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Oxygen Deficit Area Spatial-temporal Heterogeneity in Bohai Sea: Formation and their Drivers

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2	Sea: Formation and their Drivers
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14	In recent years, the oxygen deficit in coastal seas has seriously affected the
15	marine ecological environment. Using a large number of observed data in May
16	and August from 2015 to 2018, we obtain the vertical distribution of DO and
17	related hydrological factors in the central section of the Bohai Sea by
18	three-dimensional spatial interpolation to analyze the variation characteristics

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and their potential changing drivers. The results show that there are two typical 19 oxygen deficit regions in the bottom water of the central Bohai Sea in summer, 20 21 which are located off Qinhuangdao (QHD) and off the Yellow River estuary (YRE). We analyze the main mechanisms for the formation of oxygen deficit 22 regions: continuous strong stratification and high temperature in summer as well 23 as the aerobic decomposition of organic matter produced after the death of the 24 massive phytoplankton in spring and summer during the slow sedimentation 25 process. In addition, the physical-biological mechanisms of QHD and YRE, the 26 27 two oxygen deficit core regions, are obviously different. This comparison highlights the influence of the initiation time of stratification enhancement and 28 phytoplankton species on the degree of oxygen deficit, which provides a new 29 30 understanding for perfecting the formation mechanism of oxygen deficit. Finally, two oxygen deficit regions are simply predicted, which highlights the seriousness 31 of the oxygen deficit in the Bohai Sea. 32

33 Dissolved oxygen (DO) is one of the important parameters of seawater chemistry and an important index of seawater quality. The occurrence of an oxygen deficit will have 34 a serious impact on the survival of marine organisms; for example, the diversity, 35 abundance and biomass of benthic communities will decline (Ekau et al., 2010). It 36 also leads to the formation of greenhouse gases (such as nitrous oxide and methane) 37 and toxic compounds (such as hydrogen sulfide) (Luther et al., 1991; Diaz and 38 Rosenberg, 2008; Naqvi et al., 2010). When water bodies suffer from extreme 39 hypoxia, ecosystem functions will be threatened or even destroyed (Naqvi et al., 40

2010), resulting in large numbers of benthic organisms dying and forming dead zones,
such as the Gulf of Mexico (Rabalais et al., 2002) and the Baltic Sea (Conley et al.,
2009).

Increased eutrophication of the ocean brought on by rising human activity in 44 recent years has increased the number of coastal regions with an oxygen deficiency. 45 46 At present, it is known that there are more than 500 nearshore regions in the world with DO contents lower than 2 mg/L, and the area (volume) and degree of hypoxic 47 areas are increasing (Breitburg et al., 2018). In addition, studies have also found that 48 49 low-oxygen areas are often accompanied by the occurrence of seawater acidification (Matear and Hirst, 2003; Carstensen et al., 2014), which poses a threat to 50 calcifications and causes serious loss of shellfish fisheries (Zhai et al., 2019). 51

52 Since the late 1950s, hypoxia and seawater acidification have occurred in the estuaries of large rivers, such as the Changjiang River (Wei et al., 2007; Lu et al., 53 2017; Wei et al., 2017; Zhou et al., 2017; Luo et al., 2018) and the Pearl River (Su et 54 al., 2017; Qian et al., 2018), in coastal China. There have been many studies and 55 analyses on the spatial and temporal distribution, variation and formation mechanism 56 of hypoxia in these regions. The Bohai Sea has been a newly formed oxygen deficit 57 58 area in the past two decades (Wei et al., 2019; Wei et al., 2021). Relevant research has demonstrated that the DO in the bottom layer of the Bohai Sea has a declining 59 tendency in the summer since the early 1980s (Wei et al., 2019). In August 2011, the 60 lowest DO value in the Bohai Sea was located near the northern shore of the Bohai 61

62	Sea, which was approximately 3.3-3.6 mg/L, and the pH value was 7.64-7.68 (Zhai et
63	al., 2012). In August 2014, DO concentrations below 3 mg/L and pH values below 7.8
64	were observed in the central Bohai Sea, with a spatial distribution of south and north
65	dual-core structures located offshore of Qinhuangdao (QHD) and the Yellow River
66	Estuary (YRE), respectively. The hypoxia area and volume were 756 $\rm km^2$ and 7820 \times
67	10 ⁶ m ^{3, respectively} (Zhang et al., 2016; Zhao et al., 2017). In early September 2015, the
68	lowest DO value of the bottom water in the Bohai Sea was observed, which was
69	approximately 2.11 mg/L (Zhai et al., 2019). The seasonal oxygen deficit in the Bohai
70	Sea is closely related to weak water exchange (Li et al., 2015), seasonal stratification
71	(Zhao et al., 2019), eutrophication (Wang et al., 2019) and nutrient structure
72	imbalance (Wei et al., 2021). At present, research on the control mechanism of
73	vertical transport (including temperature and stratification) on oxygen deficit in the
74	bottom layer of the Bohai Sea is still not complete, and there is a lack of relevant
75	research and analysis on the differences between the two oxygen deficit regions in the
76	Bohai Sea.

In this paper, the vertical distribution of DO and related hydrological factors in the central section of the Bohai Sea (from the Yellow River Estuary to northern Liaodong Bay) is obtained by three-dimensional spatial interpolation based on in situ observation data in spring (May) and summer (August) in the Bohai Sea from 2015 to 2018. On this foundation, we improve the effect of vertical transport on DO in the bottom layer by studying the influence mechanism of stratification and surface temperature. Meanwhile, a novel understanding of the formation mechanism of oxygen deficit is attained by systematically comparing the causes of different oxygen
deficits in YRE and QHD. Finally, the mean rate of respiratory oxygen consumption
of YRE and QHD is calculated by a one-dimensional box model, which is convenient
for the future prediction of oxygen deficit.

88 Data and methods

89 In situ observation

The Bohai Sea is a semi-enclosed shallow sea with an average depth of 90 approximately 18 m (Shang et al., 2016) and a total area of 77,000 square kilometres, 91 92 consisting of four regions: the central Bohai Sea, Liaodong Bay, Bohai Bay and Laizhou Bay (Figure 1). In summer, the Bohai Sea stratification starts to form in 93 spring and decreases in October as a result of the combined effect of seasonal sea 94 surface temperature and monsoon induction (Huang et al., 1999). Meanwhile, the 95 residual vein of the Yellow Sea Warm Current enters the Bohai Sea through the 96 northern part of the Bohai Strait and then gives rise to branches, one going west and 97 98 the other going north along the eastern coast of Liaodong Bay. Cyclonic circulation is 99 formed in the northern Bohai Sea, and anticyclonic circulation is formed in the central Bohai Sea. The northbound flow along the east coast of Liaodong Bay flows 100 southward on the west bank and then flows southward along the coast after pooling 101 with the coastal current. After passing through Laizhou Bay, the flow flows out of the 102 Bohai Sea along the Bohai Strait, forming a counterclockwise flow ring (Zhou et al., 103 104 2017). This results in a weak water exchange capacity with the open sea, and the

105

average retention time of seawater is approximately 1.5 years (Li et al., 2015).

In this study, based on the in situ observation data of the Bohai Sea in May and 106 August from 2015 to 2018, the linear radial basis function method was used to obtain 107 the global three-dimensional spatial data of the Bohai Sea. Sampling locations are 108 shown in Figure 1a and the number of observation points at different layers in each 109 110 characteristic month from 2015 to 2018 is shown in Table 1. On this basis, the section from the Yellow River estuary to the top of Liaodong Bay (called the central section 111 of the Bohai Sea) was selected for relevant research and analysis. There are 7 112 observation locations in the central section of the Bohai Sea, and the station 113 distribution and sampling depth are shown in Figure 1. Sampling data are obtained 114 from the National Marine Environment Monitoring. Among them, the temperature 115 116 and salt are measured by the conductivity-temperature-depth (CTD). The fluorescence method is used to measure chlorophyll (Chl-a), and the Winkler titration method is 117 used to quantify the dissolved oxygen (DO) on board. A pH meter was used on board 118 119 to measure pH. Dissolved inorganic nitrogen (DIN), dissolved inorganic phosphate (DIP), and dissolved silicon (DSi) were analyzed manually by spectrophotometry 120 onboard after filtering the samples using a cellulose acetate-fiber filter (Cui et al., 121 2010; Wei et al., 2019; Song et al., 2020). Element samples are transferred, stored and 122 analyzed in accordance with the Marine Monitoring Specifications (2007). 123





Figure 1. (a) Bathymetric survey of the Bohai Sea. The dark blue arrow is the circulation model of
the Bohai Sea in summer (Zhou et al., 2017), and the blue star is the distribution of all observation
locations. The solid red line and the red point are the section of the central Bohai Sea and the
location of the observation points (A1-A7). (b) The bottom topography of the section in the central
Bohai Sea and sampling depth of each location (black dots).

Table 1. The number of sampling points in the surface, middle and bottom layers in May and
August from 2015 to 2018 in the Bohai Sea.

	Surface Layer		Middle Layer		Bottom Layer	
	May	August	May	August	May	August
2015	486	522	101	129	65	44
2016	392	391	70	78	50	55
2017	436	440	92	99	83	83
2018	482	507	106	100	81	87

132 Spatial interpolation method

Spatial interpolation of the data is necessary to improve the data visualizationand make it more straightforward to calculate the buoyancy frequency and mean rate

of respiratory oxygen consumption. The radial basis function (RBF) method is not
limited by dimensionality and has good scatter interpolation accuracy. It can produce
continuous elevation and slope surfaces and limit the resulting surface bending to a
minimum, so it is widely used in scatter data interpolation (Hardy, 1971; Franke, 1982;
Vennell and Beatson, 2006; Ikechukwu et al., 2017; Nie et al., 2020).

The observed dataset is recorded as D(xi, yi, zi), i=1, 2..., n, where (x_i, y_i) is obtained by converting the latitude and longitude of point *i* into a plane cartesian coordinate system (using Miller map projection). z_i is the depth, and *n* is the number of observation points. Assuming an isotropic distribution of ocean elements, the calculation formula of the interpolation point $\tilde{D}(x_j, y_j, z_j)$ is as follows:

145
$$\widetilde{D}(x_j, y_j, z_j) = \sum_{i=1}^n \beta_{ij} \varphi(d_{ij}) + \lambda_1 + \lambda_2 x_i + \lambda_3 y_i + \lambda_4 z_i$$
(1)

146 where β_i , λ_1 , λ_2 , λ_3 and λ_4 are calculated according to the following formulas:

147
$$\begin{bmatrix} \beta \\ \lambda \end{bmatrix} = \begin{bmatrix} A & P \\ P^T & 0 \end{bmatrix}^{-1} \cdot \begin{bmatrix} D' \\ 0 \end{bmatrix}$$
(2)

148 where

149
$$\beta = \begin{bmatrix} \beta_{1} \\ \beta_{2} \\ \vdots \\ \beta_{n} \end{bmatrix}, \quad \lambda = \begin{bmatrix} \lambda_{1} \\ \lambda_{2} \\ \lambda_{3} \\ \lambda_{4} \end{bmatrix}, \quad P = \begin{bmatrix} 1 & x_{1} & y_{1} & z_{1} \\ 1 & x_{2} & y_{2} & z_{2} \\ \vdots & \vdots & \vdots & \vdots \\ 1 & x_{n} & y_{n} & z_{n} \end{bmatrix}, \quad D' = \begin{bmatrix} D'(x_{1}, y_{1}, z_{1}) \\ D'(x_{2}, y_{2}, z_{2}) \\ \vdots \\ D'(x_{n}, y_{n}, z_{n}) \end{bmatrix}$$
(3)
150
$$A = \begin{bmatrix} 0 & \varphi(d_{12}) & \varphi(d_{13}) & \cdots & \varphi(d_{1n}) \\ \varphi(d_{21}) & 0 & \varphi(d_{23}) & \cdots & \varphi(d_{2n}) \\ \vdots & \vdots & \ddots & \cdots & \vdots \\ \varphi(d_{n1}) & \varphi(d_{n2}) & \varphi(d_{n3}) & \cdots & 0 \end{bmatrix}$$
(4)

where d_{ij} is the Euclidean distance between (x_i, y_i, z_i) and (x_j, y_j, z_j) . $\varphi(d_{ij})$ is a basis function. The linear basis function $\varphi(d_{ij})=d_{ij}$ is employed in this paper (Nie et al., 2020). However, each element in the ocean has an anisotropic distribution, so after comparing the vertical and horizontal variability of each element, we add the weight of the vertical change rate $(z_i'=z_i/1000)$ in the calculation process to keep the change rate of each dimension as consistent as possible to obtain better interpolation results.

157 **Buoyant frequency**

The strength of the water column stratification is usually represented by the square of the buoyancy frequency (N^2), when $N^2 >= 10^{-3}$ (unit per square second, s⁻²), representing the presence of a strong density pycnocline in the water column (Song et al., 2020; Wei et al., 2021). The square of the buoyancy frequency is calculated as follows (Gill and Adrian, 1982):

163
$$N^2 = -\frac{g}{\rho} \frac{d\rho}{dz}$$
(5)

164 where g is the acceleration of gravity, ρ is the density of water, and $d\rho/dz$ is the 165 rate of change of density ρ with depth z.

166 Apparent oxygen utilization

Apparent oxygen consumption (AOU) is generally considered to be related to community metabolism (Zhai et al., 2019), assuming that water starts from a fully saturated state and ignoring the effects of air-ocean exchange and water mixing. AOU > 0 indicates a dissolved oxygen deficit in the water column, and AOU < 0 indicates
supersaturation of dissolved oxygen in the water column (Chen et al., 2022). AOU is
calculated by Eq. (6) to show the deviation between the measured oxygen level and
saturation concentration (Zhao et al., 2017):

$$AOU = [O_2]_{eq} - [O_2]_{mea} \tag{6}$$

where $[O_2]_{eq}$ and $[O_2]_{mea}$ are the solubility of DO in equilibrium with the atmosphere and DO concentration measured in the field, respectively.

177 $[O_2]_{eq}$ is calculated by the Weiss equation, and the DO solubility calculated by 178 this method is only affected by temperature and salt. The specific formula is as 179 follows (Weiss 1970; Garcia and Gordon, 1992):

180
$$DO(\%) = A1 + A2 \cdot \frac{100}{T + 273.15} + A3 \cdot \log(\frac{T + 273.15}{100}) + A4 \cdot \frac{T + 273.15}{100} + S \cdot (B1 + B2 \cdot \frac{T + 273.15}{100} + B3 \cdot \frac{(T + 273.15) \cdot (T + 273.15)}{10000})$$
(7)

181 where DO(%) is the saturation of dissolved oxygen, and T and S are the 182 corresponding temperature and salinity, respectively. A1 is -173.4292, A2 is 249.6339, 183 A3 is 143.3483, A4 is -21.8492, B1 is -0.033096, B2 is 0.014259, and B3 is -0.0017. 184 Eq. (7) only calculates DO saturation, and the concentration of DO corresponding to 185 saturation should also be calculated according to $DO=e^{DO(\%)}$. Then, the unit is 186 converted from ml/L to mg/L according to Eq. (8), and $[O_2]_{eq}$ is finally obtained.

187
$$DO_{(mg/L)} = DO_{(mlL)} \cdot \frac{AirP}{T + 273.15} \cdot 0.5513$$
 (8)

188 where *AirP* is set as a constant air pressure in millimeters of mercury (mmHg).

189 Mean rate of respiratory oxygen consumption

190 Nearshore oxygen deficit is usually considered to be the result of aerobic decomposition of organic matter in the bottom water or surface of sediments (Su et al., 191 2017), so estimating the oxygen consumption rate is of great significance for the 192 analysis and prediction of oxygen deficit. A one-dimensional box model is designed to 193 calculate the mean rate of respiratory oxygen consumption (MROC) below the 194 pycnocline in the central section of the Bohai Sea. According to previous studies, 195 oxygen deficits in the central section of the Bohai Sea mainly occur in the YRE and 196 197 QHD. The effects of horizontal diffusion and convection on DO will be disregarded when estimating the MROC of the water column below the pycnocline because the 198 bottom water in these two regions is located in the south and north grooves of the 199 central Bohai Sea, where water exchange is weak and the change in DO concentration 200 is local (Zhao et al., 2017; Wei et al., 2019). The calculation formula is as follows: 201 202

$$\frac{\partial DO}{\partial t} = \frac{\partial}{\partial z} \left(K_v \frac{\partial DO}{\partial z} \right) + Q \tag{9}$$

where $\frac{\partial DO}{\partial t}$ is the time variation term of DO in the water body, $\frac{\partial}{\partial z}(K_v \frac{\partial DO}{\partial z})$ is the vertical turbulent diffusion term of DO, K_V is the vertical turbulent diffusion coefficient, and Q is the DO consumption term caused by aerobic decomposition in the water body and sediment. Since the vertical turbulent diffusion coefficient is not observed, data from previous research literature are used. During weak stratification, the vertical turbulent diffusion coefficient is set as 10^{-5} m²/s. During strong stratification, the vertical turbulent diffusion coefficient is set as 10^{-6} m²/s (Xu et al., 2020). By vertical integration of the water body from the seabed to the pycnocline in Eq. (9), the box model shown in Eq. (10) is obtained.

$$\int_{-h}^{-z_b} \frac{\partial DO}{\partial t} dz = \left(K_V \frac{\partial DO}{\partial z}\right)_{-z_b} + \int_{-h}^{-z_b} Q dz \tag{10}$$

As there are only three layers of data in the observation data, it is necessary to 213 use the three-dimensional spatial interpolation results for calculation. The DO deficit 214 is calculated using the depth integral difference (from the bottom to the pycnocline) of 215 the time variation terms $\frac{\partial DO}{\partial t}$ of the water below the pycnocline in May and August. 216 In Equation (10), $(K_v \frac{\partial DO}{\partial z})_{-z_b}$ is the vertical diffusion flux (VDF) of DO through the 217 pycnocline. After obtaining the DO deficit and the vertical DO diffusion flux across 218 the pycnocline from May to August, the MROC $\int_{-h}^{-z_b} Q dz$ in the water and sediment 219 220 below the pycnocline can be calculated.

221 **Results**

212

The complete three-dimensional spatial fields of DO and other hydrological factors in the Bohai Sea in May and August from 2015 to 2018 are obtained based on the linear basis function spatial interpolation method, and the vertical distribution results of each factor in the central section of the Bohai Sea are obtained.

The vertical distribution of temperature in the central section of the Bohai Sea is shown in Figure 2. In May, the temperature in the bottom layer is slightly lower than that in the surface layer, and the water column appears weakly stratified. In August, the thermocline appeared at stations A2 (YRE) and A4 (QHD), and the temperature difference between the surface and bottom layers could reach more than 7°C. The overall variation in salinity in the central section of the Bohai Sea is small, ranging from 29.42 to 32.98.

The buoyancy frequency N^2 of the central section of the Bohai Sea in May and 235 August from 2015 to 2018 is calculated using temperature and salinity data. N^2 236 represents the stability of the water body; when $N^2 > 10^{-3} \text{ s}^{-2}$, it indicates that the water 237 is stable and there is strong stratification (Song et al., 2020; Wei et al., 2021). The 238 vertical distribution of $log_{10}(N^2)$ of the central section in the Bohai Sea (Figure 2) 239 shows that the water is unstable in May and that mixing is a constant activity. In 240 August, strong stratification appeared in the central section of the Bohai Sea, 241 especially at stations A2 and A4, and the depth of the mixed layers was basically 9-13 242 243 m.

In summary, the central section of the Bohai Sea exhibits weak stratification in spring. Meanwhile, due to the similar vertical distribution of N^2 and temperature and the smaller variation in salinity compared with temperature, water stratification in the central section of the Bohai Sea is mainly controlled by temperature in summer.



Figure 2. (a-h) The first and second rows show the vertical distribution of temperature in May and August from 2015 to 2018. (i-p) The third and fourth rows show the vertical distribution of the square logarithm ($log_{10}N^2$) of buoyancy frequency in May and August from 2015 to 2018. Scatter is the observed data.

The vertical distribution of Chl-*a* concentration in the central section of Bohai Sea (Figure 3) shows that the Chl-*a* concentration in May is generally higher than that in August, and the high Chl-*a* concentration regions are generally located in the coastal water, A2 and A4 stations, with the maximum value exceeding 5 μ g/L. According to the spatial-temporal distribution of Chl-*a* concentrations, high phytoplankton biomass in the central section of the Bohai Sea mainly existed in the YRE and QHD regions in spring and summer.



Figure 3. Vertical distribution of Chl-*a* concentrations in May and August from 2015 to 2018.

262

Scatter is the observed data.

Analysis of DO and pH variation characteristics in the central section of the Bohai Sea

The vertical distributions of DO and AOU in the central section of the Bohai Sea 265 are shown in Figure 4. The results show that in May, the DO of surface water and 266 bottom water are basically the same, and the AOU is basically less than 0, which 267 means that the whole water column of the central section of the Bohai Sea is in the 268 269 state of supersaturation of DO in spring. In August, there is a clear stratification of DO concentration in the water column, and the DO in the bottom layer decreases 270 rapidly. In the limited observations, the lowest DO concentration was found to be 271 below 4 mg/L. Meanwhile, the AOU of the surface layer is close to or less than 0, 272 while the AOU of the bottom layer is greater than 0, and the maximum value is higher 273 than 3 mg/L. As can be observed, summertime DO in surface water is still saturated, 274 275 while the bottom water experiences an oxygen deficiency.



Figure 4. (a-h) The first and second rows show the vertical distribution of DO in May and August
from 2015 to 2018. (i-p) The third and fourth rows show the vertical distribution of AOU in May
and August from 2015 to 2018. Scatter is the observed data.

The vertical distribution of pH (Figure 5) is not completely consistent with that of DO, but there is a certain similarity. In May, the pH of the surface and bottom water is essentially the same. In August, the pH of the bottom water shows a significant decrease, and the pH of the A2 and A4 stations is the lowest, approximately 7.9. The same changes in DO and pH in the bottom layer in summer indicate that there is good coupling between them. When an oxygen deficit occurs in water, water acidification often occurs.



Figure 5. Vertical distribution of pH concentrations in May and August from 2015 to 2018. 288

Comparing the vertical distribution of DO, pH, and temperature in the central 289 Bohai Sea reveals that, despite the water column's weak stratification in the spring, 290 291 the overall mixing is intense, resulting in oxygen supersaturation and high pH values in the bottom layer of the water column. However, when stratification occurs in the 292 293 water column in summer, the DO corresponding to the bottom cold water decreases significantly and is in an oxygen deficit state, and the pH also decreases, especially in 294 the YRE and QHD regions. This suggests that oxygen deficiency in the bottom layer 295 of the central region of the Bohai Sea is caused by stratification, which is a significant 296 physical condition. 297

Meanwhile, compared with the vertical distribution of Chl-a concentration, it can 298 be seen that the DO concentration in the area with high Chl-a concentration is usually 299 higher, while the DO and pH in the corresponding bottom water are lower. The 300 findings indicate that phytoplankton photosynthesis contributes to the DO of water in 301 a certain way but that the aerobic decomposition of organic matter caused by 302

phytoplankton death would also deplete the DO in the water bodies and cause it tofall.

Later, we will further analyze and compare the formation mechanism of oxygen deficit between YRE and QHD to reveal the reasons for the difference in the degree of oxygen deficit between these two regions.

308 Discussion

The research in Section 3 indicates that temperature, stratification, and biological processes all work together to govern the incidence of oxygen deficit in the YRE and QHD in the summer. The generation mechanism of the oxygen deficit in the central Bohai Sea will then be further examined, as well as the differences between YRE and QHD's oxygen deficits.

Effects of stratification, temperature and salinity on DO in oxygen-depleted regions

According to the above analysis, stratification is an important physical condition for the occurrence of oxygen deficit in the bottom layer. Figure 6a shows that stratification in summer is stronger than that in spring, and the corresponding bottom DO is significantly lower than that in spring. Meanwhile, correlation analysis of DO concentration and stratification strength in the bottom water shows that they are negatively correlated, with a correlation coefficient of -0.67 (p < 0.01), indicating that DO concentration in the bottom water basically decreases with the enhancement of water stratification, which is consistent with previous studies (Zhao et al., 2017; Wei et al., 2019). These results indicate that the occurrence of stratification impedes the vertical transport of DO from the surface to the bottom (Zhao et al., 2017). Moreover, previous studies have shown that water column stratification often occurs before hypoxia (Zhang et al., 2022). Therefore, the occurrence of stratification is not only an important physical condition but also a prerequisite for the occurrence of oxygen deficit.

In addition, the N^2 corresponding to DO in the bottom layer below 5 mg/L is 330 approximately 0.001-0.004 s⁻², and the N^2 corresponding to the lowest DO 331 concentration (3.58 mg/L) is approximately 0.002 s⁻². This means that when the 332 degree of oxygen deficit is the highest, the corresponding stratification strength is not 333 the strongest, which means that when the stratification strength reaches a certain 334 strength, even though it continues to increase, the underlying oxygen deficit degree 335 does not necessarily continue to decrease. In particular, Zhang et al. (2022) showed 336 337 that the longer the duration of the pycnocline, the lower the DO concentration in the bottom layer. 338

Compared with previous studies, the control process of stratification on underlying DO is further improved. Before water stratification reaches a certain strength, the concentration of bottom DO decreases with increasing stratification, while after it reaches a certain strength, the influence of continuous enhancement of stratification strength on bottom DO will be weakened. In addition, the duration of

stratification may be an important factor in the continued decrease in bottom DO.
With more observations in the future, this stratification intensity threshold can be
defined to further understand the formation mechanism of oxygen-depleted regions.

In addition to stratification, surface DO concentration is another important factor 347 affecting the vertical transport of DO from the surface to the bottom. Warming sea 348 349 water and increasing salinity will decrease oxygen solubility, resulting in a decrease in DO concentration (Carstensen et al., 2014; Schmidtko et al., 2017). The T-S diagram 350 351 of DO concentration drawn based on the observed data (Figure 6b) shows that, under 352 a certain salinity, DO concentration in water decreases significantly with increasing temperature, while under a certain temperature, DO concentration changes little with 353 increasing salinity. Therefore, the influence of temperature on DO is much greater 354 355 than that of salinity. However, due to the aerobic decomposition of organic matter, the bottom water is extremely unsaturated (Zhao et al., 2017; Song et al., 2020), and the 356 bottom temperature has shown a downward trend in recent decades (Wei et al., 2019), 357 358 so the direct effect of temperature increase on bottom DO is small.

However, this does not mean that temperature increase has no indirect effect on bottom DO. Correlation analysis of the surface DO concentration and VDF at stations A2 and A4 shows that they are positively correlated (Figure 6c), indicating that the higher the surface DO concentration is, the greater the VDF of DO and the higher the supplementary amount of DO to the bottom. Therefore, when the surface water temperature increases in summer, the VDF of DO decreases with the decrease in

surface DO, which eventually leads to the decrease in DO transported from the 365 surface to the bottom. At present, the global average surface temperature has 366 367 increased by 2.0°C or more since preindustrial times (Hoegh-Guldberg et al., 2019), and it is predicted that global ocean temperatures are expected to rise by 1-4°C by 368 2100 (Alfonso et al., 2021). In the Bohai Sea, sea surface temperatures are sensitive to 369 global warming because of its shallow depth, at almost twice the global average rate 370 (Wei et al., 2020). According to the Weiss equation (Eq. 3), the influence of 371 temperature and salinity changes on DO concentrations in surface water was 372 373 quantified. The results show that DO decreases by 0.17 mg/L for every 1°C increase in temperature. For every 1 PSU increase in salinity, DO decreased by 0.05 mg/L. 374 Therefore, under the future global warming scenario, the increase in water 375 376 temperature in the Bohai Sea will lead to a decrease in surface DO concentrations by 0.34-1.36 mg/L. This will greatly reduce the amount of DO replenishment transported 377 from the surface water to the bottom water, thus exacerbating the oxygen deficit in the 378 bottom water of the Bohai Sea in summer. 379

At present, most studies on oxygen deficit in the Bohai Sea ignore the indirect effect of temperature on bottom layer DO. The study in this paper shows that with increasing surface temperature, the surface DO concentration decreases, and the VDF decreases, which eventually leads to a decrease in DO addition transported from the surface to the bottom water. This result improves the formation mechanism of the oxygen deficit in the Bohai Sea. On this basis, the influence of temperature on surface DO is quantified, which plays an important role in further predicting the degree of



Figure 6. (a) Relationship between the log of buoyancy frequency $(\log_{10}(N^2))$ and bottom DO 389 concentration of stations A2 and A4 in May and August from 2015 to 2018. Red circles represent 390 391 May, and green circles represent August. The solid black line is the regression line. The black 392 dashed line shows the value corresponding to the strong stratification. (b) T-S diagram relative to 393 DO concentration. The triangle is the surface DO concentration of the central section of the Bohai Sea from 2015 to 2018, and the circle is the bottom DO concentration. (c) Correlation analysis 394 395 between surface DO concentration and vertical diffusion flux. The solid black line is the 396 regression line.

397 Influence of phytoplankton on DO

Phytoplankton influence DO in water through biological processes. AOU is considered to be closely related to biological activities in the ocean (Zhao et al., 2017; Zhai et al., 2019), so the influence of phytoplankton on DO in water is discussed by analyzing the relationship between AOU and Chl-*a*. The correlation analysis of Chl-*a* and AOU above the pycnocline (Figure 7a) shows that they are negatively correlated in May with a correlation coefficient of -0.55 (p < 0.01), indicating that if the

phytoplankton biomass is higher, the water body DO supersaturation degree is higher. 404 However, in August, the correlation between AOU and Chl-a concentration was poor, 405 406 which may be due to the lower biomass of phytoplankton in August than in May, resulting in the limited effect of phytoplankton photosynthesis on DO concentration in 407 water. According to the correlation analysis of Chl-a concentrations above the 408 pycnocline and AOU below the pycnocline (Figure 7b), the correlation between them 409 is poor in May, with the correlation coefficient only being -0.36, while the correlation 410 coefficient reaches -0.75 in August (p < 0.01). There may be a certain lag correlation 411 412 between the two, which may be related to the time required for the death, settlement and decomposition of phytoplankton. At the same time, the negative correlation 413 between them also indicates that aerobic decomposition of organic matter produced 414 415 by phytoplankton death will consume a large amount of DO in the bottom water. The correlation between AOU and pH below the pycnocline (Figure 7c) shows that there 416 is no obvious correlation between them in May, but there is a negative correlation in 417 August, with a correlation coefficient of -0.48 (p < 0.01), indicating that acidification 418 appears in water with the increase in oxygen deficit. 419

In conclusion, although phytoplankton can produce DO through photosynthesis, it has limited influence on DO in water in summer. After dying and settling, it will simultaneously consume a large amount of DO in the bottom water through aerobic bacterial decomposition and release a large amount of CO₂, which will reduce the pH of the water. These results are consistent with previous studies, which indicate that aerobic respiration of organic matter is the main process of DO consumption in the

bottom water of the Bohai Sea (Zhao et al., 2017; Zhai et al., 2019), and the organic 426 matter is mainly derived from phytoplankton (Liu et al., 2015). At the same time, the 427 428 dead and sinking times of phytoplankton may have an important influence on bottom DO consumption. Since the 21st century, the abundance ratio of dinoflagellates to 429 diatoms in the Bohai Sea has increased and been miniaturized. The detrital new 430 dominant species of dinoflagellates and microcellular and nanocellular algae have the 431 characteristics of slow sinking speed and long residence time, which are conducive to 432 the high oxygen consumption of water and finally lead to the enhancement of water 433 434 oxygen consumption and a decrease in DO concentration (Wei et al., 2021).



Figure 7. (a) Correlation analysis of AOU and Chl-*a* concentrations above the pycnocline. (b)
Correlation analysis of AOU below the pycnocline and Chl-*a* concentration above the pycnocline.
(c) Correlation analysis of AOU and pH below pycnocline.

439 Difference analysis of oxygen deficit in the central section of the Bohai Sea

440 There are two oxygen deficit regions in the central section of the Bohai Sea,441 namely, the YRE and QHD. There is a difference in the degree of oxygen deficit

between the two core areas. This section will analyze the reasons for the difference
between the two core areas of oxygen deficit located in the same bay and make a
simple prediction of the oxygen deficit in these two areas.

The change in DO at the bottom of stations A2 and A4 from August 2015 to August 2018 shows (Figure 8a) that the DO concentration at the bottom of station A2 was significantly lower than that at station A4 in the previous three years, while that at station A4 was lower than that at station A2 in 2018. The changes in VDF and bottom DO are not completely consistent, but MROC and bottom DO are completely consistent, which indicates that the bottom oxygen deficit degree of stations A2 and A4 is mainly controlled by MROC, and VDF is a secondary influencing factor.

452 The VDF represents the amount of DO that the surface water can add to the 453 bottom water. Since the VDF in summer was significantly lower than that in spring (Figure 8b), the effect of the VDF in summer on the difference in the oxygen deficit in 454 the bottom layer between the YRE and QHD was not considered. According to the 455 456 change in the VDF over time, although the VDF of station A2 was lower than that of station A4 in 2015 and 2018, the two stations were comparable, while in 2016 and 457 2017, the VDF of station A4 was twice that of station A2. This suggests that the 458 459 vertical transport capacity of station A4 is similar to or perhaps greater than that of station A2. 460

461 According to the above analysis, the VDF is mainly controlled by temperature 462 and stratification. The changes in stratification and temperature over time show that

the stratification intensity at station A2 is higher than that at station A4 in May, while 463 the increase in surface temperature at station A4 is higher than that at station A2 from 464 465 May to August. The above results show that the effect of vertical transport on the oxygen deficit in the bottom layer is mainly affected by the initial time of 466 stratification enhancement. The earlier the stratification enhancement is, the less DO 467 is transported from the surface layer to the bottom layer, which will lead to the lower 468 DO concentration in the bottom layer in summer. A change in surface temperature is a 469 secondary factor. 470

471 Stratification is not only necessary for the formation and maintenance of oxygen deficits in the Bohai Sea but also plays an important role in low oxygen regions such 472 as the Changjiang Estuary, Mississippi Estuary and Chesapeake Bay (Rabouille et al., 473 474 2008; Irby et al., 2016), so a comprehensive stratification analysis is needed. By comparing the effects of stratification on YRE and QHD, it can be seen that not only 475 the intensity and duration of stratification should be considered when analysing the 476 477 effects of stratification on oxygen-depleted regions (Zhao et al., 2017; Zhang et al., 2022), but the influence of the initial time of water stratification enhancement on the 478 degree of oxygen deficit in different regions should also be taken into account. 479

According to the above analysis, MROC is the main factor leading to hypoxia at the bottom, which implies the importance of biological processes. By comparing the Chl-*a* concentration between stations A2 and A4 (Figure 8c), it can be seen that the Chl-*a* concentration at station A2 is basically higher than that at station A4, indicating

that the phytoplankton biomass at station A2 is higher than that at station A4. 484 Meanwhile, previous studies have shown that phytoplankton species also influence 485 486 the degree of oxygen depletion in the water column (Wei et al., 2021). The growth and competition of phytoplankton are related to nutrient structure. High DIN 487 concentrations and high N/P ratios are favorable for dinoflagellate growth (Glibert et 488 al. 2013; Xiao et al. 2018). The nutrient structure of stations A2 and A4 (Figure 8d) 489 shows that the DIN/DIP at station A2 is higher than that at station A4, while the 490 DSi/DIP values at both stations are similar, indicating that the dinoflagellate and 491 492 diatom ratios at station A2 are higher than those at station A4.

Song et al. (2020) showed that water column respiration (> 60%) is higher than 493 sediment respiration (< 40%), meaning that the longer the phytoplankton sinks in the 494 495 water column, the more DO is consumed. In addition, due to the small size of some dominant species of dinoflagellates, the sinking speed is slow, so their massive 496 reproduction will increase oxygen consumption in the water column (Wei et al., 2021). 497 498 Based on previous studies, we analyzed the biological factors contributing to the difference in oxygen deficit between YRE and QHD. The result reveals that the 499 phytoplankton biomass of the YRE is higher than that of the QHD, which would lead 500 to higher oxygen consumption in the bottom water of the YRE than that of the QHD. 501 Meanwhile, because the proportion of dinoflagellates with small sizes and slow 502 sinking in the YRE may be higher than that in the QHD, the oxygen deficit in the 503 YRE water column will be further aggravated than that in the QHD. Therefore, for the 504 YRE area, it is necessary to further strengthen the control of water eutrophication in 505



Figure 8. (a) The variation in DO in the bottom layer in August, the sum of vertical diffusive fluxes (unit: mg/m²/d) in May and August, and the mean rate of respiratory oxygen consumption (unit: mg/m²/d) from May to August from 2015 to 2018 at stations A2 and A4. (b) The variation in vertical diffusive fluxes, intensity of stratification $log_{10}N^2$ and temperature with time from 2015 to 2018 at stations A2 and A4. (c) Chl-*a* concentration changes with time at stations A2 and A4. (d) Changes in the DIN/DIP ratio and DISi/DIP ratio in water above the pycnocline at stations A2 and A4 over time. The circle and triangle in the figure are the data of stations A2 and A4, respectively.

According to the changes in bottom DO and MROC, the changes in bottom DO at stations A2 and A4 are consistent with MROC. Therefore, the oxygen deficit of stations A2 and A4 can be simply predicted by calculating the MROC. From 2015 to 2018, the MROC in the bottom water and sediment below the pycnocline at stations A2 and A4 from May to August basically increased year by year, except for a slight decrease at station A2 in 2018. At the same time, although the oxygen deficit degree

521	of station A4 was lower than that of station A2 from 2015 to 2017, the underlying
522	oxygen deficit degree of station A4 exceeded that of station A2 with the increase in
523	MROC in 2018. Compared with other hypoxic regions (Table 2), the magnitude of the
524	MROC at stations A2 and A4 was consistent with the Changjiang Estuary, the Oregon
525	Shelf, and the northern Gulf of Mexico (Lehrter et al., 2012; Reimers et al., 2012;
526	Zhou et al., 2017). At present, the oxygen deficit degree of stations A2 and A4 is
527	slightly lower than that of other hypoxic areas in the world, but if the current trend
528	continues, the oxygen deficit degree of stations A2 and A4 will be further aggravated.

529 Table 2. Oxygen consumption rate of sediments in different areas $(mg/m^2/d)$ and oxygen

530

consumption rate of water column respiration below the pycnocline $(mg/m^3/d)$.

Devier	Oxygen consumption rate of the	Rate of oxygen consumption in the	
Region	sediment	water column below the pycnocline	
Changjiang Estuary	432-768	120-3600	
Oregon Continental Shelf	102-314	_	
Northern Gulf of Mexico	352-832	1484-3344	

531 Conclusion

532	Based on the observed data of temperature and salinity, Chl-a, nutrients, DO and
533	pH in the Bohai Sea during May and August from 2015 to 2018, the linear radial basis
534	function is used for three-dimensional spatial interpolation to obtain the vertical
535	distribution of DO and related hydrological factors in the central section of the Bohai
536	Sea. Two oxygen deficit regions in the central Bohai Sea in summer are located in the
537	YRE and QHD. Analysis and research results show that the water column exhibits

weak stratification in spring, water mixing is strong, and the surface and bottom water are in a state of oxygen supersaturation. In summer, the stratification is enhanced, and the vertical transport is weakened. At the same time, the organic matter produced by the dead phytoplankton consumes a large amount of DO in the sedimentation process, which leads to the occurrence of an oxygen deficit in the bottom layer of the central section of the Bohai Sea.

Currently, both horizontal and vertical transport in the oxygen-depleted region of 544 the Bohai Sea have been studied (Zhao et al., 2017; Zhang et al., 2022). This study 545 further supplements the control mechanism of vertical transport on bottom layer DO, 546 indicating that the intensity of stratification, the duration of stratification and the onset 547 time of stratification enhancement jointly affect the oxygen deficit in the bottom layer. 548 549 At the same time, biological influences on bottom DO are highlighted, showing that bottom oxygen consumption is significantly influenced by both phytoplankton 550 biomass and its species. In addition, the discussion of the oxygen deficit degree in 551 552 different regions can provide a certain reference value for the targeted improvement of water quality in different areas. Finally, the one-dimensional box model is used to 553 calculate the mean rate of respiratory oxygen consumption, which is of great 554 significance for the prediction of hypoxia in coastal areas. 555

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560 Data availability

The datasets used and/or analysed during the current study available from the corresponding author on reasonable request, Zhang Shufang, Email: sfzhang@nmemc.org.cn.

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