

Research on Direct Shear Strength Characteristics of Mechanically Biologically Treated Waste

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Research Article

Keywords: Mechanically biologically treated municipal solid waste, Shearing displacement rate, landfill depth, shear strength, Shear strength characteristics, Shear strength model

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21 shear strength, shearing rate, and normal stress correlation model are established, and
22 the model has a high degree of fit with the overall experimental data; (4). Cohesion
23 (c), internal friction angle (φ), and the logarithm of the shearing rate are linear; (5).
24 The range of c of MBT waste is 22.32–39.51 kPa, and φ is 64.24–68.52°. Meanwhile,
25 the test data are compared with the test data in the literature, and the shear
26 characteristics of HT-MBT waste are discussed. The research results of this study can
27 provide a reference for the stability calculation of MBT landfills.

28 **Keywords:** Mechanically biologically treated municipal solid waste, Shearing
29 displacement rate, landfill depth, shear strength, Shear strength characteristics, Shear
30 strength model

31

32 1. Introduction

33 Increasing population and rapid urbanisation have consequently led to an increase
34 in the output of municipal solid waste (MSW) (Guerrero et al. 2013, Eskandari et al.
35 2016). Due to the vast amount of solid waste produced, pre-treatment processes for
36 solid waste have gradually attracted attention. In April 1999, the European Union
37 Landfill Directive (99/31/EC) defined the design and operation standards of landfills
38 and mandatory requirements to reduce the biodegradable materials in MSW entering
39 landfills. Under this directive, member states adopt different strategies to transfer
40 organic matter in domestic waste from landfills, such as converting the biodegradable

41 component into fertiliser, incinerating MSW, and then proceeding with landfilling or
42 recycling the residue from incineration. Member states have also increased their focus
43 on pre-treatment technologies for untreated MSW, with mechanically biologically
44 treated (MBT) waste demonstrating the most favourable characteristics.

45 MBT technology is primarily divided into two parts: first, mechanical
46 processing (crushing, sieving, centrifugation, or selection) is used for separation of
47 biodegradable and non-biodegradable materials and large-size materials are sieved or
48 shredded. Next, biological treatment (anaerobic digestion and leaching hydrolysis,
49 etc.) accelerates the degradation of biomass in MSW. Compared with MSW that is
50 directly landfilled, MBT reduces the amount of biodegradable materials and their
51 biodegradability, thereby reducing environmental pollution caused by the discharge of
52 leachate and greenhouse gases. Therefore, this technology is applied in many
53 European cities (Kuehle-Weidemeier 2004). In several cases, the residue produced by
54 MBT does not have a high enough economic value making it unsuitable for use or a
55 disposal method other than landfill (Archer et al. 2005). However, because MBT
56 converts MSW into another material, the product from this pre-treatment varies from
57 its parent material in terms of characteristics. Thus, the physical properties of MSW
58 (particle size, composition, moisture content, density, and void ratio) to be discarded
59 in landfills are fundamentally changed (Zhang et al. 2018a), consequently changing its
60 mechanical properties, such as shear strength, compression, and consolidation. This

61 greatly affects the strength, stiffness, and stability of the landfill (Jones & Dixon,
62 2015). The design of the slope and pile stability calculation of the MBT landfill site
63 should be based on its mechanical characteristics to ensure the safety of the project.

64 Many researchers (Landva and Clark 1990, Singh and Murphy 1990, Kavazanjian
65 et al. 1995, Gabr and Valero 1995, Manassero et al. 1996, Jones et al. 1997, Machado
66 et al. 2002, Stark et al. 2009, Bray et al. 2009, Reddy et al. 2009a, Bareither et al.
67 2012, Zhang et al. 2014, Zhang et al. 2015, Zekkos and Fei 2016, Abreu and Vilar
68 2017, Fei and Zekkos 2017, Karimpour-Fard 2018, Falamaki et al. 2019, Keramati et
69 al. 2020) conducted a shear strength test study on MSW, and conducted an instability
70 failure simulation model analysis on an existing landfill. However, unlike MSW,
71 research on the shear strength of MBT waste appears to be more limited. Fucale
72 (2005), Mahler & Neto (2006), and Fucale et al. (2007) used direct shear (DS)
73 equipment to analyse the influence of fibre on the strength of MBT waste. Fucale
74 (2005) mixed MSW and MBT waste with different percentages of fibres to study the
75 effect of randomly distributed plastic fibres on the mechanical properties of the
76 material, and to verify the possible similarities between these properties. Mahler &
77 Neto (2006) observed that the fibre composition of MBT waste has a substantial
78 influence on the shear strength of MBT waste. This is because, although MBT waste
79 is characterised by a coarse material with non-sticky particle behaviour, it is due to the
80 tensile strength of the fibre is high; it may still show a high false viscosity value.

81 Fernando et al. (2009) and Fernando and Sudarshana (2011) conducted DS
82 experiments on MBT waste with different reinforcement contents and compared the
83 strength of MSW. Experimental results show that MBT waste is stronger than MSW.
84 Petrovic and Bauer (2011) conducted experimental research and numerical
85 simulations on the mechanical behaviour of MBT waste with different moisture
86 contents. Bhandari and Powrie (2013) conducted triaxial experiments on MBT waste
87 with different particle sizes and shapes to study the stress-strain-strength
88 characteristics of MBT waste. Pimolthai and Wagner (2014) used DS equipment to
89 test the shear strength of MBT waste with a particle size of less than 10 mm. Babu et
90 al. (2015) used the DS test, and small-scale triaxial test and large-scale triaxial test
91 apparatus were used for experiments to comprehensively test the shear strength
92 characteristics of MBT waste. Fucale et al. (2015) experimentally found that the
93 reinforcement phases (plastics, textiles, fibres, etc.) present in landfills have a great
94 influence on the various characteristics (including strength) of MBT waste. Relevant
95 literature on the shear strength characteristics of MBT waste is presented in Table 1.

96

97 Table 1. Reference summary.

Reference	Country	Testing method and sample size (mm)	Displacement or strain at the shearing resistance considered and vertical pressure (kPa)	c (kPa) ϕ (°)
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Fucale (2005)	Germany	L-DS, 100×100×30	PD (18mm), 100-300	18.7	10.2
Mahler and Neto (2006)	Brazil	L-DS, NA	PD (12mm), 25-100	NA	NA
Fernando et al. (2009)	United Kingdom	L-DS, NA	PD (4%), 100	NA	NA
			PD (3%), 50	NA	NA
Fernando (2011)	United Kingdom	L-DS, NA	NA	NA	NA
Petrovic and Bauer (2011)	Croatia	L-DS, NA	PD (NA), 36-180	NA	31-50
Bhandari and Powrie (2013)	NA	L-TT, NA	PD (35%), 25-200	NA	NA
Pimolthai and Wagner (2014)	Luxembourg	L-DS, 94(D) and 20(H)	PS, 20-100	12.8	36.5
	Germany			9.0	38.6
	Thailand			5.4	31.9
Babu et al. (2015)	India	L-DS, 60×60×30	PD(20%), 50-150	12	40
Fucale et al. (2015)	Switzerland	L-DS, 100×100×150	PD (20%), 100-300	16.0	40.1
				34.7	45.4
				63.1	48.1

98 L laboratory tests, DS direct shear, TT triaxial test, PS peak stress, PD peak displacement, NA not

99 available.

100

101 Few studies exist on the relationship between MBT waste at different shearing
102 rates, landfill depths, and shear strength. This study considers the shearing rate,
103 simulated landfill depth, and other factors, and systematically studies MBT shear
104 strength characteristics. The theoretical model of shear strength prediction is
105 established, and the variation range of the shear strength parameters is obtained. The
106 findings of this work provide basic data and strength models for the design and

107 instability and destruction prediction of MBT landfills.

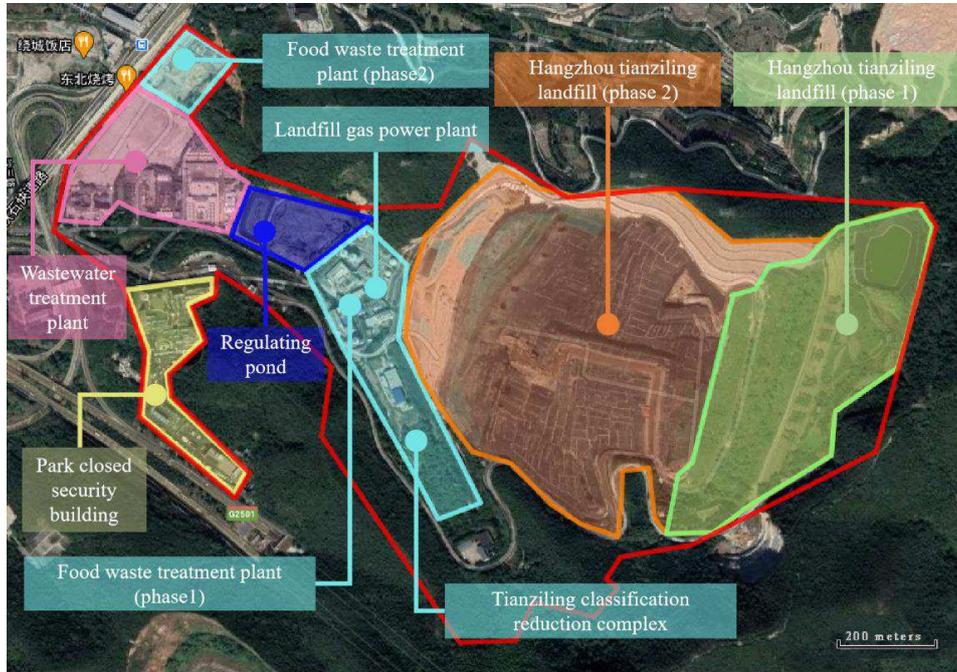
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109 **2. Materials, device, and process**

110 ***2.1 Materials***

111 Hangzhou is the largest city in Zhejiang province with a population of
112 approximately 10.36 million. At least 12,000 t of domestic waste are generated daily,
113 and part of the waste is transported to the Hangzhou Tianziling (HT) Landfill. The
114 HT-MSW Treatment Plant is divided into two parts: the first (closed) and second (in
115 operation) landfills. The second landfill is expanded vertically and horizontally on a
116 one-field basis. Its total storage capacity is 22.02 million m³, which can absorb 24.05
117 million t of MSW and treat 1,940–4,000 t of waste daily. The geographical location of
118 the HTL is shown in Figure 1.

119



120

121

Figure 1 Floor plan of Hangzhou Tianziling Landfill, China.

122

123 The China Hangzhou Environment Group introduced MBT technology from
 124 Germany and produced MBT waste through several processes. First, the fresh MSW
 125 is crushed and sieved in a rotating drum screen with an aperture of 120 mm. Through
 126 the screen, large-sized materials (mainly cardboard, plastic, and textiles) are manually
 127 screened for recycling. The metal and material passing through the screen are
 128 separated by a magnetic separation method, and the remaining small-sized materials
 129 enter the reactor for hydrolysis treatment. Subsequently, the solid-phase hydrolysate
 130 with a moisture content of more than 40% is usually transferred to a drying chamber
 131 for biological drying. After biological drying for ~9 d, it eventually becomes MBT

132 waste. The test materials were obtained from the MBT factory, placed in sealed plastic
133 drums, and directly transported to the Environmental Geotechnical Materials
134 Laboratory of Zhejiang Sci-Tech University. The original appearance of the MBT
135 materials is shown in Figure 2.

136



137

Figure 2 MBT waste original materials.

138

139

140 The components and physical characteristics of HT-MBT waste are presented in
141 Table 2, which shows that MBT waste includes paper, plastic, rubber, textiles, wood,
142 stone, ceramics, glass, metal, fine-grained soil, and other visually indistinguishable
143 materials. Judging from the MBT waste, the most important components in MBT
144 waste are plastic and rubber, and their combined mass is 1,216.5 g, accounting for
145 23.3% of the total dry mass. This is because they are not easily biodegradable and

146 there is poor national recycling awareness. The quantity of the paper is 0 g, mainly
 147 because the paper is broken during the MBT process. After degradation, it is not the
 148 correct size of paper or easy to identify. The residue is classified into an undefined
 149 particle size. The moisture content of general waste is 60–80% (Gao et al. 2015), and
 150 the moisture content of MBT waste is 20%, which is significantly lower than that of
 151 general waste.

152

153 Table 2 Composition and physical properties of MBT waste sample

Composition (percentage by dry weight/g)									Specific	Moisture
Paper	Plastic & rubber	Textile	Wood	Stones & ceramics	Glass	Metal	Undefined > 5mm	Fines <5mm	gravity	content (%)
0	1216.5	255.4	240.2	737.5	1076	139	465.9	1080.2	1.53	20.0

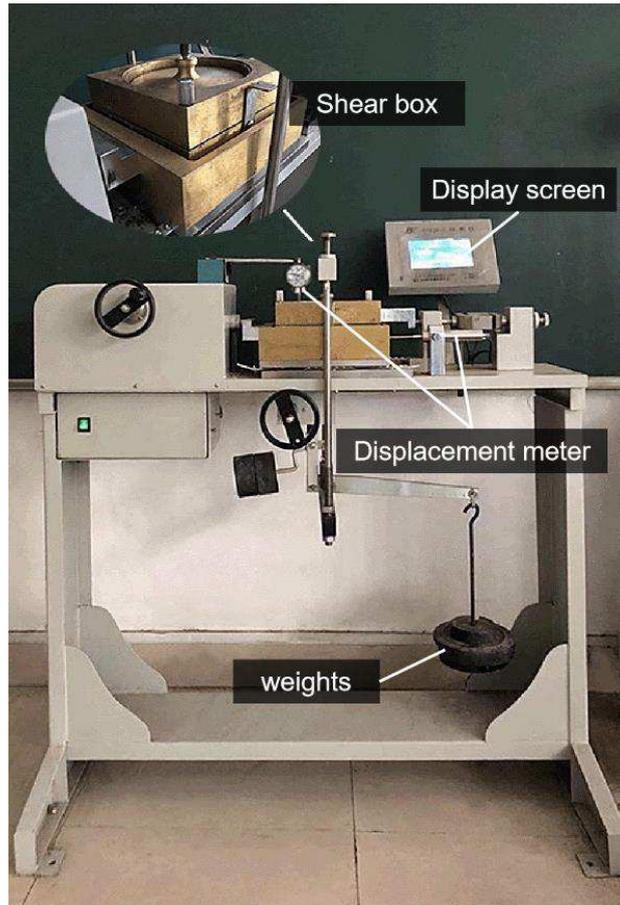
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155

156 **2.2 Direct shear test device**

157 In this study, a DS apparatus (Figure 3) was used. This device uses a stepper
 158 motor, continuous variable, and can input any speed within the range of the test
 159 protocol for shearing. Furthermore, the device has a relatively large shear box with a
 160 diameter of 185 mm (upper and lower shear box heights of 40 and 20 mm,
 161 respectively). The instrument loading device includes horizontal and vertical loading.
 162 The maximum horizontal load is up to 50 kN, and the maximum vertical load is 57.12

163 kg to generate a vertical stress of up to 400 kPa. The shear displacement and vertical
164 deformation of the sample were measured using two displacement meters.
165



166

167

Figure 3 Direct shear test apparatus.

168

169 **2.3 Text process**

170 The horizontal displacement applied by the motor generates shear stress at a
171 constant rate, and the shearing rate adjustment range is between 0.01 and 99.99

172 mm/min. Researchers (Fard et al. 2015, Zhan et al. 2015, Abreu et al. 2017) have
173 conducted experiments on MSW or MBT waste and set a shearing displacement rate
174 ranging from 0.2 to 19 mm/min; however, these studies did not consider the effect of
175 shearing displacement rate on the material. Therefore, this study covers the above-
176 mentioned rates and selects five shearing rates for comparison experiments: 0.25, 1, 5,
177 10, and 20 mm/min. The waste in the landfill is gradually piled up in layers during the
178 landfill process, because of which, the landfill body will undergo compression and
179 deformation accompanied by slipping instability allowing it to be simulated and
180 studied. There were changes in the shear strength parameters of MBT waste with
181 buried depth (10–100 m). The preparation of MBT waste samples included chopping
182 it into particles <23 mm to ensure that the ratio of the maximum material particle size
183 to the diameter of the shear box did not exceed 1:8 (CJJ/T 2013) (Figure 4). To ensure
184 that the moisture content of each sample was consistent, the MBT waste sample with
185 a moisture content of 20% was prepared before the DS test. The specific steps were as
186 follows: a 700-g MBT waste sample was placed into an oven at 65 °C, the sample was
187 dried for 24 ± 1 h, to a constant weight. Then, 140 g of purified water was used
188 to sprayed evenly on the MBT waste soil with a watering can and the sample was
189 turned the continuously. Finally, the sample was stewing for 24 ± 1 h.

190



Figure 4 MBT waste test materials.

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192

193

194 The sample was placed in the shear box in 10 mm layers, and then, a simple
195 tamping hammer was used to evenly compact the sample to ensure the same vertical
196 settlement in the same plane to reach an initial unit weight of 4 kN/m^3 . Table 3 lists all
197 the samples and test parameters used in this study. A total of 50 samples were tested.
198 The vertical stresses were 40, 80, 120, 160, 200, 240, 280, 320, 360, and 400 kPa.
199 After compressing the sample for 1440 ± 60 min under continuous vertical pressure,
200 the shearing test was performed. Finally, the specimens were sheared at constant and
201 slow (0.25, 1, and 5 mm/min) or fast (10 and 20 mm/min) shearing rates. The
202 horizontal load was recorded every time the specimen produced 1% shear horizontal
203 displacement. The test ended when the ratio of the shear horizontal displacement to
204 the sample diameter reached 20%.

205 Table 3 Specimen and test parameters.

Specimen number	Vertical pressure during compressing (σ , kPa)	Vertical pressure duration (min)	Total unit weight prior to shearing (γ , kN/m ³)	Shearing rate (mm/min)	Shear test duration (min)	Temperature (°C)
DS1-1	40	1440	5.12	0.25	149.1	28.5
DS1-2	80	1380	6.05	0.25	154.2	29.3
DS1-3	120	1380	6.31	0.25	154.1	28.7
DS1-4	160	1380	6.58	0.25	154.5	28.6
DS1-5	200	1350	6.81	0.25	154.1	28.9
DS1-6	240	1380	7.01	0.25	154.1	28.7
DS1-7	280	1380	7.25	0.25	154.1	28.9
DS1-8	320	1380	7.44	0.25	154.1	29.1
DS1-9	360	1380	7.62	0.25	154.5	29.3
DS1-10	400	1350	7.77	0.25	155.9	29.1
DS2-1	40	1440	5.12	1	37.2	26.2
DS2-2	80	1440	6.01	1	37.8	26.5
DS2-3	120	1440	6.32	1	37.1	26.6
DS2-4	160	1380	6.57	1	37.5	27.1
DS2-5	200	1560	6.81	1	38.5	26.5
DS2-6	240	1380	7.02	1	39.2	26.8
DS2-7	280	1440	7.22	1	38.6	26.5
DS2-8	320	1380	7.43	1	42.1	26.5
DS2-9	360	1380	7.62	1	37.8	27.1
DS2-10	400	1380	7.71	1	38.0	26.8
DS3-1	40	1380	5.15	5	8.1	28.6
DS3-2	80	1440	6.11	5	7.8	28.5
DS3-3	120	1400	6.38	5	7.9	28.7
DS3-4	160	1440	6.58	5	7.9	28.8
DS3-5	200	1380	6.90	5	7.7	29.1

DS3-6	240	1440	7.12	5	7.7	28.7
DS3-7	280	1440	7.25	5	7.7	28.7
DS3-8	320	1380	7.48	5	7.7	29.3
DS3-9	360	1380	7.67	5	7.8	28.9
DS3-10	400	1440	7.79	5	8.0	28.8
DS4-1	40	1380	5.11	10	3.9	26.9
DS4-2	80	1440	6.01	10	4.0	26.7
DS4-3	120	1400	6.32	10	4.0	27.6
DS4-4	160	1400	6.58	10	4.0	26.5
DS4-5	200	1440	6.80	10	4.0	27.1
DS4-6	240	1440	7.02	10	4.0	26.8
DS4-7	280	1400	7.23	10	4.0	26.9
DS4-8	320	1440	7.43	10	4.0	27.3
DS4-9	360	1440	7.62	10	3.9	27.1
DS4-10	400	1400	7.84	10	4.0	27.5
DS5-1	40	1440	5.21	20	2.0	27.5
DS5-2	80	1440	6.09	20	2.0	27.8
DS5-3	120	1440	6.35	20	2.0	27.5
DS5-4	160	1440	6.61	20	2.0	28.1
DS5-5	200	1380	6.90	20	2.0	28.3
DS5-6	240	1440	7.01	20	2.0	28.3
DS5-7	280	1440	7.25	20	2.0	28.1
DS5-8	320	1440	7.44	20	2.0	28.5
DS5-9	360	1380	7.63	20	2.0	28.7
DS5-10	400	1440	7.92	20	2.0	28.3

206 Note: DS - direct shear test.

207

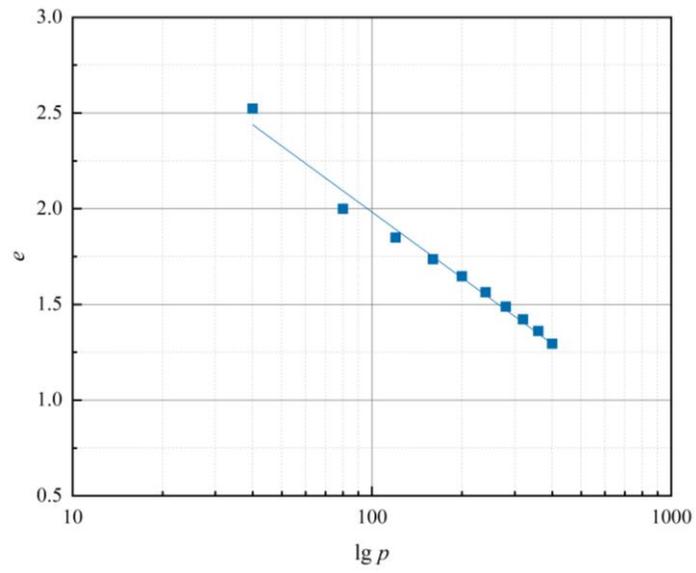
208 **3. Results and analysis**

209 ***3.1 Consolidation phase***

210 During the consolidation and compression stages, the specimen underwent

211 compression deformation. However, due to the low organic content and low moisture
212 content of MBT waste, no drainage occurred during consolidation. The relationship
213 between the porosity ratio of the sample and logarithm of the vertical pressure in the
214 consolidation and compression stages is shown that as the vertical pressure increases,
215 the void ratio gradually decreases (Figure 5); the relationship can be fitted into a
216 straight line, the slope of the straight line (compression index) is 1.14, and the sample
217 has high compressibility. Because the initial unit weight of the sample is 4 kN/m^3 ,
218 when the vertical pressure increased from 40 to 400 kPa, it can simulate the self-
219 weight stress of MBT waste from 10 m to 100 m. The weight of the MBT waste
220 material is comparable to the simulated landfill depth; the relationship is shown in
221 Figure 6. Figure 6 shows that as the self-weight stress increased, the unit weight of the
222 material increased from 4.00 to 7.84 kN/m^3 . The relationship between the porosity
223 ratio of MBT waste materials and simulated landfill depth is shown in Figure 7.
224 Figure 7 shows that the porosity ratio of MBT waste is generally larger than that of
225 MSW, and the porosity ratio of deep MBT waste is close to that of shallow MSW
226 waste.

227



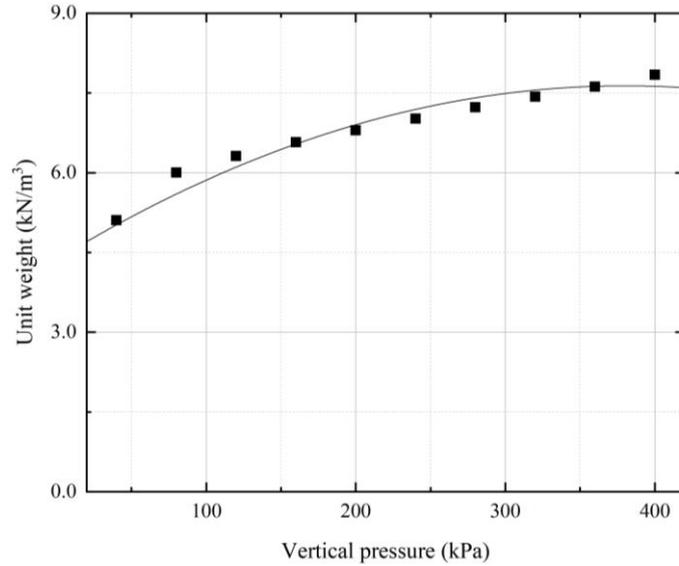
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229

Figure 5 The relationship between the porosity ratio (e) and the logarithm of vertical pressure

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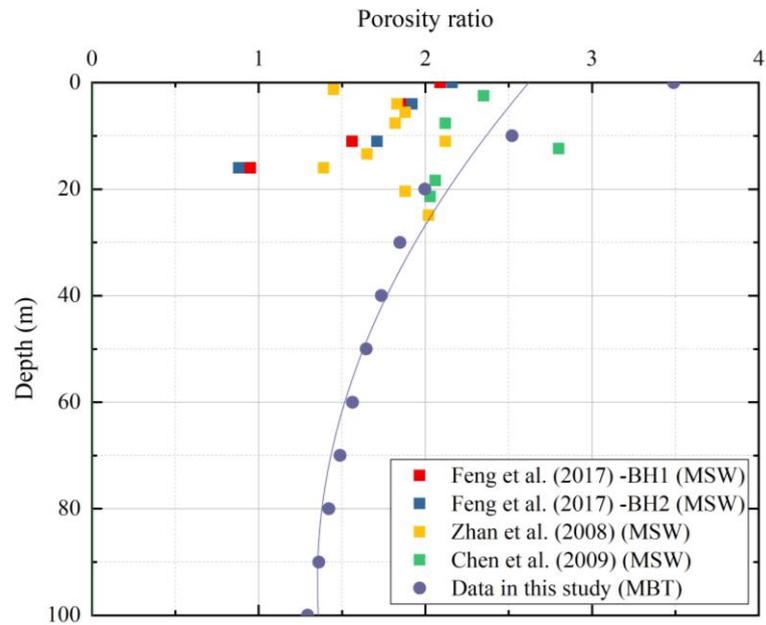
($\lg p$).



231

232

Figure 6 The relationship between the unit weight of the sample and the vertical pressure.



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Figure 7 The porosity ratio of MSW and MBT waste varies with depth.

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236 3.2 Shear stress-displacement behaviour

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In this study, the DS stress–horizontal displacement graphs of all specimens show strain hardening, which is illustrated with a shearing rate of 5 mm/min (Figure 8). In all tests, the shear stress continued to increase until the horizontal displacement reached 37 mm (the ratio of horizontal displacement to sample diameter reached 20%). This is because the maximum displacement of the test equipment is 40 mm; therefore, the test must be interrupted when the horizontal displacement of the sample reaches 37.5 ± 0.5 mm. The value range of the horizontal displacement is the same as that performed by Zhan et al. (2008), Bray et al. (2009), Reddy et al. (2009a), Reddy

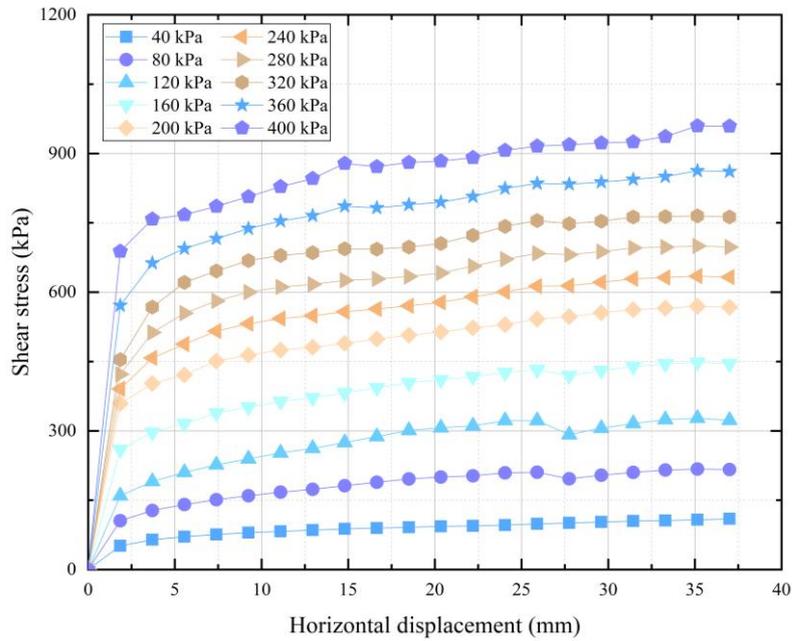
245 et al. (2009b), and Feng et al. (2017). The test settings are similar, and the maximum
246 horizontal displacement values are all less than 35%. Therefore, even under relatively
247 large displacements, displacement hardening is a common phenomenon in MBT
248 waste.

249 The shear stress–horizontal displacement curve of the sample shows an upward
250 convex trend. As the horizontal displacement increases, the shear stress increases
251 rapidly. When the horizontal displacement of the specimen reached 9.25 mm (the ratio
252 of horizontal displacement to sample diameter reached 5%), the growth rate of the
253 shear stress changed from high speed to stable. The shear stress–horizontal
254 displacement relationship of MBT waste is similar to that of general MSW (Zhang
255 2018c). The main reason for this phenomenon is that initially, the shear strength of the
256 material is borne by the fine-grained aggregate, and as the horizontal displacement
257 increases, it quickly rises to the ultimate shear strength of the aggregate.
258 Subsequently, reinforced materials such as plastics are used as the main shear
259 resistance components, and fibrous materials such as plastics will be stretched and
260 deformed under the action of shear load. However, these materials are not easily
261 broken by instantaneous breakage during the stretching process owing to their good
262 toughness; however, like elastic materials, there is still a process of yielding before
263 failure, showing reinforcement characteristics.

264 In this study, 10 different vertical pressures were applied to the sample to

265 simulate the landfill depth of MBT waste of 10–100 m. It can be clearly observed in
266 Figure 8 that the shear strength obtained by the shear test of MBT waste increases
267 with the increase in vertical pressure (landfill depth), which is different from the
268 decrease in MSW shear strength with increasing depth (Cho et al., 2011; Feng et al.,
269 2017). The main reason for this is that the composition of the material and physical
270 properties of the material will change with an increase in the MSW landfill depth.
271 Compared with deep MSW, shallow MSW has high degradability, high organic
272 content, and relatively low water content; therefore, it has better shear performance in
273 the shear test (Karimpour-Fard 2018). However, after MBT, as the depth of the
274 landfill increases, the material content and moisture content do not change
275 significantly; however, the void ratio decreases and the components are denser, which
276 also increases the shear strength with the burial depth.

277



278

279

Figure 8 Shear stress-horizontal displacement graph (shearing rate is 5mm/min).

280

281

Since MBT waste does not have a distinct shear strength peak, the shear stresses

282

when the shear strain reached 5, 10, 15, and 20% under each vertical pressure were

283

taken as the shear strength under this pressure. Taking the 5 mm/min result as an

284

example, the relationship between shear strength and vertical pressure is plotted

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(Figure 9). It can be seen from Figure 9 that with the increase in vertical pressure, the

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shear stress of the sample also increases, indicating that the larger the pressure, the

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denser the sample and larger the shear strength of the sample. Moreover, as the value

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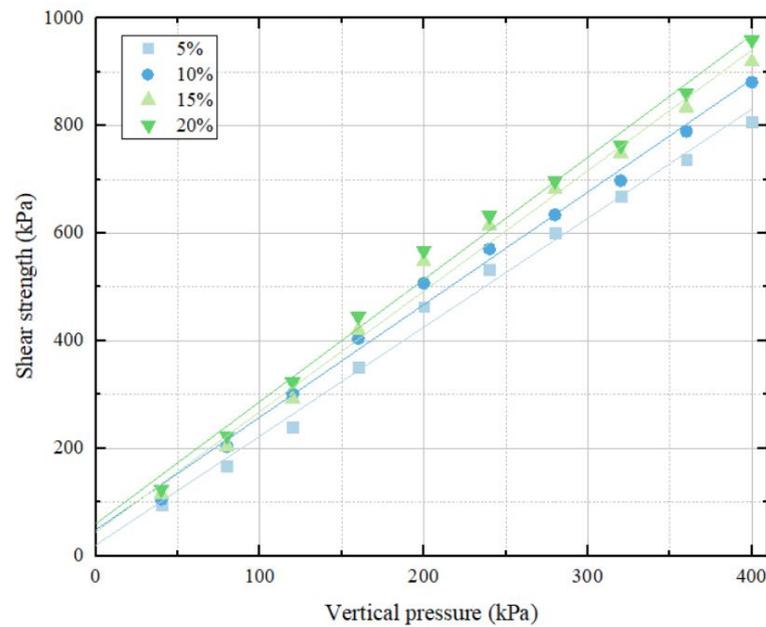
of shear horizontal displacement increases, the shear strength of MBT waste

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increases; therefore, the value of horizontal displacement also affects the

290 determination of material shear strength.

291



292

293

Figure 9 MBT waste shear strength envelope (shearing rate is 5mm/min).

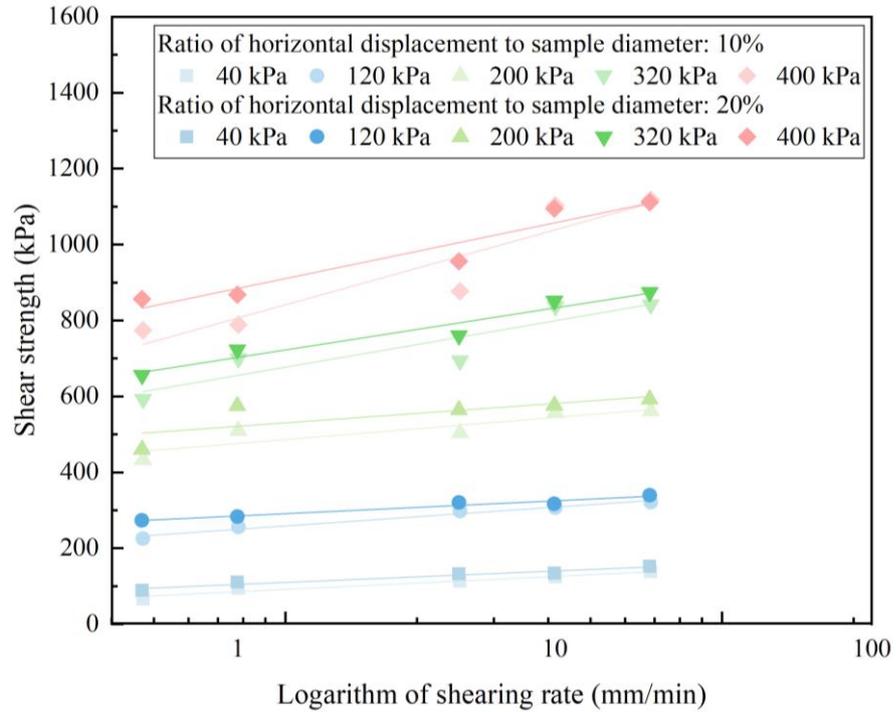
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295 *3.3 Shear strength parameters in different shearing rate of MBT waste*

296 When the ratio of the shear horizontal displacement to the sample diameter under
297 each vertical pressure reaches 10 and 20%, the corresponding shear stress is taken as
298 the shear strength under the pressure. As can be seen in Figure 10, as the shearing rate
299 (logarithm) increases, the shear strength of the sample increases accordingly. This is
300 similar to applying a rapid impact load to MBT waste. It takes a certain amount of
301 energy to destroy MBT waste in a short time; therefore, the shear strength of MBT

302 waste was improved. Additionally, owing to the high ratio of plastic to textile in the
303 material, there were more large-sized strip-shaped plastics and textiles participating in
304 the force. In addition, in the case of larger shearing displacement rates (10 and 20
305 mm/min), it can be observed that the shear strength of the ratio of different shear
306 horizontal displacements to the sample diameter (10% and 20%) was not substantially
307 different. However, as the vertical pressure increased, the slope of the shear strength
308 line increased. This shows that choosing different shearing displacement rates and
309 shear displacements affects the shear strength. In addition, with a higher rate, although
310 the value of the shear displacement slightly effects the shear strength, different
311 vertical pressures have obvious changes in the shear strength of the material.

312



313

314

Figure 10 The relationship between shear strength and logarithm of shearing rate.

315

316

In order to better describe the correlation between shear strength and shearing

317

rate, a shearing rate sensitivity coefficient β is introduced, formula (1).

318

$$\beta = \frac{S_i / S_0}{\log(V_i / V_0)} \quad (1)$$

319

where S_0 is the given initial shear stress (kPa), S_i is the shear stress (kPa)

320

corresponding to the shearing rate, V_0 is the given initial shearing rate (mm/min), and

321

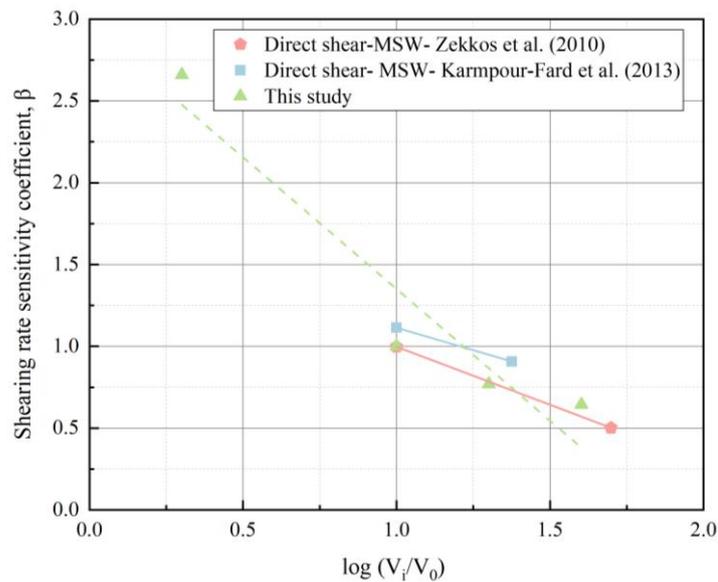
V_i is the corresponding final shearing rate (mm/min).

322

Figure 11 shows that the shearing rate sensitivity coefficient can be fitted to a

323 straight line. The absolute value of the slope of the straight line is 1.61, and the
 324 shearing rate sensitivity coefficient is between 0.64 and 2.66. The absolute value of
 325 the slope of the line fitted by Zekkos et al. (2010) (test shearing rates are 0.1, 1, and 5,
 326 respectively) is 0.71, and the rate sensitivity coefficient is between 0.5 and 1.0. The
 327 absolute value of the slope of the line fitted by Karimpour-Fard et al. (2013) (test
 328 shearing rates are 0.8, 8, and 19, respectively) is 0.55, and the shearing rate sensitivity
 329 coefficient is between 0.8 and 1.2. As the increment between shearing rates increases,
 330 the sensitivity between shear strength and shearing rate decreases. The linear slope of
 331 the MBT waste sample in this test is greater than that of the MSW sample, and the
 332 shear strength and shearing rate sensitivity of MBT waste are strong.

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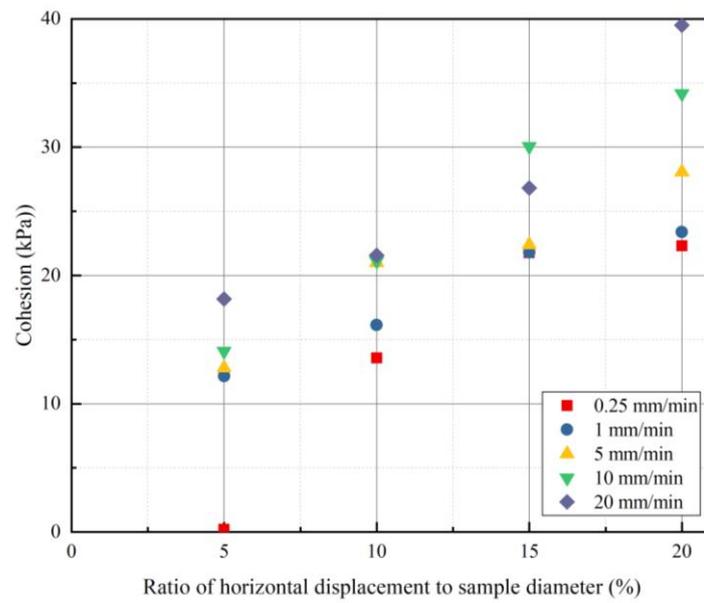
335

Figure 11 The shearing rate sensitivity coefficient of waste samples.

336

337 The shear strength parameters of MSW are calculated based on the Mohr–
338 Coulomb theory. The shear strength parameters of the MBT waste were obtained. The
339 relationship between the shear strength parameters c and ϕ of MBT waste with
340 different shearing rates and ratio of shear displacement to sample diameter are shown
341 in Figures 12 and 13. It can be seen from Figure 12 that the cohesion increases with
342 the increase in the ratio of shear displacement to the sample diameter and with the
343 increase in the shearing rate. When the ratio of shear displacement to sample diameter
344 was 20%, the shearing rate increased from 0.25 to 20 mm/min, and the cohesive force
345 increased from 22.32 to 39.51 kPa. In particular, in the slow shearing rate (0.25
346 mm/min) test at low ratio of shear displacement to sample diameter (5%), the shear
347 strength of MBT waste is mainly caused by the friction angle, while the cohesion is
348 negligible. Figure 13 shows that the internal friction angle increases with the increase
349 of shearing rate, but has little relationship with the ratio of shear displacement to
350 sample diameter. When the ratio of shear displacement to sample diameter was 20%,
351 the shearing rate increased from 0.25 mm/min to 20 mm/min, and the internal friction
352 angle increased from 64.24° to 68.52°, which is consistent with the results of Gao et
353 al. (2010). The reason for the increase in cohesion and internal friction angle is that
354 MBT waste becomes denser after consolidation and compression. The faster shearing
355 rate makes the MBT waste material swell and produces negative pressure. Meanwhile,

356 band-shaped plastics and textiles are drawn to generate greater tensile stress, thereby
357 increasing the shear strength parameters.

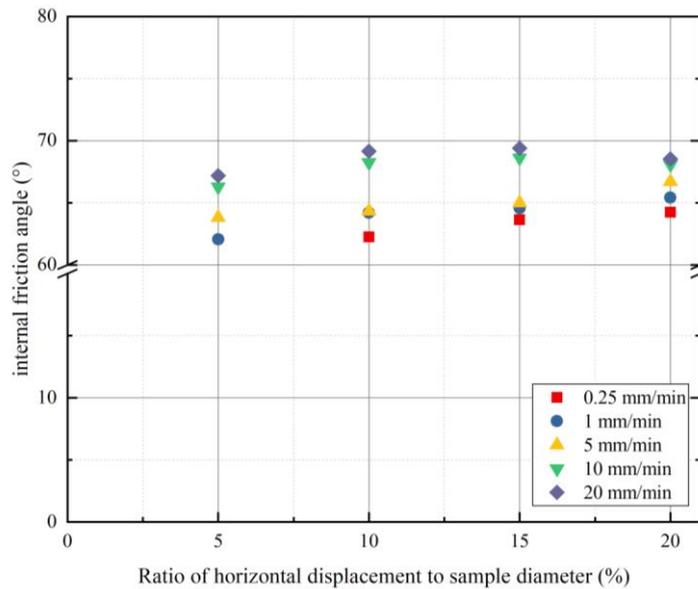


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Figure 12 The relationship between cohesion and ratio of horizontal displacement to sample diameter.



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Figure 13 The relationship between internal friction angle and ratio of horizontal displacement to sample diameter.

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365 ***3.4 Shear strength prediction theoretical model***

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The relationship among the shear strength, shearing rate, and vertical pressure of MBT waste is shown in Figure 14. Figure 14 shows that the shear strength of MBT waste increases with the increase in the shearing rate and vertical pressure. The relationship between the shear strength of MBT waste and the shearing rate and vertical pressure can be fitted to a non-linear surface. The fitting equation is shown in equation (2), and the fitting correlation coefficient R^2 is 0.98, which shows a high degree of fit.

374

$$\tau_f = Z_0 + Bp + Cv + Dp^2 + Ev^2 \quad (2)$$

375

where τ_f is the shear strength of the material (kPa), p is the normal stress (kPa), v

376

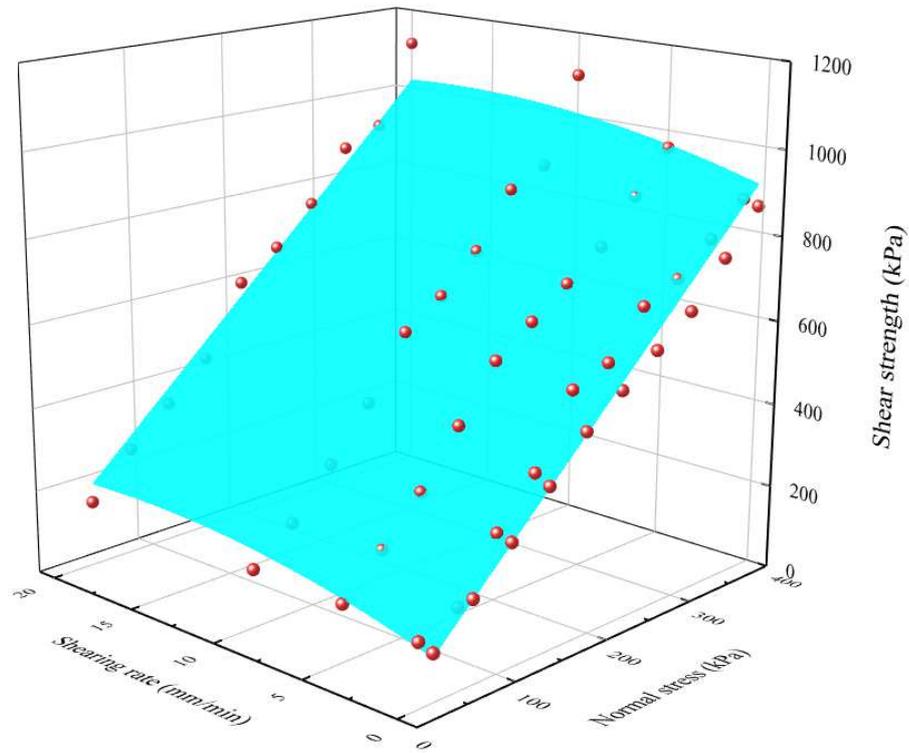
is the shearing rate (mm/min), and the fitting coefficients Z_0 , B , C , D , and E are the

377

fitting correlation coefficients (Table 4).

378

379



380

381

Figure 14 Shear strength fitting model.

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384

Table 4 Fitted correlation coefficient.

Z_0	-3.56 ± 0
B	2.26 ± 0.03
C	13.75 ± 0.69
D	$4.82E-5 \pm 0$
E	-0.41 ± 0
R^2	0.98

385

386 As shown in Figure 15, the relationship between the cohesion and the logarithm
 387 of the shearing rate can be fitted to a straight line, and the average value of the fitting
 388 correlation coefficient R^2 is 0.91. The degree of fit is relatively high, and the fitted
 389 straight-line equation is shown in equation (3). As can be seen in Figure 16, the
 390 relationship between the internal friction angle and the logarithm of the shearing rate
 391 can be fitted to a straight line, and the average value of the fitting correlation
 392 coefficient R^2 is 0.91. The degree of fit is relatively high, and the fitted straight-line
 393 equation is shown in equation (4).

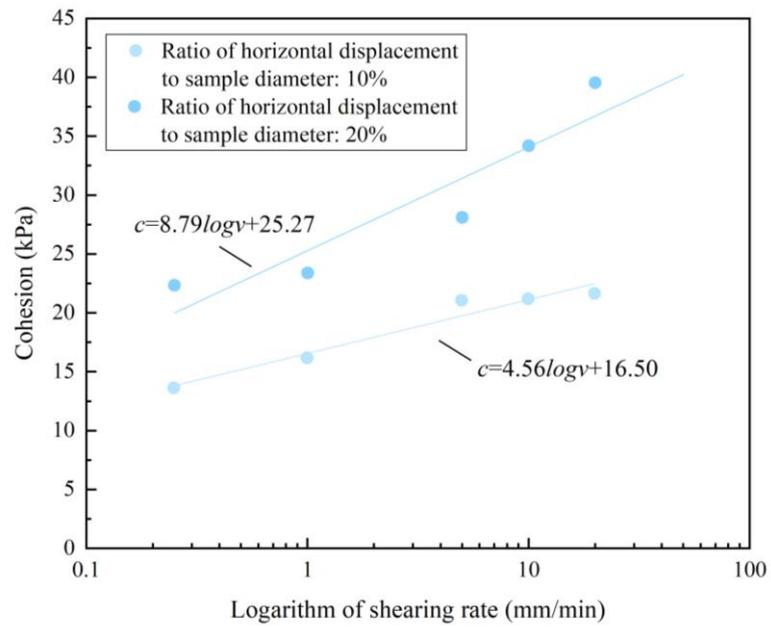
$$394 \quad \begin{cases} c = 8.790 \log v + 25.274 & (\varepsilon = 10\%) \\ c = 4.562 \log v + 16.495 & (\varepsilon = 20\%) \end{cases} \quad (3)$$

$$395 \quad \begin{cases} \varphi = 2.294 \log v + 65.492 & (\varepsilon = 10\%) \\ \varphi = 3.478 \log v + 63.962 & (\varepsilon = 20\%) \end{cases} \quad (4)$$

396 where c is the cohesive force (kPa) of the material, v is the shearing rate
 397 (mm/min), ε is the ratio of the horizontal displacement to the sample diameter, and φ

398 is the internal friction angle of the material ($^{\circ}$).

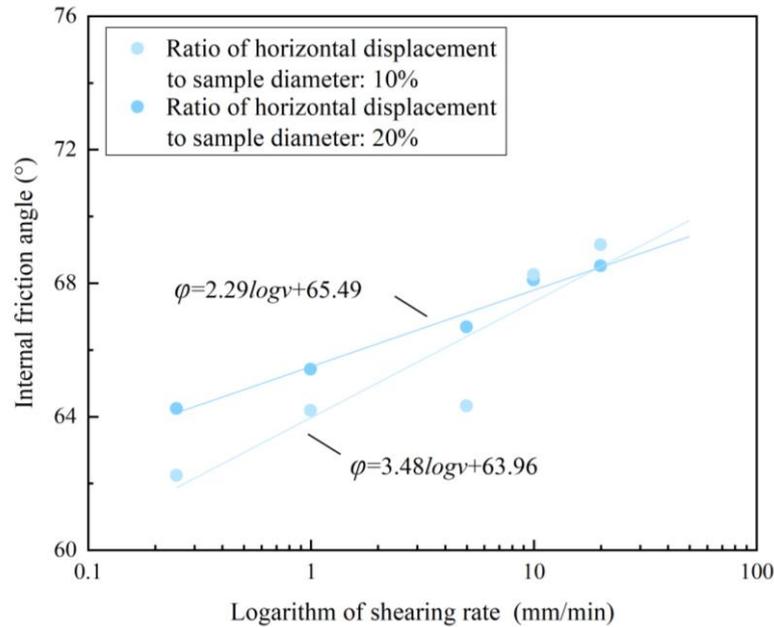
399



400

401

Figure 15 Relationship between cohesion and logarithm of shearing rate.



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Figure 16 Relationship between internal friction angle and logarithm of shearing rate.

404

405 **4. Discussion**

406 **4.1 Sample moisture content**

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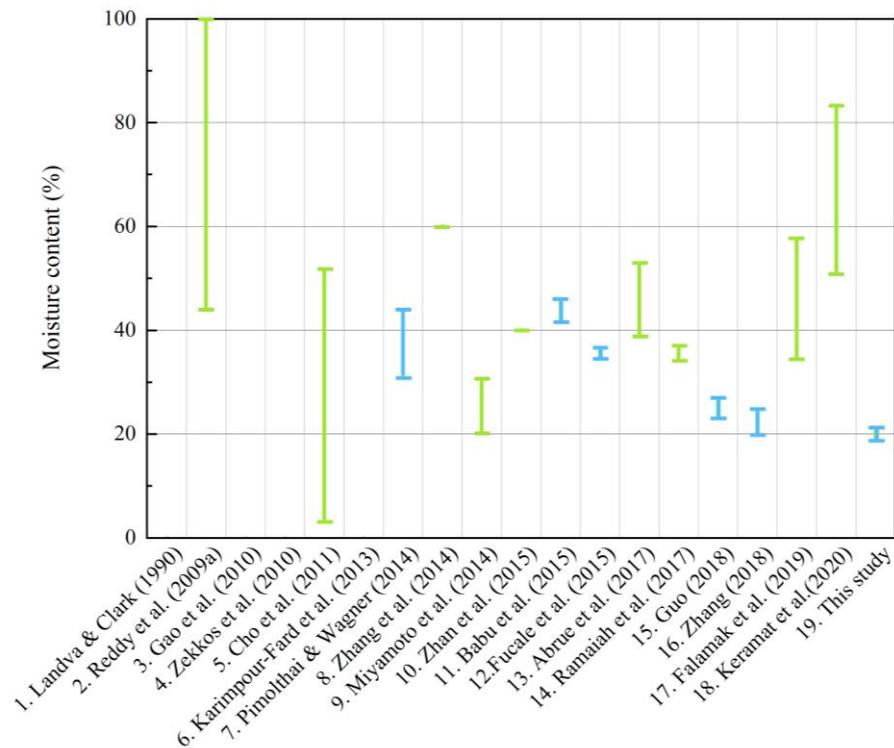
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413

We selected 18 references to compare the moisture content of HT landfill MBT waste (Figure 17). It can be seen from Figure 17 that the moisture content is generally distributed between 20-60%, among which the moisture content of MBT waste is between 18-40%, and the moisture content of MSW is between 20-100%. The moisture content of MBT waste is generally lower than that of MSW, and the range of variation is small. The main reason is that MBT technology removes most of the organic matter in MSW and greatly reduces the moisture content of the material

414 through air drying.

415



416

417 Figure 17 Moisture content of each reference sample (green - MSW, blue - MBT waste).

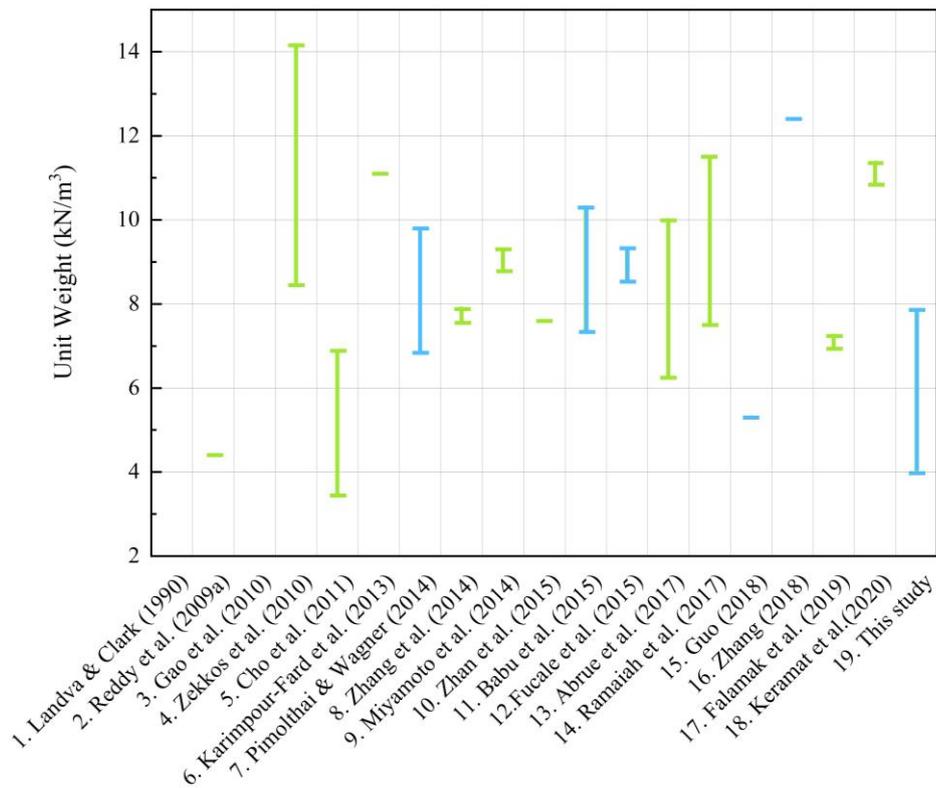
418

419 4.2 Sample unit weight

420 The unit weight of the sample is shown in Figure 18. The unit weights of MBT
421 waste, MSW, and HT-MBT waste are between 5.3-12.4 kN/m³, 3.5-14.1 kN/m³, and
422 4-8 kN/m³, respectively. Between them, The HT-MBT waste is generally smaller than
423 the heavy range of other MSW and MBT waste. By comparing the composition of
424 these wastes, it is observed that the percentage of plastic content in the MBT waste

425 materials in HT landfill is higher than that of the above materials. On the one hand,
 426 considering that most of the organic materials are eliminated, the unit weight of MBT
 427 waste is lower. On the other hand, due to poor recycling awareness and different
 428 consumption patterns, the increase in the percentage of low-weight and large-volume
 429 plastics may also be the reason why the unit weight of MBT waste is relatively low.

430



431

432 Figure 18 Unit weight of each reference sample (green - MSW, blue - MBT waste).

433

434

435 **4.3 Test parameters**

436 Table 5 lists the experimental parameters used in the references. Many scholars
437 have provided results of on-site (Miyamoto et al. 2014, Keramat et al. 2020), or
438 laboratory direct shearing of MSW or MBT waste. The largest sample size for
439 laboratory research reaches $860 \times 860 \times 620$ mm, the smallest is $60 \times 60 \times 30$ mm,
440 and the size of in site test samples can reach $1220 \times 1220 \times 750$ mm. Further, the DS
441 test device is divided into square and round shapes; the test shear box used in this
442 study was a relatively large round shear box. The range of vertical pressure applied
443 was 2–774 kPa, and the vertical pressure range for most of the tests was 50–200 kPa.
444 The duration of the pressure application is generally ~1440 min. Most of the literature
445 research content includes material composition, moisture content, buried depth,
446 severity, age, fibre orientation, void ratio, particle size, top overburden pressure, upper
447 earth pressure, and self-weight stress on MSW/MBT shear impact of intensity. Only
448 Zekkos et al. (2010) and Karimpour-Fard et al. (2013) studied the effect of multiple
449 shearing rates on shear strength. Zekkos et al. (2010) studied the effects of different
450 components, unit weights, and shearing rates on the shear strength of MSW. The
451 shearing rates were 0.1, 1, and 5 mm/min, and the vertical pressures were 2, 50, and
452 150, 370, and 700 kPa and held for 1440 min. The test results show that the greater
453 the depth in the landfill, the greater the reduction of the internal friction angle, and
454 that the shear strength is likely to correspond to the weakest direction of the shear

455 plane. Karimpour-Fard et al. (2013) studied the influence of fibre content, fibre
 456 orientation, age, and shearing rate on MSW, applying 20, 50, 100, and 200 kPa
 457 vertical pressures and maintaining them for 1440 min. The shearing rate was set to
 458 0.8, 8, and 19 mm/min. The results show that as the shearing rate increased, as did the
 459 shear strength of MSW. When the horizontal displacement was 1.5, 3, and 4.5 cm, the
 460 shear strength of MSW increased to 27%, 22%, and 16%, respectively. The sensitivity
 461 coefficient is up to 0.33. This study investigates the effect of shearing rate on the
 462 strength of MBT waste materials, and the set rate covers the above-mentioned rates. A
 463 vertical pressure of 40–400 kPa was applied to simulate the self-weight stress at a
 464 landfill depth of 10–100 m.

465

466 Table 5 Reference test parameters.

Number	Reference	Sample type	Vertical stress duration (min)	Testing method and sample size (mm)	Displacement or strain at the shearing resistance considered and vertical pressure (kPa)
1	Landva & Clark (1990)	MSW	NA	L-DS, 434×287	PS, 30.3-565
2	Reedy et al. (2009)	MSW	NA	L-DS, 70(D)	PD(15%), 176-774
3	Gao et al. (2010)	MSW	120	L-DS, 300×300×150	PD(6.7% and 10%), 50-300
4	Zekkos et al. (2010)	MSW	1440	L-DS, 300×300×180	PD(55mm), 2-700
5	Cho et al. (2011)	MSW	240-1800	L-DS, 100(D) 860×860×620	PD(18%), 48-290 PD(30%), 96-287
6	Karimpour-Fard et al. (2013)	MSW	1440	L-DS, 300×300×150	PD(5, 10 and 15%), 20-200

7	Pimolthai & Wagner (2014)	MBT-MSW	NA	L-DS, 94(D)	PS, 20-100
8	Zhang et al. (2014)	MSW	0-1440	L-DS, 150(D)	PD(20%), 25-200
9	Miyamoto et al. (2014)	MSW	10	I-DS, 300×150	PD(40mm), 8.2-13.6
10	Zhan et al. (2015)	MSW	30-740	L-DS, 618(D)	PD(10%), 12.5-100
11	Babu et al. (2015)	MBT-MSW	NA	L-DS, 60×60×30	PD(20%), 50-150
12	Fucale et al. (2015)	MBT-MSW	4320	L-DS, 100×100×150	PD (20%), 100-300
13	Abrue et al. (2017)	MSW	250	L-DS,500×500×500	PD(20%), 50-250
14	Ramaiah et al. (2017)	MSW	700	L-DS, 305×305×203	PD(55mm), 25-200
15	Guo (2018)	MBT-MSW	1440	L-DS, 180(D)	PD(10%, 15%), 50-200
16	Zhang (2018)	MBT-MSW	1440	L-DS, 200(D)	PD(20%), 12.5-200
17	Falamak et al. (2019)	MSW	1440	L-DS, 300×300×165	PS, 50-200
18	Keramat et al. (2020)	MSW	NA	I-DS, 1220×1220×750	PD(4%), 6.7-22.2
19	This study	MBT-MSW	1440	L-DS, 185(D)	PD(5%, 10%, 15% and 20%), 40-400

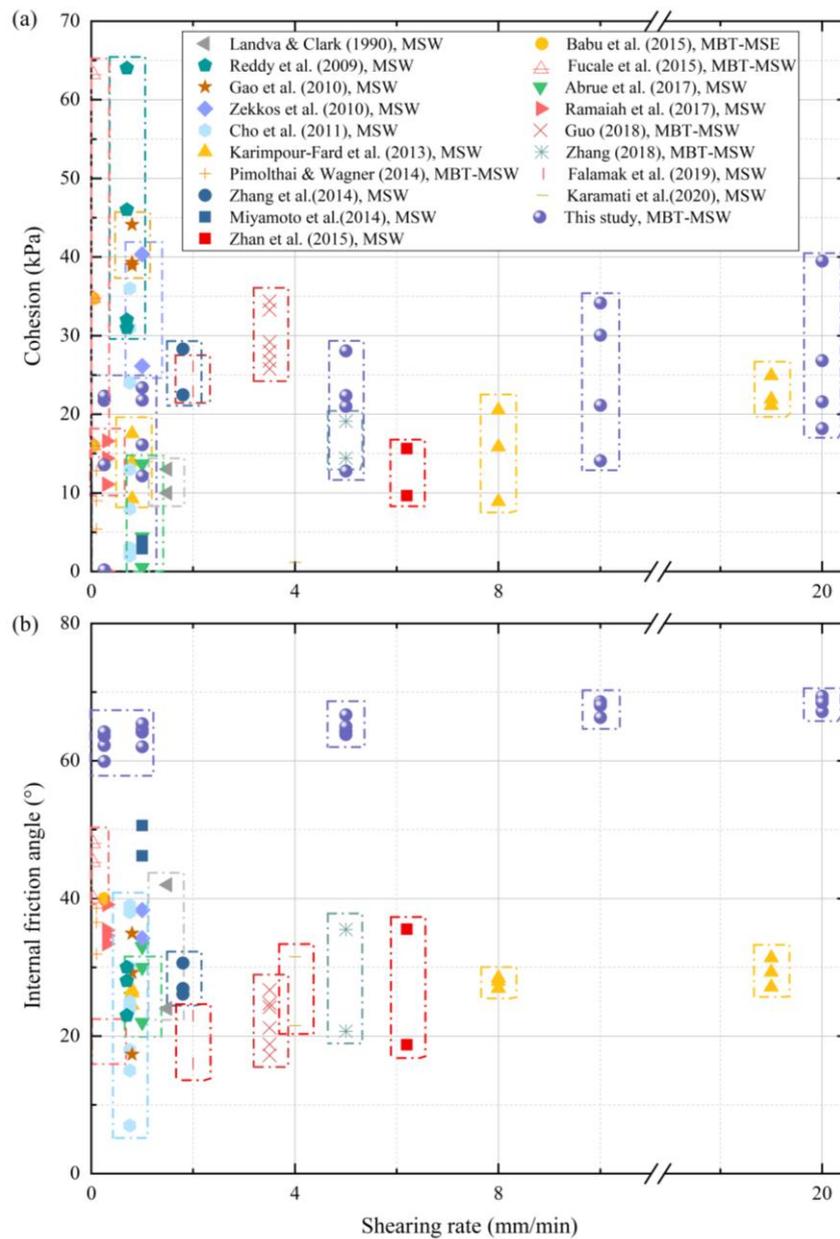
467 L laboratory tests, I in-site tests, DS direct shear, PS peak stress, PD peak displacement, NA not
468 available.
469

470 **4.4 Shear strength parameters**

471 We collected references with a time span of up to 30 y (1990-2020), extracted
472 shear strength parameters (data with similar strain values) obtained at various shearing
473 rates in the literature. The influence of shearing rate on the shear strength parameters
474 of materials was compared (Figure 19).

475 Figure 19 shows that as the shearing rate increases, the shear strength parameters
476 c and ϕ of MSW and MBT waste also increase. The variation range of MSW cohesion
477 is generally between 0–46 kPa, and cohesion range of MBT waste is concentrated

478 between 5.4–39.5 kPa (some data points with larger increases are excluded), and the
479 range of MSW cohesion larger than MBT waste. The MSW internal friction angle
480 range was 7–50.6°, and the MBT waste internal friction angle range was 17.2–48.1°;
481 most fluctuate around 30°. The internal friction angle range of this study was 59.92–
482 68.52°, which is generally greater than the conclusions of other literature. The main
483 reasons for the difference in shear strength parameters of MSW and MBT waste are
484 the different components of the materials and different shearing rates. Different
485 components and different shearing rates will show different physical and mechanical
486 properties. In addition, MBT pre-treatment technology has only been studied by
487 scholars in some countries, which also partly explains the small range of test results.
488



489

490 Figure 19 Comparison of shear strength parameters (a) the relationship between cohesion and

491 shearing rate, (b) the relationship between internal friction angle and shearing rate.

492

493 **5. Conclusion**

494 To supplement the relationship between MBT waste in shearing rate, landfill
495 depth and shear strength. In this study, the direct shear test was used to test the MBT
496 waste, and the experimental phenomenon that the shear strength increases with
497 shearing rate increases. Furthermore, a theoretical model of shear strength prediction
498 was established, and the range of shear strength parameters was obtained. The
499 findings of this work provide basic data and strength models for the design, instability
500 and damage prediction of MBT landfills. The specific conclusions are as follows:

501 (1) MBT waste was mainly composed of paper, plastic, rubber, textile, wood,
502 stone, ceramics, glass, metal, fine-grained soil, and other visually indistinguishable
503 materials. Among them, plastic and rubber are the most important in MBT waste
504 ingredients (23.3% dry mass). The measured moisture content was 20%, which is
505 significantly lower than that of MSW. The measured specific gravity was 1.53.

506 (2) With an increase in the horizontal displacement of MBT waste, the shear
507 stress of the sample does not exhibit an obvious peak, indicating a displacement
508 hardening phenomenon. MBT waste complies with the Mohr–Coulomb theory. As the
509 value of shear horizontal displacement increases, the shear strength of MBT waste
510 increases.

511 (3) A DS test instrument was used to study the influence of different shearing
512 rates on the shear strength of MBT waste. The test results show that as the shearing

513 rate increased, the shear strength of MBT waste increased. The sensitivity coefficient
514 between the shearing rate and shear strength of MBT waste was 0.64-2.66.

515 (4) A DS test instrument was used to simulate the influence of different landfill
516 depths on the shear strength of MBT waste. The test results show that the shear
517 strength increased with an increase in the buried depth.

518 (5) We established an MBT waste shear strength prediction model considering
519 the effects of shearing rate and normal stress simultaneously. This model can simulate
520 and predict the changes in MBT waste shear strength with shearing rate and vertical
521 pressure. The model was fitted into a nonlinear smooth surface in a rectangular
522 coordinate system with a fitting coefficient of 0.98.

523 (6) The relationship between cohesion c and the logarithm of the shearing rate
524 can be fitted to a straight line, with an average fitting coefficient of 0.91. The law
525 conforms to the logarithmic model, and the expression of the logarithmic model is
526 established. The cohesive force increased with the increase in the shearing rate and
527 with the increase in horizontal displacement.

528 (7) The relationship between the internal friction angle φ and logarithm of the
529 shearing rate, $\log v$, could be fitted to a straight line, with an average fitting coefficient
530 of 0.91. The law conformed to the logarithmic model, and the expression of the
531 logarithmic model was established. In contrast to the cohesive force, the slope of the
532 straight line with $\varepsilon = 20\%$ was less than $\varepsilon = 10\%$. This is because under large

533 horizontal shear displacement, some of the reinforced materials are broken and
534 damaged, and the effect of the reinforcement is weakened.

535 (8) The cohesion c of MBT waste was in the range of 22.32–39.51 kPa, and the
536 internal friction angle φ was in the range of 64.24°-68.52°.

537

538 **Ethics approval and consent to participate**

539 Not applicable.

540 **Consent for publication**

541 Not applicable.

542 **Availability of data and materials**

543 The datasets used and/or analysed during the current study are available from the
544 corresponding author on reasonable request.

545 **Competing interests**

546 The authors declare that they have no competing interests.

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551 **Authors' contribution**

552 Jiahe Zhang conducted the direct shear test on Hangzhou Tianziling MBT waste,
553 and analyzed and interpreted the influence of shearing rate on the shear strength of
554 MBT waste. Qiaona Wang, Min Wang and Chenyu Nie conducted the direct shear test
555 on Hangzhou Tianziling MBT waste, Zhenyiing Zhang analyzed the test data, and
556 reviews and revises the full text. All authors read and approved the final manuscript.

557

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560

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Figures

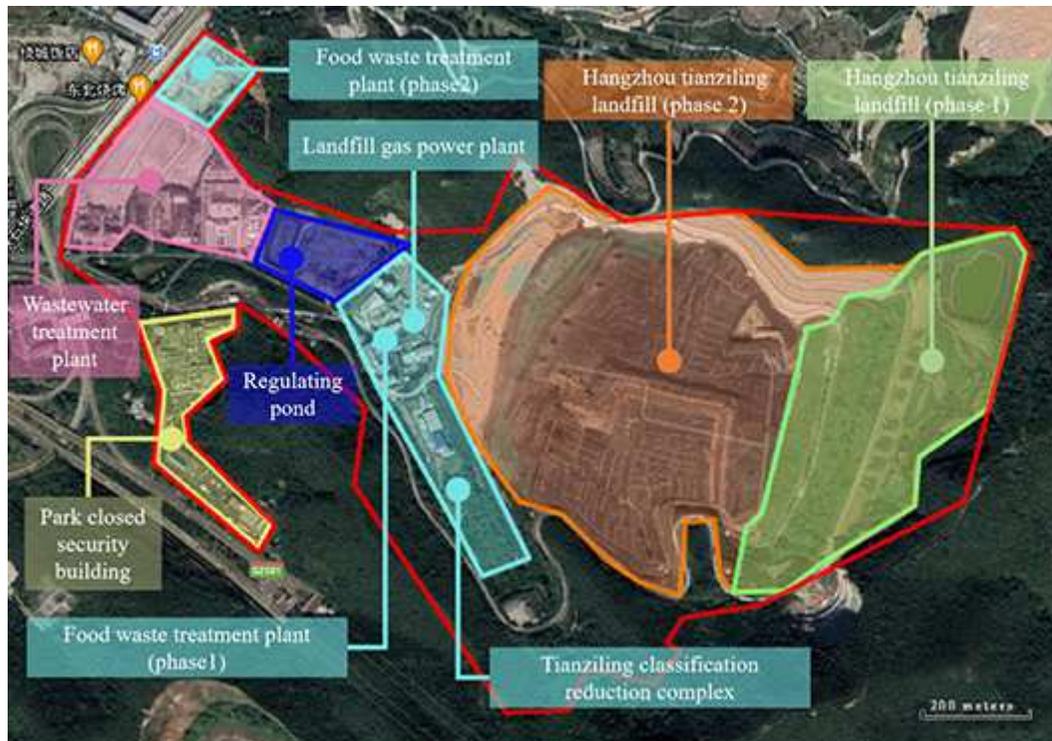


Figure 1

Floor plan of Hangzhou Tianziling Landfill, China. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



Figure 2

MBT waste original materials.

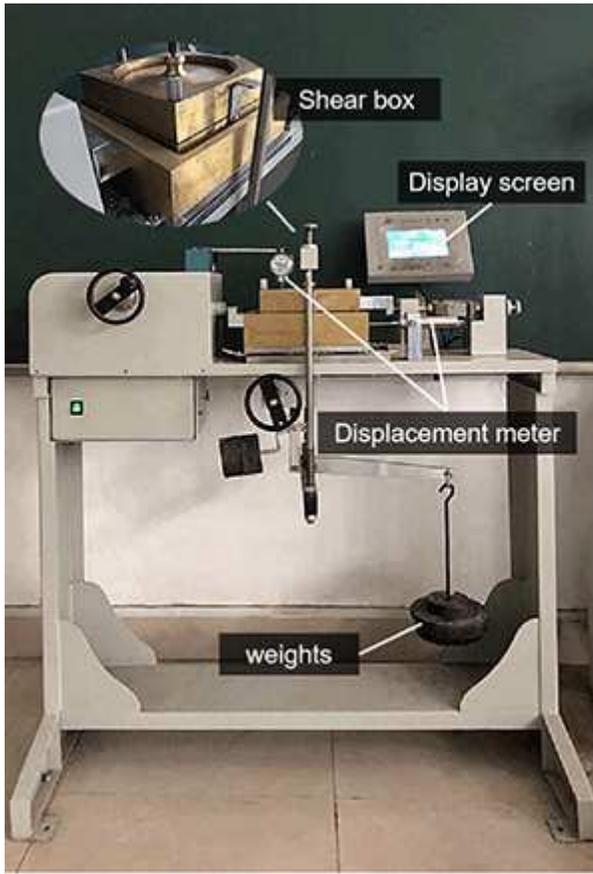


Figure 3

Direct shear test apparatus.



Figure 4

MBT waste test materials.

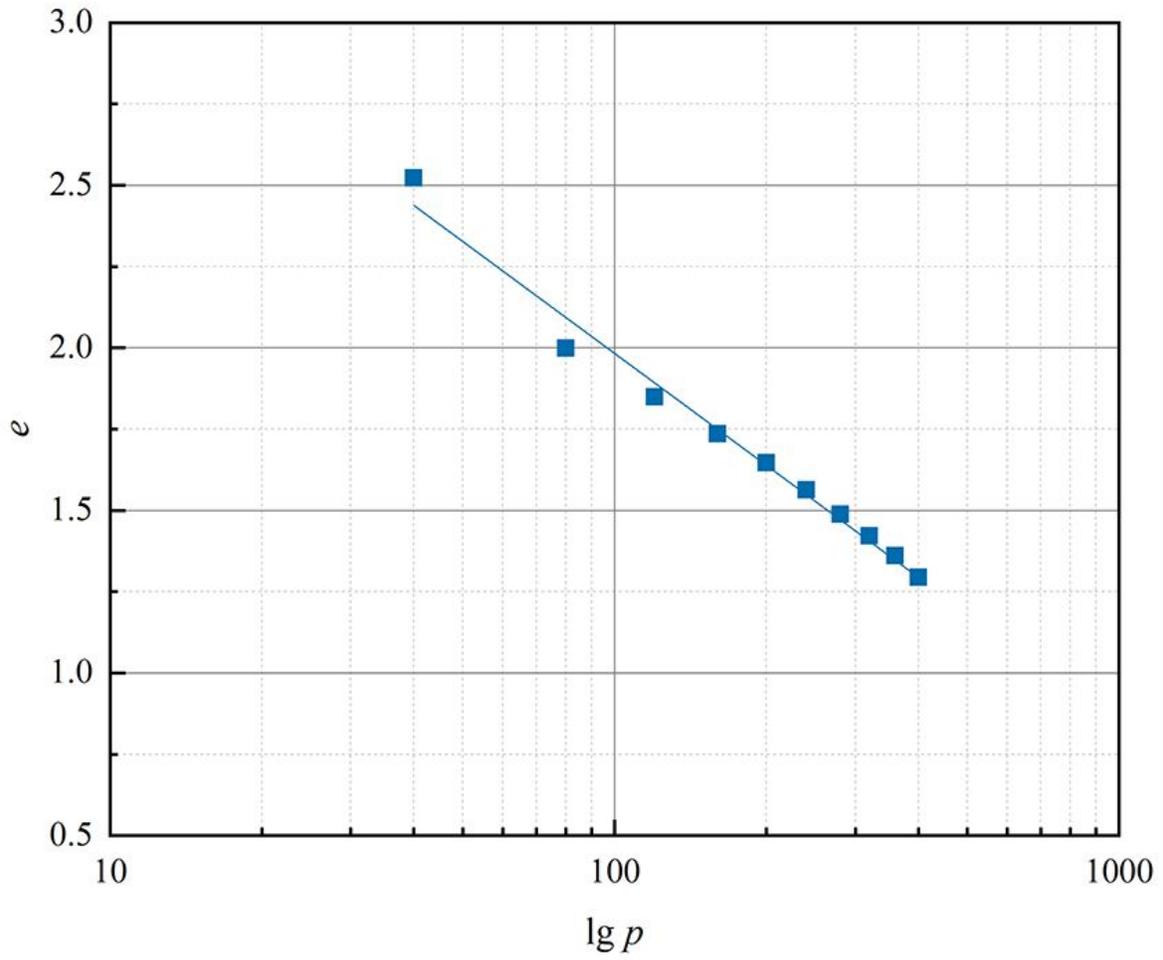


Figure 5

The relationship between the porosity ratio (e) and the logarithm of vertical pressure ($\lg p$).

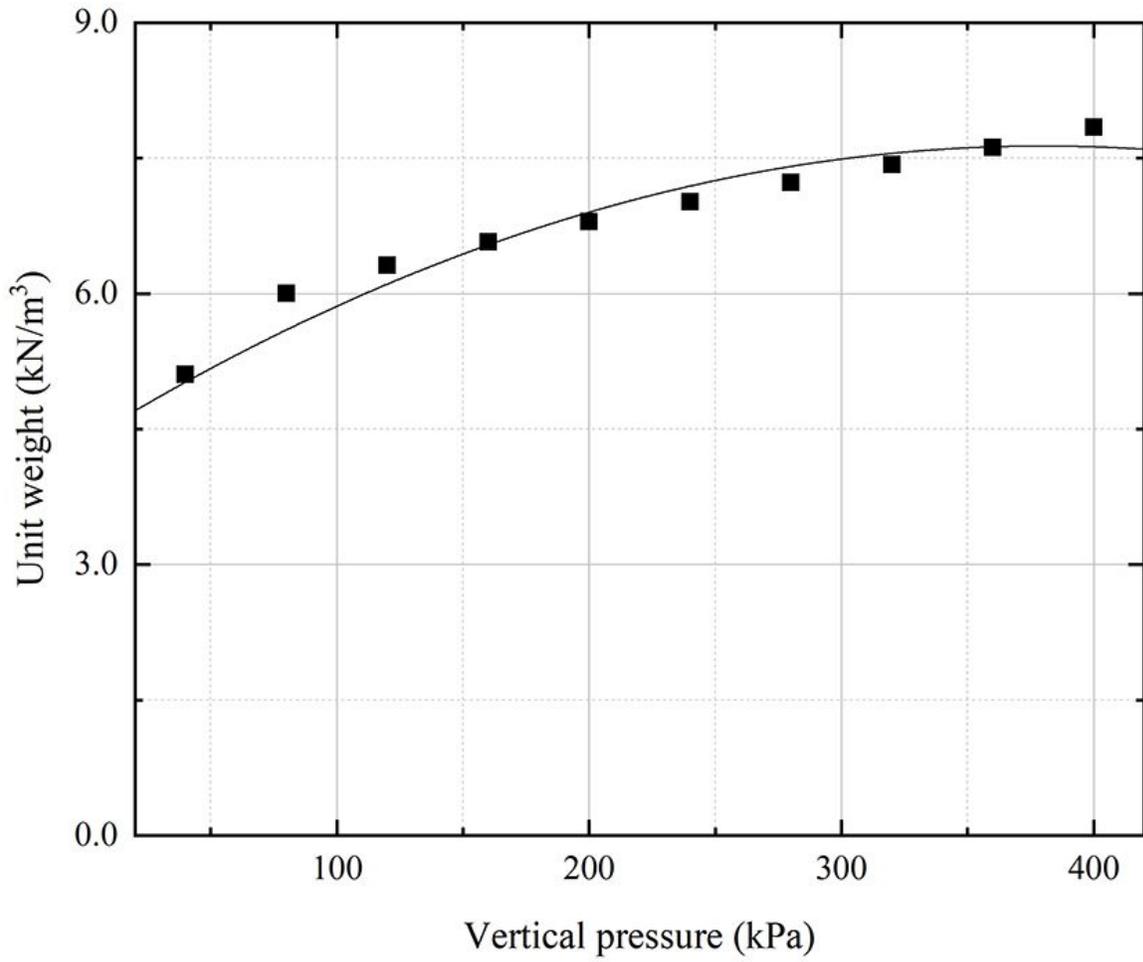


Figure 6

The relationship between the unit weight of the sample and the vertical pressure.

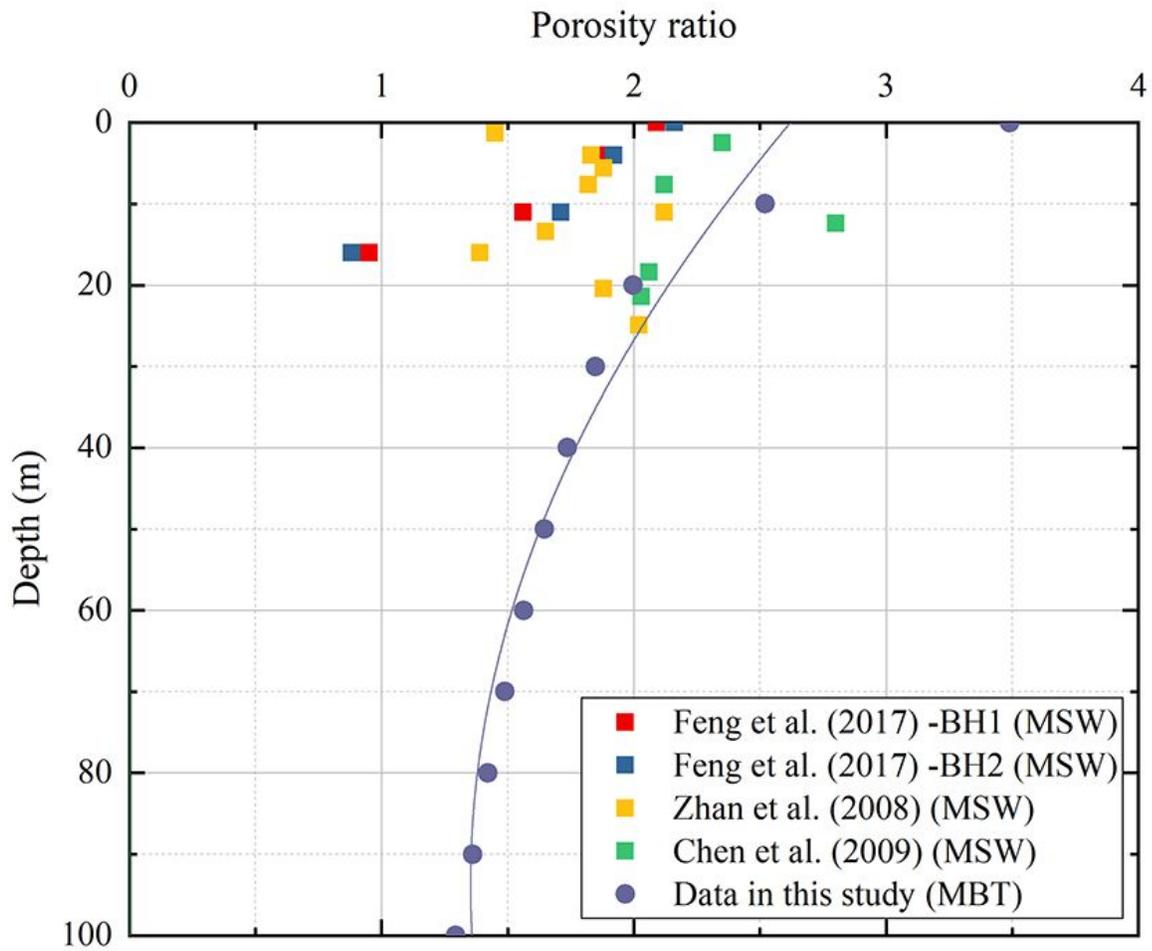


Figure 7

The porosity ratio of MSW and MBT waste varies with depth.

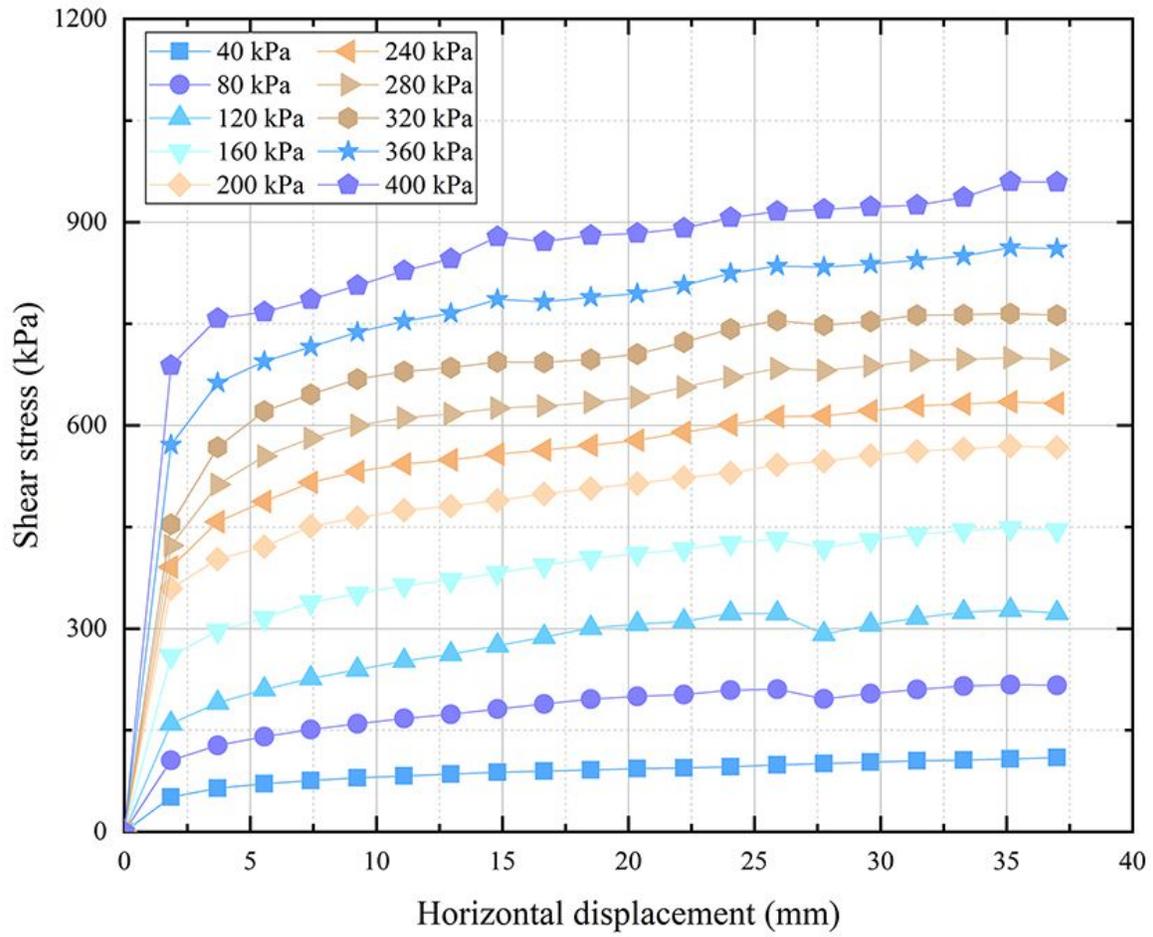


Figure 8

Shear stress-horizontal displacement graph (shearing rate is 5mm/min).

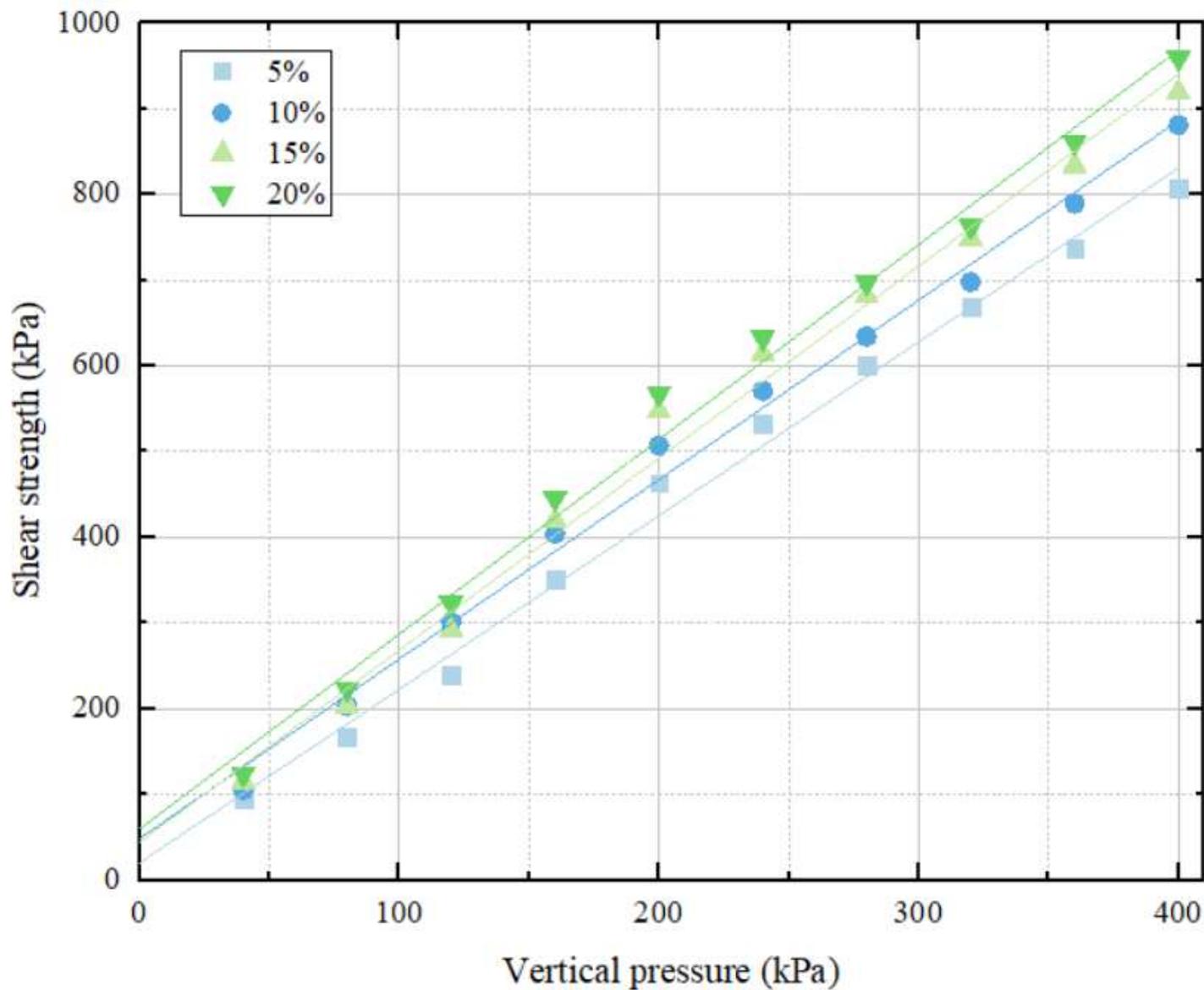


Figure 9

MBT waste shear strength envelope (shearing rate is 5mm/min).

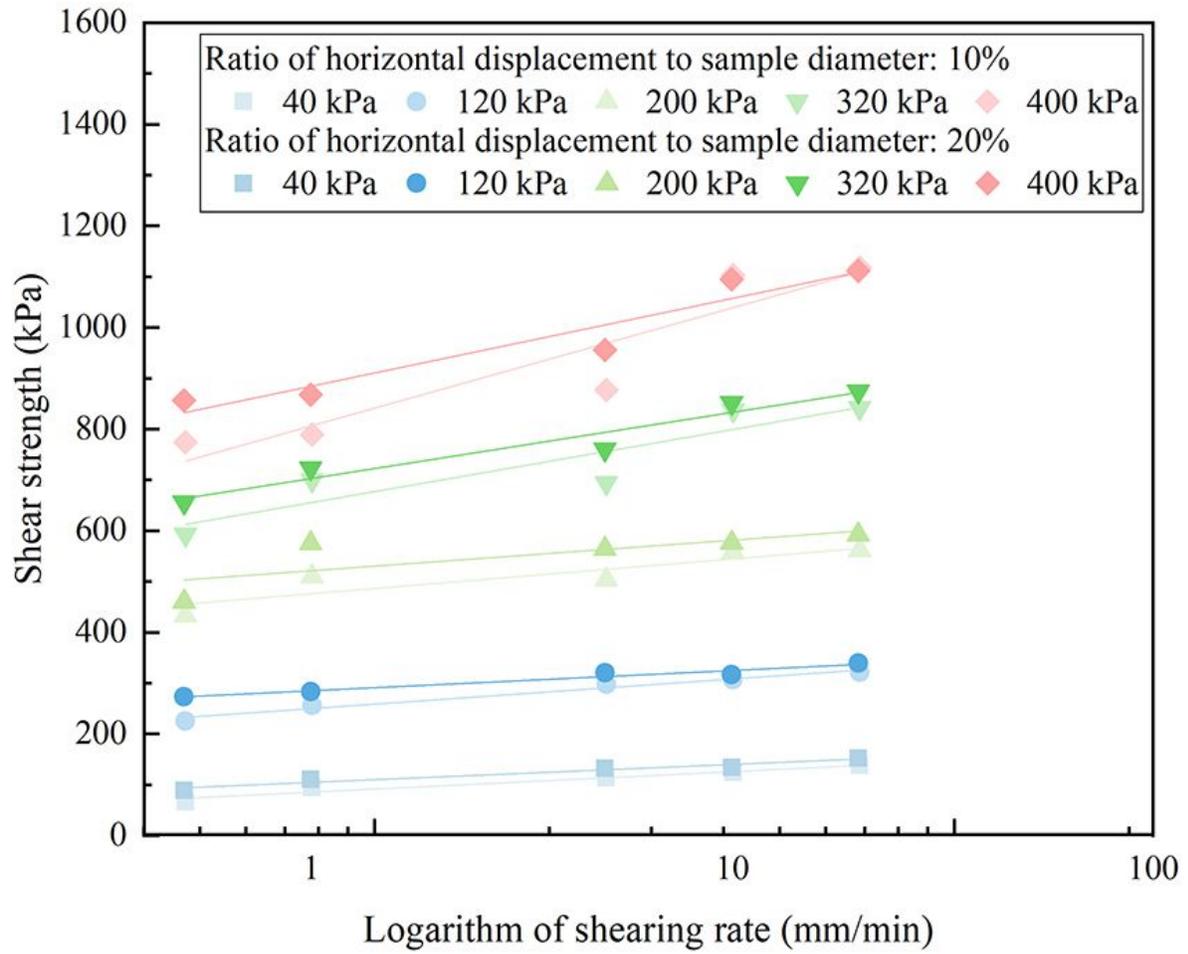


Figure 10

The relationship between shear strength and logarithm of shearing rate.

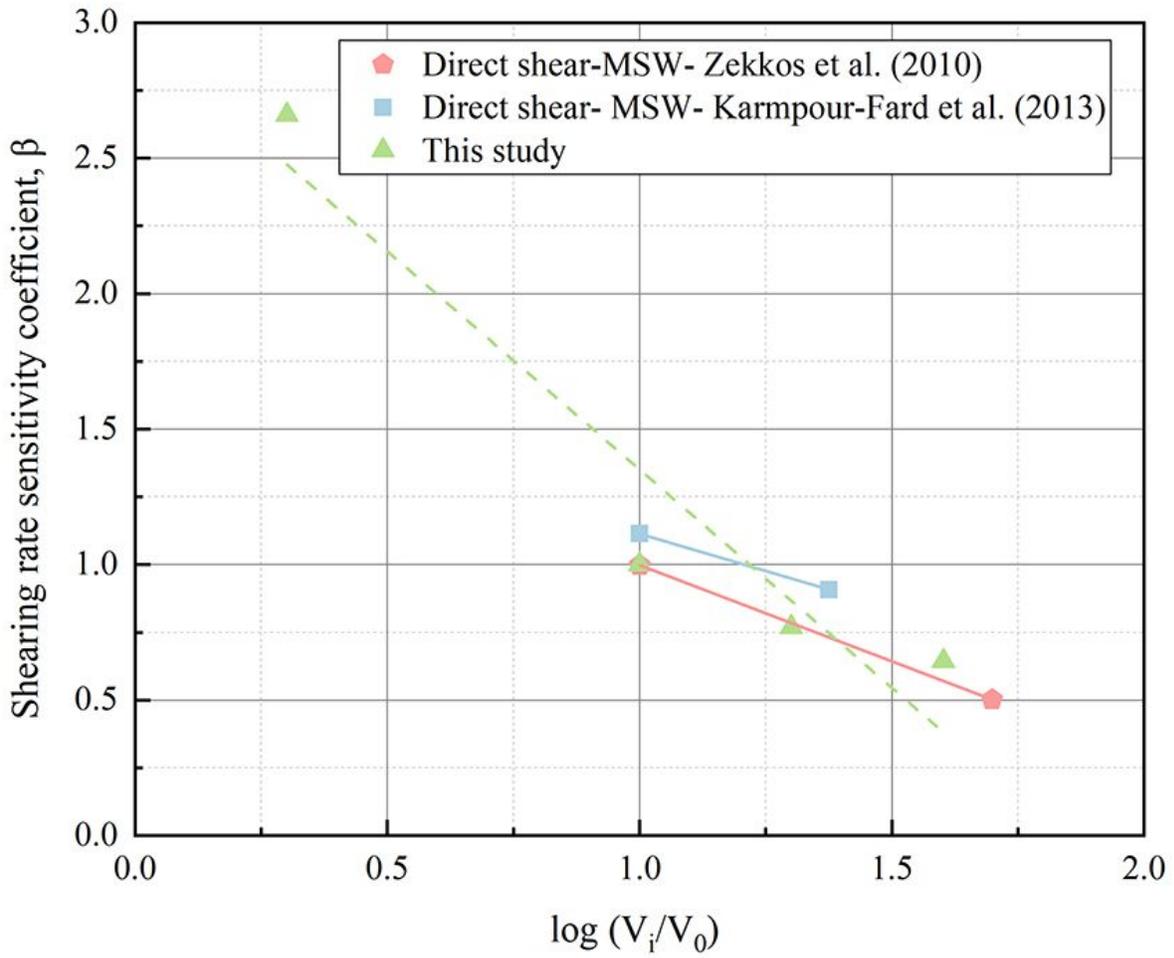


Figure 11

The shearing rate sensitivity coefficient of waste samples.

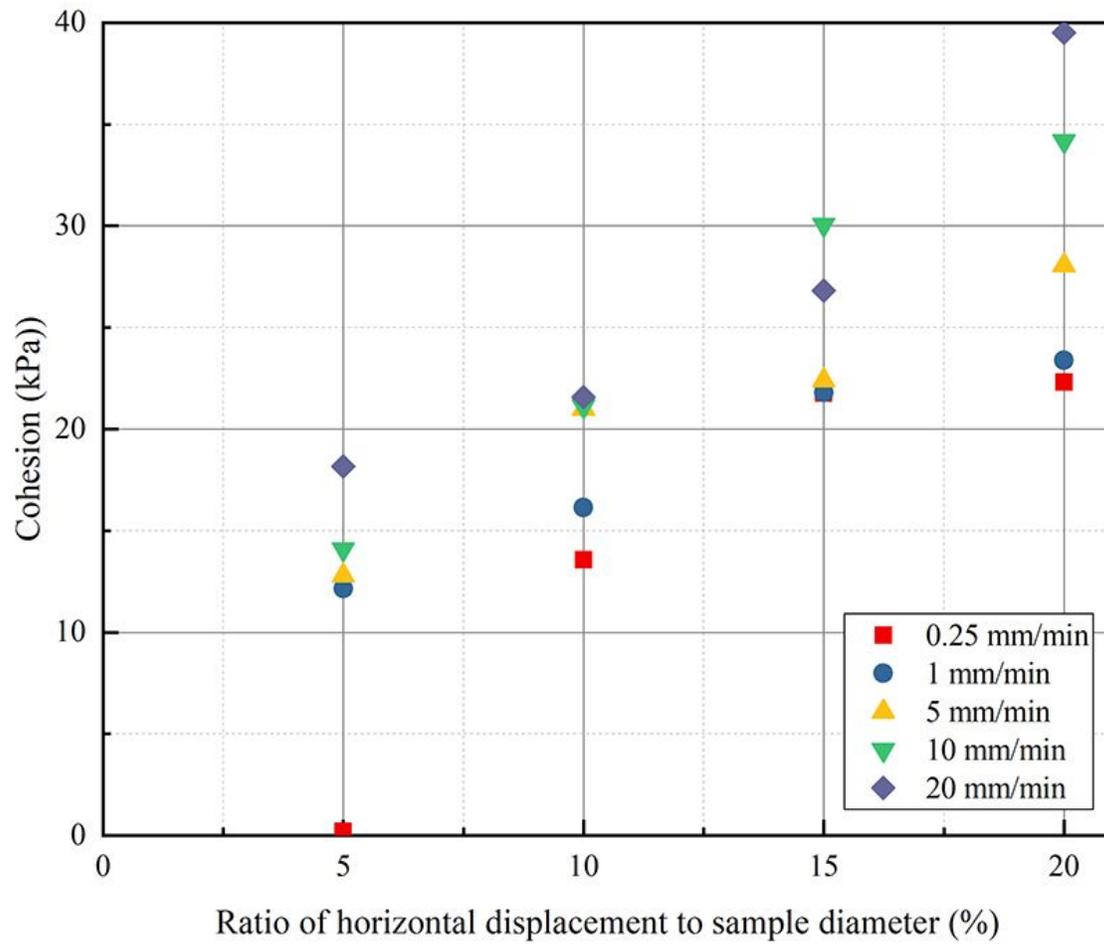


Figure 12

The relationship between cohesion and ratio of horizontal displacement to sample diameter.

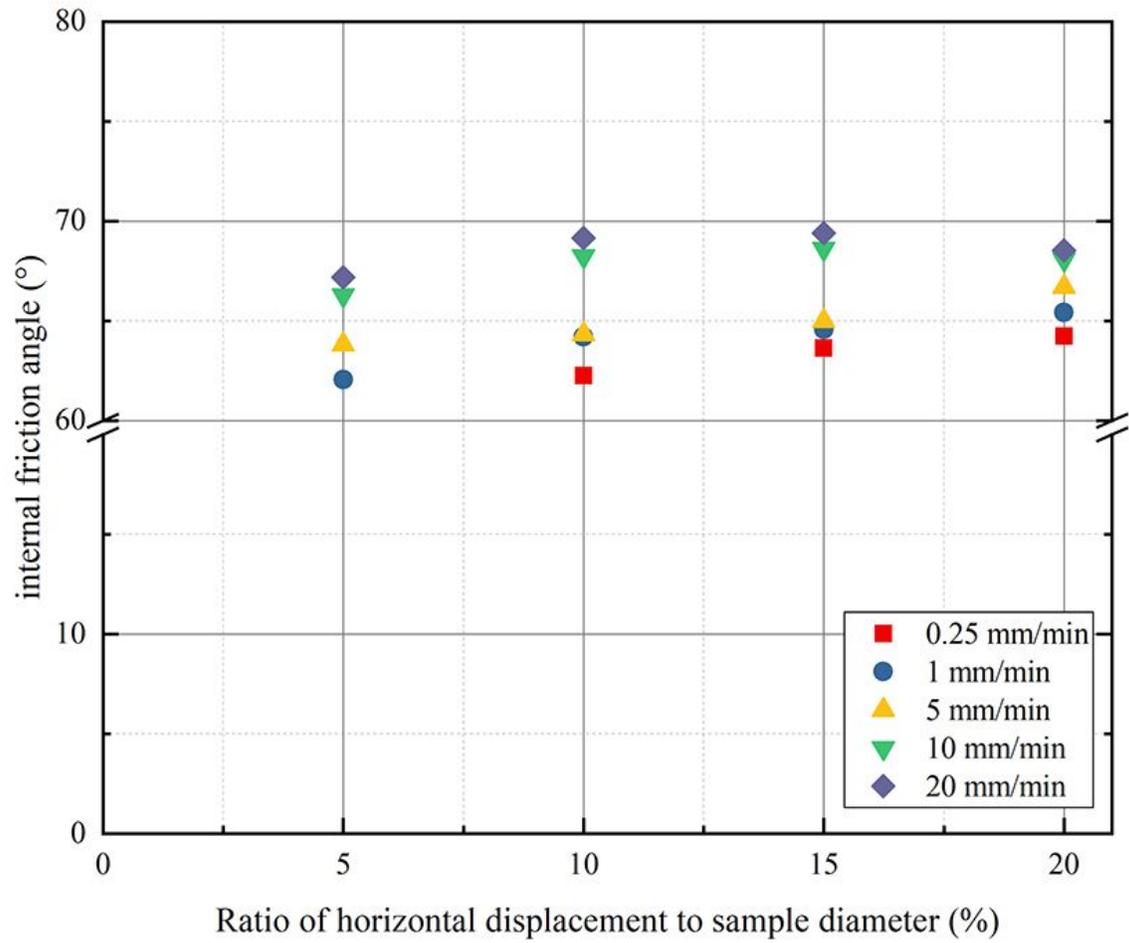


Figure 13

The relationship between internal friction angle and ratio of horizontal displacement to sample diameter.

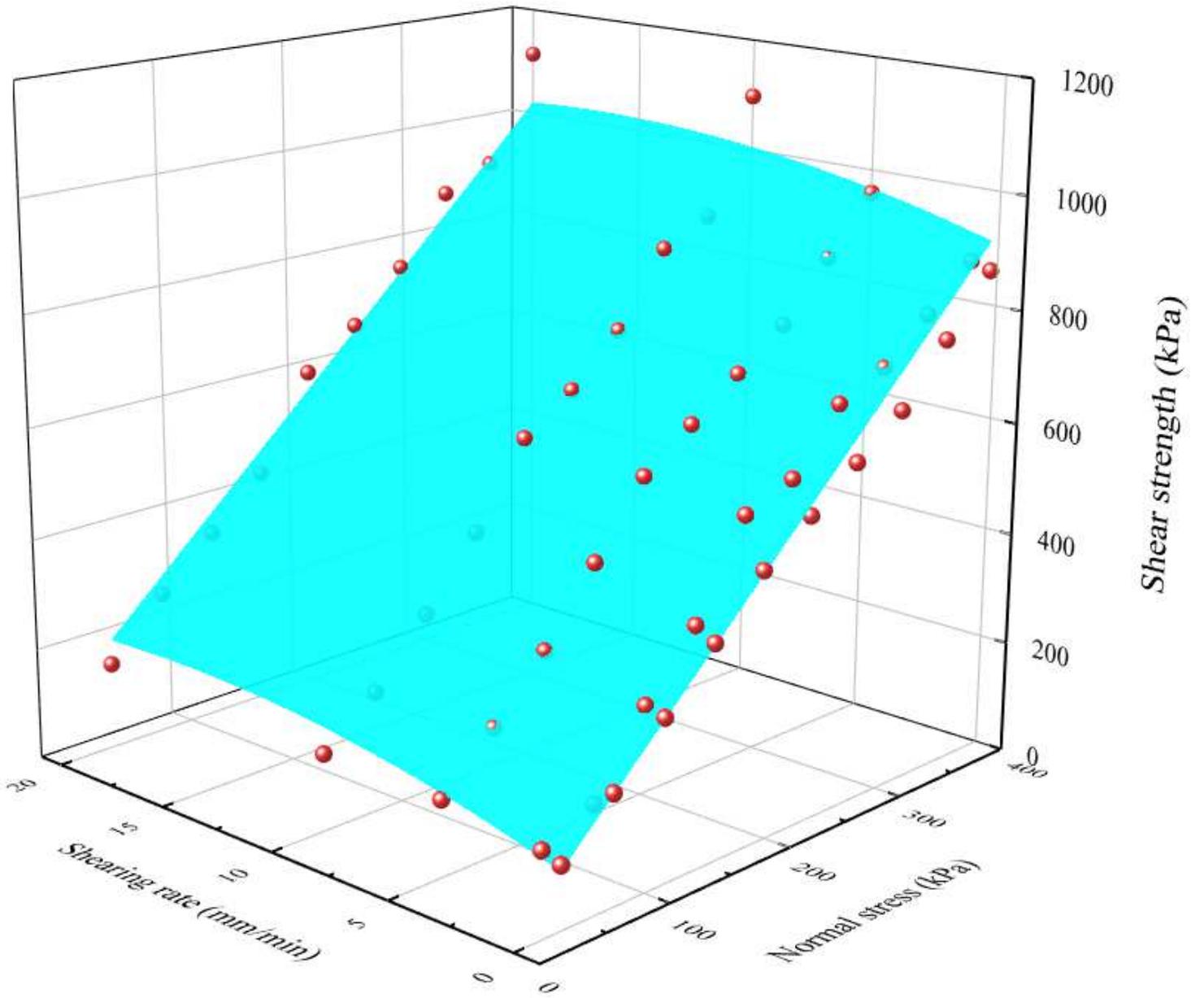


Figure 14

Shear strength fitting model.

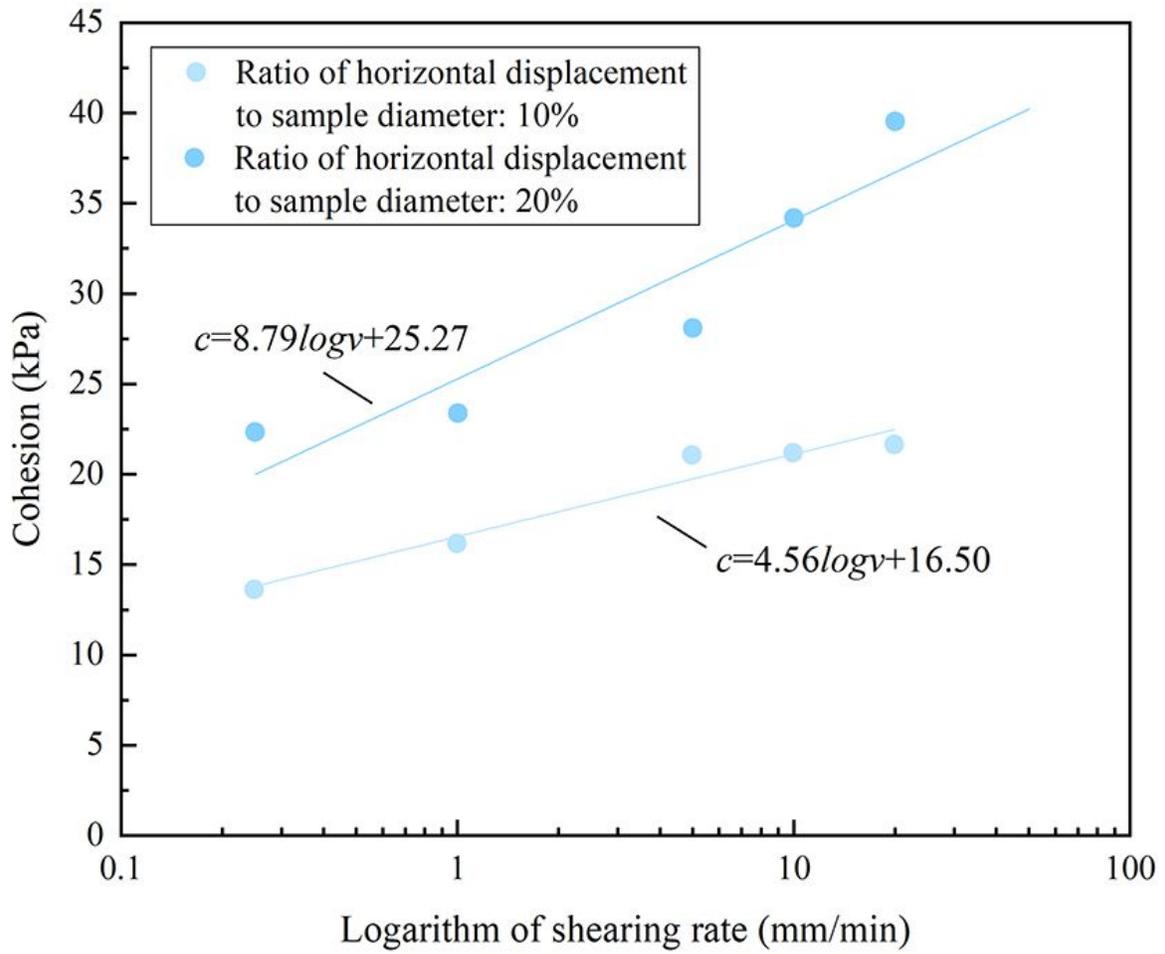


Figure 15

Relationship between cohesion and logarithm of shearing rate.

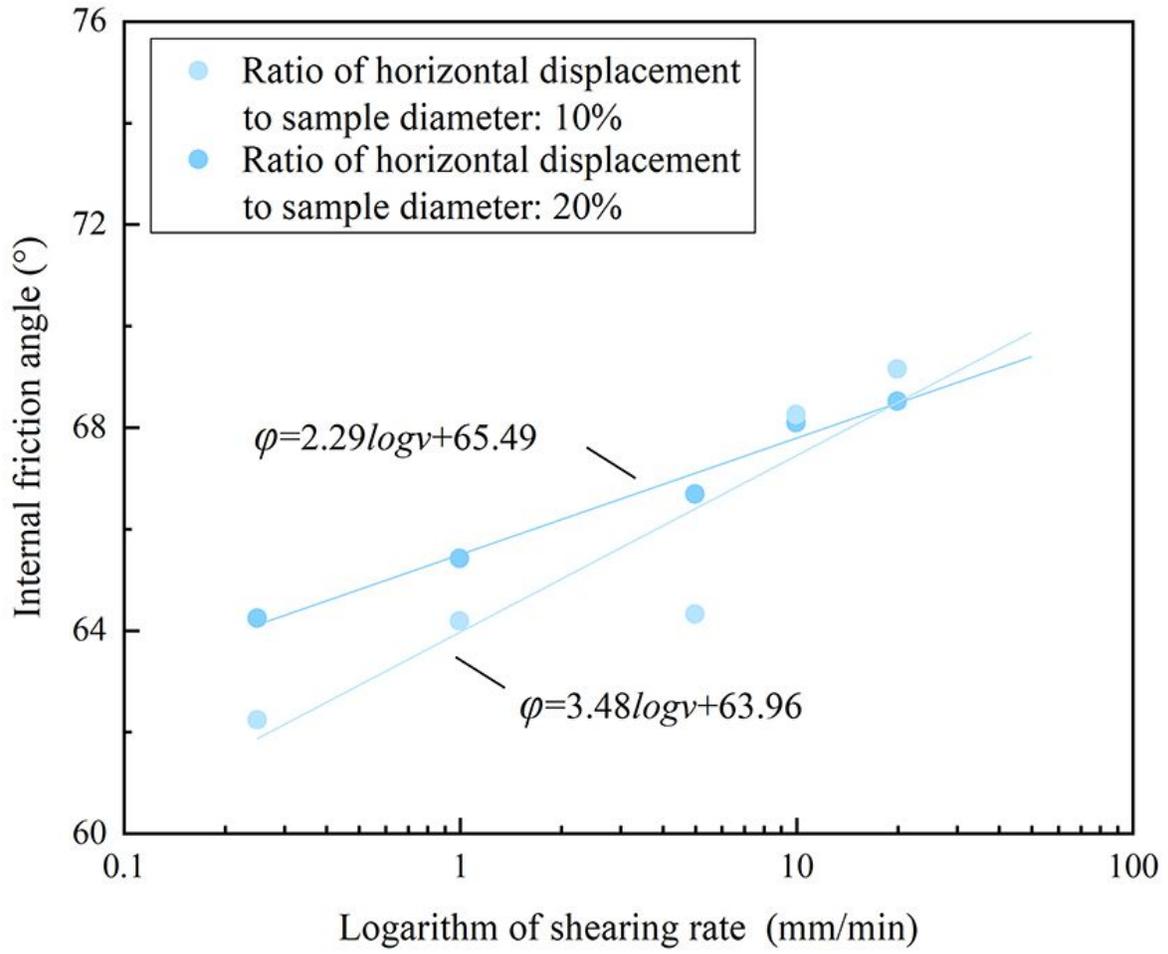


Figure 16

Relationship between internal friction angle and logarithm of shearing rate.

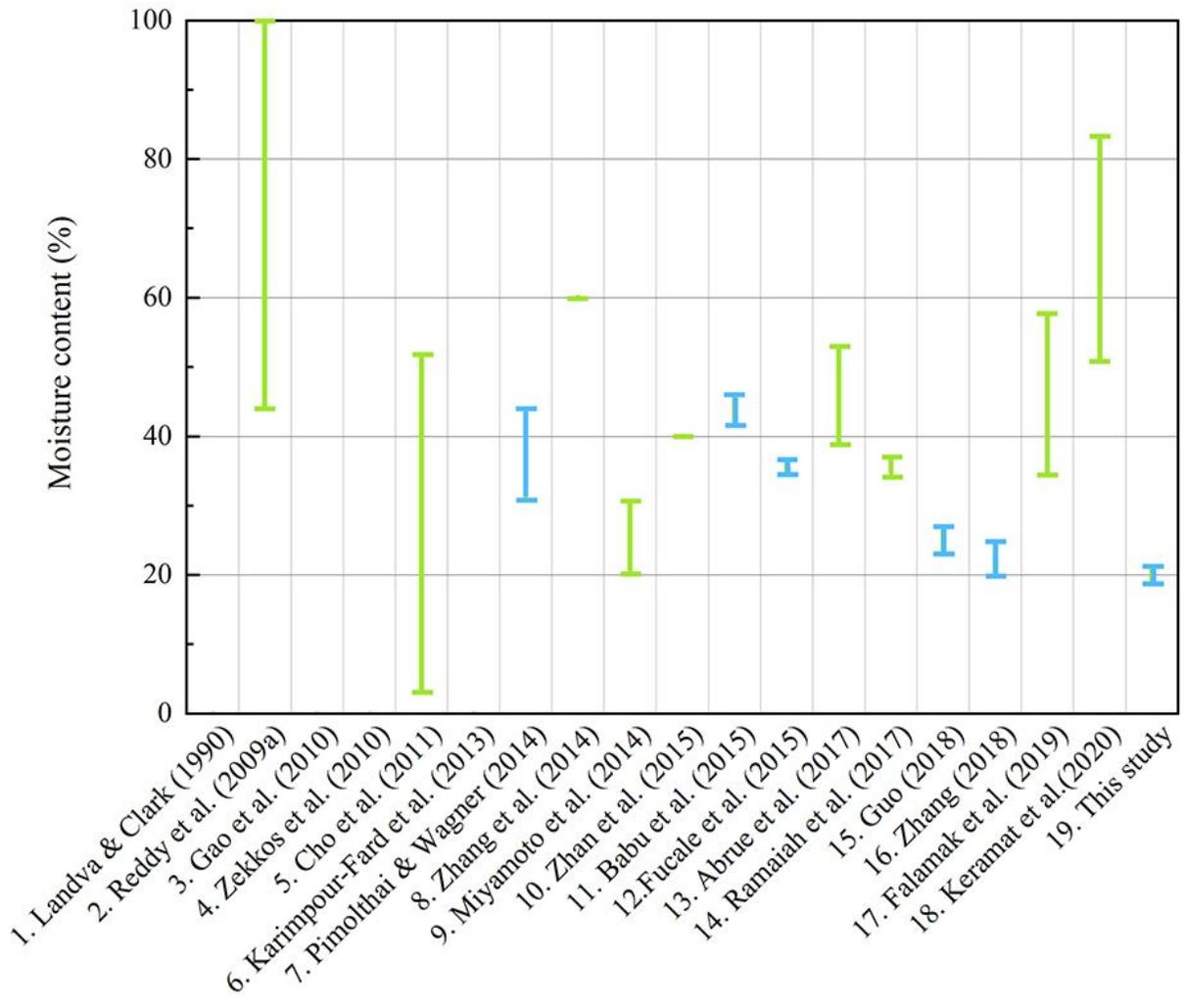


Figure 17

Moisture content of each reference sample (green - MSW, blue - MBT waste).

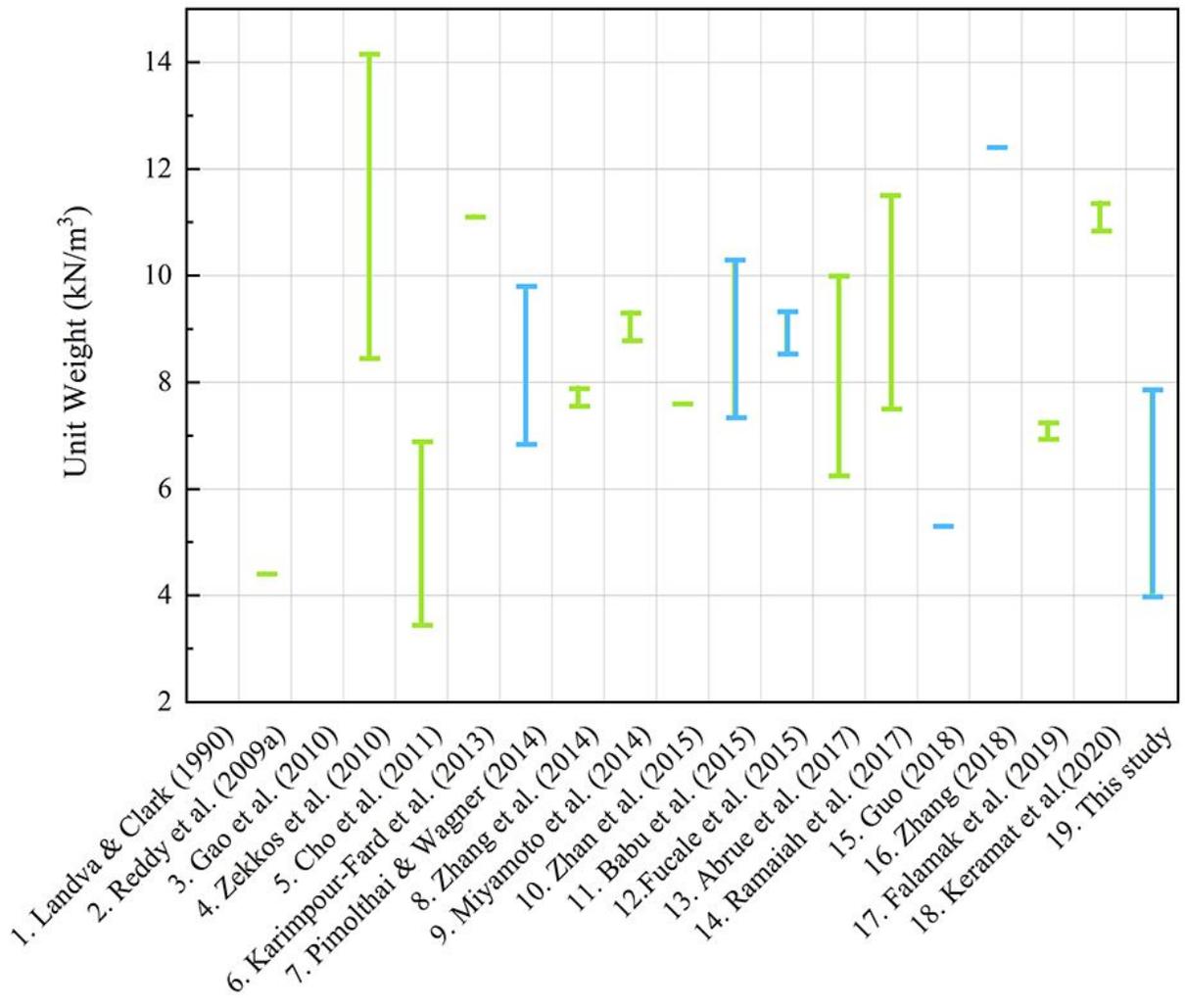


Figure 18

Unit weight of each reference sample (green - MSW, blue - MBT waste).

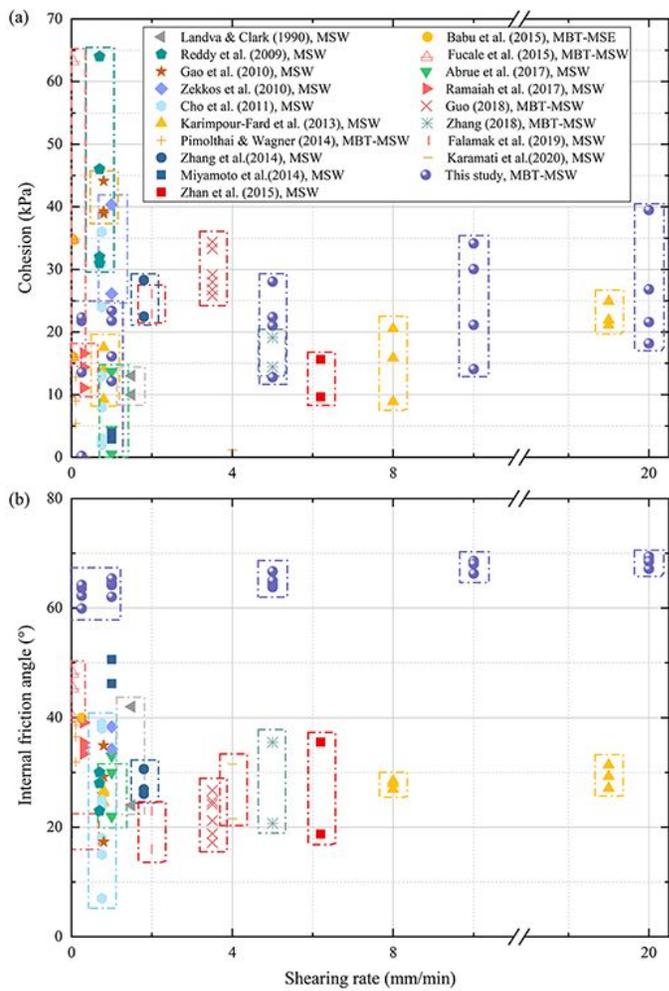


Figure 19

Comparison of shear strength parameters (a) the relationship between cohesion and shearing rate, (b) the relationship between internal friction angle and shearing rate.