

# Chemical Profiling of Wen-Dan Decoction by UPLC/Q-TOF-MS/MS and Variation Analysis between Different Processes Based on Chemometric

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## Research

**Keywords:** chemical profiling, variation analysis, chemometric, preparation processes, Wen-Dan decoction, classic prescription

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1           **Chemical Profiling of Wen-Dan Decoction by UPLC/Q-TOF-MS/MS and**  
2           **Variation Analysis between Different Processes Based on Chemometric**

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11  
12 **Abstract**

13 **Background:** Wen-Dan decoction (WDD) is a traditional Chinese medical prescription  
14 composed of six herbs for the treatment of neurological diseases. The research of classic  
15 prescription (CP) is the hotspot in China which aims to develop the ancient and highly  
16 effective prescriptions into modern formulations under the condition of ancient dosage.  
17 In order to clarify the effects of ancient and modern techniques on WDD in the research  
18 of CP, the compounds in WDD should be systematically studied first, and the issue  
19 whether there are significant differences on components even efficacy between  
20 different preparation processes has to be explored.

21 **Methods:** In this study, ultra performance liquid chromatography coupled with

22 quadrupole time-of-flight mass spectrometry was employed to analyze the components  
23 of WDD rapidly in both positive and negative ion mode. The analysis methods of  
24 chemometric, such as partial least squares discriminant analysis (PLS-DA) and  
25 orthogonal partial least squares discriminant analysis (OPLS-DA), was innovatively  
26 adopted to compare three typical processes (ancient decoction process, modern  
27 decoction process and modern alcohol precipitation process).

28 **Results:** The 121 compounds were identified in WDD, including 23 organic acids, 69  
29 flavonoids, 4 amino acids, 5 phenylpropanoids, 6 triterpenoid saponins and 14 other  
30 components, and most of them were from Zhishi (Immature orange fruit), Chenpi  
31 (Dried tangerine peel) and Gancao (Liquorice root). Additionally, significant  
32 differences between three processes were proved in this study, and 24 components were  
33 considered to be related to the differences between ancient and modern technology, as  
34 well as 18 compounds could be affected in the process of alcohol precipitation. The  
35 relative content of compounds in modern decoction process was higher than that in  
36 ancient decoction process, indicating the extraction efficiency of modern technology  
37 was greater than that of ancient process.

38 **Conclusion:** The chemical profiling of WDD provided the bases for the study of active  
39 components and quality control. The obvious differences among three processes  
40 suggested that the content of active ingredients in the study of CP should be strictly  
41 controlled to ensure the transfer of significant efficacy from ancient prescriptions to  
42 modern formulations.

43 **Keywords:** chemical profiling; variation analysis; chemometric; preparation processes;

44 Wen-Dan decoction; classic prescription

45

## 46 **Background**

47 Wen-Dan decoction (WDD), recorded in the *Valuable Prescriptions for Emergency*  
48 written by Sun Simiao in tang dynasty, is composed of Banxia (Pinellia tuber), Zhishi  
49 (Immature orange fruit), Zhuru (Bamboo shavings), Chenpi (Dried tangerine peel),  
50 Gancao (Licorice root) and Shengjiang (Ginger). WDD is a classic prescription (CP)  
51 for the treatment of phlegm, a disease related to nerves and emotions in traditional  
52 Chinese medicine (TCM) [1-2]. Obvious therapeutic effects of WDD on depression,  
53 insomnia, schizophrenia, alzheimer's disease and other neurological diseases have been  
54 proved by the modern clinical studies [3-6]. At present, the study of the compounds in  
55 WDD is not clear, which makes it difficult to determine the active components.  
56 However, it has been found that there are lots of flavonoids in Zhishi, Chenpi and  
57 Gancao as well as many organic acids in Banxia and Zhuru [7-10], which could provide  
58 references for the chemical profiling of WDD.

59 In recent years, a series of documents on the research and registration of CP has  
60 successively issued to support the development of TCM in China [11]. Due to the policy  
61 of exemption from clinical trials of CP, its development has attracted great attention  
62 from the researchers [12]. The purpose of CP research is to develop the ancient and  
63 highly effective prescriptions into modern formulations under the condition of  
64 following the ancient dosage. However, there are great differences between ancient and  
65 modern, such as dosage form, technical equipment and social environment. The

66 problem whether modern formulations of CP can still maintain its active components  
67 and significant efficacy remains to be explored. Therefore, the influences of different  
68 preparation processes in ancient and modern should be the focus of attention in the  
69 research of CP.

70 Generally speaking, due to the limited equipment, shorter time and few times of  
71 decoction, ancient decoction process (ADP) was inefficient, and the effective  
72 components were less dissolved. Modern decoction process (MDP) is more likely to  
73 optimize the decoction conditions to obtain the appropriate extraction efficiency.  
74 Modern alcohol precipitation process (MAPP) is often used to remove macromolecular  
75 substances (such as sugar, starch, protein) to reduce the oral dose. These three processes  
76 are the typical preparation methods of TCM prescription, which could greatly affect the  
77 type and content of ingredients.

78 With the advantages of high resolution, good sensitivity, short cycle and wide  
79 scanning range, ultra-performance liquid chromatography coupled with quadrupole  
80 time-of-flight mass spectrometry (UPLC/Q-TOF-MS/MS) is widely used in studying  
81 the compositions of TCM [13,14]. Additionally, the analysis methods of chemometric,  
82 such as partial least squares discriminant analysis (PLS-DA) and orthogonal partial  
83 least squares discriminant analysis (OPLS-DA), can be adopted to analyze the  
84 differences between two groups of multiple variables [15-16]. In recent years, the  
85 combination of mass spectrometry and chemometric provides an analytical basis for the  
86 quality study of TCM from different regions or varieties [17-18].

87 This study analyzed the chemical constituents systematically to lay a foundation

88 for the further study about the identification of active components in WDD. In addition,  
89 by comparing three typical preparation processes, this paper purposed to investigate  
90 whether there were some significant differences between ancient and modern  
91 technology, and prepared for the problem whether different processes could affect the  
92 significant efficacy of WDD. It would promote the development of WDD traditional  
93 classic preparation into modern preparation and provide the reference for the study of  
94 WDD spectrum-effect correlation.

95

## 96 **Methods**

### 97 **Materials and reagents**

98 Fifteen batches samples of each herb were collected from three different regions of  
99 China (Additional file 1), and all of them were compatible with the validation test of  
100 Chinese Pharmacopoeia 2015 version. Reference substances were purchased from  
101 National Institutes of Food and Drug Control (Beijing, China) and Shanghai Yuanye  
102 Biotechnology Co., Ltd (Shanghai, China), the purity was higher than 98% by HPLC-  
103 UV analysis. LC-MS grade acetonitrile and methanol were purchased from Merck  
104 (Darmstadt, Germany). All other reagents were of analytical grade. Ultra-high purity  
105 water was prepared by a Milli-Q Synthesis 108 water purification system (Bedford, MA,  
106 USA).

107

### 108 **Preparation of WDD freeze-dried powder based on three processes**

109 The 15 batches of each herb were randomly combined into 15 batches of WDD by

110 Excel to ensure the stability, reliability and representativeness. All sample were ensured  
111 to be used. Then, 15 batches of WDD were successively prepared based on the result  
112 of random combination and the follow processes.

113 Refer to the preparation process recorded in the *Valuable Prescriptions for*  
114 *Emergency* written by Sun Simiao in tang dynasty, 31.25 g Banxia, 31.25 g Zhuru,  
115 31.25 g Zhishi, 46.86 g Chenpi, 62.50 g Shengjiang and 15.63 g Gancao were crush  
116 into coarse particles and put in a decoction pot with 1600 ml deionized water. The  
117 formulation was boiled at 500 W and evaporated to 400 mL at 200 W. The decoction  
118 was filtered with six layers of gauze, condensed in a rotary evaporator and converted  
119 into ADP-WDD freeze-dried powder in the Labconco Freeze Dry System.

120 The same 15 batches of WDD raw materials without smashing were immersed  
121 respectively in 8 times of water for 30 min, boiled for 2 h and continuously 3 times to  
122 obtain the MDP decoction. The decoction was filtered, condensed and dried in the same  
123 way of ADP to obtain the MDP-WDD freeze-dried powder.

124 The same 15 batches of MDP decoction were prepared. The decoction was  
125 condensed respectively and then mixed with ethanol until the alcoholicity reached 80%.  
126 After set at 4 °C for 12 h, the decoction was layered. The lower sediment was filtered  
127 out and the upper solution was condensed and dried in the same way to obtain the  
128 MAPP-WDD freeze-dried powder.

129

### 130 **Preparation of sample solutions and standard solution**

131 The WDD freeze-dried powder that equivalent to 2.0 g original herb was weighed

132 accurately. It was added to a 100 mL conical flask, extracted ultrasonically with 50 mL  
133 50% ethanol for 45 min, centrifuged at 12000 rpm/min for 10 min and filtered through  
134 a 0.45  $\mu\text{m}$  polytetrafluoroethylene filter to obtain the sample solutions. All 15 batches  
135 of sample solutions of ADP, MDP and MAPP were mixed in equal quantities before the  
136 chemical profiling by UPLC-Q-TOF-MS/MS. The reference substances were precisely  
137 weighed and prepared into mixed standard solution with methanol.

138

### 139 **Conditions for liquid chromatography**

140 A Shimadzu Controller CBM20Alite coupled with a quaternary pump, autosampler,  
141 and vacuum degasser (Shimadzu, Japan) was employed in this study. Chromatographic  
142 peak separation was performed on a Thermo Hyperall GOLD-C18 column  
143 (2.1mm $\times$ 100mm, 1.8 $\mu\text{m}$ ) with the column temperature of 40  $^{\circ}\text{C}$ . A gradient elution  
144 system composed of acetonitrile (A) and 0.1% formic acid (B) was used as follows:  
145 0~2 min, 5% A; 2~30 min, 5% ~40% A; 30~32 min, 40%~75% A; 32~35 min, 75% A;  
146 35~37 min, 75%~5% A; 37~40 min, 5% A. The flow rate was set at 0.5 mL/min and  
147 the sample injection volume was 5  $\mu\text{L}$ .

148

### 149 **Conditions for mass spectrometry**

150 A Triple TOF 5600 Mass spectrometer (AB SCIEX, Framingham, USA) equipped with  
151 ESI source was operated both in negative and positive ion modes. The operating mass  
152 parameters were set as follows: scan range, 50-1500 Da; ion spray voltage, 4.5 kV(ESI-)  
153 and 5.5 kV (ESI+); ion source heater, 600 $^{\circ}\text{C}$ ; nebulizing gas, 60 psi; drying gas, 60 psi;

154 curtain gas, 40 psi; collision energy, 40 eV; declustering potential, 100 eV; with a  
155 collision energy spread of  $\pm 15$  V.

156

## 157 **Data processing and statistical analysis**

### 158 *Components identification*

159 The identification of WDD components were analyzed by PeakView Software. Firstly,  
160 all reported chemical components of six herbs and WDD were collected by different  
161 databases, including PubMed, SciFinder and CNKI. In the next step, the structures of  
162 compounds were searched in online mass databases (e.g., <http://www.chemspider.com/>,  
163 <https://pubchem.ncbi.nlm.nih.gov/>). Then, the compounds were preliminarily or  
164 explicitly inferred by comparing the structures, retention time and ion fragments with  
165 reference standards, relevant literature, software and databases (e.g.,  
166 <http://www.hmdb.ca/>, <http://www.massbank.jp/>). Finally, the compound library was  
167 established, which including information on the name, molecular weight, molecular  
168 formula, chemical structure and related reference.

169

### 170 *Comparison between different processes*

171 The chromatographic peaks of samples with different processes were extracted, filtered  
172 and identified by software Makerview1.2.1. The parameters were set as follows:  
173 extraction range of retention time, 1-33 min; precursor ions, 50-1500; allowed deviation  
174 of retention time, 10 ppm; noise threshold, 8000. The obtained data was imported into  
175 SMICA-P 13.0 software to obtain PLS-DA score, OPLS-DA score, S-plot figure and

176 VIP values. Then, P value of t-Test and fold change (FC) value could be obtained by  
177 Makerview1.2.1 software. Finally, the differential components were imported into the  
178 website of MetaboAnalyst ( <https://www.metaboanalyst.ca/> ) to form cluster heat map,  
179 and other mapping was finished by GraphPad 7 software [17-18].

180

## 181 **Results**

### 182 **Chemical profiling of WDD by UPLC/Q-TOF-MS/MS**

183 A total of 121 components of WDD in the positive and negative ion mode were  
184 identified, including 23 organic acids, 69 flavonoids, 4 amino acids, 5  
185 phenylpropanoids, 6 triterpenoid saponins and 14 other components. In comparison  
186 with each single decoction, 26 components of WDD were found from Banxia, 29 from  
187 Zhuru, 78 from Zhishi, 53 from Chenpi, 26 from Shengjiang, 61 from Gancao, and 20  
188 common components from six herbs. The total ion chromatography was shown in  
189 Figure 1 and the identified components were summarized in Table 1.

190

### 191 ***Identification of Organic acids***

192 Aromatic ring replaced by phenolic hydroxyl, acrylic acid and fatty acid were the basic  
193 structures of organic acids in WDD. They were easy to lose H<sub>2</sub>O, COOH group and  
194 break at carbonyl to form fragment in negative ion mode. Compound 15 (t<sub>R</sub>=2.494) was  
195 identified to give an example. It produced precursor ion 353.0877 [M-H]<sup>-</sup> and MS2  
196 fragments at m/z 191.0570 [M-H-C<sub>9</sub>H<sub>6</sub>O<sub>3</sub>]<sup>-</sup>, 179.0341 [M-H-C<sub>7</sub>H<sub>10</sub>O<sub>5</sub>]<sup>-</sup>, 135.0459 [M-  
197 H-C<sub>8</sub>H<sub>10</sub>O<sub>7</sub>]<sup>-</sup>. Furthermore, the precursor ion [M-H]<sup>-</sup> of compound 5 (t<sub>R</sub>=0.670) and

198 compound 17 ( $t_R=4.313$ ) were at  $m/z$  191.0554 and  $m/z$  179.0340, suggesting that they  
199 might be the cleavage products of compound 15. After comparing with the retention  
200 time and fragments of the reference substances, compound 15 was determined as  
201 chlorogenic acid. Compound 5 lost a  $H_2O$ ,  $CH_4O_3$  or  $C_3H_6O_4$  group to form fragment  
202 at  $m/z$  173.0440  $[M-H-H_2O]^-$ , 127.0394  $[M-H-CH_4O_3]^-$  or 85.0297  $[M-H-C_3H_6O_4]^-$ ,  
203 respectively. Compound 17 formed fragment at  $m/z$  135.0453  $[M-H-CO_2]^-$  by losing  
204  $CO_2$  at the end of the acrylic acid, which was consistent with the reference [19].  
205 Therefore, compound 5 and 17 were speculated as quinic acid and caffeic acid  
206 respectively. The cleavage regularity was shown in Additional file 2-1.

207

### 208 *Identification of Flavonoids*

209 Flavonoids included flavone, isoflavone, flavanone, chalcone, etc. In this study,  
210 liquiritin, naringenin-7-O-rutinoside, naringin, hesperidin and neohesperidin were  
211 identified to be the main flavonoid components of WDD. Taking compound 65  
212 ( $t_R=13.237$ ) as an example for identification, it produced precursor ion 579.1687  $[M-$   
213  $H]^-$  and formed fragments at  $m/z$  459.1118 and 119.0504 through RDA cleavage  
214 reaction, or formed genin fragment at  $m/z$  271.0581 through breaking the oxyglycoside  
215 bond. The genin fragment produced 151.0028 and 119.0504 through RDA cleavage  
216 reaction, then the ions of  $m/z$  151.0028 was rearranged to formed  $m/z$  107.0136.  
217 Compared with the reference substances and relevant literature [20, 21], compound 65  
218 was ultimately confirmed to be naringin and compound 90 was naringenin. After losing  
219 the C7 glucose, compound 63 ( $t_R=12.910$ ) was found to contain fragment information

220 of naringenin at  $m/z$  271, therefore it was identified as prunin [21, 22]. The cleavage  
221 regularity was shown in Additional file 2-2.

222 The structure with terminal carbon atoms of glycosyl and the flavonoid carbon  
223 atoms connected directly was named as flavone with C-glycosides, such as schaftoside  
224 and isoschaftoside. In the present study, the precursor ion of schaftoside was 563.1401  
225  $[M-H]^-$ . It formed a series of fragments at  $m/z$  503.0085  $[M-H-60]^-$ , 473.1025  $[M-H-$   
226 90] $^-$ , 443.0896  $[M-H-120]^-$ , 383.07495  $[M-H-180]^-$ , 353.0635  $[M-H-210]^-$ , which  
227 were considered as the characteristic fragment of C-glycosides ring cleavage reaction  
228 <sup>[15]</sup>. In Additional file 2-3, there were four ring cleavage regularity: A was glucose 0, 3  
229 bond cleavage with  $C_3H_6O_3$  ( $m/z$  90) removed; B was glucose 0,2 bond cleavage with  
230  $C_4H_8O_4$  ( $m/z$  120) removed; C was arabinose 0,3 bond cleavage with  $C_2H_4O_2$  ( $m/z$  60)  
231 removed; D was arabinose 0,2 bond cleavage with  $C_3H_6O_3$  ( $m/z$  90) removed. It was  
232 shown that fragment at  $m/z$  503.0085 was formed from C cleavage;  $m/z$  473.1025 could  
233 be formed after A or D cleavage; B cleavage could form fragment at  $m/z$  443.0896;  $m/z$   
234 383.07495 could be formed when B, C or A, D cleavage simultaneously;  $m/z$  353.0635  
235 could be formed when B and D cleavage simultaneously. Schaftoside and  
236 isoschaftoside were isomers, the bonding position of hexose and pentose was the clear  
237 difference between them. It was finally identified compounds 32 ( $t_R=8.461$ ) and 40  
238 ( $t_R=9.476$ ) to be schaftoside and isoschaftoside respectively by relevant literature [23,  
239 24].

240

#### 241 *Identification of Phenylpropanoids*

242 Multiple oxygen atoms, hydroxyl and methoxy groups connected with aromatic rings  
243 were the structure features of phenylpropanoid molecules, and a series of fragment  
244 could be seen in MS2 after the loss of CO, H<sub>2</sub>O, methyl and methoxyl continuously. In  
245 this study, 7-hydroxycoumarin, daphnetin, esculin and other phenylpropanoid  
246 components were identified in WDD. Taking esculin (t<sub>R</sub>=4.263) as an example. The  
247 precursor ion was 339.0697 [M-H]<sup>-</sup>, which formed the fragment at m/z 177.0191 [M-  
248 H-C<sub>6</sub>H<sub>10</sub>O<sub>5</sub>]<sup>-</sup> and 133.0305 [M-H-C<sub>6</sub>H<sub>10</sub>O<sub>5</sub>-CO<sub>2</sub>]<sup>-</sup>. The cleavage regularity was shown  
249 in Additional file 2-4. Therefore, it was assumed that the compound was esculin by  
250 reviewing the related literature [25, 26].

251

### 252 *Identification of Triterpene saponins*

253 Triterpene saponins were effective components from Radix Glycyrrhizae in WDD. In  
254 this study, six triterpenoid saponins were identified, and all of them were glycyrrhizic  
255 acid derivatives. Taking compound 104 (t<sub>R</sub>=28.172) as an example, its precursor ion  
256 was 339.0716 [M-H]<sup>-</sup>. The fragments at m/z 645.3635 [M-H-Glucuronide acid]<sup>-</sup>,  
257 469.3273 [M-H-2 Glucuronide acid]<sup>-</sup>, 351.0555 [M-H-Glycyrrhetic acid]<sup>-</sup>, 193.0353  
258 [M-H-Glycyrrhetic acid-Glucuronide acid]<sup>-</sup> were produced after MS2 cleavage. The  
259 cleavage regularity was shown in Additional file 2-5. Combined with related literatures  
260 [27-29], fragment at m/z 469.3273 was identified as glycyrrhetic acid and the  
261 compound 104 was glycyrrhizin.

262

### 263 **Variation analysis of different processes based on chemometrics**

264 *Analysis of PLS-DA model*

265 In the permutation test (Figure 2B), the sequential order of the categorical variable Y  
266 was randomly changed many times (n=200) and a corresponding PLS-DA model  
267 (Figure 2A) was established on each occasion. After that, the R<sup>2</sup> and Q<sup>2</sup> values of the  
268 stochastic model were obtained, which were directly proportional to the explanatory  
269 power and predictive power of the model respectively [30, 31]. In this model, the  
270 intercept values of R<sup>2</sup> and Q<sup>2</sup> were 0.780 and -0.571 respectively and all of them on  
271 the left were lower than those on the right, meanwhile the intercept of the regression  
272 line of Q<sup>2</sup> was negative. The results suggested that this method was of great importance  
273 in preventing the test model from overfitting, as well as for evaluating the statistical  
274 significance of the model.

275 As shown in Figure 1A, each point represented a sample. All the points excepted  
276 one outlier fell within the 95% confidence interval, and the green, blue, red point  
277 represented ADP, MDP, MAPP group respectively. Apparently, each group could be  
278 separated clearly, indicating that there were some significant differences among these  
279 three processes. Compared with the ADP group, the sample distribution of the MDP  
280 group was more dispersed. It might be related to the improvement of extraction  
281 efficiency that played the effect of magnifying the intragroup differences. In  
282 comparison with the MDP group, the intragroup difference in the MAPP group was  
283 smaller, possibly caused by the alcohol precipitation. In addition, the three processes  
284 were arranged from right to left, which reasonably reflected the progressive relationship  
285 that "MAPP came from MDP and MDP came from ADP" to a certain extent.

286

287 ***Variation analysis between ADP and MDP***

288 In order to identify the potential components that contributed significantly to the  
289 differences between two groups, OPLS-DA model with supervised analysis was used  
290 in this study. It could enhance the classification effect of samples, as well as the  
291 effectiveness and analytical ability of the model based on the PLS-DA model [32]. The  
292 verification result of ADP-MDP OPLS-DA model was depicted in Figure 3A, which  
293 showed better separation effect and stronger explanatory power than PLS-DA model.  
294 Permutation test ( $R^2X=0.396$ ,  $R^2Y =0.971$ ,  $Q^2=0.865$ ,  $P < 0.05$ ) indicated the model  
295 was credible.

296 In the S-plot (Figure 3B), all points were roughly distributed in an "s-type" pattern.  
297 Each point in the figure represented a component, and the component closer to both  
298 ends of the "s-type" pattern showed greater contribution to the difference of the  
299 processes [33]. Variable importance on projection (VIP) was mainly used for screening  
300 variables in PLS model. The VIP value represented the importance of variables to  
301 model fitting [34]. In Figure 3C, each column represented a component. The higher the  
302 column was, the larger the VIP value was, and the more significant the component was  
303 to the differences of two processes. It could be seen that there were 51 components  
304 whose VIP value were greater than 1. T-Test could be conducted on Makerview1.2.1  
305 software to obtain the  $P$  value, and the difference was considered statistically significant  
306 when  $P < 0.05$ . It was found that 81 components were significantly different with  $P <$   
307 0.05 between ADP and MDP. Fold change (FC) referred to the difference multiple of

308 sample variable expression. It was one of the difference analysis methods to describe  
309 the degree of change from an initial value to a final value. In this study, the components  
310 whose FC value were higher than 1.5 or lower than 0.67 were considered to contribute  
311 more to the differences, and there are 174 compounds that met this condition. Besides,  
312 if  $\log_2FC < 0$ , the content of each component in MDP was up-regulated, indicating the  
313 converse expression if  $\log_2FC > 0$ . In conclusion, 51, 81 and 174 components met the  
314 conditions of  $VIP > 1$ ,  $P < 0.05$  and  $FC > 1.5$  (or  $< 0.67$ ) respectively, and 24 of which  
315 met the above 3 conditions at the same time. The result was shown in Venn diagram  
316 (Figure 3D).

317 The 24 differential components selected from ADP - MDP were analyzed to obtain  
318 the cluster heat map (Figure 3E). Each column in the figure represented a sample, and  
319 every pixel indicated a component. The color of each pixel varied from deep blue to  
320 deep red showed the degree of expression which varied from low expression to high  
321 expression [33]. According to the result of clustering analysis, samples of every group  
322 could be better clustered except the B2 sample of MDP, indicating the significant  
323 difference between ADP and MDP could be related to the 24 differential components.  
324 Moreover, it showed that some of the components were grouped together, which  
325 suggested these components might be different ion forms of the same compound or  
326 belong to the same class of compounds.

327 In the 24 differential components, 13 components could be identified as sucrose,  
328 salicylic glucuronide, benzoic acid, naringenin-7-O-rutinoside, hesperidin, neodiosmin,  
329 neohesperidin, liquiritigenin, fortunellin, poncirin, naringenin, hesperetin and 6-

330 gingerol, respectively, and other compositions remained to be discerned. The detailed  
331 information was shown in Additional file 3-1. The chromatographic peak areas of 13  
332 components in ADP and MDP were preliminarily compared in Figure 4.

333

#### 334 *Variation analysis between MDP and MAPP*

335 By processing data in the same way, permutation test showed the OPLS-DA model of  
336 MDP-MAPP was unoverfitted ( $R^2X=0.504$ ,  $R^2Y=0.931$ ,  $Q^2=0.775$ ,  $P < 0.05$ ). Score  
337 graph, S-plot graph and VIP graph were shown in Figure 5 (A, B, C). In Figure 5D, a  
338 total of 18 components met the requirements of  $VIP > 1$ ,  $P < 0.05$  and  $FC > 1.5$   
339 (or  $< 0.67$ ) at the same time. The cluster heat map of 18 compounds (Figure 5E) showed  
340 samples could be better clustered in MDP and MAPP. In the 18 differential components,  
341 only 8 compounds could be identified as citric acid, sucrose, pentonic acid, gluconic  
342 acid, quinic acid, mucic acid, salicylic glucuronide and neohesperidin, respectively. The  
343 detailed information was shown in Additional file 3-2 and the preliminarily  
344 comparison of 8 components in MDP and MAPP was shown in Figure 6.

345

## 346 **Discussion**

### 347 **Selection of LC and MS conditions**

348 Different mobile phases were compared in the experiment. It was found that the peaks  
349 of WDD were separated better with acetonitrile-0.1% formic acid solution. In general,  
350 components with acidic groups were suitable for analysis in the negative ion mode,  
351 while the positive ion mode was suitable for basic groups [35, 36]. The main

352 components of WDD were flavonoids with multiple hydroxyl or organic acids with  
353 carboxyl, all of them were easy to form stable oxygen anion in negative ion mode.  
354 Therefore, the negative mode was used mainly while the positive ion mode was used  
355 as auxiliary to improve the accuracy and credibility of the experimental results.

356

### 357 **Analysis of the identified components of WDD**

358 The components of WDD were effectively identified by matching the chemical  
359 structures, retention time and ion fragments with reference standards, relevant literature  
360 and online mass databases. Twenty-eight components were verified by comparing with  
361 reference standards. Other isomers of WDD remained to be further investigated by  
362 nuclear magnetic resonance technology. The results showed that flavonoids were the  
363 main components of WDD and mainly from Zhishi, Chenpi and Gancao. It had been  
364 reported that flavonoids showed significant anti-oxidant, anti-inflammatory, anti-  
365 bacterial and neuro-protective activities [37-42], which were consistent with the main  
366 pharmacological effects of WDD. So, flavonoids could be speculated to be the main  
367 active components of WDD. It would provide ideas for the quality control of WDD.  
368 However, further pharmacodynamic studies were needed to verify it, which might be  
369 the next task of our group.

370

### 371 **Variation analysis between different processes**

372 The results revealed that the relative content of compounds in MDP was higher than  
373 that in ADP, suggesting the extraction efficiency of modern technology was greater than

374 that of ancient process. However, this conclusion remained to be confirmed by the next  
375 study of absolute quantitative. It could be speculated that if the ancient dosage was  
376 followed in the study of CP, the efficacy of modern formulae would be stronger than  
377 that of ancient prescription, or side effects would even occur. So, it was suggested that  
378 the content of active ingredients should be strictly controlled during the research of CP,  
379 as well as the oral dosage of modern formulae would be determined according to actual  
380 condition.

381 WDD samples of ADP and MDP were better clustered, which could be related to  
382 the 24 differential components between these two processes. Among the 13 identified  
383 compounds, naringenin-7-O-rutinoside, hesperidin, neohesperidin, naringenin,  
384 hesperetin were the main components of Zhishi and Chenpi, as well as liquiritigenin  
385 and 6-gingerol were from Gancao and Shengjiang respectively. Most of the differential  
386 components were from Zhishi and Chenpi, indicating that the differences between ADP  
387 and MDP could be significantly generated by these two herbs. Furthermore, some  
388 differential components had been reported to be connected with the neurological  
389 diseases. For example, benzoic acid could affect the therapeutic effect of Alzheimer's  
390 disease to a certain extent [43]; Hesperidin had certain effect on anti-inflammatory [44],  
391 neuroprotective [45] and the improvement of depression [46]; Neohesperidin  
392 contributed to the treatment of depression and Alzheimer's disease [47, 48], as well as  
393 glycyrrhizin and naringin contributed to antidepressant and neuroprotective [49]; 6-  
394 gingerol was able to inhibit oxidative stress and inflammatory response [50], etc.  
395 Therefore, it was speculated that different preparation processes of WDD would

396 produce different clinical efficacy under the influence of differential components.

397 In addition, the process of alcohol precipitation could affect 13 components  
398 prominently in WDD, and 8 of which could be identified. There was a downward trend  
399 on the content of citric acid, mucic acid, salicylic glucuronide and neohesperidin after  
400 alcohol precipitation. It could be explained that small molecules in the solution were  
401 wrapped or loaded on the precipitation when starch, polysaccharides, proteins and  
402 inorganic salts were removed [51]. However, there was an opposite trend on content of  
403 sucrose, pentonic acid, gluconic acid and quinic acid, which might be related to the  
404 cracking of some components during alcohol precipitation [52]. In modern preparation  
405 research, alcohol precipitation technology was used to purify decoction, so as to  
406 facilitate preparation and reduce dosage. As polysaccharide also showed the  
407 pharmacological activity [53], it had yet to be explored whether alcohol precipitation  
408 process could affect the efficacy of WDD, and the rationality and necessity of alcohol  
409 precipitation should also be considered.

410

## 411 **Conclusions**

412 In this study, UPLC/Q-TOF-MS/MS in both positive and negative ion mode was used  
413 to analyze the components of WDD. Ultimately, 121 components were identified,  
414 including 23 organic acids, 69 flavonoids, 4 amino acids, 5 phenylpropanoids, 6  
415 triterpenoid saponins and 14 other compounds. It was found that 29%, 22%, 19%, 11%,  
416 10% and 9% identified components were from Zhishi, Gancao, Chenpi, Zhuru,  
417 Shengjiang and Banxia respectively. Since the pharmacological activities of flavonoids

418 had been proved, it was considered that flavonoids could be the main active ingredient  
419 of WDD. Additionally, Chemometrics was innovatively adopted to testify the  
420 significant differences between ADP, MDP and MAPP. The 24 components were  
421 considered to be related to the differences between ancient and modern technology, and  
422 18 compounds could be affected in the process of alcohol precipitation. Most of the  
423 differential compositions were reported to have the same efficacy with WDD, which  
424 could provide bases for the study of active ingredient and quality control of WDD, as  
425 well as promote the development of WDD traditional classic preparation into modern  
426 preparation.

427

#### 428 **Supplementary information**

429 **Additional file 1:** The regions and batch numbers of six herbs in WDD

430 **Additional file 2:** The MS2 spectra and cleavage regularity of compounds in WDD

431 **Additional file 3:** Detailed information on the differential components

432

#### 433 **Abbreviations**

434 WDD: Wen-Dan decoction; TCM: traditional Chinese medicine; CP: classic  
435 preparation; UPLC/Q-TOF-MS/MS: ultra performance liquid chromatography coupled  
436 with quadrupole time-of-flight mass spectrometry; PLS-DA: partial least squared  
437 discriminant analysis; OPLS-DA: orthogonal partial least squared discriminant analysis;  
438 ADP: ancient decoction process; MDP: modern decoction process; MAPP: modern  
439 alcohol precipitation process; VIP: variable importance on projection; FC: fold change.

440

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444

445 **Authors' contributions**

446 LD and YC conceived and designed the experiments; XG performed the experiments  
447 and wrote the paper; ZL and PQ processed and analyzed the data; XZ provided  
448 assistance for the components identification; WZ gave great assistance for the  
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450

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457

458 **Availability of data and materials**

459 All data and materials are available from the corresponding author on reasonable  
460 request.

461

462 **Ethics approval and consent to participate**

463 Not applicable.

464

465 **Consent for publication**

466 Not applicable.

467

468 **Competing interests**

469 The authors declare that they have no competing interests.

470

471

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# Figures

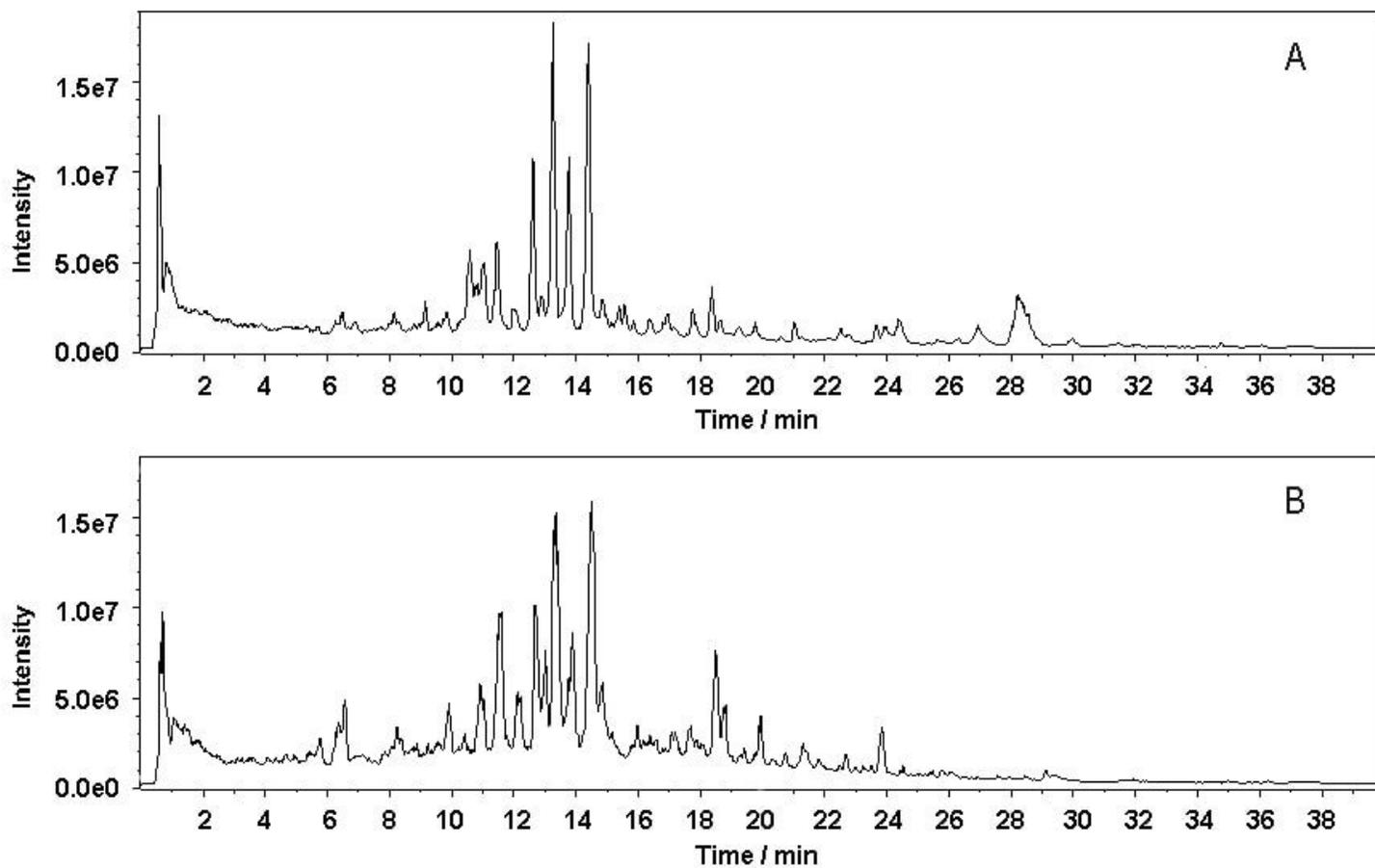
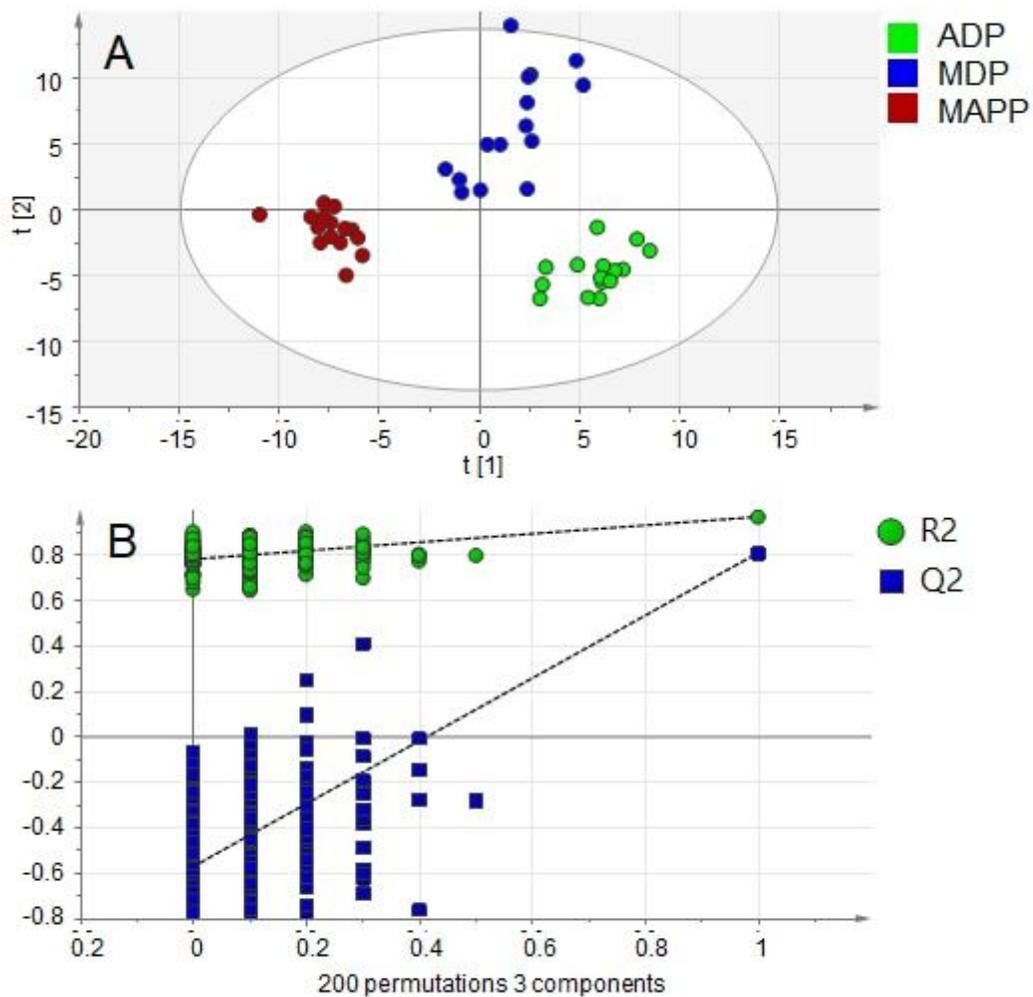


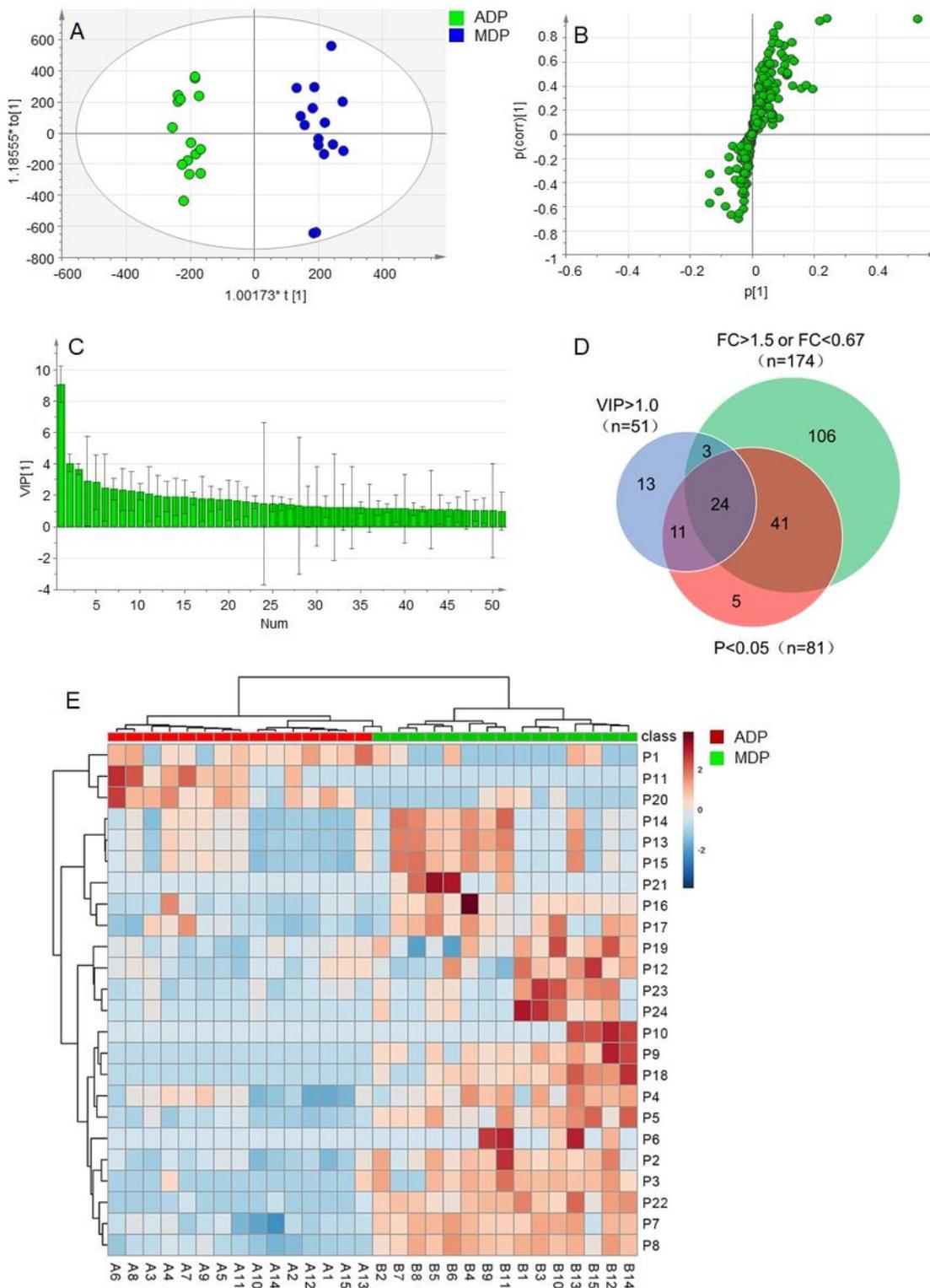
Figure 1

Total ion chromatography



**Figure 2**

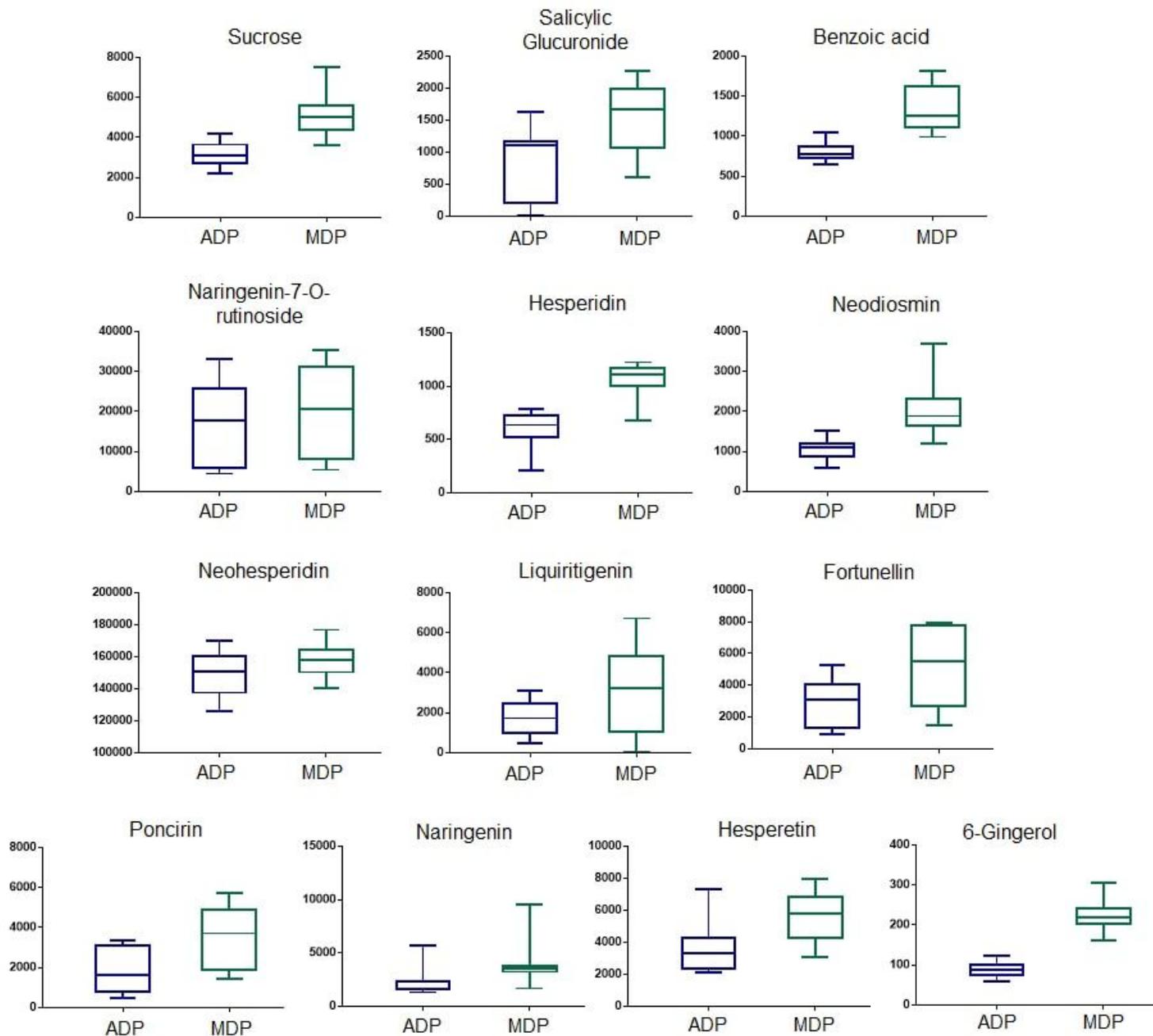
In the permutation test (Figure 2B), the sequential order of the categorical variable Y was randomly changed many times ( $n=200$ ) and a corresponding PLS-DA model (Figure 2A) was established on each occasion.



**Figure 3**

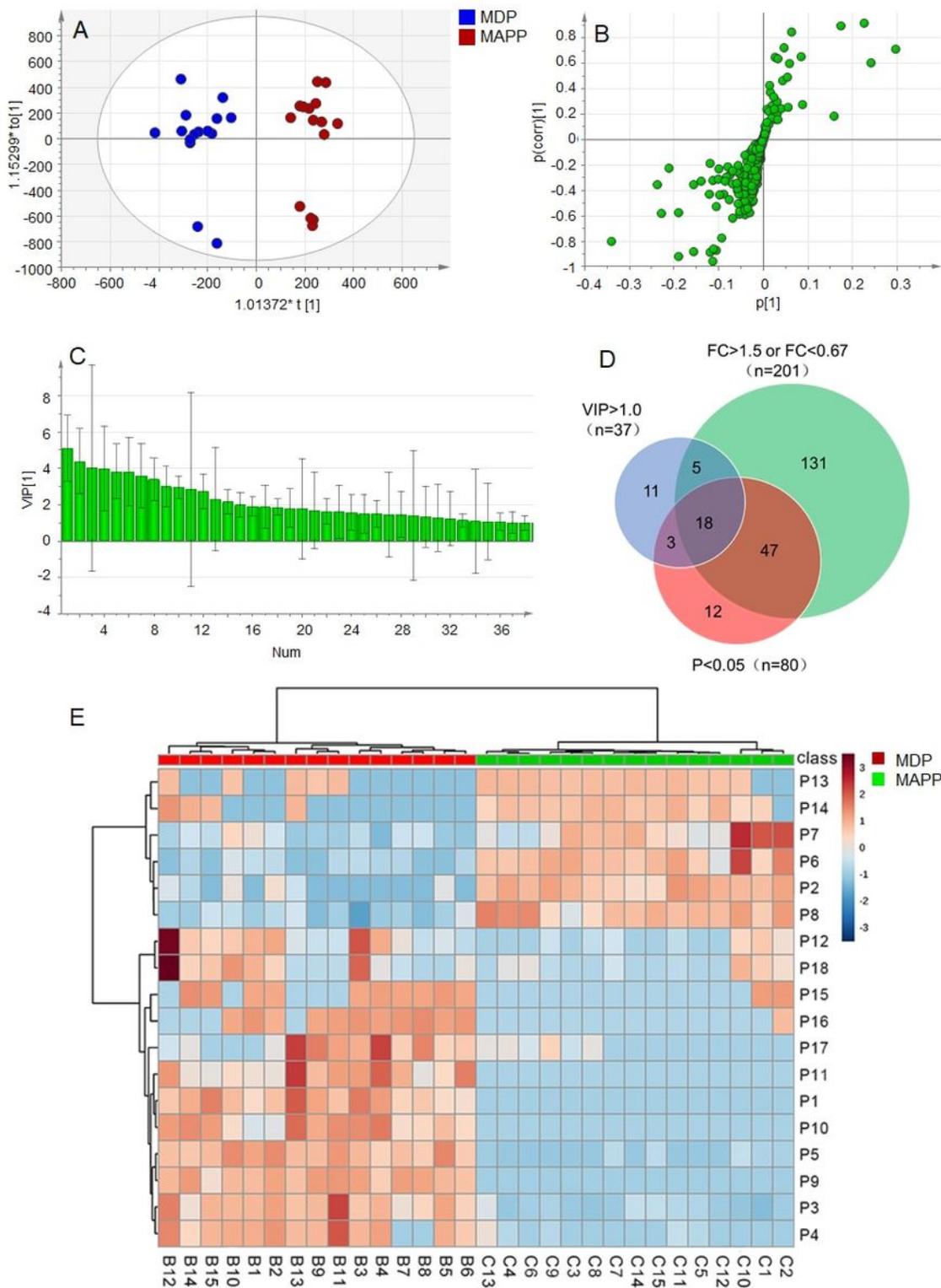
The verification result of ADP-MDP OPLS-DA model was depicted in Figure 3A, which showed better separation effect and stronger explanatory power than PLS-DA model. Permutation test ( $R^2X=0.396$ ,  $R^2Y=0.971$ ,  $Q^2=0.865$ ,  $P < 0.05$ ) indicated the model was credible. In the S-plot (Figure 3B), all points were roughly distributed in an "s-type" pattern. Each point in the figure represented a component, and the component closer to both ends of the "s-type" pattern showed greater contribution to the difference of the

processes [33]. Variable importance on projection (VIP) was mainly used for screening variables in PLS model. The VIP value represented the importance of variables to model fitting [34]. In Figure 3C, each column represented a component. The higher the column was, the larger the VIP value was, and the more significant the component was to the differences of two processes.



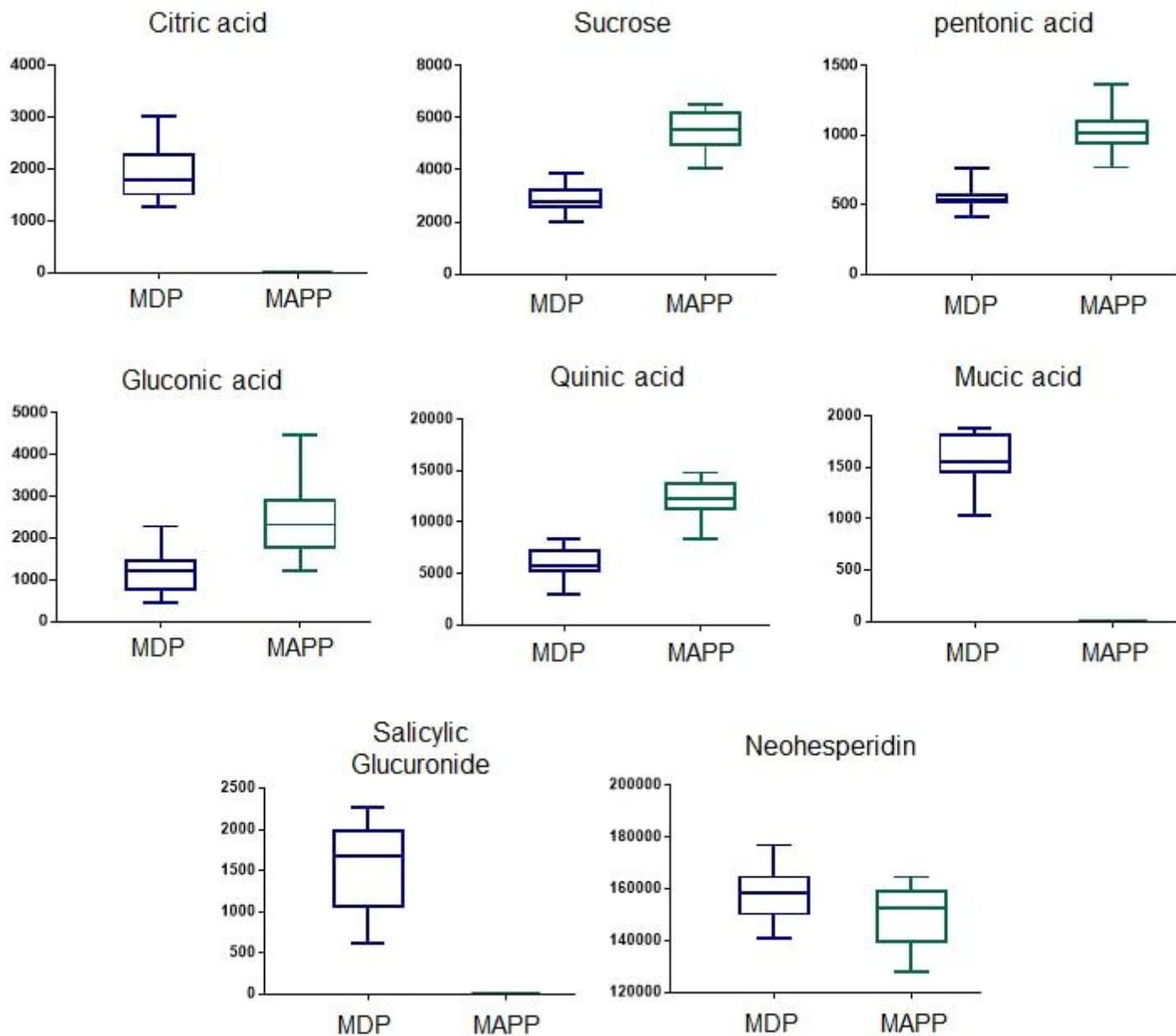
**Figure 4**

The chromatographic peak areas of 13 components in ADP and MDP were preliminarily compared



**Figure 5**

Score graph, S-plot graph and VIP graph were shown in Figure 5 (A, B, C). In Figure 5D, a total of 18 components met the requirements of  $\text{VIP} \geq 1$ ,  $P \leq 0.05$  and  $\text{FC} \geq 1.5$  (or  $< 0.67$ ) at the same time. The cluster heat map of 18 compounds (Figure 5E) showed samples could be better clustered in MDP and MAPP.



**Figure 6**

the preliminary comparison of 8 components in MDP and MAPP

## Supplementary Files

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