

1 **Reactional Ultrasonic Systems And Microwave Irradiation For Pretreatment Of Agro-**  
2 **Industrial Waste To Increase Enzymatic Activity**

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25 **HIGHLIGHTS**  
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- 27 ● The macropoint causes greater energy dissipation in less time;  
28 ● Swine hair without pretreatment is more efficient in terms of residue degradation;  
29 ● Reaction systems are promising in terms of increasing enzyme activity;  
30 ● The enzyme concentration technique increases enzymatic activity;  
31 ● Homemade enzymes have great potential for degradation of keratinous residues.

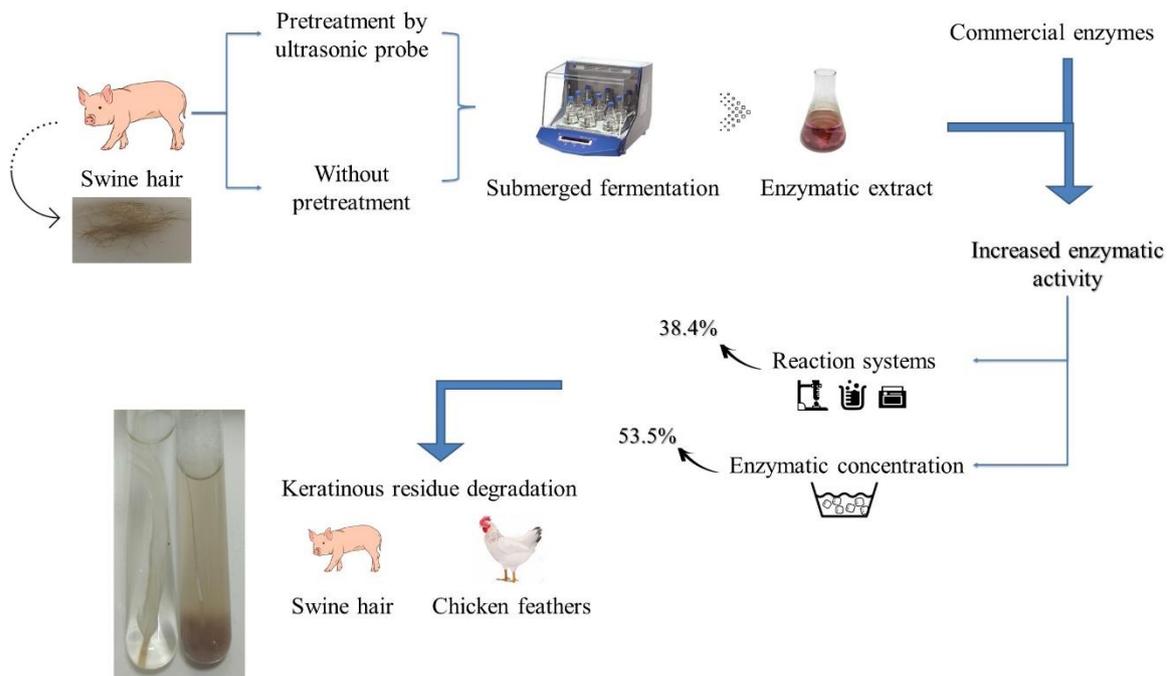
32 **Abstract**

33 Pretreatment of keratinous residues using an ultrasonic reaction system provides greater  
34 enzymatic production in less time. This is a promising technology for measuring enzyme

35 activity and microwave processes. In the present work, an ultrasonic probe reaction system was  
36 used to evaluate the potential of swine hair pretreatment. The pretreated material was  
37 submerged with non-pretreated residues for 9 days to obtain the enzyme. Enzyme activity was  
38 measured in the extracts obtained using the ultrasonic probe, ultrasonic bath, and microwave.  
39 We also used the enzymatic concentration technique with NaCl and acetone. Homemade  
40 enzymatic extracts were evaluated for their ability to degrade swine hair and chicken feathers  
41 by comparing them with the activities commercial enzymes. Macrobeads gave greater energy  
42 dissipation in less time, providing greater enzyme activity (50.8 U/mL over 3 days). In terms  
43 of waste degradation, non-pretreated swine hair was more promising. The ultrasonic probe  
44 reaction system had the potential to evaluate increased enzyme activity (38.4% relative activity)  
45 and the enzyme concentration increased activity by 53.5%. The homemade enzymatic extract  
46 showed promise for degradation of keratinous residues.

47 **Keywords:** Reaction system, Keratin residues, Biotechnological processes.

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66 **Graphical Abstract**



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## 68 1. Introduction

69 Keratinous waste is generated in large quantities; managing this waste is made difficult  
 70 by its resilient structure (Onifade et al, 1998; Łaba et al. 2015). These residues consist of fibrous  
 71 proteins such as keratins that are resistant to physical, chemical and enzymatic actions  
 72 (Korniłowicz-Kowalska and Bohacz 2011). When found in their solid state, the structure of  
 73 the keratin increases its stiffness because of cysteine bonds (Barone et al. 2006), as well as by  
 74  $\alpha$  and  $\beta$ -keratin chains and disulfide bonds (Onifade et al. 1998). Alpha-keratin can be found in  
 75 materials such as hair, fur, nails, hooves, and animal horns, while  $\beta$ -keratin is present in scales,  
 76 bird feathers, and beaks (Korniłowicz-Kowalska and Bohacz 2011; Mazotto et al. 2011; Ire  
 77 and Onyenama 2017).

78 One way to promote the degradation of these residues is via biotechnological processes,  
 79 particularly degradation through the action of specific enzymes such as keratinases (Gupta and  
 80 Ramnani 2006; Brandelli 2008; Brandelli, Daroit and Riffel 2010; Gegeckas et al. 2018).  
 81 Keratinases (EC 3.4.21/24/99.11) are a class of proteases that hydrolyze keratin, an advantage  
 82 over other proteases (Brandelli, Daroit and Riffel 2010; Gegeckas et al. 2018). These enzymes  
 83 have applications such as biotechnology (Okoroma et al. 2012; Mazotto et al. 2013; Paul et al.  
 84 2014; Brandelli, Sala and Kalil 2015) and waste degradation (Fang et al. 2013a; Yusuf et al.  
 85 2016; Su et al. 2017; Abdel-Fattah et al. 2018; Thankaswamy et al. 2018). They can be obtained  
 86 commercially or from submerged fermentation (FS) or solid-state fermentation (FES) through  
 87 microorganisms (Mazotto et al. 2013) such as fungi (Kushwaha 1983; Santos et al. 1996), that  
 88 are considered good producers of keratinases; they can also be isolated from keratinous waste

89 disposal sites (Kaul and Sumbali 1997; Riffel and Brandelli 2006). When obtained in this way,  
90 they are designated “homemade” and can be used in crude or concentrated form, a technique  
91 that combines the interaction of the enzyme with salts and solvents so as to separate out  
92 interferences (Preczeski et al. 2018).

93 Because of the biotechnological importance of keratinases, several studies have been  
94 performed to improve the activity and to evaluate the conformational change of enzymes in  
95 general, when exposed to different reaction systems, including ultrasonic probe and bath and  
96 microwave (Ma et al. 2011; Jin et al. 2015; Mazinani, DeLong and Yan 2015). Simple and  
97 efficient heating technologies increase enzyme activity and conformational change (Ma et al.  
98 2011); residue pretreatment techniques using an ultrasonic probe (Azmi, Idris and Yusof 2018),  
99 makes the structures more accessible to microbial and enzymatic attack (Yusof and  
100 Ashokkumar 2015).

101 The present study aimed to evaluate the potential for pretreatment of agro-industrial  
102 residues using an ultrasonic probe and to investigate the behavior of keratinases exposed to the  
103 following reaction systems: ultrasonic probe, ultrasonic bath, and the microwave and enzymatic  
104 concentration technique. In addition to comparing the activity of homemade enzymes with  
105 commercial enzymes and finally, we applied enzymatic extracts for the degradation of  
106 keratinous residues such as swine hair and chicken feathers.

107

## 108 **2. Materials and methods**

### 109 *2.1 Keratin substrates*

110 Swine hair and chicken feathers were obtained from a food agroindustry in Rio Grande  
111 do Sul, Brazil. Residues were stored at  $-4\text{ }^{\circ}\text{C}$  until use. Prior to use, the residues were washed  
112 with water and detergent, and immersed in 70% alcohol for 1 h, followed by drying at  $70 \pm 2$   
113  $^{\circ}\text{C}$  for 16 h (adapted from Călin et al. 2017; Preczeski et al. 2020). Chicken feathers were used  
114 in the degradation tests only.

115

### 116 *2.2 Swine hair pretreatment by ultrasonic probe*

117 Swine hair (1 g) was treated using an ultrasonic probe, comparing the micropoint and  
118 macropoint. The variables used were power (100%), pulse (3) and exposure time (15 min) using  
119 distilled water (100 mL) as a liquid medium in a 250 mL glass beaker (Adapted Azmi, Idris and  
120 Yusof 2018). The tests were conducted in triplicate. These were performed with the ECO-  
121 SONICS Ultrasonic Probe/Cell Disrupter Sonicator, 20 KHz ultrasonic frequency and 550 W  
122 ultrasonic power, equipped with a 4-mm titanium micropoint and 13-mm diameter titanium

123 macropoint. Its operation is the result of the conversion of electrical energy into mechanical  
124 energy (BIOVERA 2019).

125 The sonicated material was filtered on filter paper and the solid fraction was subjected  
126 to a microbial load reduction process using 70% alcohol and heating at  $70 \text{ }^\circ\text{C} \pm 2 \text{ }^\circ\text{C}$  for 16 h  
127 before starting fermentation (Adapted from Călin et al. 2017).

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### 129 *2.3 Submerged fermentation*

130 Submerged fermentation was performed with swine hair. To this end,  $10 \text{ g L}^{-1}$  of  
131 pretreated and not pretreated ultrasonic probe residue was poured into 50 mM Tris HCl buffer  
132 (pH 8.5) with the addition of  $10^6$  spores  $\text{mL}^{-1}$  of *Fusarium oxysporum*. The fermentative  
133 medium was incubated at 150 rpm and  $28 \text{ }^\circ\text{C}$  for 9 days (Preczeski et al. 2020). The fungus  
134 used was isolated from soil-borne chicken feather residues and identified using the Next  
135 Generation Sequencing (NGS) method (Preczeski et al. 2020).

136 At the end of the fermentation, the fermented medium was filtered and the retained solid  
137 fraction was dried to quantify the degradation percentage of the agro-industrial residue,  
138 according to Equation 1. The residues were dried in an oven at  $70 \pm 2 \text{ }^\circ\text{C}$  for 16 h then they  
139 remained in a desiccator for 1 day. Subsequently, initial and final dry mass measurements  
140 (residues before and after the FS) was performed (Preczeski et al. 2020). The filtrate was used  
141 to measure keratinolytic activity and exposure in various reaction systems. Keratinolytic  
142 activity was quantified at 3, 6, and 9 days, and the percentage of residue degradation was  
143 quantified after 9 days (Preczeski et al. 2020).

144

$$145 \quad \text{Degradation (\%)} = 100 - \left( \frac{FM * 100}{IM} \right) \quad \text{Equation 1}$$

146 Where: FM is final dry mass, and IM is initial dry mass.

147

### 148 *2.4 Keratinase assay*

149 Keratinolytic activity was measured using 0.013 g azokeratin as substrate (SIGMA-  
150 ALDRICH K8500  $\alpha$ -K), 3.2 mL 50 mM Tris HCl buffer (pH 8.5) and 0.8 mL enzyme extract.  
151 The reaction took place in an ultrathermostatic bath at  $50 \text{ }^\circ\text{C}$  for 1 h, after which 0.8 mL of 10%  
152 trichloroacetic acid (TCA) was added to stop the reaction, and was read at 595 nm in a  
153 spectrophotometer (Adapted from Bressollier et al. 1999).

154 One unit of keratinase activity was defined as the amount of enzyme required to produce  
155 a 0.01 absorbance increase under the described assay conditions (Bressollier et al. 1999).

156 Enzyme activity was determined before and after exposure to reaction systems. Relative activity  
157 (%) was also calculated for activity increment purposes, according to Equation 2.

158

$$159 \quad \text{Relative activity (\%)} = \left( \frac{\text{Final activity} - \text{Initial activity}}{\text{Initial activity}} \right) * 100 \quad \text{Equation 2}$$

160 Where: Final activity is enzyme extract activity after exposure to reaction systems, and Initial  
161 activity is activity of crude enzyme extract before exposure to reaction systems.

162

## 163 *2.5 Enzyme activity increase*

### 164 *2.5.1 Exposure of enzyme extracts to reaction systems*

165 Enzymatic extracts produced using swine hair as substrate pretreated with ultrasonic  
166 probe with macropoint and not pretreated were exposed to ultrasonic probe, ultrasonic bath and  
167 microwave using the experimental design methodology, aiming to evaluate the behavior of the  
168 enzymes. Each reaction system was evaluated using central composite design (CCD) based on  
169 previously defined independent variables, according to reaction interest and system  
170 possibilities. The response variable was relative activity (%), resulting from the variation  
171 between keratinolytic activities of the extract before and after exposure to reaction systems  
172 (Equation 2).

173

#### 174 *2.5.1.1 Ultrasonic probe*

175 A 20 mL aliquot of the crude enzyme extract was exposed to the ECO-SONICS Cell  
176 Disruptor Probe (20 KHz and 550 W) equipped with a 4-mm diameter titanium micropoint. The  
177 behavior of keratinases was evaluated under different conditions of exposure time (2 to 10  
178 minutes), power (40% to 80%) and pulse (1 to 3) following experimental design CCD 2<sup>3</sup> (ECO-  
179 SONICS).

180

#### 181 *2.5.1.2 Ultrasonic bath*

182 In this reaction system, 2 mL aliquots of the crude enzyme extract were exposed to the  
183 ultrasonic bath, varying the temperature (°C), the power (%) and the exposure time (min) of the  
184 enzyme extract according to experimental design. The equipment used was the UNIQUE  
185 Ultrasonic Washer, model USC-1800 A, frequency US 40 KHz and maximum power of 137 W  
186 (0.42 W cm<sup>-2</sup>). The tests were performed with ultrasonic bath temperature ranging from 30 to  
187 80 °C, ultrasonic power ranging from 0 to 100% and with exposure time of 10 to 40 minutes,  
188 following experimental design (Adapted from Golunski et al. 2017; Adapted from Mulinari et  
189 al. 2017).

190

### 191 *2.5.1.3 Microwave*

192 Aliquots of 3 mL of crude enzyme extract were exposed to microwave, varying  
193 conditions according to experimental design. Exposure of the enzyme to microwaves was  
194 evaluated in the time range of 5 to 15 minutes, using temperatures between 30 and 80 °C,  
195 according to the methodology described by Mazinani, Delong and Yan, (2015) and adapted  
196 from Golunski et al. (2017). The equipment used was the ANTON PAAR Monowave 100  
197 Microwave Reactor which has unpulsed 500 W output power (ANTON PAAR).

198

### 199 *2.5.2 Enzymatic concentration*

200 To test the most economically viable enzymatic activity increment methods, the enzyme  
201 concentration technique was performed. Enzyme extracts obtained from the fermentation  
202 process were concentrated using 0.5 mol L<sup>-1</sup> NaCl and 50% (v v<sup>-1</sup>) acetone. The tests were  
203 performed in an ice bath at 4 °C. After centrifugation at 9500 x g, 4 °C and 20 min, the  
204 supernatant phase was discarded and the precipitate was resuspended with 50 mM Tris HCl  
205 buffer (pH 8.5) (Preczeski et al. 2018).

206 The concentrated enzymatic extract (homemade) was exposed to reaction systems under  
207 the conditions of greatest activity increase in experimental designs and applied to the  
208 degradation of swine hair and chicken feathers, aiming to evaluate the degradation potential of  
209 keratinous residues.

210

### 211 *2.6 Commercial enzymes and reaction systems*

212 The activity of the homemade enzyme extract was compared with that of the commercial  
213 enzyme K4519 SIGMA with initial enzymatic activity of 2812.5 U mL<sup>-1</sup>. K4519 SIGMA  
214 keratinase was diluted in 5 mM phosphate buffer (pH 7.0) (SIGMA-ALDRICH). The enzyme  
215 was exposed to the optimal enzymatic increment conditions obtained in the experimental  
216 designs for the ultrasonic probe, ultrasonic bath and microwave and was subsequently used for  
217 the degradation of keratinous residues.

218

### 219 *2.7 Evaluation of keratin residue degradation*

220 Swine hair and chicken feather degradation was evaluated using crude and concentrated  
221 enzyme extracts, both with and without exposure to reaction systems. The degradation potential  
222 of agro-industrial residues was also evaluated using the commercial enzyme extract K4519  
223 SIGMA before and after exposure to reaction systems.

224 One whole feather or 0.1 g of swine hair was used for each 8 mL of enzyme extract.  
 225 Assays were incubated at 28 °C for 28 days (Scott and Untereiner 2004), and the result was  
 226 evaluated based on visible residue degradation.

227

## 228 2.8 Characterization of homemade keratinase enzymes

229 For the purpose of obtaining the optimal pH and temperature of the enzyme used, a  
 230 characterization was carried out evaluating different pH conditions, ranging from 5 to 9 and  
 231 temperature, from 37 °C to 70 °C. The buffers used were: 50 mM citrate buffer pH 5.0 and 5.7;  
 232 50 mM sodium phosphate buffer pH 7.1, pH 8.4 and pH 9.0; 50 mM Tris HCl buffer pH 8.5  
 233 (SIGMA-ALDRICH). The characterization was carried out in an ultrathermostatic bath and the  
 234 activity measurement followed that specified in item 2.4.

235

## 236 2.9 Statistical analysis

237 The experiments were statistically evaluated using CCD and the results of the enzymatic  
 238 activity were analyzed using Protimiza Experimental Design software (Rodrigues and Iemma,  
 239 2014), using 95% confidence analysis of variance ( $p < 0.05$ ). Results were presented as mean  $\pm$   
 240 standard deviation of triplicate experiments. Statistical differences between data sets were  
 241 verified using ANOVA and Tukey Test.

242

## 243 3. Results and Discussion

### 244 3.1 Swine hair pretreatment by ultrasonic probe

245 The fermentative process to obtain the enzymatic extract was performed using swine  
 246 hair as the substrate that had been pretreated with or without the ultrasonic probe. Enzymatic  
 247 activities and degradation percentages were evaluated (**Table 1**).

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254 **Table 1**

255 Keratinolytic activity after pretreatment in an ultrasonic probe and without pretreatment at 3, 6 and 9 days, as well  
 256 as the percentage of degradation obtained at the end of the fermentation process.

Swine hair		Enzymatic activity (U mL <sup>-1</sup> )			Degradation (%)
Pretreatment by ultrasonic probe	Micropoint	3 days	6 days	9 days	31.8 $\pm$ 1.1 <sup>a</sup>
		38.8 $\pm$ 5.3 <sup>a</sup>	81.0 $\pm$ 5.2 <sup>a</sup>	55.7 $\pm$ 3.0 <sup>a</sup>	
Macropoint	Macropoint	3 days	6 days	9 days	

	$50.8 \pm 5.3^b$	$39.5 \pm 4.8^b$	$43.8 \pm 1.1^b$	$45.5 \pm 0.8^b$
<b>Without pretreatment</b>	<b>3 days</b>	<b>6 days</b>	<b>9 days</b>	
	$25.0 \pm 5.6^a$	$82.2 \pm 9.4^a$	$75.2 \pm 3.2^c$	$36.3 \pm 4.0^a$

\*Equal lowercase letters do not differ from each other by the Tukey test on the column.

257  
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259 The keratinolytic activity obtained on the third day of the fermentation in the swine hair  
260 pretreated with the ultrasonic probe macropoint was  $50.8 \pm 5.3 \text{ U mL}^{-1}$ . From this result, it can  
261 be inferred that the greater energy dissipation caused by the macropoint resulted in more  
262 relevant data of enzymatic activity, because it has a larger diameter than that of the micropoint,  
263 which achieved enzymatic activity ( $38.8 \pm 5.3 \text{ U mL}^{-1}$ ) similar to that obtained with the crude  
264 residue ( $25.0 \pm 5.6 \text{ U mL}^{-1}$ ).

265 We found that the micropoint did not have the same impact on structure in terms of  
266 enzymatic activity for the 3<sup>rd</sup> day, because the ultrasonic system can be influenced by several  
267 factors, including energy dissipation, ultrasonic intensity, temperature, exposure time, and  
268 diameter (Lippert et al. 2018), all of which may have resulted in lower erosion efficiency of the  
269 structure when using the micropoint than when using the macropoint.

270 The highest keratinolytic activity was observed for swine hair without pretreatment and  
271 with micropoint pretreatment at 6 days of fermentation:  $82.2 \pm 9.4 \text{ U mL}^{-1}$  and  $81.0 \pm 5.2 \text{ U}$   
272  $\text{mL}^{-1}$ , respectively. Regarding the degradation percentage, the highest degradation occurred in  
273 the pretreatment test with ultrasonic probe with macropoint ( $45.5\% \pm 0.8\%$ ), and it can be  
274 inferred that the abrasion and erosion in the structure of swine hair, which resulted in the highest  
275 enzymatic activity in three days for the same assay, also presented the highest dissolution of  
276 structural chains that make up the residue in question, resulting in the largest mass loss in this  
277 experiment.

278 The ultrasonic probe increases reaction rates, especially hydrolysis processes (Zou et al.  
279 2016). Ultrasonic systems facilitate structural degradation via propagation of ultrasonic waves  
280 that cause bubble cavitation, causing temperature rise, favoring the formation of rapidly  
281 reacting radicals (Wang 1981; Manasseh et al. 2010; Yusof and Ashokkumar 2015), improving  
282 the enzymatic attack on the substrate (Yang and Fang 2015). The cavitation process is the  
283 fundamental factor for the efficiency of ultrasound pretreatment, because the explosion of  
284 bubbles near the surface of the material causes abrasion and erosion, reducing the surface area  
285 of the structure and, consequently, improving the processes. hydrolysis and enzyme production  
286 (McClements 1995; Barton Bullock and Weir 1996; Mason et al. 2011). Similar observations  
287 were reported by Jin et al. (2015), who verified changes in the kinetic characteristics between

288 proteins and substrates in ultrasonic systems, observing the increase in hydrolysis rate,  
 289 improving the affinity between enzyme and substrate.

290 Pretreatment with an ultrasonic probe can be considered viable with the use of the  
 291 macropoint when the objective of the process is enzyme production in shorter times. Visualizing  
 292 the system in search of an efficient residue degradation and relevant enzymatic activities, the  
 293 system with swine hair without pretreatment presented greater viability in relation to the higher  
 294 enzymatic productions of the other experiments, considering that in six days there was an  
 295 enzymatic production of approximately 38% greater than with the pretreated macropoint  
 296 residue in three days, and only a 10% difference in residue degradation from the same assay.  
 297 Comparing the data from the pretreated residue with micropoint, there was no significant  
 298 difference between the data, suggesting that using the residue without pretreatment is more  
 299 promising, in addition to ensuring lower energy expenditure.

300

### 301 *3.2 Enzyme activity increase*

#### 302 *3.2.1 Exposure of enzyme extracts to reaction systems*

303 Exposure to reaction systems was performed to evaluate the behavior of keratinases  
 304 enzymes in various reaction systems. The ultrasonic probe, ultrasonic bath and microwave were  
 305 evaluated. Two enzyme extracts were exposed to the reaction systems: a) enzymatic extract  
 306 from FS carried out with swine hair pretreated with ultrasonic probe with macropoint; b)  
 307 enzymatic extract from FS carried out with swine hair without pretreatment.

308

##### 309 *3.2.1.1 Ultrasonic Probe*

310 The enzymatic extracts were exposed to an ultrasonic probe using the micropoint. This  
 311 was studied based on an experimental matrix (**Table 2**), which presents the results obtained for  
 312 the independent variables of exposure time, power and pulse, and evaluated relative activity in  
 313 response.

314

315 **Table 2**

316 Experimental planning matrix (real and coded values) and relative activity results (%) for the conditions  
 317 evaluated in the reaction system ultrasonic probe with enzymatic extracts produced from swine hair pretreated by  
 318 ultrasonic probe and without pretreatment.

Assays	Ultrasonic probe			Relative activity (%)	
	Time (min)	Power (%)	Pulse	Pretreatment by ultrasonic probe	Without pretreatment
1	-1 (2)	-1 (40)	-1 (1)	11.3	38.4
2	+1 (10)	-1 (40)	-1 (1)	0.0	1.4

<b>3</b>	-1 (2)	+1 (80)	-1 (1)	2.7	16.3
<b>4</b>	+1 (10)	+1 (80)	-1 (1)	0.0	9.1
<b>5</b>	-1 (2)	-1 (40)	+1 (3)	0.0	21.1
<b>6</b>	+1 (10)	-1 (40)	+1 (3)	0.0	0.0
<b>7</b>	-1 (2)	+1 (80)	+1 (3)	23.3	25.2
<b>8</b>	+1 (10)	+1 (80)	+1 (3)	0.0	10.3
<b>9</b>	0 (6)	0 (60)	0 (2)	0.0	0.0
<b>10</b>	0 (6)	0 (60)	0 (2)	0.0	3.0
<b>11</b>	0 (6)	0 (60)	0 (2)	0.0	0.0
<b>12</b>	0 (6)	0 (60)	0 (2)	0.0	0.0
<b>Crude enzymatic extract*</b>				75.0 U mL <sup>-1</sup>	124.3 U mL <sup>-1</sup>

319 \* Enzymatic extract obtained after FS without exposure to reaction systems.

320

321 For the enzymatic extract produced from swine hair pretreated using the ultrasonic  
322 probe, up to 23.3% were observed in test 7, corresponding to the shortest time evaluated (2 min)  
323 (**Table 2**). When subjected to effects analysis, there was a significant negative effect only of  
324 the time variable: the shorter the exposure time to the ultrasonic probe, the greater the  
325 keratinolytic activity. Power and pulse were not significant.

326 For the enzymatic extracts obtained with swine hair that was not pretreated, more  
327 promising increments were observed. **Table 2** shows that test 1 showed the largest increase in  
328 keratinolytic activity in relation to crude enzyme extract, i.e., 38.4% increase in enzymatic  
329 activity. The analysis of the effects of the variables on keratinolytic activity showed that only  
330 there was only a significant negative effect on the time variable: the longer the exposure time  
331 to the ultrasonic probe, the lower the keratinolytic activity achieved. As before, power and pulse  
332 were not significant in the process. In both cases studied, the statistical model was not validated  
333 due to  $F_{cal} < F_{tab}$ .

334 The largest increases in activity occurred in the shortest exposure time evaluated (2  
335 min), corroborating the statistical analysis. Ultrasonic systems are responsible for generating  
336 waves that propagate through a liquid, which collapse at high temperatures. This phenomenon  
337 is called cavitation. The shear force resulting from the explosion of these microbubbles causes  
338 rapid heat and mass transfer, promoting increased enzymatic activity (Jin et al. 2015). Thus, the  
339 increase in activity may be a result of the shorter exposure time to the ultrasonic probe that  
340 favors the decomposition of interfering molecules and the change in enzymatic specificity,  
341 making enzymes more easily accessible to the reaction and consequently increasing their

342 activity, providing an ideal environment for the reaction between enzyme and substrate  
343 (McClements 1995; Jin et al. 2015). The enzyme also remains more regular and flexible because  
344 thermodynamic parameters such as  $E_a$ ,  $\Delta H$ , and  $\Delta S$  are reduced with the use of ultrasound,  
345 causing an improvement in its activity and improving the operational stability of enzymes (Ma  
346 et al. 2011; Wang, Chen and Zhu 2013).

347 In assays where the exposure time was longer (from 6 to 10 min), the activity was lower  
348 and, in some cases, lower than the activity obtained in the enzyme extract without exposure to  
349 the ultrasonic probe, possibly due to the enzymatic denaturation caused by the longer exposure  
350 time to the ultrasonic probe (Kapturowska, Stolarzewicz and Krzyczkowska 2012).  
351 Denaturation of enzymes can occur due to excess pressure, temperature or even shear force  
352 generated during the cavitation phenomenon in the ultrasonic system (Grintsevich et al. 2001;  
353 Potapovich, Eremin and Metelitzka 2003).

354 It is important to note that the use of ultrasonic systems is efficient in reducing reaction  
355 time, promoting increased enzymatic activity in many cases. On the other hand, depending on  
356 the conditions studied, there may be an enzymatic denaturation and consequently a decrease in  
357 enzyme activity.

358

#### 359 *3.2.1.2 Ultrasonic bath*

360 The greatest increase in enzymatic activity obtained for the ultrasonic bath occurred in  
361 the enzymatic extract from swine hair pretreated with ultrasonic probe (30.2%), with a  
362 temperature of 80 °C during 40 minutes of exposure and 0% power, corresponding to test 6  
363 (**Table 3**).

364 Analysis of effects on keratinolytic activity showed that temperature and power were  
365 important. The temperature variable had significant positive effects; that is, the higher the  
366 temperature, the higher the keratinolytic activity. The power variable affected the enzyme  
367 activity negatively; the lower the power, the higher the activity. Time had no influence on  
368 enzymatic activity. Because  $F_{cal} > F_{tab}$ , the statistical model was validated.

369 For enzymatic extract produced from swine hair without pretreatment, only test 3,  
370 corresponding to a temperature of 30 °C during 10 minutes and 100% power, provided an  
371 increase of keratinolytic activity in relation to the crude enzyme, which was 5.9% (**Table 3**).  
372 However, when the results were subjected to effects analysis, no variable was found to be  
373 significant. For this enzyme extract studied, the model was not statistically validated due to  
374  $F_{cal} < F_{tab}$ .

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**Table 3**

Experimental planning matrix (real and coded values) and relative activity results (%) for the conditions evaluated in the reaction system ultrasonic bath with enzymatic extracts produced from swine hair pretreated and non-pretreated with an ultrasonic probe.

Assays	Ultrasonic Bath			Relative activity (%)	
	Temperature (°C)	Power (%)	Time (min)	Pretreatment by ultrasonic probe	Without pretreatment
1	-1 (30)	-1 (0)	-1 (10)	0.0	0.0
2	+1 (80)	-1 (0)	-1 (10)	9.4	0.0
3	-1 (30)	+1 (100)	-1 (10)	0.0	5.9
4	+1 (80)	+1 (100)	-1 (10)	0.0	0.0
5	-1 (30)	-1 (0)	+1 (40)	0.0	0.0
6	+1 (80)	-1 (0)	+1 (40)	30.2	0.0
7	-1 (30)	+1 (100)	+1 (40)	0.0	0.0
8	+1 (80)	+1 (100)	+1 (40)	0.0	0.0
9	0 (55)	0 (50)	0 (25)	0.0	0.0
10	0 (55)	0 (50)	0 (25)	0.0	0.0
11	0 (55)	0 (50)	0 (25)	0.0	0.0
12	0 (55)	0 (50)	0 (25)	0.0	0.0
<b>Crude enzymatic extract*</b>				79.5 U mL <sup>-1</sup>	118.5 U mL <sup>-1</sup>

380 \* Enzymatic extract obtained after FS without exposure to reaction systems.

381

382 In the enzymatic extracts evaluated, different behaviors of the enzyme regarding  
383 temperature were observed. For the pretreated residues, the enzyme extract increased the  
384 activity at a temperature of 80 °C and for the untreated residues, the only activity increase was  
385 at the temperature of 30 °C, the mildest within the study range. This shows that the enzymes  
386 produced during submerged fermentation with pretreated and untreated residues are different  
387 in the mode of action compared to the ultrasonic bath reaction system.

388 The ideal temperature range for keratinases is between 30 and 80 °C, which may vary  
389 according to the microorganism that is used for the production of the enzyme, according to  
390 Kothari, Rani and Goyal (2017). Another fact that determines the term stability of the enzyme  
391 is the time of exposure to that temperature. The optimum temperature can also vary depending  
392 on the source and origin of the isolated microorganism (Brandelli, Daroit and Riffel 2010).

393 The behavior observed for ultrasonic bath may be related to the synergistic effect of  
394 ultrasonic waves with temperature. Several studies show increased activity of enzymes exposed  
395 to ultrasonic bath (Leaes et al. 2013; Mulinari et al. 2017). According to the literature,  
396 temperature considerably influences the increase or decrease of enzymatic activity. With a rise

397 in temperature the activity may be increased, but depending on the structure of the enzyme, if  
 398 there is a very significant rise in temperature, denaturation may occur (Resa et al. 2009). This  
 399 behavior was described in the studies by Wang et al. (2011) and Ovsianko et al. (2005), where  
 400 enzymatic denaturation occurred due to the effect of ultrasonic sonication causing an increase  
 401 in temperature, also stimulating the effect of cavitation.

402 Ultrasonic bath alters the behavior of exposed enzymes. Thus, the use of ultrasonic  
 403 systems can promote increases in enzymatic activity, possibly due to conformational changes  
 404 in protein structure, in addition to considerably reducing the reaction time (Leaes et al. 2013)  
 405 and also the decrease in activity by denaturing (Resa et al. 2009).

406

### 407 3.2.1.3 Microwave

408 For the enzymatic extract produced from swine hair pretreated using the ultrasonic  
 409 probe, test 2 showed an increase of activity, 15.1% at 80 °C for 5 minutes (**Table 4**). Performing  
 410 statistical analysis, we noted that the temperature variable was significantly positive: the higher  
 411 the temperature, the higher the keratinolytic activity achieved. Time had no influence on  
 412 enzymatic activity. The model was statistically validated by  $F_{cal} > F_{tab}$ .

413 For the enzymatic extract obtained from swine hair without pretreatment, we found that  
 414 no assay showed an increase of keratinolytic activity (**Table 4**). However, performing the  
 415 statistical analysis, we noted that the time variable was significantly negative; that is, the longer  
 416 the microwave exposure time, the lower the keratinolytic activity achieved. The temperature  
 417 had no influence on enzymatic activity.

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426 **Table 4**

427 Experimental planning matrix (real and coded values) and relative activity results (%) for the conditions evaluated  
 428 in the reaction system by microwave irradiation with enzymatic extracts produced from swine hair pretreated and  
 429 non-pretreated with an ultrasonic probe.

Assays	Microwave		Relative Activity (%)	
	Temperature (°C)	Time (min)	Pretreatment by ultrasonic probe	Without pretreatment
1	-1 (30)	-1 (5)	0.0	0.0
2	+1 (80)	-1 (5)	15.1	0.0
3	-1 (30)	+1 (15)	0.0	0.0

4	+1 (80)	+1 (15)	8.8	0.0
5	0 (55)	0 (10)	0.0	0.0
6	0 (55)	0 (10)	0.0	0.0
7	0 (55)	0 (10)	0.0	0.0
8	0 (55)	0 (10)	0.0	0.0
<b>Crude enzymatic extract*</b>			79.5 U mL <sup>-1</sup>	128.8 U mL <sup>-1</sup>

430 \* Enzymatic extract obtained after FS without exposure to reaction systems.

431

432 The increase in activity obtained for enzymatic extract produced from swine hair  
 433 pretreated with ultrasonic probe was because the microwaves provided an increase in enzymatic  
 434 activity and an increase in reaction efficiency due to instantaneous overheating. Causing  
 435 tremendous agitation of molecules that induces an increase in energy collisions, also increasing  
 436 reaction and conversion rates (Ma et al. 2011; Mazinani, DeLong and Yan 2015).

437 The mechanism of microwave operation occurs by the interaction of the electromagnetic  
 438 field with matter. This causes a movement of ions, which in turn cause heat generation by two  
 439 mechanisms, dipole rotation and ion conduction. In chemical reactions, microwaves cause  
 440 molecular friction due to the polarization of molecules. This process is responsible for  
 441 increasing the friction of these molecules, consequently increasing the temperature and the  
 442 reaction rate (Lopes et al. 2015). In this manner, microwave irradiation can cause  
 443 conformational changes in the exposed structure, leading to increased enzyme activity or even  
 444 damage such as enzymatic denaturation (Leonelli and Mason 2010; Lopes et al. 2015;  
 445 Mazinani, DeLong and Yan 2015).

446 Some authors point out that the use of microwaves can cause changes in thermodynamic  
 447 properties, providing increased enzymatic activity (Mazinani, DeLong and Yan 2015; Golunski  
 448 et al. 2017). These findings suggest that conformational changes in the structure of enzymes  
 449 occur when exposed to microwaves, possibly due to cleavages that occur during the process  
 450 and the formation of hot spots by instant heating.

451 On the other hand, high temperatures and exposure times cause enzymatic denaturation.  
 452 Possibly this occurred in the assays with enzymatic extract produced from untreated swine hair,  
 453 where mild temperatures of 30 °C were sufficient to cause decreased enzyme activity.

454 Temperature is one of the factors that most influence the behavior of enzymes exposed  
 455 to microwave irradiation. This causes collisions between molecules to increase, and this causes  
 456 an increase in energy, causing faster reaction rates. However, at very high temperatures, the  
 457 reaction rate is reduced due to the denaturation of enzymes, caused by heat breakage and also

458 the breakdown of ionic and hydrogen bonds, stabilizing the protein structure (Yadav and Borkar  
459 2009; Khan and Rathod 2018).

460

#### 461 3.2.1.4 Larger increments of enzymatic activity

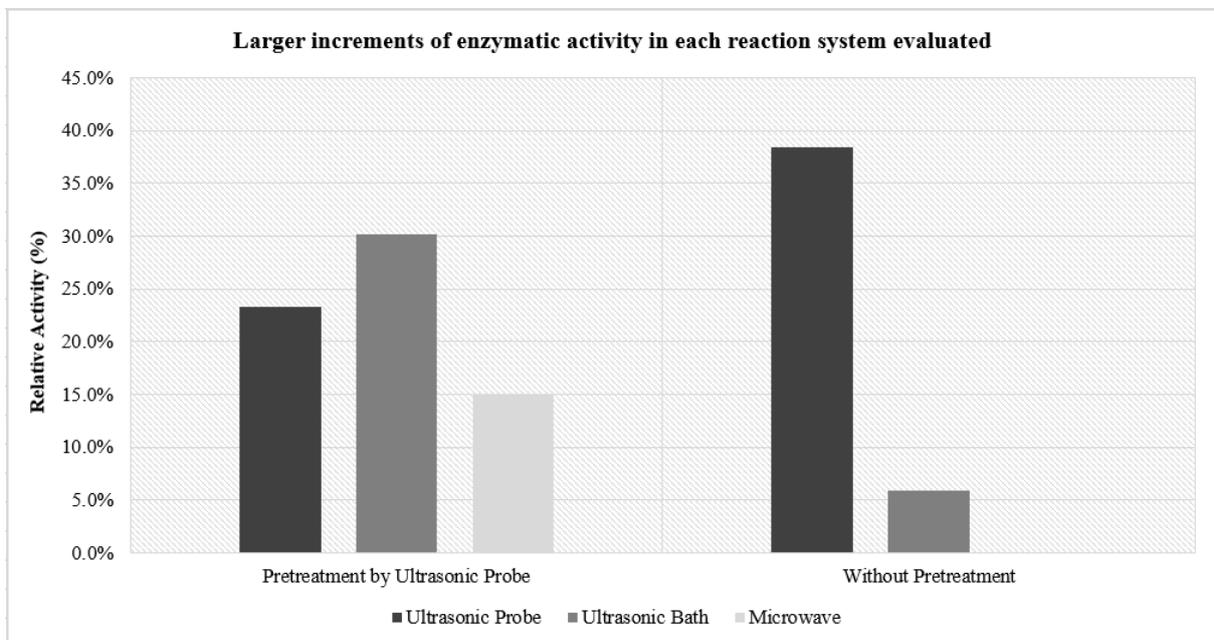
462 Given the results obtained for the three reaction systems evaluated, the ultrasonic probe  
463 showed greater potential for increased enzymatic activity compared to the other reaction  
464 systems (**Fig. 1**).

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470 **Fig. 1.** Larger increments of enzymatic activity of enzymatic extracts obtained from swine hair pretreated using  
471 the ultrasonic probe and without pretreatment subjected to the reaction systems ultrasonic probe, ultrasonic bath  
472 and microwave.

473

474 The largest increase was obtained using the ultrasonic probe (38.4%) with the enzymatic  
475 extract produced from swine hair without pretreatment. Ultrasonic systems also showed good  
476 results of increased enzymatic activity for the enzymatic extract produced from swine hair  
477 pretreated by ultrasonic probe, with the increment values being 23.3% for ultrasonic probe and  
478 30.2% for ultrasonic bath. In addition, these values were reached under mild conditions of time,  
479 power and pulse, making the process more viable.

480

#### 481 3.2.2 Enzymatic concentration

482 The enzyme concentration technique was performed to verify the possibility of  
483 increasing enzymatic activity from an economically viable and simple operation method.  
484 Enzyme extracts produced from swine hair without pretreatment were used and the enzyme  
485 concentration technique was performed in the presence of NaCl and acetone.

486 The homemade extract showed an enzymatic activity of 159.3 U mL<sup>-1</sup> after the  
487 concentration technique, increasing the activity value by 53.5% when compared to the crude  
488 enzyme extract (103.8 U mL<sup>-1</sup>). The concentrated enzymatic extract (homemade) was exposed  
489 to reaction systems under the conditions of greatest activity increase in experimental designs,  
490 where it presented stability against the ultrasonic probe, ultrasonic bath and microwave,  
491 possibly due to the absence of interferents that were separated during enzymatic concentration.

492 After exposure, the concentrated enzymatic extract (homemade) was applied in the  
493 degradation of swine hair and chicken feathers, aiming to evaluate the degradation potential of  
494 keratinous residues.

495

### 496 *3.3 Commercial enzymes and reaction systems*

497 The commercial enzyme K4519 SIGMA was exposed to ultrasonic probe, ultrasonic  
498 bath and microwave under the conditions of higher relative activity of homemade enzyme, in  
499 order to compare with the obtained data.

500 The enzyme K4519 SIGMA keratinase had initial activity of 2812.5 U mL<sup>-1</sup>. When  
501 subjected to an ultrasonic probe and bath, it showed an 11.1% increase in enzymatic activity.  
502 In microwave irradiation, there was a reduction in activity. There was high stability because it  
503 is a highly pure enzyme, with little variation when subjected to reaction systems. The activity  
504 increase observed for the enzyme K4519 SIGMA keratinase was smaller than that obtained for  
505 the homemade enzyme when subjected to ultrasonic probe (38.4%). This behavior is expected  
506 because it is a pure enzyme with high stability.

507 It should be noted that the production of the homemade enzyme is low-cost because it  
508 uses a highly available residue, being an inhomogeneous residue with large variations, in  
509 addition to the fact of disposal problems secondary to difficult degradation. The produced  
510 enzyme gave good values of enzymatic activity and activity increases when subjected to  
511 reaction systems, becoming competitive against commercial enzymes.

512

### 513 *3.4 Evaluation of keratin residue degradation*

514 Crude and concentrated enzymatic extracts (homemade) without and with exposure to  
515 reaction systems were evaluated for degradation potential of keratinous residues. We also

516 compared enzymatic extract with commercial enzyme K4519 SIGMA. Enzyme extracts were  
 517 incubated at 28 °C for 28 days and the result was presented based on visible residue degradation  
 518 and enzymatic activity.

519 We found that, for the crude enzyme extract and after exposure to reaction systems, the  
 520 values for keratinolytic activity of the assays containing swine hair and chicken feathers showed  
 521 small decreases in relation to the initial value for enzymatic activity over the 28 days, however,  
 522 remaining stable over time, suggesting that the enzyme was active and showing very promising  
 523 enzyme activity. For the enzyme extract in concentrated form and after exposure to reaction  
 524 systems, significant increases in keratinolytic activity were observed over the 28 days of study  
 525 (Table 5).

526 **Table 5**

527 Measure of keratinolytic activity at initial time and after 28 days for homemade enzymatic extract crude and  
 528 concentrated and enzymatic extracts after exposure to ultrasonic reaction systems and microwave irradiation  
 529 containing swine hair and chicken feathers.

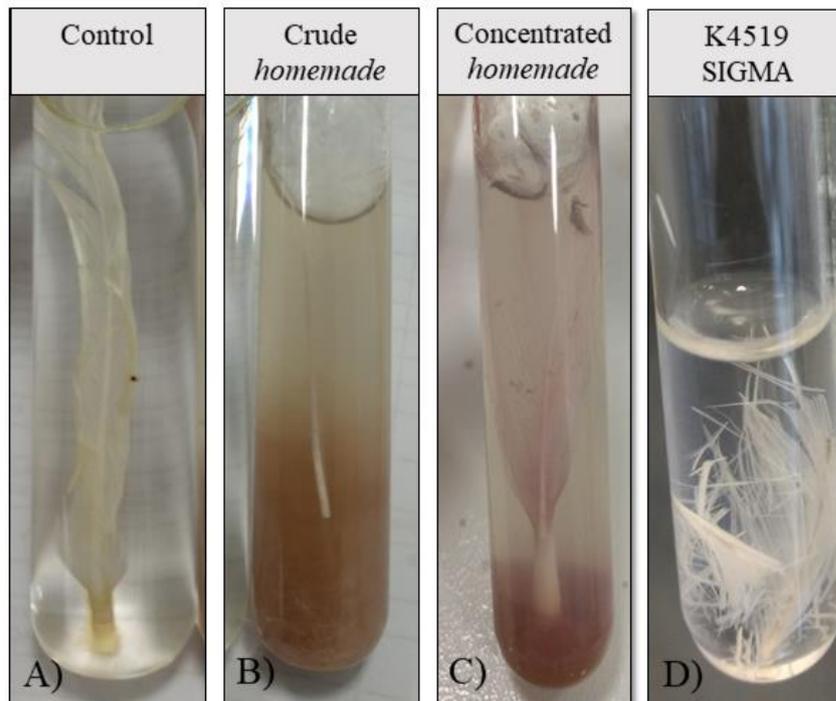
CRUDE	Enzyme Extracts (homemade)	Swine hair		Chicken feathers	
	Assays	0° day	28° day	0° day	28° day
		Enzymatic Activity (U mL <sup>-1</sup> )			
	Ultrasonic Probe	172.0	137.0	172.0	133.0
	Ultrasonic Bath	153.0	124.0	153.0	115.5
	Microwave	96.0	106.5	96.0	141.0
	Crude Extract	171.0	151.5	171.0	121.5
CONCENTRATED	Enzyme Extracts (homemade)	Swine hair		Chicken feathers	
	Assays	0° day	28° day	0° day	28° day
		Enzymatic Activity (U mL <sup>-1</sup> )			
	Ultrasonic Probe	159.5	231.0	159.5	192.5
	Ultrasonic Bath	141.8	202.0	141.8	181.5
	Microwave	149.0	229.5	149.0	191.5
	Crude Extract	103.8	-	103.8	-
	Concentrated Extract	159.3	-	159.3	-

530

531 Almost total degradation of chicken feathers was observed in the crude enzyme extract  
 532 and enzyme extracts after exposure to reaction systems (Fig. 2), while for swine hair, there was  
 533 no degradation in any of the enzyme extracts evaluated. Possibly the enzyme could not access

534 the resistant structure of swine hair. One factor that may explain the ease with which chicken  
535 feathers were degraded is the less rigid structure compared to swine hair. Because they are part  
536 of the keratin family, swine hair exhibits a structure that is extremely resistant to physical,  
537 chemical and also enzymatic actions (Choińska-Pulit, Łaba and Rodziewicz 2019).

538 The degradation potential of chicken feathers containing the commercial enzyme extract  
539 K4519 SIGMA was also evaluated. We found that, during the 28 days of incubation, the  
540 commercial enzyme was not able to degrade the chicken feathers, thereby favoring the  
541 homemade enzyme extract (**Fig. 2**).



542  
543 **Fig. 2.** Total degradation of chicken feathers observed in crude enzymatic extracts and after exposure to reaction  
544 systems. A) Control; B) Crude; C) Concentrated; D) K4519 SIGMA commercial enzyme.  
545

546 The results obtained for the degradation test containing the homemade extracts in crude  
547 form and after exposure to the reaction systems are promising as evidenced by the degradation  
548 potential on keratinous residues. The commercial enzyme K4519 SIGMA is expensive, highly  
549 concentrated and stable; nevertheless, it did not degrade the chicken feathers used in the tests.

550 Swine hair and chicken feathers are part of the group of hard keratins owing to their  
551 high concentrations of cysteine, rendering them very resistant (Korniłowicz-Kowalska and  
552 Bohacz 2011). However,  $\alpha$ -keratin present in the swine hair structure has large amounts of  
553 sulfur, which confers highly resistant mechanical properties (Holkar et al. 2018). This explains  
554 why chicken feathers are more easily degraded than swine hair.

555 In addition, the keratin structure formed by disulfide bonds ensures stability and  
556 resistance to enzymatic degradation. Kunert (1989) found that the reduction of disulfide bonds

557 considerably influenced the degradation of keratin structure, ensuring efficient keratin  
558 hydrolysis. This suggests that the homemade enzyme extract acted on disulfide bonds and  
559 reduced the cystine concentration present in the keratin structure, as well as converting the  
560 sulfur molecule into smaller structures (Jaouadi et al. 2013).

561 However, the exact process of degradation involves a series of mechanisms of action  
562 that occur in 3 stages. Mechanical keratinolysis occurs by the action of mycelium-producing  
563 fungi, involving the penetration of the mycelium into the keratin residue. Then, the hydrolysis  
564 of the disulfide bonds occurs, responsible for ensure the resistance and stability of the keratin  
565 structure. Finally, proteolysis occurs involving the action of the enzyme itself (Kunert 1989;  
566 Onifade et al. 1998).

567 For the concentrated enzymatic extract, no residue degradation was observed in any of  
568 the evaluated extracts. In the tests where there were high values of keratinolytic activity, the  
569 degradation percentages were low or almost nonexistent. This agrees with the study by Riffel  
570 and Brandelli (2006), where high values for keratinolytic activity were verified; nevertheless,  
571 the purified enzyme was not able to degrade chicken feathers. The authors suggest that in these  
572 cases, enzymes such as disulfide reductases and proteases need to act so that feather degradation  
573 occurs (Fang et al. 2013b).

574 The presence of inhibitors may explain why there was no residue degradation (Hamiche  
575 et al. 2019). Despite the fact that the enzyme is concentrated and the amount of impurities is  
576 reduced, it has no potential for degradation of residues (Riffel and Brandelli 2006).

577

### 578 *3.5 Characterization of homemade keratinase enzymes*

579 The characterization of homemade keratinases was carried out by evaluating different  
580 pH and temperature conditions in an ultrathermostatic bath for 1 h. The highest enzyme activity  
581 was achieved at pH 8.5 (50 mM Tris HCl buffer) and 50 °C temperature.

582 Most of keratinases producing microorganisms operate in the neutral and alkaline pH  
583 range, and the degradation of keratin is favored in alkaline pH, with the modification of cysteine  
584 residues and making them more accessible for the action of the enzyme. The optimum  
585 temperature is in the range of 28 °C to 50 °C, reaching 70 °C depending on the microorganism.  
586 In addition, most keratinases have good stability over wide pH and temperature ranges (Anbu  
587 et al. 2005).

588

## 589 **4. Conclusion**

590 Biotechnological processes involving the biodegradation of keratinolytic materials by  
591 action of microorganisms and enzymes are promising alternatives to improve the degradability  
592 of these materials, thereby adding value to the material. Ultrasonic and microwave reaction  
593 systems are viable alternatives for evaluating the behavior of keratinases and the potential for  
594 pretreatment of keratinous residues.

595 The pretreatment in an ultrasonic probe using the macropoint causes greater energy  
596 dissipation due to the larger diameter in relation to the micropoint, thus increasing the reaction  
597 rates and facilitating the degradation process of the exposed structures due to the propagation  
598 of ultrasonic waves, resulting in greater weight loss. Thus, the use of the ultrasonic probe as a  
599 pretreatment can be quite useful when looking for greater enzyme production in a shorter time.  
600 For longer processes, the use of waste without pretreatment becomes more promising, also  
601 decreasing energy expenditure, since the enzymatic activity achieved over time is greater.

602 Regarding the evaluation of the behavior of keratinases in relation to reaction systems,  
603 different behaviors were observed from enzymatic extracts, showing that it is possible to  
604 produce enzymes with different characteristics and behaviors. The ultrasonic probe proved to  
605 be more promising, generating the greatest increase in enzymatic activity. The enzymatic  
606 concentration showed a significant increase in activity, showing that simple and low-cost  
607 techniques can be efficient.

608 It was found that the crude enzymatic extract has the potential to degrade chicken  
609 feathers, while the other extracts used did not show potential for degradation, thus making it a  
610 very interesting result.

611

#### 612 **List of abbreviations**

613 Not applicable

614

#### 615 **Declarations**

#### 616 **Ethics approval and consent to participate**

617 Not applicable

#### 618 **Consent for publication**

619 All authors agreed with this publication.

#### 620 **Availability of data and materials**

621 The datasets generated for this study are available on request to the corresponding author.

#### 622 **Competing interests**

623 There are not competing interests

624 **Funding**

625 CAPES, CNPq and FAPERGS

626 **Authors' contributions**

627 FFC, SK, KPP, CD, TS, CB, FSS, AFC and JZ: experimental procedures, results discussion,  
628 and data treatment AJM, GF, HT: research coordinators

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635

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