

Spatial-temporal Assessment of historical and projected drought events over Isiolo County, Kenya using Self Calibrating Palmer Drought Severity Index

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1 **Spatial-temporal Assessment of historical and projected drought events over Isiolo County,**
2 **Kenya using Self Calibrating Palmer Drought Severity Index**

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10 **Abstract**

11 This study was to determine the spatiotemporal characteristics of historical and projected drought
12 events throughout Isiolo County, Kenya, through using self-calibrating Palmer drought severity
13 index (scPDSI). The scPDSI is a complex and robust drought index that applies the water
14 balance model by incorporating the role played by evapotranspiration and soil properties on
15 drought analysis therefore making it appropriate to identify the linkages between meteorological,
16 agricultural and hydrological droughts. The historical scPDSI was computed at a monthly
17 timescale using a 39-year long monthly mean precipitation data from Climate Hazard Group
18 Infrared Precipitation with Station (CHIRPS) and monthly average temperature data from the
19 Climate Research Unit (CRU). The climatological (1950-1996) available water holding capacity
20 (AWHC) of the soil was obtained from Oak Ridge National Laboratory Distributed Active
21 Archive Center (ORNL DAAC) for biochemical dynamics at a spatial resolution of 1° x 1°. The
22 projected scPDSI under Representative Concentration Pathways (RCPs) was computed using
23 bias corrected monthly temperature and precipitation model output data from Coordinated
24 Regional Climate Downscaling Experiment (CORDEX). The datasets were extracted for ten grid
25 points in the County. The scPDSI was used to assess the historical and projected duration,
26 severity, and intensity of droughts. The major significant historical and projected drought events
27 and their characteristics were clearly identified using the run theory. ScPDSI runs have shown
28 that more severe drought events dominated the period between 1980 and 2000. ScPDSI had the
29 longest dry event duration of 61 months and a severity of 126.412 with adverse effects on the
30 eastern locations. The projected drought events identified Mar 2046 –Mar 2048 under RCP4.5 to

31 be the most severe drought lasting for 25 months with severity of 59.292 while under RCP8.5
32 run Nov 2042 – Nov 2046 is identified as the most severe, 114.362 with the duration of water
33 stress anticipated to last for 49 months.

34 To examine the spatial variability of the drought events in the County, the Empirical Orthogonal
35 Analysis (EOF) was applied to the historical and projected scPDSI time series. The EOF results
36 indicated that the two leading eigen vectors accounted for over 85% of the spatial variability for
37 both historical and projected droughts under the RCPs. Subsequently, the Mann-Kendall (MK)
38 test was applied to the projected scPDSI, temperature and precipitation timeseries in order to
39 determine the local expected drought trends. The MK test of the identified significant increase in
40 trend for temperatures under RCP8.5 and precipitation under RCP4.5 towards the end of the last
41 decade under the study period considered. Both scenarios showed a decline in trends of drought
42 events in Isiolo County from 2020-2050.

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61 **1 INTRODUCTION**

62 Drought is a natural meteorological phenomenon with widespread impacts that cut across many
63 socio-economic sectors of any society with devastating effects on community (Dai, 2013a;
64 Mishra & Singh, 2010, 2011; Polong et al., 2019). The effects of drought are anticipated to be
65 amplified in terms of complexity, frequencies, and extent as consequence of climate change and
66 variability (Dai, 2011; Sheffield et al., 2012). An exhaustive drought analysis is fundamental for
67 drought management and alleviation and subsequently can improve drought forecasting (Zargar
68 et al., 2004) .

69 Drought indices are commonly applied methods to describe and quantify drought conditions. The
70 indices use climatic variables such as precipitation, air temperature, evapotranspiration, soil
71 moisture, and stream-flow to quantitatively measure drought characteristics (Abdulrazzaq et al.,
72 2019; Hao & AghaKouchak, 2013). Over 150 indices have since been established based on the
73 above indicators (Kalisa et al., 2020; Patil, 2020). Numerous drought indices have effectively
74 been applied as drought analysis tools worldwide (Barua et al., 2010; Dai, 2011). Indices are
75 invented or modified for various geographical locations, purposes, and demands (Zargar et al.,
76 2004). Currently, the major drought indices include Palmer Drought Severity Index based on
77 temperature and rainfall (Aiguo et al., 2004; Palmer, 1965; Trenberth et al., 2014), the Standard
78 Precipitation Index based on rainfall only (Ayugi et al., 2020a; Mckee et al., 1993; Wambua et
79 al., 2018) and the standardized precipitation evapotranspiration index based on
80 evapotranspiration and temperature (Dei, 2009; Polong et al., 2019; Tirivarombo et al., 2018).
81 Since the use of drought indices tends to vary by specific place and function, there is a need to
82 establish the most accurate drought indices for geographical location and application so that the
83 quantification and classification of drought can be accurate (Ayugi et al., 2020b; Dai, 2013b;
84 Zargar et al., 2004).

85 The Palmer Drought Severity Index (PDSI) introduced by Wayne Palmer in 1965 is commonly
86 believed to have laid the foundation for drought forecasting and analysis. The PDSI (Palmer,
87 1965) is among the frequently used drought monitoring tools in the United States and worldwide
88 (Dai, 2011; Enfoque et al., 2010; Mishra & Singh, 2011). PDSI is widely being used to predict
89 the onset and the cessation of an anticipated drought event. The PDSI has extensively also been

90 discussed in-depth by (Wells et al., 2004; Alley, 1984; Dai, 2011). Unlike other previously
91 mentioned Drought Severity Indices, using temperature and soil properties in the PDSI
92 computation and precipitation data is the core strength. Moreover, it is scientifically possible that
93 while rainfall stress is the main predictor of drought, the temperature has a central role in
94 triggering drought (Chen & Sun, 2015; Shi et al., 2017). Additional weather variables integrated
95 into calculation make PDSI suitable to connect meteorological drought, hydrological and
96 agricultural drought (Wanders et al., 2010). Previous studies suggest that PDSI does not capture
97 well drought in locations with extreme variability in rainfall or runoff, for example, in Australia
98 and Southern Africa (Hayes et al., 1999). These limitations identified by Alley in 1984 and have
99 since been addressed by modification of the original model into a self-calibrating version (Wells
100 et al., 2004; Liu et al., 2017). The original PDSI lacks the ability to geographically compare
101 drought which leads to poor representation of drought in other locations other than the few
102 locations sampled by Palmer. The detailed modification of the original PDSI to a self-calibrating
103 PDSI is explained in detail by (Wells et al., 2004) as discussed in methodology section in this
104 paper.

105 *******Table 1*******

106 The objective of this study is to determine the spatial-temporal variability of historical and
107 projected drought characteristics in Isiolo County, Kenya based on the assessment of the severity
108 of dry events in the County. The study precisely focused on (1) Determine the spatial and
109 temporal evolution of historical droughts based on Empirical Orthogonal Function analysis and
110 use the results to validate the performance of scPDSI based of observed historical droughts (2)
111 Compute projected scPDSI based on RCP4.5 and RCP8.5 from CORDEX model outputs (3)
112 Determine the historical and projected drought trends over Isiolo County based on sequential
113 Mann-Kendall trends analysis. The findings of this study are intended to improve the science of
114 drought prediction and knowledge of drought and its characteristics in Isiolo County. This will
115 complement the efforts of local and national government in planning for long term drought
116 resilience actions and prepare for contingency response actions.

117 **2 Data and methodologies**

118 **2.1 Study area**

119 Isiolo County has two sub-counties namely (Isiolo North and Isiolo South). Owing to the
120 expansive nature of the two sub-counties drought response is always untimely. Isiolo County is
121 located in Kenya and lies between Longitudes 36.8333° East and 39.8333° East and latitude
122 0.0833° South and 2° North. It covers an area of approximately 25,700 km². Isiolo town lies 285
123 kilometers north of Nairobi, capital city of Kenya, if accessed through the great north road.

124 *******Figure 1*******

125 In general, Isiolo County just like the rest of the country, experiences bi-modal rainfall pattern
126 influenced by the North-South movement of the Inter-Tropical Convergence Zone (ITCZ) with
127 long rains received from March to May and short rains received from October to December with
128 peaks observed in April and November. The maximum temperature generally rises from
129 November with the highest temperatures experienced during the months of February. Isiolo
130 County is characterized by three climatic zones namely; semi-arid, arid and very arid. The
131 characteristics of these climate zones are as follows: Semi-arid zone IV (Central and Kinna
132 divisions. The annual rainfall received in these locations range from 250-650mm. Arid Zone V -
133 Central Garbatulla division with an annual rainfall of between 300-350 mm and can only support
134 annual grasslands and a few shrubs. Very Arid Zone VI which is mostly in Meriti and Sericho
135 divisions which covers the largest percentage of the land area of the county. The rainfall received
136 here is between 150 and 250mm per year.

137 *******Figure 2*******

138

139 *******Figure 3*******

140 **2.2 Data**

141 This study applied the monthly gridded of temperature dataset for the computation and
142 modification of the projected Thornthwaite Evapotranspiration at spatial resolution of 0.5 ° x 0.5
143 ° from Climate Research Unit, CRUTS4.03 (Harris et al., 2014). CRU temperature dataset
144 successfully applied by (Polong et al., 2019) in the computation of potential evapotranspiration
145 over Tana River basin, Kenya. Monthly precipitation datasets obtained from Climate Hazard
146 Group Infrared Precipitation with Station (CHIRPS v2; Funk et al., 2015). The CHIRPS data
147 product has a spatial resolution of 0.05 (~5.3 km). The historical scPDSI was also obtained from

148 CRU version 4.04 of high-resolution gridded data at $0.5^{\circ} \times 0.5^{\circ}$. This data was used to validate
149 the self-computed scPDSI. All the aforementioned datasets are analyzed at a temporal resolution
150 of 1980-2018.

151 The representative concentration pathway data outputs are available on Coordinated Regional
152 Climate Downscaling Experiment (CORDEX) Africa domain and have a spatial resolution at
153 grid increment of $0.25^{\circ} \times 0.25^{\circ}$ (~25 km \times 25 km) and at a temporal scale of 1951 to 2005 for
154 historical runs and future projections from 2006 to 2100. This dataset has widely been used in
155 the study of the future scenarios of temperature, rainfall and drought characterization (Tan et al.,
156 2020; Ayugi et al., 2020b; Ongoma et al., 2018) over East Africa region. The results depicted a
157 better representation of rainfall and surface temperature trends. The models were further bias
158 corrected using quartile mapping approach in order to reduce errors in projected drought
159 scenarios.

160 The climatological Soil Available Water Holding Capacity (AWHC), also referred as AWC or
161 Root Zone Water Holding capacity was obtained from Oak Ridge National Laboratory
162 Distributed Active Archive Center (ORNL DAAC) for biochemical dynamics at a spatial
163 resolution of $1^{\circ} \times 1^{\circ}$ (https://daac.ornl.gov/cgi-bin/dsviewer.pl?ds_id=548). This dataset has
164 extensively been applied by (Rosenzweig & Ridge, 1986; Liu et al., 2017) in computing scPDSI.
165 All the gridded datasets applied in this study was extracted and analyzed from the 10 grid points
166 as shown in figure 1.

167 **2.3 Methodologies**

168 **2.3.1 Computation of Self-Calibrating Palmer Drought Severity Index (sc-PDSI)**

169 The procedure of calculating Palmer Drought Severity Index relies upon the principle that the
170 evapotranspiration (ET), recharge (R), runoff (RO), loss (L), potential evapotranspiration (PE),
171 potential recharge (PR), potential runoff (PRO), and potential loss (PL) are derived from the
172 meteorological and soil data. The PE is estimated using (Thornthwaite, 1948) approach and
173 majorly depend on the AWHC of the soil.

174 The water balance equation was set up based on (Wells et al., 2004). This formed the foundation
175 for the computation of the moisture anomaly; which is the difference between actual
176 precipitation and potential precipitation. A detailed step by step calculation of PDSI and
177 modification to scPDSI can be found in (Wells et al., 2004) and is summarized as; First the

178 moisture departure and moisture anomalies based on water balance model is computed. The
 179 rationale of the climate characteristic, K, is to modify the theoretical value of D based to the
 180 characteristics of the climate in a manner that PDSI values over spatiotemporal scale can be
 181 accurately compared.

$$182 \quad K'_i = 1.5 \text{Log}_{10} \left[\frac{\overline{PE}_i + \overline{R}_i + \overline{RO}_i + 2.8}{\overline{P}_i + \overline{L}_i} \right] \frac{1}{\overline{D}_i} \quad (1)$$

183

$$184 \quad \tilde{Z} = \sum_{j=1}^{12} |\bar{d}_j| K'_j \quad (2)$$

185 \tilde{Z} is regarded as the summation of average annual moisture departure and D_i is the mean
 186 moisture anomaly for the a specic month. The duration factors are then computed based on K'_i . In
 187 particular, the certain predefined PDSI value X_i is a weighted sum of the previous PDSI value
 188 X_{i-1} , which denotes the current climate trend, and the current moisture anomaly Z_i , which is how
 189 wet or dry it has been over the current period.

$$190 \quad X_i = pX_{i-1} + qZ_{i*} \quad (3)$$

191 In real terms, the duration factors explain the sensitivity of the index is to precipitation changes
 192 and the deficit thereof, $p= 5 \ 0.897$ and $q = 1/3$. Sequentially the 98th and 2d percentile values of
 193 the PDSI then finally SC-PDSI is computed as.

$$194 \quad X_t = \left(1 - \frac{m}{m+b} \right) X_{i-1} + \frac{CZ_t}{m+b} \quad (4)$$

195 Thus, considering any category of drought, specified as C, the computed index is calibrated as
 196 long as m and b can be computed, where m is the line slope and b is the y intercept.

197 **2.3.2 Identification of Drought Signals Using Empirical Orthogonal Function Analysis**

198 EOF analysis is one of the most popular statistical methods used to identify signals in spatial
 199 data. It's used to get rid of noise inherent in most climate data (Lorenz, 1956; Polong et al.,
 200 2019). The method involves mapping the data onto a new frame which creates variability in the
 201 original data. Thus, the process ideally produces modes (or eigenvectors) which are equal in

202 number to the original data. The modes are orthogonal to each other meaning that each of them is
 203 uncorrelated with the others. Ideally, the first few modes contain the biggest percentage of the
 204 variability in the original data while the rest explain minimal variability and can be regarded as
 205 noise. EOF can be mathematically expressed as;

$$206 \quad \left\{ \begin{array}{l} Y_{i,1} = a_{11}X_{i,1} + a_{12}X_{i,2} + \dots + a_{1k}X_{i,k} \\ Y_{i,2} = a_{21}X_{i,1} + a_{22}X_{i,2} + \dots + a_{2k}X_{i,k} \\ \vdots \\ Y_{1,k} = a_{k1}X_{i,k} + a_{k2}X_{i,2} + \dots + a_{kk}X_{i,k} \end{array} \right\} \quad (5)$$

207
 208 The original correlated scPDSI values at different grid points are $X_{i,1}, X_{i,2}, \dots, X_{i,k}$ where k
 209 refers to the number of the grid points chosen for this study in the Isiolo County (=10) and i
 210 denotes the length of scPDSI series at each grid point. The eigen values $Y_{i,1}, Y_{i,2}, \dots, Y_{i,k}$ are
 211 simultaneously produced.

212 **2.3.3 Mann-Kendall Trend Analysis**

213 Mann-Kendall (MK) test statistics was applied to depict future trends of drought, temperature
 214 and rainfall in Isiolo County. MK test statistics is a nonparametric trend test technique. This
 215 method commonly used in the science of hydrology and climatology for testing randomness of
 216 the temporal variation in hydro-meteorological data series. It is widely applied in detecting the
 217 significant changes in trends of a time series (Yilmaz, 2019; Xing et al., 2018). MK is a rank-
 218 based technique, it is not influence by the extremes and therefore best suited for skewed data i.e.,
 219 it is not affected by the outliers like the simple linear regression method.

220 MK test statistics

$$221 \quad S = \sum_{i=2}^n \sum_{j=1}^{i-1} sign(x_i - x_j) \quad (6)$$

222 Where the x_j are the sequential data values, n is the length of the time-series, and sign $sign(x_i -$
 223 $x_j)$ is -1 for $(x_i - x_j) < 0$; 0 for $(x_i - x_j) = 0$, and 1 for $(x_i - x_j) > 0$. MK test was used to
 224 recognize patterns that are statistically significant at the 95% confidence level. In this approach,
 225 the null hypothesis H_0 was that there is no a trend in projected drought, temperature and rainfall
 226 in Isiolo County; alternative hypothesis H_a was that there is increasing and/or decreasing trends.

227 Sneyers (1990) presented sequential values, $u(t)$ and $u'(t)$, from the Mann-Kendall test's
 228 progressive testing to identify the abrupt change of trend with time. Here, $u(t)$ is a standardized
 229 variable with a unit standard deviation and zero mean. Its sequential pattern therefore fluctuates
 230 around the level of zero. As follows, the sequential Mann-Kendall can be summarized stepwise,

231 1. The values of x_j annual mean time series are compared with the x_i where ($j =$
 232 $1, 2, \dots, n$ and $i = 1, \dots, j - 1$) at each comparison the instances where $x_j > x_i$ are
 233 noted by n_j .

234 2. The statistics t is computed using

$$235 \quad t_j = \sum_1^j n_j \quad (7)$$

236 3. The mean and the variance are then computed using

$$237 \quad E(t) = \frac{n(n-1)}{4} \quad (8)$$

$$238 \quad Var(t_j) = \left[\frac{j(j-1)(2j+5)}{72} \right] \quad (9)$$

239 4. The sequential values of the statistic $u(t)$ are then calculated as

$$240 \quad u(t) = \frac{t_j - E(t)}{\sqrt{Var(t_j)}} \quad (10)$$

241 The values of $u'(t)$ are simultaneously calculated in a reverse sequence from the end of the time
 242 series.

243 **2.3.4 Characterization of Drought Using Runs Theory**

244 A run is a sequence of one or more observations of different kinds preceded and succeeded by
 245 the same type of observations. The run method is founded on a threshold level selection, Q (Le et
 246 al., 2019; Yevjevich, 1969). Thus, any drought event can be described by: duration, D_u , the
 247 number of consecutive intervals of time (T) under which the rainfall appears below critical
 248 threshold; cumulative deficiency, the total amount of successive deficits; and; intensity, $I(s)$ by
 249 the ratio of severity and duration of the drought event. It is possible to fully comprehend the
 250 concept as below.

251

252 *****Figure 4*****

253 Frequency, F of the dry events considered in this study were $scPDSI \leq -1$ category over a period
 254 of time N (months) are the chances of occurring drought, calculated as the ratio of total drought
 255 duration and the total time N: The drought frequency, F, can therefore be described as

$$256 \quad F_j = \frac{\sum_{i=1}^m Du_i}{N} \times 100\% \quad (11)$$

257 N is the drought periodic time and m is the number of drought events in a given Palmer
 258 category/class; consequently, the degree of severity, S, a drought event can be computed using

$$259 \quad S_j = \sum_{k=1}^{D_u} scPDSI_k | scPDSI_k < Q_j \quad (12)$$

260 Q refers to the set drought index threshold as described earlier.

261 **3 Results and Discussions**

262 **3.1 Spatial-Temporal Characteristics of Historical Dry Events in Isiolo County**

263 The temporal series of scPDSI calculated over 39 years between 1980 and 2018 were analyzed
 264 for spatial signals to determine the geographical extent of mild-severe drought events over Isiolo
 265 based on simple covariance matrix EOF analysis. The results presented in figure 5 indicates that
 266 the two leading EOFs accounted for a total 100% of spatial variability.

267

268 *******Figure 5*******

269 The first EOF loading is univariant with spatially homogeneous positive values. The second EOF
 270 loading depicted both negative and positive variability over Isiolo County. These results show
 271 that both dry and wet events would have been experienced over Isiolo County over the review
 272 period. The second EOF loading characterizes more localized events (Santos et al. 2010; Polong
 273 et al., 2019), this is also coherent with figure 2. The southern and western sectors of the County
 274 are not as adversely affected by drought to high annual rainfall amounts and low temperatures as
 275 a result of geographical proximity to Mount Kenya as compared to Eastern sectors that generally
 276 receives suppressed rainfall amounts and high temperatures.

277 The temporal interannual evolution two corresponding EOF scPDSI time series (figure 6) shows
 278 that a total of 15 dry events and 12 wet events were experienced in Isiolo County. The period in

279 which the dry events were experience during study period is shown in table 2. These results are
280 in concurrence with Balint et al., 2011 and Mwangi et al., 2013 studies which identified 1983-
281 1984, 1992-1993, 1999-2000, and 2009-2011 as some of the drought years though using
282 different approaches to study drought.

283

284 *******Figure 6*******

285 The characteristics of individual drought events are summarized in Table2. The severity of
286 drought events is a function of duration, which is the time period under Isiolo County remains
287 under precipitation deficit. The runs were set to capture the moderate to severely to extremely
288 severe dry events. The spatial extent of the drought characteristics in historical runs are
289 illustrated in figure 7. The locations adjacent to grid points 5 (south) of the study location is
290 adversely affected by frequent (over 75%) and severe droughts lasting for a longer duration (50
291 months). The interarrival time between two consecutives dry events was also high in these
292 regions. Conversely, the areas around grid points 1 and 2 were less affected by drought

293

294 *******Figure 7*******

295 The most severe dry spell was experienced between March 1983 to March 1988 lasting 61
296 months followed by the Oct 1998 to Oct 2001 dry spell which lasted for 37 months. The severe
297 droughts peaks captured by the scPDSI runs were February 1981, August 1984, April 1992, June
298 1994, February 1997, June 2001, July 2011, June 2014. It is evident that there has been a decline
299 in the severity of drought in Isiolo County over the last decade. However it is not clear as to what
300 might be contributing to this shift of events given that recent studies (Dai, 2013a; Ongoma,
301 Chen, et al., 2018) have presented results indicating an increase in the frequencies and severity of
302 the drought events over the larger East African domain.

303 *******Table 2*******

304

305 **3.2 Projected Spatial-Temporal Characteristics of Drought using scPDSI based on RCP4.5** 306 **and RCP8.5 from CORDEX**

307 Figures 8 and 9 depicts the spatial-temporal characteristics of projected drought patterns are
308 computed using (rainfall and temperature) from the bias corrected CORDEX model simulation

309 output for the period 2020-2050 based on simple covariant matrix EOF method. ScPDSI was
310 calculated for both RCP 4.5 and RCP 8.5 and objectively analyzed to determine the different
311 aspect of anticipated future dry events based on the run theory. The two leading EOF loadings
312 for RCP 4.5 accounted for 86.1% of the total variability. The first spatial pattern under RCP 4.5
313 shows and homogenous positive patterns of spatial variability, with the western and eastern
314 sectors of Isiolo County retaining relatively low values. The second EOF loading under RCP4.5
315 shows both positive and negative spatial variability with more resemblance to EOF2 in figure 5.
316 This depicts that the future dry events are more likely to concentrate on the eastern parts of the
317 County. However, the two-leading spatial EOF loadings for the RCP8.5 both shows both positive
318 and negative spatial patterns with inverted patterns being at the western parts of the County.
319 These patterns show future reduction in drought possibilities over northern parts of the county.

320

321 *******Figure 8*******

322 The temporal evolution of the two leading EOF analysis shows that there is more pronounced
323 interannual variability as compared to interdecadal variability for both dry and wet events. The
324 RCP 4.5 depicts and opposite phase of dry and wet events over the county, however this can be
325 validated by applying theory of runs on the projected originally computed scPDSI values. The
326 average scPDSI over the 10 grid points, suggests that there is more of severe dry events over the
327 last decade of the study period for both RCP scenarios.

328

329 *******Figure 9*******

330 The geographical regions extending to the southern zone of the study region along the eastern
331 sides are often defined by bare Arid and Semi-Arid lands. Climate observed in this region are
332 mainly dry with rainfall recorded being below normal climatological averages, resulting in
333 increased evapotranspiration due to increased solar insolation, increased wind speed, and vapor
334 pressure insufficiency, largely associated with higher surface temperatures and low humidity.
335 Intriguingly, zones along the western side may be less impacted by severe drought events. With
336 more vegetation cover, these locations are also high in elevation hence experiences relatively low
337 temperatures.

338 A summary of the projected dry events and their characteristics detected by the runs are
339 presented in tables 3 and 4 and figures 10 and 11. It is evident that there is a decrease in severity
340 of the projected drought events compared to the historical drought events with only four and two
341 events being identified under RCPs4.5 and 8.5 respectively. The reduction in the severity of the
342 drought events could be linked with the enhance precipitation as revealed by the MK results in
343 this study. RCP4.5 scPDSI shows that Mar 2046 – Mar 2048 is the most severe with dry spell
344 lasting for 25 consecutive months of precipitation deficit. However, RCP8.5 monthly scPDSI
345 runs picks out Nov 2042 – Nov 2046 as the most severe dry spell with a duration of 46 months.
346 The major projected dry events are expected to peak in April 2032, December 2044, December
347 2046, April 2050.

348 *******Table 3*******

349 The spatial distribution of the projected drought characteristics under RCP 4.5 is presented in
350 figure 10. Compared with the historical, there is a shifting increase of severity, frequency and
351 duration to the western and the eastern sides of the county from the central belt. Under RCP8.5
352 run the western, northern and southern geographical locations are projected to be more adversely
353 impacted by droughts. However, compared with the RCP4.5 there is a general reduction in the
354 severity, frequency and the duration of drought in the results presented.

355
356 *******Figure 10*******

357 *******Table 4*******

358
359 *******Figure 11*******

360 **3.3 Projected Drought, Temperature and Rainfall Trends**

361 On running the Mann-Kendall test on the projected scPDSI, both RCP 4.5 and RCP 8.5
362 temperature and precipitation data, the following results were obtained for the ten grid points in
363 Isiolo and the scPDSI average in Table 5 and 6. If the p-value calculated is less than the
364 threshold level of $\alpha = 0.05$, H_0 is rejected. Rejecting H_0 indicates that the time series has a
365 trend, whereas accepting H_0 indicates that no trend has been detected. On the null rejection,
366 Hypothesis, the outcome is said to be significant statistically.

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*****Table 5*****

*****Table 6*****

The spatial trends of the projected droughts are shown in figure 12. These are the Mann-Kendall tau statistics values for each grid points. The result depicts decreasing trends of drought for both RCP4.5 and RCP8.5 simulations. Averaged as in tables 5 and 6 there is a decreasing trend in drought events under RCP4.5 while under RCP8.5 the trends are not statistically significant.

*****Figure 12*****

The sequential Mann-Kendall results at RCP4.5 shows that the forward sequence, $u(t)$ and backward sequence, (u') do not intercept each other until at 2044 where a point of abrupt change is denoted, with the projected trends decreasing significantly. Subsequently, the results of RCP8.5 show that an abrupt change occur earlier ass compared with the RCP4.5. The point of sudden change is detected in 2032 with decreasing drought trends being depicted. The reduction in drought occurrences during the mid-century under the RCP8.5 scenario (Figure 13) is consistent with precipitation predictions that are noted to enhance during the mid-century (Ongoma, Chena, et al., 2018; Shongwe et al., 2009; Spinoni et al., 2020). Previous findings on inter - annual precipitation patterns, especially in the Indian and Pacific Oceans, have shown a significant link between rainfall and SST anomalies (Shongwe et al., 2009; Spinoni et al., 2020). The results presented by these studies concluded that alterations in long-term forcing by greenhouse gas ultimately shifts the SST pattern over the tropical Oceans that generally have a significant feedback relationship with the rainfall variability, thus, affecting drought or flood characteristics.

*****Figure 13*****

Drought occurrence is climatic and/or meteorological event that is mainly driven by fluctuation in hydro-meteorological variables such as precipitation, temperature and soil moisture (Tan et al., 2020). The severity of a drought episode is a factor of precipitation anomalies with prolonged deficits leading into aggravated scenarios (Ayugi et al., 2020a; Dai, 2013a; Spinoni et al., 2020). Therefore, it is critical to study the changes in these hydro-meteorological drivers of drought in order to adequately prepare for actionable contingency measures geared towards drought

397 mitigation and long-term resilience building. In this study, scPDSI is computed based on RCP
398 4.5 and RCP8.5 and the projected drought is characterized in order to determine their onset,
399 cessation, severity, duration, geographical extent and intensities which may form a foundation
400 for effective drought management.

401 After computing the Mann-Kendall statistical test on aerially averaged values of the projected
402 temperature and precipitation data under RCP4.5 and RCP8.5, the following results in Table 7
403 were obtained for an average for the ten grid points. If the p-value calculated is less than the
404 threshold level of $\alpha = 0.05$, H_0 is rejected. Rejecting H_0 indicates that the time series has a
405 trend, whereas accepting H_0 indicates that no trend has been detected. On the null rejection,
406 Hypothesis, the outcome is said to be significant statistically.

407

408 *******Table 7*******

409 The spatial distribution of the Mann-Kendall tau statistics computed over the individual grid
410 points shows a bi-distribution with both negative and positive trends under RCP4.5 for
411 temperature simulations and homogeneously positive trends for Temperature RCP8.5,
412 Precipitation RCP4.5 and Precipitation RCP8.5. The statistically significant scenario both
413 implies that western parts of the country are likely to be hot and wet. This is mainly affecting the
414 locations with high elevation (next to Mount Kenya).

415

416 *******Figure 14*******

417 The results of the sequential Mann-Kendall statistical test to detect the abrupt change in the
418 temporal time series of temperature and precipitation (figure 15) indicates that although there is
419 changes in the in $u(t)$ and $u'(t)$ for temperature RCP4.5 and precipitation RCP8.5 as shown by
420 the intersections of the forward and backward sequences, the trends are not significant at t
421 statistical test value. The results show a significant change in temporal trends of precipitation at
422 RCP4.5 and temperature at RCP8.5 with both indicating increasing trends occurring from 2043
423 and 2046 respectively at 95% confidence level.

424

425 *******Figure 15*******8

426 The results of this study concur with other current literature that revealed an increase in the
427 frequency of rainfall over the domain of the study (Ongoma, Chena, et al., 2018). The wetting
428 pattern over the East Africa region was linked by Zhao and Dai (2017) to a strong Indian Ocean
429 ITCZ feedback. Despite the projected future warming, the strong shift denoting the increase in
430 rainfall event concurs with a research by Kent et al. (2015) that identified a lack of causal
431 relationship between global average temperature unpredictability and projected shift in
432 precipitation at the end of the twenty-first century. The study, on the other hand, acknowledged
433 that local precipitation variability over the study region is mainly related to convection and
434 convergence geographic changes, linked with systems such as trends of sea surface temperature
435 (SST) and alteration of land-sea thermal interaction. The inference of numerous studies to clarify
436 the changes in rainfall projections shows the complexity of regional changes in rainfall, resulting
437 majorly to unpredictability about the impact.

438 **4 Conclusion**

439 Drought continues to be one of the most sophisticated climatic phenomena at the global, regional
440 and local levels that impact the economy, environment and society at large. The current study
441 examined drought events by characterizing trends, intensity and severity based on the scPDSI,
442 which is a broadly applied index over Isiolo County, Kenya, on historical and projected scales.
443 Several studies have been done in the wider East African domain to characterize drought patterns
444 based on other index-based drought indicators such as SPI and SPEI (Tan et al., 2020; Ayugi et
445 al., 2020b; Polong et al., 2019; Spinoni et al., 2020). However, these studies have not attempted
446 to address the other factors that affect drought characteristics such as soil characteristics,
447 geographical location among others.

448 The methodology used in this study is therefore more robust as it includes several variables in
449 self-calibrating the PDSI. Spinoni et al., 2020 while using CORDEX dataset found that at global
450 scale the severity and frequencies under RCP4.5 and RCP8.5 is likely to increase, however in
451 this study, at a smaller scale shows contrary results. In spite of the inconsistency, the common
452 results show that there is more likelihood of a hotter and wetter scenario at local scale as shown
453 in Mann-Kendall results. These results agree with the recent studies (Sheffield et al., 2012;
454 Trenberth et al., 2014) and Inter-governmental Panel Climate Change fifth assessment report that
455 temperature and rainfall are projected to increase. The variation in the drought spatial-temporal

456 trends may be attributed to the local factors affected droughts that may have not be factored in
457 the projected data such as mesoscale features and synoptic weather patterns such as ENSO and
458 IOD (Ukkola et al. 2018).

459 The results presented in this study based on EOF analysis shows that scPDSI captured the major
460 historical spatial-temporal characteristics of drought over Isiolo County, Kenya of 1983-1984,
461 1992-1993, 1999-2000, and 2009-2011 (Balint et al., 2013; Uhe et al., 2018; Wambua et al.,
462 2018) and therefore can be used as an early warning tool to trigger drought emergency response
463 and contingency planning based on the results of the RCP 4.5/8.5 projected drought scenarios.
464 However, this study did not factor in the contribution of human beings in the spatial-temporal
465 characteristics of drought. Thus, this research recommends an in-depth examination on the factor
466 contribution of humans in moderating the projected drought characteristics particularly in the
467 geographical locations that were less impacted by historical droughts. In addition, local drought
468 emergency institutions and government should endeavor to find innovative technologies,
469 mechanism and livelihoods that can cope with excepted impact of drought on food security and
470 natural resource management. This will mitigate society and their livelihoods from the adverse
471 impacts and develop long-term resilience to drought.

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477 **Compliance with ethical standards**

478 The authors unilaterally certify that there really is no breach of ethics.

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482 **Author's Contribution**

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Figures

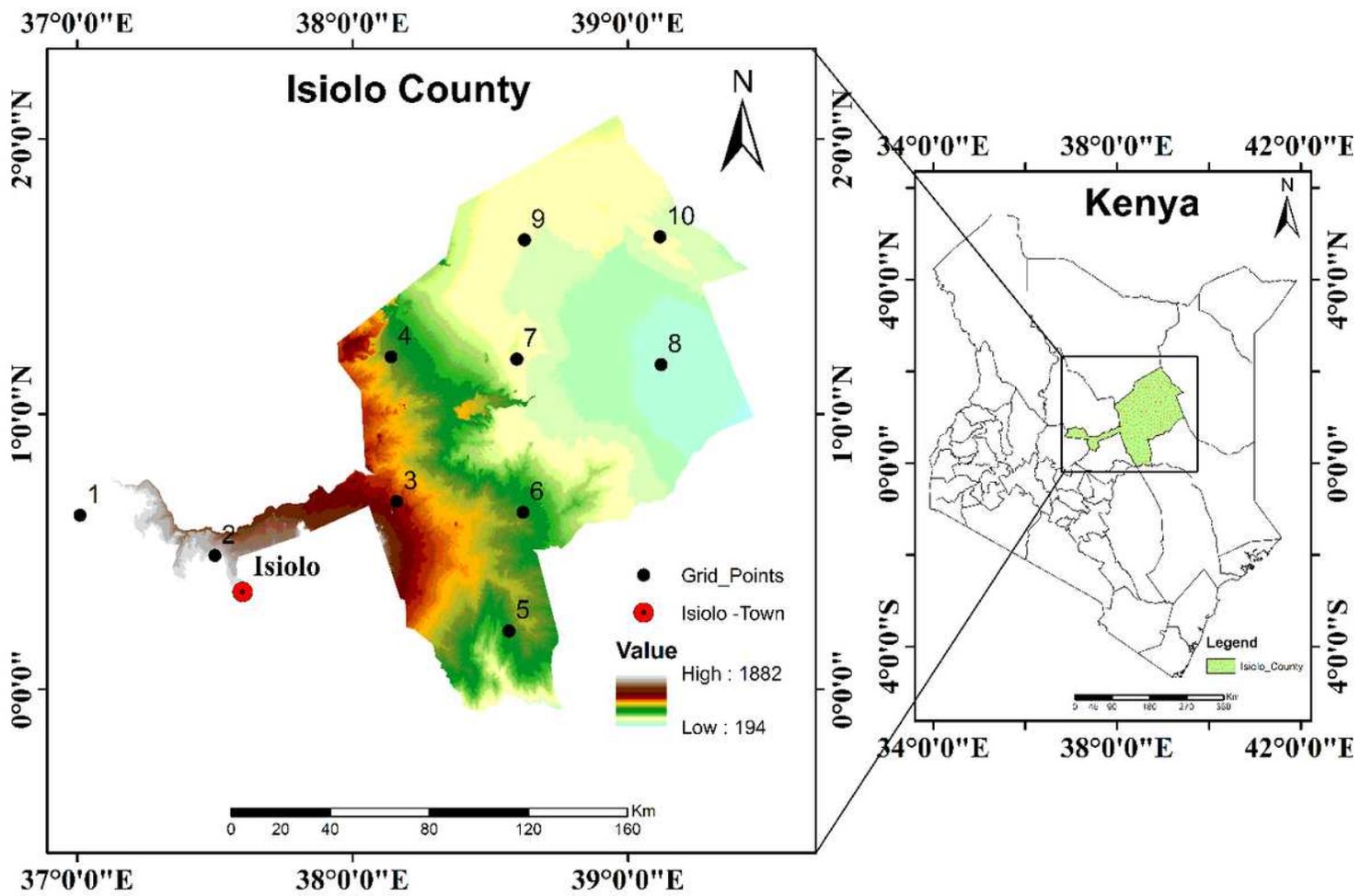


Figure 1

Location of study area

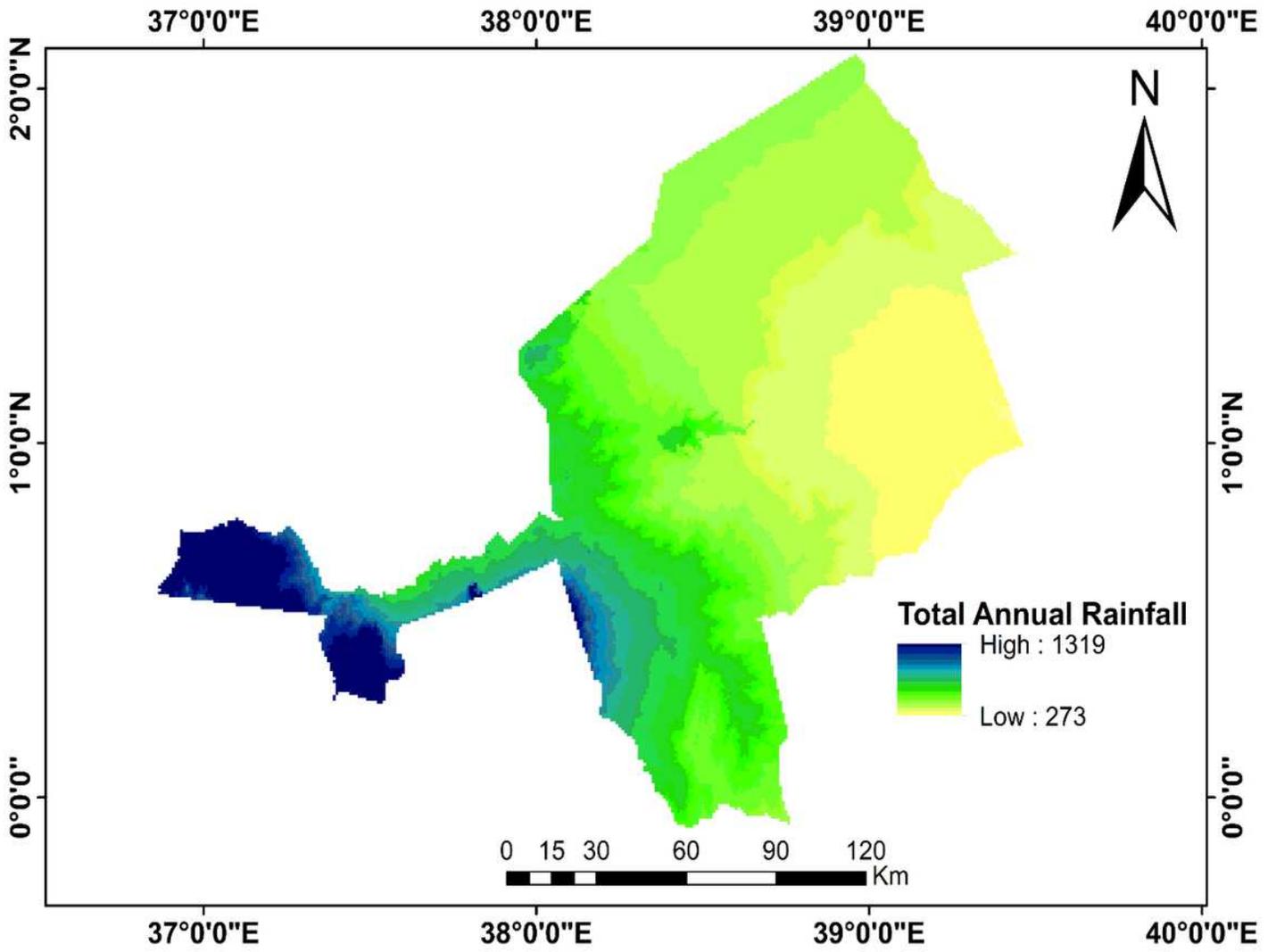


Figure 2

Mean annual Rainfall (mm/year) distribution in Isiolo County, Kenya

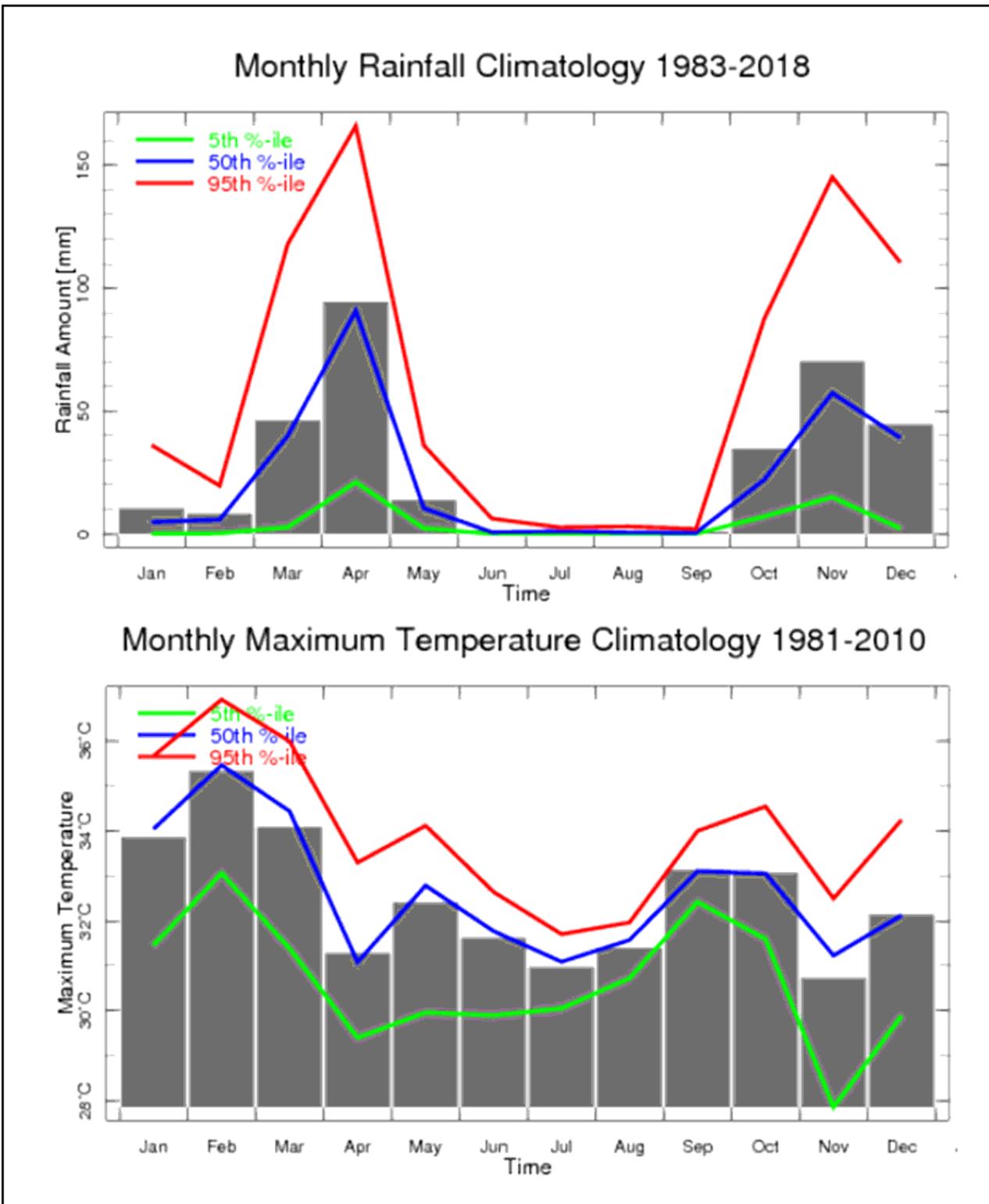


Figure 3

Annual Rainfall (mm/Month) and temperature (oC/Month) cycles top panel and bottom panels respectively over Isiolo County, Kenya

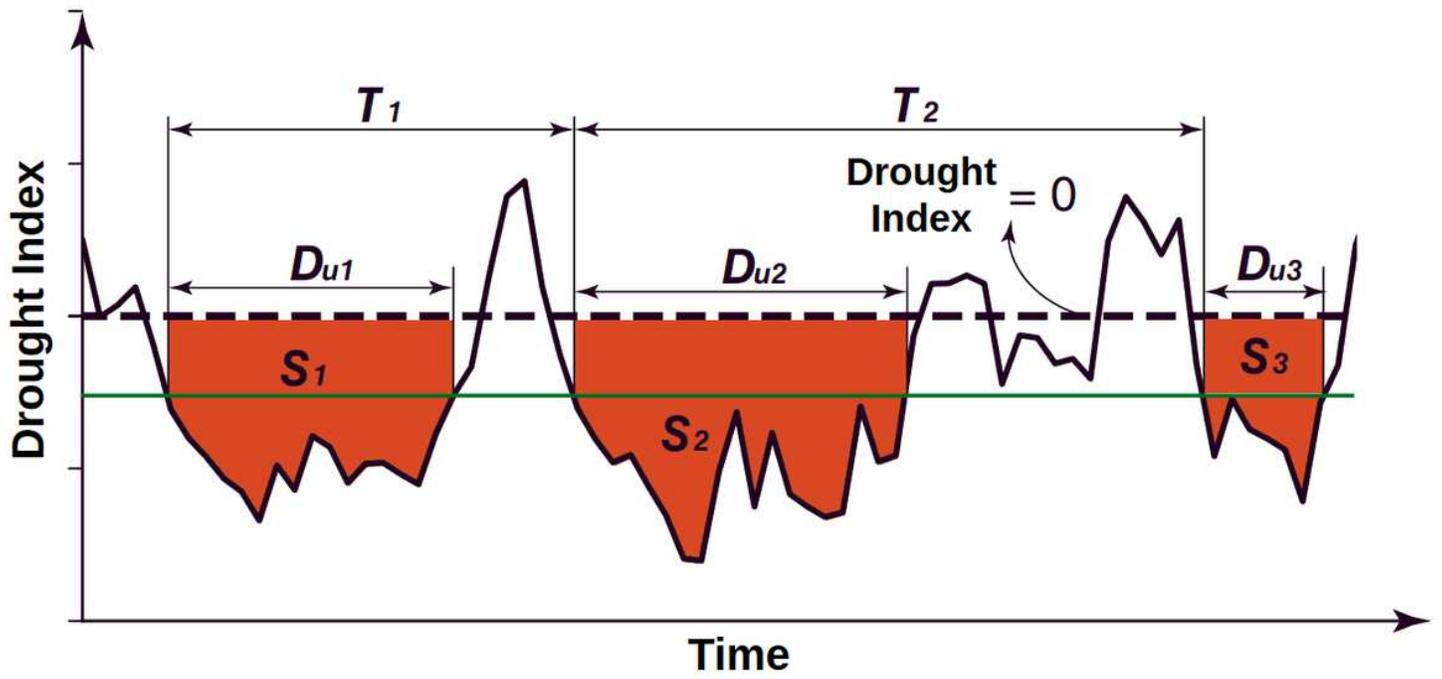


Figure 4

Illustration of drought events and characteristics

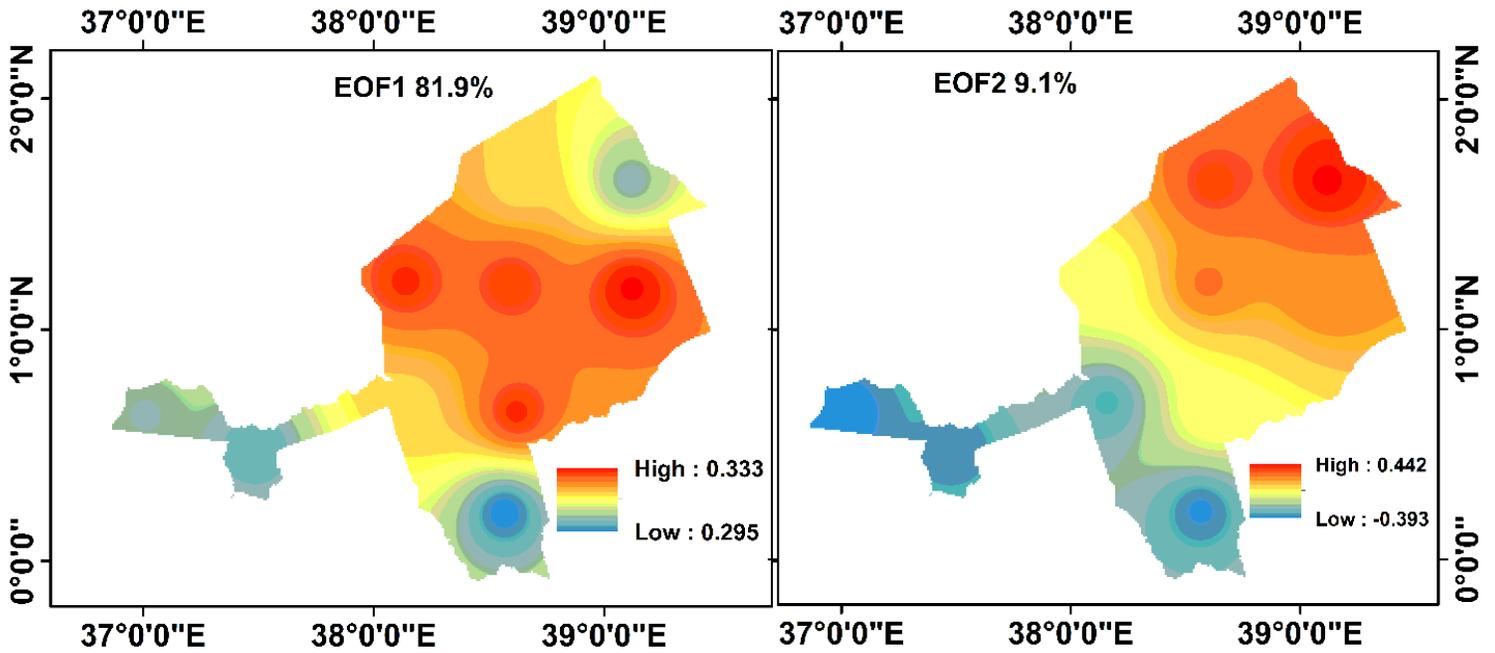


Figure 5

Spatial patterns of first two dominant EOF loadings for historical (1980-2018) scPDSI in Isiolo County, Kenya

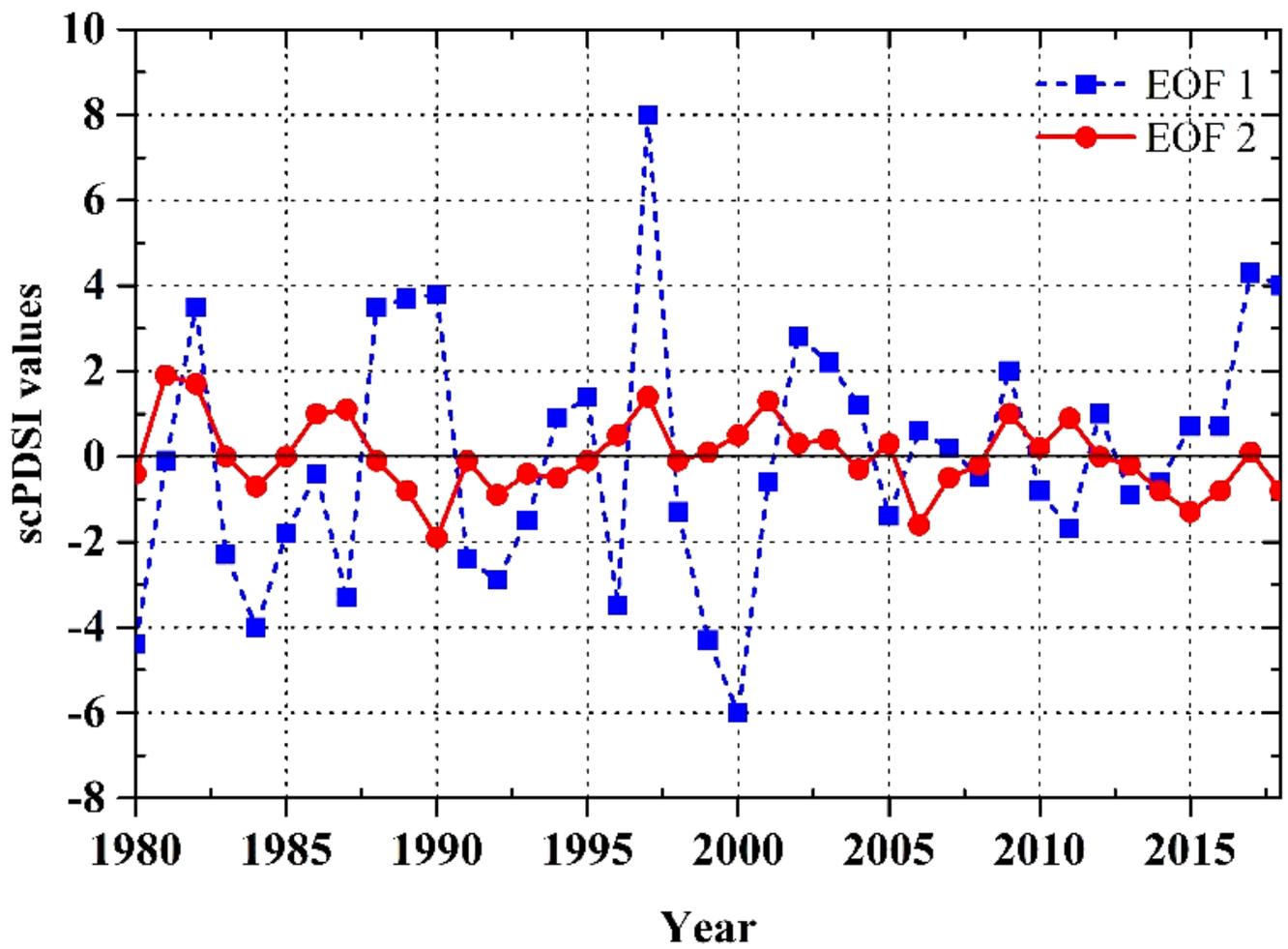


Figure 6

The temporal pattern of EOF scores corresponding to the first two dominant loading for historical (1980-2018) scPDSI

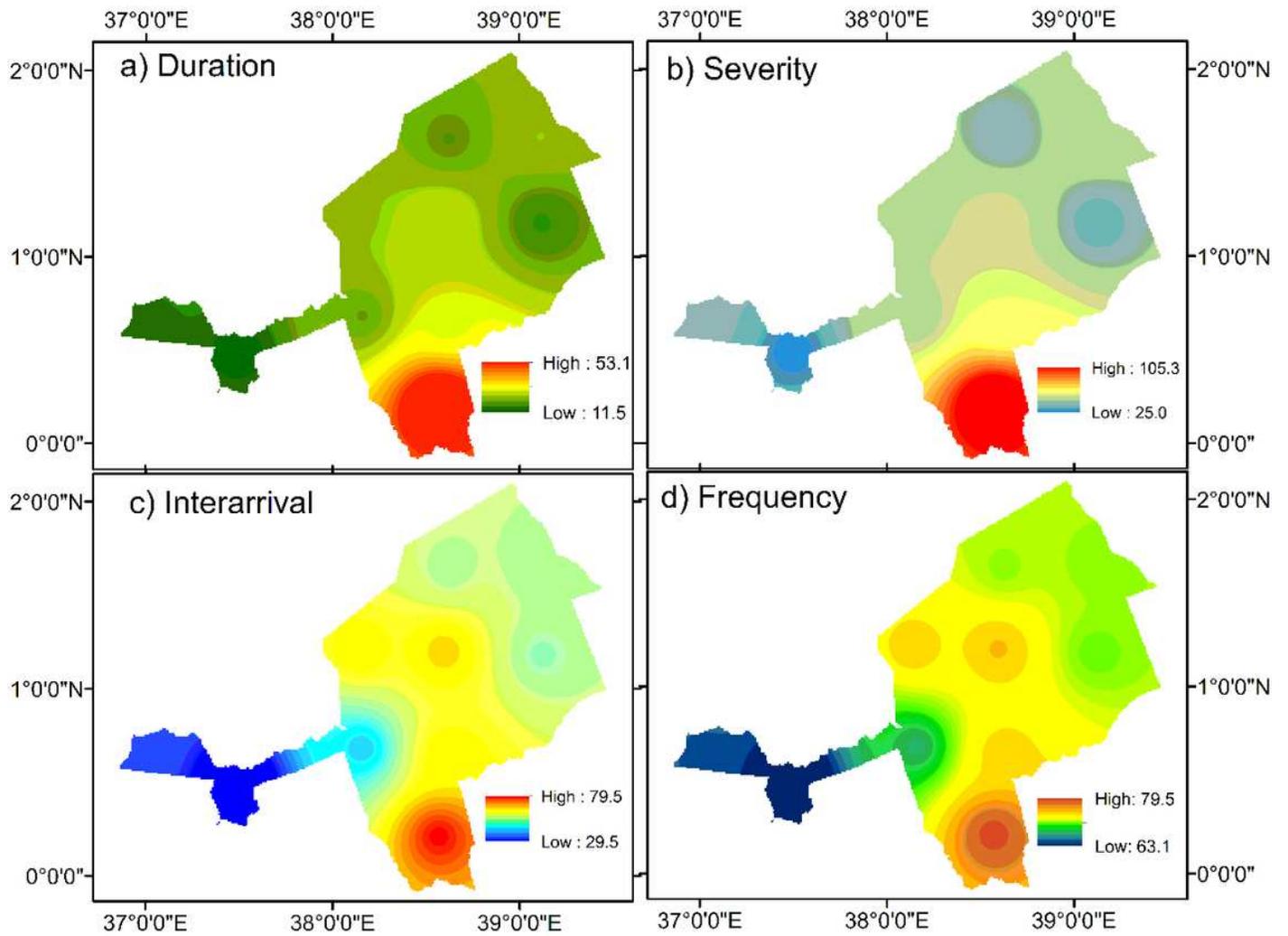


Figure 7

Historical (1980-2018) drought characteristics based on run theory (scPDSI ≤ -1). a) Duration (Months), b) Severity c) Interarrival (Months) and d) Frequency (%)

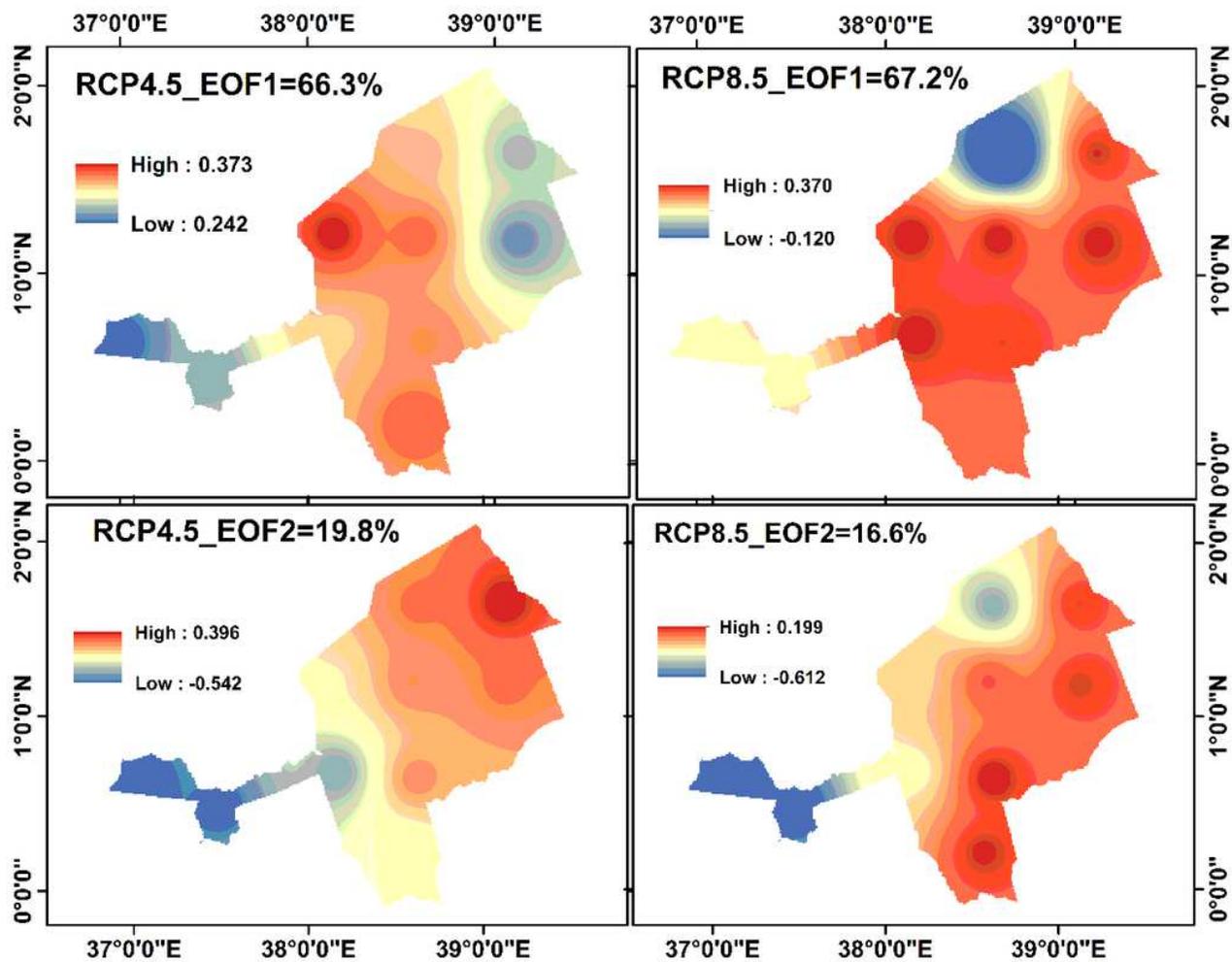


Figure 8

Spatial patterns of first two dominant EOF loadings for projected (2020-2050) scPDSI in Isiolo County, Kenya

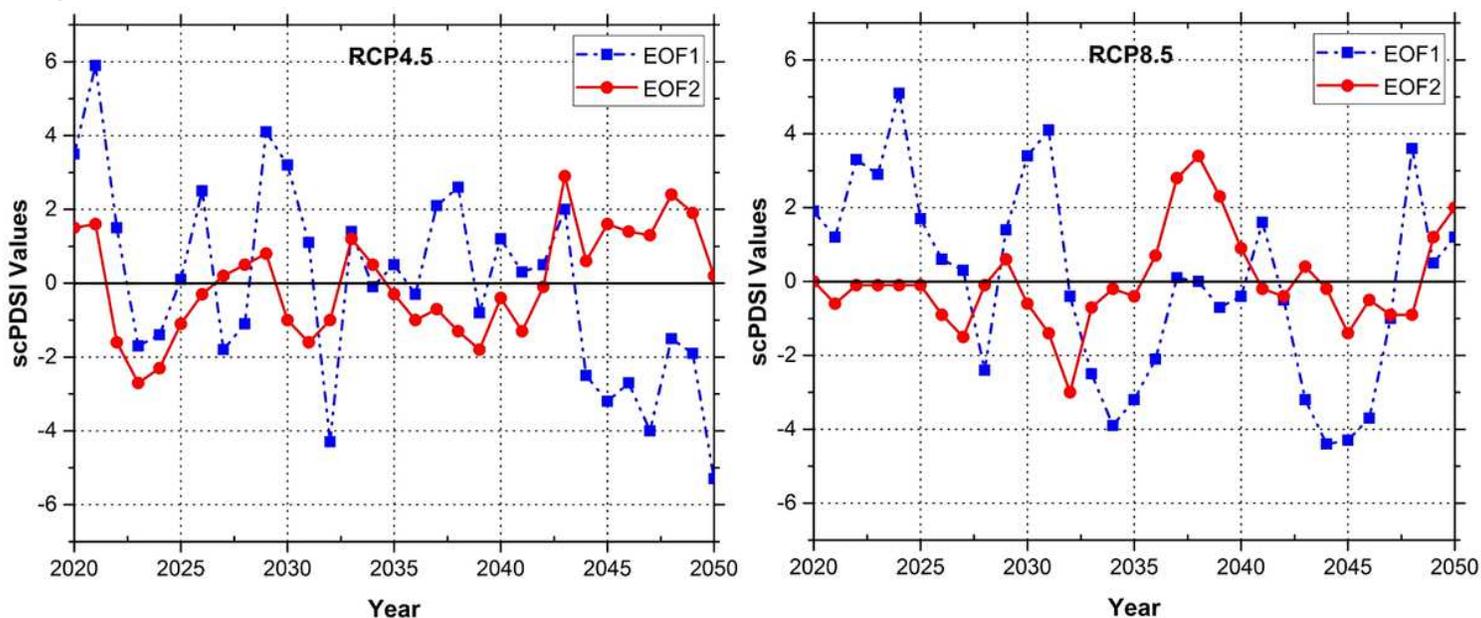


Figure 9

The temporal pattern of EOF scores corresponding to the first two dominant loading for projected (2020-2050) scPDSI

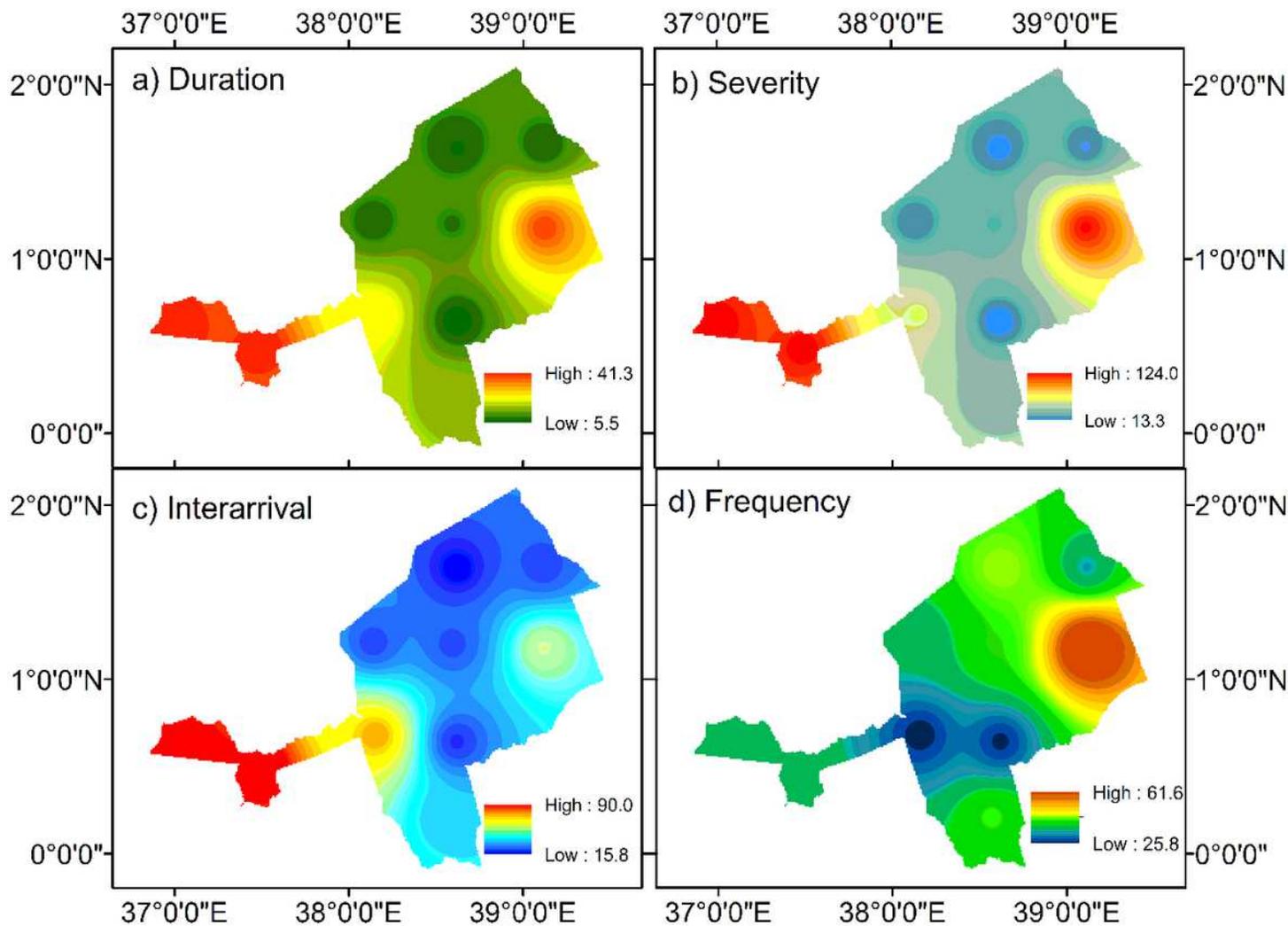


Figure 10

Projected drought characteristics under RCP4.5 ($scPDSI \leq -1$). a) Duration (Months), b) Severity c) Interarrival (Months) and d) Frequency (%)

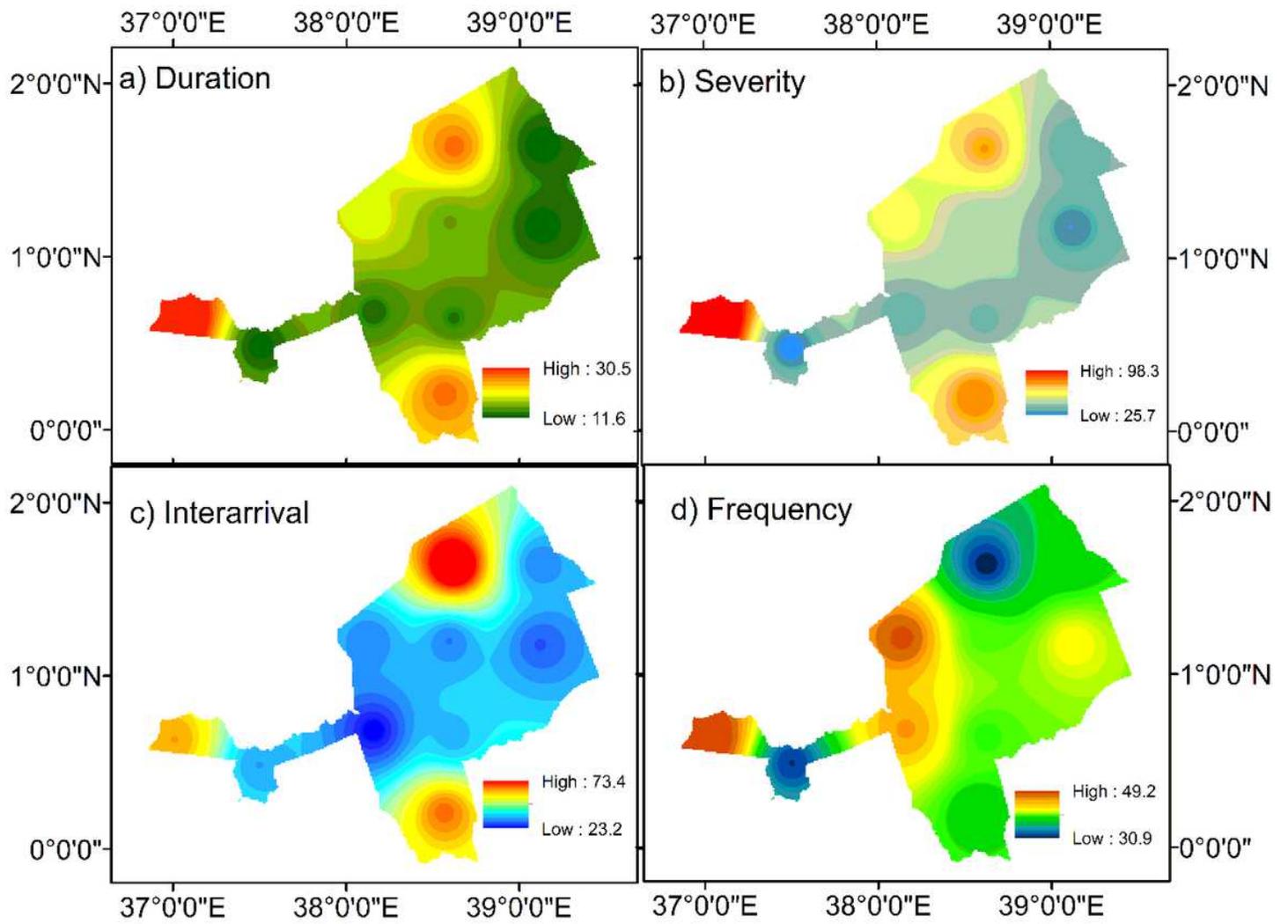


Figure 11

Projected drought characteristics under RCP8.5 ($scPDSI \leq -1$). a) Duration (Months), b) Severity c) Interarrival (Months) and d) Frequency (%)

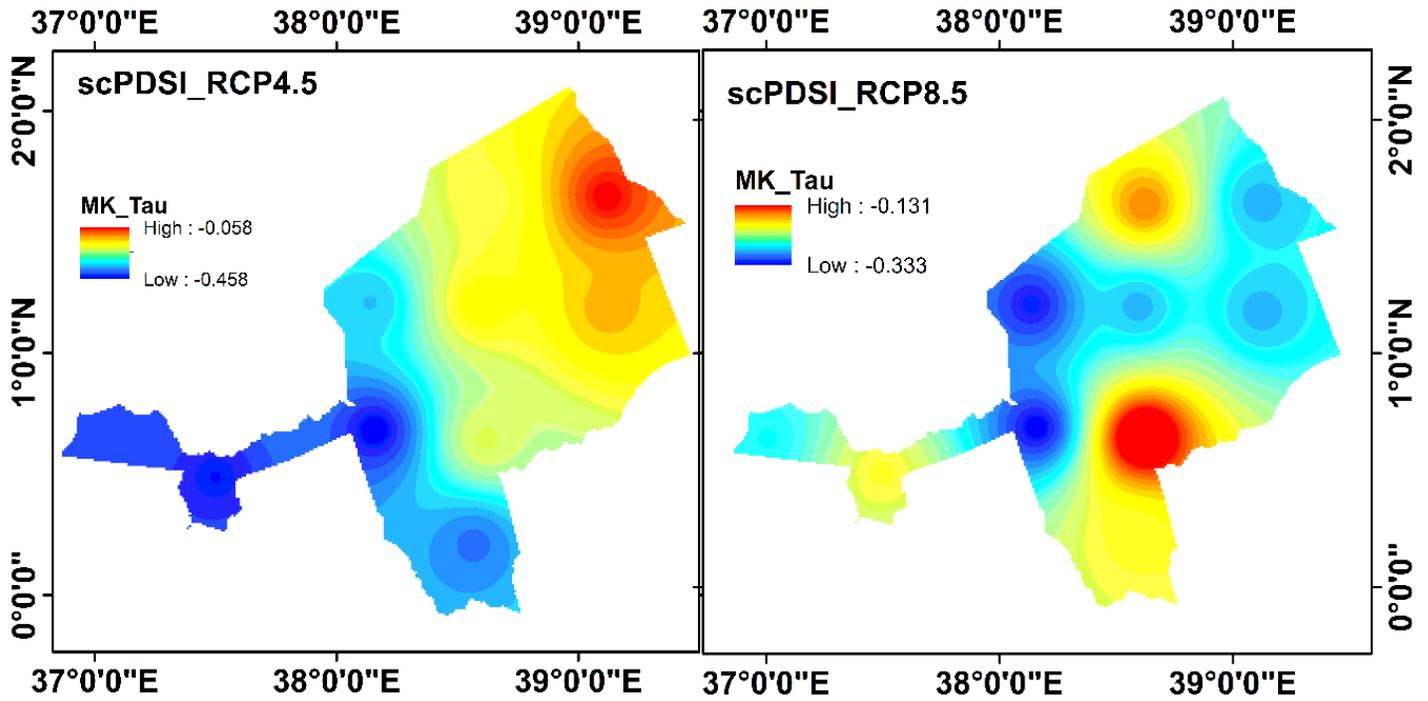


Figure 12

Spatial Mann-Kendall tau statistics of the projected (2020-2050) scPDSI RCP4.5 (left) and RCP 8.5 (right) respectively

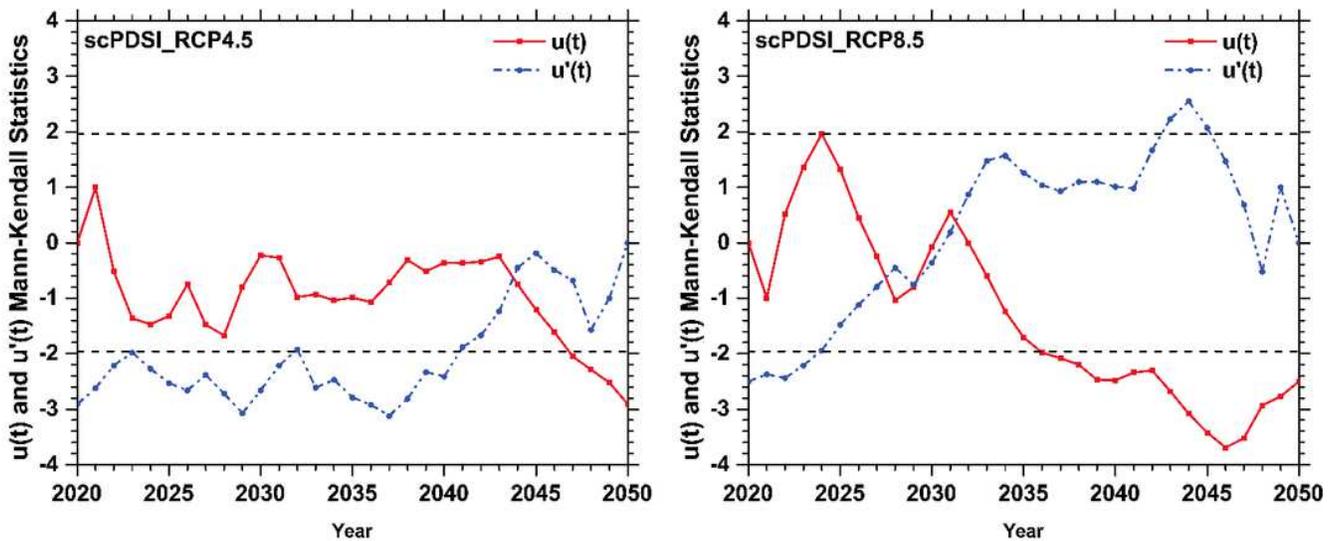


Figure 13

Sequential values of the statistics forward sequence, $u(t)$ (solid red line) and backward sequence $u'(t)$ (dashed blue line), the dashed black lines indicate the 95% confidence level; upper limit 1.96 and lower limit -1.96 respectively from the Mann-Kendall sequential test. scPDSI RCP4.5 (left), scPDSI RCP8.5 (Right)

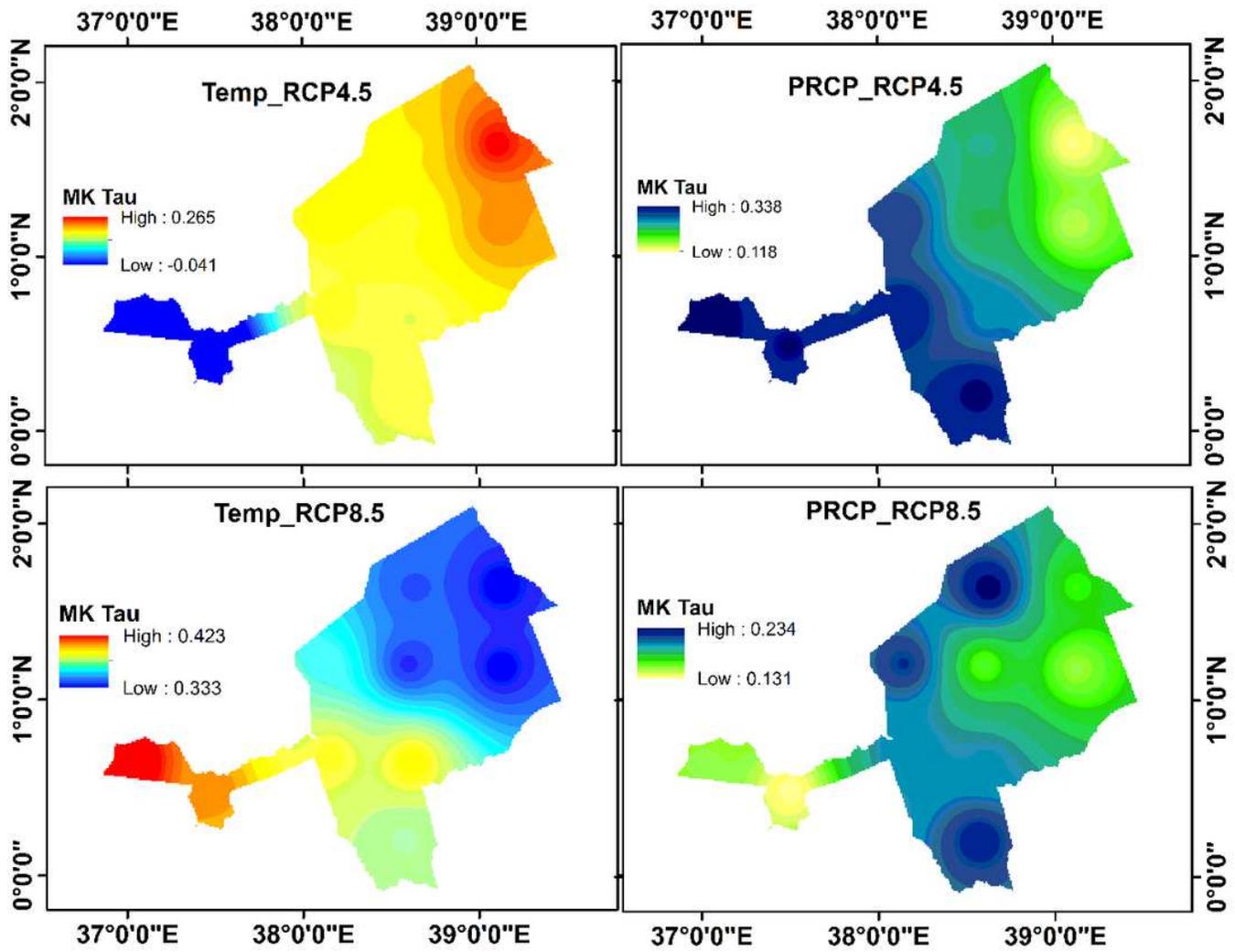


Figure 14

Spatial Mann-Kendall tau statistics of the projected (2020-2050) temperature RCP4.5 (top-left), temperature RCP 8.5 (bottom-left), rainfall RCP4.5 (top-right), rainfall RCP 8.5 (bottom-right)

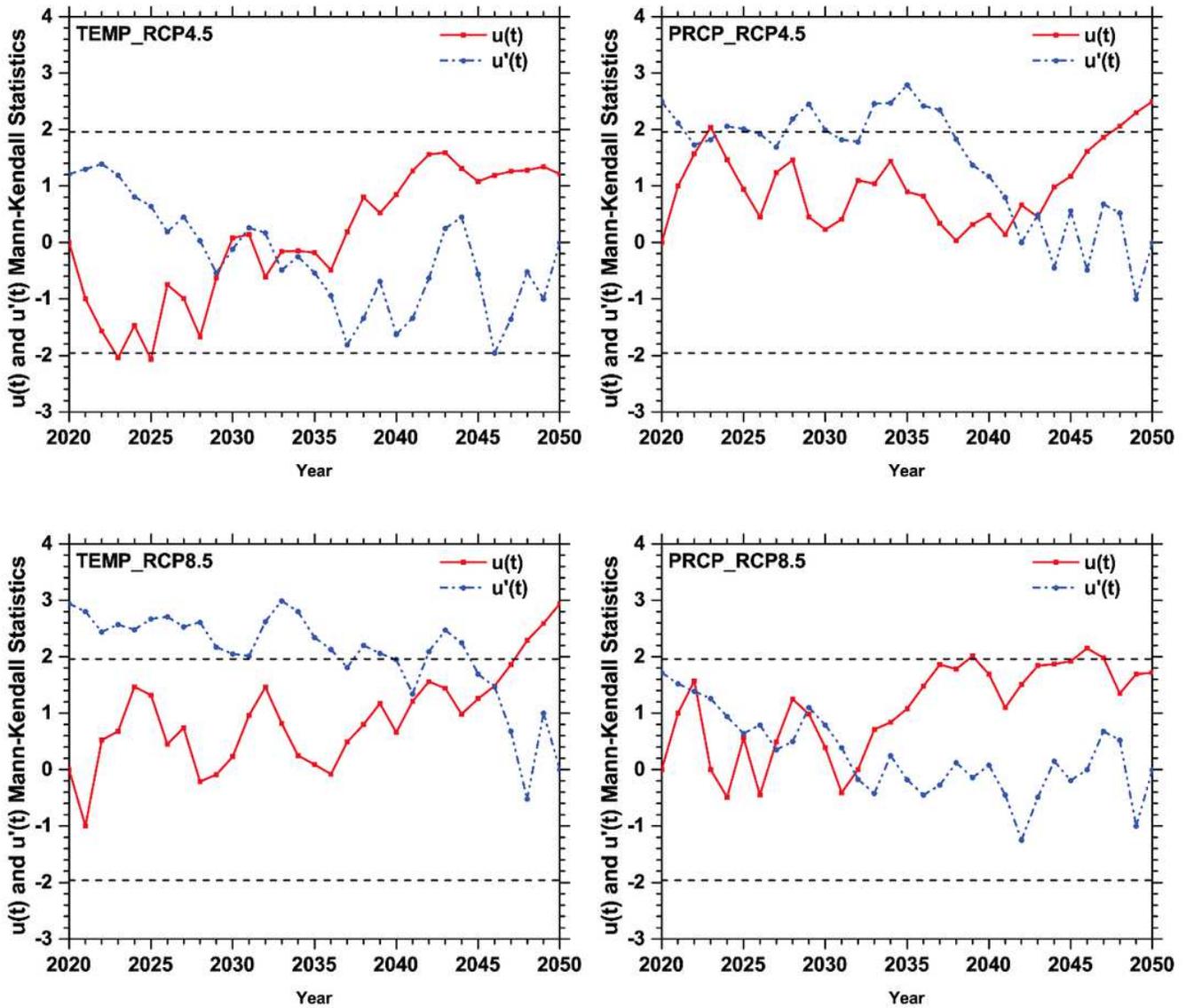


Figure 15

Sequential values of the statistics forward sequence (Fw Seq_t), $u(t)$ (solid red line) and backward sequence $u'(t)$ (dashed blue line), the dashed black lines indicate the 95% confidence level; upper limit (UL) 1.96 and lower limit (LL) -1.96 respectively from the Mann-Kendall sequential test. Temperature RCP4.5 (top-left), temperature RCP8.5 (bottom-left), precipitation RCP4.5 (top-right) and precipitation RCP8.5 (bottom-right)