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1 **Soil Moisture Responses under Different Vegetation Types to**
2 **Winter Rainfall Events in a Humid Karst Region**

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10 **Abstract:** Humid karst ecosystems are fragile, with precipitation being the main source of soil moist
11 ure recharge. The process of soil moisture recharge and usage varies by vegetation type. To analyze
12 the dynamics of soil moisture under different vegetation types during rainfall events, we continuousl
13 y monitored soil moisture in arable land, grassland, shrub, and forest areas at 10-minute intervals fro
14 m November 6, 2019, to January 6, 2020. The arable land was used as a control group. Soil moistur
15 e under the different vegetation types responded to light, moderate, and rainstorm events with large r
16 ainfall amounts. However, only the soil moisture in the grassland areas responded to a light rainfall
17 event with a rainfall amount of 0.87 mm. The largest soil moisture recharge (12.63 mm) and decline
18 (2.08%) were observed for the grassland areas, with the smallest observed for the forest areas. Whi
19 le the grassland areas showed the greatest decline in soil moisture following rainfall, they were mor
20 e easily recharged during the winter rainfall events. Soil moisture in forests and shrubs was less rec
21 harged than in grasslands but also declined less. Therefore, forests and shrubs are better at retaining
22 soil moisture in winter, which is informative for the formulation of a regional vegetation recovery m
23 odel.

24 **Keywords:** soil moisture; different vegetation types; winter rainfall events; response characteristics

25 **1. Introduction**

26 Soil moisture plays an important role in the growth of vegetation (Brantley et al. 2017; Laio et
27 al. 2001). Vegetation can affect soil moisture and its response to precipitation via many complex and
28 interacting hydrological processes (Canton et al. 2016; Chen et al. 2007; Daly and Porporato 2005;
29 Rivera et al. 2014). There are differences in the characteristics of the canopy and root distributions
30 of different vegetation types, and these differences affect the response of soil moisture to rainfall (G
31 ehrels et al. 1998). Therefore, it is important to study the responses of soil moisture to rainfall in ka
32 rst regions with different vegetation types.

33 The responses of soil moisture under different vegetation types to rainfall have been studied by
34 several researchers. The amount and period of rainfall varies in regions with different humidity cond
35 itions. Soil moisture under the same vegetation type has different response characteristics in regions
36 with different humidity conditions. In arid regions, the soil moisture in shrub areas is more sensitive
37 to rainfall than that in grassland or forest areas (Sun et al. 2015; Wang et al. 2008). For example, S
38 un et al. (2015) studied the soil moisture dynamics in shrub, forest, and grassland areas in arid regio
39 ns and found that soil moisture in shrub areas is the most sensitive to single rainfall events. Convers
40 ely, in semi-arid regions, soil moisture in grassland areas is more sensitive to rainfall than that in sh
41 rub or forest areas (Li et al. 2013; Su and Shangguan 2019; Tang et al. 2019; Yu et al. 2018). For e
42 xample, Li et al. (2013) studied the soil moisture dynamics in shrub and grassland areas in semi-ari

43 d regions and found that grassland soil moisture is more sensitive to summer rainfall than shrub soil
44 moisture. In sub-humid regions, the response of grassland soil moisture to rainfall is more sensitive
45 than that of shrub or forest soil moisture (Lozano-Parra et al. 2015; Mei et al. 2018; Wang et al. 20
46 13). In addition, the results of Wang et al. (2013) showed that grassland soil moisture is more sensit
47 ive to rainfall than shrub and forest soil moisture. Meanwhile, in humid regions, Zhu et al. (2014) st
48 udied the responses of grassland and forest soil moisture to rainfall and found that their sensitivities
49 to rainfall are similar.

50 Humid karst regions are a subset of humid regions; however, results obtained in humid regions
51 may not necessarily be representative of humid karst regions. This is because karst environments usu
52 ally have different characteristics from non-karst environments (Hartmann et al. 2014; Li et al. 202
53 0). Karst environments are usually characterized by a shallow soil layer, high soil permeability, and
54 complex topography (Bonacci et al. 2009; Dai et al. 2017; Fu et al. 2016b; Sohrt et al. 2014).

55 There has been some research concerning the responses of different vegetation types to rainfall
56 in karst regions. For all the different vegetation types, the response of soil moisture to rainfall under
57 heavy rainfall conditions is more sensitive than that under light and moderate rainfall conditions. T
58 he variation in the soil moisture at different soil depths is also known to decrease with increasing so
59 il depth (Jing et al. 2020; Liu et al. 2013; Zhang et al. 2013; Zhang et al. 2016). Jing et al. (2020)

60 found that the response times of shallow soil moisture under different types of vegetation to rainfall
61 in summer are significantly different and that the response is faster under heavy rainfall conditions t
62 han under light and moderate rainfall conditions. Zhang et al. (2013) studied the changes in the soil
63 moisture under different vegetation types throughout the year and found that, when the rainfall is lig
64 ht, the soil moisture does not change significantly, while when the rainfall is heavy, the soil moistur
65 e content changes significantly over a short period of time. Even though multiple researchers have st
66 udied the responses of soil moisture under different vegetation types to precipitation in karst regions,
67 these results do not represent the situation in winter. Therefore, our understanding of the characteris
68 tics of soil moisture responses to winter rainfall events under different vegetation types in karst regi
69 ons remains incomplete.

70 In humid karst regions, soil moisture in winter affects both plant growth and hydrological proce
71 sses. On the one hand, because the winter temperature is not too low, the growth of plants is suppor
72 ted by a certain amount of water and water conditions can threaten plant growth (Ding et al. 2020;
73 Li et al. 2019; Zhang et al. 2012). On the other hand, even though there is less precipitation in hum
74 id karst regions in winter, the change in the soil moisture is still an important part of the hydrologic
75 al process and the ability of the soil to regulate and store water is more important in winter than in
76 summer (Fu et al. 2016a; Yang et al. 2019). Therefore, it is important to study the response process
77 es of the soil moisture under different vegetation types to winter rainfall in humid karst regions.

78 Accordingly, this study investigates the response processes of soil moisture under different vege
79 tation types to different rainfall intensities in winter. We conducted in-situ observations of soil moist

80 ure under different vegetation types in winter and analyzed the dynamic characteristics of the soil m
81 oisture during different rainfall events, which can provide a basis for a vegetation recovery model in
82 karst regions.

83 2. Materials and Methods

84 2.1 Overview of the Sample Plots

85 The study area was located in Guanling County, Guizhou Province, China ($25^{\circ} 34' - 26^{\circ} 05' N$,
86 $105^{\circ} 15' - 105^{\circ} 49' E$). With complex landform types and an extensive distribution of carbonate rock
87 s, it is one of the most typical areas of karst landform development in Guizhou. The climate in Gua
88 nling is primarily based on the humid mid-subtropical monsoon. The annual average temperature is
89 $16.2^{\circ}C$, rainfall is abundant, and the annual precipitation is 1205.1–1656.8 mm (Chen et al. 2018). T
90 he rainfall is mostly concentrated from June to August. To eliminate the influence of other environm
91 ental factors besides vegetation type and related factors among the sample plots, all sample plots we
92 re located on the same slope (Figure 1), with an altitude of approximately 700 m, a slope direction
93 of NE, and an inclination of approximately 30° . The main type of soil in the area is limestone. The
94 main vegetation in the study area is artificially planted corn and plantain, as well as naturally restor
95 ed secondary grasslands, shrubs, and woodlands. The vegetation information and soil background of
96 the different vegetation types are given in Table 1.

97 Table 1. Overview of the plots of the various vegetation types.

Plots of different vegetation types	Vegetation Types	Vegetation coverage (%)	Vegetation height (m)	Soil bulk density (g/cm ³)	Soil organic matter content (g/kg)
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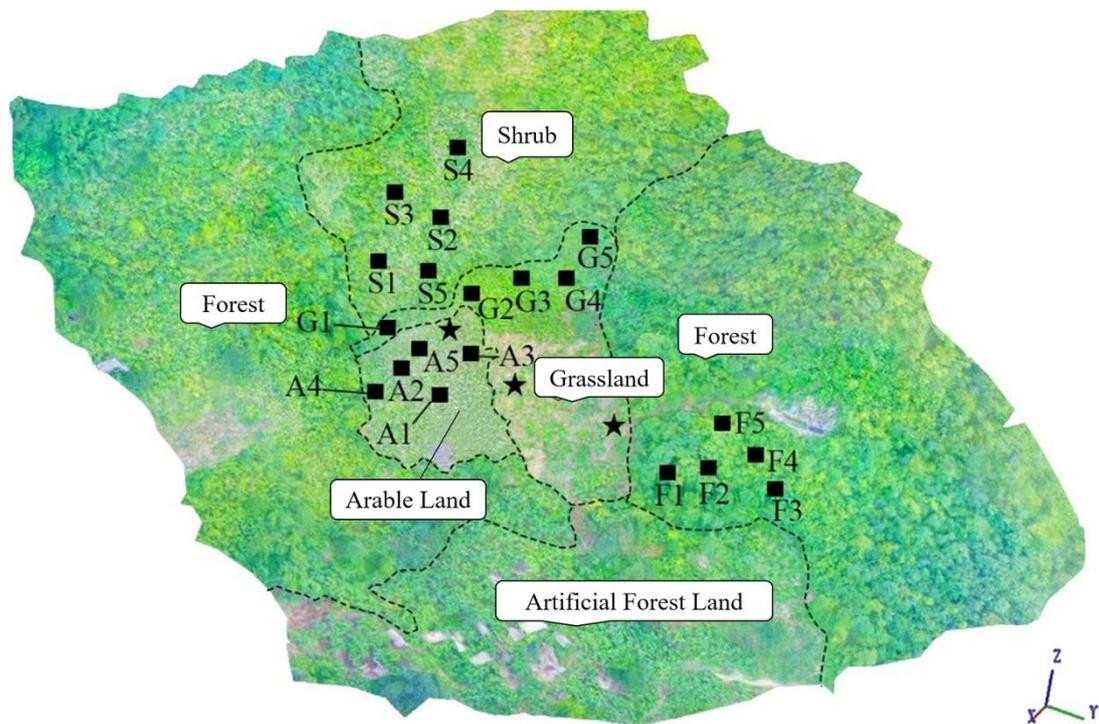
Arable Land	/	/	/	1.22	28.95
Grassland	Blumea balsamifera (Linn.); Ageratum conyzoides Sieber ex Steud; Arthraxon hispidus (Thunb.) Makino et al.	30%	0.6m	1.15	49.23
Shrub	Cipadessa cinerascens (Pellegr.) Hand -Mazz; Albizia kalkora (Roxb.) Prain; Mallotus japonicas var. floccosus (Muell. Arg.) S. M. Hwang et al.	50%	3.4m	1.11	68.15
Forest	Radermachera sinica (Hance) Hemsl; Toona sinensis (A. Juss.) Roem; Broussonetia papyrifera et al.	65%	15m	1.04	70.90

98

99 2.2 Experimental Design and Data Collection

100 Five plots were randomly selected from each vegetation type; the size of each plot was 5 m ×
101 5 m; and the plot spacing was approximately 20 m. The HOBO H21_USB soil moisture monitoring
102 system was used in each plot to monitor the soil moisture content at four soil depths (5 cm, 10 cm,
103 15 cm, and 20 cm). The data collection frequency was 10 min, and the monitoring time was from
104 November 6, 2019, to January 6, 2020. Because of the shallow soil depth on the karst slope, the sa
105 mple plots selected in this study usually contained a large amount of gravel or reached the bedrock
106 at approximately 30 cm below the surface, even though the soil thickness varied greatly. Therefore, t
107 he maximum depth of the soil profile observations was set to 20 cm. The rainfall observations were

108 measured using a rain gauge (RG3-M) with an accuracy of 0.2 mm. Because of the distance between
109 n the different sites, a total of three rain gauges were installed throughout the sample plots. The ave
110 rage value of three rain gauges represents the rainfall in the four types of plots.



111 
112 **Figure 1.** Distribution map of the sample plots. A1–A5 indicate arable land plots; G1–G5 indicate g
113 rassland plots; S1–S5 indicate shrub plots; and F1–F5 indicate forest plots. The ★s indicate rain ga
114 uges.

115 2.3 Data Analysis

116 In this study, the soil moisture responses under the different vegetation types to different rai
117 nfall events were analyzed. The soil moisture 10 min prior to the start of a rainfall event was ta
118 ken as the initial value of the soil moisture. The response characteristics under the different vege
119 tation types were analyzed by observing the changes in the soil moisture 12 h after the end of a
120 rainfall event. The following equations (Yang et al. 2018) are used to calculate the change char

121 characteristics of the soil moisture.

122 The increase in soil moisture is calculated as

123
$$\Delta\theta_i = \theta_{max} - \theta_0, \quad (1)$$

124 where $\Delta\theta_i$ indicates the soil moisture rise rate (%); θ_{max} indicates the peak soil moisture durin
125 g rainfall; and θ_0 indicates the soil moisture (%) 10 min prior to the start of the rainfall event.

126 The extent of soil moisture decline is calculated as

127
$$\Delta\theta_d = \theta_{max} - \theta_e, \quad (2)$$

128 where $\Delta\theta_d$ indicates the soil moisture drop rate (%) and θ_e indicates the soil moisture (%) 12
129 h after the end of the rainfall event.

130 The soil moisture storage is calculated as

131
$$SW = \sum_{i=1}^4 \theta_i d_i, \quad (3)$$

132 where SW indicates the soil moisture storage (mm); θ_i indicates the soil moisture content in th
133 e i-th layer (%); and d_i indicates the thickness of the soil layer (cm).

134 The soil moisture recharge is calculated as

135
$$\Delta SW = SW_{max} - SW_0, \quad (4)$$

136 where ΔSW indicates the soil moisture recharge (mm); SW_{max} indicates the maximum value of
137 soil moisture storage after the rainfall event (%); and SW_0 indicates the initial value of the soil mois
138 ture storage prior to the rainfall event.

139 Wilcoxon tests were performed on the soil moisture data to test for differences in the soil moist
140 ure content at different soil depths under the different vegetation types. Origin 2018 and R (4.0.2) w
141 ere used to produce the graphs.

142 3. Results

143 3.1 Rainfall Characteristics during the Study Period

144 The rainfall intensity during the experiment was divided and the number of rainfall events o
145 f each type was counted according to the standards of the National Meteorological Administration
146 of China, as shown in Table 2. The number of light rainfall events accounted for 80% of the t
147 otal rainfall events, moderate rainfall events accounted for 13.3% of the total rainfall events, and
148 rainstorm events accounted for 6.7% of the total rainfall events.

149

150 **Table 2.** Classification of the rainfall intensity and the number of each type of rainfall event dur
151 ing the experiment.

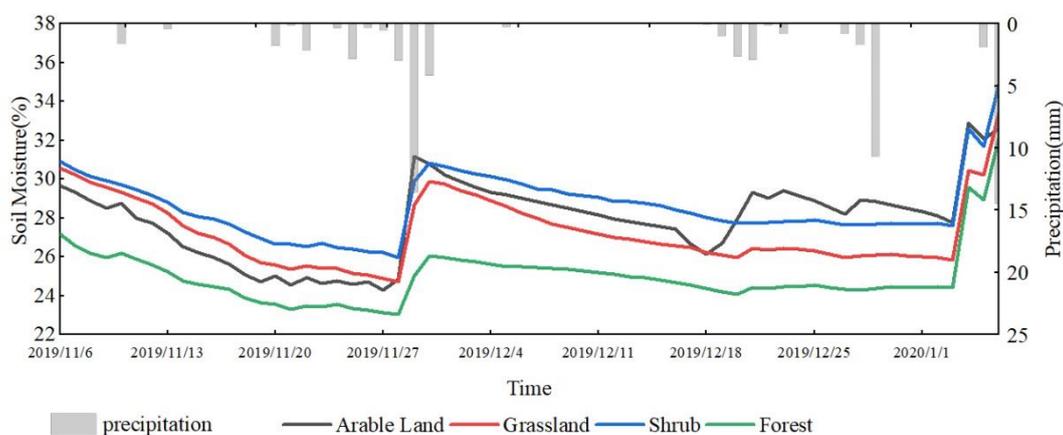
Precipitation type	Rainfall in 24 h (mm)	Events
Light rain	<10	12
Moderate rain	10–25	2
Heavy rain	25–50	0
Rainstorm	50–100	1

152

153 3.2 Dynamics of the Mean Soil Moisture and Precipitatio 154 n Distribution

155 Figure 2 shows the temporal dynamics of the mean soil moisture and precipitation distributio
156 n for the three different vegetation types in the karst region. During the study period, the mean

157 soil moisture under the three different vegetation types all responded positively to rainfall. During
 158 the period from November 6 to 28, the order of the mean soil moisture content under the diffe
 159 rent vegetation types was shrub > grassland > arable land > forest. After November 28, the mea
 160 n soil moisture content in the shrub areas was the highest; this trend continued until December 2
 161 0. After December 20, the order of the mean soil moisture content under the different vegetation
 162 types was arable land > shrub > grassland > forest. During the entire study period, the mean soi
 163 l moisture content in the forest areas was the lowest, ranging from 23.04% to 32.08%.



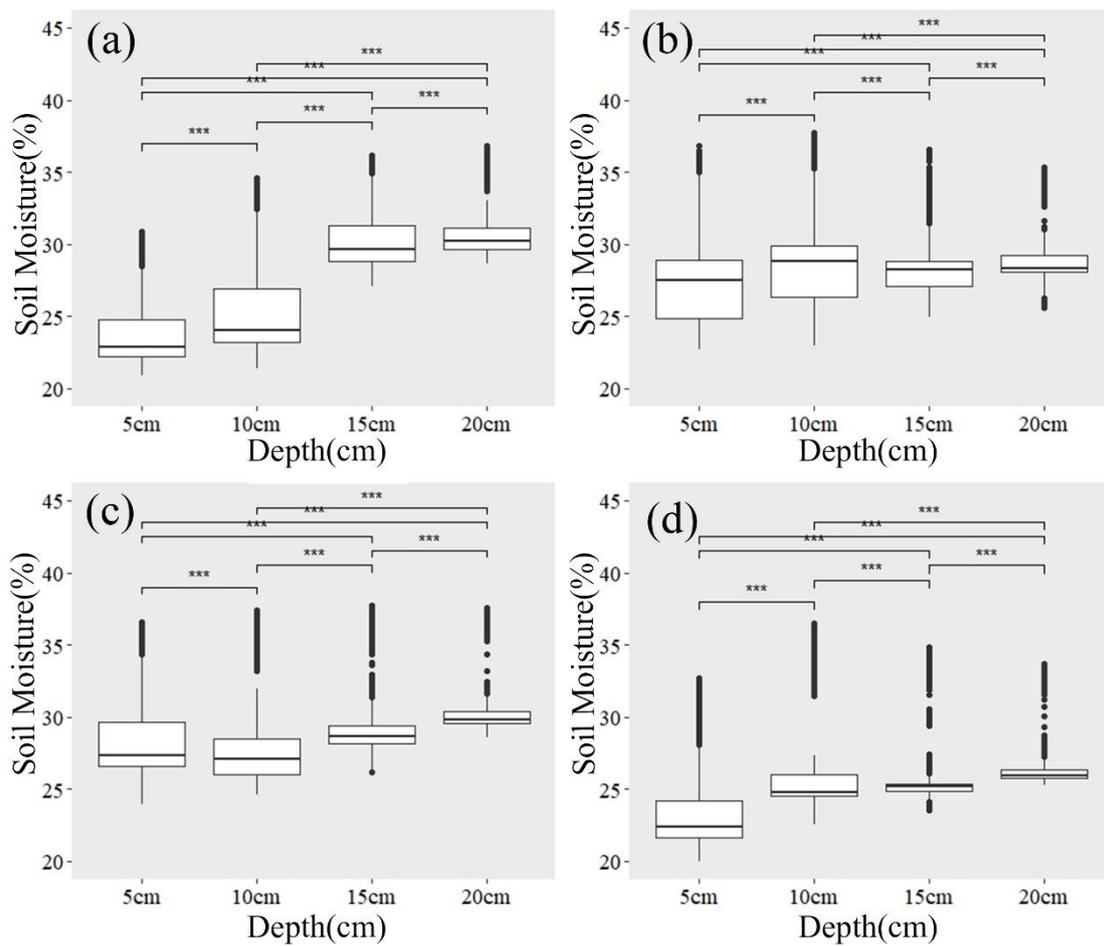
164 precipitation Arable Land Grassland Shrub Forest
 165 **Figure 2.** Dynamic changes in the mean soil moisture content and precipitation distribution for t
 166 he different vegetation types.

167

168 **3.3 Soil Moisture Characteristics of the Different Soil Lay** 169 **ers during the Study Period**

170 Wilcoxon tests were performed on the soil moisture data (Figure 3) and revealed significant
 171 differences ($p < 0.001$) in the soil moisture content in all four soil layers under the different veg
 172 etation types. A comparison of the median soil moisture in different soil layers revealed that the
 173 overall soil moisture content under the different vegetation types showed a tendency to increase

174 with the depth of the soil layer. In arable land areas, the difference in the soil moisture content
 175 in the 15-cm and 20-cm soil layers was greater than that in the 5-cm and 10-cm soil layers, wh
 176 ile the difference in the soil moisture content in the 15-cm and 20-cm soil layers in the grasslan
 177 d, shrub, and forest areas was smaller than that in the 5-cm and 10-cm soil layers.
 178



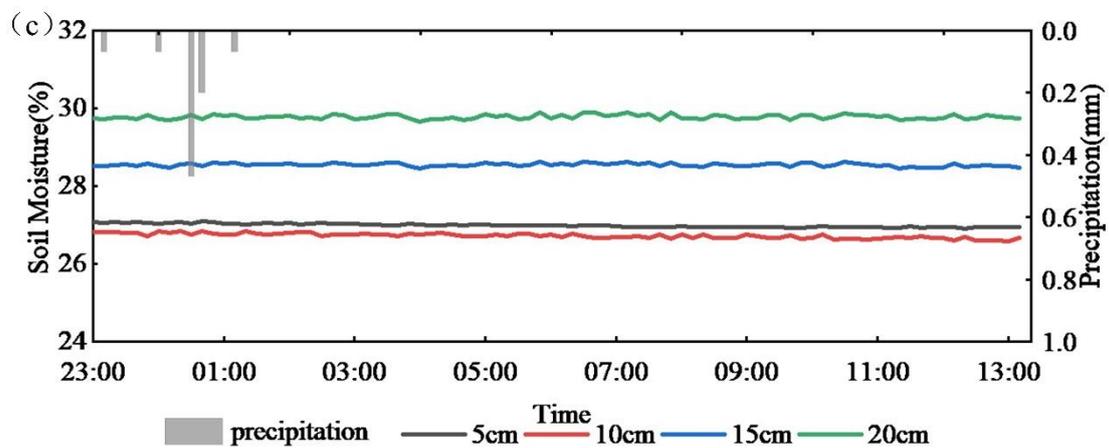
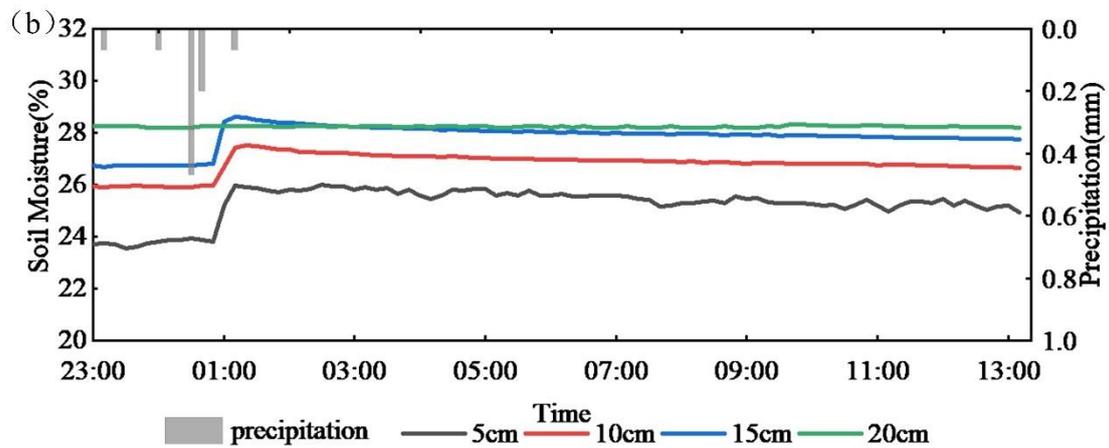
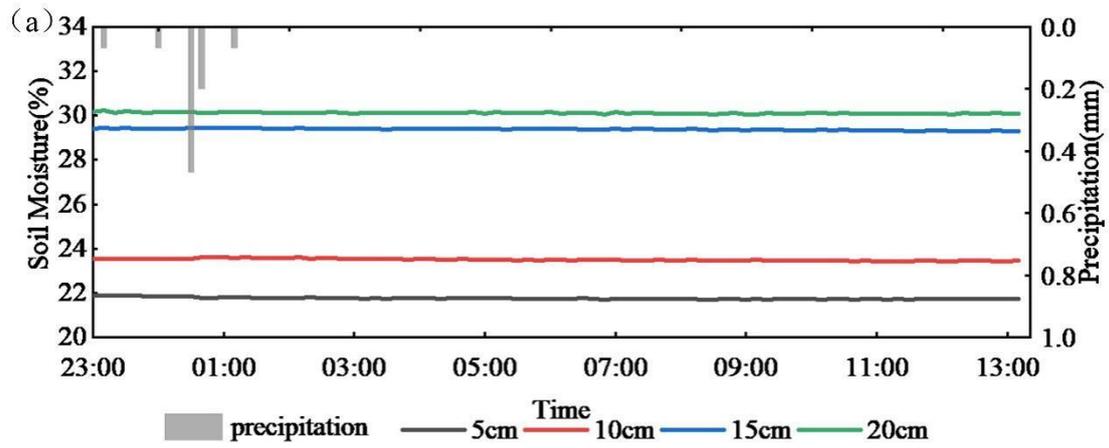
179
 180 **Figure 3.** Characteristics of the soil moisture in the different soil layers under the different vegetat
 181 ion types: (a) arable land; (b) grassland; (c) shrub; and (d) forest.

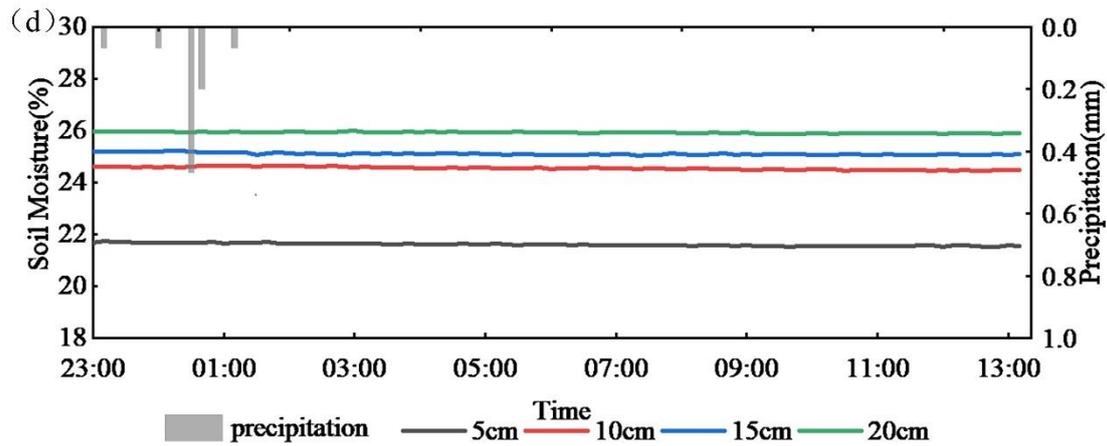
182 **3.4 Responses of Soil Moisture under Different Vegetation**

183 **Types to Light Winter Rainfall Events**

184 In the analysis of the light rainfall events, two rainfall events with similar average rainfall i
185 ntensities but different total rainfall amounts were selected for analysis. In the first light rainfall
186 event (Figure 4), the total rainfall was 0.87 mm and the rainfall duration was 2.17 h. During the
187 entire rainfall event, the soil moisture of the different soil layers in the arable land, shrub, and
188 forest areas did not fluctuate significantly. The soil moisture content in the 5-cm, 10-cm, and 15-
189 cm soil layers in the grassland areas had a strong response to the rainfall event, while the soil
190 moisture content in the 20-cm soil layer had a weak response. After the rainfall event, the soil
191 moisture content of the four different soil layers in the grassland areas all began to increase and
192 reached peak values. The order of the peak soil moisture content was 15 cm > 20 cm > 10 cm
193 > 5 cm. In the 5–15-cm soil layers, the time for the soil moisture to reach its peak increased
194 with the soil depth. The greatest rise in the soil moisture content was observed in the 5-cm laye
195 r, followed by the 15-cm and 10-cm layers, and the smallest rise in the soil moisture was obser
196 ved in the 20-cm layer. The decline in the soil moisture content was greatest in the 5-cm soil la
197 yer and smallest in the 20-cm soil layer.

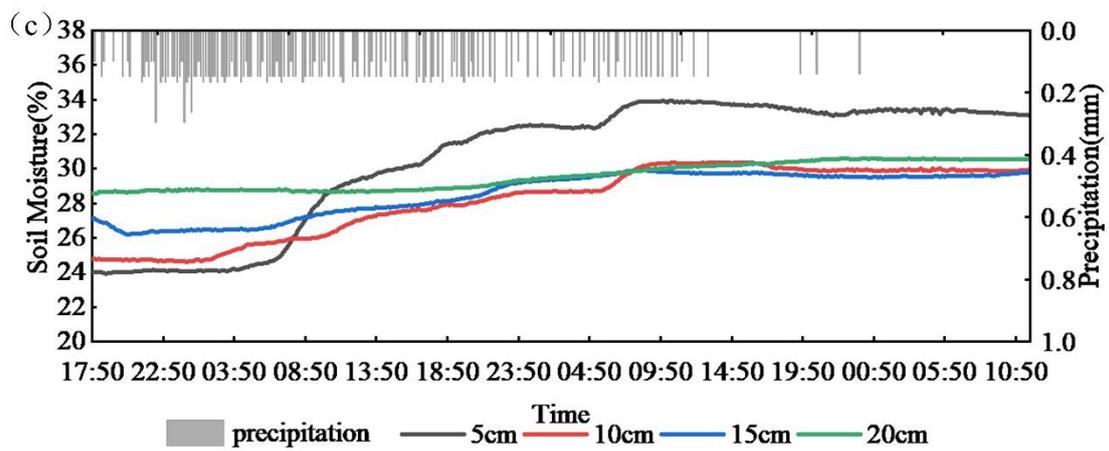
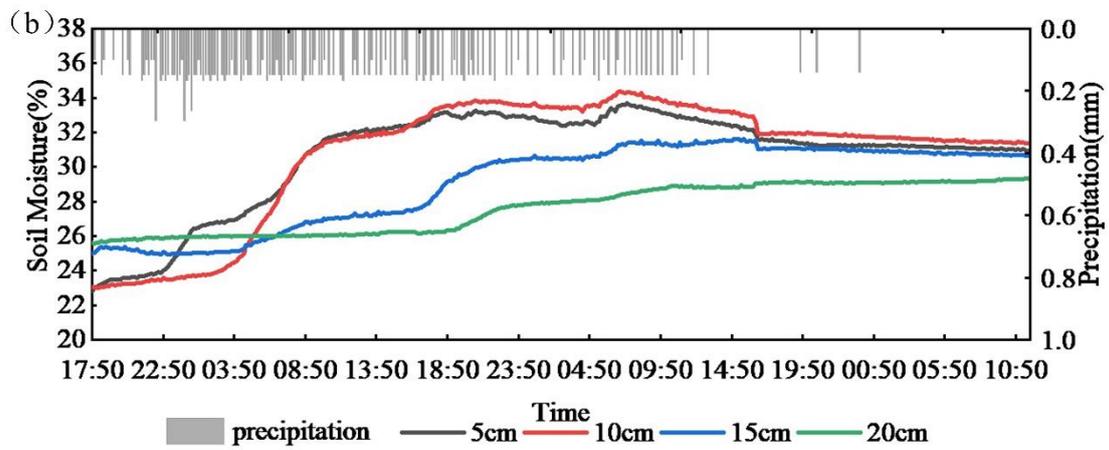
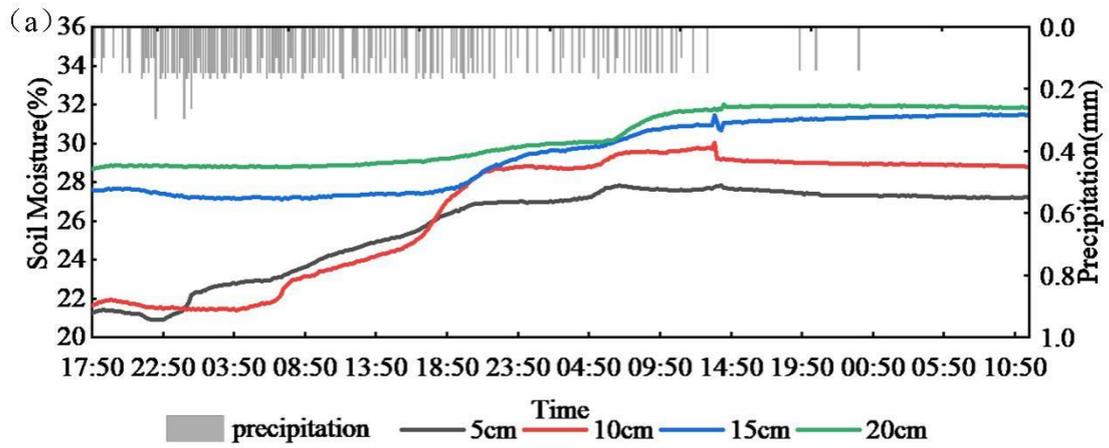
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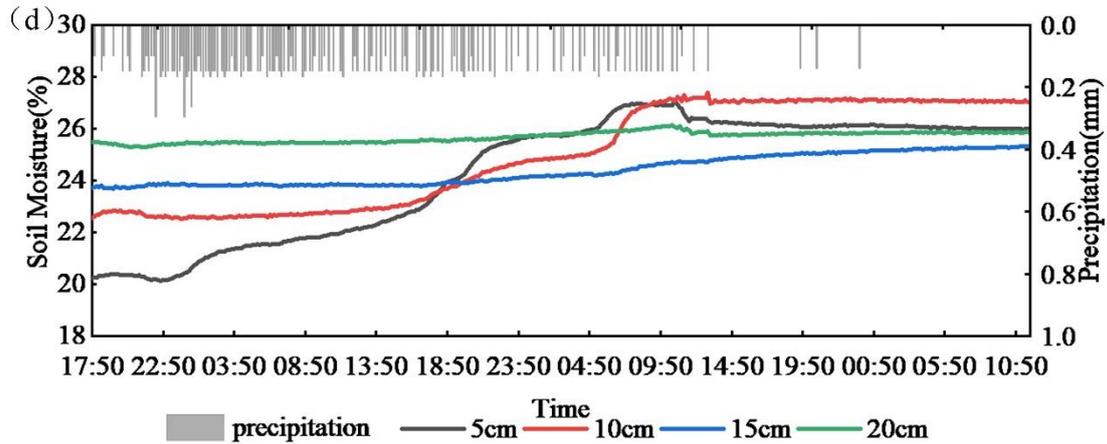




199 **Figure 4.** Responses of soil moisture under the different vegetation types to rainfall: (a) arable la
 200 nd; (b) grassland; (c)shrub; and (d) forest.

201 In the second light rainfall event (Figure 5), the total rainfall was 20.75 mm and the rainfall
 202 l duration was 54.17 h. There were fluctuations in the soil moisture content at the different soil
 203 depths under the three different vegetation types. After the rainfall event occurred, the soil moist
 204 ure content in the arable land, grassland, shrub, and forest areas gradually increased and reached
 205 a peak. In the arable land areas, the peak value of the soil moisture content increased with incre
 206 asing soil depth, while the grassland, shrub, and forest areas generally had peak values of the so
 207 il moisture content in the shallow layers (5 cm and 10 cm) that were larger than those in the d
 208 eep layers (20 cm and 15 cm). The peak time of the surface soil moisture was the smallest in t
 209 he arable land areas (37.17 h), and the grassland, forest, and shrub areas lagged by 0.5 h, 3.83
 210 h, and 5.16 h, respectively. The rise in the soil moisture content under the different vegetation ty
 211 pes showed a general tendency to be greater in the shallow layers (5 cm and 10 cm) than in th
 212 e deep layers (15 cm and 20 cm). Both the increase and decrease in the soil moisture content w
 213 ere highest in the grassland areas and lowest in the forest areas.



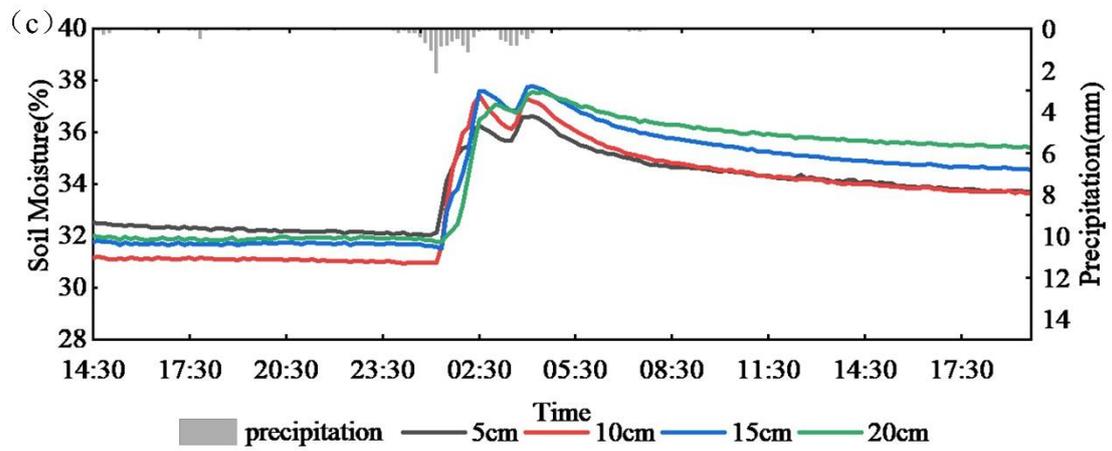
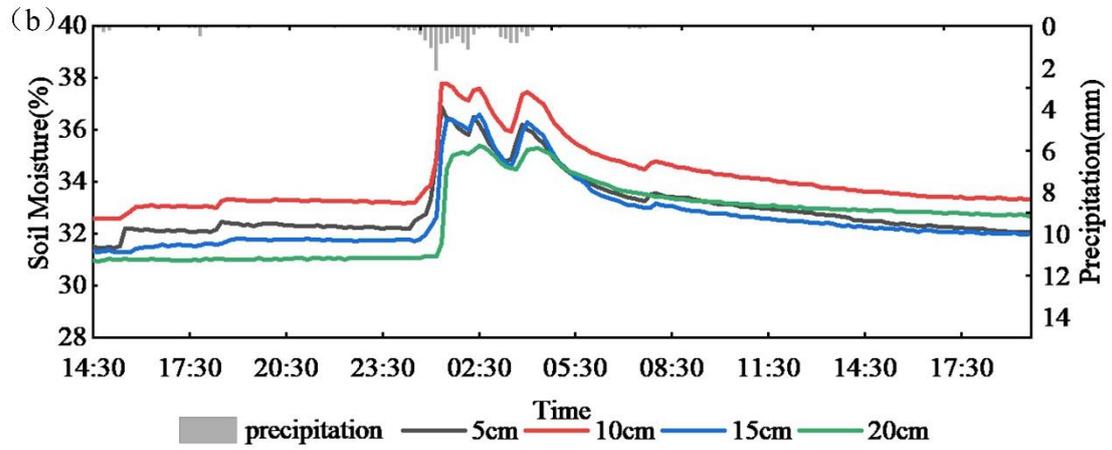
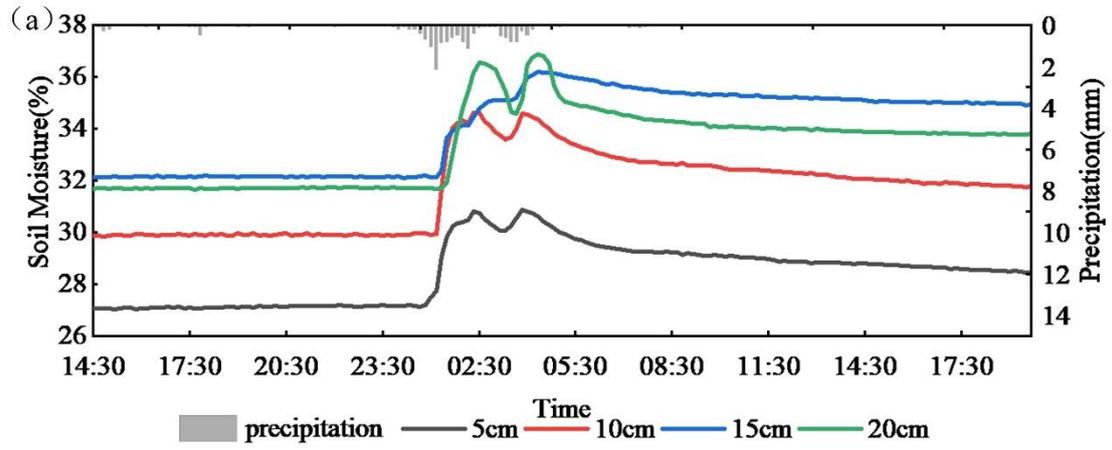


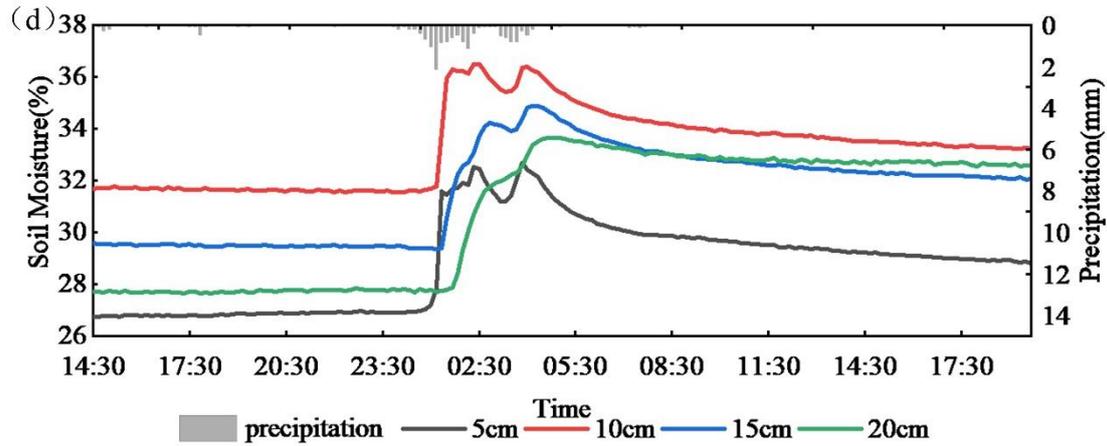
214 **Figure 5.** Responses of soil moisture under the different vegetation types to rainfall: (a) arable land;
 215 and; (b) grassland; (c) shrub; and (d) forest.

216 **3.5 Responses of Soil Moisture under Different Vegetation** 217 **Types to a Moderate Rainfall Event**

218 The analyzed moderate rainfall event lasted 31.67 h and had a total rainfall of 16.33 mm (F
 219 igure 6). The surface soil moisture content under the different vegetation types responded strongl
 220 y to the moderate rainfall event (Figure 6). The order of the peak values of the surface moi
 221 sture content under the different vegetation types was grassland > shrub > forest > arable land.
 222 The peak time of the surface soil moisture was 25.5 h in the grassland areas, with a lag of 2.5
 223 h, 2.5 h, and 2.8 h in the forest, arable land, and shrub areas, respectively. The greatest increase
 224 in the soil moisture content was found in the shrub areas, and the smallest was found in the gr
 225 assland areas. The greatest decline in the soil moisture content occurred in the grassland areas, a
 226 nd the smallest occurred in the arable land areas.

227

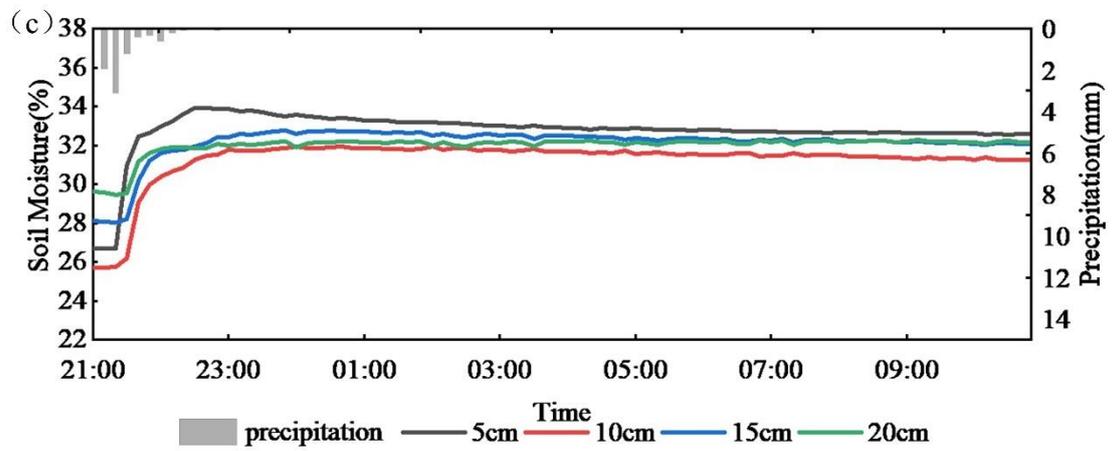
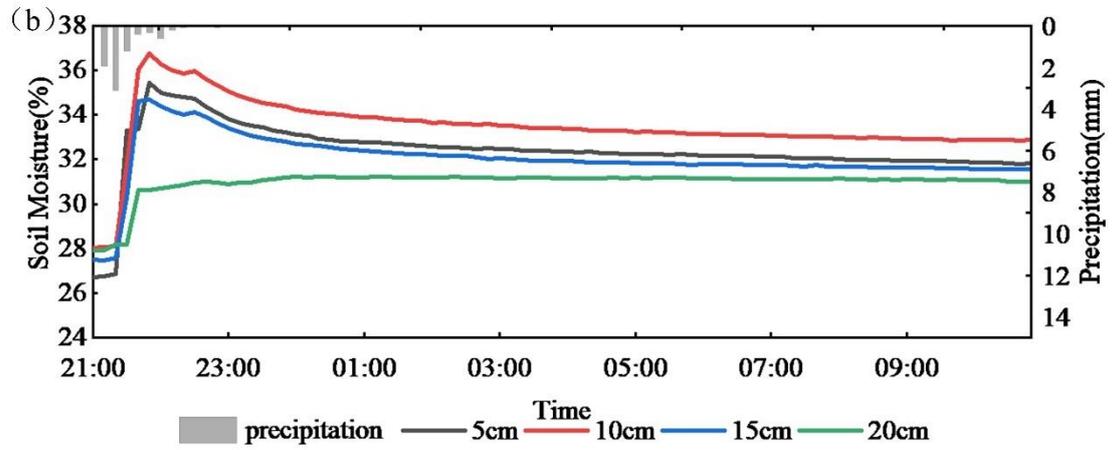
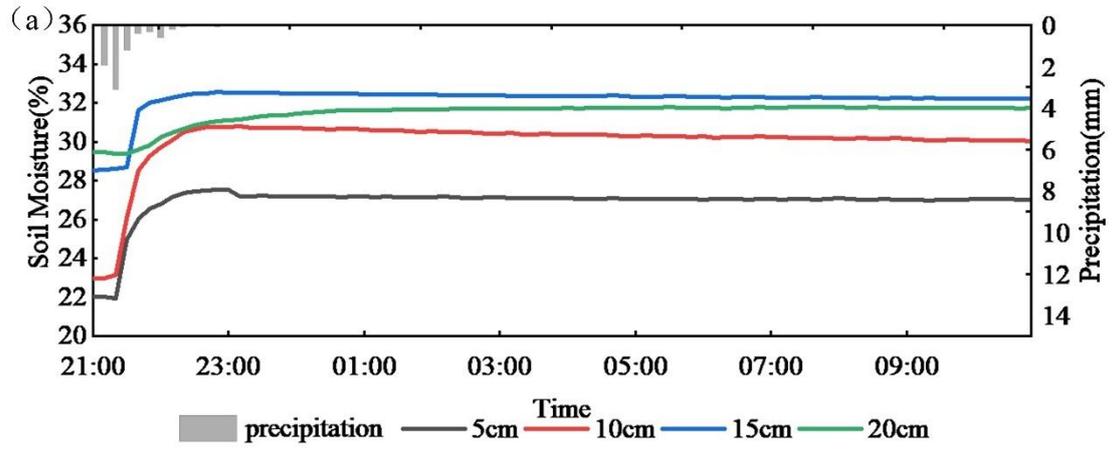


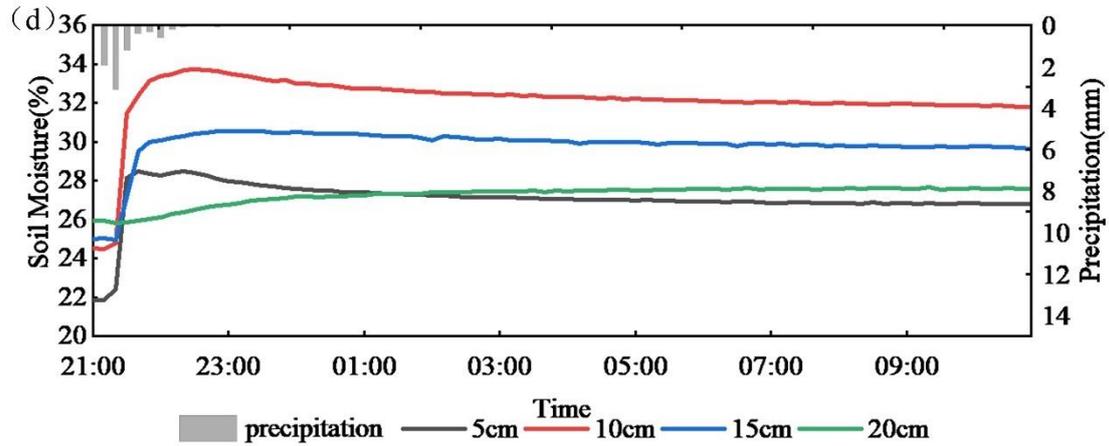


228 **Figure 6.** Responses of soil moisture under the different vegetation types to rainfall: (a) arable la
 229 nd; (b) grassland; (c) shrub; and (d) forest.

230 **3.6 Responses of Soil Moisture under Different Vegetation** 231 **Types to a Winter Rainstorm Event**

232 The rainstorm event lasted 1.83 h and had a total rainfall of 7.9 mm (Figure 7). During the
 233 entire rainfall event, the surface soil moisture content under the different vegetation types respon
 234 ded strongly to the rainfall. The order of the peak values of the surface soil moisture content un
 235 der the different vegetation types was grassland > shrub > forest > arable land. The peak time f
 236 or the surface soil moisture content was 0.67 h in the forest areas and lagged by 0.16 h, 1 h, a
 237 nd 1.16 h in the grassland, shrub, and arable land areas, respectively. The greatest increase in th
 238 e soil moisture content was in the grassland areas, and the smallest was in the arable land areas.
 239 The greatest decline in the soil moisture content was in the grassland areas, and the smallest w
 240 as in the arable land areas.





241 **Figure 7.** Responses of soil moisture of different vegetation types to rainfall: (a) arable land; (b)
 242 grassland; (c) shrub; and (d) forest.

243

244 4. Discussion

245 4.1 Responses of the soil moisture under different vegetat 246 ion types to different winter rainfall events

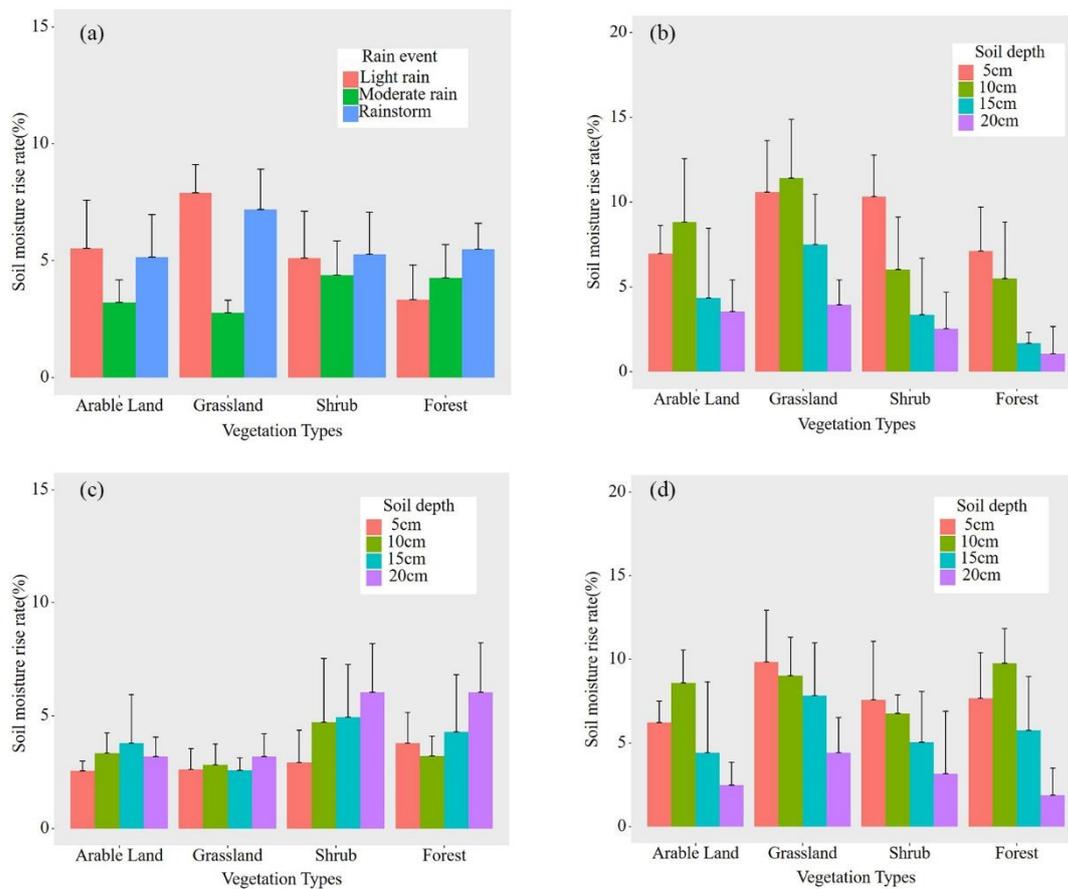
247 Vegetation redistributes rainfall, some of which is intercepted directly by vegetation and som
 248 e of which reaches the soil via stem flow or penetrating rain (Llorens and Domingo 2007; Zhan
 249 g et al. 2015). Guo et al. (2016), who studied the process of soil moisture responses to rainfall
 250 on karst slopes in autumn, showed that 6 mm of rainfall is the threshold for a soil moisture res
 251 ponse, which is different from the results of our study. In our study, the soil moisture in the dif
 252 ferent soil layers (0–20 cm) of the grassland areas responded to a winter rainfall event with a
 253 tal rainfall of only 0.87 mm likely because grassland areas have a smaller ability to trap rainfall,
 254 allowing the smaller total rainfall to pass through the grassland vegetation and reach the soil. In
 255 the studied humid karst region, the soil moisture in the 0–20-cm soil layers under the different

256 vegetation types responded to light (20.75 mm total rainfall), moderate (16.33 mm total rainfall),
257 and rainstorm (7.9 mm total rainfall) winter rainfall events.

258 Rainfall intensity is an important influence on soil moisture variability (Zhang et al. 2016).
259 Han et al. (2016) studied changes in the soil moisture under artificial rainfall conditions in karst
260 regions and found that the magnitude of the soil moisture changes increased with increasing rainf
261 all intensity. In our study, the magnitude of the increase in the soil moisture under the different
262 vegetation types during moderate and rainstorm events also increased with increasing rainfall inte
263 nsity (Figure 8a), which is similar to the results of previous studies. We found that the variation
264 in the soil moisture content of the arable land, grassland, and shrub areas was the largest for a l
265 ight rainfall event (with a total rainfall amount of 20.75 mm). The variation range of the soil m
266 oisture content in the forest areas increased with increasing rain intensity. In the light rainfall eve
267 nt, the soil moisture content of the arable land, grassland, and shrub areas experienced the larges
268 t changes, mainly because the total amount of rainfall during the light rainfall event was relativel
269 y large and the rainfall had a continuous impact on the soil water. Soil water in different vegeta
270 tion types affects the soil response to rainfall. The response to the light rainfall event with a lar
271 ge total rainfall amount was strong. Forest areas have large vegetative covers and a surface cove
272 r of dead debris, which intercepts rainfall. Therefore, rainfall of shorter duration and greater inten
273 sity is more likely to enter forested soil.

274 In the light and rainstorm events, the variation range of the soil moisture content of the soil
275 profile under the different vegetation types showed a decreasing trend as the depth increased (Fi
276 gures 8b–8d). This is similar to the results of previous studies (Liu et al. 2013) in the spring an
277 d summer in karst regions. This shows that the response of the soil moisture content in the soil
278 profile to different rainfall events in winter is similar to those in spring and summer and that th

279 e response degree decreases with increasing soil depth. The variation in the soil moisture content
 280 at depths of 15 cm and 20 cm was greater than that at depths of 5 cm and 10 cm under the di
 281 fferent vegetation types during the moderate rainfall event. This indicates that the moderate rainfa
 282 ll event had a greater effect on the soil moisture at deeper soil layers (15 cm and 20 cm).
 283



284 **Figure 8.** Statistical graphs of the increase in the soil moisture under the different vegetation typ
 285 es (a) during three different rainfall events; (b) at different depths during a light rainfall event;
 286 (c) at different depths during a moderate rainfall event; and (d) at different depths during a rains
 287 torm event.

288 **4.2 Winter Soil Moisture Characteristics under the Differ** 289 **ent Vegetation Types**

290 Soil moisture varies with the season, and vegetation communities have different soil moisture
291 content in different seasons (Gao et al. 2018). Considering the changes in the mean soil moistur
292 e content under the four different vegetation types (Figure 2), we see that the shrub areas mainta
293 ined a high mean soil moisture content while the forest areas had the lowest average soil moistu
294 re content. This is consistent with previous studies (Chen et al. 2006) in summer in karst region
295 s. The shrub areas maintained a high soil moisture content during the entire study period, which
296 may be due to the large vegetative coverage of the shrubs and the poor light transmittance, whic
297 h can effectively prevent soil water from evaporating. Therefore, the mean shrub soil moisture co
298 ntent was relatively high. Soil moisture is a limiting factor for vegetation growth. In winter, amo
299 ng the different vegetation types, the mean soil moisture content of the shrub areas was higher,
300 which is beneficial to the growth of shrub communities. The mean soil moisture content in the f
301 orest areas was low, and this low mean soil moisture content may limit the growth of forest co
302 mmunities.

303 There are significant differences in the soil moisture profiles under the different vegetation t
304 ypes, and the change in the soil moisture generally increases with increasing soil depth (Figure
305 3). This is consistent with the results of previous studies (Liu et al. 2005) in summer. The influ
306 ence of external factors on the soil moisture weakens with increasing soil depth (Guber et al. 20
307 08; Zhao et al. 2020). Surface soil moisture is most affected by external factors, and the soil mo
308 isture content is the smallest in the surface soil layer. External factors have less of an influence
309 on the soil moisture in deeper soil layers. The deep soil layer maintains a high soil moisture co

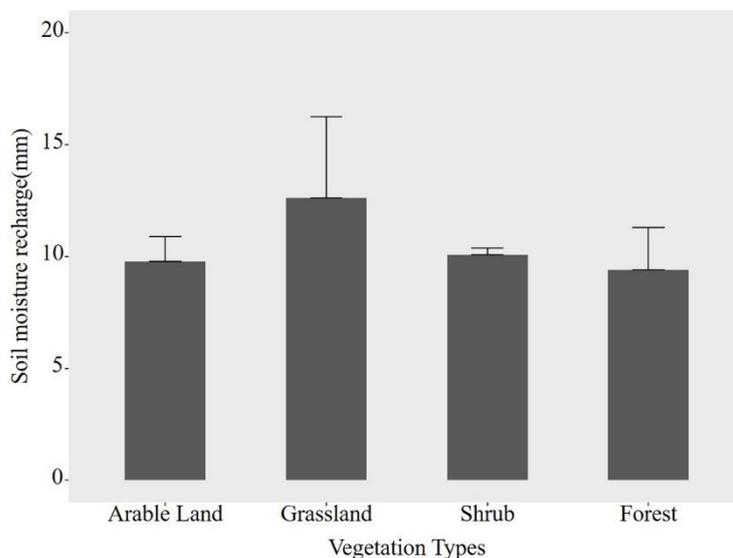
310 content, which reflects the weak water holding capacity of the shallow and thin soil layers in karst
311 regions.

312 **4.3 Characteristics of Soil Moisture Replenishment under** 313 **Different Vegetation Types in Winter**

314 Different vegetation types tend to exhibit different recharge characteristics during the recharg
315 e of soil moisture by rainfall (Yang et al. 2018). Zhang et al. (2013) analyzed the dynamic patte
316 rn of the soil moisture content in the depression profile of a karst region over a year and found
317 that moderately intense, prolonged, and heavy rainfall is conducive to the compensation and reco
318 very of soil moisture. In our study, three rainfall events (light, moderate, and rainstorm events wi
319 th large total rainfall amounts and long durations) were analyzed to investigate the soil moisture
320 recharge characteristics of the rainfall for the different vegetation types. The results showed that t
321 he soil moisture recharge was greatest in the grassland areas (12.63 mm) and smallest in the for
322 est areas (9.40 mm) (Figure 9). Different vegetation types have different interception techniques.
323 The smallest amount of soil moisture was recharged to the forest areas, likely because the rainfal
324 l was intercepted in part by the canopy as well as by the layer of dead branches and leaves tha
325 t covers the forest floor. As a result, the amount of rainfall recharging the forest floor is low. G
326 rasslands have less vegetative cover and intercept less rain. As a result, more rain replenishes the
327 soil in grassland areas.

328 In karst regions, the canopy retention is greater than the shrub retention (Zhou et al. 2016).
329 During rainfall events, forest areas intercept more rainfall and replenish the soil moisture less, w
330 hich explains why the soil moisture replenishment in the shrub areas in this study was greater th
331 an that in the forest areas. Among the different vegetation types, grasslands had the smallest abil

332 ity to retain rainfall and were more likely to have their soil moisture recharged, while forests ha
333 d the greatest ability to retain rainfall and had the least recharged soil moisture.



334

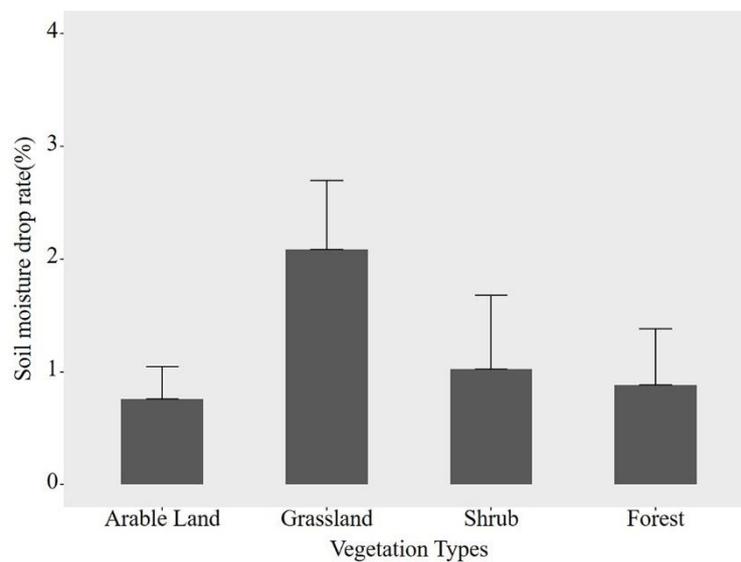
335 **Figure 9.** Soil moisture replenishment under the different vegetation types.

336 **4.4 Characteristics of the Soil Moisture Decline under the** 337 **Different Vegetation Types after Winter Rain**

338 Yang et al. (2007) studied soil moisture changes after rainfall in summer karst regions, and
339 their results showed that the decline in the soil moisture in shrub areas was greater than that in
340 grassland areas. In our study, the decline in soil moisture was greater in the grassland areas (2.0
341 8%) than in the shrub areas (1.03%), which differed from these previous findings (Figure 10). T
342 his may be because the study of Yang et al. (2007) was primarily conducted in summer. There a
343 re many types of vegetation in shrub communities, and the amount of plant transpiration is great
344 er in shrub areas than in grassland areas. In karst regions, there is little rain or wind in winter
345 and soil moisture evaporates continuously under dry conditions. Plant transpiration in winter is w
346 eak; therefore, the soil moisture is primarily consumed by soil evaporation. In our study, the gras

347 grassland soil moisture decreased the most. This may be because the vegetative coverage of the grassland areas was low, the exposed soil area was large, and the soil moisture of the grassland areas continued to evaporate under the dry winter conditions. The vegetative coverages of the forest (0.88%) and shrub (1.03%) areas were larger, and their surfaces were covered by a layer of litter, which was less disturbed by the outside world. This also explains why grassland soil moisture decreases more than forest and shrub in winter. In winter, the arable land (0.76%) is in a state of fallow, and its water consumption is the least, which can better maintain soil moisture in winter.

355



356

357 **Figure 10** Statistical graph of the decline in soil moisture of different vegetation types

358

359 5. Conclusions

360 In our study, the response of soil moisture under different vegetation types to different rainfall events in winter in humid karst areas was observed at ten-minute intervals. Among different

361

362 vegetation types, only the soil moisture in different soil layers of grassland can respond to light
363 rain events (the total rainfall is 0.87mm). The soil moisture under different vegetation types resp
364 onds to light rain events, moderate rain events and rainstorm events with a large total rainfall. In
365 the event of moderate rain and rainstorm rain, the variation range of soil moisture in the soil p
366 rofile under different vegetation types increases with the increase of rainfall intensity. There are s
367 ignificant differences in soil moisture in the soil profile of different vegetation types, and the cha
368 nges in soil moisture generally show a trend of increasing with the increase of soil depth. Shrub
369 maintain a high mean soil moisture content, while forests have the lowest mean soil moisture co
370 ntent. The replenishment and decline of soil moisture in grassland are both the largest, and the r
371 esults of forest land research are opposite to grassland.

372

373 **Data availability**

374 The datasets used and/or analysed during the current study are available from the corresponding
375 author on reasonable request.

376

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Contributions

W.Y. and Q.Z. conceived and wrote the manuscript; D.P. and X.W. polished the language of the manuscript; X.T., E.Y., Y.W. and C.S. was involved in the experimental assays.

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Ethics declarations

Competing Interest

The authors declare no conflict of interest.

Ethical approval

Not applicable.

Consent to Participate

Not applicable.

Consent to publish

Not applicable.

Figures

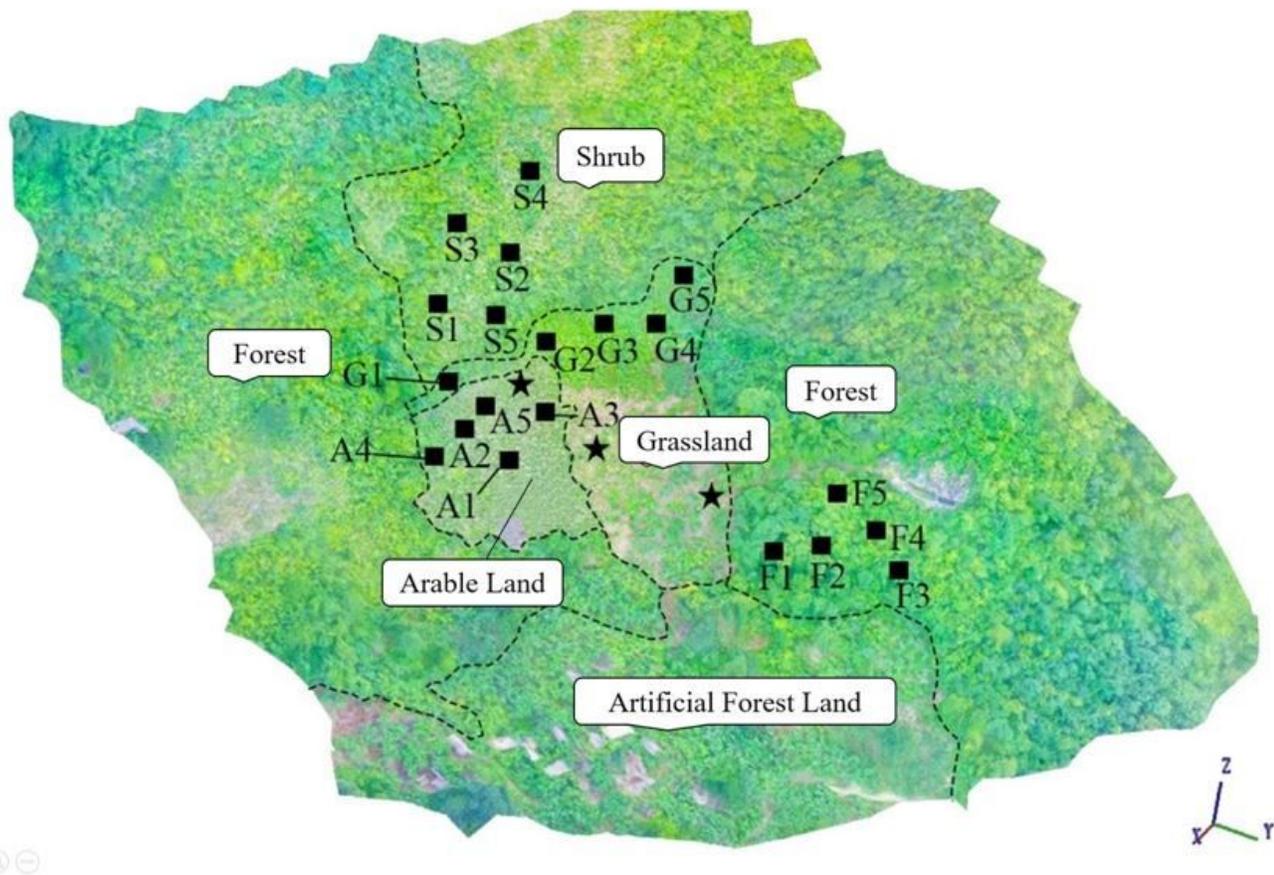


Figure 1

Distribution map of the sample plots. A1–A5 indicate arable land plots; G1–G5 indicate grassland plots; S1–S5 indicate shrub plots; and F1–F5 indicate forest plots. The ☒s indicate rain gauges. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

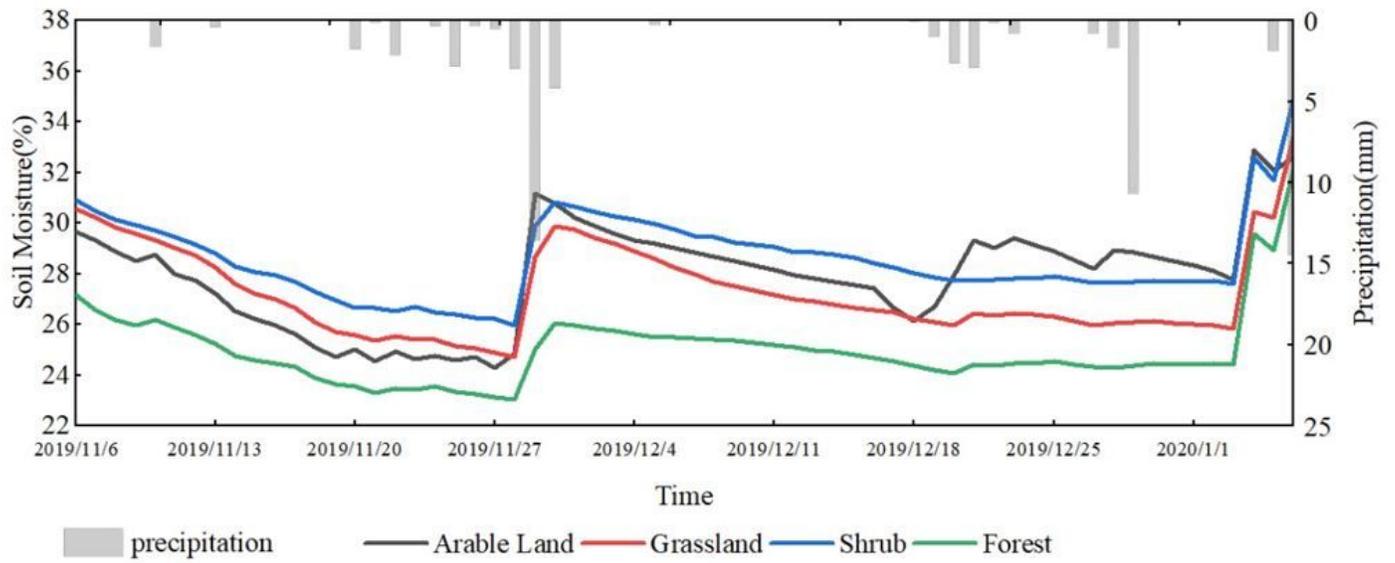


Figure 2

Dynamic changes in the mean soil moisture content and precipitation distribution for the different vegetation types.

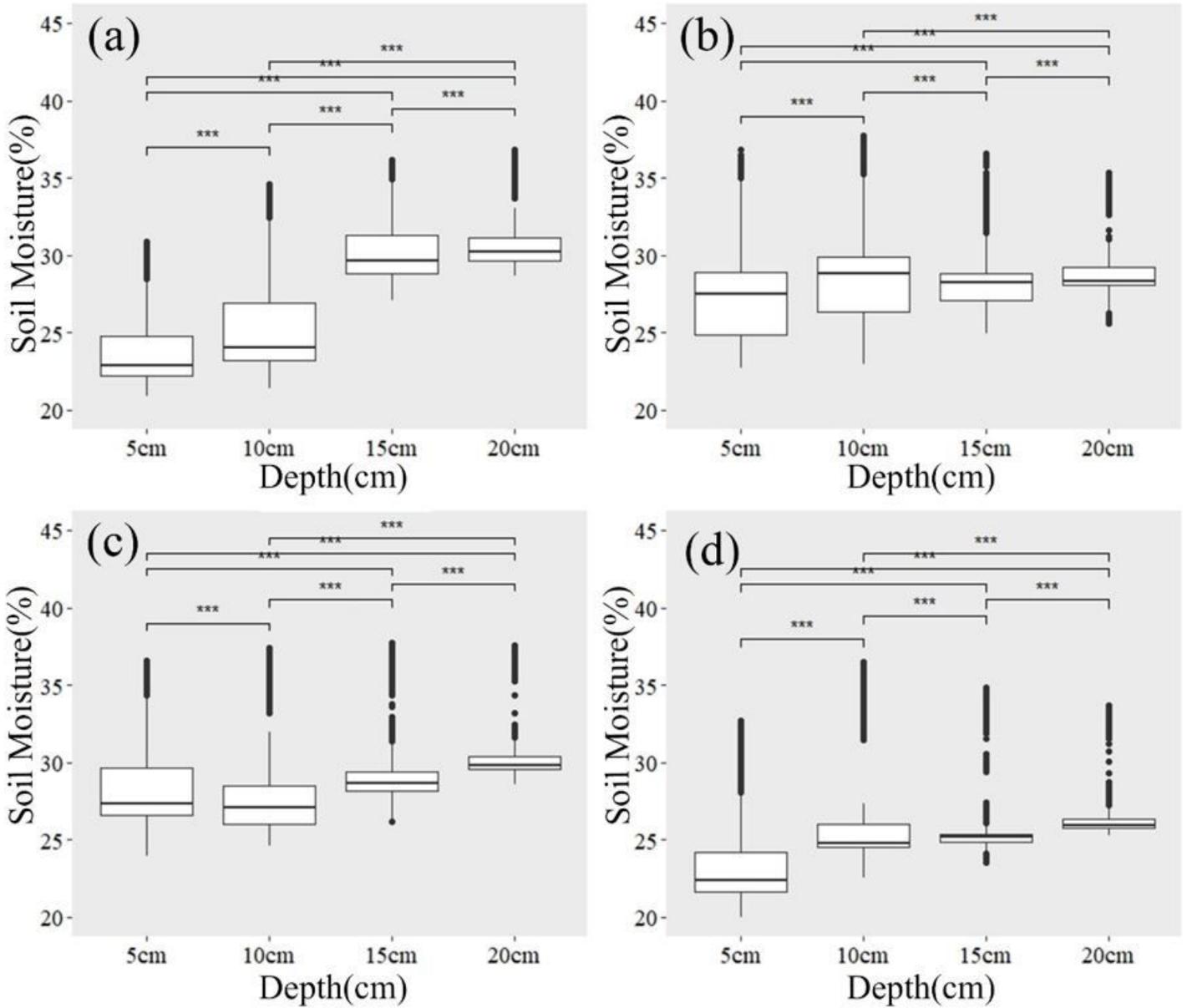


Figure 3

Characteristics of the soil moisture in the different soil layers under the different vegetation types: (a) arable land; (b) grassland; (c) shrub; and (d) forest.

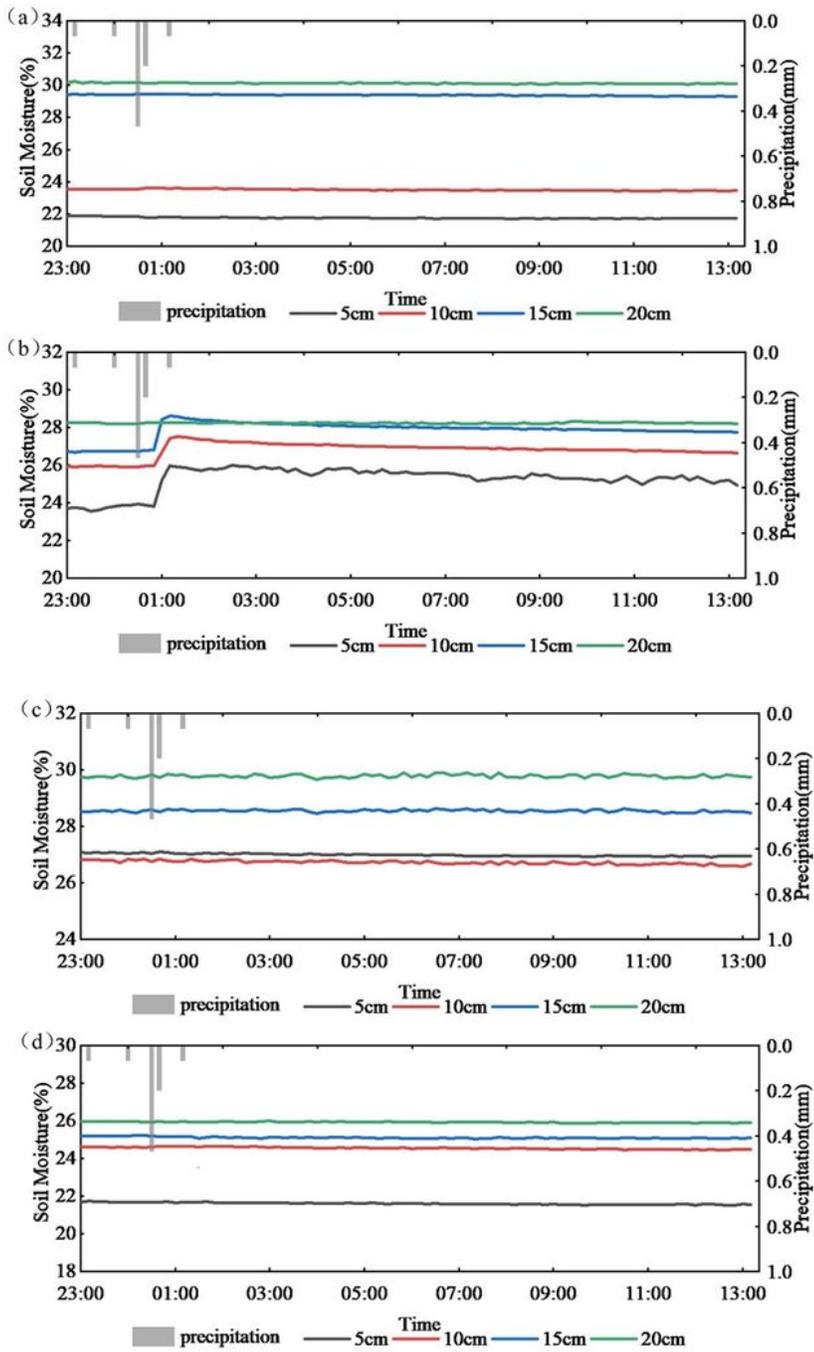


Figure 4

Responses of soil moisture under the different vegetation types to rainfall: (a) arable land; (b) grassland; (c) shrub; and (d) forest.

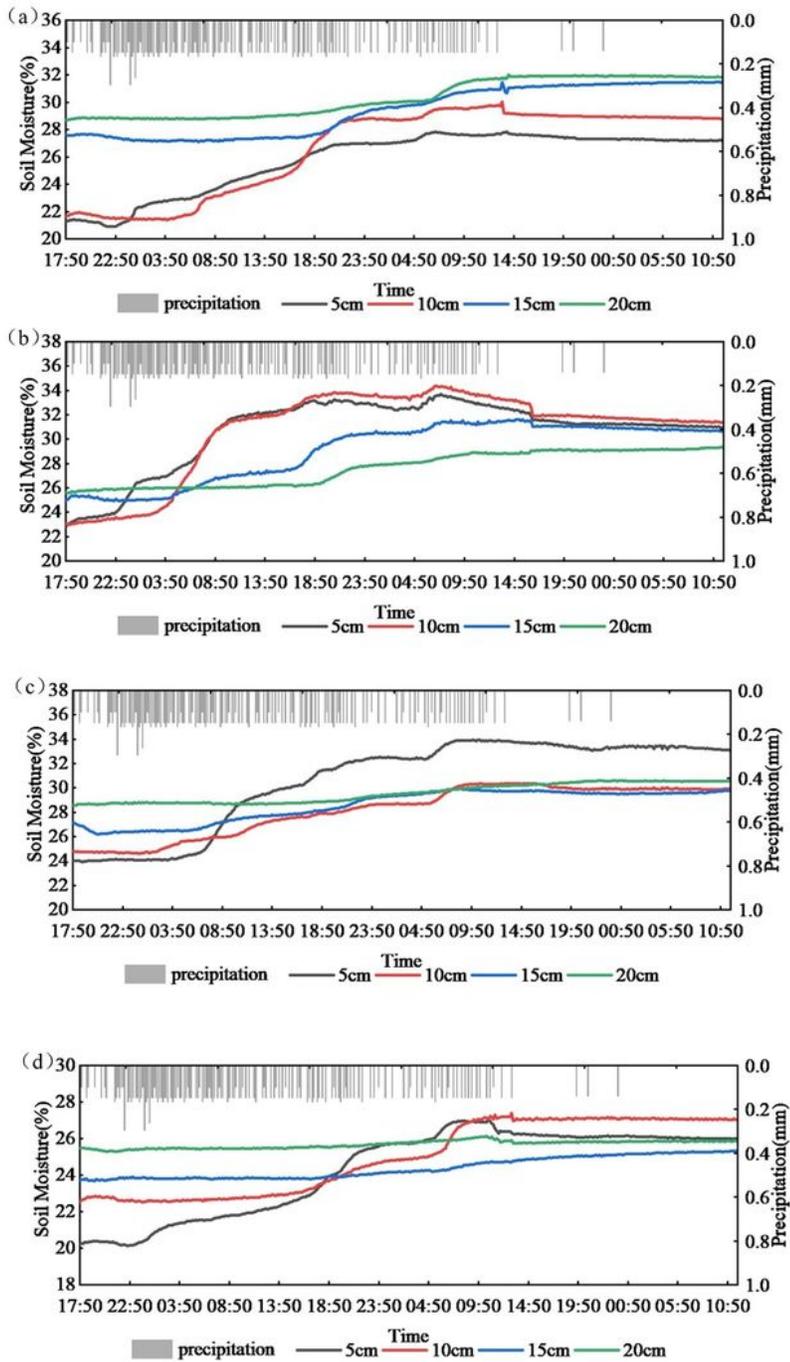


Figure 5

Responses of soil moisture under the different vegetation types to rainfall: (a) arable land; (b) grassland; (c) shrub; and (d) forest.

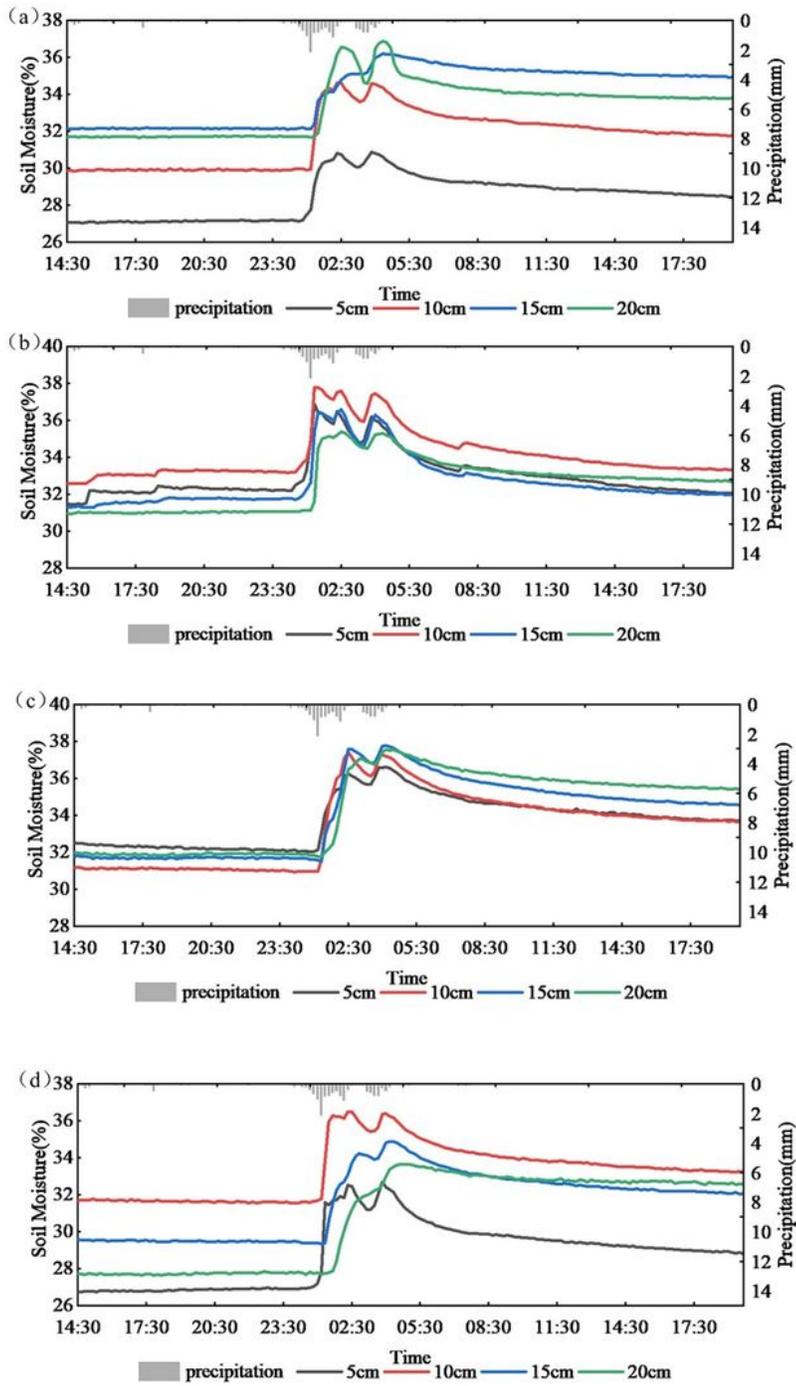


Figure 6

Responses of soil moisture under the different vegetation types to rainfall: (a) arable land; (b) grassland; (c) shrub; and (d) forest.

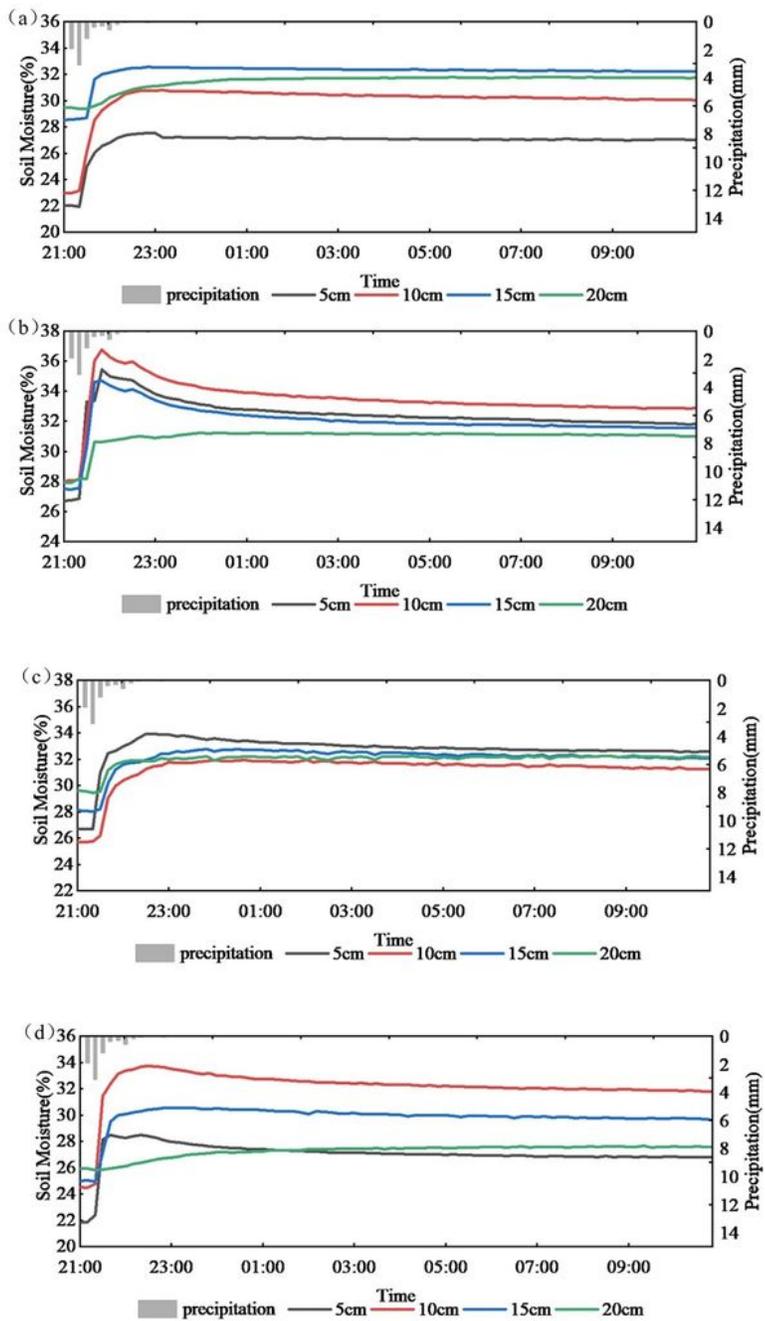


Figure 7

Responses of soil moisture of different vegetation types to rainfall: (a) arable land; (b) grassland; (c) shrub; and (d) forest.

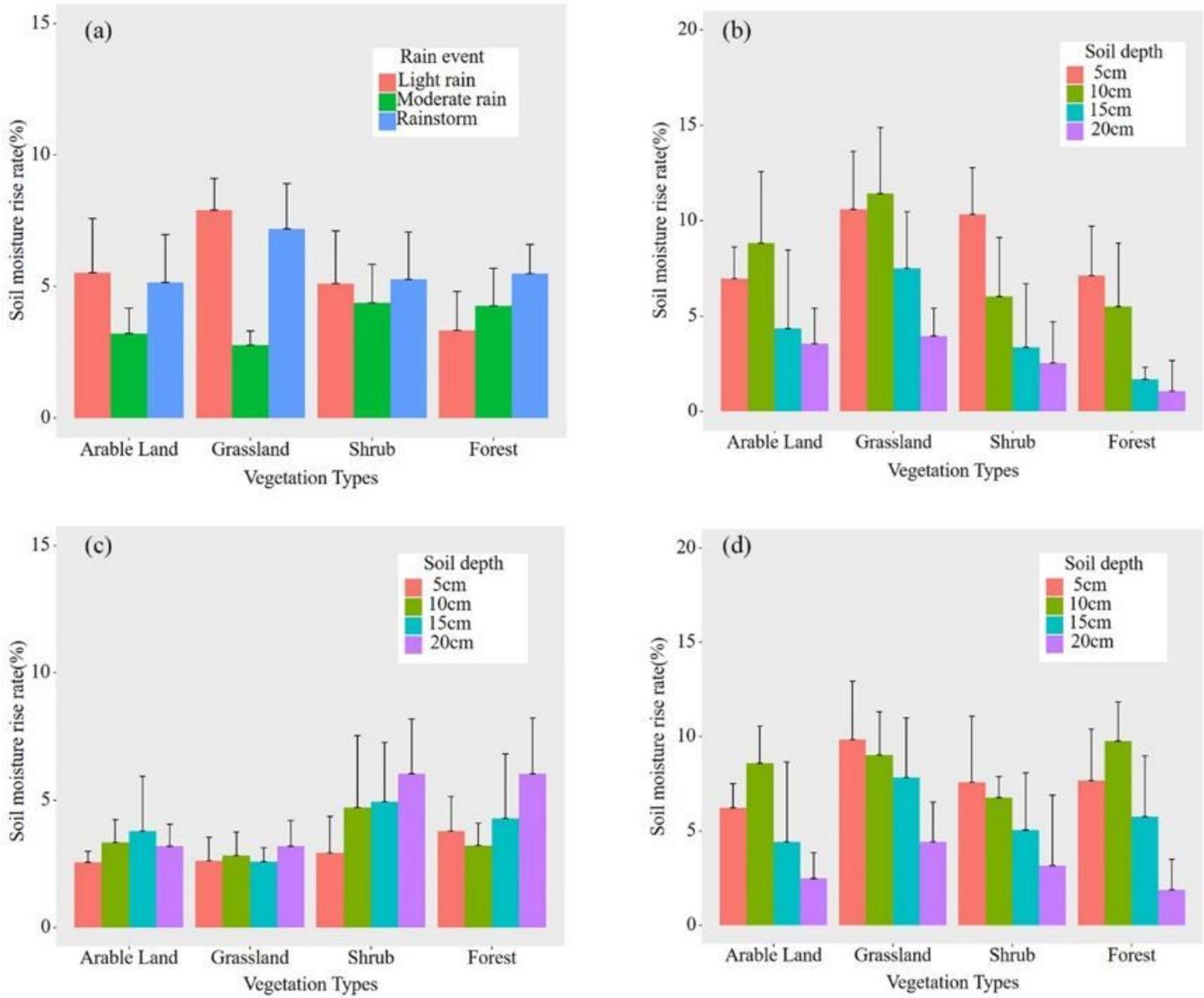


Figure 8

Statistical graphs of the increase in the soil moisture under the different vegetation types (a) during three different rainfall events; (b) at different depths during a light rainfall event; (c) at different depths during a moderate rainfall event; and (d) at different depths during a rainstorm event.

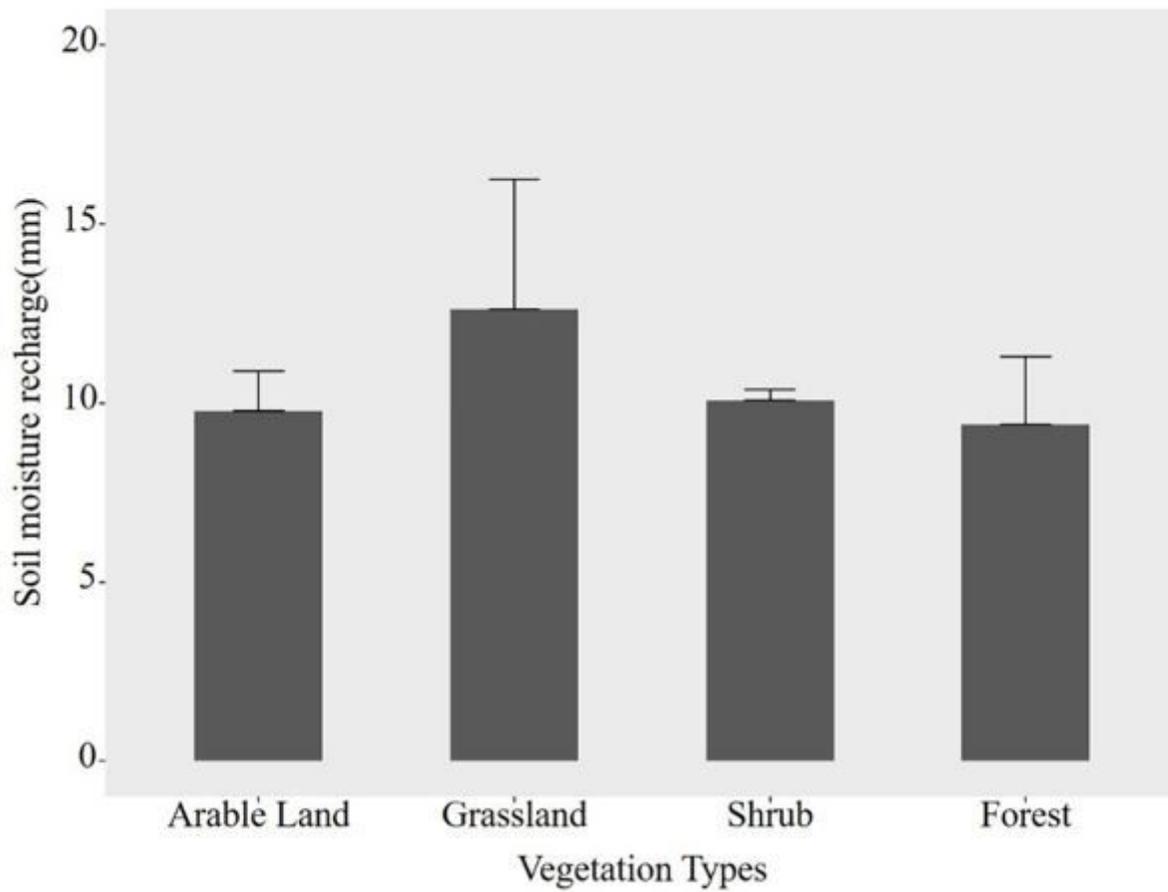


Figure 9

Soil moisture replenishment under the different vegetation types.

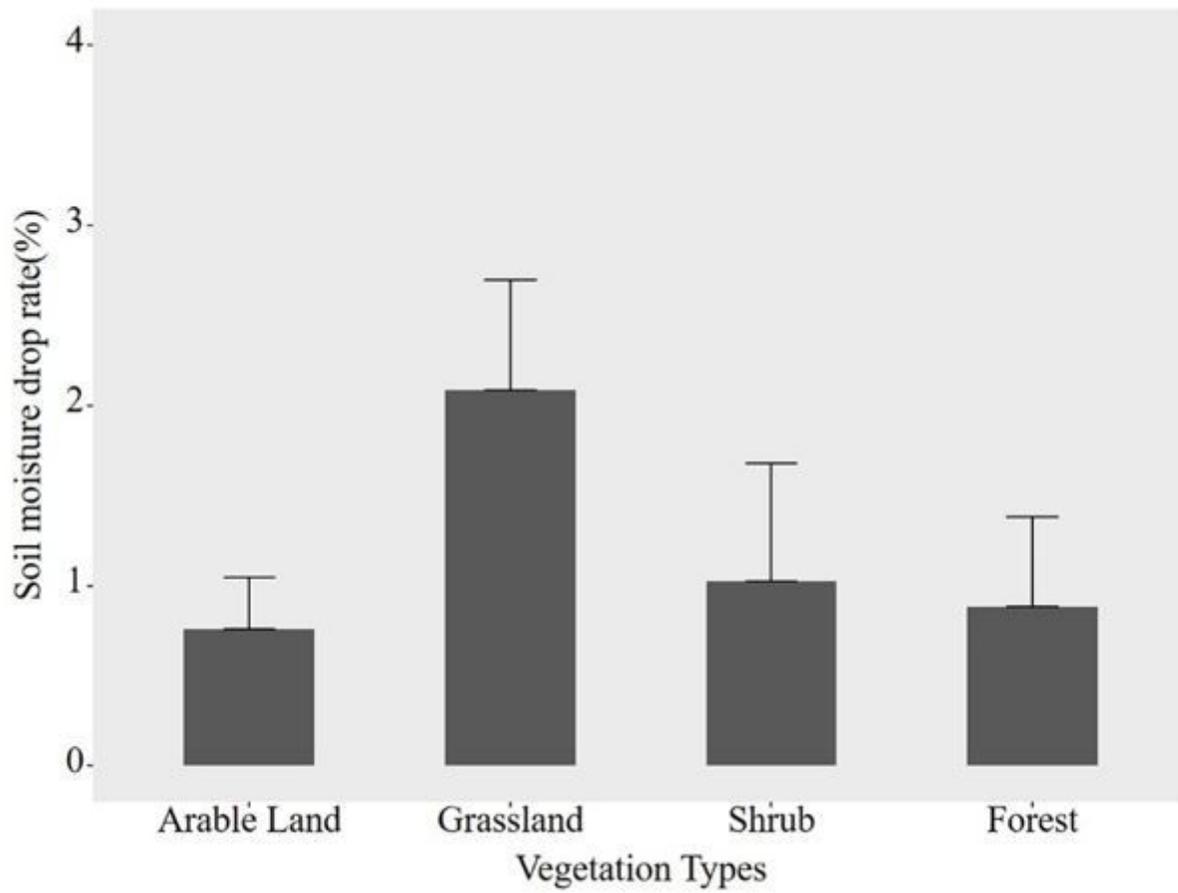


Figure 10

Statistical graph of the decline in soil moisture of different vegetation types