

# Moderate and deep sedation for non-invasive paediatric procedures in tertiary maternity and children's hospitals in China: A questionnaire survey from China

**Bo Li**

Shanghai Children's Medical Center

**Ruidong Zhang**

Shanghai Children's Medical Center

**Yue Huang**

Shanghai Children's Medical Center

**Kan Zhang**

Shanghai Children's Medical Center

**Chun Yin Wat**

Hong Kong Children's Hospital

**Jie Bai**

Shanghai Children's Medical Center

**Mazhong Zhang**

Shanghai Children's Medical Center

**Jijian Zheng** (✉ [zhengjijian626@sina.com](mailto:zhengjijian626@sina.com))

Shanghai Children's Medical Center <https://orcid.org/0000-0003-1903-9557>

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## Research article

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# Abstract

**Background:** Moderate and deep sedation are well-established techniques in many developed countries, and several guidelines have been published. However, they have received attention in China only in recent years. The aim of this study is to investigate current paediatric sedation practices in tertiary children's hospitals and tertiary maternity and children hospitals in China. **Methods:** All tertiary children's hospitals and tertiary maternity and children hospitals registered with the National Health Commission of the People's Republic of China were invited to participate in an electronic survey, which included information on the sedation caseload, facility availability, staff structure, clinical-skill requirements for sedation providers, fasting guidelines, patient-monitoring practices, and choice of sedatives. **Results:** Fifty-eight of the 63 hospitals that completed the survey (92.1%) provided moderate and deep sedation. Dedicated sedation rooms and post-sedation recovery rooms were found in only 14 (24.1%) and 19 (32.8%) hospitals, respectively. Sedation for non-invasive procedures was primarily performed by anaesthesiologists (69.0%); however, 75.9% of the sedation providers had not received paediatric basic and advanced life-support training. Children were asked to fast from clear liquids for at least 2 h in 44.8% of hospitals and at most 6 h in 5.2% of hospitals; they were asked to fast from solid food/milk for at least 4 h in 27.6% of hospitals and more than 8 h in 1.7% of hospitals. The most commonly used sedative in all groups was chloral hydrate. For rescue, propofol was the most widely used sedative, particularly for children older than 4 years. **Conclusion:** Moderate and deep sedation practices vary widely in tertiary children's hospitals and tertiary maternity and children hospitals in China. More optimized practices should be established to improve the quality of moderate and deep sedation.

## Background

Moderate and deep sedation techniques play an important role in facilitating paediatric diagnostic procedures such as computed tomography, magnetic resonance imaging, and echocardiography. They assist in diagnostic procedures for uncooperative children and reduce procedure-related stress for cooperative children. In the past 30 years, professionals have recognized the potential risks associated with procedural sedation, and guidelines have been published. In 1985, the American Academy of Pediatrics (AAP) published the first guideline to address safety concerns<sup>1</sup>. Subsequently, the American Society of Anesthesiologists (ASA), the American Academy of Pediatric Dentistry (AAPD), and the American College of Emergency Physicians (ACEP)<sup>2-4</sup> developed sedation guidelines for different clinical situations. These have been updated regularly to enhance the safety and quality of moderate and deep sedation practices in the United States and other developed countries<sup>5-10</sup>.

Sedation practices in China are less standardized, possibly due to variability in the workforce, facilities, knowledge, and practice guidelines. There is currently no standardized national practice guideline for procedural sedation in China. Training for sedation providers also varies. Therefore, we aim to investigate current sedation practices in tertiary children's hospitals and tertiary maternity and children hospitals in China. We hope to contribute to the future establishment of paediatric sedation guidelines in China for moderate and deep sedation.

## Methods

An electronic questionnaire was developed to explore the current moderate and deep sedation practices for non-invasive diagnostic procedures in Chinese tertiary children's hospitals and tertiary maternity and children hospitals. The study was approved by the ethics committee of Shanghai Children's Medical Center and granted an exemption from requiring ethics approval. A list was obtained of facilities registered with the National Health Commission of People's Republic of China. All of the tertiary children's hospitals and tertiary maternity and children hospitals were identified. We then contacted the departments of anaesthesiology to determine their willingness to participate in this survey. If sedation was not provided by anaesthesiologists, the person in charge of sedation service was asked to participate. After oral informed consent was obtained, we built a WeChat Sedation Survey Group using a smartphone and generated the survey questionnaire through the built-in WeChat Mini Program. To avoid multiple replies from the same hospital, only one physician familiar with sedation service from each hospital was invited to join the WeChat Sedation Survey Group. The questionnaires were released in June 2018, and completed surveys were retrieved by July. Questions were related to the frequency of moderate- and deep-sedation use, facilities, staff structure, prerequisite skills, fasting practices, monitoring practices, and choice of sedatives.

Statistical analysis was performed using SPSS Version 19.0 (SPSS Inc., College Station, TX, USA). For descriptive analyses, categorical variables were reported as counts and percentages. The variability of data was analysed using a chi-squared test or Fisher's exact test;  $p$ -values  $< 0.05$  were considered statistically significant.

## Results

We asked 81 tertiary children's hospitals and tertiary maternity and children hospitals to join the study; 17 did not respond, and 64 agreed to participate. Questionnaires were sent to representatives from each of the 64 hospitals, and valid questionnaires were retrieved from 63 (98.4%) of them. At least one hospital in each province and municipality in China was included, except for the Tibet Autonomous Region and the Ningxia Hui Autonomous Region. According to the National Health Commission of People's Republic of China, there were no tertiary children's hospitals or tertiary maternity and children hospitals registered in these two regions.

### *Frequency of moderate- and deep-sedation use*

Of the 63 hospitals, 58 (92.1%) provided moderate and deep sedation for non-invasive paediatric diagnostic procedures. A majority (N=36, 62.1%) of the hospitals performed moderate-to-deep sedation in fewer than 1,000 cases per year. Twelve (20.7%) hospitals performed it in 1,000-5,000 cases per year, and 10 (17.2%) hospitals performed it in more than 10,000 cases per year. The top 10 centres in terms of number of patients served were located in Shanghai, Chongqing, Guangzhou, Zhengzhou, Xuzhou, Hangzhou, Chengdu, Kunming, Qingdao, and Xining.

### *Facilities*

Dedicated sedation rooms and post-sedation recovery rooms were reported in only 14 (24.1%) and 19 (32.8%) hospitals, respectively. Of the 10 hospitals with more than 10,000 sedation cases per year, eight (80%) reported dedicated sedation rooms and all (100%) reported post-sedation recovery rooms. The availability of such a facility was less common in hospitals that managed less than 1,000 sedation cases per year ( $p < 0.001$ ; Figure 1).

### *Staff structure and prerequisite skills*

Most sedations were performed by anaesthesiologists (69.0%). Other sedation providers included physicians-in-charge (13.8%), radiologists (6.9%), and nurses (10.3%) (Figure 2). Fifteen (25.9%) hospitals

reported that they employed full-time sedation providers. Of those 15 hospitals, 13 used anaesthesiologists and two used nurses as full-time sedation providers. In China, full-time sedation providers are medical personnel whose only duty is to provide sedation service during that session. Ten of the 14 hospitals with sedation rooms had full-time sedation providers; however, only five of the 44 hospitals without sedation rooms had full-time sedation providers ( $p < 0.001$ ).

The ratio of physicians to nurses is shown in Table 1. A ratio lower than 1:1 was reported in 19 (52.8%) hospitals with less than 1,000 sedation cases per year and in two (20.0%) hospitals with more than 10,000 sedation cases per year. A ratio equal to or more than 1:4 was reported in two (5.6%) hospitals with less than 1,000 sedation cases per year and four (40%) hospitals with more than 10,000 sedation cases per year. The ratio was not specified in 12 (33.3%) hospitals with less than 1,000 sedation cases per year, but all hospitals with more than 10,000 sedation cases per year had explicit requirements regarding the ratio of physicians to nurses.

Prerequisite skills for sedation providers are shown in Figure 2. Ten (17.2%) hospitals indicated that Paediatric Advanced Life Support (PALS) training is required for staff involved in sedation service, and four (6.9%) hospitals replied that Paediatric Basic Life Support (PBLS) is required. More than half of the hospitals (51.7%) did not specify any training requirements.

### *Fasting practices*

Solid food or milk was stopped for at least 4 hours, 6 hours, 8 hours, and more than 8 hours before sedation in 27.6%, 37.9%, 25.9%, and 1.7% of hospitals, respectively. Clear liquids were stopped for at least 2 hours, 4 hours, and 6 hours before sedation in 44.8%, 43.1%, and 5.2% of hospitals, respectively. Four hospitals (6.9%) did not mention pre-sedation fasting requirements (Table 2).

### *Monitoring practices*

Pulse oximetry was used in 65.5% and 77.6% of hospitals during magnetic resonance imaging and non-magnetic procedures, respectively; capnography was monitored in approximately 10% of hospitals during the

sedation procedure (Table 3). Most hospitals monitored pulse oximetry in either a continuous or intermittent manner (Table 4).

### *Choice of sedatives*

Chloral hydrate was commonly selected as the first-line sedative for children. For infants (i.e., younger than 1 year), the three most commonly used sedation agents were chloral hydrate (53.4%), dexmedetomidine (12.1%), and diazepam (8.6%). For older children, the use of chloral hydrate decreased and the use of dexmedetomidine and propofol increased. In children older than 4 years of age, the top three agents were chloral hydrate (24.1%), propofol (20.7%), and dexmedetomidine (17.2%) (Figure 3).

Rescue sedatives were considered by sedation providers if the patient remained awake 30 minutes after the first-choice agent was administered. The term 'remained awake' refers to a Modified Observer's Assessment of Alertness and Sedation Scale score of  $\geq 4$  (Table 5). If the first-choice sedative failed, propofol (15.5%) and inhaled anaesthetics (15.5%) were most commonly used in infants, and an additional dose of chloral hydrate was also considered in 7 hospitals (12.1%). For older children, dexmedetomidine and propofol (instead of inhaled anaesthetics) were popular choices (Figure 3).

## **Discussion**

Moderate sedation and deep sedation are well-established techniques in many developed countries, and several guidelines have been published. However, the techniques have received attention in China only in recent years. Our survey shows that most tertiary children's hospitals and tertiary maternity and children hospitals in China provide moderate and deep sedation for non-invasive procedures. The hospitals that provide sedation on a larger scale tend to have better facilities, staff composition, and resuscitation skills. Pulse oximetry is monitored in more than 65.5% of hospitals during sedation procedures, but only a few hospitals (about 10%) perform capnography monitoring. Chloral hydrate is still the most commonly chosen sedative agent; however, the use of chloral hydrate tends to decline and the use of dexmedetomidine and propofol tend to increase gradually with patient age. Propofol (15.5%) and inhaled anaesthetics (15.5%) are the most commonly used

rescue sedatives. Our study also shows that insufficient facilities are common; in many hospitals, there are no mandatory training requirements for the staff providing sedation. Our study also reveals the need for nationally accepted criterion for fasting and monitoring practices to improve the safety of moderate and deep sedation in China.

Most hospitals in China do not have dedicated sedation rooms or post-sedation recovery rooms. Although it is not specifically mentioned in the guidelines, sedation rooms and post-sedation recovery rooms are necessary so that sedation providers can properly monitor and manage paediatric patients. It is alarming that sedation rooms and post-sedation recovery rooms are established in only 24.1% and 32.8% hospitals, respectively. Considering the potential risks of moderate and deep sedation (e.g. vomiting, aspiration, hypotension, and apnoea), we believe that dedicated facilities should be available wherever sedation procedures are provided to ensure the safety of paediatric patients.

According to the National Institute for Health and Care Excellence (NICE) guidelines, staff involved in moderate and deep sedation, including physicians and nurses, should have knowledge of and competency in sedation drug pharmacology, assessment of children, monitoring, recovery care, and management of complications<sup>15</sup>. Moderate sedation and deep sedation require teamwork; although there is no specific requirement for the number of team members, all guidelines emphasize that sedation providers must be competent in life-support skills. The NICE guidelines suggest all providers of moderate and deep sedation should be trained in basic life-support, and at least one team member present for the duration of deep sedation should be competent in advanced life-support<sup>15</sup>. The AAP and AAPD also suggest at least one team member trained in advanced paediatric life support and skilled in airway management and cardiopulmonary resuscitation be present during deep sedation<sup>6,7</sup>. In our study, PBLS and PALS were required in only four (6.9%) and 10 (17.2%) hospitals, respectively. Most of the sedation providers in China have not undergone formal training. Our survey reveals a significant safety issue in the sedation service of the surveyed hospitals.

The NICE guidelines suggest that fasting is not needed for moderate sedation, during which the child maintains verbal contact with the sedation provider. For children who cannot maintain verbal contact (i.e. moderate-to-deep sedation) the 2-4-6 fasting rule should apply<sup>15</sup>. However, it is difficult to accurately control the depth of sedation in clinical practice due to differences in pharmacodynamics and pharmacokinetics. The necessity of pre-sedation fasting has long been debated. Many published studies have reported no association

between fasting duration and adverse events such as vomiting and pulmonary aspiration<sup>16-18</sup>. Schmitz and Ajuzieogu reported that gastric content volume did not correlate with fasting times in children and adolescents<sup>19-20</sup>. This evidence questions the necessity of pre-sedation fasting. A hungry child is usually irritable and difficult to pacify; a prolonged fasting time may be associated with an increased failure rate of moderate and deep sedation<sup>21</sup> and an increased incidence of vomiting<sup>22</sup>. Furthermore, the risk of hypovolemia and hypoglycaemia caused by prolonged fasting cannot be ignored in critically ill children. In these cases, a shorter fasting time should be considered. In our institution, milk is allowed 2 hours before procedural sedation for children with congenital heart disease. Adverse events such as vomiting and aspiration are extremely rare. Surely more experienced staff are needed to ensure the safety of these children, and rescue equipment must always be available, but we believe that the risks are controllable.

Insufficient monitoring is another problem of moderate and deep sedation in China. Monitoring of pulse oximetry, electrocardiography, non-invasive blood pressure, and end-tidal carbon dioxide during moderate and deep sedation is performed in 65.5%, 41.4%, 27.6%, and 13.8% of hospitals in magnetic procedures, respectively, and in 77.6%, 44.8%, 34.5%, and 15.5% of hospitals in nonmagnetic procedures, respectively. This reveals that the adoption of such monitors occurs less frequently than previously reported<sup>23</sup>. It is believed that end-tidal carbon dioxide monitoring is more sensitive than pulse oximetry for hypoxemic events during procedures<sup>24,25</sup>, and the latest ASA guidelines also state that continuous monitoring of ventilatory function with capnography should supplement standard monitoring<sup>9</sup>.

The choice of sedatives is another interesting topic. Chloral hydrate is the most commonly used first-choice sedative in our study because of its relatively benign clinical profile and low cost. Other sedatives intended for general anaesthesia (propofol and ketamine) and even inhaled anaesthetics are also used as first-choice in some hospitals. However, 10 years ago, chloral hydrate may have been one of the only options in China (another option may have been barbiturates) for paediatric moderate and deep sedation. There are two reasons for this. First, anaesthesiologists have gradually taken over paediatric moderate and deep sedation practice in China only in recent years. Sedatives intended for general anaesthesia and inhaled anaesthetics are not considered alternatives if anaesthesiologists are not present. Second, at that time, dexmedetomidine was not yet approved for paediatric clinical use in China. Now, dexmedetomidine is widely used as an ideal sedative in China. It can be easily administered intranasally, and children tolerate it well. Miller et al. reported that 2 and

3  $\mu\text{g}\cdot\text{kg}^{-1}$  of intranasal dexmedetomidine were as effective for transthoracic echocardiography sedation as oral chloral hydrate with similar sedation onset and recovery time in infants and toddlers<sup>14</sup>. Dexmedetomidine can also be used as a rescue sedative. After failed chloral hydrate sedation, the rescue success rate of dexmedetomidine increases in a dose-dependent manner<sup>11</sup>, and the effective dose 50 of dexmedetomidine for rescue increases with age in those younger than 3 years<sup>26</sup>. Compared with chloral hydrate, intranasal dexmedetomidine has a higher rescue success rate than a second dose of chloral hydrate after failed chloral hydrate sedation<sup>27</sup>. It should be noted that all references cited in this paragraph are the results of clinical trials for Chinese children. We believe that dexmedetomidine will be more popular as a safe, effective, and well-tolerated sedative in China in the future.

### *Limitations*

Although all tertiary children's hospitals and tertiary maternity and children hospitals registered with the National Health Commission of the People's Republic of China were asked to participate, 17 hospitals could not be contacted. Additionally, procedural sedation practices outside of the hospital setting were not captured in our study. However, the hospitals included in our study did represent the tertiary centres providing paediatric service in different provinces across China, and we believe that the study still sheds light on the state of sedation practice in China.

## **Conclusion**

Moderate and deep sedation practices, including facilities, staff structure, prerequisite skills, fasting practices, monitoring practices, and choice of sedatives, vary widely among the tertiary children's hospitals and tertiary maternity and children hospitals in China. Sedation providers in China should work together to establish and promulgate evidence-based sedation standards to improve the quality of sedation service in the country.

## Abbreviations

American Academy of Pediatrics (AAP); American Society of Anesthesiologists (ASA); American Academy of Pediatric Dentistry (AAPD); American College of Emergency Physicians (ACEP); Paediatric Advanced Life Support (PALS); Paediatric Basic Life Support (PBLIS); National Institute for Health and Care Excellence (NICE)

## Declarations

*Ethics approval and consent to participate: The study was approved by the ethics committee of Shanghai Children's Medical Center and granted an exemption from requiring ethics approval.*

*Consent for publication: Not applicable.*

*Availability of data and material: The questionnaire used in this study is attached as a supplementary at the end of the article. The datasets used and analysed during the current study are available from the corresponding author.*

*Competing interests: The authors have no conflicts of interest to declare.*

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*Authors' contributions:*

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## Tables

Table 1. Ratio of physicians to nurses for moderate and deep sedation in tertiary children’s hospitals and tertiary maternity and children hospitals in China.

Moderate and deep sedation (cases per year)	Hospitals ( <i>n</i> )	Ratio of physicians to nurses						
		<1:1	1:1	1:2	1:3	1:4	>1:4	Not specified
<1,000	36	19	2	0	1	0	2	12
1,000-5,000	12	1	2	2	0	2	0	5
5,000-10,000	0	/	/	/	/	/	/	/
>10,000	10	2	0	3	1	2	2	0

Table 2. Pre-sedation fasting requirements in China.

Pre-sedation fasting time	Solid food/milk	Clear liquids
2h	/	44.8%
4h	27.6%	43.1%
6h	37.9%	5.2%
8h	25.9%	/
>8h	1.7%	/
Not specified	6.9%	6.9%

Table 3. Monitoring devices used during moderate and deep sedation in China.

Monitoring devices	Percentage of monitoring events used during sedation	
	Magnetic procedures	Nonmagnetic procedures
Pulse oximetry	65.5%	77.6%
Electrocardiography	41.4%	44.8%
Noninvasive blood pressure	27.6%	34.5%
End-tidal carbon dioxide	13.8%	15.5%
Others	12.1%	5.2%

*Notes:* The proportions in Table 3 refer to the percentage of hospitals who use the relevant monitoring event during sedation.

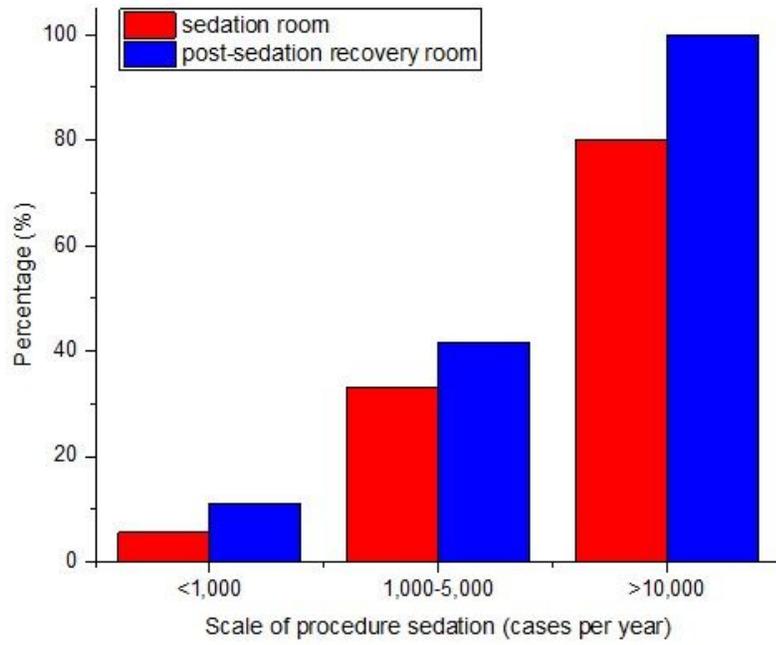
Table 4. Modes of pulse oximetry monitoring used during moderate and deep sedation in China.

Monitoring modes	Percentage of monitoring modes used during sedation	
	Magnetic procedures	Nonmagnetic procedures
Continuous	49.0%	53.7%
Intermittent		
every <5 min	8.1%	9.2%
every 5-10 min	10.2%	5.6%
every 10-15 min	/	3.7%
every >15 min	/	1.9%
Not specified	32.7%	25.9%

Table 5. Modified Observer’s Assessment of Alertness and Sedation Scale (MOAA/S)

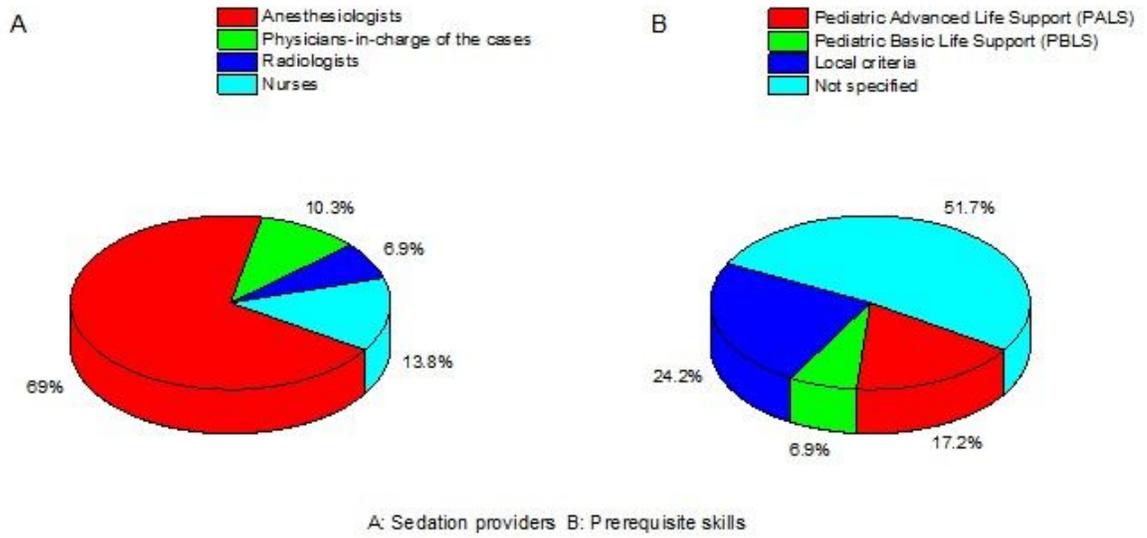
Score	Items
0	Dose not respond to a noxious stimulus
1	Dose not respond to mild prodding or shaking
2	Respond only after mild prodding or shaking
3	Respond only after name is called loudly and repeatedly
4	Lethargic respond to name spoken in normal tone
5	Appears asleep but responds readily to name spoken in normal tone
6	Appears alert and awake and responds readily to name spoken in normal tone

## Figures



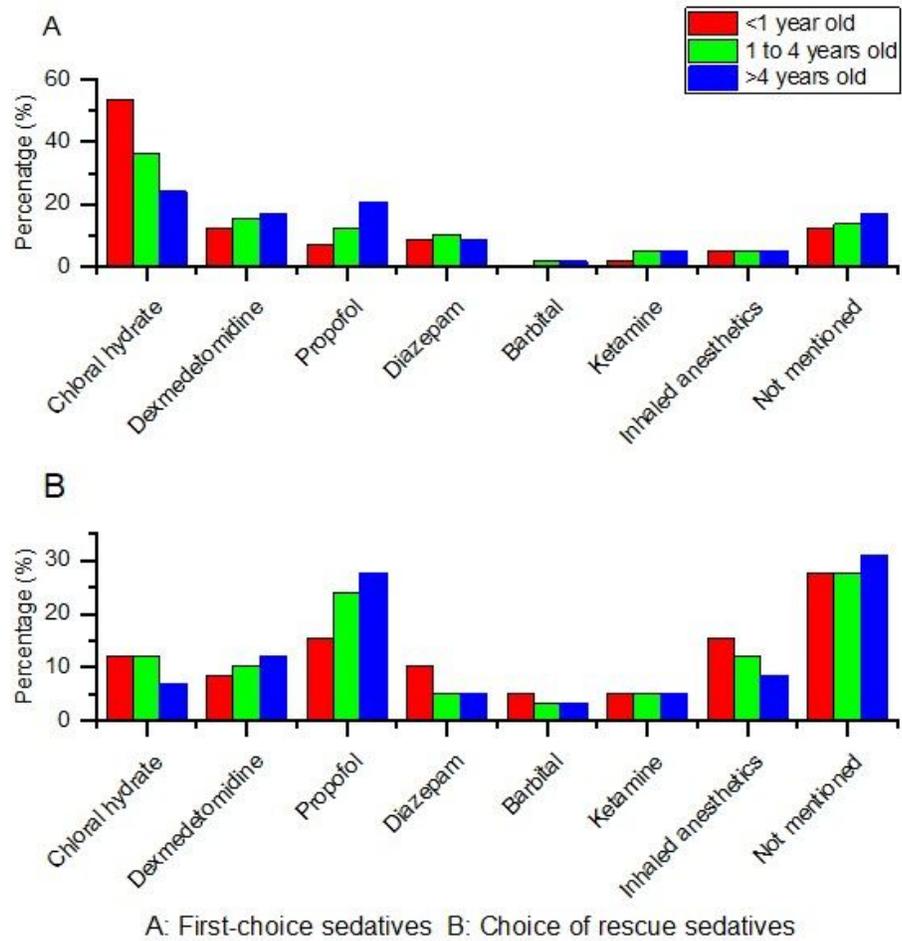
**Figure 1**

Scale of the facilities providing moderate and deep sedation in China.



**Figure 2**

Sedation providers and prerequisite skills for moderate and deep sedation in China.



**Figure 3**

Choice of sedatives for children of different ages in China.

## Supplementary Files

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