54)	0.22** (0.10)	150.54** (69.14)	0.19*** (0.07)	175.80*** (59.66)
Off-farm income	0.42*** (0.12)	-182.47 (206.32)	0.47*** (0.14)	-171.41 (226.13)	0.33*** (0.10)	-166.78 (168.23)	0.27*** (0.09)	-153.77 (154.09)
Membership	0.23* (0.11)	-150.41 (186.22)	0.35*** (0.09)	-189.24 (226.13)	0.47*** (0.14)	-171.41 (189.01)	0.19*** (0.06)	-187.02 (211.26)
<i>Access to market, extension service and training</i>								
Distance to input market	0.06***	16.63	0.10***	125.16***	0.09***	161.07***	0.13***	152.60**

	(0.01)	(27.52)	(0.03)	(39.27)	(0.03)	(51.27)	(0.04)	(67.15)
Distance to extension service	0.01	-20.27	0.13	-19.60	0.08	-22.03	0.12	-33.10
	(0.01)	(21.56)	(0.18)	(20.41)	(0.10)	(25.01)	(0.21)	(35.25)
Training	0.18*	146.28	0.22**	164.82**	0.15**	146.28**	0.25**	154.83**
	(0.10)	(154.60)	(0.09)	(79.45)	(0.07)	(70.60)	(0.10)	(72.01)
<i>Farm land characteristics</i>								
Soil fertility	0.58***	-314.12**	0.26***	-331.01*	0.34***	-290.13**	0.29***	-243.31**
	(0.08)	(135.27)	(0.06)	(185.21)	(0.11)	(144.45)	(0.09)	(109.21)
Soil depth	0.60***	-442.80	0.53**	-272.39	0.41***	-248.45	0.38***	-215.44
	(0.13)	(283.92)	(0.23)	(271.83)	(0.11)	(239.97)	(0.10)	(220.02)
Land slope	0.01	-37.49*	0.07	-82.14***	0.21	-67.49**	0.14	-41.49*
	(0.01)	(22.73)	(0.09)	(19.22)	(0.22)	(31.73)	(0.13)	(22.73)
Irrigation	na	na	-0.38	145.01	0.37***	318.01***	0.41***	250.01**
	na	na	(0.41)	(151.50)	(0.12)	(110.50)	(0.09)	(115.27)
Soil salinity	-0.39***	-319.02***	-0.40***	-223.13**	-0.34***	-285.45**	-0.25***	-201.23**
	(0.11)	(87.32)	(0.13)	(102.97)	(0.10)	(112.28)	(0.09)	(98.43)
Land ownership	0.61***	396.09**	0.49***	301.25***	0.42***	250.89***	0.47***	199.15**
	(0.20)	(175.41)	(0.16)	(101.01)	(0.13)	(96.28)	(0.15)	(91.14)
Distance to plot	-0.38***	-459.18**	-0.27***	-350.51***	-0.31***	-259.02**	-0.41***	-249.05**
	(0.09)	(182.21)	(0.08)	(98.10)	(0.10)	(110.48)	(0.12)	(102.48)
Constant	4.73***	536.45***	5.44***	442.36***	3.46***	336.21**	4.91***	350.35**
	(1.69)	(164.23)	(2.10)	(102.62)	(1.25)	(164.29)	(1.82)	(155.71)
Mills lambda		-0.073***		-0.092***		-0.107***		-0.113***
		(0.017)		(0.023)		(0.041)		(0.037)
Wald Chi-square (23)	178.39***		168.83***		193.21***		159.11***	
Prob > chi2	0.000		0.000		0.000		0.000	
Number of observations (plots)	665	306	1299	611	1182	307	1576	740

Note: Standard errors in parenthesis. *, **, and *** refer to 10%, 5%, and 1% level of significance, respectively. 'na' refers to not applicable.

Table 6: Factors influencing adoption and amount of manure used in wheat in the study sites (Heckman two-step model)

Variables	Haryana		Bihar		Nepal	
	Adoption	Intensity	Adoption	Intensity	Adoption	Intensity
<i>Household (HH) characteristics</i>						
Male headed HH	-0.31 (0.33)	-254.21 (295.41)	0.27** (0.11)	-271.51 (319.13)	0.24*** (0.09)	241.27** (112.05)
Age of HH head	-0.05 (0.17)	19.15 (20.63)	-0.06** (0.03)	23.45 (24.12)	-0.13*** (0.05)	11.21 (11.34)
Primary education	0.22** (0.09)	173.24 (203.13)	0.37*** (0.11)	185.55 (301.16)	0.41*** (0.11)	199.68 (201.31)
Secondary education	-0.23** (0.09)	312.21*** (117.25)	-0.27** (0.13)	293.55** (145.15)	-0.19 (0.09)	201.91** (97.38)
Higher education	-0.19*** (0.06)	268.17*** (98.61)	-0.12 (0.14)	271.04* (141.31)	-0.17 (0.13)	209.73** (101.06)
Family size (AEC)	0.27*** (0.08)	153.51* (79.15)	0.29*** (0.10)	161.83** (72.66)	0.32*** (0.11)	192.24** (91.22)
Migration	0.01 (0.03)	-116.12 (129.28)	-0.22*** (0.08)	-220.24** (101.01)	0.12** (0.05)	-205.11** (97.27)
<i>Economic and social capital</i>						
Land size	0.25*** (0.07)	-260.17* (156.21)	0.39*** (0.12)	-205.31** (97.33)	0.41*** (0.14)	-198.28*** (71.14)
Livestock owned	0.49*** (0.11)	277.14** (120.71)	0.38*** (0.12)	255.51*** (85.22)	0.29*** (0.07)	271.15*** (95.39)
Asset index	-0.09 (0.10)	75.56 (113.34)	0.17*** (0.05)	254.11** (123.27)	0.19** (0.09)	122.30** (60.29)
Credit access	-0.12 (0.13)	110.08** (51.05)	-0.21*** (0.08)	274.07* (150.04)	0.21*** (0.07)	181.07*** (60.16)
Off-farm income	0.37*** (0.12)	-195.02 (198.06)	0.42*** (0.13)	-198.01 (207.26)	0.31*** (0.10)	-157.54 (160.04)
Membership	0.31** (0.15)	-171.28 (179.20)	0.27*** (0.09)	-199.18 (208.81)	0.24*** (0.06)	-192.31 (198.61)
<i>Access to market, extension service and training</i>						
Distance to input market	0.09*** (0.02)	28.25 (29.04)	0.13*** (0.04)	105.45*** (31.09)	0.17*** (0.04)	141.09** (65.07)
Distance to extension service	0.07 (0.09)	-42.11 (51.50)	0.21 (0.23)	-25.14 (27.43)	0.12 (0.21)	-33.10 (35.25)
Training	0.22** (0.10)	153.42 (156.04)	0.25*** (0.07)	150.02** (70.41)	0.33** (0.11)	142.83** (64.07)
<i>Farm land characteristics</i>						
Soil fertility	0.37*** (0.11)	-300.18*** (110.02)	0.18*** (0.06)	-250.01* (146.01)	0.26*** (0.08)	-261.34** (109.11)
Soil depth	0.45*** (0.13)	-275.17 (278.08)	0.43** (0.19)	-251.41 (259.17)	0.32*** (0.10)	-198.15 (201.04)
Land slope	0.07 (0.06)	-60.41** (30.05)	0.10 (0.09)	-76.06*** (24.91)	0.11 (0.13)	-46.09** (22.73)

Irrigation	na	na	-0.40	152.09	0.27***	271.05**
	na	na	(0.43)	(157.50)	(0.09)	(130.17)
Soil salinity	-0.41***	-295.42***	-0.24***	-210.74**	-0.27***	-210.31**
	(0.12)	(81.32)	(0.08)	(100.97)	(0.09)	(97.44)
Land ownership	0.58***	291.09**	0.39***	285.09***	0.42***	201.36**
	(0.19)	(146.41)	(0.13)	(97.22)	(0.14)	(99.18)
Distance to plot	-0.27***	-350.18***	-0.29***	-311.01***	-0.33***	-260.14***
	(0.09)	(120.21)	(0.10)	(94.21)	(0.08)	(91.08)
Constant	5.29***	610.81***	3.98**	421.14***	4.85***	371.61***
	(1.74)	(200.63)	(1.81)	(141.01)	(1.62)	(141.71)
Mills lambda		-0.044***		-0.051***		-0.321***
		(0.007)		(0.010)		(0.102)
Wald Chi-square (23)	173.21***		167.96***		158.54***	
Prob > chi2	0.000		0.000		0.000	
Number of observations (plots)	667	167	1544	689	977	235

Note: Standard errors in parenthesis. *, **, and *** refer to 10%, 5%, and 1% level of significance, respectively. 'na' refers to not applicable.

Table 7: Factors influencing the over-use of N fertilizer (kg ha⁻¹) in rice and wheat

Variables	Rice	Wheat
<i>Household (HH) characteristics</i>		
Male headed HH	0.30** (0.15)	0.39*** (0.14)
Age of HH head	0.02 (0.03)	0.00 (0.00)
Primary education (base category: illiterate)	0.11 (0.19)	0.13 (0.21)
Secondary education (base category: illiterate)	0.12*** (0.04)	0.10** (0.05)
Higher education (base category: illiterate)	0.31*** (0.09)	0.27** (0.11)
Family size (AEC)	0.16** (0.07)	0.29 (0.31)
Migration	0.07 (0.08)	0.10 (0.11)
<i>Economic and social capital</i>		
Land size	0.28*** (0.10)	0.31*** (0.09)
Livestock owned	0.09** (0.04)	0.15*** (0.05)
Asset index	0.17*** (0.05)	0.12** (0.6)
Credit access	-0.22 (0.23)	0.18 (0.20)
Off-farm income	0.09*** (0.03)	0.07*** (0.02)
Membership	0.13 (0.12)	0.10** (0.05)
<i>Access to market, extension service and training</i>		
Distance to input market	-0.11*** (0.03)	-0.13*** (0.04)
Distance to extension service	0.06 (0.07)	0.11 (0.13)
Training on fertilizer management	-0.11*** (0.03)	-0.12*** (0.04)
<i>Farm land characteristics</i>		
Soil fertility	-0.11*** (0.03)	-0.08*** (0.02)
Soil depth	-0.13** (0.05)	-0.15*** (0.03)
Land slope	-0.11 (0.12)	0.14 (0.16)
Irrigation	0.46*** (0.15)	0.39*** (0.13)

Soil salinity	-0.09 (0.08)	-0.13 (0.12)
Land ownership	0.17*** (0.05)	0.21*** (0.06)
Distance to plot	-0.12*** (0.04)	-0.06*** (0.02)
<i>Study locations dummy</i>		
Haryana (base category: Nepal)	0.39*** (0.10)	0.35*** (0.11)
Bihar (base category: Nepal)	0.18*** (0.06)	0.21*** (0.07)
Bangladesh (base category: Nepal)	0.29*** (0.09)	na na
Constant	-3.11*** (0.63)	-2.13*** (0.55)
LR chi (26)	173.62	163.78
Prob > chi2	0.000	0.000
Pseudo R-Squared	0.23	0.20
Censored observations	3777	2675
Uncensored observations	937	513
Total number of observations	4712	3188

Note: Standard errors in parenthesis. *, **, and *** refer to 10%, 5%, and 1% level of significance, respectively. 'na' refers to not applicable.

Appendix

Table A1: Average N fertilizer applied in rice (in kg ha⁻¹)

Study sites	Urea	DAP	Manure		Total N	
	(A)	(B)	0.5%N (C)	2%N (D)	A+B+C	A+B+D
Haryana	144.9	23	9.51	37.98	177.4	205.88
Bihar	96.6	17.1	4.625	18.5	118.3	132.2
Nepal	94.3	11.6	6.81	27.24	112.3	133.14
Bangladesh	131.1	11.7	5.6	22.4	148.4	165.2

Table A2: Average N fertilizer applied in wheat (in kg ha⁻¹)

Study sites	Urea (A)	DAP (B)	Manure		Total N	
			0.5%N (C)	2%N (D)	A+B+C	A+B+D
Haryana	147.2	22.5	8.4	33.6	178.1	203.3
Bihar	103.5	19.8	6.25	25	129.6	148.3
Nepal	96.6	14.22	5.15	20.6	115.9	131.4

Table A3: Factors influencing the over-use of N from inorganic fertilizer only (kg ha⁻¹) in rice and wheat

Variables	Rice	Wheat
<i>Household (HH) characteristics</i>		
Male headed HH	0.34* (0.18)	0.41** (0.17)
Age of HH head	0.01 (0.03)	0.00 (0.00)
Primary education (base category: illiterate)	0.06 (0.13)	0.09 (0.11)
Secondary education (base category: illiterate)	0.09*** (0.02)	0.17** (0.09)
Higher education (base category: illiterate)	0.22** (0.10)	0.18** (0.08)
Family size (AEC)	0.32 (0.38)	0.29 (0.31)
Migration	0.07 (0.08)	0.10 (0.11)
<i>Economic and social capital</i>		
Land size	0.31*** (0.14)	0.42*** (0.09)
Livestock owned	-0.15*** (0.03)	-0.12*** (0.04)
Asset index	0.13*** (0.04)	0.11** (0.5)
Credit access	-0.12 (0.08)	-0.09 (0.13)
Off-farm income	0.09*** (0.03)	0.06*** (0.02)
Membership	0.01 (0.01)	0.11** (0.05)
<i>Access to market, extension service and training</i>		
Distance to input market	-0.16*** (0.05)	-0.19*** (0.03)
Distance to extension service	0.05 (0.07)	0.10 (0.13)
Training on fertilizer management	-0.07*** (0.02)	-0.09*** (0.03)
<i>Farm land characteristics</i>		
Soil fertility	-0.08*** (0.03)	-0.07*** (0.02)
Soil depth	-0.12** (0.05)	-0.15*** (0.03)
Land slope	-0.15 (0.12)	0.12 (0.16)
Irrigation	0.52*** (0.15)	0.59*** (0.14)

Soil salinity	-0.10 (0.08)	-0.11 (0.12)
Land ownership	0.21*** (0.05)	0.18*** (0.06)
Distance to plot	-0.11*** (0.03)	-0.07*** (0.02)
<i>Study locations dummy</i>		
Haryana (base category: Nepal)	0.43*** (0.11)	0.37*** (0.13)
Bihar (base category: Nepal)	0.21*** (0.07)	0.24*** (0.06)
Bangladesh (base category: Nepal)	0.29*** (0.11)	na na
Constant	-2.09*** (0.67)	-1.85*** (0.58)
LR chi (26)	161.21	183.63
Prob > chi2	0.000	0.000
Pseudo R-Squared	0.21	0.17
Censored observations	3777	2675
Uncensored observations	937	513
Total number of observations	4712	3188

Note: Standard errors in parenthesis. *, **, and *** refer to 10%, 5%, and 1% level of significance, respectively. 'na' refers to not applicable.

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