

# A Characterization of Laser Cleaning Painting Layer From Steel Surface Based on Thermodynamic Model

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## Research Article

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1     **A characterization of laser cleaning painting layer from steel surface based**  
2                                   **on thermodynamic model**

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8                                   **ABSTRACT**

9     In this study, the environmentally friendly nanosecond ultraviolet (UV) laser is innovatively  
10    employed laser cleaning to remove the painting layer from the AH36 steel substrate. The feasibility  
11    of UV laser cleaning the painting layer is innovatively proposed and it has been calculated by the  
12    model theoretically, followed by elaborating the prominent interaction mechanism of UV laser  
13    exactly. The initial cleaning threshold and completely cleaning threshold are 2 J/cm<sup>2</sup> and 5 J/cm<sup>2</sup>,  
14    respectively. Afterwards, the UV laser cleaned surface quality is evaluated by the scanning electron  
15    microscopy (SEM), energy dispersive spectroscopy (EDS), optical microscopy (OM) and optical  
16    profiler (OP), respectively. The mechanical properties have enhanced dramatically after laser  
17    cleaning and characterized by the Vickers hardness tester and universal testing machine. By  
18    varying laser fluences (2, 5, 7 J/cm<sup>2</sup>) during laser cleaning, microstructures registering various  
19    sizes of corrugated shaped, craters and ring-shaped could be acquired. In addition, the mechanical  
20    properties analysis including rapid melting, quenching and dislocation density effects illustrates  
21    that laser cleaning could effectively increase surface microhardness, tensile strength and bending  
22    strength. Thus, laser cleaning method has emerged as a favourable means to strip painting layer in  
23    lieu of traditional methods for marine industry as well as this study could promote the development  
24    of laser cleaning in the field of marine engineering.

25    **Keywords:** Laser cleaning; Microstructures; Mechanical properties; Cleaning threshold; Cleaning  
26    mechanism

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## 1 1. INTRODUCTION

2 In the past a few decades, surface cleaning has been attracting considerable attention in  
3 various fields including aerospace, ocean engineering, microelectronics and medicine [1-4]. With  
4 regard to the contaminants on the surface, they are mostly of oxides, paints, polymers, coatings,  
5 microorganisms and particles [5-10]. Marine ships defouling the painting layer is a significant  
6 concern, which is mainly due to the corrosion as exposed to seawater. In this regard, ships appear  
7 to experience the paint removing alongside repainting for the maintenance of shipyard. Of  
8 particular note, the above issue has been reported to cost billions of dollars annually. Therefore, it  
9 is vital to strip off the painting layer from the substrate in order to repainting and extending the  
10 service life of the ship. Seen from prior studies, the traditional surface cleaning methods including  
11 the mechanical and chemical cleaning are recognized to be the most popular approaches. However,  
12 they have been reported to trigger the risks of polluting atmosphere and producing secondary  
13 wastes. Standing on this view, the laser cleaning method, emerging as a promising technique, is  
14 recognized to be an alternative to those conventional methods as it is more eco-friendly and does  
15 not need to contact cleaned surface. Depending on a host of traits including but not limited to  
16 excellent plasticity and toughness, high mechanical strength alongside light density and fair  
17 stiffness, steel, e.g. type AH36, has been widely used in ocean engineering [11-13]. However, it  
18 should be emphasized here that the service life of devices made with steel is strongly affected by  
19 marine environment as the latter contains a large amount of erosive ions and microorganisms  
20 which may collaboratively trigger the spalling of painting layer coating the exterior of steel base.  
21 What in follows, the internal steel base is degraded as the subsequent exposure continues. Thus,

1 surface cleaning is extremely necessary as it allows to remove the old painting layer that has been  
2 damaged, together with the attaching erosive substances. There so far exist extensive studies to  
3 provide constructive hints for solving the above concern through laser cleaning technique. In 1974,  
4 J.A. Fox pioneered a study to conduct surface cleaning upon paint layer, which was essentially  
5 achieved by using a Q-switched neodymium laser [14]. It was reported that the paint layer can be  
6 removed effectively under the strong photo-induced stress wave generated by the associated laser.  
7 Besides, K. Liu and E. Garmire adopted different types of laser alongside varying pulse width to  
8 remove the lacquer [15]. Seen from that, the Nd: YAG laser along with Q modulation was reported  
9 to show the stronger removal effect in comparison to other examined lasers, i.e. CO<sub>2</sub> laser, excimer  
10 laser and continuous wave laser. More importantly, Chen et al. pointed out that the laser cleaning  
11 technique may overcome the main flaw existing in conventional cleaning methods, viz. secondary  
12 pollution, which was confirmed through optical microscope observation [13].

13 As is well reported, many factors including but not limited to the pulse frequency, the  
14 scanning line interval, the scanning speed and the laser fluence are able to affect the efficiency of  
15 laser cleaning [16-30]. According to Zhao et al., the energy incorporated in each single pulse  
16 increased as the pulse frequency decreased, which would potentially trigger the plasma shielding  
17 effect near the painting layer surface once the pulse energy reached to a certain value. Of particular  
18 note, this resulting plasma shielding effect has a potential to cause the recession phenomenon  
19 through absorbing the energy involved in lasers and accordingly, hinders the further cleaning  
20 effectiveness to the painting layer [17-19]. Besides, the larger scanning line interval was reported  
21 to decrease the corresponding cleaning efficiency. This may be attributed to that the increase in the

1 scanning interval could dilute the unit received energy or fluence at the scanned region on the one  
2 hand and sometimes even leads to the appearance of residual area on the second hand [20-23]. In  
3 addition, the scanning speed influence the cleaning efficiency essentially through changing the  
4 corresponding overlapping rate. In this regard, the larger scanning speed, the better cleaning  
5 performance, and vice versa. [24,25]. Apart from the above, laser fluence has been widely  
6 recognized as a predominant factor when investigating the laser cleaning effectiveness [2, 4, 11,  
7 26-30]. In an early study conducted by Tian et al., two different laser fluences, namely  $1.08 \text{ J/cm}^2$   
8 and  $4.14 \text{ J/cm}^2$ , were respectively set and the higher cleaning efficiency was noted in the case of  
9 larger laser fluence [4]. This has also been confirmed by a recent report [11], wherein the increase  
10 in laser fluence within a certain range, from  $1.19 \text{ J/cm}^2$  to  $4.43 \text{ J/cm}^2$ , was once again noted to help  
11 improve the corresponding cleaning efficiency. The underlying reasons behind this may be that the  
12 increasing fluence empowers the thermodynamic behavior of molecules and accordingly make the  
13 attached contaminants easier be removed after a series of process including melting, vaporizing,  
14 solidifying. As well, the larger fluence allows to produce the plasma in a quicker and more efficient  
15 way, which also favors the further cleaning [2,11]. However, it should be emphasized here that any  
16 increase in laser fluence beyond a threshold might trigger a risk of damaging the substrate. For  
17 instance, such an upper bound in terms of laser fluence was reported to be about  $11.9 \text{ W/cm}^2$ , which  
18 induces the excessive ablation of the substrate [31-33]. Together with experimental investigations,  
19 numerical simulations have also been extensively carried out to understand the underlying  
20 mechanism involved in laser cleaning. W.F. Zou et al. established a one-dimensional heat  
21 conduction model based on the thermal stress mechanism alongside the Fourier transfer equation.

1 Then, the process of laser cleaning was successfully simulated and the cleaning and damage  
2 thresholds were so numerically predicted [34]. On the basis of heat conduction and Hertz-Knudsen  
3 theory, V. Oliveira et al. developed a 2D finite element model to describe the TiC ablation effects  
4 led by pulsed laser irradiation with varying laser fluence, and the corresponding results agreed well  
5 with pertinent experimental values [35]. Aside from this, Vasantgadkar et al. also predicted the  
6 ablation depth and temperature distribution, on account of plasma shielding effect [36]. Yue et al.  
7 adopted the laser respectively shaped as rectangular and Gaussian to numerically investigate the  
8 associated effect upon the cleaning efficiency [37].

9 However, it should be emphasized here that the laser employed in most of above studies were  
10 infrared nanosecond laser, that usually triggers thermal induced defects, manifesting as the  
11 recasting layer and the heat-affected zone (HAZ). On the other hand, the ultraviolet (UV) laser  
12 may avoid these disadvantages effectively as it registers a greater photon energy and accordingly  
13 is easier to break the intermolecular bonds. To the authors' knowledge, there exists very limited  
14 study that adopted UV laser to clean the painting layer and more importantly, the influence led by  
15 associated parameters upon cleaning efficiency is still not clear. In this study, the authors mark the  
16 first in using UV laser to clean the painting layer and varying laser fluence levels ranging from 2  
17  $\text{J}/\text{cm}^2$  to  $7 \text{ J}/\text{cm}^2$  were examined. Further, the resulting samples were also evaluated through Optical  
18 Microscopy (OM), Field Emission Scanning Electron Microscopy (SEM), Optical Profiler (OP)  
19 and mechanical measurements.

## 20 **2. EXPERIMENTAL**

## 1 *2.1 materials*

2 A commercially sourced AH36 steel, as widely used in marine engineering, was employed as  
3 the substrate in this study, and its elementary compositions as determined by XRF technique is  
4 now presented in **Table 1**. A locally supplied paint comprising chlorinated rubber was used to coat  
5 the substrate twice. Note here that the inter layer (white color) registered a thickness of 70  $\mu\text{m}$   
6 while the outer layer (red color) was as thick as 100  $\mu\text{m}$ . The dimensions of specimens, as being  
7 used to experience laser cleaning and further characterization including SEM-EDS, OP and OM,  
8 were set as 40 mm  $\times$  40 mm  $\times$  5 mm. In addition, another two batches of specimens, respectively  
9 sized as 40 mm  $\times$  5 mm  $\times$  5 mm and 30 mm  $\times$  10 mm  $\times$  2 mm, were prepared as well for tensile  
10 and bending tests, conforming to ASTM E8 and ASTM E290. The representative samples are  
11 illustrated in **Fig. 1**.

## 12 *2.2 UV Laser cleaning surface treatment*

13 For starter, the laser cleaning process is operated in an ambient atmosphere, i.e. (20 $^{\circ}\text{C}$ ), using  
14 a nanosecond fiber laser (Huaray, China) coupled with a two-dimensional galvanometer scanning  
15 system alongside the progressive scanning mode. The parameters in terms of employed laser were  
16 set as follows: maximum average power= 20 W, wavelength = 355 nm, laser pulse width = 15 ns,  
17 and laser repetition rate =100 kHz. Furthermore, three different laser fluences were examined,  
18 respectively corresponding to 2 J/cm $^2$ , 5 J/cm $^2$ , and 7 J/cm $^2$ .

## 19 *2.3 Surface characterization*

20 The morphology of resulting specimens was characterized by a digital ultra-depth-of-field  
21 microscope (Keyence, VHE-1000, Japan) and a Field-Emission Scanning Electron Microscope

1 (FE SEM) coupled with an Energy Dispersive X-ray Spectroscopy (EDS). The EDS was performed  
2 to analyze the chemical compositions of laser cleaned surface and the original painting layer  
3 surface. An optical profiler (OP, Zygo Corporation, USA) was adopted to evaluate the surface  
4 roughness and 3D morphology. In addition, the mechanical performance, i.e. tensile and bending  
5 strength, were measured through the Instron 5569 universal testing machine, which offered the  
6 uniaxial tension and 3-point approach bending tests. The microhardness was determined using the  
7 Vickers durometer.

### 8 **3. RESULTS AND DISCUSSION**

9 In order to realize the UV laser cleaning the painting layer feasibility, the underlying analysis  
10 and discussion are crucial and essential factors to obtain the outstanding laser cleaned effects  
11 theoretically. As for the mechanisms of UV laser cleaning, it is widely recognized that the  
12 photothermal, photochemical interactions and combination of them are underscoring effects,  
13 which has been expressed in various models detailly [38-45]. It is worth pointing out that during  
14 the period of laser cleaning, the photochemical interactions, viz. the energy of UV laser emitted  
15 photons are greater than the molecular bond energies within the material, which in turn further  
16 break the molecular bonds and manifest as the cold machining. One notes from the **Table 2**. that  
17 the detailed information as per the molecular bond energies of the painting layer. The photon  
18 energy is expressed as  $E=h\gamma=hc/\lambda$ , where E is photon energy, h is Planck constant,  $\gamma$  and  $\lambda$  are  
19 frequency and laser wavelength respectively. According to the theoretical calculation, the energy  
20 of 355 nm UV laser is 6.2 eV, which is much greater than molecular bond energies of the painting

1 layer, thereafter inducing molecular bonds broken chemically. It is well agreed with the prior  
2 mentioned theory and can be verified by theoretical calculation. From the table, it is clear that the  
3 energy of photon emitted by the UV laser (6.3 eV) is considerably greater than that most of  
4 molecular single bond, such as C-H bond registering 4.30 eV. Therefore, the adopted UV laser  
5 cleaning could remove the painting layer in theory by calculation of photon energy.

### 6 *3.1 Surface morphology*

7 One notes from **Fig. 2** that the representative images of laser cleaned surface are exhibited at  
8 varying laser fluence upon the painting layer adhered on the surface of AH36 steel substrate. It is  
9 noteworthy that there exists a strong smell of burning and a huge sound of vibration during the  
10 period of laser cleaning, which is mainly due to the interactions of the UV laser and the painting  
11 layer. Aside from this, it can also be inferred that both photothermal and photochemical reactions  
12 trigger on the surface of the painting layer. It is coincidence with the aforementioned theoretical  
13 analysis, namely, there exists both the photochemical and photothermal interactions showing up  
14 in the laser cleaning process, along with breaking molecular bonds chemically. Typically, **Fig. 2**  
15 (a, e, i) display the original painting layer surface before laser cleaning, while **Fig. 2** (b, f, j), (c, g,  
16 k) and (d, h, l) indicate laser cleaned surface at varying laser fluence of 2 J/cm<sup>2</sup>, 5 J/cm<sup>2</sup>, and 7  
17 J/cm<sup>2</sup> respectively. Interestingly, seen from the **Fig. 2** (b), it can be shown that the superficial color  
18 changes on the surface, viz., different from red painting layers, which is due to the fact that there  
19 exist two kinds of painting layer (red and white) adhering on the substrate surface. After the laser  
20 cleaning at laser fluence of 2 J/cm<sup>2</sup>, the red painting layer has almost been peeled off from the  
21 surface, whereas the white one left on the surface, as evident from **Fig. 2** (a). This may attribute

1 to the laser fluence cannot reach the threshold of the painting layer and the energy is not strong  
2 enough to remove the painting layer directly. Notably, the laser fluence at  $2 \text{ J/cm}^2$  is called initial  
3 cleaning threshold. Aside from that, it worth mentioned here that there are some uncleaned areas  
4 at the edge of specimen after laser cleaning, which is mainly due to the laser satisfied Gaussian  
5 distribution in space, namely, the energy in the middle is evidently higher than the edges. As  
6 expected, the laser fluence distributed at the edges is not strong enough to strip the painting layer,  
7 which is coincide with the prior mentioned phenomena. One notes from **Fig. 2 (c)** that it can be  
8 presented a superficial color variation from the zebra like color to bar shaped grey-black color in  
9 the macro at a laser fluence of  $5 \text{ J/cm}^2$ . Specifically, UV laser cleaning induces a prominently  
10 complete removal and manifests as a metallic luster on the surface of the substrate. This may infer  
11 that improving the laser fluence could help enhance the laser cleaning effects and complete  
12 cleaning threshold of laser cleaning painting layer is  $5 \text{ J/cm}^2$ . The resulting surface indicates that  
13 the cleaning effects have positive correlation with laser fluence. Moreover, if the laser fluence  
14 increases to initial cleaning threshold, the painting layers begin to remove and there exists some  
15 traces on the surface. In contrast, if the laser fluence enhances to complete cleaning threshold, the  
16 corresponding painting layers are peeled off thoroughly. As noted earlier, the enhancement of laser  
17 fluence could promote the behavior of molecules thermodynamic and a host of process, the  
18 resultant surface is agreed well with previous study [11]. Compared with the laser cleaned surface  
19 at fluence of  $5 \text{ J/cm}^2$ , it can be found craters and tracks clearly in black color after the laser cleaning  
20 at a laser fluence of  $7 \text{ J/cm}^2$ , which is mainly due to existing the excessive ablation upon laser  
21 cleaned surface. This may be attributed to the photothermal effects play a prominent role in the

1 laser cleaning at a laser fluence of  $7 \text{ J/cm}^2$  and the resultant surfaces may experience the  
2 evaporation, melting, re-solidification and ablation in an iterative dynamic process, which in turn  
3 further lead to the excessive ablation.

4 **Fig. 3** exhibits a low magnification secondary electron SEM image of the micro-morphology  
5 of laser cleaned painting layer at varying laser fluence, including  $2 \text{ J/cm}^2$ ,  $5 \text{ J/cm}^2$  and  $7 \text{ J/cm}^2$   
6 respectively. For the captured surfaces observed in **Fig. 3** (a), the employed laser fluence was  $2$   
7  $\text{J/cm}^2$  and it can be found there are some cracks and concaves exposed on the surface of laser  
8 cleaned surface, which is mainly due to the local temperature increase, viz. the enhanced lattice  
9 vibration induced cracking result in the temperature enhancement, which is coincide with the  
10 mechanism of the UV laser cleaning, namely, the photochemical interaction reactions between the  
11 laser and the painting layer. As noted from **Fig. 3** (d), instead of concaves and cracks, relatively  
12 smooth and evenly surface, viz. some corrugated shape morphology can be presented from the  
13 images, which indicates laser fluence reaching the cleaning threshold of the painting layer and  
14 without destroying the underlying substrate. The corrugated shape morphology is well agreed with  
15 a metallic luster surface of the substrate noted in **Fig. 2** (c), which is suggested that it is a  
16 thoroughly completely removal. As well, it is worth mentioning here that there are some ring-  
17 shaped microstructures showing up in **Fig. 3** (g). It is mainly due to the fact that the laser fluence  
18 exceeding the theoretical threshold considerably, which triggers the phase change of the substrate,  
19 followed by producing the ring-shaped microstructures. This is supported by the previous results  
20 [46]. Notably, there are many craters in each laser cleaned surface, as noted in **Fig. 3**, which may  
21 explain through the following: the AH36 steel substrate contains hydrogen. As for the UV laser

1 cleaning the surface of painting layer, it experiences melting, evaporation, rapid solidification and  
2 re-solidification as well as the corresponding hydrogen precipitates from the surface, followed by  
3 the formation of hydrogen. This is also supported by prior research results [5, 47]. In what follows,  
4 the craters cannot be filled with the liquid metal immediately, therefore it generates various craters  
5 microstructure on the surface of AH36 steel substrate.

### 6 *3.2 Surface element distribution*

7 For evaluating the laser cleaning painting layer effects, the energy dispersive X-ray  
8 spectroscopy (EDS) is widely recognized as a general method to examine the chemical  
9 composition. One notes from **Fig. 4** that it exhibits the corresponding elements content in the  
10 marked black box straightforwardly and the percentages of weight at varying laser fluence are  
11 expressed in **Table 3**. Together with the EDS analysis of the UV laser cleaned surface, the authors  
12 find that Fe element weight percentage is 53.04 % and oxygen is 5.58 % in weight percentage in  
13 area A. As noted from **Figs. 4 (a) and (b)**, compared with area A, the area B in the Fe and O  
14 element both have dramatically enhancement, which indicates area B has a relatively cleaned  
15 surface and exposed more substrate surface than area A. As seen therein, the process of area A  
16 belongs to incompletely cleaning period, whereas area B indicates it is a completely cleaning  
17 process, which is well agreed with the earlier mentioned **Fig. 3 (a) and (d)**, respectively. As for  
18 area C, it can be seen that the weight of C and O element higher than that of the area A and B,  
19 which suggests the area experiences excessive ablation and the corresponding iron oxide registers  
20 the ring-shaped microstructure. This is also agreed well with the aforementioned **Fig. 3 (g)**  
21 phenomena, including the ring-shaped microstructure and the excessive ablation effects.

1 To reveal the surface element distribution more clearly, the line EDS is performed to  
2 investigate changes of Fe, O, C content along with some trace amounts of Cr and Ti. **Fig. 5** (a), (b)  
3 and (c) exhibit the line EDS report of element changes in the resulting laser cleaned area at varying  
4 laser fluence of 2 J/cm<sup>2</sup>, 5 J/cm<sup>2</sup> and 7 J/cm<sup>2</sup> respectively. From the curves, it can be observed that  
5 the Fe K $\alpha$ 1 appeared in (a) and (c) oscillates significantly more than (b), which is mainly due to  
6 the laser cleaned surface (b) is relatively flat, viz. painting layer has been peeled off from the  
7 substrate completely. Interestingly, it can be found there are some periodic curves appearing in the  
8 line EDS examined surface in **Fig. 5** (a). This indicates that the produced the ring-shaped  
9 microstructure, namely, iron oxide is approximately periodic, which is due to the UV laser satisfied  
10 the Gaussian distribution.

11 One notes from **Fig. 6** (a) that presents a secondary electron SEM image of UV laser cleaned  
12 surface morphology and manifests as disparate contrasts areas thoroughly. In **Fig. 6** (b-g), it can  
13 be observed certain specific elements including Fe, O, C, Ni, Cr, and Mo are distributed on the  
14 surface with various colors. From the mapping of the AH36 steel substrate surface, it is presented  
15 the distribution of Fe, O and C is the major elements on the surface, which is mainly due to Fe and  
16 C elements are the prominent elements of substrate and there may exist the laser ablation during  
17 the laser cleaning period. Clearly, from the mapping, it can be seen the distribution of the O and C  
18 is relatively homogeneous. As per the surface morphology of laser cleaned surface, it is suggested  
19 that the convex exposed on the laser cleaned surface is rich in the O and C elements, whereas they  
20 are almost absent in the center. This may be attributed to the UV laser satisfied Gaussian  
21 distribution and the energy density at the center of the spot is greater than that at the edge, which

1 results in the O element exposed in the center much more the edges. That is the reason why the  
2 microstructure could generate the ring-shaped microstructure and corrugated shape morphology  
3 respectively. Herein, the present findings are very encouraging and it can also infer that the  
4 nanosecond UV laser could successfully strip off the painting layer thoroughly.

### 5 *3.3 Mechanical properties analysis*

#### 6 3.3.1 Hardness characterization

7 It is widely recognized that evaluate the mechanical properties exactly, the hardness  
8 characterization is an indispensable, essential evaluation index, which needs to be considered  
9 during the UV laser cleaning the painting layer. In this study, the Vickers microhardness test is  
10 performed to investigate the mechanical properties, the details including the load of 290 g and  
11 holding time duration of 15 s, which can be demonstrated in **Fig. 7**. As such, from the curves, it  
12 can be noticed that the UV laser cleaned surface increases to 150 HV while the uncleaned surface  
13 is 92 HV. As for the dramatically microhardness enhancement can be attributed to the fact that the  
14 UV laser cleaned surface shows up rapid melting and quenching, which is followed by generating  
15 the microstructure, including ring-shaped and corrugated shaped. The other reason is due to the  
16 fact that the UV laser cleaning could induce the resultant surface producing dislocation density,  
17 while the traditional cleaning techniques are not available. This is supported by the previous results  
18 [17, 48, 49]. Specifically, the standard deviation of laser cleaned surface and uncleaned surface  
19 microhardness is 2.875 and 0.525, respectively. Due to the painting layer uneven, the maximum  
20 Vickers microhardness UV laser cleaned surface is 178 HV and the minimum is 150 HV. Thus, it  
21 is suggested that UV laser cleaning painting layers could enhance the surface microhardness

1 considerably. Aside from the environmentally friendliness, this is another reason why authors take  
2 this prospective method to remove the painting layer.

### 3 3.3.2 Tensile and bending characterizations

4 For further investigating the tensile and bending properties of laser cleaned surface, there are  
5 batches of standard tensile and bending test specimen produced by UV laser cleaning method. The  
6 commensurately tensile stress and strain curves are illustrated in **Fig. 8**. From the curves, it can be  
7 exhibited five stages in these tensile curves, such as elastic deformation, yield deformation, plastic  
8 deformation, necking and fracture respectively [50-56]. The curves are just like the parabolic shape  
9 and there is a rapid increase in the elastic and yield deformation stages. Followed by gradually  
10 enhanced to the plastic deformation stage, there exist maximum values, viz., ultimate strength  
11 appearing before reaching necking period, along with the reduction to fracture stage sharply.  
12 Notably, the tensile strength of laser cleaned surface is much stronger than that of before cleaning,  
13 which is mainly due to the laser treatment is conducive to enhance the elastic and plastic  
14 deformation properties of the substrate.

15 As for bending properties, it is widely recognized bending displacements and bending stress  
16 are essential factors, which can be exhibited in **Fig. 9**. As noted in the curves, it can be observed  
17 that both of the resulting laser cleaned surface and uncleaned surface experience complete elastic  
18 deformation and plastic deformation stage, whereas the fracture stage does not exist in the sample,  
19 in spite of the bending angles exceeding 90° and bending stress over 1000 MPa. In this regard, it  
20 indicates that both of the laser cleaned surface and uncleaned surface have excellent bending  
21 strength and plasticity. Yet, laser cleaned surface manifests as better plasticity in the plastic

1 deformation stage. Thus, the laser cleaned surface conduces to improve the bending strength and  
2 plasticity properties.

### 3 3.3.3 Roughness and profile characterizations

4 As seen in **Fig. 10**, it presents typical 3D morphologies along with corresponding line scanned  
5 profiles of original painting layer and resulting laser cleaned surface, which is examined by optical  
6 profiler and commensurately captured zone is  $840\ \mu\text{m} \times 840\ \mu\text{m}$ . As evident from **Fig. 10** (a), it  
7 can be seen that the original painting layer is relatively evenly and smooth, along with the surface  
8 roughness  $1.968\ \mu\text{m}$ . Of particular note, white lines indicate maximum height difference is  
9 approximately  $10\ \mu\text{m}$  at original surfaces. With regard to the laser fluence  $2\ \text{J}/\text{cm}^2$ , the maximum  
10 height difference is five times larger than that of the original painting layer surface and  
11 corresponding surface roughness is  $12.751\ \mu\text{m}$ . This may be contributed to the laser energy is less  
12 capable to remove the painting layer directly and there exists laser cleaning induced cracks and  
13 concaves in this layer, which is well agreed with the prior mentioned **Fig. 3** (a). Thus, the roughness  
14 of laser cleaned surface at laser fluence  $2\ \text{J}/\text{cm}^2$  increases dramatically. Specifically, as for laser  
15 cleaned surface at laser fluence of  $5\ \text{J}/\text{cm}^2$ , the resultant surface is pretty smooth, viz. the surface  
16 roughness is  $2.471\ \mu\text{m}$  and relevant maximum height difference is approximately  $6\ \mu\text{m}$ , which  
17 indicates laser fluence at  $5\ \text{J}/\text{cm}^2$  is the most suitable for UV laser cleaning painting layers. As  
18 such, it is suggested that laser cleaned surface at this fluence without destroying the underlying  
19 substrate and the thermal ablation is minimal from the aforementioned surface morphology in **Fig.**  
20 **3** (d). Therefore, laser fluence at  $5\ \text{J}/\text{cm}^2$  is regarded as the threshold of UV laser cleaning painting  
21 layer. To be noted here, the melted layer flowing and re-solidification forms a corrugated shape

1 morphology, which coincides well with **Fig. 3** (d). In comparison, the laser cleaned surface at laser  
2 fluence  $7 \text{ J/cm}^2$  has a relatively rough surface ( $6.298 \text{ }\mu\text{m}$ ) and the maximum height difference is  
3 about  $27 \text{ }\mu\text{m}$ , which is suggested that the surface experiences the excessive ablation and it is  
4 consistent with the captured images in **Fig. 3** (g). Thus, it is imperative to avoid the ablative  
5 conditions occurred during UV laser cleaning painting layer as far as possible.

### 6 *3.4 Theoretical model analysis*

7 The experimental results illustrate initial cleaning threshold and complete cleaning threshold  
8 based on laser and painting layer interactions. In order to explain the phenomena more detailly, it  
9 is necessary to establish a thermodynamic model to describe the laser cleaning mechanism. Based  
10 on the study of Zhang et al. [57], the theoretical relationship between the temperature and energy  
11 are expressed as:

$$12 \quad E = n \cdot \left( \int_{T_1}^{T_2} c_p dT + \Delta H \right) \quad (1)$$

13 Herein,  $T_1$ ,  $T_2$ ,  $n$ ,  $C_p$ ,  $\Delta H$  stand for initial temperature, final temperature, amount of  
14 substance, heat capacity and molar heats of phase transition.

$$15 \quad c_p = A + BT + CT^{-2} \quad (2)$$

16 Where,  $A$ ,  $B$ ,  $C$  are constants correlated with substance properties.

17 Based on the thermodynamic model, it is employed for theoretical calculation and the  
18 corresponding assumptions are under following conditions:

19 (a) The painting layer and AH36 steel substrate are taken as heat insulators.

20 (b) The distribution of laser energy is homogeneous.

21 One notes from **Fig. 11** that the schematic diagram of laser absorption and reflection. Wherein,

1  $E_L$ ,  $E_R$ ,  $\delta_1$ ,  $\delta_2$  indicate incident laser energy, reflection laser energy and thickness of painting  
 2 layer and substrate correspondingly. As well,  $E_{Fe}$ ,  $E_A$ ,  $E_B$  are energy absorption of Fe substrate,  
 3 incident laser and reflection through painting layer respectively.  $A_a$  and  $A_b$  stand for laser  
 4 absorption coefficients of painting layer and Fe substrate respectively. The detailed equations are  
 5 shown as follows:

$$6 \quad E_A = A_a \cdot E_I \quad (3)$$

$$7 \quad E_{Fe} = A_b \cdot (E_I - E_A) \quad (4)$$

$$8 \quad E_B = A_a \cdot (E_I - E_A - E_{Fe}) \quad (5)$$

9 Herein,  $\alpha_1$  and  $\alpha_2$  are laser absorption coefficients of painting layer and Fe substrate  
 10 respectively. In addition, it can be calculated  $\alpha_1 = (1 + (1 - A_a) \cdot (1 - A_b)) \cdot A_a$ ,  $\alpha_2 = (1 -$   
 11  $A_a) \cdot A_b$ . As per Eq. (1), it can be obtained Eq. (6), as follows:

$$12 \quad \alpha_1 E_I = \frac{\rho_1 \cdot s \cdot \delta_1}{M_1} \left( \int_{T_1}^{T_2} c_{P,painting} dT + \Delta H \right) \quad (6)$$

13 Afterwards, the laser fluence can be deduced from Eq. (7) and the relationship is expressed  
 14 as:

$$15 \quad F_1 = \frac{\rho_1 \cdot \delta_1}{\alpha_1 M_1} \left( \int_{T_1}^{T_2} c_{P,painting} dT + \Delta H_{painting} \right) \quad (7)$$

16 Correspondingly, the laser fluence of Fe substrate can be illustrated as follows:

$$17 \quad F_2 = \frac{\rho_2 \cdot \delta_2}{\alpha_2 M_2} \left( \int_{T_1}^{T_2} c_{P,Fe} dT + \Delta H_{Fe} \right) \quad (8)$$

18 Wherein,  $\rho_1$ ,  $\rho_2$ ,  $M_1$ ,  $M_2$  are densities of painting layer and Fe substrate as well as molar  
 19 masses of painting layer and Fe substrate, respectively.

20 Inspired from Eqs. (7), (8) and substituted the corresponding physical constants shown in

1 Table 4, it can be calculated the theoretical laser fluence is  $1.789 \text{ J/cm}^2$  if temperature approaches  
2 its melting points. As for the Fe substrate, it also can be derived from functions that laser fluence  
3 are  $3.216 \text{ J/cm}^2$  and  $4.65 \text{ J/cm}^2$  with regard to the melting point and boiling point, respectively. It  
4 is noteworthy that the calculated theoretically threshold ( $4.65 \text{ J/cm}^2$ ) is exceeded initial cleaning  
5 threshold, which is mainly due to the fact that less consideration of the plasma shielding effects  
6 and thermal expansion effects as well as various thickness of painting layer. These points will be  
7 taken into account in future studies to modify this model effectively.

8 Comprehensively, the laser cleaning is a facile, environmentally friendly and promising  
9 method to strip off the painting layer from the marine engineering surface. Hopefully, this study  
10 would provide an experimental and theoretically analysis reference in the UV laser cleaning the  
11 painting layer and pave the way for any further potential applications in industrial field.

## 12 **CONCLUDING REMARKS**

13 In this paper, a study based on the nanosecond UV laser cleaning method is innovatively  
14 proposed, which is successfully utilized to strip off the painting layer from the AH36 steel substrate.  
15 This study innovatively verifies the feasibility of the UV laser cleaning the painting layer on the  
16 surface of AH36 steel in theory and briefly elaborates the primarily interaction mechanism of UV  
17 laser, such as the photothermal and photochemical interactions. The thermal dynamic model is  
18 established to describe the relationship between temperature and laser fluence, which indicates the  
19 experimental results ( $4.65 \text{ J/cm}^2$ ) are close to theoretical cleaning threshold ( $5 \text{ J/cm}^2$ ) and the  
20 differences between them are discussed exactly. Moreover, SEM, EDS, Optical profiler and

1 mechanical tests are detailly performed to study the morphologies, chemical compositions and  
2 mechanical properties of original painting layer surface and resulting laser cleaned surface at  
3 varying laser fluence respectively. From the SEM test, there are some typical corrugated shaped,  
4 craters and ring-shaped microstructures exhibited on the surface of laser cleaned surface at various  
5 laser fluence. With regard to the mechanical properties, it is worth mentioning here that the UV  
6 laser cleaned painting layer surface could enhance the surface microhardness, tensile strength and  
7 bending strength dramatically. This can be attributed to the UV laser cleaned surface experiencing  
8 rapid melting and quenching, followed by generating the ring-shaped and corrugated shaped  
9 microstructure as well as the produced dislocation density. Therefore, this promising UV laser  
10 cleaning method is not only environmentally friendly, but also enhances the mechanical properties  
11 of laser cleaned surface significantly. Hopefully, there is a great potential to utilize this promising  
12 method to large-scale cleaning the painting layer of the marine engineering surface and make some  
13 contributions to the marine and industrial fields in the future.

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18 of Alberta.

19

1 **Author's contributions**

2 Yao Lu drafted this paper. Ye Ding and Maolu Wang supervise this manuscript. Lijun Yang  
3 and Yang Wang edit this paper. All authors approve this paper.

4 **Declarations**

5 **Ethical approval** Not applicable

6 **Consent to participate** Not applicable

7 **Consent to publication** All presentations of case reports have consent for publication

8 **Declaration of Competing Interest**

9 The authors declare that they have no known competing financial interests or personal  
10 relationships that could have appeared to influence the work reported in this paper.

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### FIGURE LIST

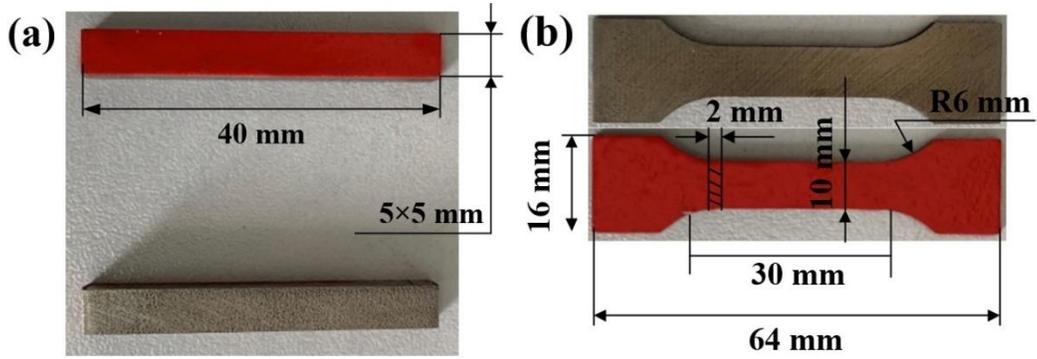


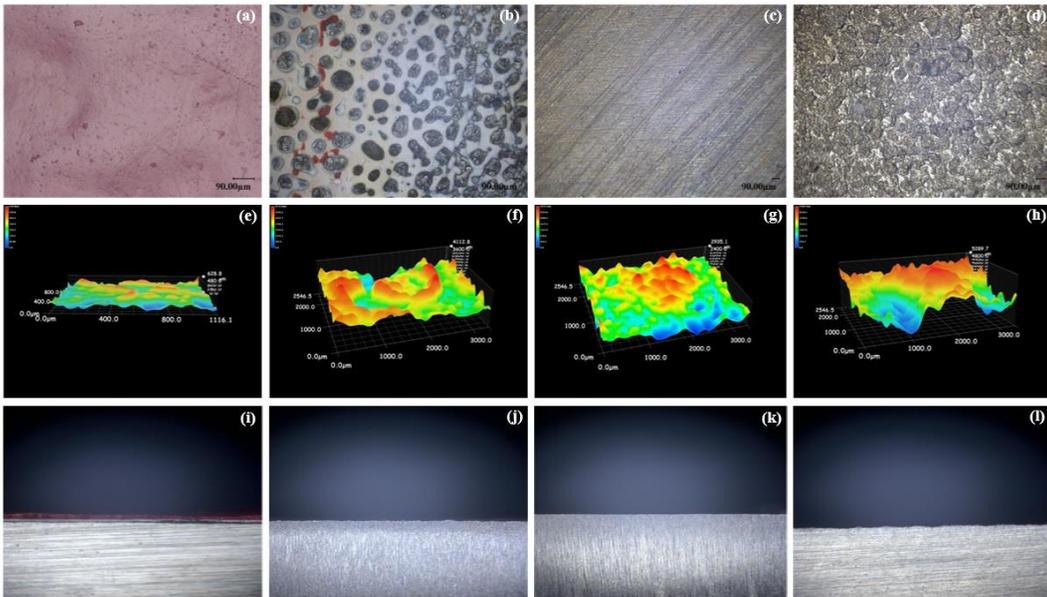
Fig. 1. (a) Standard Tensile Samples (b) standard Bending Samples.

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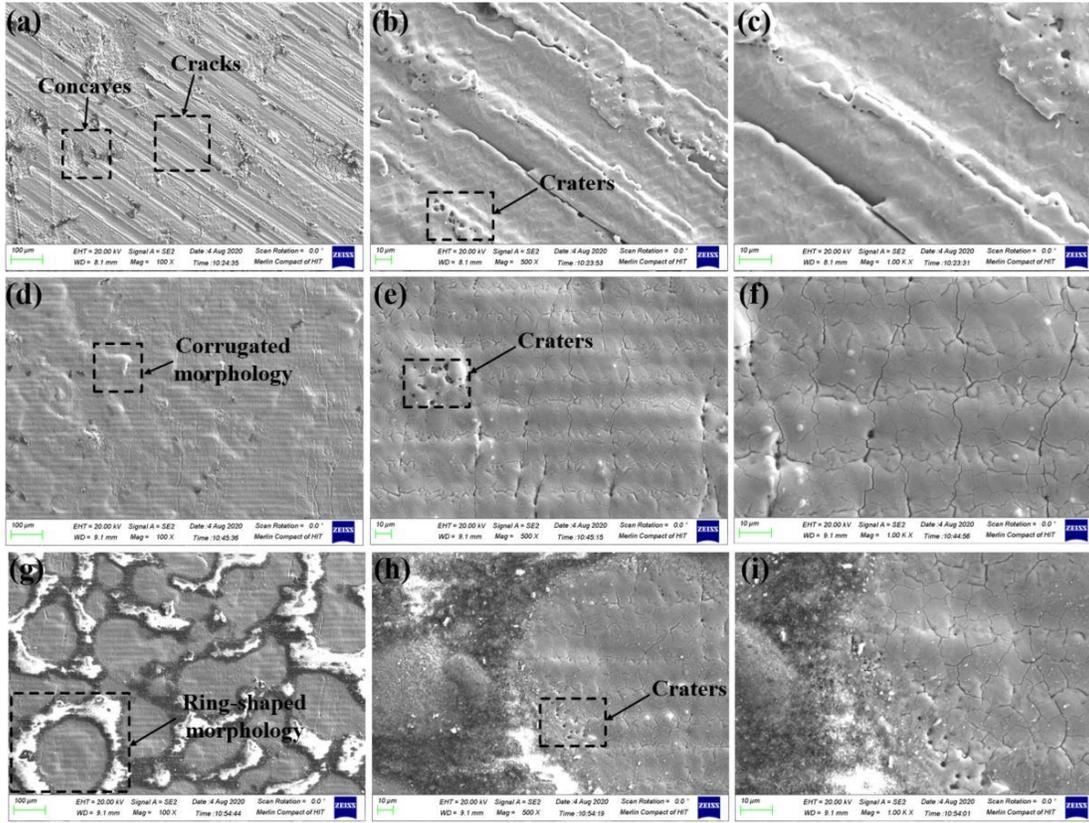
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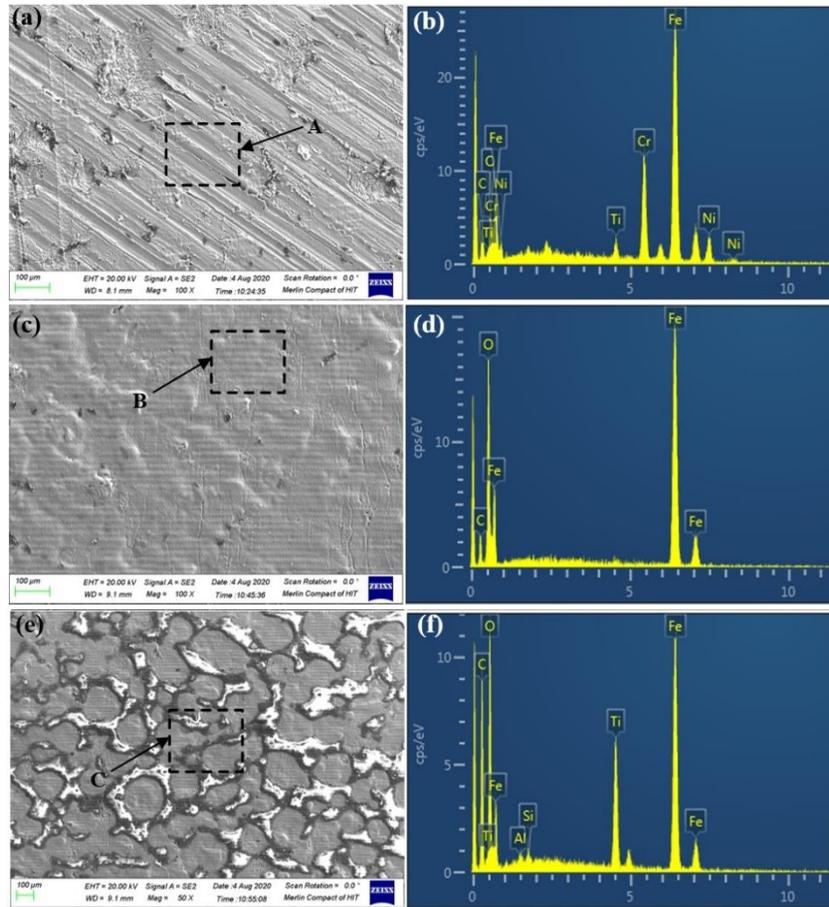
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Fig. 2. Macroscopic three- and two-dimensional optical images of original surface (a, e, i) and laser cleaned surface at scanning speed of 1000 mm/s with different fluence, among them (b, f, j) at laser fluence of 2 J/cm<sup>2</sup>, (c, g, k) at laser fluence of 5 J/cm<sup>2</sup>, (d, h, l) at laser fluence of 7 J/cm<sup>2</sup> respectively.



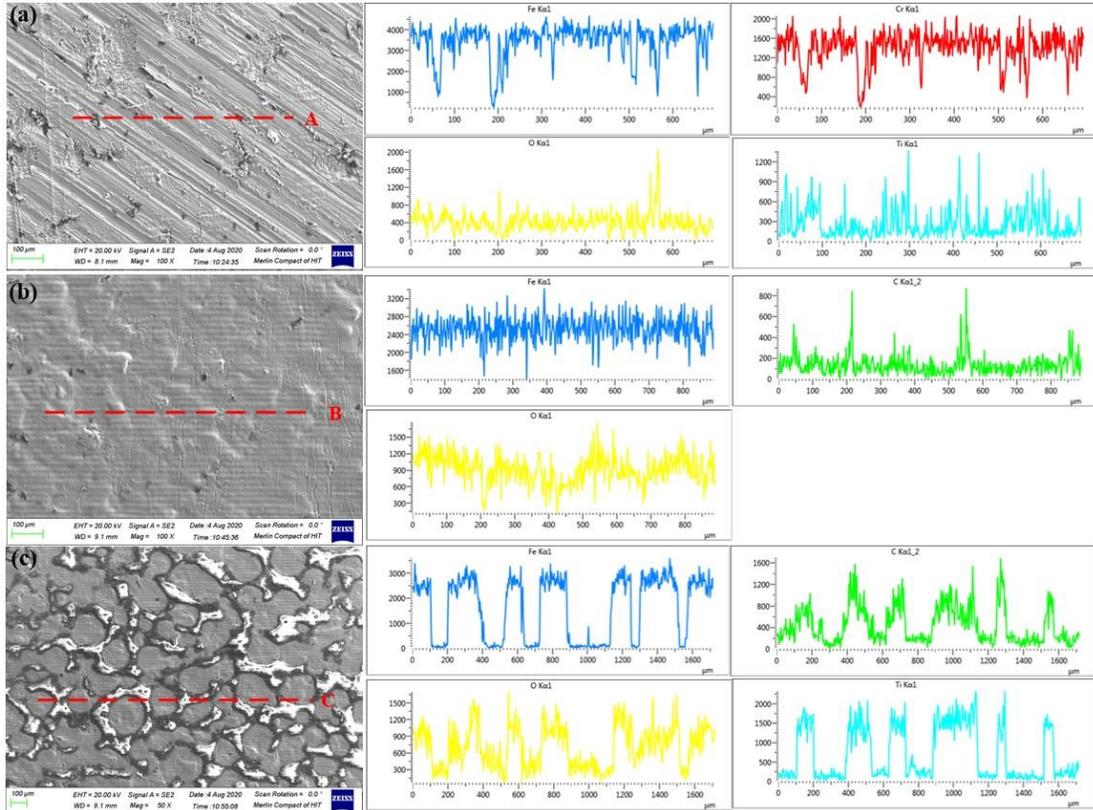
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**Fig. 3.** SEM images of laser cleaned surface at different fluence (a) 2 J/cm<sup>2</sup> (d) 5 J/cm<sup>2</sup> (g) 7 J/cm<sup>2</sup>, (b, c), (e, f) and (h, i) are the accordingly magnification images, correspondingly.

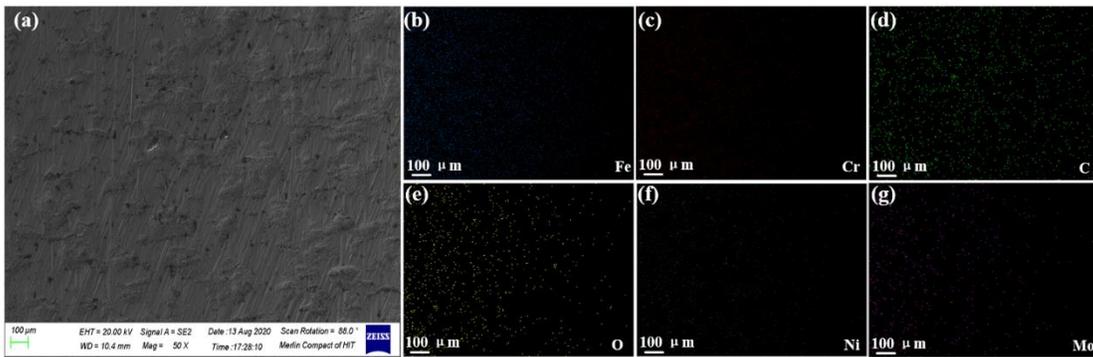


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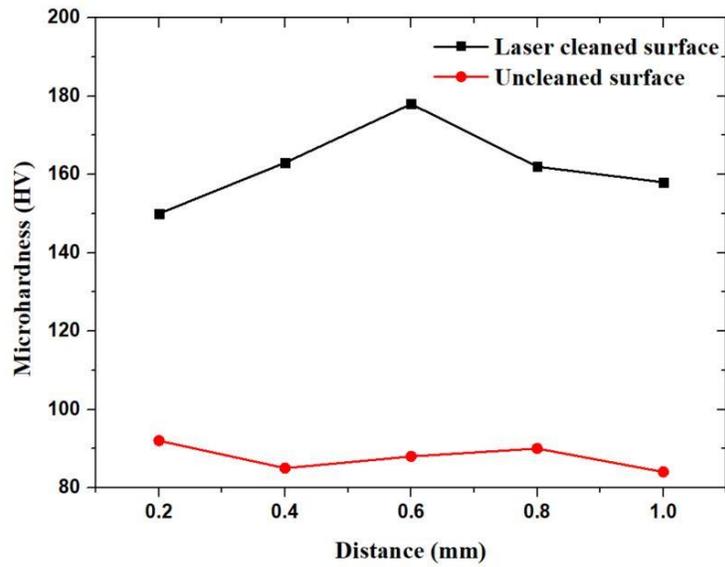
**Fig. 4.** SEM and EDS images of laser cleaned surface with various fluence (a)  $2 \text{ J/cm}^2$  (c)  $5 \text{ J/cm}^2$  (e)  $7 \text{ J/cm}^2$ , (b)–(f) are the accordingly EDS images, respectively.



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2 **Fig. 5.** Line EDS images of laser cleaned surface with different laser fluence (a) 2 J/cm<sup>2</sup> (b) 5 J/cm<sup>2</sup> (c) 7  
3 J/cm<sup>2</sup>, respectively.  
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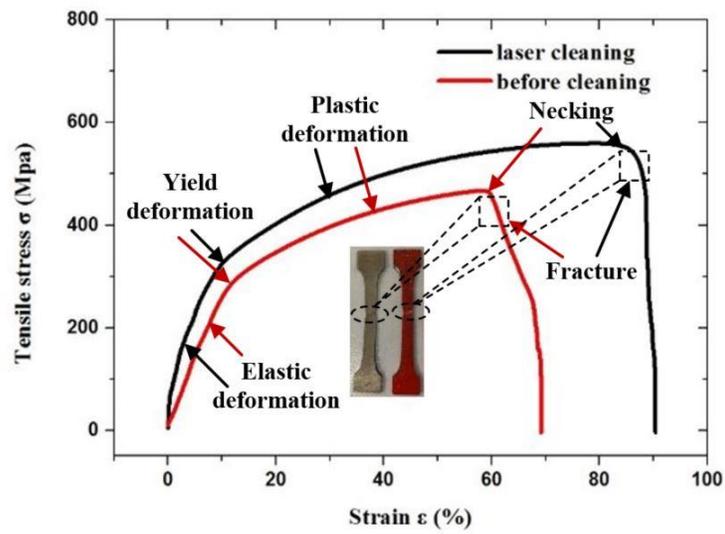


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8 **Fig. 6.** SEM images of laser cleaned surface and (b)–(g) are the accordingly elemental distributions after laser  
9 cleaning, respectively.  
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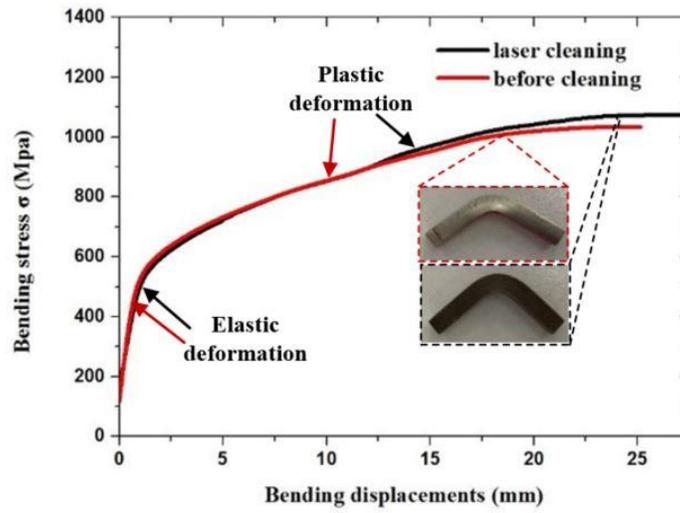
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Fig. 7. The microhardness characterization after UV laser cleaning.



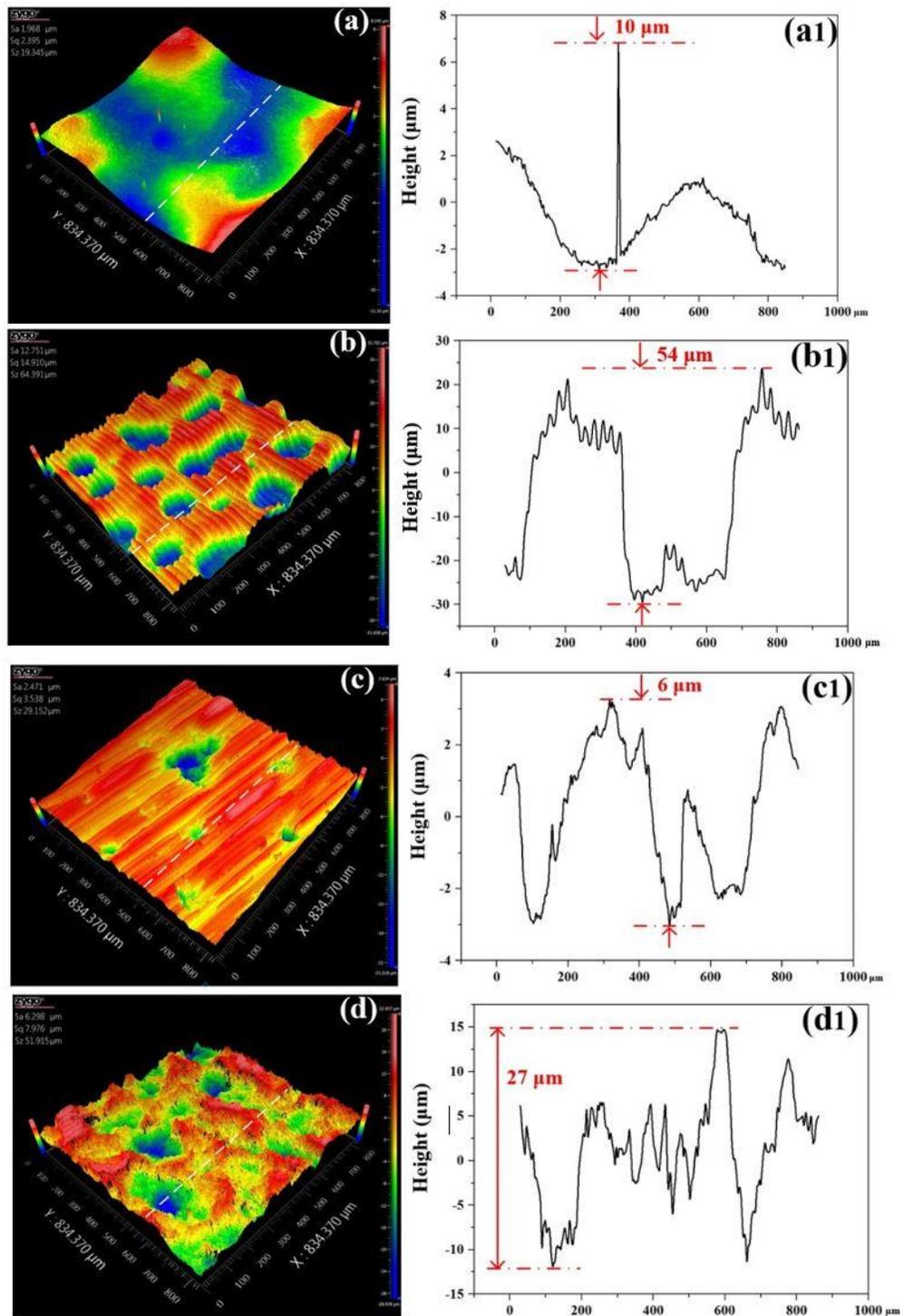
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Fig. 8. The relationship between the strain and tensile stress.



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**Fig. 9.** The relationship between the bending displacements and bending stress.



13 **Fig. 10.** Optical profiler 3D height images of laser cleaned painting layer surfaces (a) original painting layer  
 14 (b) laser cleaned surface at laser fluence  $2 \text{ J/cm}^2$  (c)  $5 \text{ J/cm}^2$  (d)  $7 \text{ J/cm}^2$ , (a1)–(d1) are the accordingly line  
 15 scanned profiles, respectively.

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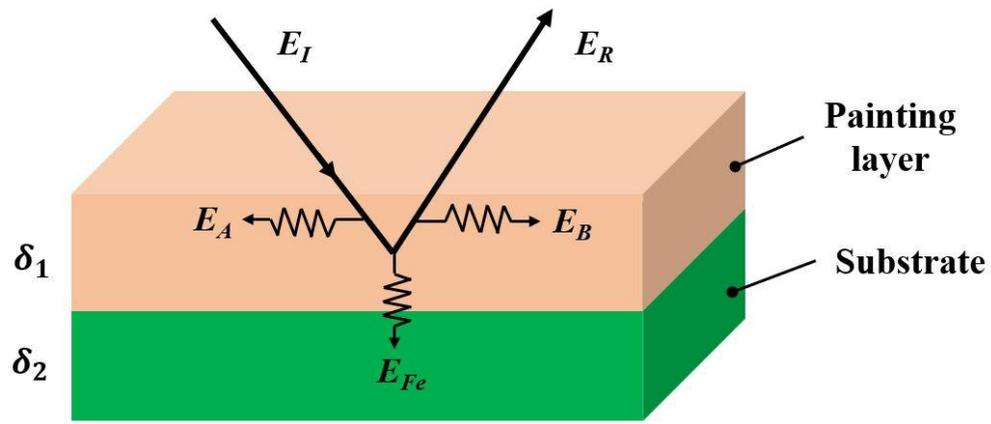


Fig. 11. Schematic diagram of laser cleaning painting layer model.

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1 **TABLE LIST**

2 **Table 1.** Mass fraction of elements in AH36 steel w%.

Elements	Fe	Mn	Si	C	P	S
Mass fraction	base	1.20-1.45	0.15-0.50	0.15-0.18	0.025	0.1

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5 **Table 2.** Chemical bond energies of painting layer.

Polymer bonds	C-N	C-H	C=C	O-O	C=O	C-C
Bond energy	3.04	4.30	8.44	5.12	6.40	3.62

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8 **Table 3.** The element composition measured by EDS of the laser cleaned painting layer surface with 3  
9 different areas.

element	A	B	C
	Weight/%	Weight/%	Weight/%
C	17.41	12.89	30.67
O	5.58	24.50	27.67
Fe	53.04	62.61	32.43

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**Table 4.** The physical constants of Fe substrate and painting layer [58].

Physical constants	substance	M	$\rho(\text{g/cm}^3)$	Melting point( $^{\circ}\text{C}$ )	Boiling point( $^{\circ}\text{C}$ )
	Fe substrate	56	7.87	1535	3000
	Painting layer	92.5	1.30	648	789.5

	substance	Transition	$\Delta\text{H, J}$	T, K
Phase transition constants	Fe substrate	s $\rightarrow$ l	13770	1809
	Fe substrate	l $\rightarrow$ g	27480	2563
	Painting layer	s $\rightarrow$ l	9650	3003

2

	substance	a	b	c	Range, K
Heat capacity constants	Fe substrate <sub>(s)</sub>	37.12	617	0	298-1809
	Fe substrate <sub>(l)</sub>	41.8	0	0	1809-1873
	Painting layer	121.46	8.54	-19.16	298-937

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4

# Figures

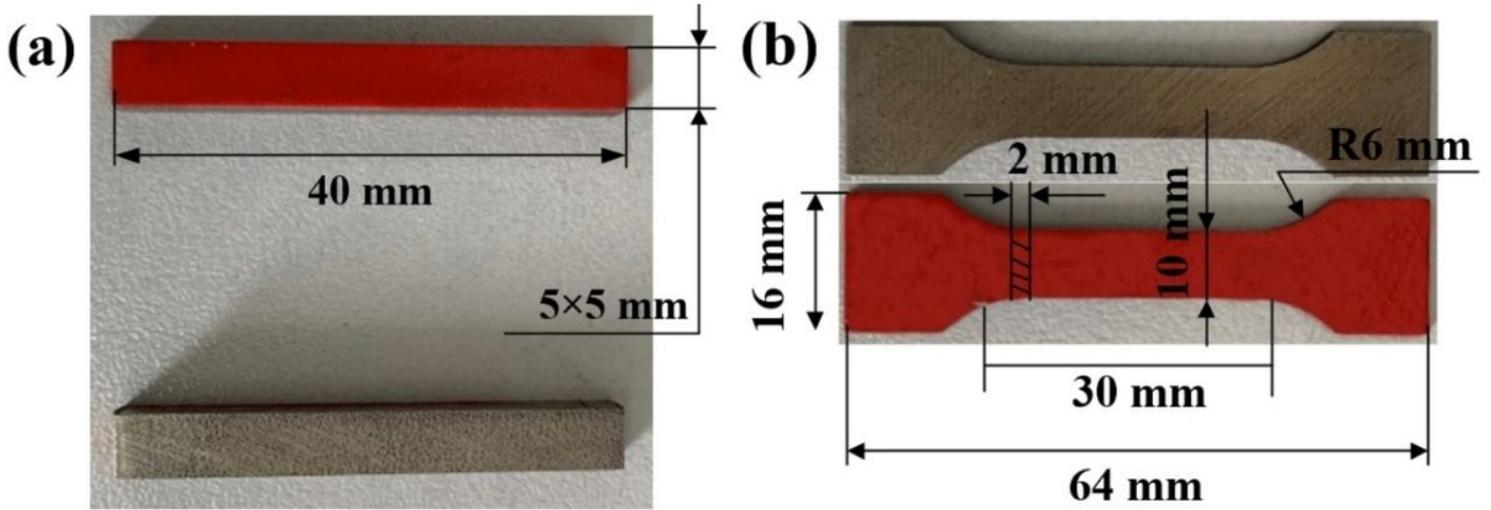


Figure 1

(a) Standard Tensile Samples (b) standard Bending Samples.

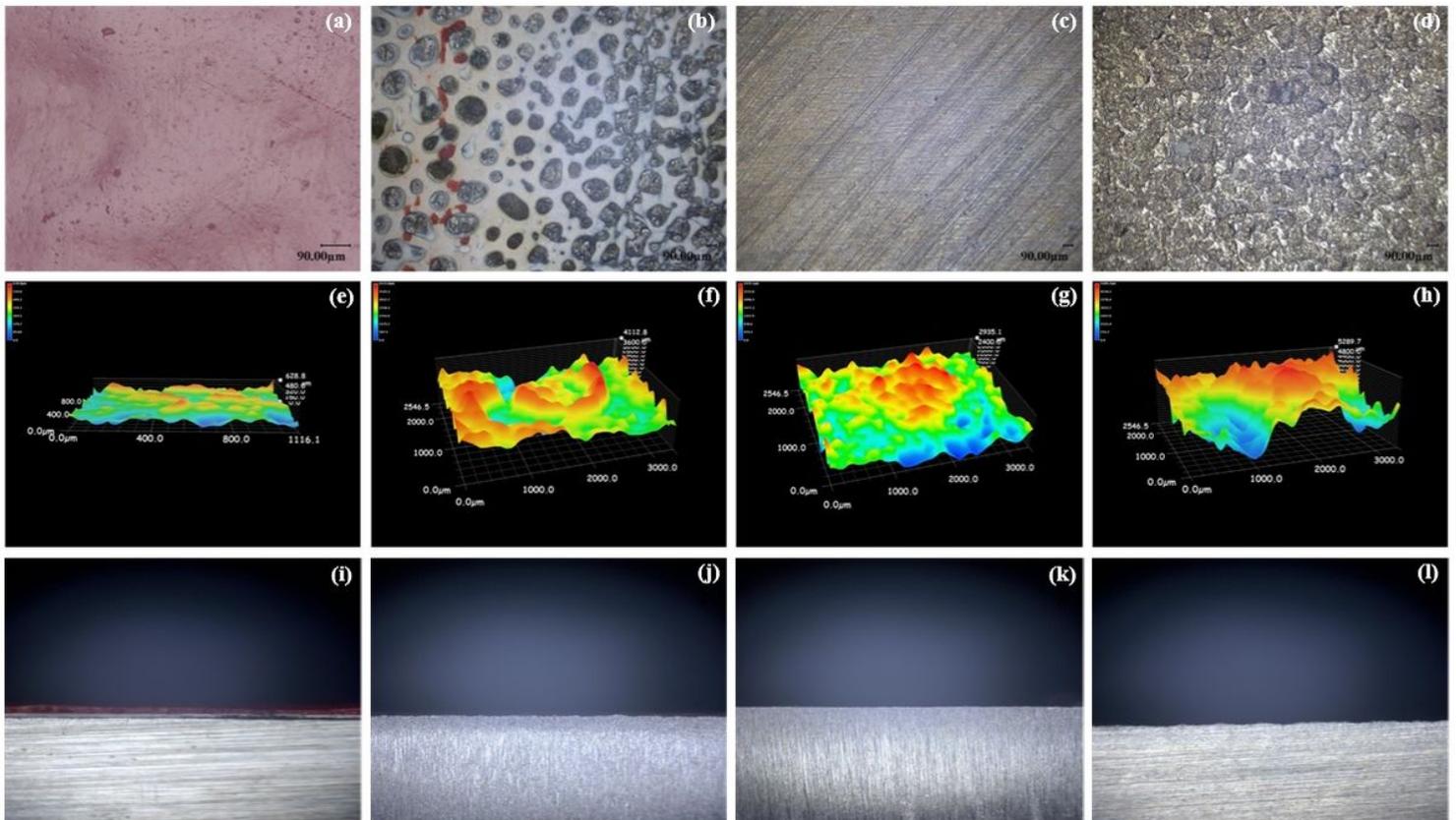
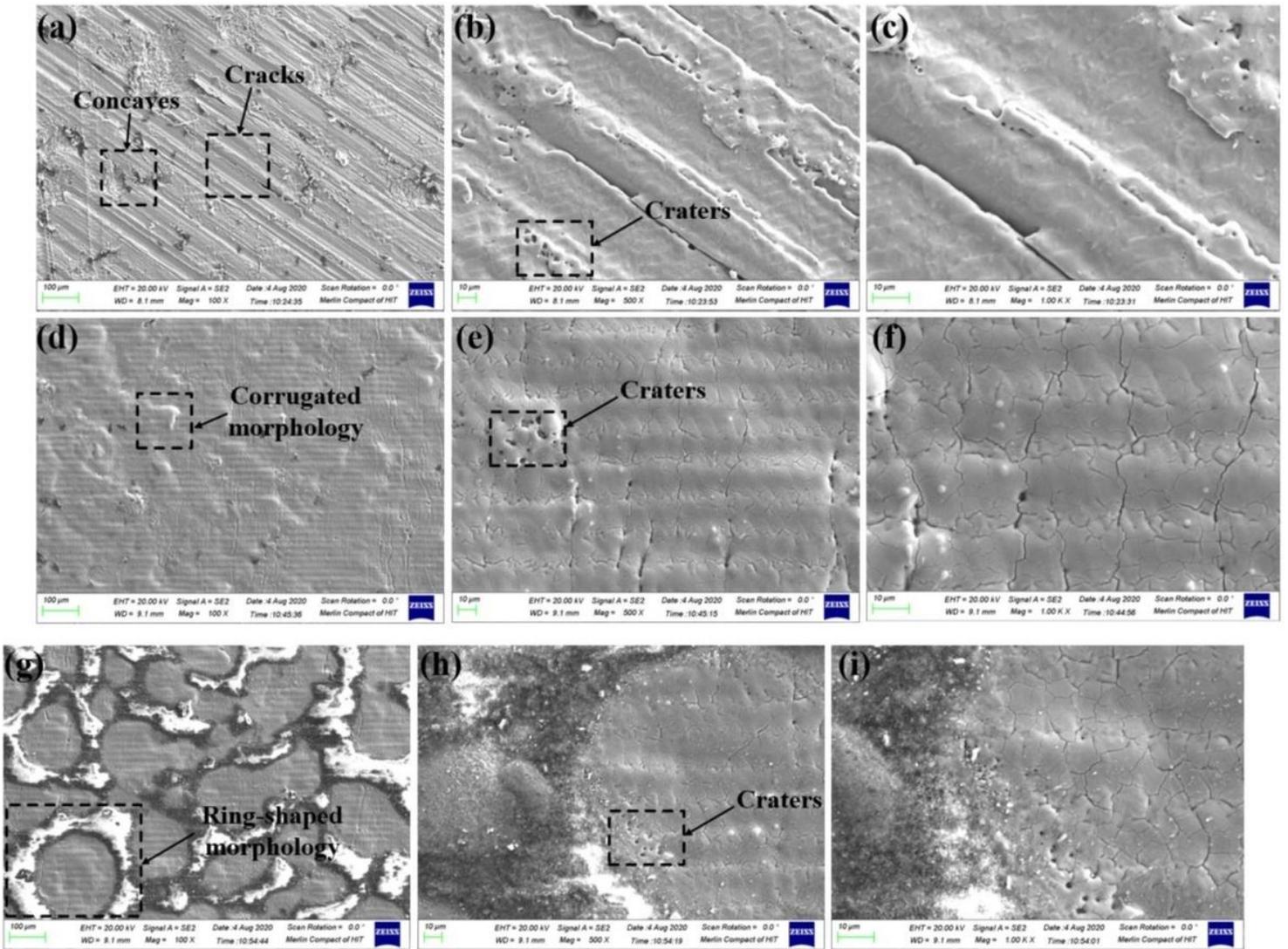


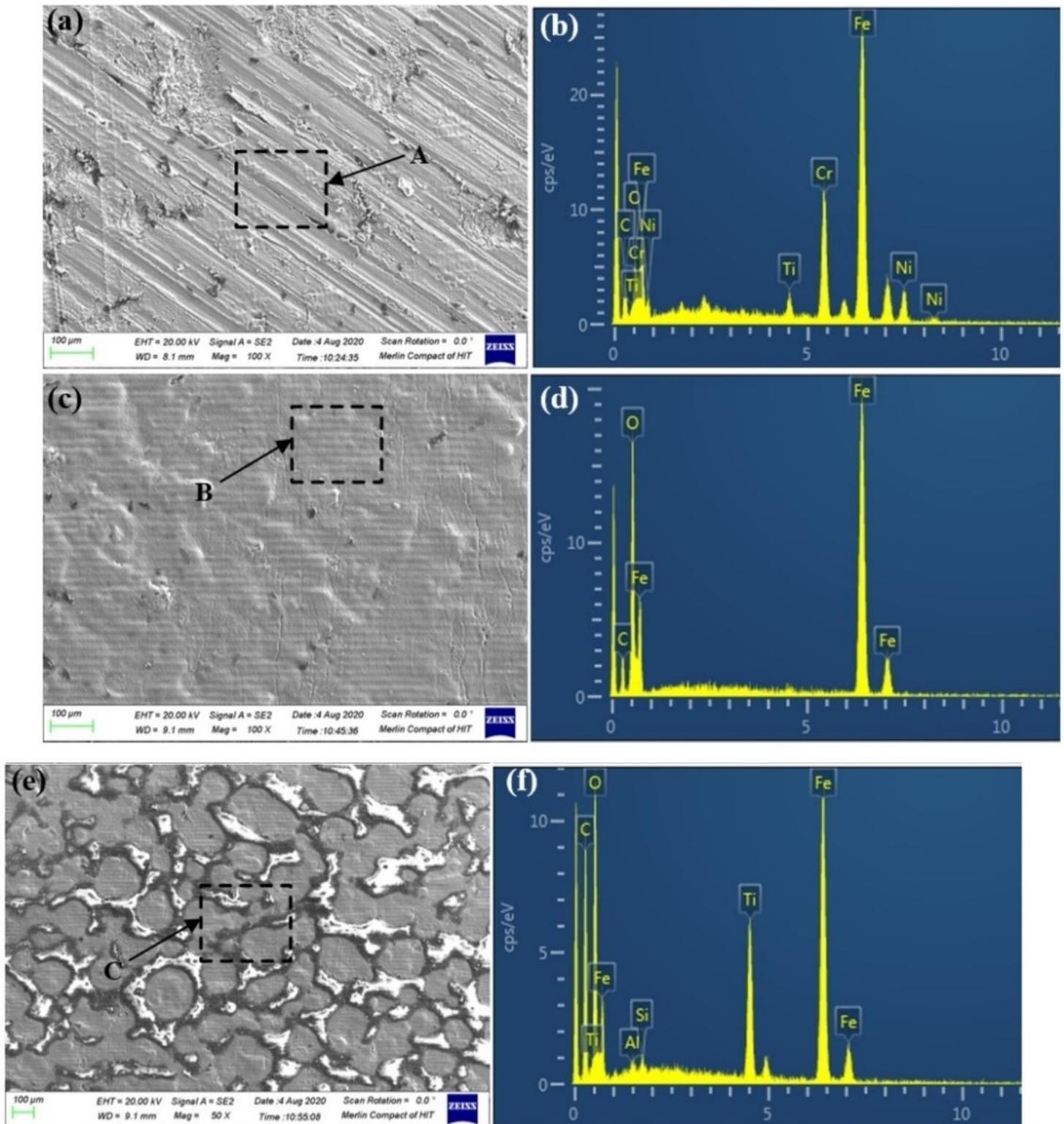
Figure 2

Macroscopic three- and two-dimensional optical images of original surface (a, e, i) and laser cleaned surface at scanning speed of 1000 mm/s with different fluence, among them (b, f, j) at laser fluence of 2 J/cm<sup>2</sup>, (c, g, k) at laser fluence of 5 J/cm<sup>2</sup>, (d, h, l) at laser fluence of 7 J/cm<sup>2</sup> respectively.



**Figure 3**

SEM images of laser cleaned surface at different fluence (a) 2 J/cm<sup>2</sup> (d) 5 J/cm<sup>2</sup> (g) 7 J/cm<sup>2</sup>, (b, c), (e, f) and (h, i) are the accordingly magnification images, correspondingly.



**Figure 4**

SEM and EDS images of laser cleaned surface with various fluence (a) 2 J/cm<sup>2</sup> (c) 5 J/cm<sup>2</sup> (e) 7 J/cm<sup>2</sup>, (b)–(f) are the accordingly EDS images, respectively.

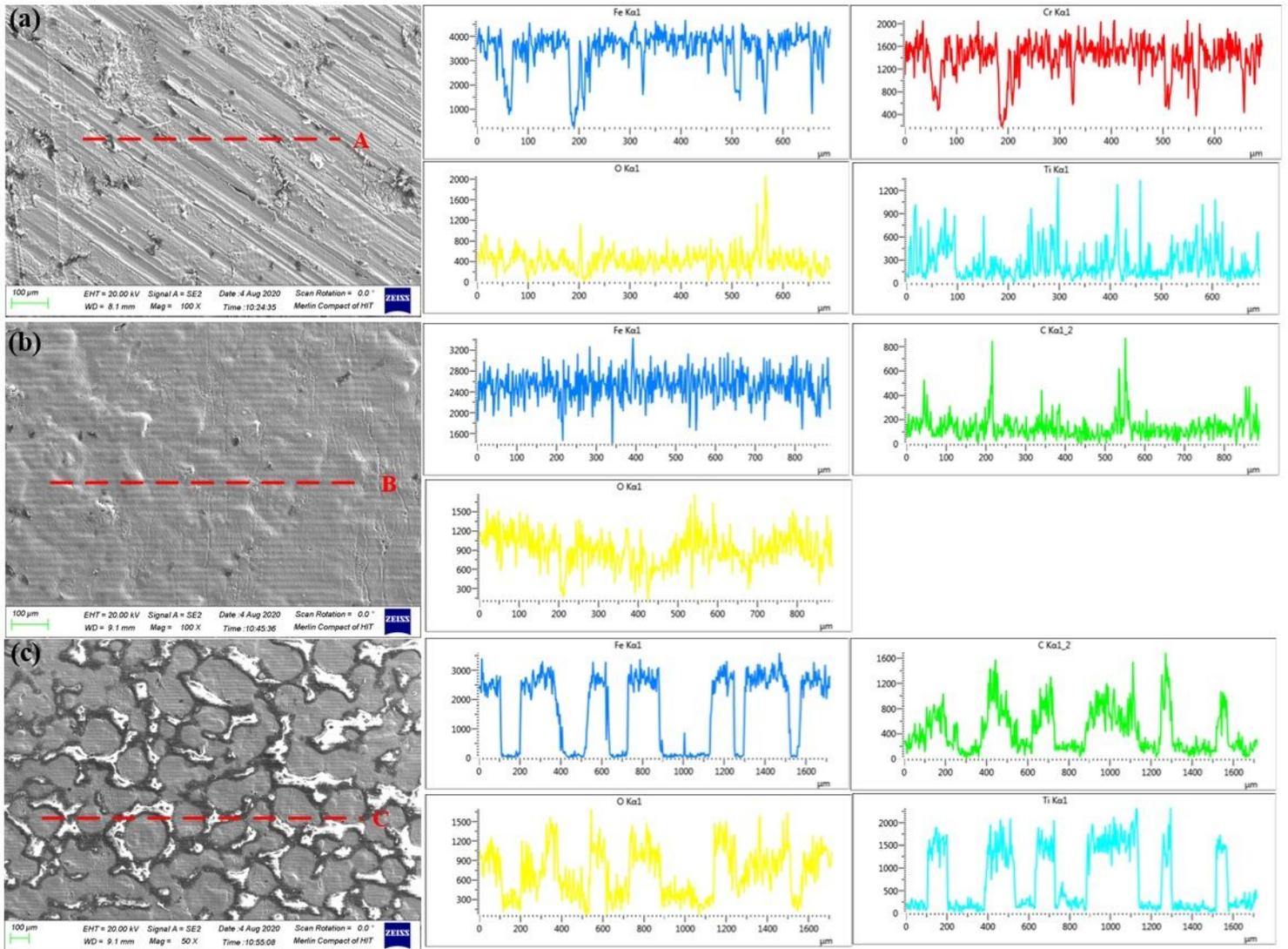


Figure 5

Line EDS images of laser cleaned surface with different laser fluence (a) 2 J/cm<sup>2</sup> (b) 5 J/cm<sup>2</sup> (c) 7 J/cm<sup>2</sup>, respectively.

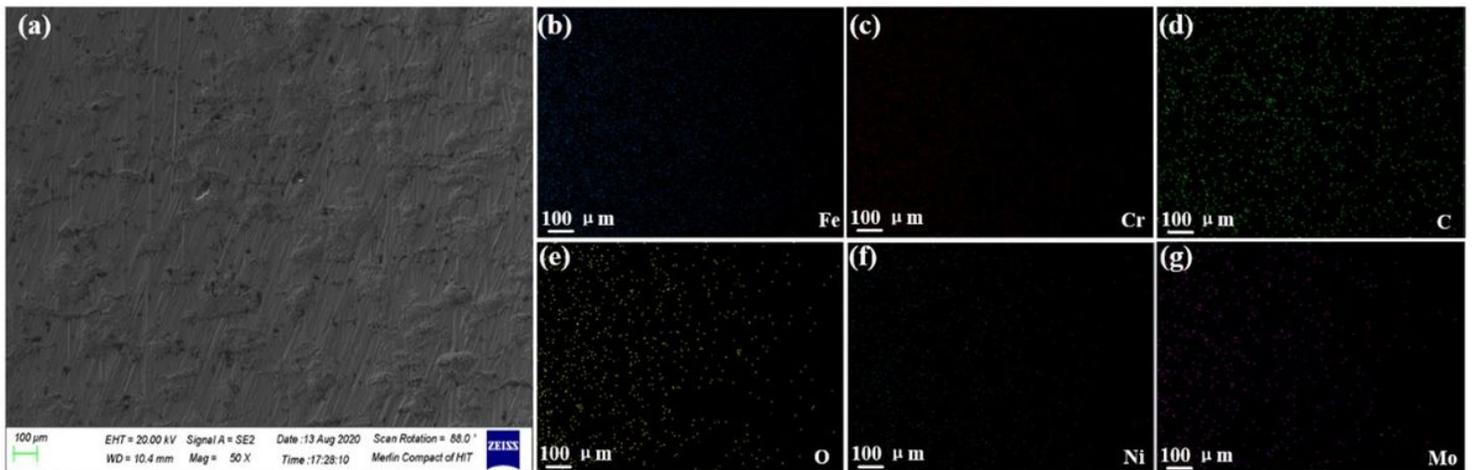


Figure 6

SEM images of laser cleaned surface and (b)–(g) are the accordingly elemental distributions after laser cleaning, respectively.

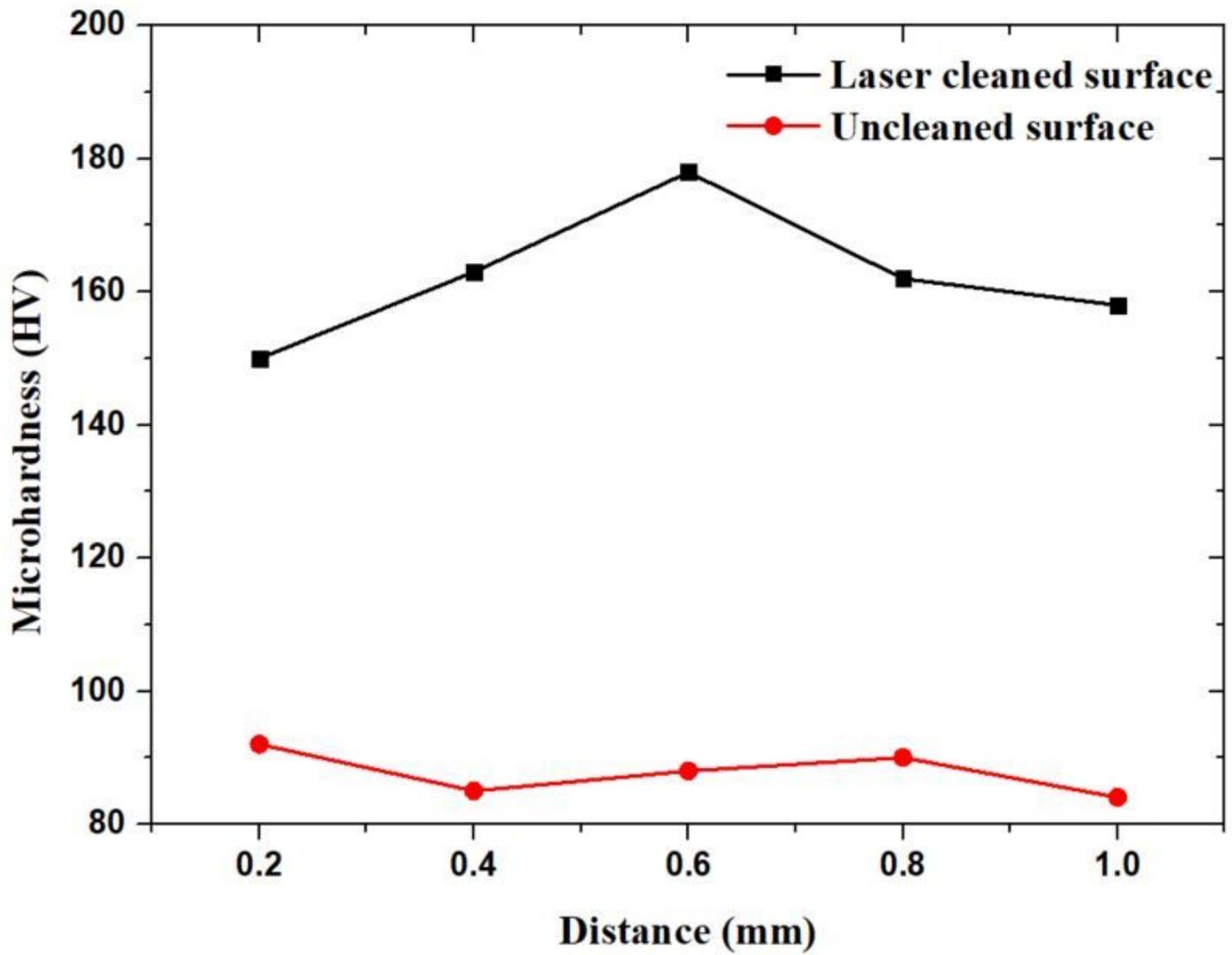


Figure 7

The microhardness characterization after UV laser cleaning.

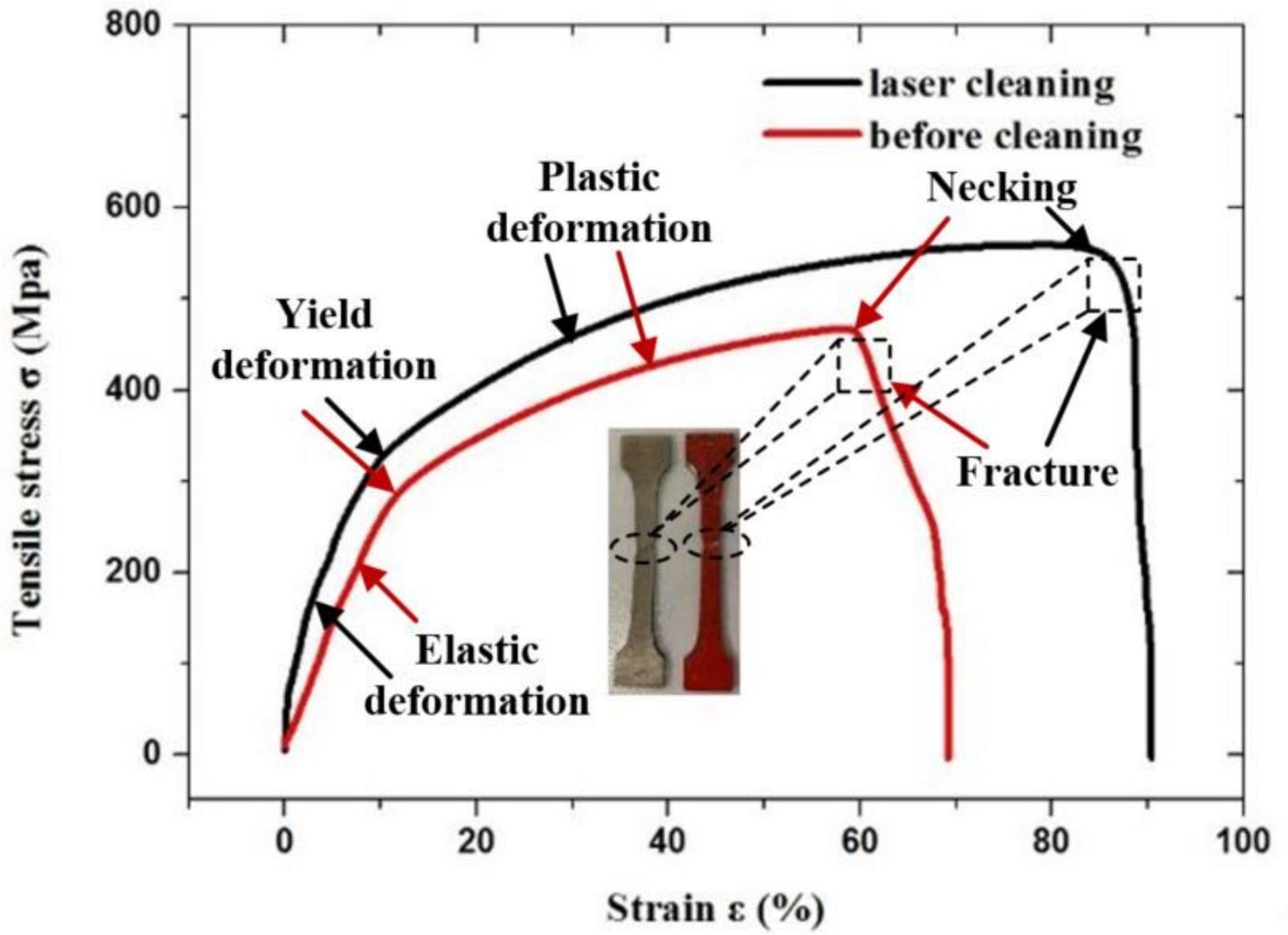


Figure 8

The relationship between the strain and tensile stress.

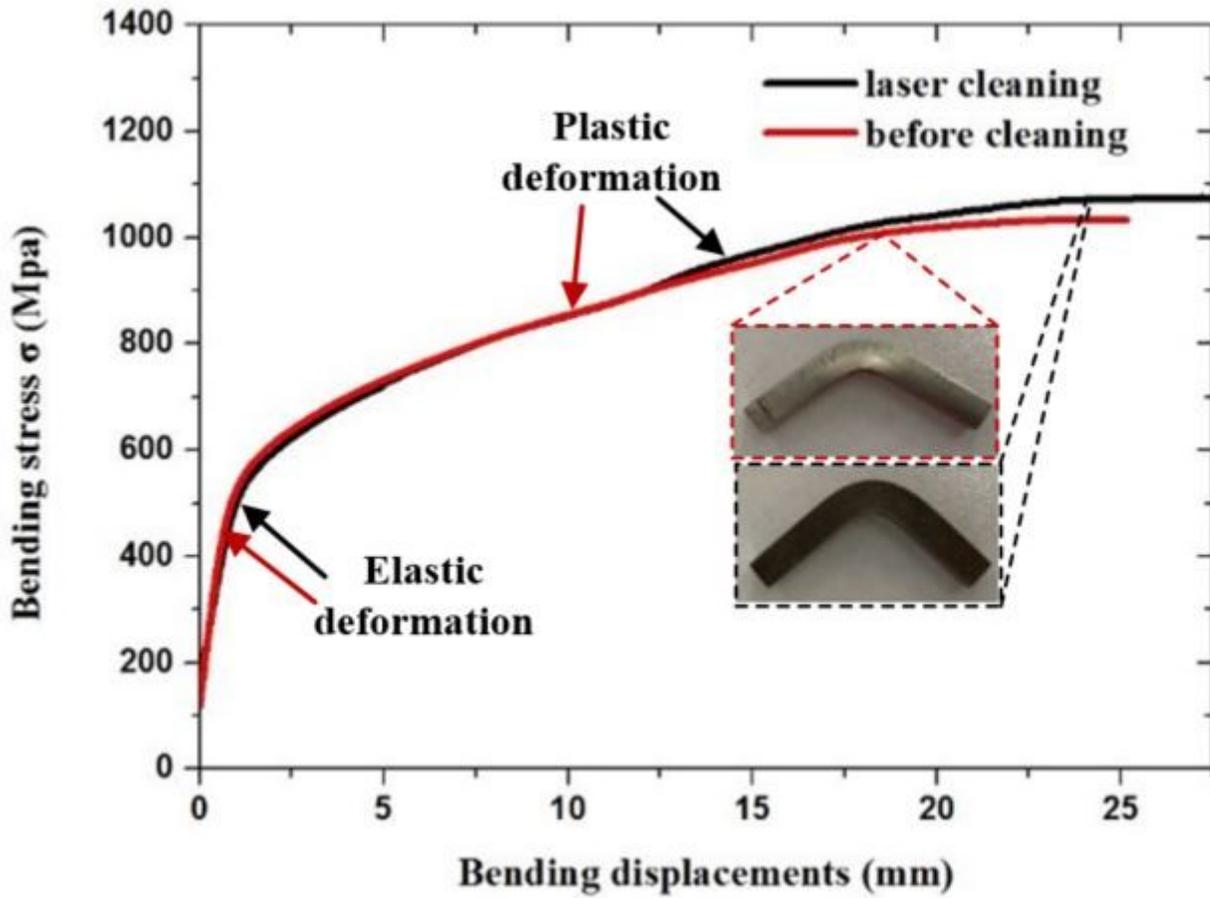


Figure 9

The relationship between the bending displacements and bending stress.

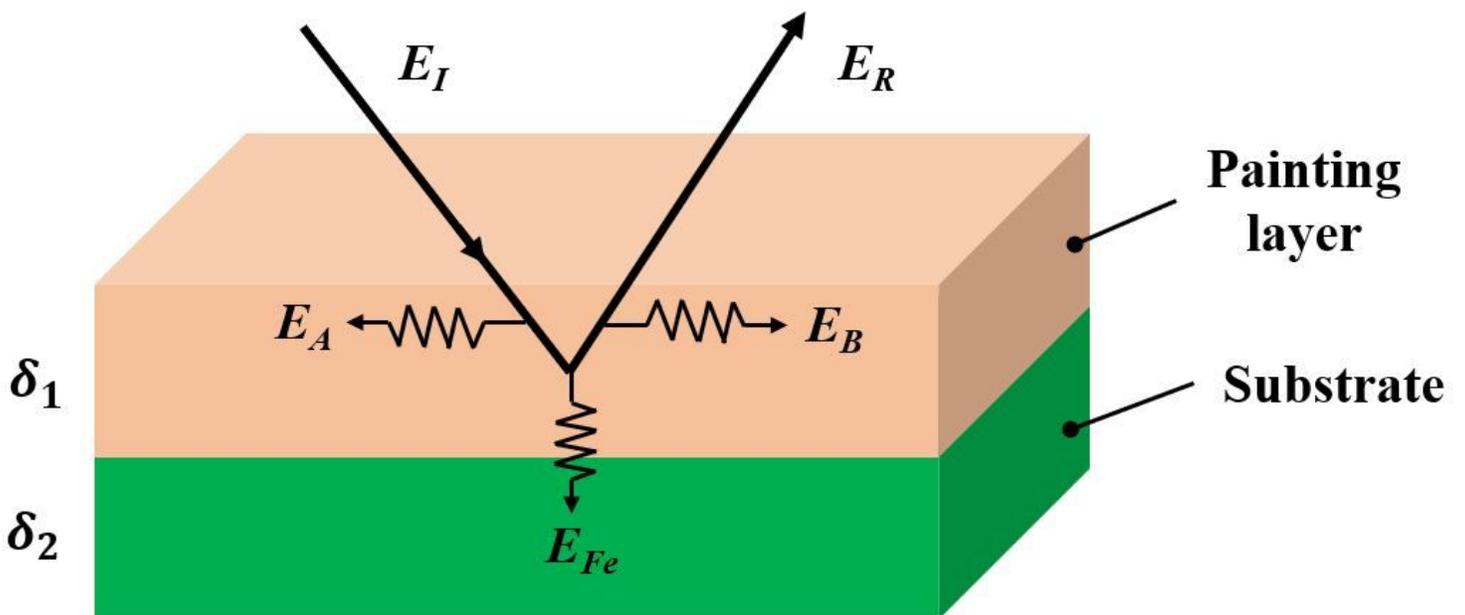


Figure 11

Schematic diagram of laser cleaning painting layer model.