

Immunological correlates of protection following vaccination with glucan particles containing *Cryptococcus neoformans* chitin deacetylases

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27 **ABSTRACT**

28 Vaccination with glucan particles (GP) containing the *Cryptococcus neoformans* chitin
29 deacetylases Cda1 and Cda2 protect mice against experimental cryptococcosis. Here,
30 immunological correlates of vaccine-mediated protection were explored. Studies comparing
31 knockout and wild-type mice demonstrated CD4⁺ T cells are crucial, while B cells and CD8⁺ T
32 cells are dispensable. Protection was abolished following CD4⁺ T cell depletion during either
33 vaccination or infection, but was retained if CD4⁺ T cells were only partially depleted.
34 Vaccination elicited systemic and durable antigen-specific immune responses in PBMCs, spleen
35 and lungs. Following vaccination and fungal challenge, robust Th1 and Th17 responses were
36 observed in the lungs. Protection was abrogated in mice congenitally deficient in IFN γ , IFN γ
37 receptor, IL-1 β , IL-6, or IL-23. Thus, CD4⁺ T cells and specific pro-inflammatory cytokines are
38 required for GP-vaccine mediated protection. Importantly, retention of protection in the setting of
39 partial CD4⁺ T depletion suggests a pathway for vaccinating at-risk immunocompromised
40 individuals.

41 INTRODUCTION

42 Cryptococcosis is an invasive fungal infection caused by fungi of the genus *Cryptococcus*,
43 mainly *C. neoformans* and *C. gattii*. Exposure is thought to most commonly occur following
44 inhalation of aerosolized cells from the environment. In most persons, host defenses are
45 sufficient to kill or contain the fungus in a latent state. However, in susceptible hosts, pneumonia,
46 and life-threatening disseminated infection, especially to the central nervous system can occur¹.
47 Persons with advanced HIV disease are most vulnerable; however, other immunocompromised
48 individuals with impaired CD4⁺ T cell defenses are also at higher risk, including patients with
49 hematological malignancies, and those on immunosuppressing medications to treat
50 autoimmune conditions, or to prevent rejection following solid organ transplantation². The global
51 burden of HIV-associated cryptococcal meningitis in 2020 was estimated at 152,000 cases,
52 including 112,000 deaths³.

53 Although the incidence and mortality of HIV-associated cryptococcal meningitis have declined
54 in high-income countries, it remains a major health issue in resource-limited areas that have a
55 high prevalence of HIV coupled with insufficient access to diagnostic testing, antiretroviral
56 treatment, and antifungal drugs². Regardless of the setting, once cryptococcal meningitis
57 develops, even with currently available treatments, the morbidity and mortality are substantial^{2,4}.
58 Thus, preventative measures, such as the development of efficacious vaccines are urgently
59 needed. However, no licensed anti-cryptococcal vaccine is available for use⁵.

60 One approach to cryptococcal vaccine development is the use of whole organism vaccines
61 that are attenuated by deletion of virulence factors, such as the capsular polysaccharide
62 glucuronoxylomannan, cell wall chitosan, sterylglucosidase, and F-box protein⁵⁻⁹. Another
63 approach is engineering *C. neoformans* strains, so they express heterologous murine interferon
64 (IFN)- γ or overexpress zinc finger protein¹⁰⁻¹². Whole organism vaccines are relatively easy to
65 manufacture and generally induce good immune responses. Drawbacks, however, include the

66 potential for reactogenicity, autoimmunity, and for live vaccines, infection. Therefore, we have
67 focused on identifying *C. neoformans* protein antigens which can be used in subunit vaccines.
68 Two of the most promising vaccine antigens, Cda1 and Cda2, are members of the chitin
69 deacetylase (Cda) family with no significant homology to human proteins. This, plus their strong
70 immunogenicity and role in catalyzing the deacetylation of chitin to the virulence determinant
71 chitosan make them attractive candidate vaccine antigens¹³. We have demonstrated that glucan
72 particle (GP)-based subunit vaccines, including GP-Cda1 and GP-Cda2 (alone and in
73 combination), could afford a significant survival advantage following pulmonary challenge of
74 mice with the highly virulent *C. neoformans* KN99 strain¹⁴⁻¹⁶. Some survivors even had
75 undetectable colony forming units (CFUs) in the lungs at the termination of the experiment.

76 Here we probed the immunological correlates of GP vaccine-mediated protection by
77 examining mice with congenital and acquired deficiencies in specific aspects of immune function.
78 We demonstrate CD4+T cells and certain cytokines are crucial for GP-Cda1 and GP-Cda2
79 vaccine-induced immunity. Furthermore, we investigated the nature of the antigen-specific
80 immune response by *ex vivo* restimulation of peripheral blood mononuclear cells (PBMC),
81 splenocytes, and lung leukocytes from wild type (WT) mice that were vaccinated and/or infected.
82 Systemic and durable immune responses were observed in the vaccinated and infected mice,
83 with a robust T-helper (Th) 1 and Th17 response detected in the lung.

84 **RESULTS**

85 **B cells are dispensable for GP-Cda1 or GP-Cda2 vaccine-mediated protection**

86 In initial experiments, we examined the role of B cells in GP-Cda1 and GP-Cda2 vaccine-
87 mediated protection from pulmonary cryptococcal challenge by comparing two mouse strains
88 congenitally deficient in B cells to their WT counterparts. μ MT mice, which have a C57BL/6
89 background, lack mature B cells and expression of membrane-bound IgM¹⁷. Following

90 pulmonary challenge with *C. neoformans*, survival of unvaccinated WT and μ MT mice was
91 similar, with all mice succumbing to infection by day 25 (Fig. 1A and 1B). Both GP-Cda1 and
92 GP-Cda2 vaccination significantly protected the WT and μ MT mice, with no statistically
93 significant differences noted comparing the mouse strains. Similar results were seen comparing
94 WT BALB/c mice with $Jh^{-/-}$ mice on the BALB/c background. $Jh^{-/-}$ mice carry a deletion of the
95 endogenous murine J segments of the Ig heavy chain locus; as a consequence, they lack
96 mature B cells and have no detectable antibody¹⁸. Unvaccinated $Jh^{-/-}$ mice succumbed to
97 cryptococcosis by day 25, whereas the WT BALB/c all died by day 30. However, robust
98 protection of $Jh^{-/-}$ mice and WT BALB/c mice was observed following vaccination with either GP-
99 Cda1 or GP-Cda2 (Fig. 1C and 1D). Thus, vaccine-induced protection is not abrogated by B cell
100 deficiency.

101 **CD4⁺ but not CD8⁺ T cells are crucial for GP-Cda1 or GP-Cda2 vaccine-induced protection**

102 We next investigated the contribution of T cell subsets to protection mediated by GP-Cda1
103 and GP-Cda2 vaccines. We first examined whether the CD8⁺ T cell subset contributed to
104 protection in CD8⁺ T cell-deficient β -2-microglobulin knockout (β 2m^{-/-}) mice. Unvaccinated WT
105 and β 2m^{-/-} mice all died by 28 days post infection (dpi). However, protection was retained in the
106 β 2m^{-/-} mice for both vaccines; if anything, there was a non-significant trend towards enhanced
107 survival in the mutant mice (Fig. 2A and 2B).

108 Clinical and experimental studies demonstrate that CD4⁺ T cells are critical for protective
109 immunity against cryptococcosis¹⁹. Therefore, we next tested our GP-Cda1 and GP-Cda2
110 vaccines in MHCII^{-/-} mice, which are devoid of all four classical murine MHC Class II chains and
111 consequently lack CD4⁺ T cells. Whether receiving GP-Cda1 or GP-Cda2 vaccination, mice
112 lacking CD4⁺ T cells had 100% mortality by 33 dpi, similar to that seen for unvaccinated mice
113 (Fig. 2C and 2D). Thus, protection was lost in mice with CD4⁺ T cell deficiency. As expected,
114 protection was retained in the WT vaccinated mice.

115 **GP-Cda2 vaccine-mediated protection requires CD4⁺ T cells during both the vaccination**
116 **and challenge phases of the experiment**

117 As an alternative approach to assess the importance of CD4⁺ T cells for vaccine-induced
118 protection, we studied the effect on survival when the anti-CD4 monoclonal antibody GK1.5 was
119 administered to GP-Cda2-vaccinated mice (Fig. 3). As shown in Fig. 4A, injection of 200 µg of
120 GK1.5 led to nearly complete depletion of blood CD4⁺ T cells for two weeks. Two strategies for
121 CD4⁺ T cell depletion were conducted. In the first, mice were depleted of CD4⁺ T cells in the
122 vaccination phase by giving a dose of GK1.5 two days prior to each vaccination. For the second
123 strategy, GK1.5 was given two days prior to fungal challenge and then two additional biweekly
124 injections were given (Fig. 3A). Regardless of whether the GK1.5 was administered during the
125 vaccination or the challenge phase, vaccine-mediated protection was completely lost (Fig. 3B).
126 As expected, the vaccinated mice that did not get GK1.5 survived significantly longer than the
127 unvaccinated mice.

128 **Retention of GP-Cda1/Cda2 vaccine-mediated protection despite partial CD4⁺ T cell**
129 **depletion**

130 The data shown in Figures 2 and 3 demonstrate loss of vaccine-mediated protection in the
131 setting of complete CD4⁺ T cell deficiency. However, populations at risk for cryptococcosis
132 generally are only partially deficient in CD4⁺ T cell function. For example, most HIV-positive
133 persons have blood CD4⁺ T cell counts above 100 cells/µL when they are initially found to be
134 HIV-infected or following initiation of antiretroviral therapy²⁰. To model whether a vaccine could
135 potentially protect this immunocompromised population, we evaluated doses of anti-CD4 Ab
136 GK1.5 that result in only partial depletion of CD4⁺ T cells. First, using naïve BALB/c mice, we
137 performed a dose-response experiment to determine the kinetics of blood CD4⁺ T cell counts
138 following administration of GK1.5 (Fig. 4A). Following a single injection of 200 µg GK1.5, CD4⁺
139 T cells were nearly completely depleted in the blood for two weeks and then gradually increased

140 at subsequent time points. The dose of 40 μg also resulted in profound depletion of CD4⁺ T cells
141 but counts began to recover after one week. Depletion was also seen using the 8 μg and 1.6 μg
142 doses, but the diminution in CD4⁺ T cell counts were more modest. For all doses of GK1.5
143 tested, CD4⁺ T cell counts never recovered to levels seen in untreated mice.

144 Next, we immunized mice with the two-antigen combination GP-Cda1/Cda2 vaccine. Two
145 days prior to cryptococcal challenge, mice were partially depleted of their CD4⁺ T cells using the
146 range of doses tested in Fig. 4A. Mice were then followed over 70 days for survival. Vaccine-
147 mediated protection was inversely proportional to the GK1.5 dose (Fig. 4B). Importantly, all
148 groups of vaccinated mice that received ≤ 40 μg GK1.5 had $\geq 50\%$ survival at the end of the
149 study. Taken together with the data in Fig. 3, our results suggest vaccine efficacy is retained in
150 the setting of modest, but not severe, CD4⁺ T cell immunocompromise.

151 **GP-Cda1/Cda2 vaccination induces systemic and durable antigen-specific immune** 152 **responses**

153 For the next two sets of experiments (Fig. 5 and 6), we sought to determine the nature of the
154 adaptive immune response to vaccination and infection. To do so, *ex vivo* antigen-stimulated
155 responses were investigated in five groups of BALB/c mice: 1) unvaccinated, unchallenged; 2)
156 vaccinated, unchallenged; 3) unvaccinated, challenged, euthanized 10 dpi; 4) vaccinated,
157 challenged, euthanized 10 dpi; 5) vaccinated, challenged, and euthanized 70 dpi. The vaccine
158 administered was the GP-Cda1/Cda2 combination. The *ex vivo* antigenic stimuli consisted of
159 purified Cda1 or Cda2 protein produced in *E. coli*, and heat-killed *C. neoformans*. Control cells
160 were left unstimulated.

161 The first set of experiments measured IFN γ secretion by antigen-stimulated PBMCs (Fig. 5A),
162 splenocytes (Fig. 5B), and lung leukocytes (Fig. 5C) harvested from the five groups of mice.
163 This cytokine was chosen as it is thought to be crucial for clearance and control of mouse and

164 human cryptococcal infection^{21,22}. When comparing cells from the three anatomical sites, similar
165 qualitative patterns of IFN γ release were seen. As expected, antigen-stimulated cells from the
166 naïve mice had low to undetectable levels of IFN γ production. Cells from vaccinated but
167 uninfected mice, obtained two weeks following the third vaccination, had remarkable IFN γ
168 secretion following *ex vivo* stimulation with the vaccine antigens, Cda1 and Cda2. In contrast,
169 IFN γ release following stimulation with heat-killed (HK) *C. neoformans* was much lower. When
170 considering cells from mice that were infected but not vaccinated, Cda1 and Cda2 stimulated
171 modest amounts of IFN γ that were considerably lower than what were observed in the
172 vaccinated group. For cells from mice that had been vaccinated and then harvested at 10 dpi,
173 the recall immune response to Cda1 and Cda2 was similar to that seen in mice that had been
174 only vaccinated. However, when stimulated with HK *C. neoformans*, PBMCs and lung cells had
175 more robust IFN γ release compared with the corresponding cells from the vaccination only
176 group. Finally, of the five mice in the vaccinated group followed for 70 days after infection, all
177 were alive with undetectable lung CFUs (Fig. 6A) at the termination of the study. Nevertheless,
178 recall immune responses persisted in cells from all three anatomical sites, albeit at lower levels
179 in the PBMCs. Overall, these data indicate that GP-Cda1/Cda2 vaccine-induced immunity is
180 systemic and long-lasting.

181 **GP-Cda1/Cda2 vaccinated mice have robust antigen-specific Th1 and Th17 responses in**
182 **the pulmonary compartment following cryptococcal challenge**

183 As lungs are sites of initial infection after natural exposure to airborne *Cryptococcus* by
184 inhalation, the immune response in the pulmonary compartment is crucial for vaccine-induced
185 protection. Thus, we set up the second set of experiments and further analyzed the lungs from
186 the same groups of mice described in Fig. 5. Fungal clearance, numbers of leukocytes, CD4⁺ T
187 cells and CD8⁺ T cells, T cell activation, and *ex vivo* antigen-stimulated intracellular cytokine
188 production were studied.

189 At 10 dpi, although not statistically significant, there was a trend toward better fungal control
190 in the lungs of vaccinated compared to unvaccinated mice (Fig. 6A). Notably, mice that survived
191 to the end of study had undetectable lung CFUs. In mice vaccinated and infected, a remarkable,
192 10-fold influx of leukocytes, including CD4⁺ T cells, into the lung was observed at 10 dpi (Fig. 6B,
193 6C). At 70 dpi, numbers of leukocytes and CD4⁺ T cells were similar to values seen in
194 uninfected mice. CD8⁺ T cell numbers in the lung also increased in vaccinated mice at 10 dpi
195 compared with naïve or unvaccinated infected mice; however, the extent of the increase was
196 considerably more modest compared to that seen with CD4⁺ T cells (Fig. 6D).

197 We next examined the profile of the CD4⁺ T cell response in the lungs following
198 subcutaneous vaccination and pulmonary infection (Fig. 6E, 6F, 6G and 6H). The lungs of mice
199 that were vaccinated but not infected had a non-significant trend towards increased numbers of
200 antigen-stimulated CD4⁺ T cells expressing the activation marker CD154, and the intracellular
201 cytokines IFN γ , interleukin (IL) -17, and tumor necrosis factor (TNF) α in comparison to
202 unstimulated cells. In the lungs of mice that were both vaccinated and infected, at 10 dpi there
203 were dramatic increases in numbers of CD4⁺T cells expressing CD154, IFN γ , IL-17, or TNF α
204 after antigen stimulation, regardless of whether the antigen was Cda1, Cda2, or HK KN99.
205 These numbers decreased at 70 dpi to levels that were similar to those observed in vaccinated
206 but uninfected mice. Lung CD8⁺ T cells producing IFN γ following *ex vivo* antigen stimulation
207 were also found in vaccinated mice 10d post infection, albeit in considerably lower numbers
208 compared to what was observed with CD4⁺ T cells (Fig. 4SA). However, we did not detect
209 significant numbers of CD8⁺ T cells producing IL-17 or TNF α after stimulation (Fig. 4SB and
210 4SC).

211 **Contribution of specific cytokines to protection mediated by GP-Cda1 and GP-Cda2**
212 **vaccination**

213 The intracellular cytokine data demonstrate the capacity of pulmonary T cells from vaccinated
214 and infected mice to produce specific cytokines in response to antigenic stimulation but do not
215 prove that these cytokines are essential to vaccine-mediated protection. Thus, in the final set of
216 experiments, we explored GP-Cda1 and GP-Cda2 vaccine-induced protection in a panel of mice
217 with genetic deficiencies in selected cytokines and a cytokine receptor implicated in host
218 defenses against cryptococcosis. As the knockout mice were on the C57BL/6 background, WT
219 C57BL/6 mice were used as controls. Consistent with our published data¹⁶, WT C57BL/6 mice
220 vaccinated with GP-Cda1 and GP-Cda2 were significantly protected from *C. neoformans*
221 challenge (Fig. 7). Protection was completely or nearly completely lost in mice deficient in IFN γ
222 (Fig. 7A), IFN γ receptor (IFN γ R; Fig. 7B), IL-6 (Fig. 7C) and IL-23 (Fig. 7D), regardless of
223 whether the mice received the GP-Cda1 or GP-Cda2 vaccine. For vaccinated mice deficient in
224 TNF α (Fig. 7E), the picture was more complicated. GP-Cda1 vaccination protected 30% of the
225 TNF α ^{-/-} mice but GP-Cda2 vaccination did not elicit protection. Finally, IL-1 β ^{-/-} mice (Fig. 7F)
226 were significantly protected by both vaccines, although the GP-Cda1 vaccine protected the WT
227 mice significantly better than the mutant mice.

228 **DISCUSSION**

229 The mechanisms by which a vaccine elicits protective responses against its target pathogen
230 are important to decipher as this knowledge may help predict which populations are likely to
231 benefit. This is especially true for vaccines designed to protect persons with
232 immunocompromise; for such populations, an ideal vaccine would stimulate responses in the
233 parts of the immune system that are relatively intact. For cryptococcosis, in the absence of
234 vaccination, development of an adaptive CD4⁺ T cell response is critical for host defenses
235 against natural and experimental infections^{23,24}. However, other arms of the immune system,
236 including B cells and CD8⁺ T cells have been shown to play supportive roles^{25,26}. In the present

237 study, we systematically examined the immunological mechanisms by which GP-based
238 vaccines containing Cda1 and Cda2 protect against experimental cryptococcosis in mice.

239 Evidence for the importance of antibody responses in protection against cryptococcosis
240 includes mouse and human studies associating antibody responses and Fc receptor
241 polymorphisms with risk for developing cryptococcal infections^{27,28}. In addition, passive
242 administration of monoclonal antibodies directed against the cryptococcal capsular
243 polysaccharide, glucuronoxylomannan (GXM), or vaccination with GXM conjugated to a carrier
244 protein, prolonged survival or reduced fungal burdens in some mouse models of
245 cryptococcosis²⁹⁻³¹. However, in our studies, protection against cryptococcosis mediated by the
246 GP-Cda1 and GP-Cda2 vaccines was retained in two different B cell deficiency mouse strains:
247 μ MT mice on C57BL/6 background and $Jh^{-/-}$ mice on the BALB/c background. These data
248 indicate that antibody responses are not essential for anticryptococcal immunity elicited by the
249 two GP-vaccines studied here. Similarly, Aguirre et al. found that μ MT mice and WT C57BL/6
250 mice had comparable susceptibility to cryptococcosis regardless of whether the mice had
251 received a sublethal intratracheal immunization with a live *C. neoformans* strain³². In another
252 study, protection mediated by an IFN γ -producing *C. neoformans* vaccine strain was retained in
253 B cell deficient CD19 $^{-/-}$ mice³³. Thus, B cells appear to be dispensable for multiple cryptococcal
254 vaccine candidates under development.

255 Similar to B cells, our data demonstrate that CD8 $^{+}$ T cells are dispensable for GP-Cda1 and
256 GP-Cda2 vaccine-mediated protection. Vaccinated $\beta 2m^{-/-}$ mice, deficient in CD8 $^{+}$ T cells,
257 survived as well as the vaccinated WT mice following lethal challenge with *C. neoformans*.
258 Although IFN γ -producing CD8 $^{+}$ T cells (Tc1) were found in GP-Cda1/Cda2 vaccinated and
259 infected mice lungs, their numbers were considerably lower compared with the numbers of
260 infiltrating CD4 $^{+}$ T cells. In contrast, studies using primary pulmonary infection models found that
261 although not playing a dominant role, CD8 $^{+}$ T cells contributed to protective immunity and

262 cryptococcal clearance by mediating cellular recruitment, synergizing with CD4⁺ T cells,
263 secreting inflammatory cytokines, and lysing *Cryptococcus*-laden phagocytes³⁴⁻³⁶.

264 While B cells and CD8⁺ T cells were not required, two lines of evidence demonstrate the non-
265 redundant contribution that CD4⁺ T cells make to GP-vaccine mediated protection. First,
266 vaccination with GP-Cda1 and GP-Cda2 failed to protect CD4⁺ T cell-deficient MHCII^{-/-} mice
267 against cryptococcal challenge. Second, vaccine-mediated protection was lost when mice were
268 depleted of CD4⁺ T cells using a monoclonal antibody targeting CD4. Notably, protection was
269 abrogated regardless of whether the CD4⁺ T cells were depleted at the time of vaccination or
270 during infection. These results are in marked contrast to what was observed with an attenuated
271 *Blastomyces dermatitidis* vaccine which protected CD4⁺ T cell-deficient mice against
272 blastomycosis and histoplasmosis by eliciting IL-17-producing CD8⁺ T cells (Tc17)³⁷. However,
273 no compensatory effect of CD8⁺ T cells was observed in GP-Cda1 or GP-Cda2 vaccinated
274 CD4-deficient mice in our study, reflecting the non-essential role for CD8⁺ T cells in this model.

275 As CD4⁺ T cells are required for GP-vaccine mediated immunity, these findings raise the
276 question of whether the immunocompromised populations most at risk for cryptococcosis could
277 still benefit from the vaccine. Taking into consideration that most immunocompromised
278 individuals are only partially deficient in CD4⁺ T cell number or function^{20,38}, we established a
279 partial CD4 deletion animal model using a range of doses of the anti-CD4 mAb, GK1.5. The
280 protection elicited by the GP-Cda1/Cda2 combination vaccine was inversely correlated with
281 GK1.5 dosage. Importantly, mice with very low levels of blood CD4⁺ T cells at the time of
282 challenge were protected. The translational significance of these findings remains speculative. It
283 may be possible to vaccinate persons living with HIV while their CD4⁺ T cells counts are
284 relatively high, either at early diagnosis or after antiretroviral treatment. In a recent multicenter
285 trial of patients with cryptococcal meningitis conducted in sub-Saharan Africa, 64% of trial
286 participants had previously received antiretroviral therapy³⁹. Other potential *Cryptococcus*

287 vaccine recipients include patients for whom immunosuppression is anticipated in the future,
288 such as individuals on solid organ transplant waiting lists. Finally, it is also worth noting that
289 many cases of cryptococcosis are thought to be due to reactivation of latent disease^{40,41}. In
290 these individuals, vaccination could stimulate immune responses to eliminate latent
291 cryptococcal foci.

292 The durability and pattern of compartmentalization of vaccine-induced immunity are
293 dependent, at least in part, on the vaccine antigens, delivery system, and route of
294 administration^{5,42,43}. To explore how adaptive immunity develops after GP-subunit vaccination
295 and pulmonary cryptococcal infection, IFN γ secretion was measured following *ex-vivo* antigen
296 stimulation of immune cells from blood, spleen, and lung leukocytes. Robust antigen-specific
297 immune responses were detected in all compartments for vaccinated mice with or without
298 infection. Of note, the 70d survivors of vaccination and infection still had strong responses, thus
299 suggesting that GP-Cda vaccines elicit durable local and systemic immunity in the experimental
300 pulmonary infection models.

301 The CD4⁺ T lymphocyte subsets Th1 and (to a lesser extent) Th17 have been associated
302 with protective responses to cryptococcal infection. Postulated mechanisms include activation
303 and recruitment of M1 macrophages, dendritic cell maturation, and stimulation of
304 proinflammatory cytokine and chemokine production⁴⁴⁻⁴⁶. We have demonstrated GP-based
305 vaccines elicit strong antigen-specific Th1- and Th17-biased responses in mice and rats⁴⁷⁻⁴⁹.
306 Consistent with these observations, following GP-Cda1/Cda2 vaccination and infection, mouse
307 lungs had a robust influx of activated CD4⁺ T cells that produced IFN γ (Th1), IL-17 (Th17), and
308 TNF α following *ex vivo* antigen stimulation. Moreover, significantly enhanced IFN γ
309 concentrations in *ex vivo* culture supernatants were detected by ELISA in mice both vaccinated
310 and challenged. These results indicate CD4⁺ T cells are an important source of IFN γ , although
311 the modest increase of Tc1 cells suggests a supplementary role for CD8⁺ T cells. Interestingly,

312 for mice vaccinated but not infected, increased levels of IFN γ were also observed following
313 antigen stimulation. However, the numbers of Th1 and Tc1 cells were not elevated to the
314 degree seen with vaccinated and infected mice, thus suggesting the contribution of other
315 cellular sources of IFN γ , such as ILC1 cells, NK cells, B cells, macrophages, and dendritic
316 cells⁵⁰.

317 Regardless of the cellular source, IFN γ was required for GP-Cda1- and GP-Cda2-induced
318 protection from cryptococcal challenge, as evidenced by the near total loss of protection in mice
319 genetically deficient in this cytokine or its receptor, IFN γ R. Survival curves similar to those seen
320 in unvaccinated mice were also observed in mice null for IL-6 and IL-23. These two cytokines
321 have a myriad of immune functions including promoting Th17 development and are required for
322 optimal host defenses against cryptococcosis⁵¹⁻⁵⁴. For the other two cytokine knockout mice we
323 studied, TNF α ^{-/-} and IL-1 β ^{-/-}, more complex phenotypes were seen with results depending upon
324 the vaccine tested. The GP-Cda1 vaccine partially protected TNF α ^{-/-} and IL-1 β ^{-/-} mice, with
325 survival intermediate between that seen in unvaccinated and vaccinated WT mice. For the GP-
326 Cda2 vaccine, protection was largely lost in the TNF α ^{-/-} mice, but similar to WT survival curves
327 in the IL-1 β ^{-/-} mice. The differential protection observed in the knockout mice when comparing
328 the two vaccines raises the possibility that the vaccines activate different intracellular pathways.
329 TNF α is produced following phagocytosis of *C. neoformans* and is thought to have a central role
330 in host defenses against cryptococcosis^{21,55}. IL-1 β is released by mononuclear phagocytes,
331 including dendritic cells, following activation of both the canonical NLRP3–ASC–caspase-1
332 inflammasome and the non-canonical NLRP3-ASC-caspase-8 inflammasome⁵⁶.

333 In summary, our preclinical studies illuminate the arms of the immune system which are
334 required for GP-Cda1 and GP-Cda2 vaccines to protect mice from cryptococcosis. Using
335 models of congenital and acquired deficiency, a crucial, non-redundant role was found for CD4⁺
336 T cells. Moreover, vaccinated and infected mice had a robust pulmonary influx of CD4⁺ T cells

337 expressing IFN γ , IL-17, and TNF α . Mice deficient in these cytokines or in pathways leading to
338 their production or responsiveness were no longer protected by vaccination. A major challenge
339 will be bringing vaccines to the human populations most in need. Our discovery that mice are
340 still protected in the setting of partial CD4⁺ T cell depletion provides encouragement that GP-
341 vaccines could still be employed in some immunodeficient hosts. However, to maximize
342 protection in hosts with CD4⁺ T cell impairment, it may be necessary to stimulate other arms of
343 the immune system. One such strategy which we are exploring is studying whether vaccines
344 containing cryptococcal protein antigens which are exposed on the capsular surface will
345 stimulate protective opsonophagocytic antibody responses.

346 **METHODS**

347 **Chemicals and Reagents**

348 Chemical reagents were purchased from Thermo Fisher (Pittsburgh, PA), unless otherwise
349 specified. Media for culturing *Cryptococcus* were either Sabouraud dextrose agar, or yeast
350 extract-peptone-dextrose (YPD), containing Difco yeast extract, Bacto peptone, dextrose with
351 and without 2% agar. Bovine serum albumin was added to 1X phosphate buffered saline (PBS)
352 at a concentration of 0.5% as FACS buffer for flow cytometry staining. Complete medium for
353 mouse cell culture was RPMI 1640 supplemented with 10% fetal bovine serum (FBS), 1%
354 HEPES, 1% GlutaMAX and 1% Penicillin-Streptomycin. Where indicated, 0.5 μ g/ml
355 amphotericin B was included in the complete medium.

356 **Mouse Strains**

357 Experiments were performed using 6-10 weeks old male and female mice in approximately
358 equal numbers. BALB/c and C57BL/6 WT mice were obtained from The Jackson Laboratory
359 (Bar Harbor, ME). B cell deficient *Jh*^{-/-} mice on the BALB/c background were purchased from
360 Taconic Biosciences (Rensselaer, NY). B cell deficient (μ MT, JAX stock #002288), CD4-

361 deficient (MHCII^{-/-}, JAX stock #003239), and CD8-deficient (β 2m^{-/-}, JAX stock #002087) mice on
362 the C57BL/6 background were obtained from The Jackson Laboratory. Knockout mice on the
363 C57BL/6 background deficient in IFN γ (IFN γ ^{-/-}, JAX stock #002287), IFN γ R (IFN γ R^{-/-}, JAX stock
364 #003288), TNF α (TNF α ^{-/-}, JAX stock #005540), IL-1 β (IL-1 β ^{-/-}, JAX stock #68082), IL-6 (IL-6^{-/-},
365 JAX stock #002650), and IL-23p19 (IL-23^{-/-}) were also obtained from The Jackson Laboratory,
366 except for the IL-23^{-/-} mice which were from Nico Ghilardi (Genentech, South San Francisco,
367 CA)⁵⁷. Mice were bred and housed in specific pathogen-free conditions in the animal facilities at
368 the University of Massachusetts Chan Medical School (UMCMS). All animal care and
369 procedures were in accordance with protocols approved by the UMCMS Institutional Animal
370 Care and Use Committee.

371 ***Cryptococcus neoformans***

372 *C. neoformans* var. *grubii* strain KN99 α ⁵⁸, hereafter referred to as KN99, was preserved in 50%
373 glycerol at -80°C. To prepare fungal cells for infection, KN99 was grown on YPD agar for 48h at
374 30°C, followed by overnight culture in 4mL liquid YPD at 30°C with shaking. Cells were washed
375 three times with 1X PBS buffer, and the concentration of yeast cells was determined using an
376 T20 automated cell counter (BioRad, Hercules, CA). CFUs were quantified by culturing on
377 Sabouraud dextrose agar.

378 To prepare heat-killed (HK) *C. neoformans* as stimuli for *ex vivo* experiments, KN99 was
379 shaken 18h in YPD liquid medium at 30°C, then diluted 1:200 into fresh YPD medium and
380 shaken for 48h. The number of cells was determined and the culture was diluted with PBS to
381 2.6 x10⁷ cells/ml which is equivalent in dry weight to 1 mg/ml. The fungi were heat-killed at 70°C
382 for 30min, and then aliquoted without further washing and stored at -80°C until use. Complete
383 fungal killing was confirmed by the absence of CFU following plating on Sabouraud dextrose
384 agar.

385 **GP-Vaccines**

386 Cryptococcal proteins Cda1 and Cda2 were expressed in *E. coli* and purified as described¹⁶.
387 Briefly, the cDNAs encoding for Cda1 and Cda2 protein were synthesized and cloned in the
388 pET-19b vector by GenScript (Piscataway NJ). Recombinant proteins were purified on HisBind
389 resin (MilliporeSigma, Burlington, MA) and dialyzed against 6M urea/20mM Tris-HCl, pH 7.9.
390 Protein concentrations were determined using the bicinchoninic acid (BCA) assay. Protein purity
391 was assessed by SDS-PAGE (BioRad) followed by staining with InstantBlue Coomassie Protein
392 Stain (Abcam, Cambridge, UK). Proteins were concentrated using Amicon Ultra-15 centrifugal
393 filters (10K MWCO; MilliporeSigma) and adjusted to a concentration of 10 mg/ml. GP-Cda1 and
394 GP-Cda2 vaccines were prepared as described⁴⁷. Each vaccine dose consisted of a 100 μ l
395 sterile 0.9% saline suspension of 200 μ g GPs (approximately 10^8 GP particles) containing 10 μ g
396 of recombinant protein and 25 μ g of mouse serum albumin (MSA) complexed with yeast RNA
397 (yRNA).

398 **Immunization and Infection Strategy**

399 Vaccines were administered to mice subcutaneously near the midline of abdomen as a prime
400 dose followed by two boosters, with a 2-week interval between each injection. The GP-
401 Cda1/Cda2 two antigen combination vaccine followed the same schedule and was formulated
402 such that a single 100 μ l dose consisted of a 1:1 mix of GP-Cda1 and GP-Cda2 containing 5 μ g
403 of each protein. Two weeks after the last vaccination, mice were anesthetized with 2%
404 isoflurane (Covetrus, Portland, ME) inhalation and orotracheally inoculated with *C. neoformans*
405 suspended in 50 μ l PBS. The inoculum was 2×10^4 yeast cells for WT and knockout mice on
406 the BALB/c background, and 1×10^4 cells for WT and knockout mice on the C57BL/6
407 background. For the survival studies, mice were monitored daily until 70 dpi at which point the
408 experiment was terminated and remaining mice were euthanized with CO₂ asphyxiation. For the
409 *ex vivo* immunology experiments, mice were euthanized at the indicated time points.

410 **CD4⁺ T cell Depletion**

411 The anti-CD4 monoclonal antibody GK1.5 (Cell Culture Company, Minneapolis, MN) was
412 used to deplete CD4⁺ T cells. Mice received the indicated dose of GK1.5 intraperitoneally in 100
413 μ l PBS. Control mice received 100 μ l intraperitoneal PBS. CD4⁺ T cell counts in peripheral blood
414 were assessed by performing cheek bleeds in uninfected BALB/c mice. Four mice per dosage
415 group were studied, so that two mice per group were assessed each week and two weeks
416 elapsed between blood collections from the same mice. For each sample, 100 μ l whole blood
417 was obtained and divided into technical duplicates for staining with CD3-PE, CD4-PerCP-
418 Cyanine5.5 and CD8-APC antibodies (BioLegend, San Diego, CA), followed by red blood cell
419 lysis and fixation with 1 ml 1X RBC Lysis/Fixation Solution (BioLegend). Cells were then
420 centrifuged at 350 x g for 5 min after which the pellets were resuspended with 275 μ l FACS
421 buffer and 25 μ l of CountBright Absolute Counting Beads. Cells were analyzed on a 5-laser Bio-
422 Rad ZE5 flow cytometer.

423 **Single Cell Suspension Preparation and Lung CFU Detection**

424 Following anesthesia with isoflurane, blood was drawn by cardiac puncture with heparinized
425 syringes and pooled within experimental groups. The blood was diluted with an equal volume of
426 PBS containing 2% FBS, then layered over 5 ml of Ficoll-Paque PREMIUM 1.084 (Cytiva,
427 Uppsala, Sweden) in 15 ml SepMate-50 tubes (STEM Cell Technology, Cambridge, MA). After
428 centrifugation at 1200 x g for 20 min, the interphase containing PBMCs was collected. Spleens
429 and lungs were dissociated after cardiac puncture and analyzed individually. Spleens were
430 pressed with the piston of a 3 ml syringe and through a 70 μ m cell strainer with 6 ml complete
431 media to collect single cells. Lung single-cell suspensions were prepared using the MACS Lung
432 Dissociation Kit for mouse as described by the manufacturer (Miltenyi Biotec, Bergisch
433 Gladbach, Germany). Single-cell suspensions of the lungs were enriched for leukocytes using a
434 67% and 40% Percoll (Cytiva) gradient, followed by collection of interphase cells. Single cells

435 from the blood, spleen and lungs were washed and resuspended in complete culture medium
436 supplemented with amphotericin B. The concentration and viability of cells were determined with
437 Trypan blue (BioRad) using a T20 auto cell counter. For lungs collected at 10 dpi or 70 dpi,
438 undiluted and diluted lung single cell suspensions were plated on Sabouraud dextrose agar, and
439 incubated at 30°C for 2-3 days, at which time CFUs of *Cryptococcus* were counted. The
440 detection limit was 20 CFU/lung.

441 **Ex Vivo Cell Culture and Stimulation**

442 PBMCs, splenocytes, and lung leukocytes were plated in tissue culture-treated 96-well round
443 bottom plates at 2×10^5 , 1×10^6 , and 4×10^5 cells/well, respectively. Cells were left
444 unstimulated or stimulated with Cda1 or Cda2 protein (5 µg/ml), HK *C. neoformans* strain KN99
445 (50 µg/ml), or Staphylococcal Enterotoxin B (SEB, 1 µg/ml; Toxin Technology Inc, Sarasota, FL).
446 Incubations were performed at 37°C in humidified air supplemented with 5% CO₂. PBMCs and
447 splenocytes were cultured 3 days following which supernatants were collected and stored at -
448 80°C pending assay for IFN γ . For lung leukocytes, cells were cultured for 18h. Brefeldin A (5
449 µg/ml, BioLegend) was added for the last 4h of lung leukocytes and PBMCs culture. Duplicates
450 or triplicates were conducted for each sample. Culture supernatants were collected and stored
451 at -80°C for subsequent IFN γ measurements, while the lung leukocytes were analyzed by flow
452 cytometry.

453 **Quantification of IFN γ Production**

454 IFN γ concentrations in cell supernatants were determined with the R&D Systems Mouse IFN γ
455 DuoSet ELISA Kit (Bio-Techne, Minneapolis, MN) according to the manufacturer's protocol.
456 Samples were run in 1:2 dilutions. The detection limit was 10 pg/ml. Values lower than the
457 detection limit were arbitrarily assigned a value of 9 pg/ml.

458 **Intracellular Staining and FACS Analysis**

459 Lung leukocytes were stained with LIVE/DEAD green fixable dead cell stain kit. Cell surface
460 antigens were then stained with CD3-PE, CD4-PerCP/Cyanine5.5, and CD8-APC (BioLegend).
461 After fixation and permeabilization using the Intracellular Fixation & Permeabilization Buffer Set,
462 cells were co-incubated with rat anti-mouse CD16/CD32 monoclonal antibody 2.4G2 (BD
463 Pharmingen) to block Fc receptors, and then stained with CD154-PE/Cyanine7, IFN γ -BV650,
464 IL17A-BV510, and TNF α -APC/Cyanine7 (BioLegend). FACS data were acquired with a 5-laser
465 BD LSRII flow cytometer and analyzed using FlowJo version 10.8 software (BD, Franklin Lakes,
466 NJ). Gating was established using FMO controls and isotype controls. Briefly, a singlet gate was
467 created on a plot of forward scatter height (FSC-H) vs. FSC-A. Then, debris were excluded
468 based on FSC-A and side scatter area (SSC-A). The dead cells were excluded using the
469 LIVE/DEAD green signal, the CD4⁺CD8⁻ population was selected from the CD3⁺ population,
470 and finally the expression of IFN γ , IL-17A, TNF α and CD154 by the live CD3⁺CD4⁺CD8⁻ gated
471 population was examined (see supplemental Fig. 1S). An identical gating strategy was used to
472 examine CD8⁺ T cells except the CD4⁻CD8⁺ population was selected from the CD3⁺ population.

473 **Statistics**

474 Data were analyzed and graphs were drawn using GraphPad Prism, version 9.2.0 (GraphPad
475 Software, La Jolla, CA). Kaplan-Meier survival curves were analyzed for significance using the
476 Mantel Cox log rank test. When median survival differences were $\leq 3d$, findings were not
477 considered biologically significant and thus not denoted as statistically significant. Lung CFUs
478 were compared using the Mann-Whitney test. Lung leukocytes, CD4⁺ T cell and CD8⁺ T cell
479 numbers in groups were compared using the one-way ANOVA test with Bonferroni's correction
480 for multiple comparison. For the *ex vivo* experiments, technical triplicates of pooled PBMC in
481 each group were presented and analyzed. Averages of technical duplicates or triplicates for
482 each sample were presented and data were analyzed individually for spleen and lungs. The
483 comparisons of cytokine expression among groups were performed by two-way ANOVA test

484 with Bonferroni's correction applied for multiple comparisons. Significance was defined as a *P*
485 value of <0.05 following corrections for multiple comparisons.

486 **Reporting summary**

487 Further information on research design is available in the Nature Research Reporting Summary
488 linked to this article.

489 **DATA AVAILABILITY**

490 The datasets generated during and/or analyzed during the current study are available from the
491 corresponding author on reasonable request.

492 **AUTHOR CONTRIBUTIONS**

493 R.W., L.V.N.O., M.M.H., S.M.L, C.A.S, and G.R.O. conceived and designed experiments. R.W.,
494 L.V.N.O., D.L., C.L.G., C.K.L., Z.M, M.M.H., and C.A.S performed experiments. R.W., L.V.N.O.,
495 C.A.S., and S.M.L. analyzed the data. R.W., L.V.N.O., C.A.S., and S.M.L. wrote the paper. All
496 authors contributed to the manuscript and approved the submitted version.

497 **COMPETING INTEREST STATEMENT**

498 The authors declare that the research was conducted in the absence of any commercial or
499 financial relationships that could be construed as a potential conflict of interest.

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Figure Legends

Fig. 1. Contribution of B cells to protection by GP-Cda1 or GP-Cda2 vaccines. WT

C57BL/6 mice and B cell-deficient (μ MT) mice on the C57BL/6 background (A and B), and WT BALB/c mice and B cell-deficient (Jh^{-/-}) mice (C and D) on the BALB/c background received a prime and two biweekly boosts with the indicated GP-Cda1 or GP-Cda2 vaccine. Two weeks after the last boost, mice were challenged with *C. neoformans* and then followed 70d for survival. Vac = vaccinated with GP-Cda1 or GP-Cda2. UnVac = unvaccinated. n denotes the number of mice in the experimental group. Note the same survival curves are shown for unvaccinated mice in Fig. 1A and 1B as well as in Fig. 1C and 1D. Within each group of WT and mutant mouse strains, $P < 0.0005$ comparing Vac and UnVac mice (A, B, C and D).

Fig. 2. Contribution of CD8⁺ and CD4⁺ T cells to protection by GP-Cda1 or GP-Cda2. Top

panel: Wild-type (WT) C57BL/6 mice and CD8⁺ T cell-deficient (β 2m) mice on the C57BL/6 background received a prime and two biweekly boosts with GP-Cda1 (A) or GP-Cda2 (B). Two weeks after the last boost, mice were challenged with *C. neoformans* and followed 70 days for survival. Vac = vaccinated with GP-Cda1 or GP-Cda2. UnVac = unvaccinated. n denotes the number of mice in the experimental group. Bottom panel: Same as the top panel except CD4⁺ T cell-deficient (MHCII^{-/-}) mice were used (C and D). Note the same survival curves are shown for unvaccinated mice in Fig. 2A and 2B as well as in Fig. 2C and 2D. $P < 0.005$ when comparing WT Vac vs WT UnVac (A, B, C and D), mutant Vac vs mutant UnVac (A, B and D), and WT Vac vs mutant Vac (C and D).

Fig. 3. Effect of CD4⁺ T cell depletion during the vaccination and challenge phases on

GP-Cda2 protection. (A) Experimental outline. BALB/c mice received a prime and two biweekly

boosts of the GP-Cda2 vaccine (Vac) followed by a pulmonary challenge with *C. neoformans*. The CD4-depleting mAb GK1.5 was administered at three biweekly intervals either during the vaccination phase (Vac Phase) 2d before each vaccine dose or the challenge phase (Chal Phase) with the first dose given 2d before *C. neoformans* challenge. Controls included vaccinated mice that did not get GK1.5 (No GK1.5) and unvaccinated (UnVac) mice. (B) Kaplan-Meier survival curve of mice followed for 70 days (10 weeks) after challenge, with percent survival recorded daily. Data are from two independent experiments, each with 5 mice/group. Significant ($P < 0.001$, Mantel-Cox log rank test) survival compared with unvaccinated mice was seen only for mice that did not get GK1.5.

Fig. 4. The effect of partial CD4⁺ T cell depletion on vaccine-mediated protection. (A)

Naïve BALB/c mice were injected with the indicated amount of anti-CD4 mAb GK1.5 on day -2. Every other week starting on day 0, the mice underwent cheek bleeds to determine the CD4⁺ T cell count in peripheral blood. Four mice per group were studied, staggered so that two mice per group were tested each week. Data are mean values. (B) BALB/c mice were vaccinated thrice at biweekly intervals with GP-Cda1/Cda2. Twelve days after the last boost, mice were injected with the indicated amount of GK1.5. Two days later, the mice received a pulmonary challenge with *C. neoformans* and monitored for survival until day 70. UnVac = Unvaccinated. Data are from two independent experiments, each with 5 mice/group. Significant ($P < 0.005$) survival compared with unvaccinated mice was seen for those groups of mice receiving a GK1.5 dose \leq 40 μ g.

Fig. 5. IFN γ production by ex vivo stimulated PBMCs, splenocytes, and lung leukocytes following GP-Cda1/Cda2 vaccination and/or infection. BALB/c mice were vaccinated thrice

at biweekly intervals with GP-Cda1/Cda2. Two weeks after last boost, the mice received a pulmonary challenge with *C. neoformans*. Mice were euthanized at 0 dpi (uninfected), 10 dpi or 70 dpi. PBMCs (A), spleens (B), and lungs (C) were collected. Controls included unvaccinated mice that were euthanized at 0 dpi or 10 dpi. Single cell PBMC, spleen, and lung suspensions were prepared following which cells were cultured in complete media supplemented with amphotericin B in the presence of the indicated antigens for either 3 days (PBMC and spleen) or 18h (lung). Control cells were left unstimulated (Unstim). Supernatants were collected and analyzed for IFN γ by ELISA. Each group had 5 mice. Vac, vaccinated with GP-Cda1/Cda2. Chall, challenged with *C. neoformans* strain KN99. Dpi, days post infection, HK KN99, heat-killed *C. neoformans* strain KN99. IFN γ production following SEB stimulation, as a positive control, was shown in Fig. 2S. The results of the statistical comparisons between groups were shown in Fig. 3S.

Fig. 6. Analysis of lung CFU, leukocytes, and ex vivo antigen-stimulated Th intracellular cytokine production following GP-Cda1/Cda2 vaccination and/or infection. BALB/c mice were vaccinated thrice at biweekly intervals with GP-Cda1/Cda2. Two weeks after last boost, the mice received a pulmonary challenge with *C. neoformans*. Mice were euthanized at 0 dpi (uninfected), 10 dpi or 70 dpi. Controls included unvaccinated mice euthanized at 0 dpi or 10 dpi. Lungs were harvested and single cell suspensions were prepared. (A) CFU/lung were determined. (B) Leukocytes at the interface of a 67% and 40% Percoll gradient were collected and counted. (C-H) Leukocytes were cultured in complete media supplemented with amphotericin B and stimulated with indicated antigens or left unstimulated (Unstim) for 18h. Then the cells were collected, stained, and analyzed by polychromatic FACS, as described in Methods. (C, D) The numbers of CD4 $^{+}$ T and CD8 $^{+}$ T cells were calculated by multiplying the percentage of each population times the total leukocyte count. (E-H) The numbers of CD4 $^{+}$ T

cells expressing the activation marker CD154, or producing the intracellular cytokines IFN γ , IL-17, and TNF α following ex vivo stimulation. Each group had 5 mice. Vac = vaccinated with GP-Cda1/Cda2, Chall = challenge with *C. neoformans*, Dpi = days post infection, HK = heat killed. Statistical comparisons between groups are shown in Fig. 5S.

Fig. 7. Effect of host gene deletions in selected cytokines or cytokine receptor on GP-Cda1 or Cda2 vaccine efficacy. Wild-type (WT) mice and mice with selected cytokine or cytokine receptor deficiency (A. IFN γ ^{-/-}; B. IFN γ R^{-/-}; C. IL-6^{-/-}; D. IL-23^{-/-}; E. TNF α ^{-/-}; F. IL-1 β ^{-/-}) received a prime and two biweekly boosts with GP-Cda1 or GP-Cda2. Two weeks after the last boost, mice were challenged with *C. neoformans* and followed 70 days for survival. UnVac = unvaccinated. n denotes the number of mice in the experimental group. All mice were on the C57BL/6 background. Note the same survival curves are shown for WT mice in Fig. 7A to 7F. For Fig. 7A-E, P<0.001 comparing survival between knockout and WT mice vaccinated with either GP-Cda1 or GP-Cda2. For Fig. 7F, P<0.05 comparing GP-Cda1-vaccinated IL-1 β ^{-/-} and WT mice.

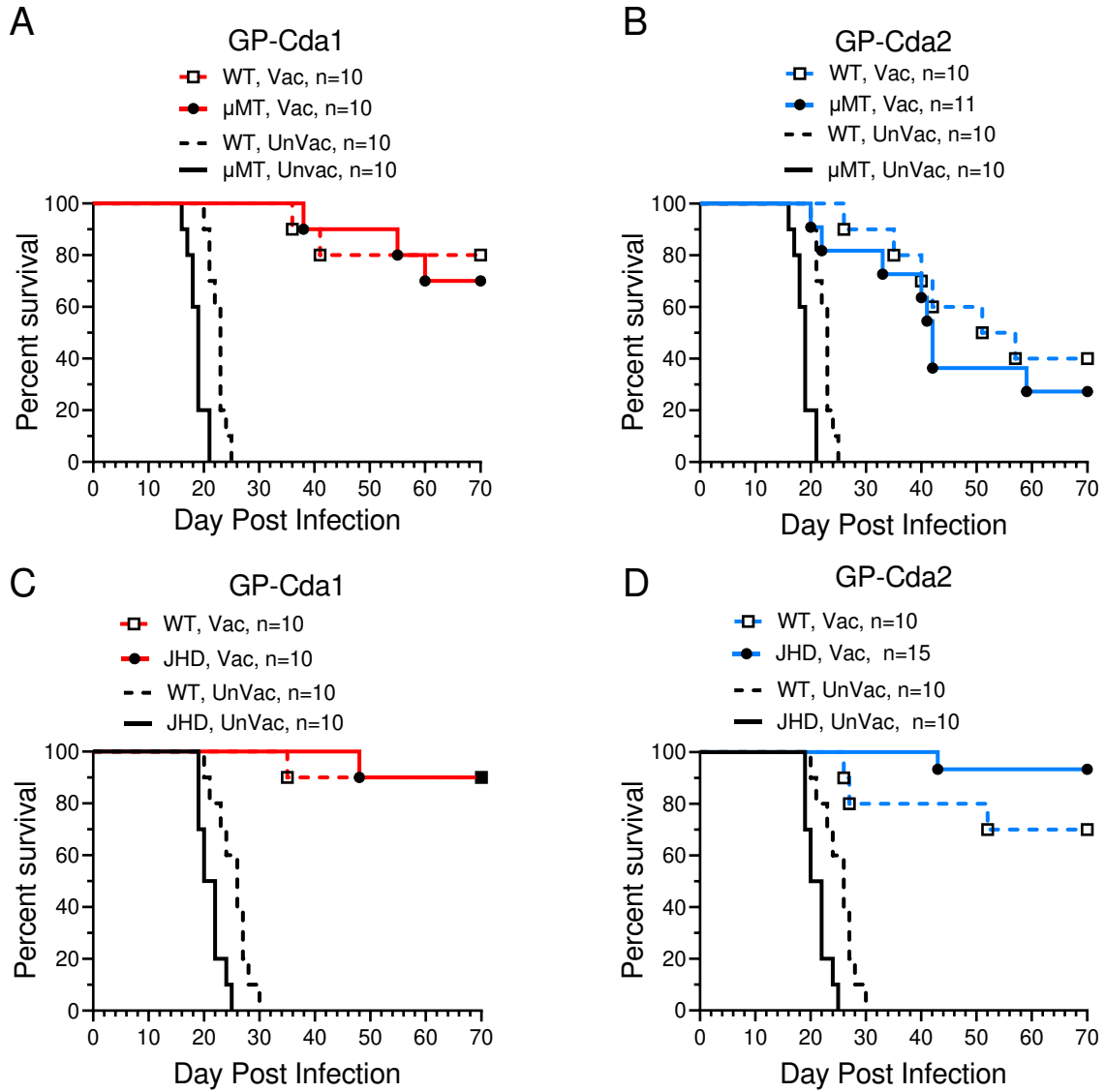


Fig. 1. Contribution of B cells to protection by GP-Cda1 or GP-Cda2 vaccines. WT C57BL/6 mice and B cell-deficient (μ MT) mice on the C57BL/6 background (A and B), and WT BALB/c mice and B cell-deficient ($Jh^{-/-}$) mice (C and D) on the BALB/c background received a prime and two biweekly boosts with the indicated GP-Cda1 or GP-Cda2 vaccine. Two weeks after the last boost, mice were challenged with *C. neoformans* and then followed 70d for survival. Vac = vaccinated with GP-Cda1 or GP-Cda2. UnVac = unvaccinated. n denotes the number of mice in the experimental group. Note the same survival curves are shown for unvaccinated mice in Fig. 1A and 1B as well as in Fig. 1C and 1D. Within each group of WT and mutant mouse strains, $P < 0.0005$ comparing Vac and UnVac mice (A, B, C and D).

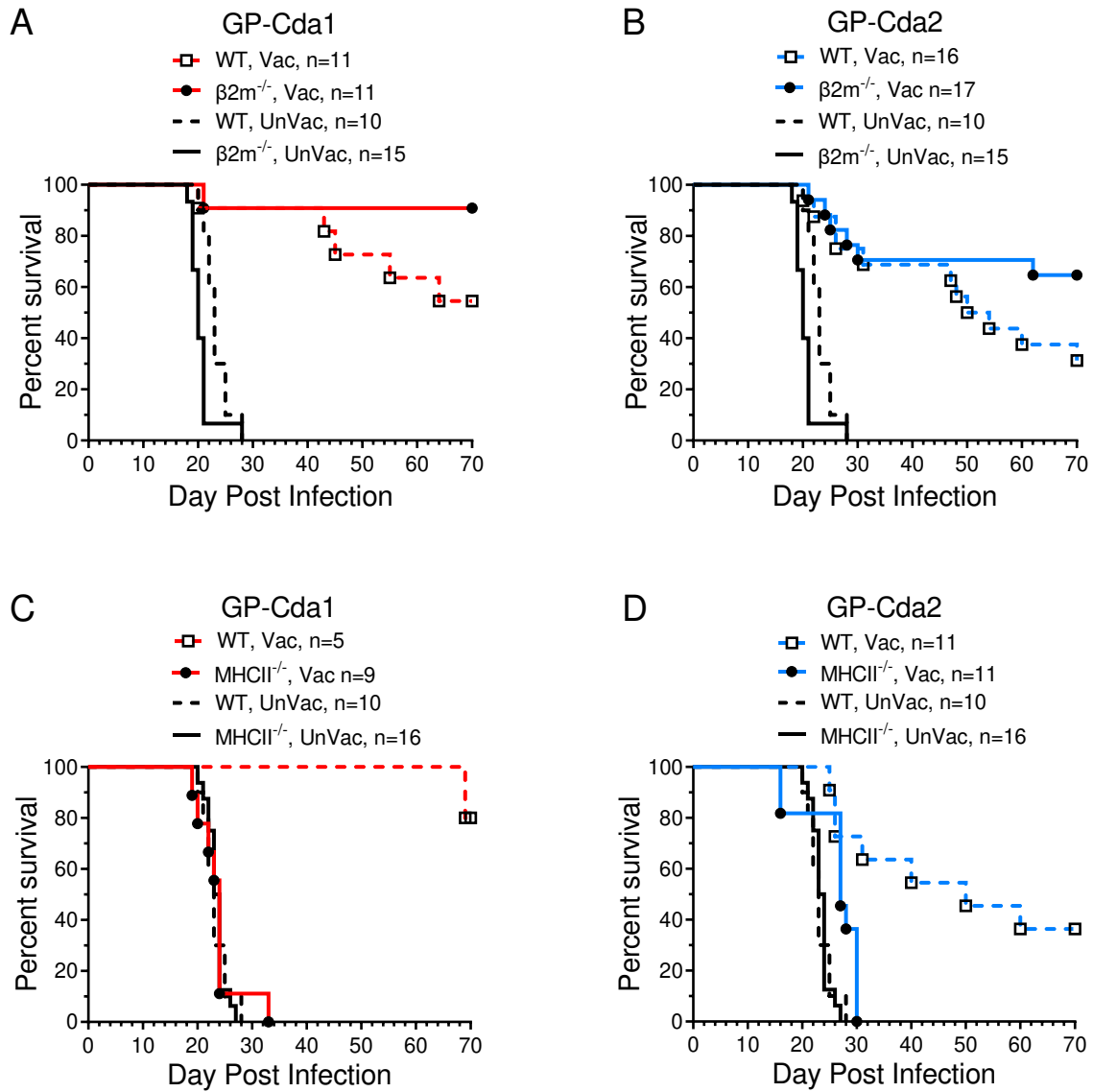


Fig. 2. Contribution of CD8⁺ and CD4⁺ T cells to protection by GP-Cda1 or GP-Cda2. Top panel: Wild-type (WT) C57BL/6 mice and CD8⁺ T cell-deficient ($\beta 2m^{-/-}$) mice on the C57BL/6 background received a prime and two biweekly boosts with GP-Cda1 (A) or GP-Cda2 (B). Two weeks after the last boost, mice were challenged with *C. neoformans* and followed 70 days for survival. Vac = vaccinated with GP-Cda1 or GP-Cda2. UnVac = unvaccinated. n denotes the number of mice in the experimental group. Bottom panel: Same as the top panel except CD4⁺ T cell-deficient (MHCII^{-/-}) mice were used (C and D). Note the same survival curves are shown for unvaccinated mice in Fig. 2A and 2B as well as in Fig. 2C and 2D. $P < 0.005$ when comparing WT Vac vs WT UnVac (A, B, C and D), mutant Vac vs mutant UnVac (A, B and D), and WT Vac vs mutant Vac (C and D).

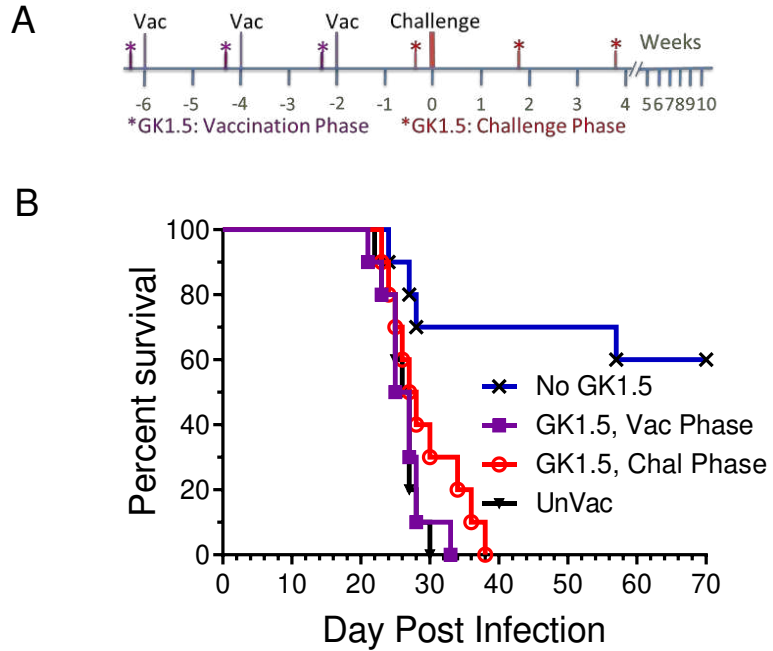


Fig. 3. Effect of CD4⁺ T cell depletion during the vaccination and challenge phases on GP-Cda2 protection. (A) Experimental outline. BALB/c mice received a prime and two biweekly boosts of the GP-Cda2 vaccine (Vac) followed by a pulmonary challenge with *C. neoformans*. The CD4-depleting mAb GK1.5 was administered at three biweekly intervals either during the vaccination phase (Vac Phase) 2d before each vaccine dose or the challenge phase (Chal Phase) with the first dose given 2d before *C. neoformans* challenge. Controls included vaccinated mice that did not get GK1.5 (No GK1.5) and unvaccinated (UnVac) mice. (B) Kaplan-Meier survival curve of mice followed for 70 days (10 weeks) after challenge, with percent survival recorded daily. Data are from two independent experiments, each with 5 mice/group. Significant ($P < 0.001$, Mantel-Cox log rank test) survival compared with unvaccinated mice was seen only for mice that did not get GK1.5.

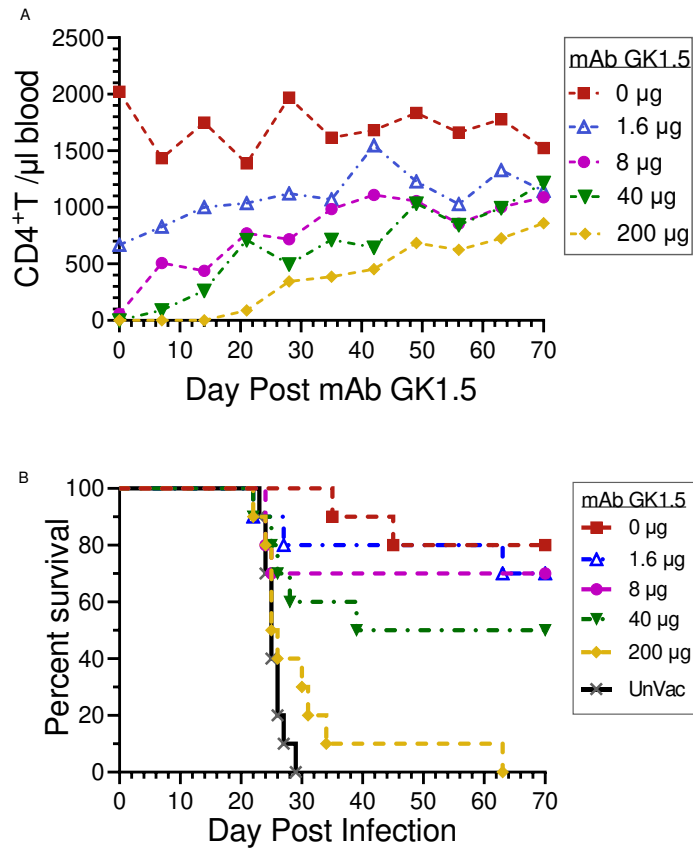


Fig. 4. The effect of partial CD4⁺ T cell depletion on vaccine-mediated protection. (A) Naïve BALB/c mice were injected with the indicated amount of anti-CD4 mAb GK1.5 on day -2. Every other week starting on day 0, the mice underwent cheek bleeds to determine the CD4⁺ T cell count in peripheral blood. Four mice per group were studied, staggered so that two mice per group were tested each week. Data are mean values. (B) BALB/c mice were vaccinated thrice at biweekly intervals with GP-Cda1/Cda2. Twelve days after the last boost, mice were injected with the indicated amount of GK1.5. Two days later, the mice received a pulmonary challenge with *C. neoformans* and monitored for survival until day 70. UnVac = Unvaccinated. Data are from two independent experiments, each with 5 mice/group. Significant ($P < 0.005$) survival compared with unvaccinated mice was seen for those groups of mice receiving a GK1.5 dose $\leq 40 \mu\text{g}$.

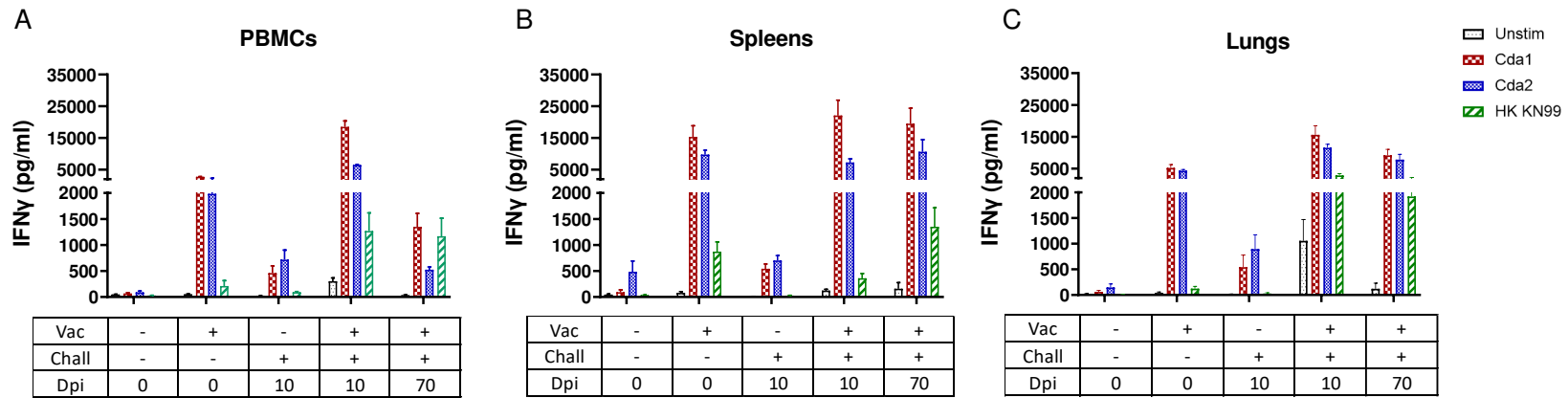


Fig. 5. IFN γ production by *ex vivo* stimulated PBMCs, splenocytes, and lung leukocytes following GP-Cda1/Cda2 vaccination and/or infection. BALB/c mice were vaccinated thrice at biweekly intervals with GP-Cda1/Cda2. Two weeks after last boost, the mice received a pulmonary challenge with *C. neoformans*. Mice were euthanized at 0 dpi (uninfected), 10 dpi or 70 dpi. PBMCs (A), spleens (B), and lungs (C) were collected. Controls included unvaccinated mice that were euthanized at 0 dpi or 10 dpi. Single cell PBMC, spleen, and lung suspensions were prepared following which cells were cultured in complete media supplemented with amphotericin B in the presence of the indicated antigens for either 3 days (PBMC and spleen) or 18h (lung). Control cells were left unstimulated (Unstim). Supernatants were collected and analyzed for IFN γ by ELISA. Each group had 5 mice. Vac, vaccinated with GP-Cda1/Cda2. Chall, challenged with *C. neoformans* strain KN99. Dpi, days post infection, HK KN99, heat-killed *C. neoformans* strain KN99. IFN γ production following SEB stimulation, as a positive control, was shown in Fig. 2S. The results of the statistical comparisons between groups were shown in Fig. 3S.

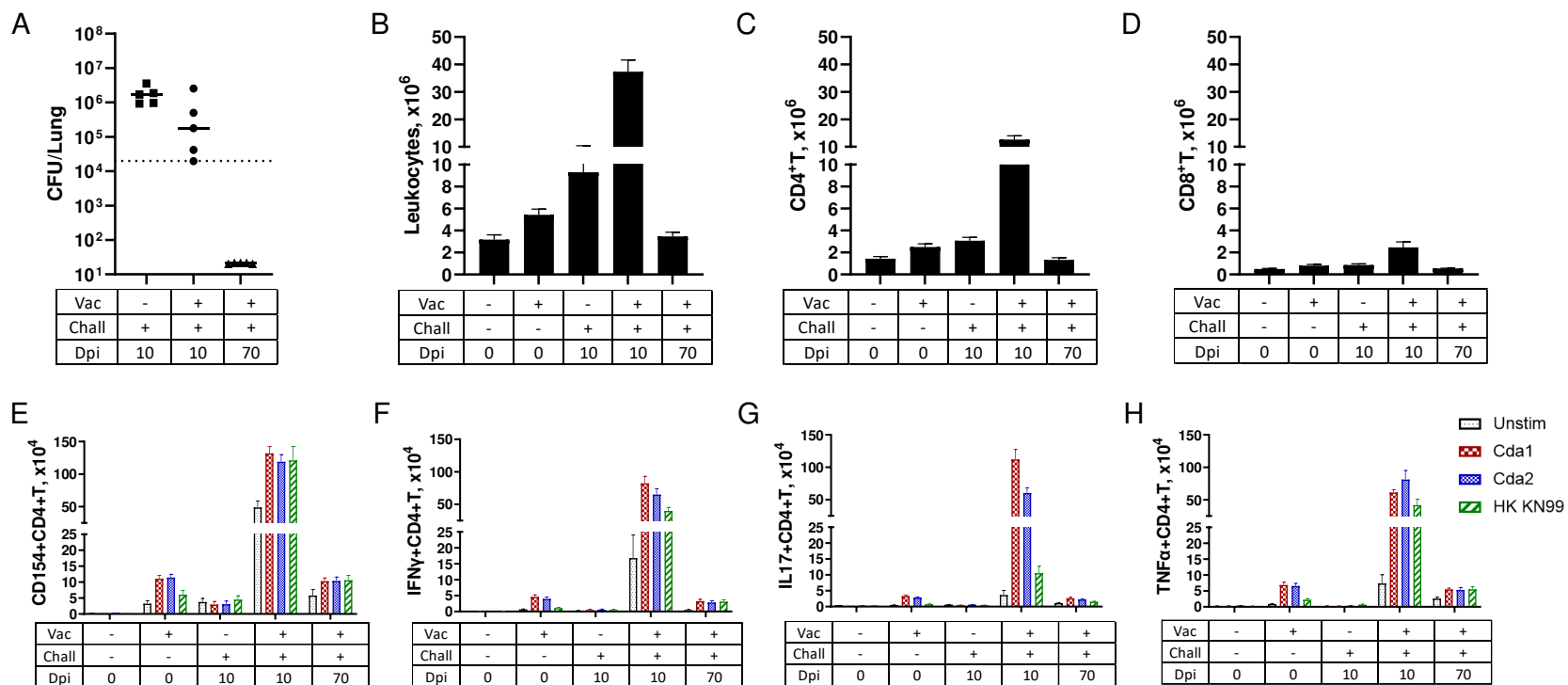


Fig. 6. Analysis of lung CFU, leukocytes, and *ex vivo* antigen-stimulated Th intracellular cytokine production following GP-Cda1/Cda2 vaccination and/or infection. BALB/c mice were vaccinated thrice at biweekly intervals with GP-Cda1/Cda2. Two weeks after last boost, the mice received a pulmonary challenge with *C. neoformans*. Mice were euthanized at 0 dpi (uninfected), 10 dpi or 70 dpi. Controls included unvaccinated mice euthanized at 0 dpi or 10 dpi. Lungs were harvested and single cell suspensions were prepared. (A) CFU/lung were determined. (B) Leukocytes at the interface of a 67% and 40% Percoll gradient were collected and counted. (C-H) Leukocytes were cultured in complete media supplemented with amphotericin B and stimulated with indicated antigens or left unstimulated (Unstim) for 18h. Then the cells were collected, stained, and analyzed by polychromatic FACS, as described in Methods. (C, D) The numbers of CD4⁺ T and CD8⁺ T cells were calculated by multiplying the percentage of each population times the total leukocyte count. (E-H) The numbers of CD4⁺ T cells expressing the activation marker CD154, or producing the intracellular cytokines IFN γ , IL-17, and TNF α following *ex vivo* stimulation. Each group had 5 mice. Vac = vaccinated with GP-Cda1/Cda2, Chall = challenge with *C. neoformans*, Dpi = days post infection, HK = heat killed. Statistical comparisons between groups are shown in Fig. 5S.

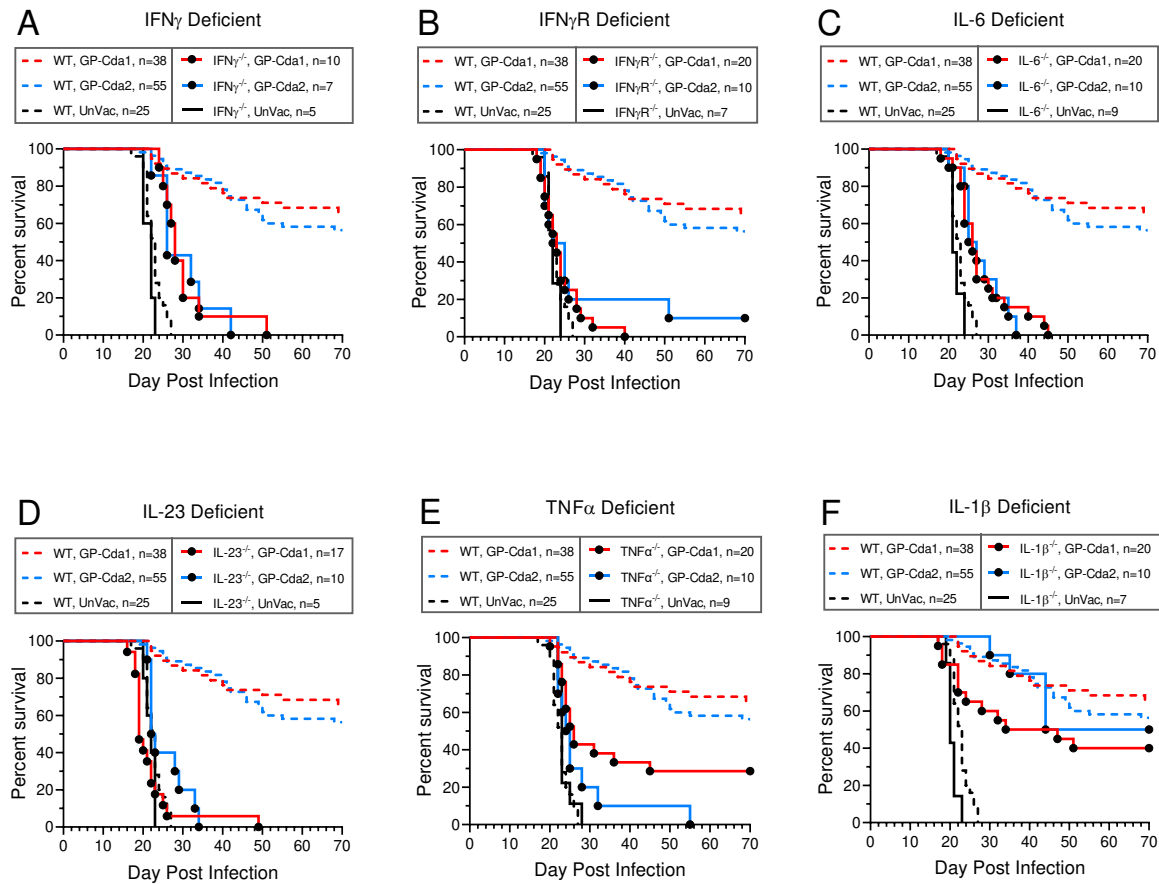


Fig. 7. Effect of host gene deletions in selected cytokines or cytokine receptor on GP-Cda1 or Cda2 vaccine efficacy. Wild-type (WT) mice and mice with selected cytokine or cytokine receptor deficiency (A. IFN γ ^{-/-}; B. IFN γ R^{-/-}; C. IL-6^{-/-}; D. IL-23^{-/-}; E. TNF α ^{-/-}; F. IL-1 β ^{-/-}) received a prime and two biweekly boosts with GP-Cda1 or GP-Cda2. Two weeks after the last boost, mice were challenged with *C. neoformans* and followed 70 days for survival. UnVac = unvaccinated. n denotes the number of mice in the experimental group. All mice were on the C57BL/6 background. Note the same survival curves are shown for WT mice in Fig. 7A to 7F. For Fig. 7A-E, $P < 0.001$ comparing survival between knockout and WT mice vaccinated with either GP-Cda1 or GP-Cda2. For Fig. 7F, $P < 0.05$ comparing GP-Cda1-vaccinated IL-1 β ^{-/-} and WT mice.

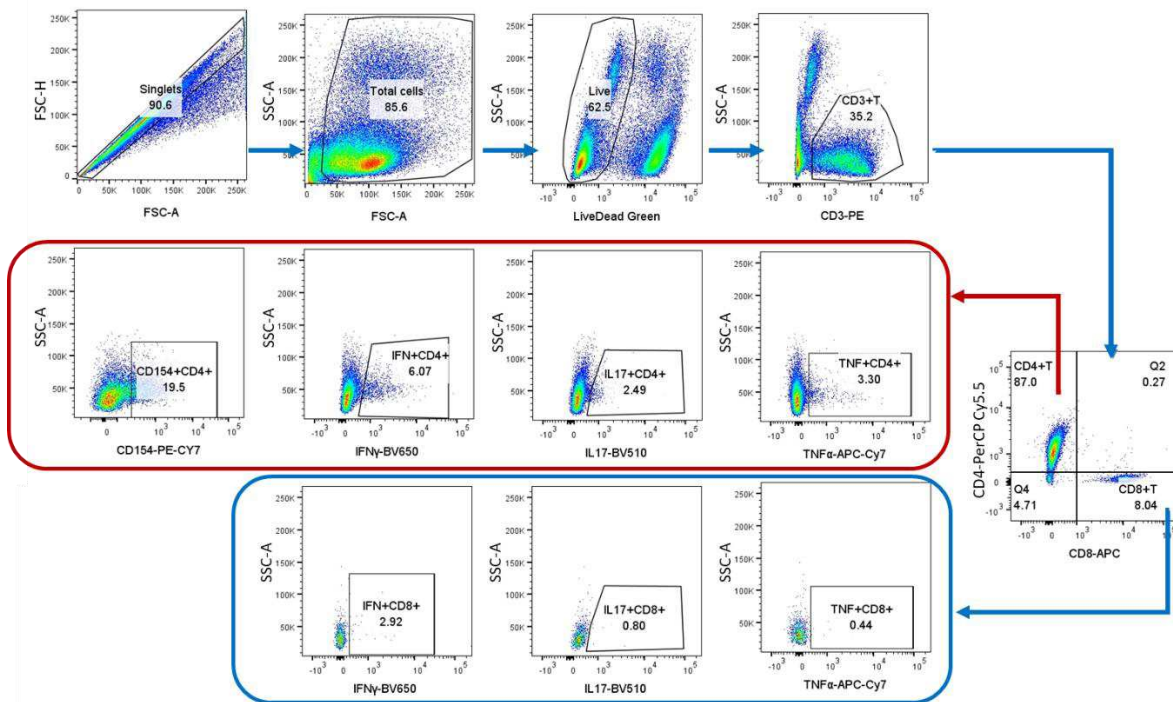


Fig. 1S. Representative flow cytometry plots illustrating the gating strategy for experiments examining T cell activation and intracellular cytokine production in cultured lung leukocytes. Singlet cells were gated based on forward scatter (FSC) height (FSC-H) vs. area (FSC-A). Debris was excluded based on FSC-A and side scatter area (SSC-A). Dead cells were excluded based on LIVE/DEAD green staining. T cells were selected based on CD3⁺ staining. The CD4⁺CD8⁻ population was selected from the CD3⁺ population. Finally, the intracellular expression of IFN γ , IL-17A, TNF α and CD154 by the live CD3⁺CD4⁺CD8⁻ gated population (shown in red box) was examined. An identical gating strategy was used to examine CD8⁺ T cells except the CD4⁺CD8⁺ population (shown in blue box) was selected from the CD3⁺ population. CD154 expression was not analyzed in the CD4⁺CD8⁺ population. The plots are from lung cells of a GP-Cda1/Cda2 vaccinated mouse 10d post infection stimulated *ex vivo* with SEB.

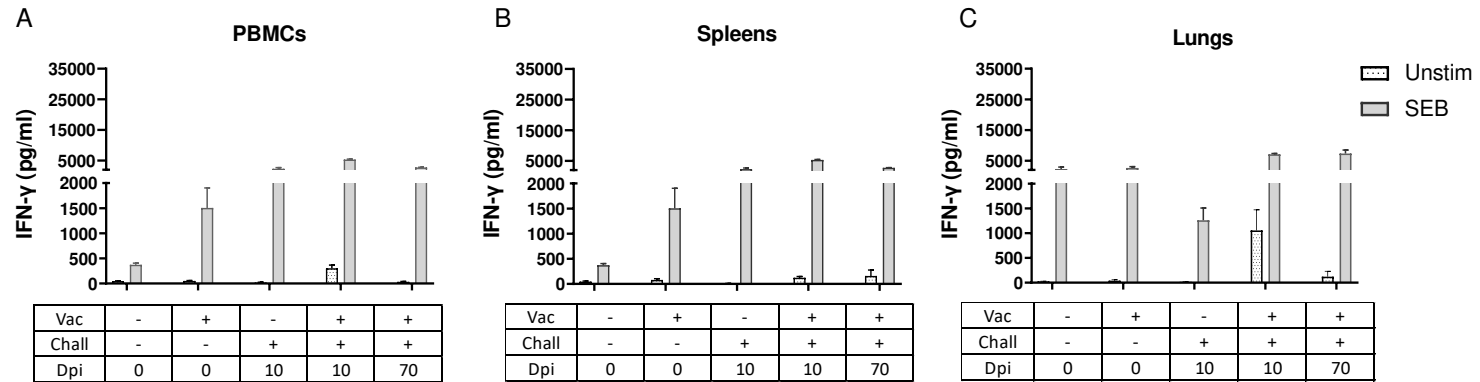


Fig. 2S. IFN γ production by PBMCs, splenocytes, and lung leukocytes following SEB stimulation. Experiments were designed and conducted as indicated in the Fig. 5 legend. Supernatants of unstimulated (Unstim) or SEB-stimulated PBMCs (A), splens (B) and lung (C) were collected and analyzed for IFN γ by ELISA. Each group had 5 mice. Vac, vaccinated with GP-Cda1/Cda2. Chall, challenged with *C. neoformans* strain KN99. Dpi, days post infection. The results of the statistical comparisons between unstimulated and SEB-stimulated groups are shown in Fig. 3S.

A. PBMCs

Group	Unstim vs Cda1		Unstim vs Cda2		Unstim vs KN99		Unstim vs SEB	
	ns	>0.9999	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac- / Chall- / Dpi 0	ns	>0.9999	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac+ / Chall- / Dpi 0	*	0.0268	****	<0.0001	ns	>0.9999	****	<0.0001
Vac- / Chall+ / Dpi 10	ns	>0.9999	**	0.0061	ns	>0.9999	****	<0.0001
Vac+ / Chall+ / Dpi 10	****	<0.0001	****	<0.0001	**	0.0019	****	<0.0001
Vac+ / Chall+ / Dpi 70	ns	0.7014	ns	0.0769	***	0.0003	****	<0.0001

B. Spleens

Group	Unstim vs Cda1		Unstim vs Cda2		Unstim vs KN99		Unstim vs SEB	
	ns	>0.9999	ns	>0.9999	ns	>0.9999	****	<0.0001
Vac- / Chall- / Dpi 0	ns	>0.9999	ns	>0.9999	ns	>0.9999	****	<0.0001
Vac+ / Chall- / Dpi 0	***	0.0005	****	<0.0001	**	0.0011	****	<0.0001
Vac- / Chall+ / Dpi 10	ns	>0.9999	ns	>0.9999	ns	>0.9999	ns	0.1228
Vac+ / Chall+ / Dpi 10	****	<0.0001	**	0.0034	ns	>0.9999	****	<0.0001
Vac+ / Chall+ / Dpi 70	****	<0.0001	****	<0.0001	****	<0.0001	****	<0.0001

C. Lungs

Group	Unstim vs Cda1		Unstim vs Cda2		Unstim vs KN99		Unstim vs SEB	
	ns	>0.9999	ns	>0.9999	ns	>0.9999	ns	0.0958
Vac- / Chall- / Dpi 0	ns	>0.9999	ns	>0.9999	ns	>0.9999	ns	0.0958
Vac+ / Chall- / Dpi 0	*	0.0186	***	0.0001	ns	>0.9999	**	0.0052
Vac- / Chall+ / Dpi 10	ns	>0.9999	ns	>0.9999	ns	>0.9999	ns	0.4789
Vac+ / Chall+ / Dpi 10	****	<0.0001	****	<0.0001	****	<0.0001	****	<0.0001
Vac+ / Chall+ / Dpi 70	***	0.0001	****	<0.0001	***	0.0004	****	<0.0001

Fig. 3S. Statistical comparisons between groups in Fig. 5 and Fig 2S. Experiments were designed and conducted as indicated in the Fig. 5 and Fig. 2S legends. IFN γ production of unstimulated cells and cells stimulated with the indicated antigens was compared using two-way ANOVA with Bonferroni's correction. Statistics of comparison were shown in A for PBMCs, B for Spleens and C for Lungs. Vac, vaccinated with GP-Cda1/Cda2. Chall, challenged with *C. neoformans* strain KN99. Dpi, days post infection. Unstim, unstimulated. ns (not significant), $P > 0.05$. *, $P < 0.05$. **, $P < 0.005$. ***, $P < 0.0005$. ****, $P < 0.0001$. Comparisons with statistical differences are shown in red font.

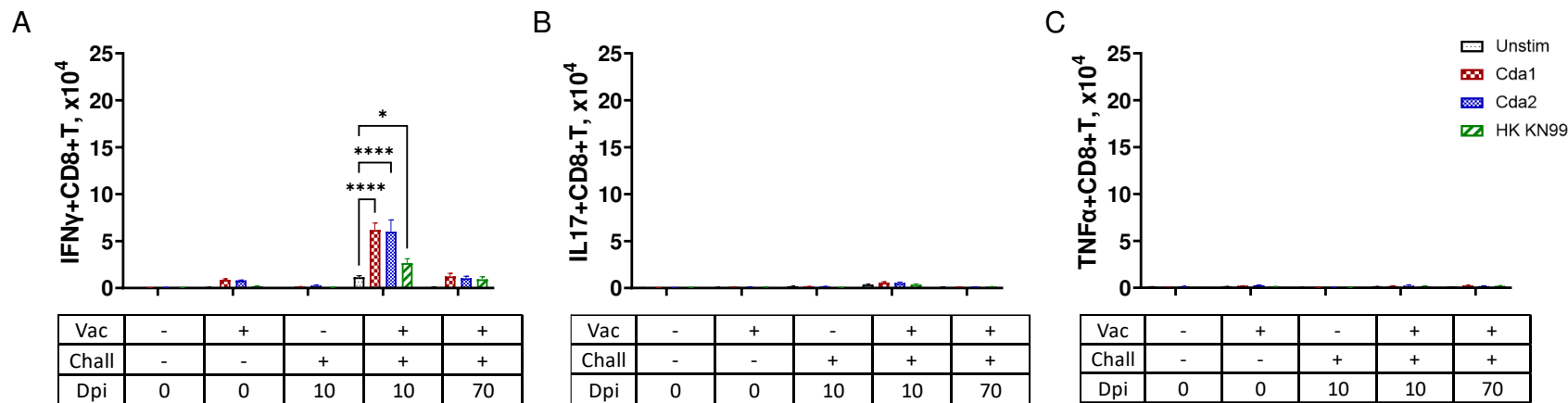


Fig. 4S. Intracellular cytokine production by pulmonary CD8⁺ T cells in GP-Cda1/Cda2-vaccinated and/or infected mice. BALB/c mice were vaccinated thrice at biweekly intervals with GP-Cda1/Cda2. Two weeks after last boost, the mice received a pulmonary challenge with *C. neoformans*. Mice were euthanized at 0 dpi (uninfected), 10 dpi or 70 dpi. Controls included unvaccinated mice euthanized at 0 dpi or 10 dpi. Lungs were harvested and single cell suspensions were prepared. Leukocytes were cultured in complete media supplemented with amphotericin B and stimulated with the indicated antigens or left unstimulated (Unstim) for 18h. Then the cells were collected, stained, and analyzed by polychromatic FACS, as described in Methods. From left to right shows the numbers of lung CD8⁺ T cells producing the intracellular cytokines IFN γ (A), IL-17 (B), and TNF α (C) following *ex vivo* stimulation. Each group had 5 mice. Vac = vaccinated with GP-Cda1/Cda2, Chall = challenged with *C. neoformans*, Dpi = days post infection, HK = heat killed. Two-way ANOVA with Bonferroni's correction was used for comparisons. *, $P < 0.05$. ****, $P < 0.0001$.

A. CFUs

Group	Summary	P Value
Vac- / Chall+ / Dpi 10 vs Vac+ / Chall+ / Dpi 10	ns	0.0952
Vac- / Chall+ / Dpi 10 vs Vac+ / Chall+ / Dpi 70	**	0.0079
Vac+ / Chall+ / Dpi 10 vs Vac+ / Chall+ / Dpi 70	**	0.0079

B. Leukocytes/CD4⁺T/ CD8⁺T cell counts

Group	Leukocytes		CD4 ⁺ T		CD8 ⁺ T	
Vac- / Chall- / Dpi 0 vs Vac- / Chall+ / Dpi 10	ns	0.4785	ns	0.7759	ns	>0.9999
Vac- / Chall- / Dpi 0 vs Vac+ / Chall- / Dpi 0	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac- / Chall- / Dpi 0 vs Vac+ / Chall+ / Dpi 70	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac- / Chall- / Dpi 0 vs Vac+ / Chall+ / Dpi 10	****	<0.0001	****	<0.0001	***	0.0002
Vac- / Chall+ / Dpi 10 vs Vac+ / Chall+ / Dpi 10	****	<0.0001	****	<0.0001	**	0.0016
Vac+ / Chall- / Dpi 0 vs Vac+ / Chall+ / Dpi 10	****	<0.0001	****	<0.0001	**	0.0011
Vac+ / Chall+ / Dpi 10 vs Vac+ / Chall+ / Dpi	****	<0.0001	****	<0.0001	***	0.0004
Vac+ / Chall- / Dpi 0 vs Vac+ / Chall+ / Dpi 70	ns	>0.9999	ns	>0.9999	ns	>0.9999

C. CD154⁺CD4⁺T

Group	Unstim vs Cda1		Unstim vs Cda2		Unstim vs KN99	
	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac- / Chall- / Dpi 0	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac+ / Chall- / Dpi 0	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac- / Chall+ / Dpi 10	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac+ / Chall+ / Dpi 10	****	<0.0001	****	<0.0001	****	<0.0001
Vac+ / Chall+ / Dpi 70	ns	>0.9999	ns	>0.9999	ns	>0.9999

D. IFN γ ⁺CD4⁺T

Group	Unstim vs Cda1		Unstim vs Cda2		Unstim vs KN99	
	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac- / Chall- / Dpi 0	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac+ / Chall- / Dpi 0	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac- / Chall+ / Dpi 10	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac+ / Chall+ / Dpi 10	****	<0.0001	****	<0.0001	****	<0.0001
Vac+ / Chall+ / Dpi 70	ns	>0.9999	ns	>0.9999	ns	>0.9999

E. IL-17⁺CD4⁺T

Group	Unstim vs Cda1		Unstim vs Cda2		Unstim vs KN99	
	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac- / Chall- / Dpi 0	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac+ / Chall- / Dpi 0	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac- / Chall+ / Dpi 10	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac+ / Chall+ / Dpi 10	****	<0.0001	****	<0.0001	****	<0.0001
Vac+ / Chall+ / Dpi 70	ns	>0.9999	ns	>0.9999	ns	>0.9999

F. TNF α ⁺CD4⁺T

Group	Unstim vs Cda1		Unstim vs Cda2		Unstim vs KN99	
	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac- / Chall- / Dpi 0	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac+ / Chall- / Dpi 0	ns	0.1329	ns	>0.9999	ns	>0.9999
Vac- / Chall+ / Dpi 10	ns	>0.9999	ns	>0.9999	ns	>0.9999
Vac+ / Chall+ / Dpi 10	****	<0.0001	****	<0.0001	****	<0.0001
Vac+ / Chall+ / Dpi 70	ns	>0.9999	ns	>0.9999	ns	>0.9999

Fig. 5S. Statistical comparison for lung CFU and cell numbers in Fig. 6. Experiments were designed and conducted as indicated in Fig. 6 legend. Statistics of comparison were shown in (A) for lung CFUs (Mann-Whitney test); (B) for lung leukocyte / CD4⁺T / CD8⁺T numbers (One-way ANOVA with Bonferroni's correction); (C) for CD154⁺CD4⁺T numbers, (D) for IFN γ ⁺CD4⁺T numbers; (E) for IL-17⁺CD4⁺T numbers; and (F) for TNF α ⁺CD4⁺T numbers (Two-way ANOVA with Bonferroni's correction were used for C-F). Vac, vaccinated with GP-Cda1/Cda2. Chall, challenged with *C. neoformans* strain KN99. Dpi, days post infection. Unstim, unstimulated. ns (not significant), $P > 0.05$. *, $P < 0.05$. **, $P < 0.005$. ***, $P < 0.0005$. ****, $P < 0.0001$. Comparisons with statistical differences are shown in red font.