

Development and Validation of a Set of Patient Reported Outcome Measures to Assess Effectiveness of Asthma Prophylaxis

Yalini Guruparan (✉ yalinig@univ.jfn.ac.lk)

University of Jaffna

Thiyahiny Sunil Navaratinaraja

University of Jaffna

Gowry Selvaratnam

University of Jaffna

Nalika Gunawardena

World Health Organization, Country office

Shalini Sri Ranganathan

University of Colombo

Research Article

Keywords: Patient reported outcome measure, Effectiveness, Asthma control, Inhaled medications, Development, Validation

Posted Date: February 23rd, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-218781/v1>

License:  This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

1 **Development and validation of a set of patient reported outcome measures to assess**
2 **effectiveness of asthma prophylaxis**

3 Yalini Guruparan^{1*}, Thiyahiny Sunil Navaratinaraja¹, Gowry Selvaratnam², Nalika Gunawardena³
4 and Shalini Sri Ranganathan⁴

5

6 **Abstract**

7 **Background:** Several asthma patient reported outcome measures (PROMs) have been developed
8 in developed countries. Since social and cultural differences may indirectly influence the PROM
9 therefore, this study has been carried out in Northern Sri Lanka to develop an Asthma Control
10 PROM (AC-PROM) Tamil in the local context.

11

12 **Methods:** The AC-PROM Tamil was developed in 3 steps: item generation, item reduction and
13 psychometric evaluation as guided by the USA Food and Drug Administration. Items were
14 generated through thematic analysis from six focus group discussions among patients with asthma.
15 Items were generated in Tamil and English Languages. A clinician and a clinical pharmacologist
16 refined the items to suit the cultural context. Items were converted to an interviewer administered
17 questionnaire in Tamil in the format of 5–point Likert scale. Item reduction was done by two
18 rounds of online Delphi surveys among 10 experts and an exploratory factor analysis among 200
19 patients with asthma. Thus developed AC-PROM Tamil was assessed by experts for face and
20 content validity. Criterion validity was evaluated against the forced expiratory volume in one
21 second of 187 patients with asthma. Cut-off value for PROM to assess the asthma control was
22 determined by receiver operating characteristic curve. Reliability was verified by Cronbach's
23 alpha coefficient.

24

1 **Results:** From thematic analysis of FGD 10 items were generated and these items were refined
2 and subjected to item reduction. During Delphi survey out of 10 items, one was removed. In
3 exploratory factor analysis another one item was removed and remaining 8 items were categorised
4 under 2 factors. Cronbach's alpha coefficient for AC-PROM Tamil was 0.904, which indicated
5 good reliability. Clarity and relevance of the content of the items were confirmed by the experts.
6 Criterion validity was demonstrated significant correlation between AC-PROM Tamil and forced
7 expiratory volume in one second ($r = 0.66, p = 0.001$). Cut-off value of AC-PROM Tamil to detect
8 asthma control was 28.5 with sensitivity (79%) and specificity (71%). The AC-PROM Tamil has
9 moderate accuracy (AUC =0.796; 95% CI: 0.73-0.86). Response rate of the AC-PROM Tamil was
10 100% with no missing data and time taken to complete the PROM was 3-4 minutes.

11
12 **Conclusion:** The AC-PROM Tamil is a simple, reasonably accurate, reliable, objective and valid
13 tool to assess effectiveness of asthma control in Tamil speaking patients during clinical practice
14 and researches.

15
16 **Keywords:** Patient reported outcome measure, Effectiveness, Asthma control, Inhaled
17 medications, Development, Validation

18
19 **Background**

20 Asthma is one of the common chronic respiratory diseases, affecting 339 million people
21 worldwide, and Global Asthma Network has marked Sri Lanka as one of the high prevalence
22 countries (1). In Sri Lanka, asthma accounts for 13.8% of the non-communicable diseases (2).
23 Although asthma cannot be cured, appropriate management can control the disease and enable
24 people to enjoy good quality of life (1). The aim of asthma treatment is to achieve asthma control

1 which includes minimising the risk of exacerbations, reducing the adverse effects and minimising
2 asthma-related mortality (3). Inhaled medications, which are being used for more than 40 years,
3 are the mainstay in asthma control (4).

4
5 Effectiveness of inhaled medications in control of asthma is assessed by improvement in the
6 symptoms, lung function measurements and measuring biomarkers in blood, broncho alveolar
7 lavage and bronchial biopsy (5). However, improvements in the symptoms, peak expiratory flow
8 rate and forced expiratory volume in one second (FEV1) are generally used in clinical practice.
9 The current trend in assessing effectiveness of treatment options for chronic diseases in routine
10 clinical care is by using patient reported outcome measures (PROMs) which capture the patients'
11 subjective perceptions of their health status, effects of health care interventions, functional status
12 and their health related quality of life that occur as a result of treatment (6,7,8). Patient reported
13 outcome measure is defined as “any report of the status of the patient’s health condition that comes
14 directly from the patient, without interpretation of the patient’s response by a clinician or anyone
15 else” (9). Incorporating the patients’ perspective into clinical management could provide more
16 holistic interpretation and comprehensive assessment of benefits of the treatment because patient
17 can be an invaluable source of information for monitoring disease control (7, 10).

18
19 Since social and cultural factors can influence the patients’ perception of well-being and disease
20 which indirectly influence the PROMs (11), there is a need for developing specific PROM for
21 different social and cultural settings. Although, many PROMs had been in use in developed
22 countries, suitability of such tools for patients from developing countries is largely unknown and
23 to our best of knowledge, there is no published PROMs for developing countries in the literature.
24 Therefore, this study has been conducted in Northern Sri Lanka with the aim of developing Asthma
25 Control PROM (AC-PROM) Tamil which can be used to assess effectiveness of asthma control.

1 **Methods**

2 This study was conducted in the Northern Province of Sri Lanka. We followed the three steps
3 namely, item generation, item reduction and psychometric evaluation, recommended by the USA
4 Food and Drug Administration to develop and validate the PROM (9). Approval was obtained
5 from the Ethics Review Committee, Faculty of Medicine, University of Colombo, Sri Lanka (EC-
6 18-108) and administrative approvals were obtained from relevant authorities. Written informed
7 consent was obtained from all participants.

8 Asthma was defined as symptoms such as wheeze, shortness of breath, chest tightness and cough
9 that vary over time and intensity together with variable airflow limitation (3). This definition was
10 used wherever the patients were involved in this procedure.

11

12 **Item generation**

13 Six focus group discussions (FGD) were conducted with 51 adult asthmatic patients who were on
14 inhaled medications at least for 3 months to generate the items. They were recruited from the
15 medical clinics of Teaching Hospital, Jaffna. Patients with chronic obstructive pulmonary disease,
16 tuberculosis and congestive cardiac failure were excluded. Purposive sampling was used to recruit
17 participants with the aim of achieving maximum variation and sampling frame confirmed patients
18 with a range of age, sex and disease duration were recruited. Moderator guide was developed and
19 FGDs were moderated by researcher. The FGDs were held separately for three distinct groups
20 based on the educational level of participants: (1) Grade 1-5, (2) Grade 6-11 and (3) advanced
21 level and above. For each category 2 FGDs were conducted (12). Number of participants per group
22 was 6-10 (13). All FGDs were audio recorded.

23

24 **Item reduction**

1 Both qualitative (Delphi survey) and quantitative (exploratory factor analysis) methods were used
2 in item reduction. Two rounds of online Delphi survey were conducted with a panel of 10 experts
3 comprising respiratory physicians, general physicians, clinical pharmacologists, general
4 practitioners and senior medical officers working in medical units. Ten items generated from the
5 FGDs with patients were submitted to the expert panel inviting each member to rate the item in a
6 5-point Likert scale from 1 'not at all important' to 5 'very important'. Items which were selected
7 having scores above the cut-off value in the round 1 were subjected for round 2.

8 Exploratory factor analysis (EFA) is recommended to reduce the number of items and group the
9 similar items under different categories (14). Data for EFA were obtained from 200 asthmatic
10 patients who were on inhaled medications at least for 3 months recruited at Base Hospital,
11 Tellipalai, Jaffna. Patients with chronic obstructive pulmonary disease, tuberculosis and
12 congestive cardiac failure were excluded. Sample size for EFA was calculated based on subject to
13 item ratio of 5:1 (15) and minimum sample size should be 200 (16). Considering these numbers,
14 facts, sample size for EFA was determined as 200. Systematic random sampling was used to
15 select participants and every other participant was selected starting from either first or second
16 patient. Nine items selected for EFA were rated with 5-point Likert scale and used for data
17 collection.

18

19 **Psychometric evaluation**

20 Reliability, face, content and criterion validity and acceptability were assessed. Reliability was
21 assessed during the EFA phase whereas face and content validity were assessed by the experts
22 subjectively during the Delphi survey.

23 Criterion validity of AC-PROM Tamil was evaluated with percent predicted FEV1 which is the
24 gold standard measurement for asthma control (17). Sample size of 187 was determined using

1 Buderer's formula (sensitivity 95%, specificity 85%) (18). Participants who were on inhaled
2 medications at least for 3 months were consecutively recruited till reaching 187. Patients who have
3 not participated in FGD/EFA were recruited from the medical clinics of Teaching Hospital Jaffna.
4 Same exclusion criteria were used. Lung function tests were done in these patients using
5 spirometer according to American Thoracic society and European Respiratory society guidelines
6 (19, 20).

7 Acceptability of AC-PROM Tamil was assessed by examining the response rate, completion rate
8 and response time for completion among 20 patients with asthma receiving treatment at medical
9 clinics of Teaching Hospital Jaffna who were not included in FGD/EFA or criterion validation
10 process.

11

12 **Data analysis**

13 Data were computerized and analysed as per the objectives. Recordings of FGD were transcribed
14 into verbatim and items were generated through thematic analysis. A clinician and a clinical
15 pharmacologist refined the items.

16 For item reduction by Delphi survey, scores assigned by the ten experts for each item was
17 compiled and the mean score was calculated. Items scored more than 3 (21) were subjected to
18 round 2. Items which had a mean score above 4 with 80% consensus among participants (22) were
19 selected for EFA.

20 In EFA, principal component analysis was carried out for the retained items ($n=9$) from Delphi
21 survey. Kaiser's criteria (eigenvalues >1) was used for identifying number of factors and varimax
22 rotation was used to categorize the related items under different factors (23).

23 Cronbach's alpha coefficient was used to assess internal consistency (reliability). Cronbach's
24 alpha coefficient 0.7 or above suggests that items have acceptable internal consistency (24).

1 Criterion validity was determined using Pearson correlation coefficient between FEV1 and AC-
 2 PROM Tamil of the patients. A Receiver operating characteristics (ROC) curve plotted on
 3 sensitivity against (1-specificity) was used to determine the cut-off value of AC-PROM Tamil for
 4 asthma control. The optimal cut-off value for asthma control was determined by closest distance
 5 from ROC curve to the upper left corner of graph which was determined by the following formula;
 6 $d^2 = [(1-S_N)^2 + (1- Sp)^2]$ in which S_N – sensitivity, Sp – specificity (23, 25). Accuracy of AC-
 7 PROM Tamil was measured by area under the curve (AUC).

8

9 **Results**

10 **Item generation**

11 Fifty one patients with asthma were participated across six FGDs and mean age of the participants
 12 was 51 years (SD \pm 15.47) with male: female ratio of 1:2.5. Each FGD lasting for 90 minutes.
 13 Number of participants who have been educated between grades 1 and 5, grades 6 and 11 and
 14 advanced level and above were 14, 18 and 19 respectively. Number of participants per FGD varied
 15 from 6 to 10. Ten items were generated through thematic analysis which are shown in Table 1.

16

17 **Table 1** List of generated items

1. My cough is reducing after using inhaler	18
2. I am able to breathe without difficulties	19
3. After using inhaler heaviness of chest symptoms reduced	20
4. I feel less tiredness	21
5. I am able to sleep well	22
6. Inhaler controls my wheeze	23
7. After using inhaler, I need less frequency of nebulization	23

8. After using inhaler, I need less hospital admission	1
9. I am able to do household activities	2
10. I am able to go to work	3

4 **Item reduction**

5 *Delphi survey*

6 In round 1, scores of all 10 items were above the cut-off value. In round 2, all except one item
 7 (while on treatment, I feel less tiredness) scored above the cut-off value and these 9 items were
 8 taken for EFA.

9

10 *Exploratory factor analysis*

11 Mean age of the 200 participants was 57 years (SD ± 13.56) with male: female ratio of 1:4. Out 9
 12 items, 8 had the correlation coefficient was > 0.3. The item (While on treatment, I can go to work
 13 regularly) scored <0.3, was removed. From principal component analysis 2 factors with
 14 eigenvalues >1 were identified and similar items under these 2 factors were categorised (Table 2).
 15 Factor 1 items were related to activity/ exacerbation and factor 2 items were related to asthma
 16 symptoms.

17 **Table 2** Items selected for asthma control patient reported outcome measures

Factor 1 (Activity/ Exacerbation)	Factor 2 (Asthma symptoms)
When I am on treatment I can do my household activities	When I am on treatment, my cough becomes less
When I am on treatment I can sleep well	When I am on treatment, I can breathe without difficulties

When I am on treatment frequency of nebulization becomes less When I am on treatment, heaviness of my chest becomes less

While on treatment need for hospitalization is reduced While on treatment, I feel less wheezing

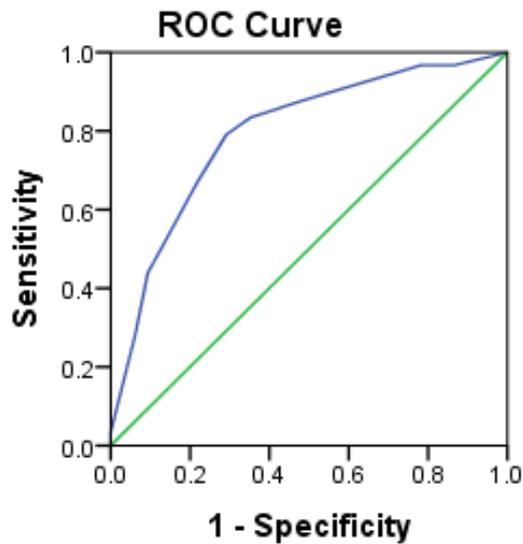
1
2 The retained 8 items were converted to a 5-point Likert scale with 1 indicating ‘never’ and 5
3 indicating ‘all the time’.

4
5 **Psychometric evaluation**
6 Cronbach’s alpha coefficient for AC-PROM Tamil was 0.904 indicating good reliability.
7 Observations made by the experts to improve the clarity and relevance of the items were
8 incorporated.

9 *Criterion validity:* Mean age of the 187 participants was 54.1 years (SD ± 12.4) with ranging from
10 18 to 75 years and majority (72.2%, n=135) were female. The AC-PROM Tamil scores of the
11 patients ranged from 8 to 40. Significant correlation was observed between AC-PROM Tamil and
12 FEV1 ($r = 0.66, p = 0.001$). Figure 1 shows the ROC curve for AC-PROM Tamil. Cut-off value
13 of AC-PROM Tamil for asthma control was 28.5 which corresponded with closest distance (0.11)
14 of the ROC curve to the left hand corner of the graph with the sensitivity (79%) and specificity
15 (71%) which is shown in (Table 3). The AUC of the ROC curve was 0.796 (95% CI: 0.73-0.86; p
16 = 0.01), indicating moderate accuracy in differentiating between controlled and uncontrolled
17 asthma.

18
19
20

1



2 **Figure 1** Receiver operating characteristic curve for asthma control patient reported outcome
3 measure

4

5 **Table 3** Validity of the asthma control patient reported outcome measure

Cut-off value	Sensitivity	Specificity	PPV	NPV	LR+	LR-
>28.5	79.1%	70.8%	72.4%	78.6%	2.71	0.29

6 PPV – Positive predictive value, NPV – Negative predictive value, LR+ - Likelihood ratio
7 positive, LR- - Likelihood ratio negative

8

9 *Acceptability of the AC-PROM Tamil:* Response rate of the AC-PROM Tamil was 100% with no
10 missing data. Time taken to complete AC-PROM Tamil was 3-4 minutes.

11 **Discussion**

12 There is no PROM for asthma that is validated involving patients in Sri Lanka. Currently, in Sri
13 Lanka clinicians assess the asthma control using GINA symptom control tool. Purpose of this

1 study was to develop and validate PROM to assess the control of asthma involving patients with
2 asthma in Sri Lanka. The AC-PROM Tamil was developed through recommended multistep
3 methodology (9) including FGD, Delphi survey, EFA and criterion validity. As inhaled
4 medications are the mainstay of treatment for asthma, AC-PROM Tamil was designed to
5 specifically assess the effectiveness of asthma prophylaxis with inhaled medications. This is the
6 first asthma control PROM developed in Sri Lanka.

7 We chose FGD for item generation as it incorporates the subjective views of patients with asthma
8 from diverse social and educational background. Item reduction was done with the aim of
9 removing the unsuitable items and developing a simple and applicable tool. Purpose of the Delphi
10 survey was to get the reliable consensus of the experts on the generated items and to assess the
11 face and content validity, while EFA was carried out to reduce the items by statistical method and
12 to assess reliability of the tool. During Delphi Survey an item was removed which was a vague
13 symptom (while on treatment, I feel less tiredness). During EFA another item was removed (while
14 on treatment, I can go to work regularly) which was not suitable for unemployed patients. At the
15 end of this extensive process eight items were retained and taken for AC-PROM Tamil. Reliability
16 of AC-PROM Tamil was good (Cronbach's alpha coefficient > 0.7).

17 When comparing the AC-PROM Tamil with already existing PROMs for asthma, there were
18 similarities and dissimilarities. Number of items in AC-PROM Tamil was 8. Number of items in
19 the other PROMs varied from 5 to 17: Asthma Control Test (ACT) - 5 items; Asthma Control
20 Questionnaire (ACQ) - 7 items Lara Asthma Symptom Scale (LASS) - 8 items and Asthma
21 Therapy Assessment Questionnaire (ATAQ) - 17 items (26, 27, 28, 29). In AC-PROM Tamil items
22 were categorised under 2 factors (activity/ exacerbation and symptoms). There were no grouping
23 of items in ACT, ACQ and LASS while items of ATAQ were categorised under 5 domains
24 (control, communication, behaviour, self-efficacy and knowledge). In ACQ, in addition to the
25 symptoms, measurement of FEV1 was also included. Like ACT, LASS and ATAQ the AC-PROM

1 Tamil does not require measurement of FEV1. Further, the AC-PROM Tamil had moderate
2 correlation with FEV1 while ACT and LASS had mild correlation with FEV1 (28, 29). Criterion
3 validity of ACT was assessed against specialist assessment of asthma control and FEV1 while
4 other three PROMs were assessed for construct validity. We have assessed the criterion validity
5 of AC-PROM Tamil using FEV1. Sensitivity and specificity of the cut-off value of the AC-PROM
6 Tamil score (28.5) for asthma control were 79.1% and 70.8% respectively with the positive
7 predictive value of 72.4% and negative predictive value of 78.6%. Whereas, sensitivity and
8 specificity of ACT to identify uncontrolled asthma were 71.3% and 70.8% respectively with the
9 positive predictive and negative predictive values of 72.6% and 63.3% respectively (28). The AC-
10 PROM Tamil has similar specificity and positive predictive values as ACT and better sensitivity
11 and negative predicted value. The AC-PROM Tamil specifically assesses the asthma control with
12 inhaled medications while ACT, ACQ, LASS and ATAQ assess asthma control in general.

13 The latest GINA symptom control tool comprises of 4 indicators: daytime symptoms, night-time
14 waking, short acting beta₂-agonist use and activity limitation. The AC-PROM Tamil assess the
15 asthma symptoms wheeze, chest tightness, shortness of breath and cough (3) specifically, while
16 the GINA tool assesses vaguely as daytime symptoms. The AC-PROM Tamil is a numerical tool
17 validated with FEV1 while the GINA is a categorical tool. Therefore, AC-PROM Tamil can assess
18 the asthma control more accurately than GINA criteria (3). As it is a numerical tool the AC-PROM
19 Tamil is more sensitive to change in symptom control and can be used to assess the patient
20 progress.

21 Further, the AC-PROM Tamil is a feasible tool as the response rate was 100% with no missing
22 data and takes less than 5 minutes to complete. However, the actual usefulness of the AC-PROM
23 Tamil need to be confirmed by applying the tool in a larger population.

24

1 **Conclusions**

2 We conclude that the AC-PROM Tamil is a simple, reasonably accurate, reliable and valid tool
3 that specifically assesses the effectiveness of asthma prophylaxis in patients with asthma by
4 assessing the asthma control. Asthma control is detected by the PROM score of 28.5 or more. As
5 it takes only few minutes to complete it can be used even in busy clinic settings. Further it has the
6 advantage of not requiring measurement of FEV1, which makes a suitable tool for resource limited
7 settings where spirometer is not available. The above-mentioned properties make the AC-PROM
8 Tamil as a suitable tool for the use in routine clinical practice and researchers to assess the asthma
9 control and progress particularly in developing countries.

10

11 **Abbreviations**

12 PROM: patient reported outcome measure, FGDs: focus group discussions, EFA: exploratory
13 factor analysis, FEV1: forced expiratory volume in one second, ROC curve: receiver operating
14 characteristic curve, ACT: asthma control test, ACQ: asthma control questionnaire, ATAQ:
15 asthma therapy assessment questionnaire

16

17 **Acknowledgements**

18 The authors would like to thank all participants and experts who took part in the development of
19 AC-PROM Tamil and made this work possible. We would like to thank the following members
20 for their contribution to the study: Dr. Ramya Kumar, senior lecturer in Community Medicine,
21 Department of Community Medicine, Faculty of Medicine, University of Jaffna, Jaffna, Sri Lanka
22 for her guidance in focus group discussions analysis and Mr.S.Thayapran, senior technical officer,
23 Department of Pharmacology, Faculty of Medicine, University of Jaffna, Sri Lanka who carried
24 out the spirometry.

1 **Funding**

2 The authors received no financial support for this research.

3

4 **Availability of data and materials**

5 This is a part of a larger study. The dataset generated and analysed during the current study are
6 not publicly available but available from the corresponding author on a reasonable request.

7

8 **Author's contribution**

9 SSR, TSN, NG, GS and YG were involved in the research conception. Study was conceptualized
10 by all 5 authors. YG was the principal investigator and responsible for the data collection, entry
11 and analysis with TSN and SSR for manuscript preparation. All authors approved the manuscript.

12

13 **Ethics approval and consent to participants**

14 This study was conducted in accordance with the Declaration of Helsinki. Ethical permission was
15 granted by the Ethics Review Committee, Faculty of Medicine, University of Colombo, Sri Lanka
16 (EC-18-108). Permission was obtained from the hospital authorities to collect the data. Written
17 informed consent was obtained from all participants.

18

19 **Consent for publication**

20 Not applicable.

21

22 **Competing interests**

23 The authors declare that they have no competing interests.

24

1 **Author details**

2 1Department of Pharmacology, Faculty of Medicine, University of Jaffna, Jaffna, Sri Lanka.

3 2Department of Medicine, Faculty of Medicine, University of Jaffna, Jaffna, Sri Lanka. 3World

4 Health Organization, Country office, Sri Lanka. 4Department of Pharmacology, Faculty of

5 Medicine, University of Colombo, Colombo, Sri Lanka

6

7 **References**

8 1. Global Asthma report 2018. Global asthma network. Available from

9 <http://globalasthmanetwork.org/Global%20asthma%20Report%202018%20Embargo.pdf>.

10 Accessed Jan10 2021

11 2. National survey on self-reported Health in Sri Lanka. Department of Census and Statistics,

12 Ministry of National Polices and Economic affairs 2014. Available at

13 <http://www.statistics.gov.lk/Health/StaticalInformation/NationalSurveyonSelf->

14 [reportedHealthinSriLanka2014](http://www.statistics.gov.lk/Health/StaticalInformation/NationalSurveyonSelf-reportedHealthinSriLanka2014). Accessed on Jan10 2021

15 3. Global initiatives for asthma. Global strategy report for asthma management and prevention

16 2020. Available at <https://ginasthma.org/wp-content/uploads/2020/06/GINA-2020->

17 [report_20_06_04-1-wms.pdf](https://ginasthma.org/wp-content/uploads/2020/06/GINA-2020-report_20_06_04-1-wms.pdf). Accessed on Jan10 2021

18 4. Tanaka A. Past, present and future therapeutics of asthma: a review. J Gen Fam Med.

19 2015;16:58–69

20 5. Hansel TT. How do we measure the effectiveness of inhaled corticosteroids in clinical

21 studies. Respir Med. 2004; 98:S9-S15. <https://doi.org/10.1016/j.rmed.2004.07.010>.

22 Accessed on Jan10 2021

23 6. Willke RJ, Burke LB, Erickson P. Measuring treatment impact: a review of patient reported

24 outcome and other efficacy endpoints in approved product labels. Contr Clin Trials.

25 2004;25:535-52

- 1 7. Marquis P, Arnould B, Acquadro C, Roberts WM. Patient reported outcomes and health
2 related quality of life in effectiveness studies: Pros and Cons. Drug Dev Res. 2006; 67:193-
3 201. <https://onlinelibrary.wiley.com/doi/epdf/10.1002/ddr.20077>. Accessed on Jan10 2021
- 4 8. Worth A, Hammersley V, Knob R et al. Patient-reported outcome measures for asthma: a
5 systematic review. Prim Care Respir Med. 2014; 24:1-8.
6 <https://dx.doi.org/10.1038%2Fnpjpcrm.2014.20>. Accessed on Jan10 2021
- 7 9. US Food and Drug Administration, center for drug evaluation and research, center for
8 biologics evaluation and research, center for devices and radiological health. Guidance for
9 industry: patient-reported outcome measures: use in medical product development to
10 support labelling claims 2009. Available at <https://www.fda.gov/media/77832/download>.
11 Accessed on Jan10 2021
- 12 10. Staniszewska S, Haywood KL, Brett J and Tutton L. Patient and public involvement in
13 patient reported outcome measures: evolution not revolution. Patient. 2012; 5:79-87.
14 <https://doi.org/10.2165/11597150-000000000-00000>. Accessed on Jan10 2021
- 15 11. Dang A, kanukala R, Shah C, Shetye V. The emerging role of patient reported outcomes
16 (PROs) in clinical trials: An Indian perspectives. Value in Health Regional Issues. 2017;
17 12C:24-6. <http://dx.doi.org/10.1016/j.vhri.2016.05.002>. Accessed on Jan10 2021
- 18 12. Carlsen B, Glenton C. What about N? A methodological study of sample-size reporting in
19 focus group studies. BMC Med Res Methodol. 2011; 11:26. [https://doi.org/10.1186/1471-
20 2288-11-26](https://doi.org/10.1186/1471-2288-11-26). Accessed on Jan10 2021
- 21 13. Krueger RA, Casey MA. Focus Groups. A practical guide for Applied Research, 5th ed.
22 Thousand Oaks; Sage Publications, 2015.
- 23 14. Henson RK, Roberts JK. Use of exploratory factor analysis in published research. Common
24 errors and some comment on improved practice. Educational and Psychological
25 Measurement. 2006; 66(3):393-416.

- 1 15. Wijesinghe PR, Seneviratne RA, Jeyakody RL. Development and validation of a scale to
2 mrasure the perceived access to medical care. Journal of Community Physicians of Sri
3 Lanka. 2005; 10:18-25.
- 4 16. MacCallum RC, Widman KF, Zhang S, Hong S. Sample size in factor analysis. Psychological
5 Methods. 1999; 4:84-9.
- 6 17. National Institute for Health and Care Excellence 2017. Asthma: diagnosis and monitoring
7 of asthma in adults, children and young people
8 (NG80).[https://www.nice.org.uk/guidance/ng80/evidence/asthma-diagnosis-and-](https://www.nice.org.uk/guidance/ng80/evidence/asthma-diagnosis-and-monitoring-of-asthma-in-adults-children-and-young-people-pdf-7079863936)
9 [monitoring-of-asthma-in-adults-children-and-young-people-pdf-7079863936.](https://www.nice.org.uk/guidance/ng80/evidence/asthma-diagnosis-and-monitoring-of-asthma-in-adults-children-and-young-people-pdf-7079863936)
10 Accessed on Jan10 2021
- 11 18. Buderer NMF. Statistical methodology: 1. Incorporating the prevalence of disease into the
12 sample size calculation for sensitivity and specificity. Acad Emerg Med. 1996; 3(9):895-
13 900. <https://doi.org/10.1111/j.1553-2712.1996.tb03538.x>. Accessed on Jan10 2021
- 14 19. Graham BL, Steenburgen I, Miller et al. Standardization of spirometry 2019 update.
15 American Thoracic Society documents. Am J Respir Crit Care Med. 2019; 200(8):e70-e88.
16 <https://doi.org/10.1164/rccm.201908-1590ST>. Accessed on Jan10 2021
- 17 20. Miller MR, Hankinson J, Brusasco V et al. Standardisation of spirometry. Eur Respir J.
18 2005; 26:319-38. Available at <https://erj.ersjournals.com/content/erj/26/2/319.full.pdf>.
19 Accessed on Jan10 2021
- 20 21. Mansell G, Shapley M, van der Windt D, Sanders T, Little P. Critical items for assessing
21 risk of lung and colorectal cancer in primary care: a Delphi study. Br J Gen Pract. 2014;
22 64:e509-e15. <https://dx.doi.org/10.3399%2Fbjgp14X681001>. Accessed on Jan10 2021
- 23 22. Kong LN, Guo Y, Qin B, Peng X. development of self-management tool for chronic
24 hepatitis B patients on antiviral medications. Results of Chinese Delphi panel survey. PLOS

- 1 One. 2015;10: e0134125.<https://doi.org/10.1371/journal.pone.0134125>. Accessed on Jan10
2 2021
- 3 23. Tabachnick BG, Fidell LS. Principal components and factor analysis. In: Tabachnick BG,
4 Fidell LS, 6th ed. Using Multivariate Statistics. Pearson Education Inc, 2013:659-728
- 5 24. Nunnally JC, Bernstein IH. Psychometric Theory. 3rd ed, New York: McGraw-Hill, 1994.
- 6 25. Pudrovska T, Anikputa B. The role of early life socioeconomic status in breast cancer
7 incidence and mortality: Unraveling life course mechanism. J Aging Health. 2012;
8 24(2):323-44. <https://dx.doi.org/10.1177%2F0898264311422744>. Accessed on Jan10 2021
- 9 26. Juniper EF, O’Byrne PM, Guyatt GH, Ferrie PJ, King DR. Development and validation of a
10 questionnaire to measure the asthma control. Eur Respir J. 1999; 14:902-7.
- 11 27. Vollmer WM, Markson LE, O’Connor E *et al.* Association of asthma control with health
12 care utilization and quality of life. Am J Respir Criti Med 1999; 160:1647-52.
13 <https://doi.org/10.1164/ajrccm.160.5.9902098>. Accessed on Jan10 2021
- 14 28. Nathan RA, Sorkness CA, Kosinski M, Schatz M, Li JT, Marcus P et al. Development of the
15 asthma control test: A survey for assessing asthma control. J Allergy Clin Immunol. 2004;
16 113:59-65.<https://doi.org/10.1016/j.jaci.2003.09.008>. Accessed on Jan10 2021
- 17 29. Wood PR, Smith B, O’Donnell L et al. Quantifying asthma symptoms in adults: The Lara
18 Symptom Scale. J Allergy Clin Immunol. 2007;120:1368-72
- 19

Figures

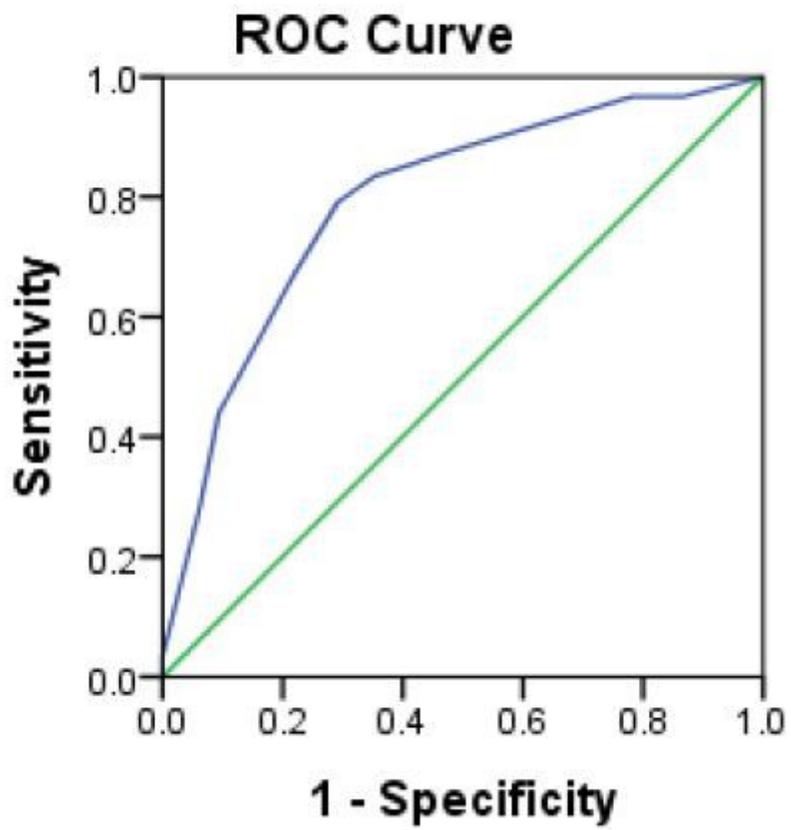


Figure 1

Receiver operating characteristic curve for asthma control patient reported outcome measure