

Optimal Generation Expansion Planning Model of a Combined Thermal-wind-PV Power System Considering Multiple Boundary Conditions: A Case Study in Xinjiang, China

Yu Li (✉ D1034095642@163.com)

Mei Dai

North China Electric Power University

Songtao Hao

State Grid Corporation of China

Gang Qiu

State Grid Corporation of China

Guoqing Li

State Grid Corporation of China

Guilian Xiao

State Grid Corporation of China

Dagui Liu

State Grid Corporation of China

Original article

Keywords: Optimization Model, Generation Expansion Planning (GEP), Multiple Boundary Conditions, Renewable Energy

Posted Date: May 10th, 2020

DOI: <https://doi.org/10.21203/rs.3.rs-22631/v1>

License:   This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

Version of Record: A version of this preprint was published at Energy Reports on November 1st, 2021. See the published version at <https://doi.org/10.1016/j.egy.2021.01.020>.

Optimal Generation Expansion Planning Model of a Combined Thermal-wind-PV Power System Considering Multiple Boundary Conditions: A Case Study in Xinjiang, China

Yu Li^{1*}, Mei Dai², Songtao Hao¹, Gang Qiu¹, Guoqing Li¹, Guilian Xiao¹, Dagui Liu¹

* Correspondence: D1034095642@163.com; ¹State Grid Xinjiang Electric Power Co., Ltd., Urumqi 830000, China; ²School of Economics and Management, North China Electric Power University, Beijing 102206, China

Abstract

Background: The scarcity of fossil fuels and their high emissions impact on the environment have forced the rapid development of renewable energy. Wind and photovoltaic power play a more and more important role in today's power system because of their clean and renewable characteristics. However, with the large-scale grid connection of wind and photovoltaic power, the contradiction between renewable energy and thermal power is becoming more and more serious. The unreasonable planning of power generation resources has also caused a lot of waste of electric power.

Methods: To solve this problem, this paper comprehensively considers the construction and operation costs of power plants, and constructs an optimal generation expansion planning model of a combined thermal-wind-PV power system with the objective of minimizing total cost. The planning is calculated under the boundary conditions of ensuring the safe operation of the power grid and taking into account the share requirement, utilization requirements and construction requirements for renewable energy. The model is applied to the self-use and external power plants in Xinjiang.

Results: The results show that this generation expansion plan can reduce the total cost of the power plants while ensuring the load demand. The renewable energy has also received more consumption share and its abandonment rate has dropped significantly. Numerical examples show that the optimization model has good applicability.

Conclusion: The proposed optimization model can realize the coordinated development of three types of power sources under multiple boundary conditions, which can not only guarantee the economy of power construction, but also take into account the optimization of environmental benefits. Reasonable generation expansion planning can improve energy efficiency, achieve clean and low carbon in the process of power generation, and promote the sustainable development of society.

Keywords: Optimization Model; Generation Expansion Planning (GEP) ; Multiple Boundary Conditions; Renewable Energy

36 **1. Background**

37 The energy and power industry is an important support for the rapid development
38 of the national economy [1]. With the rapid consumption of fossil fuels in the past, it
39 has not only caused the global energy crisis, but also aggravated the environmental
40 problems [2]. Therefore, clean and renewable energy, represented by wind and PV
41 power, has gradually gained attention [3]. Many countries have taken positive measures
42 to increase the installed capacity of renewable energy [4-6]. China has also
43 promulgated a number of policies to promote the development of renewable energy [7-
44 9]. As of 2018, China's renewable energy installed capacity reached 728GW, accounting
45 for about 32% of the world. The installed capacity of hydropower, wind and PV power
46 reached 352, 185 and 175GW respectively, ranking first in the world [10]. However,
47 the excess installed capacity has brought about a serious situation of renewable energy
48 abandonment. According to the National Energy Administration, the national
49 abandoned amount of hydropower, wind and PV power reached 69, 28 and 5TWh
50 respectively in 2018 [11]. In provinces with more renewable energy installed capacity,
51 such as Xinjiang and Gansu, the abandonment rate even exceeds 20% [12]. Therefore,
52 how to properly plan the development of renewable energy has become the main
53 problem at present.

54 China's electricity demand and supply are inversely distributed. The load centers
55 are mainly concentrated in the central and eastern regions, while the power supply
56 centers are mainly concentrated in the northwest [13]. To solve this problem, China
57 has implemented the West-East Power Transmission Strategy and built several UHV
58 transmission channels [14]. As a major energy province in China, Xinjiang undertakes
59 an important responsibility and its power supply and power grid are in a critical period
60 of large-scale construction and leaping development. With its own resource advantages
61 and policy support, Xinjiang's power industry has made considerable progress. By the
62 end of 2018, the total installed capacity of power supplies in Xinjiang was 86GW,
63 including wind power of 19GW and PV power of 10GW [15]. However, the large
64 installed capacity of renewable energy has also brought a lot of problems to the Xinjiang
65 power grid. On the one hand, due to the self-use demand has not been deeply excavated
66 and the external transmission space has not been effectively developed, there is a
67 serious phenomenon of renewable energy abandonment in Xinjiang. The high spillage
68 rate has caused Xinjiang to be included in the red warning zone of developing
69 renewable energy for three consecutive years, suspending the construction of wind and

70 PV power [16,17]. On the other hand, in order to solve environmental problems and
71 promote clean energy development, China has issued a series of policies to promote
72 priority dispatch of renewable energy [18]. These one-size-fits-all policies has made
73 even if there is a waste of renewable energy in Xinjiang, its thermal power has not
74 received a corresponding share of power generation, which makes it more difficult to
75 distribute load demand that is already insufficient. The economic interest conflict
76 between thermal power and renewable energy is becoming increasingly serious. In
77 addition, the randomness and volatility of wind and PV power pose great challenges to
78 the security and stability of Xinjian's power grid. How to satisfy the interests of thermal,
79 wind and PV power plants under the premise of ensuring the safe operation of the power
80 grid is of great significance to the coordinated development of various types of power
81 sources in Xinjiang.

82 Optimizing the structure of the power system involves a lot of funds and resources.
83 The GEP model is a good way to solve this kind of problem, which can choose the best
84 energy distribution at an acceptable economic cost [19-21]. The GEP model can be
85 divided into three categories: optimization models, general/partial equilibrium models
86 and alternative models, but the optimization model is the most widely used [22]. S.Y.
87 Chen et al. proposed a multi-regional power GEP model considering air pollutants
88 emission constraints [23]. He applied the model to China and found that replacing large
89 coal-fired power plants with renewable power sources can well reduce pollutant
90 emissions. Chen et al. analyzed the impact of the non-carbon external cost of different
91 power generating technologies on China's power planning [24]. M.D. Rodgers et al.
92 introduced the damage caused by the power industry to human health into the GEP and
93 constructed the optimization model with the objective of minimizing social costs [25].
94 I. Khan. analyzed the feasibility and sustainability of the GEP from the social,
95 environmental and economic aspects and he pointed out that the design of energy
96 policies must strike a balance between all three aspects [26]. With the massive
97 integration of renewable energy resources, the formulation of GEP has become more
98 and more complicated [27-29]. J. English et al. applied short-term constraints to the
99 long-term GEP model to deal with the increasing demand for power system flexibility
100 caused by the large-scale penetrations of renewable energy [30]. Based on an analysis
101 of the current situation of Spain's power system, R. Gómez-Calvet et al. used the Linear
102 Programming Optimization Technique (LPOT) to optimized the mix of renewable
103 energy to reduce the need of reserve capacity and surplus power [31]. B. Canizes et al.

104 constructed a two-stage GEP model considering the effects of seasonal and daily
105 periods impact on renewable energy and the optimization scheme achieves the lowest
106 cost and line loss at the same time [32]. D. Quiroga et al. set up five scenarios that
107 represent different trends and energy policies over the next decade, and on this basis
108 studied the impact of pollutant emission policies GEP. The results shown that the
109 availability of renewable energy may improve the effectiveness of emission policies
110 [33]. S. Pineda et al. proposed a GEP model that included both electricity and certificate
111 markets to analyze the impact of quotas and penalty costs on the capacity expansion of
112 renewable energy generation, and found that the introduction of the markets reduced its
113 installed capacity [34].

114 Although the above papers have studied GEP models from multiple aspects, they
115 mostly focus on the dispatching side or the user side. These papers set the main
116 optimization goal to minimize the cost of power construction or minimize
117 environmental pollution, while ignoring the economics of the power plants. Of course,
118 the method they proposed cannot be applied well to Xinjiang's complex power
119 background. Therefore, based on the current power operation status in Xinjiang, this
120 paper constructed an optimal GEP model of a combined thermal-wind-PV power
121 system considering multiple boundary conditions from the power supply side. First of
122 all, this paper analyzed the income and expenditure of the thermal, wind and PV power
123 plants in Xinjiang, and calculated their break-even hours. Then, according to the
124 various national policies for the development of renewable energy resources, three
125 boundary conditions were set up: share requirements, utilization requirements and
126 construction requirements. Finally, under these conditions, the optimal GEP of thermal,
127 wind and PV power plants in Xinjiang in from 2020 to 2030 was designed based on the
128 self-use and external load demand, which could provide reasonable suggestions for the
129 coordinated development of various power resources in Xinjiang during the “14th Five-
130 Year Plan” and the “15th Five-Year Plan”.

131 The rest of the paper is organized as follows. Section 2 states the presuppositions
132 for developing the GEP model, and details its objective function and constraints, as well
133 as the three boundary conditions. Section 3 introduces the operating status of Xinjiang
134 power supply and the related data in the model. The optimal GEP is analyzed and
135 discussed in Section 4, and Section 5 provides a summary and concluding remarks.

136 **2. Model and Methods**

137 **2.1 Presupposition**

138 The construction of the planning model needs to consider a variety of influencing
 139 factors. In order to improve the applicability of the model, this paper proposes the
 140 following assumptions combined with the energy development status of Xinjiang.

141 (1) The fuel of thermal power only consider coal and the unit does not carry out
 142 major technical upgrading during the planning period, so the coal consumption
 143 coefficient will not drop significantly;

144 (2) The cost of the thermal power unit includes fuel cost and operation cost
 145 excluding coal transportation costs, and the remaining renewable power units only
 146 include operation cost;

147 (3) The break-even utilization hours will be affected by the income and
 148 expenditure costs for the individual power generation units. Therefore, this paper
 149 selects the average break-even utilization hours of each types of power sources in
 150 Xinjiang for calculation;

151 (4) Because the self-use and external power supply cannot be used interchangeably,
 152 it needs to be separately constrained;

153 (5) The construction of UHV transmission channels needs to be considered from
 154 multiple aspects. In the case that there is no new UHV line put into operation in the
 155 future, the capacity of the supporting power supply will not be increased during the
 156 planning period.

157 Based on the above assumption, the generation planning model is constructed with
 158 the minimum total investment and operating cost as the optimization goal.

159 **2.2 Generation expansion planning model**

160 **2.2.1 Objective function**

161 In the power planning, the main consideration is the investment cost of the new
 162 power plants, as well as the operation cost of the generators. Therefore, the optimization
 163 goal is to minimize the total cost.

$$164 \quad \text{Min}Z = C + C^* \quad (1)$$

$$165 \quad C = \sum_{t=1}^T \sum_{i=1}^N \Delta Q_{i,t} P_{i,t} + \sum_{t=1}^T \sum_{i=1}^N H_{i,t} Q_{i,t} \delta_{i,t} \quad (2)$$

$$C^* = \sum_{t=1}^T \sum_{i=1}^N \Delta Q_{i,t}^* P_{i,t}^* + \sum_{t=1}^T \sum_{i=1}^N H_{i,t}^* Q_{i,t}^* \delta_{i,t}^*$$

166 **2.2.2 Constraints**

167 (1) Power balance constraint

168 The power consumption and generation in the power system must be balanced in

169 real time [35].

$$\begin{aligned}
 & (1-\lambda) \sum_{i=1}^N H_{i,t} Q_{i,t} (1-\theta_{loss}) = G_t \\
 & \lambda \sum_{i=1}^N H_{i,t}^* Q_{i,t}^* (1-\theta_{loss}^*) = G_t^*
 \end{aligned} \quad (3)$$

171 (2) Reserve capacity constraint

172 In order to achieve stable operation of the power system, it is necessary to select a
 173 power supply unit with adjustment capability for peak shaving to cope with random
 174 changes in load. In this paper, thermal power is selected as the peaking unit, and it
 175 should be able to provide a certain reserve capacity [36].

$$\begin{aligned}
 & Q_{1,t} \geq \eta A_t \\
 & Q_{1,t}^* \geq \eta^* A_t^*
 \end{aligned} \quad (4)$$

177 (3) Power generation economic constraint

178 In order to ensure the economic benefits of power plants, the utilization hours
 179 should not be lower than the average break-even utilization hours, but not higher than
 180 the theoretical utilization hours.

$$\begin{aligned}
 & S_i \leq H_{i,t} \leq H_{i,max} \\
 & S_i^* \leq H_{i,t}^* \leq H_{i,max}^*
 \end{aligned} \quad (5)$$

182 (4) Renewable energy utilization constraints

183 According to the relevant national policy, the minimum utilization rate of
 184 renewable energy should be constraint.

$$\begin{aligned}
 & \frac{H_{i,t}}{H_{i,max}} \geq \alpha_{i,t}, i = 2, 3 \\
 & \frac{H_{i,t}^*}{H_{i,max}^*} \geq \alpha_{i,t}^*, i = 2, 3
 \end{aligned} \quad (6)$$

186 (5) Construction capacity constraint

187 Due to the overcapacity of Xinjiang's renewable energy, it is necessary to improve
 188 the utilization rate of the unit before increasing new capacity.

$$\begin{aligned}
 & \Delta Q_{i,t} = \begin{cases} Q_{i,t} - Q_{i,t-1}, \frac{H_{i,t-1}}{H_{i,max}} \geq \beta_{i,t-1} \\ 0, \frac{H_{i,t-1}}{H_{i,max}} < \beta_{i,t-1} \end{cases} \\
 & \Delta Q_{i,t} \geq 0
 \end{aligned} \quad (7)$$

190 (6) Renewable energy penetration constraint

191 Renewable energy power generation is greatly affected by natural resources. The
192 characteristics of random intermittent output pose challenges to the stable operation of
193 the power grid. Therefore, it is necessary to constrain the maximum penetration rate of
194 wind and PV power [37].

$$\begin{aligned} 195 \quad \mathcal{G}_t &\leq \sum_{i=2}^N H_{i,t} Q_{i,t} (1 - \theta_{loss}) \leq \gamma_t G_t \\ \mathcal{G}_t^* G_t^* &\leq \sum_{i=2}^N H_{i,t}^* Q_{i,t}^* (1 - \theta_{loss}^*) \leq \gamma_t^* G_t^* \end{aligned} \quad (8)$$

196 2.3 Multiple boundary conditions

197 2.3.1 Share requirements for non-hydropower renewable energy

198 According to the Notice on Establishing and Improving the Safeguard Mechanism
199 for Renewable Energy Power Consumption, renewable energy power consumption
200 responsibility weights are set by the province's power consumption. The requirements
201 for Xinjiang from 2018 to 2020 are 11.5%, 12% and 13%, respectively. However, since
202 this paper only considers three types of power resources, the weights of non-
203 hydropower renewable energy in 2020, 2025, and 2030 are set to 30%, 35%, and 40%,
204 respectively.

205 2.3.2 Utilization requirements for renewable energy

206 According to the Clean Energy Dissipation Action Plan (2018-2020), it is
207 necessary to optimize the power structure, reasonably control the pace of power
208 development, and improve the utilization rate of power supply during the 13th five-
209 year Plan period. The target for clean energy consumption in Xinjiang is that the
210 utilization rate of PV power should reach 85% in 2018, 90% in 2019 and 2020, and
211 wind power utilization rates should reach 75%, 80% and 85% in 2018, 2019 and 2020
212 respectively. With the increasingly serious problems of energy and environment, the
213 requirements for energy efficiency will become stricter. Therefore, the utilization rate
214 of wind and PV power is set to 95% and 90% respectively in 2025, and it will reach to
215 95% in 2030 in this paper.

216 2.3.3 Construction requirements for renewable energy

217 According to the Notice on Establishing Monitoring and Early Warning
218 Mechanism to Promote Sustainable and Healthy Development of Wind Power Industry

219 and Notice on Establishing a Market Environment Monitoring and Evaluation
 220 Mechanism to Guide the Healthy and Orderly Development of the Photovoltaic
 221 Industry, Xinjiang has been in the red warning zone for three years, and it is not allowed
 222 to increase the installed capacity of renewable energy. Since the red warning requires
 223 multiple indicators to judge, in order to simplify the calculation, this paper sets the wind
 224 and PV abandonment rates are less than 15% and 10% respectively as the red warning
 225 release standard.

226 **3. Application**

227 **3.1 Problem statement**

228 In recent years, due to the energy red warning, the growth rate of renewable energy
 229 installed capacity in Xinjiang has slowed down, and the proportion of renewable energy
 230 decreased slightly. Although the power supply structure is constantly being optimized,
 231 there is still some irrationality. On the one hand, renewable energy power generation
 232 has squeezed the share of thermal power, making the profitability of various power
 233 generation resources different. The contradiction of interest between renewable energy
 234 and thermal power has intensified. On the other hand, subject to load demand, the
 235 abandonment of wind and PV caused by excessive installed capacity still exists.
 236 Therefore, the current principle of power supply construction in Xinjiang is to promote
 237 coordinated and orderly development of all kinds of power sources under the condition
 238 of ensuring the safety and stability of power system. Specifically, it is necessary to
 239 avoid disorderly competition and prevent redundant construction. While optimizing the
 240 development of thermal power and improving energy efficiency, renewable energy
 241 sources represented by wind and PV power should be actively developed to reduce
 242 environmental pollution, thereby achieving efficient, clean, low-carbon power supply.

243 **3.2 Data**

244 **3.2.1 Break-even hours**

245 Under the current average on-grid price level, the break-even hours are calculated
 246 according to the cost and benefit data of the power plants (Table 1).

247 Table 1 The current break-even hours of three power recourses

Thermal power		Wind power		PV power	
Self-use	External	Self-use	External	Self-use	External

4779 2507 1755 1789 1246 1314

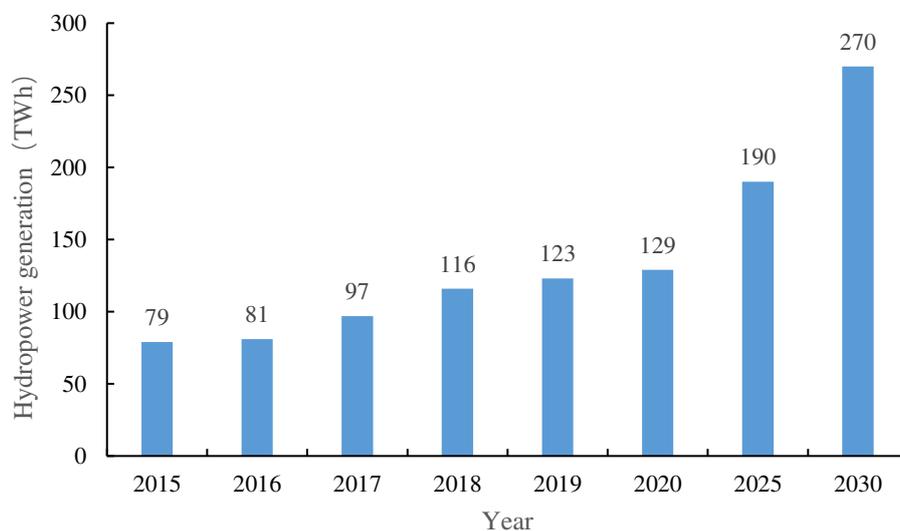
248 During the planning period, the coal price is on the rise and the on-grid price of
 249 renewable energy will fall because of the new electricity price policy, which would
 250 reduce the income of three kinds of power plants resulting an increase in their break-
 251 even hours (Table2).

252 Table 2 Break-even hours under multiple boundary conditions

Thermal power		Wind power		PV power	
Self-use	External	Self-use	External	Self-use	External
4779	2507	1755	1789	1246	1314

253 3.2.2 Self-use demand

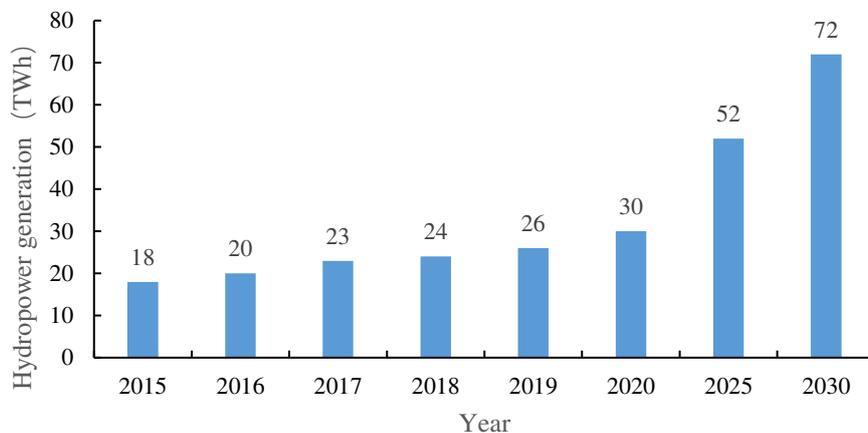
254 In recent years, the self-use demand in Xinjiang has been increasing, but the
 255 growth rate has shown a downward trend. The average annual growth rate from 2015
 256 to 2018 was 13.84% and the largest rate occurred in 2017 at 21.30%. After the rapid
 257 increase in demand, it has become relatively saturated. It is expected that the self-use
 258 demand in Xinjiang will reach 129, 190 and 270 TWh by 2020, 2025 and 2030
 259 respectively. The five-year average growth rate is 10.36%, 8.14%, 7.28%. The growth
 260 trend of self-use demand in Xinjiang is shown in Fig.1.



261 Figure 1 Self-use demand in Xinjiang during the planning period

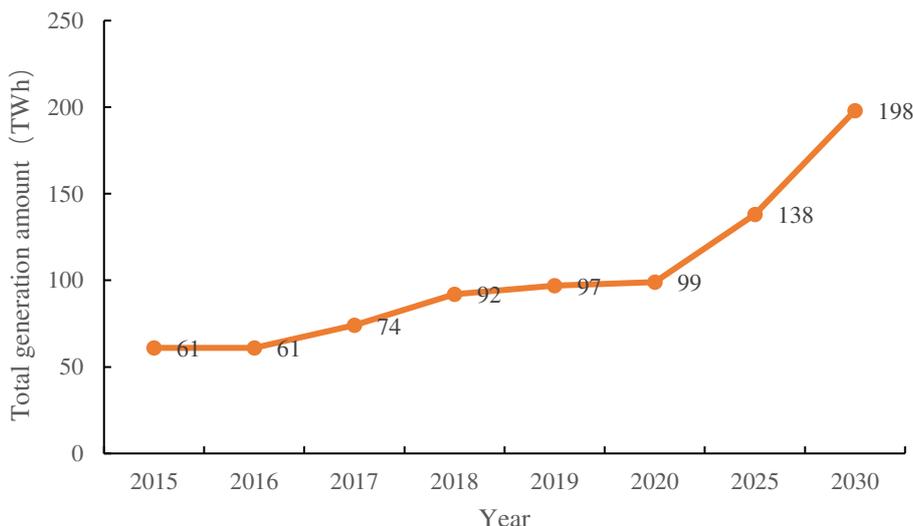
262 Since this paper does not consider the hydropower generation, it must be deducted
 263 from the above self-use demand, and the rest is the total power generation of thermal,
 264 wind and PV power in Xinjiang. Affected by water flow, the change of hydropower
 265 generation is relatively stable. It is estimated that hydropower generation will increase
 266

267 by 4.5 TWh per year from 2020 to 2025, and continue to grow at 4 TWh per year from
 268 2026 to 2030. The growth trend of hydropower generation is shown in Fig.2 and the
 269 total generation amount of thermal, wind and PV power during the planning period is
 270 shown in Fig.3.



271
272

Figure 2 Hydropower generation amount during the planning period



273
274

Figure 3 Total generation amount of three power resources

275 3.2.3 External demand

276 The demand for external power transmission is mainly related to the receiving end.
 277 According to the actual electricity consumption in 2015-2018, the external power
 278 demand is expected to increase by 12 TWh per year from 2020 to 2025 and continue to
 279 grow at 8 TWh per year from 2026 to 2030. The growth trend of external power demand
 280 is shown in Fig.4.

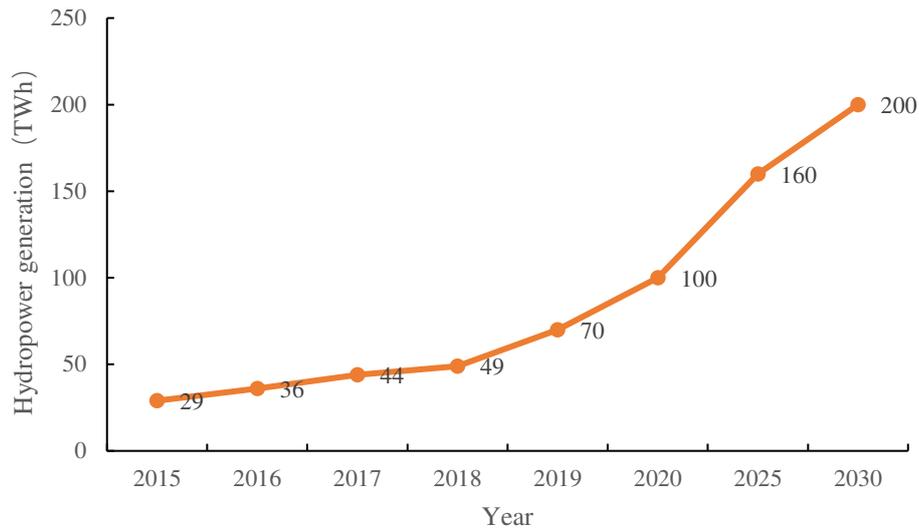


Figure 4 Total external power demand during the planning period

4. Result and discussion

4.1 Generation expansion analysis

The existing installed capacity can achieve the break-even of the three types of power supply in the case of meeting the power load and various policies in 2020. By 2025, in order to meet the increasing demand, compared with 2020, it needs to increase the thermal power of 6 GW, wind power of 9 GW, and PV power of 7.5 GW. And by 2030, with the further increase of the self-use and external load demand, it needs to increase thermal power by 3GW, wind power by 13 GW and photovoltaic by 14.5 GW, compared with 2025.

As can be seen from the Table 3, with the increase in the self-use and external demand of Xinjiang, the three types of power operation hours are higher than the break-even hours, which can obtain a certain profit. However, the utilization hours of the supporting thermal power in 2020 are low. This is because in the case of insufficient total load demand in Xinjiang, the self-use thermal power can only increase revenue by seizing the share of external thermal power in the UHV transmission channel to achieve profitability. And since the break-even utilization hours of the supporting thermal power plants are relatively low, they can still make a profit after dividing part of the grid share to the self-use thermal power plants. This phenomenon will be alleviated as the total demand increases. Although the power delivery ratio is gradually increasing, this is due to the fact that there is no increasing in the UHV supporting power plants and make more full use of the self-use power plants. Although the total investment operating cost is gradually rising due to the construction cost caused by the new installed capacity, the

305 growth rate is slowing down, which is because the gradual saturation of the power
 306 supply and the reduction in unit cost.

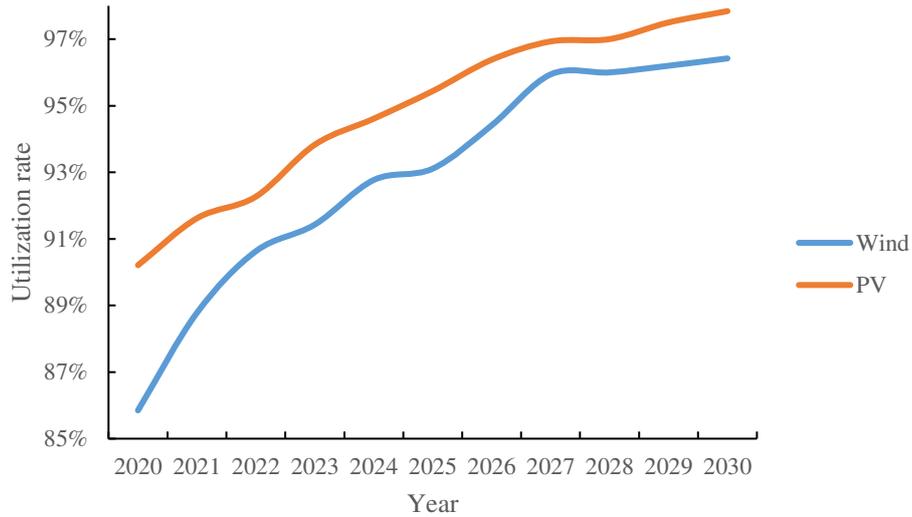
307 Table 3 Optimal capacity results of thermal, wind and PV power in Xinjiang

		2020		2025		2030	
		Capacity (GW)	Hours	Capacity (GW)	Hours	Capacity (GW)	Hours
Thermal	Self-use	20.96	5035	26.96	5198	29.96	5500
	External	13.20	2832	13.20	4319	13.20	5225
Wind	Self-use	10.09	2201	19.09	2450	32.09	2511
	External	13.20	2177	13.20	2245	13.20	2371
PV	Self-use	7.74	1409	15.24	1507	29.24	1537
	External	3.75	1541	3.75	1602	3.75	1657
Power delivery ratio		27.14%		33.83%		36.65%	
Total cost (billion yuan)		99.54		130.41		165.77	

308 Under the optimized generation expansion plan, the operation hour of thermal,
 309 wind and PV power plants are high, which can realize the break-even of three kinds of
 310 power sources under the condition of coal price fluctuation. The generation planning
 311 model has good applicability.

312 4.2 Renewable energy efficiency analysis

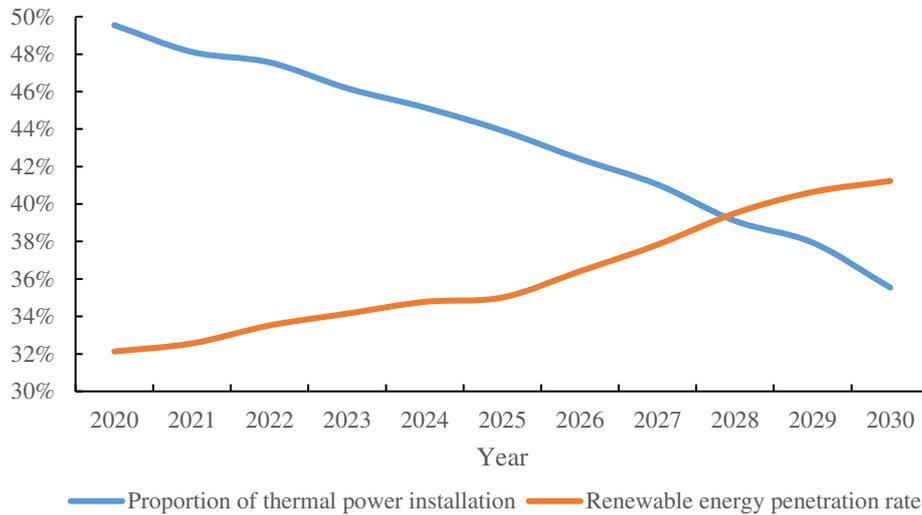
313 As clean and low-carbon renewable energy, the utilization efficiency of wind and
 314 PV power is also a key factor in generation expansion planning. Fig. 5 shows the
 315 utilization rate of Xinjiang's renewable energy during the planning period. Influenced
 316 by policies and social responsibilities, the utilization rate of renewable energy is
 317 increasing year by year, and the development trend is unchangeable. The utilization
 318 rate of wind power has gradually increased from 85.85% to 96.42%, and that of PV
 319 power is even close to 98%. This may be caused by the following two reasons. On the
 320 other hand, the power structure in Xinjiang is also gradually optimized to clean and low
 321 carbon. As can be seen from Fig 6, the proportion of thermal power installation has
 322 remained below 50% and decreased year by year. While the renewable energy
 323 penetration rate is increasing and reached over 41.23% in 2030, which is far higher
 324 than the requirement of national policy.



325

326

Figure 5 Utilization rate of renewable from 2020 to 2030



327

328

Figure 6 The power structure of Xinjiang

329

4.3 Sensitivity analysis

330

331

332

333

334

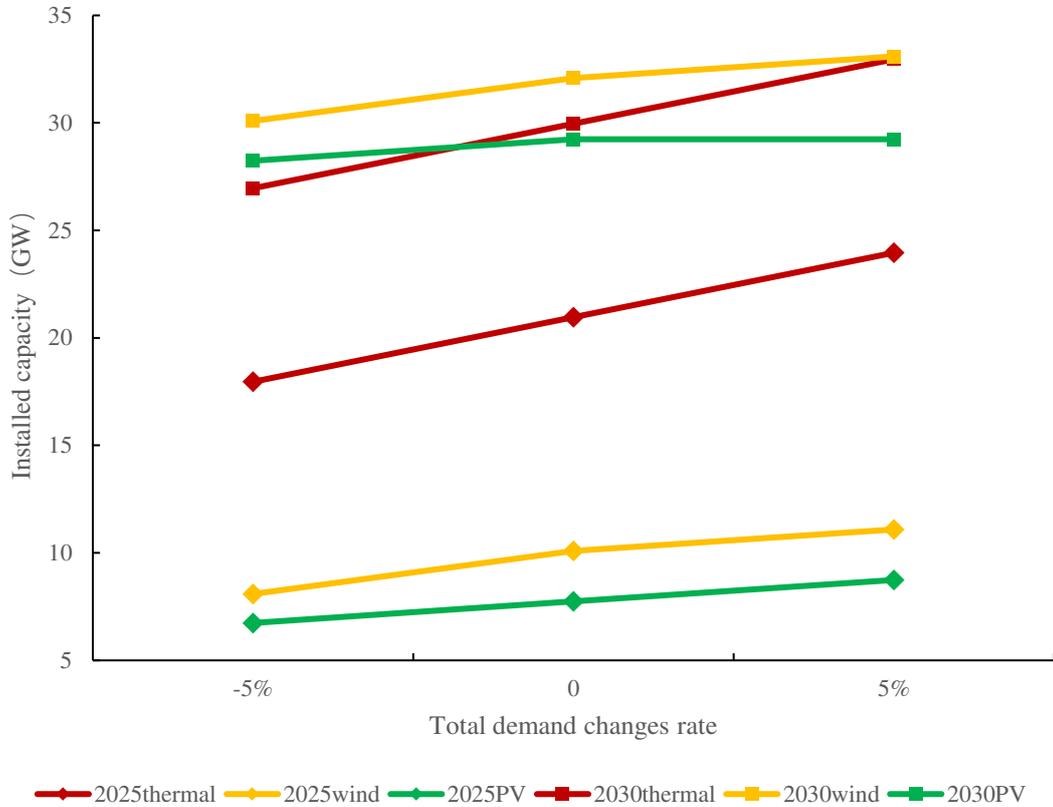
335

336

337

Total load demand is the decisive factor affecting the installed capacity. Therefore, based on the above plan, the influence of self-use and external demand changes on the optimization model is analyzed. The change rate is set to $\pm 5\%$ and the results are shown in Fig. 7. Thermal power is the most sensitive to total demand changes, followed by wind power, and PV power is the least sensitive. On the one hand, due to the limitation of renewable energy penetration, wind and PV power can't be installed in large quantities. The grid connection of renewable energy requires the power system to provide spare capacity, which will also increase the installed capacity of thermal power.

338 On the other hand, because of the high break-even hours of thermal power plants, it
 339 accounts for the most power generation amount in the case of the same installed growth
 340 in order to meet the economic benefits of all kinds of power resources.



341
 342 Figure 7 Sensitivity analysis result of optimization model (excluded the external power plants)

343 **5. Conclusion**

344 In order to realize the coordinated development of power supply, this paper studies
 345 the optimal configuration of Xinjiang’s thermal, wind and PV power capacity from
 346 2020 to 2030 based on the operating status of power plants. Firstly, the self-use and
 347 external demand in Xinjiang and hydropower generation amount are estimated, thus
 348 obtaining the development space of thermal, wind and PV power supply. Then, the
 349 break-even utilization hours are calculated according to the power plants’ cost and
 350 income data obtained from field research. Finally, with the objective of minimizing the
 351 total investment and operating cost, the optimal generation planning model of thermal,
 352 wind and PV power plant is constructed and solved under multiple boundary conditions.
 353 The results show that there is a certain redundancy in the existing installed capacity in
 354 Xinjiang, which can achieve the break-even of the three types of power supply in the
 355 case of meeting the power load and various policies in 2020. Affected by national
 356 policies and the economic advantages of renewable energy, the generation expansion

357 rate of wind and PV power is higher than that of thermal power. The profitability of the
358 UHV supporting power supply is higher than that of the self-use power plants.
359 Therefore, the proper development of the UHV transmission channels will help
360 improve the economics of power generation. The generation planning model proposed
361 in this paper can help to realize the optimal allocation of thermal, wind and PV power
362 in Xinjiang. The proposed plan can improve the penetration rate and utilization rate of
363 renewable energy and meet the economic demands of the three types of power sources
364 in the context of the gradually stringent national environmental protection policy.
365

366 **Abbreviations**

367 GEP: Generation Expansion Planning; PV: Photovoltaic

368

Nomenclature

Subscripts and decision variables

t	Time period, $t=2020-2030$
i	Type of power-generation facilities, $i=1-3$; coal-fired power, wind power, and photovoltaic power
C / C^*	Total investment and operating cost of self-use/external power unit
$H_{i,t} / H_{i,t}^*$	Generating equipment availability hours of self-use/external power unit i in period t
$Q_{i,t} / Q_{i,t}^*$	Installed capacity of self-use/external power unit i in period t
$\Delta Q_{i,t} / \Delta Q_{i,t}^*$	Newly installed capacity of self-use/external power unit i in period t

Parameters

A_t / A_t^*	Average self-use/external load in period t
G_t / G_t^*	Total self-use/external demand in period t
$H_{i,max} / H_{i,max}^*$	Theoretical utilization hours of self-use/external power unit i
$P_{i,t} / P_{i,t}^*$	Installation cost of self-use/external power unit i in period t
S_i / S_i^*	Break-even utilization hours of self-use/external power unit i
$\alpha_{i,t} / \alpha_{i,t}^*$	Minimum utilization rate of self-use/external power unit i in period t
$\beta_{i,t} / \beta_{i,t}^*$	Utilization rate of self-use/external power unit i in period t for adding new power plants
γ_t / γ_t^*	Maximum penetration rate of self-use/external power unit i in period t
$\theta_{loss} / \theta_{loss}^*$	Comprehensive line loss rate of self-use/external transmission channels
λ	Power delivery ratio
η / η^*	Reserve capacity coefficient of self-use/external thermal power
$\delta_{i,t} / \delta_{i,t}^*$	Operating cost of self-use/external power unit i in period t
$\mathcal{G} / \mathcal{G}_t^*$	Non-hydropower renewable energy weights in period t

369

370 **Declarations**

371 **Ethics Approval and Consent to Participate**

372 Not applicable.

373

374 **Consent for publication**

375 Not applicable.

376

377 **Availability of supporting data**

378 The datasets used and analysed during the current study are available from the corresponding author

379 on reasonable request.

380

381 **Competing interests**

382 The authors declare that they have no competing interests.

383

384 **Funding**

385 This research is supported by the science and technology project of State Grid Xinjiang Electric
386 Power Co., Ltd. (SGXJ0000TKJS1900287).

387

388 **Authors' contributions**

389 LY contributed to the design of the work, acquisition of data, analysis and interpretation of data,
390 drafting of the work, and management work. DM contributed to the design of the work, acquisition
391 of data, analysis and interpretation of data, drafting of the work, and management work. HST and
392 LDG contributed to the design of the work, interpretation of data, and drafting of the work. QG
393 contributed to the design of the work, interpretation of data, and drafting of the work. LGQ and
394 XGL have contributed equally to the writing and contributed to the revising and finalizing of the
395 article. The authors read and approved the final manuscript.

396

397 **Acknowledgements**

398 The authors are grateful to the editors and the anonymous reviewers for their insightful comments
399 and suggestions.

400

401 **Authors' information**

402 ¹State Grid Xinjiang Electric Power Co., Ltd., Urumqi 830000, China; ²School of Economics and
403 Management, North China Electric Power University, Beijing 102206, China.

404

405 **Reference**

- 406 1. C.O.Okoye, O.Solyali (2017) Optimal sizing of stand-alone photovoltaic systems in residential
407 buildings. *Energy* 126:573-584
- 408 2. A. Maleki, F. Pourfayaz, H. Hafeznia, et al (2017) A novel framework for optimal photovoltaic
409 size and location in remote areas using a hybrid method: a case study of eastern Iran. *Energy*
410 *Convers Manage* 153:129-143
- 411 3. T. Khatib, A. Mohamed, K. Sopian, et al (2015) Optimal sizing of hybrid pv/wind systems for
412 malaysia using loss of load probability. *Energy Sources Part A* 37(7):687-695

- 413 4. A.K. Aliyu, B. Modu (2018) A review of renewable energy development in Africa: a focus in
414 South Africa, Egypt and Nigeria. *Renewable and Sustainable Energy Reviews* 81:2502-2518
- 415 5. F. Gokgoz, M.T. Guvercin (2018) Energy security and renewable energy efficiency in EU.
416 *Renewable and Sustainable Energy Reviews* 96:226-239
- 417 6. A. Vinel, E. Mortaz (2019) Optimal pooling of renewable energy sources with a risk-averse
418 approach: Implications for US energy portfolio. *Energy Policy* 132: 928-939
- 419 7. J. Liu (2019) China's renewable energy law and policy: a critical review. *Renewable and*
420 *Sustainable Energy Reviews* 99:212-219
- 421 8. Y. He, Y. Xu, Y. Pang, H. Tian, et al (2016) A regulatory policy to promote renewable energy
422 consumption in China: review and future evolutionary path. *Renewable Energy* 89:695-705
- 423 9. D. Liu, M. Liu, E. Xu, et al (2018) Comprehensive effectiveness assessment of renewable energy
424 generation policy: a partial equilibrium analysis in China. *Energy Policy* 115:330-341
- 425 10. International Renewable Energy Agency (2019) Renewable Capacity Statistics 2019
- 426 11. National Energy Administration (2019) China Renewable Energy Development Report 2018
- 427 12. Q. Tan, S. Mei, Q. Ye, et al (2019) Optimization model of a combined wind–PV–thermal
428 dispatching system under carbon emissions trading in China. *Journal of Cleaner Production*
429 225:391-404
- 430 13. W.Y. Chen, H.L. Li, Z.X. Wu (2011) Western China energy development and West to east energy
431 transfer: application of the western China sustainable energy development model. *Energy Policy*
432 38(11):7106-7120
- 433 14. M. Zeng, H.L. Li, M.J. Ma, et al (2013) Review on transaction status and relevant policies of
434 southern route in China's West–East Power Transmission. *Renewable Energy* 60:454-461
- 435 15. C.Y. Hua, C.M. Wang, H. Li, et al (2019) Analysis of basic conditions of the power grid
436 interconnection among Xinjiang, Pakistan, and five Central Asian countries. *Global Energy*
437 *Interconnection* 2(1):54-63
- 438 16. National Energy Administration (2016) Notice on Establishing Monitoring and Early Warning
439 Mechanism to Promote Sustainable and Healthy Development of Wind Power Industry
- 440 17. National Energy Administration (2017) Notice on Establishing Market Environment Monitoring
441 and Evaluation Mechanism to Guide the Healthy and Orderly Development of Photovoltaic Industry
- 442 18. X.L. Zhao, S.W. Liu, F.G. Yan, et al (2017) Energy conservation, environmental and economic
443 value of the wind power priority dispatch in China *Renewable Energy* 111:666-675
- 444 19. N.E. Koltsaklis, A.S. Dagoumas (2018) State-of-the-art generation expansion planning: a review.
445 *Applied Energy* 230:563-589
- 446 20. N.E. Koltsaklis, A.S. Dagoumas, G.M. Kopanos, et al (2014) A spatial multi-period long-term

447 energy planning model: a case study of the Greek power system. *Applied Energy* 115:456-482

448 21. N.E. Koltsaklis, M.C. Georgiadis (2015) A multi-period, multi-regional generation expansion
449 planning model incorporating unit commitment constraints. *Applied Energy* 158:310-331

450 22. A.S. Dagoumas, N.E. Koltsaklis (2019) Review of models for integrating renewable energy in
451 the generation expansion planning. *Applied Energy* 242:1573-1587

452 23. S.Y. Chen, P. Liu, Z. Li (2019) Multi-regional power generation expansion planning with air
453 pollutants emission constraints.” *Renewable and Sustainable Energy Reviews* 112:382-394

454 24. H. Chen, B.J. Tang, H. Liao, et al (2016) A multi-period power generation planning model
455 incorporating the non-carbon external costs: a case study of China. *Applied Energy* 183:1333-1345

456 25. M.D. Rodgers, D.W. Coit, F.A. Felder, et al (2018) Generation expansion planning considering
457 health and societal damages—A simulation-based optimization approach. *Energy* 164:951-963

458 26. I. Khan (2019) Power generation expansion plan and sustainability in a developing country: A
459 multi-criteria decision analysis. *Journal of Cleaner Production* 220:707-720

460 27. R. Banos, F. Manzano-Agugliaro, F. Montoya, et al (2011) Optimization methods applied to
461 renewable and sustainable energy: a review. *Renewable and Sustainable Energy Reviews* 15:1753-
462 1766

463 28. S. Upadhyay, M.P. Sharma (2014) A review on configurations, control and sizing methodologies
464 of hybrid energy systems. *Renewable and Sustainable Energy Reviews* 38:47-63

465 29. P.D. Lund, J. Lindgren, J. Mikkola, et al (2015) Review of energy system flexibility measures
466 to enable high levels of variable renewable electricity. *Renewable and Sustainable Energy Reviews*
467 45:785-807

468 30. J. English, T. Niet, B. Lyseng, et al (2020) Flexibility requirements and electricity system
469 planning: Assessing inter-regional coordination with large penetrations of variable renewable
470 supplies. *Renewable Energy* 145:2770-2782

471 31. R. Gómez-Calvet, J.M. Martínez-Duart, S. Serrano-Calle (2019) Current state and optimal
472 development of the renewable electricity generation mix in Spain. *Renewable Energy* 135:1108-
473 1120

474 32. B. Canizes, J. Soares, F. Lezama, et al (2019) Optimal expansion planning considering storage
475 investment and seasonal effect of demand and renewable generation. *Renewable Energy* 138:937-
476 954

477 33. D. Quiroga, E. Sauma, D. Pozo (2019) Power system expansion planning under global and local
478 emission mitigation policies. *Applied Energy* 239:1250-1264

479 34. S. Pineda, A. Bock (2016) Renewable-based generation expansion under a green certificate
480 market. *Renewable Energy* 91:53-63

- 481 35. Z.G. Lu, J.T. Qi, B. Wen, et al (2016) A dynamic model for generation expansion planning based
482 on Conditional Value-at-Risk theory under Low-Carbon Economy. *Electric Power Systems*
483 *Research* 141:363-371
- 484 36. S. Li, D.W. Coit, F. Felder (2016) Stochastic optimization for electric power generation
485 expansion planning with discrete climate change scenarios. *Electric Power Systems Research*
486 140:401-412
- 487 37. S. Pereira, P. Ferreira, A.I.F. Vaz (2017) Generation expansion planning with high share of
488 renewables of variable output. *Applied Energy* 190:1275-1288

Figures

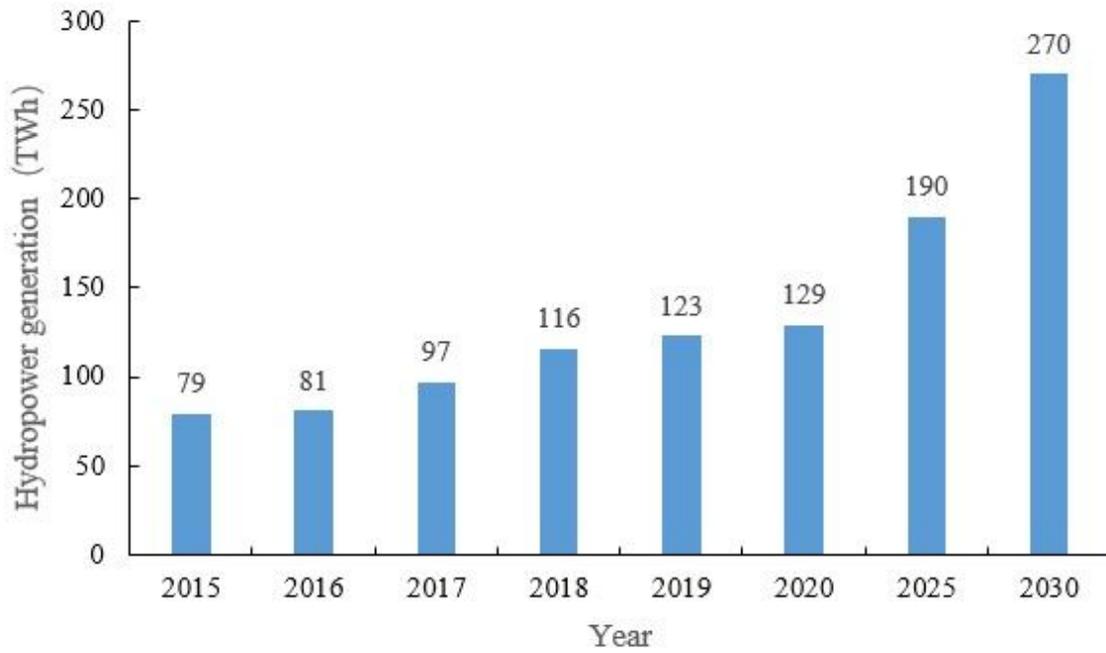


Figure 1

Self-use demand in Xinjiang during the planning period

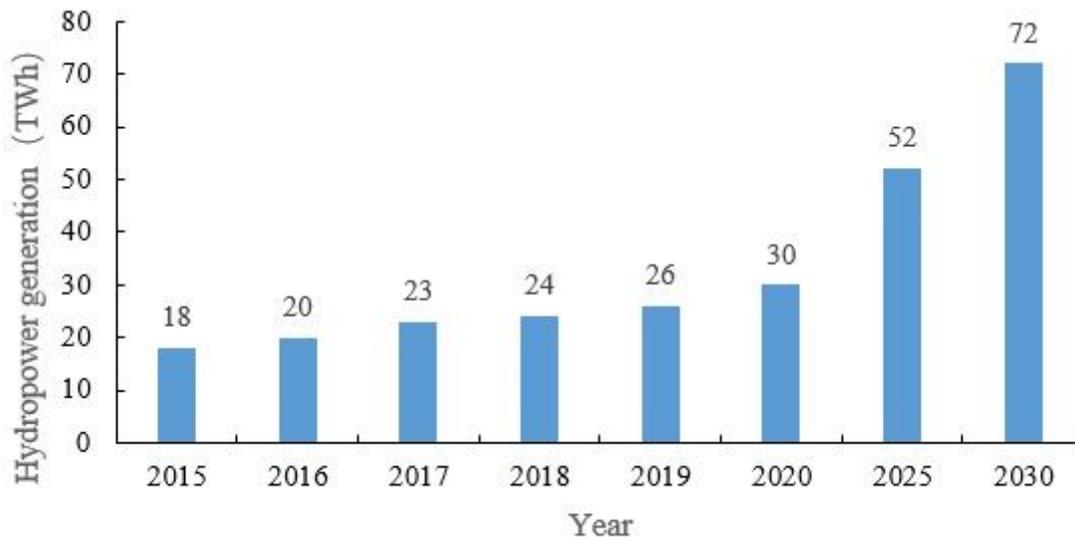


Figure 2

Hydropower generation amount during the planning period

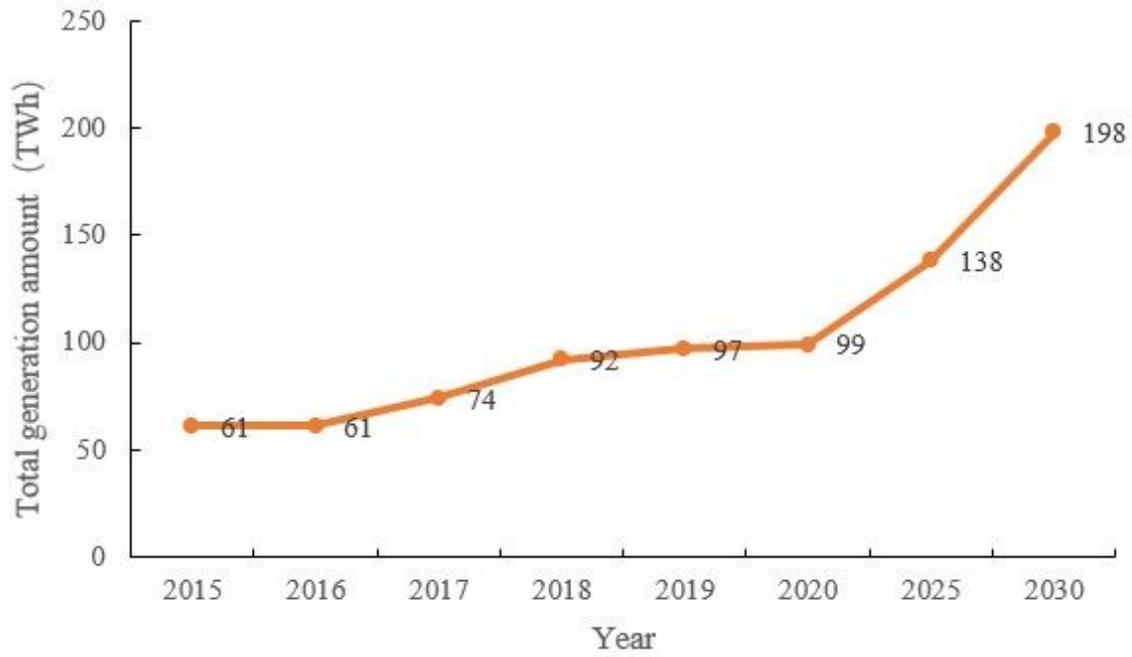


Figure 3

Total generation amount of three power resources

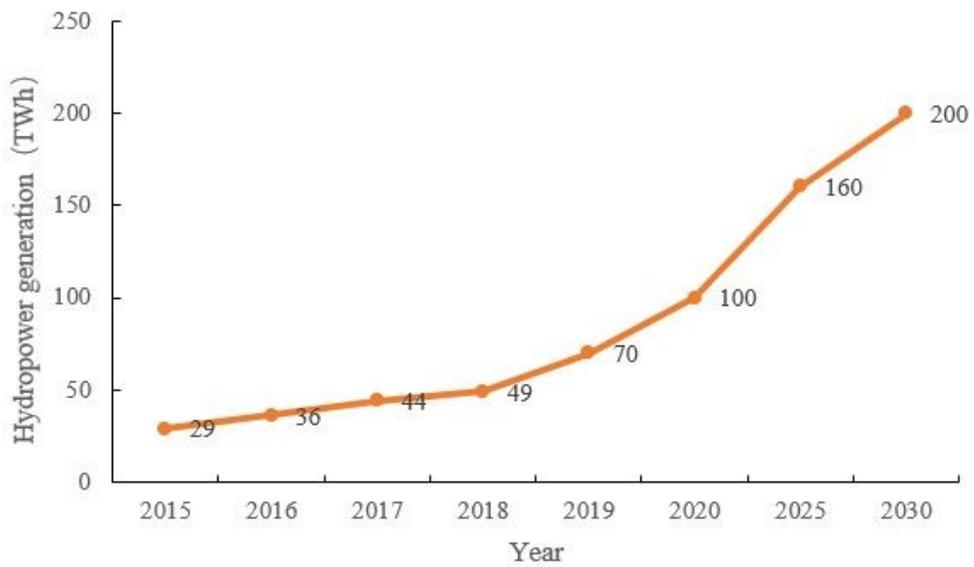


Figure 4

Total external power demand during the planning period

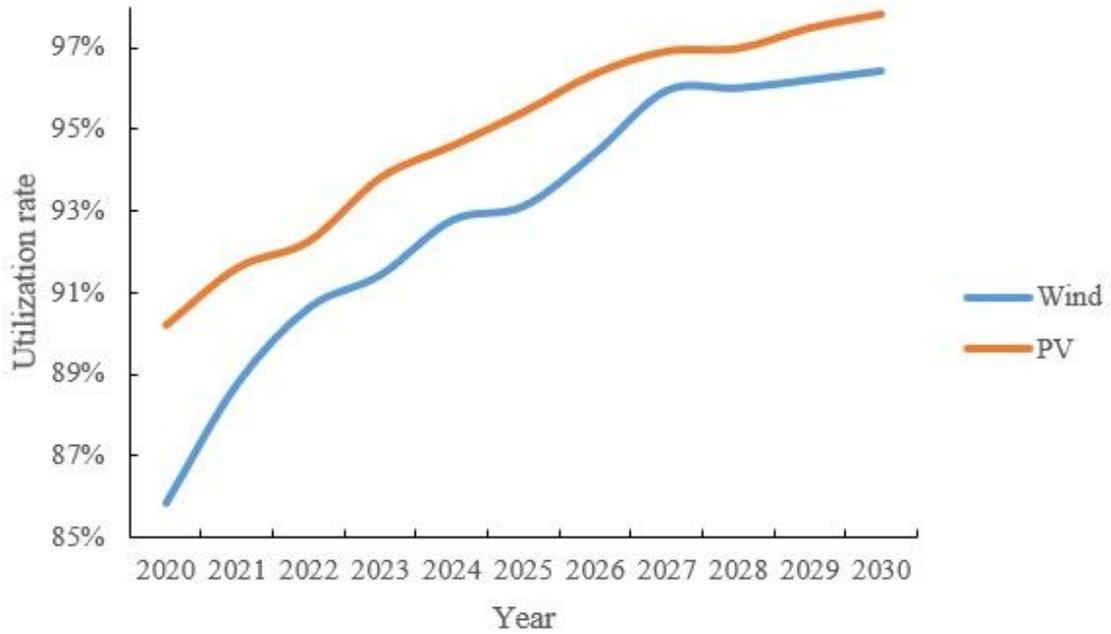


Figure 5

Utilization rate of renewable from 2020 to 2030

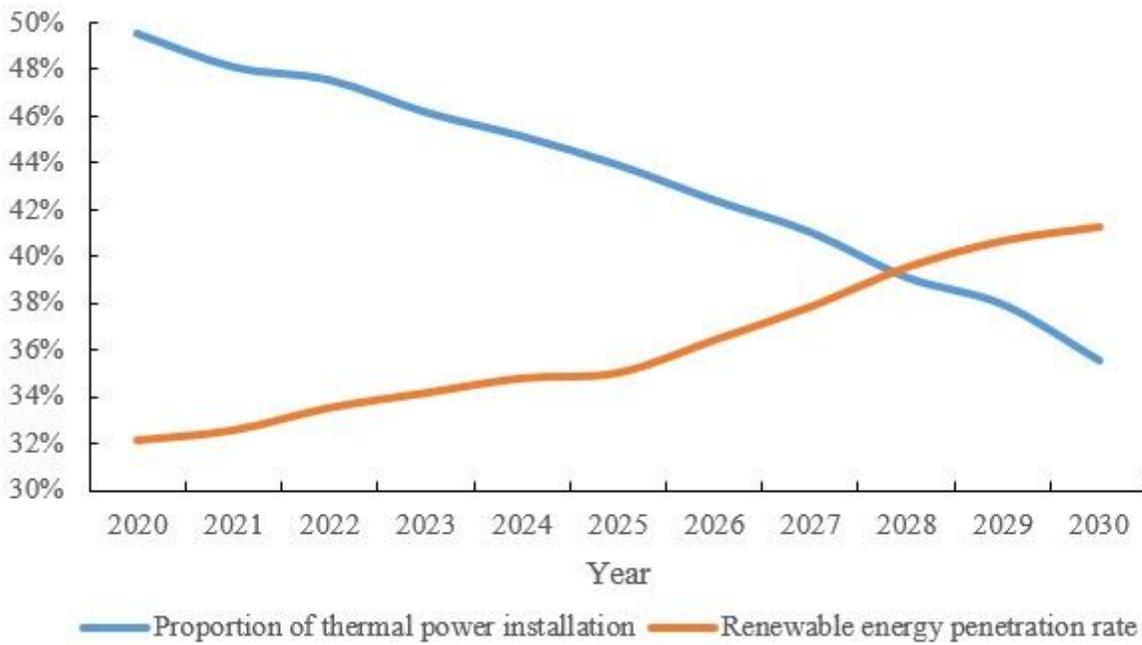


Figure 6

The power structure of Xinjiang

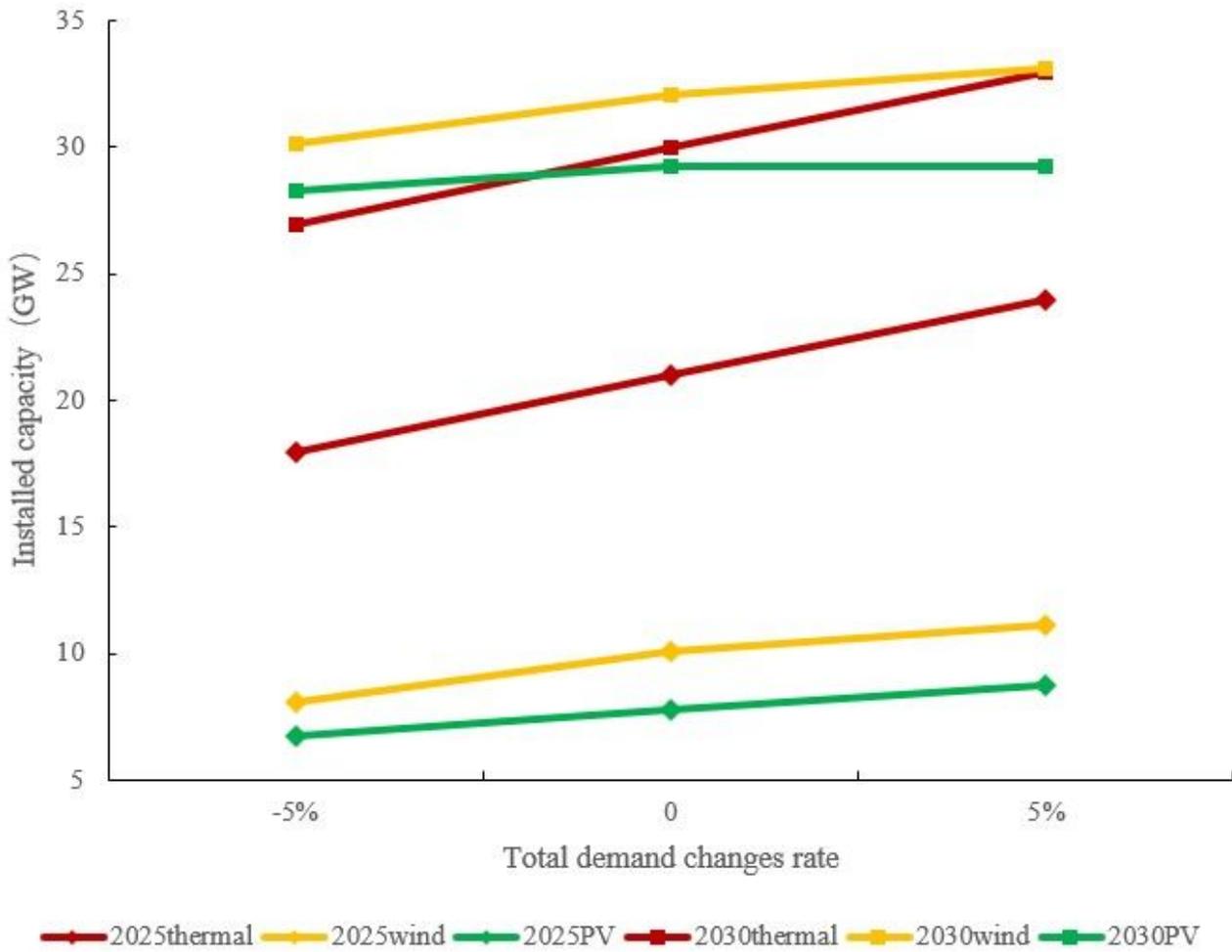


Figure 7

Sensitivity analysis result of optimization model (excluded the external power plants)