

An IBD-based mixed model approach for QTL mapping in multiparental populations

Wenhao Li (✉ wenhao.li@wur.nl)

Wageningen University Plant Sciences <https://orcid.org/0000-0001-5719-5775>

Martin P. Boer

Wageningen University Plant Sciences

Chaozhi Zheng

Wageningen University Plant Sciences

Ronny V.L. Joosen

Rijk Zwaan Nederland BV

Fred A. van Eeuwijk

Wageningen University Plant Sciences

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1 An IBD-based mixed model approach for QTL 2 mapping in multiparental populations

3 Wenhao Li ¹, Martin P. Boer ¹, Chaozhi Zheng ¹, Ronny V.L. Joosen², and Fred A. Van Eeuwijk^{1,*}

4 ¹ Biometris, Wageningen University and Research Center, P.O Box 100, 6700 AC Wageningen, The
5 Netherlands

6 ² Rijk Zwaan Breeding B.V., PO Box 40, 2678 ZG De Lier, The Netherlands

7 * Correspondence: fred.vaneeuwijk@wur.nl

8

9 **Abstract:**

10 *Key message* The identity-by-descent (IBD)-based mixed model approach introduced in this study can detect
11 quantitative trait loci (QTLs) referring to the parental origin and simultaneously account for multilevel
12 relatedness of individuals within and across families. This unified approach is proved to be a powerful
13 approach for all kinds of multiparental population (MPP) designs.

14 Multiparental populations (MPPs) have become popular for QTL detection. Tools for QTL
15 mapping in MPPs are mostly developed for specific MPPs and do not generalize to other
16 MPPs. We present an IBD-based mixed model approach for QTL mapping in all kinds of
17 MPP designs, e.g., diallel, Nested Association Mapping (NAM), and Multiparental
18 Advanced Generation Intercrosses (MAGIC) designs. The first step is to compute identity-
19 by-descent (IBD) probabilities using a general Hidden Markov model framework, called
20 reconstructing ancestry blocks bit by bit (RABBIT). Next, functions of IBD information are
21 used as design matrices, or genetic predictors, in a mixed model approach to estimate
22 variance components from multi-allelic genetic effects associated with parents. Family-
23 specific residual genetic effects are added, and a polygenic effect is structured by kinship
24 relations between individuals. Case studies of simulated diallel, NAM, and MAGIC designs
25 proved that the IBD-based mixed model approach could increase true positive rates and
26 mapping resolutions of QTLs in comparison to a widely used benchmark association
27 mapping method. Successful analyses of various real data cases confirmed the wide
28 applicability of our IBD-based mixed model methodology.

29 **Keywords:** Multiparental population designs; QTL mapping; IBD; Mixed effect model

30 1. Introduction

31 MPP designs have their unique advantages for QTL mapping over biparental populations and
32 association panels. Crossing two parents in a biparental population can balance allele
33 frequencies and increase the chance to detect rare QTLs, but the narrow genetic diversity
34 from only two parents limits the number of detected QTLs (Y. Liu et al., 2000; Pascual et al.,
35 2016). We can use association panels to broaden the genetic diversity, but the low-frequency
36 variants may increase false positives (M. Malosetti et al., 2007; Xiao et al., 2016), and the
37 potential population structure may mask the effects of causal variants (Flint-Garcia et al.,
38 2003; M. Malosetti et al., 2007; Sul et al., 2018; Xiao et al., 2016). Experimental MPP
39 designs, as a compromise between biparental populations and association panels, show broad
40 genetic diversity with a controlled population structure. Such MPP designs like diallel
41 (Giraud et al., 2017; Turner et al., 2018), NAM (Yu et al., 2008), and MAGIC populations
42 (Gardner et al., 2016; B. E. Huang et al., 2015; X. Huang et al., 2011) have been proven to
43 be promising populations for QTL mapping.

44 QTL mapping models can be classified into family-based (or linkage) and population-based
45 (or linkage disequilibrium) approaches based on the specific design (Myles et al., 2009;
46 Tobias Würschum, 2012; Xu et al., 2017). Most studies comparing and evaluating different
47 statistical models were restricted to only one specific MPP design, such as a sugar beet
48 random-cross design (T. Würschum et al., 2012; Tobias Würschum et al., 2011), a maize
49 NAM population (Giraud et al., 2014), and a tomato MAGIC population (Gardner et al.,
50 2016; B. E. Huang et al., 2015, 2011). A suitable QTL mapping approach for general MPP
51 designs should account for polygenic effects while estimating QTL effects defined in terms
52 of their parental origins. The multi-QTL effect model provides an example of such an
53 approach with a cross-specific residual term for the EU-NAM maize data in Garin et al.
54 (Garin et al., 2017). In this study, we focus on developing and evaluating unified QTL
55 mapping models compatible with all kinds of MPPs, including diallel, NAM, and MAGIC
56 designs.

57 Our proposal combines strategies from family-based and population-based mapping
58 approaches. Family-based QTL mapping approaches were developed for biparental
59 populations to detect bi-allelic QTLs. In the context of MPP designs, we can estimate multi-
60 allelic effects referring to different parental origins. Despite the different MPP designs,
61 parental origins of offspring alleles can always be estimated as functions from IBD
62 probabilities between parents and offspring. To infer the precise genome composition
63 inherited from parents to progenies, we need a sophisticated approach for IBD computation
64 using the pedigree and whole-genome information. As IBD computations for specific MPP
65 designs can be tedious and error-prone, a general pipeline is required (Broman et al., 2018).
66 This study applied a general approach called RABBIT for IBD computations supporting QTL
67 mapping for any MPP design (Zheng et al., 2015, 2018). The IBD information forms the
68 basis for creating design matrices, or genetic predictors, for estimating QTL allele effects.
69 Additional terms are added to model random genetic effects from family, like in (Garin et

70 al., 2017), and a polygenic effect that is structured by kinship similar to what is commonly
71 done in genome-wide association studies (GWAS) (Marcos Malosetti et al., 2011; Stich et
72 al., 2008).

73 We constructed several mixed models varying in whether they use identity-by-state (IBS) or
74 IBD information as the basis of genetic predictors and how they account for the individual
75 relatedness. We simulated diallel, NAM, and MAGIC designs from four inbred Arabidopsis
76 parents to evaluate the performance of these models. The results show that our IBD-based
77 mixed model approach accounting for multilevel relatedness of individuals can increase the
78 true positive rate and the mapping resolution of detected QTL compared with simple IBD-
79 based models and an IBS-based GWAS strategy. We also re-analyzed data from various
80 empirical MPP designs, and the results is quite comparable to previous findings using
81 alternative QTL mapping tools.

82 **2. Methodology**

83 *2.1. Mixed models*

84 An MPP design contains N individuals in F families that are derived from crosses
85 between P parents. We developed the QTL mapping methodology in the linear mixed model
86 framework. The contribution of a putative QTL to the phenotype is given by the product of
87 an $N \times P$ design matrix M and a $P \times 1$ vector a of genetic effects. The element M_{ij}
88 represents the genetic predictor for the change of the phenotype in the i^{th} ($i=1, 2, \dots, N$)
89 individual contributed by an offspring allele stemming from the j^{th} ($j=1, 2, \dots, P$) parent.
90 We constructed two types of models, depending on how the genetic predictor was calculated.
91 Specifically, in the IBS-based model, the genetic predictors are given by observed numbers
92 of IBS alleles, which are identical if the alleles have identical nucleotide base pairs. In the
93 IBD-based models, the genetic predictors are given by the expected numbers of IBD alleles,
94 which are identical if the alleles have been inherited from a common inbred parent, such that
95 a_j denotes the haplotype effect of the j^{th} parent.

96 The genetic predictors in the IBD-based models were calculated using the RABBIT software
97 (Zheng et al., 2015). RABBIT calculates the genetic predictors by haplotype reconstruction
98 within the hidden Markov model (HMM) framework, where the prior transition probability
99 matrix for modeling how the hidden states change along chromosomes can be calculated
100 using a recursive algorithm on the breeding pedigree (Zheng et al., 2018). The flexibility of
101 RABBIT follows from the applicability of this recursive algorithm to arbitrarily fixed
102 pedigrees, and the genotypic data model is able to account for genotyping errors and missing
103 values in parents and offspring. The principal outputs of RABBIT are the posterior
104 probabilities of the hidden IBD states for each offspring at each locus, conditional on the
105 genotypic data at all loci. For homozygous populations with inbred parents, the hidden states
106 are the parental origins, and the genetic predictors are given by twice the parental origin
107 probabilities. For heterozygous populations with inbred parents, the hidden states are given

108 by the pairwise combinations of the parental origins, and the exported posterior probabilities
109 can be easily transformed into the parental origin probabilities.

110 We constructed five IBD-based models ([Table 1](#)) to estimate multi-allelic effects in a
111 genome-wide scan. The models are defined for phenotypic data vectors coming in as
112 genotypic means, best linear unbiased estimates, or BLUEs, obtained from a preliminary
113 phenotypic analysis of trial data accounting for experimental design factors and spatial
114 trends. We expected that for all models that incorporated cofactor and kinship corrections,
115 the residual term ε would principally represent non-genetic within-trial variation.

116 The first two models are called *IBD.SQM_U* and *IBD.SQM_F*. They use IBD information as
117 the basis for the genetic predictors in a simple single-locus QTL mapping model (SQM) with
118 respective homogeneous or uniform (_U) and family-specific (_F) variance-covariance
119 (VCOV) structures on residual terms. The first model *IBD.SQM_U* can be expressed as:

$$120 \quad Y = X\beta + M_q a_q + \varepsilon$$

$$121 \quad a_q \sim N(N, I_P \sigma_q^2)$$

$$122 \quad \varepsilon \sim N(0, I_N \sigma_\varepsilon^2),$$

123 where Y is the $N \times 1$ column vector for the phenotypes of N individuals; X is the $N \times F$
124 design matrix with elements 1 or 0 indicating whether the i^{th} ($i = 1, 2, \dots, N$) individual
125 belongs to the k^{th} ($k = 1, 2, \dots, F$) family or not, and β is the $F \times 1$ column vector of fixed
126 family intercept effects; M_q is the $N \times P$ design matrix containing the expected number of
127 parental alleles obtained by taking two times the IBD probability between parent and
128 offspring at a putative QTL position, indexed by the subscript q . The $P \times 1$ column vector
129 a_q contains the random parental effects at the putative QTL with VCOV structure equal to
130 $I_P \sigma_q^2$, σ_q^2 being the genetic variance of the QTL effect; ε is the residual term with a
131 homogeneous VCOV structure expressed as $I_N \sigma_\varepsilon^2$ with the residual variance σ_ε^2 . The
132 residual ε contains both genetic and non-genetic elements, from unidentified QTLs and
133 within trial error variation, respectively.

134 The second model, *IBD.SQM_F* can be expressed as:

$$135 \quad Y = X\beta + M_q a_q + \varepsilon$$

$$136 \quad a_q \sim N(0, I_P \sigma_q^2)$$

$$137 \quad \varepsilon \sim N(0, \bigoplus_{k=1}^F I_{n_k} \sigma_{e_k}^2),$$

138 where the residual term ε has family-specific VCOV structure written as $\bigoplus_{k=1}^F I_{n_k} \sigma_{e_k}^2$, in
139 which $\sigma_{e_k}^2$ is the residual variance of the k^{th} family whose family size is n_k ($\sum_{k=1}^F n_k =$

140 N). For the standard MAGIC design with a single family ($F = 1$), $IBD.SQM_U$ and
141 $IBD.SQM_F$ are equivalent models.

142 To account for QTLs elsewhere in the genome, say genome background, we can add a set of
143 cofactors to the model $QTL.SQM_F$, as in composite interval mapping (Zeng et al., 1994;
144 Jansen and Stam, 1994), to obtain a multi-QTL model (MQM) called $IBD.MQM_F$, which
145 is expressed as:

$$146 \quad Y = X\beta + \sum_{c \neq q} M_c a_c + M_q a_q + \varepsilon$$

$$147 \quad a_q \sim N(0, I_p \sigma_q^2) \text{ and } a_c \sim N(0, I_p \sigma_c^2)$$

$$148 \quad \varepsilon \sim N\left(0, \bigoplus_{k=1}^F I_{n_k} \sigma_{e_k}^2\right),$$

149 where the design matrix for a cofactor is M_c , and the column vector of random QTL effects
150 at the cofactor is a_c , whose genetic variance is σ_c^2 . M_c and a_c are structurally comparable
151 to M_q and a_q , but they represent different positions in the genome.

152 As an alternative to the inclusion of explicit cofactors, we can include a polygenic term, g ,
153 into the $IBD.SQM_F$ model, leading to the $IBD.Kin_F$ model:

$$154 \quad Y = X\beta + M_q a_q + g + \varepsilon$$

$$155 \quad a_q \sim N(0, I_p \sigma_q^2)$$

$$156 \quad g \sim N(0, K \sigma_g^2)$$

$$157 \quad \varepsilon \sim N\left(0, \bigoplus_{k=1}^F I_{n_k} \sigma_{e_k}^2\right),$$

158 where the VCOV structure of the polygenic term g is $K \sigma_g^2$ in which σ_g^2 is the variance of
159 the polygenic effect, and K is a $N \times N$ kinship matrix based on genotype information on
160 the whole genome using IBS information (VanRaden, 2008). (We acknowledge that for
161 consistency K should have been based on IBD information. However, in practice we found
162 little difference between kinship corrections based on IBD and IBS, and therefore, for
163 convenience, decided to implement the widely used Van Raden software for calculation of
164 kinship matrices.) To reduce the computational burden and avoid proximal contamination,
165 we applied the leave-one-chromosome-out (LOCO) method for kinship matrix calculation
166 (Yang et al., 2014).

167 The last model, $IBD.MQMkin_F$, combines cofactors, a polygenic term, and a residual term
168 with family-specific VCOV structure:

$$169 \quad Y = X\beta + \sum_{c \neq q} M_c a_c + M_q a_q + g + \varepsilon$$

170 $a_q \sim N(0, I_P \sigma_q^2)$ and $a_c \sim N(0, I_P \sigma_c^2)$

171 $g \sim N(0, K \sigma_g^2)$

172 $\varepsilon \sim N(0, \bigoplus_{k=1}^F I_{n_k} \sigma_{e_k}^2)$.

173 The five IBD-based models are compared with a benchmark or reference model, a GWAS
174 approach estimating fixed bi-allelic effects. This reference model is frequently used for
175 population-based mapping. We will refer to this model as *IBS.Kin*:

176 $Y = X\beta + X_q \lambda_q + g + \varepsilon$

177 $g \sim N(0, K \sigma_g^2)$

178 $\varepsilon \sim N(0, I_N \sigma_\varepsilon^2)$,

179 where X_q is a $N \times 1$ vector column whose elements are 0, 1, and 2, indicating numbers of
180 allele copies based on IBS information, and λ_q is the fixed bi-allelic effect at the QTL.

181 2.2. QTL detection procedure

182 To map QTLs with the above IBD-based mixed model approaches, we used log-likelihood
183 ratio tests for variance components representing the putative QTLs as estimated by Residual
184 Maximum Likelihood (Reml) (Morrell, 1998; Stram et al., 1994) in ASReML-R (Version 3.0)
185 (Butler et al., 2018). A simple multiple testing correction was performed via a Bonferroni
186 threshold placed at a genome-wide significance level of 0.01. Around cofactors, we set an
187 exclusion window of 20cM within which no tests for further QTLs were performed. Genome
188 scans for models with cofactors were repeated until the test profile ($-\log_{10}(p)$) stabilized. For
189 the reference GWAS model, *IBS.Kin*, a Wald-test (Molenberghs et al., 2007) was used to
190 determine the significance of QTLs.

191 3. Datasets

192 3.1. Simulated MPP data sets

193 3.1.1. The motivation for simulating MPPs

194 By simulating QTLs with different segregation configurations in various types of MPP
195 designs, we aim to test the performance of IBD-based models versus GWAS models, where
196 we looked at the true positive rate (TPR) and mapping resolution for major QTLs. Below in
197 section 3.1.3, we will define the TPR and mapping resolution.

198 To create offspring populations with realistic marker profiles and segregation ratios, we
199 based our simulations on four real *Arabidopsis* inbred lines with known genomes. These four

200 lines served as parents in crosses that simulated diallel, NAM, and MAGIC designs. With
201 this number of parents, we still obtain enough detail for insightful simulations, while
202 computation time per simulation remains low. Genomes for progenies were simulated by
203 implementing a crossover process in which progenies inherited markers and QTLs from
204 parents following one of the MPP designs. More details can be found in section 3.1.2.

205 Phenotypes for the offspring individuals contained a contribution from alleles at three major
206 QTLs, positioned on different chromosomes and at markers with varying genotypic
207 configurations across the parents, to investigate the impact of the number of segregating
208 families and allele frequencies on QTL detection. Details are given below in section 3.1.2.
209 Contributions of 24 minor QTLs were distributed across four chromosomes, including the
210 three chromosomes with a major QTL to define a random polygenic effect. This polygenic
211 effect was implicitly structured by family, depending on segregation or not in diallel and
212 NAM families and allele frequency in MAGIC. The polygenic effect was further structured
213 by the relations between the offspring individuals within families due to the transmission of
214 QTL and marker alleles from parents to offspring. The polygenic effect was added to study
215 the performance of family-dependent variance components. One chromosome did contain
216 neither major nor minor QTLs to investigate error rates. An independent error was added to
217 major and minor QTL effects to determine the heritability.

218 3.1.2. Details of the simulation

219 Four inbred Arabidopsis lines with known marker genotypes were chosen as parents for
220 making our simulated crosses: Bla-1 (*parent1*), Br-0 (*parent2*), Got-7 (*parent3*), and Kas-2
221 (*parent4*). These parents were randomly selected from the Arabidopsis *HapMap* collection
222 (Baxter et al., 2010). More information is available at
223 http://bergelson.uchicago.edu/?page_id=790. SNPs of parental lines were called against the
224 reference sequence. A consensus map with 462 markers was then created by merging the
225 maps of parental variants based on the physical map. We selected positions at three markers
226 for assigning major QTL allelic effects on the consensus map, named *simQTL1*, *simQTL2*,
227 and *simQTL3*. For these three QTLs, a genotype coded as 11 was carried by respectively two,
228 one, and three parents ([Figure 1](#)). Starting at 20cM above and below the major QTL position,
229 minor QTLs were uniformly placed at distances of 10cM at chromosomes with a major QTL
230 (from chromosomes 1 to 3). Chromosome 4 contained only minor QTLs. In total, 24 minor
231 QTLs were placed at chromosomes 1 to 4. No QTLs were assigned to chromosome 5. This
232 chromosome was used to assess the number of falsely discovered QTLs.

233 After deciding on simulated QTL positions, we simulated the genome of each progeny at the
234 F6 generation from diallel, NAM, and MAGIC designs ([Figure 2 Upper panel](#)). Progeny were
235 simulated from the parental genomes and crossing schemes using the tool RABBIT
236 implemented in Mathematica (Zheng et al., 2015). Each chromosome in gametes in the
237 generations from F1 to F6 was the random reshuffle of the unique parental genome due to
238 crossovers. The number of crossovers on each chromosome followed a Poisson distribution,

239 with the mean being the chromosome length in Morgan. The positions of crossovers were
240 uniformly distributed over the chromosomes. In the F6 generation, we obtained realized
241 genotypes at marker positions by adding missing genotypes at a rate of 5% randomly on the
242 ‘true’ simulated marker genotypes. In practice, we expect this missingness rate to be lower,
243 but we wanted an assessment of the robustness of our procedure.

244 The total population size for each MPP design was fixed at 300. This number is, first of all,
245 realistic and allows sufficiently fast calculations for different MPP configurations while
246 retains sufficient power for QTL detection across the full population as well as within
247 families. The six families in the diallel design named from *Diallel1* to *Diallel6* contained 50
248 progenies per family, and the three families named from *NAM1* to *NAM3* in the NAM design
249 included 100 progenies per family. For the MAGIC population, only one family, named
250 *MAGIC1*, had 300 progenies. To show the genetic relatedness between progenies, we
251 performed principal component analysis (PCA) on the ‘true’ simulated genome from each
252 MPP design ([Figure 2 Bottom panel](#)).

253 The phenotype of each progeny was the sum of the genetic effects of major and minor QTLs
254 plus residual errors: $Y = az_1 + az_2 + az_3 + \sum_{i=1}^{24} bz_i + e$, where a and b are the major
255 and minor additive effects, respectively; and z_i is the genotype indicator equal to 1 or -1 for
256 the marker with homozygous genotype coded as 11 or 22, and equal to 0 for (residual)
257 heterozygotes; the residual term e followed a normal distribution $N(0, 1)$. For simplicity
258 and convenience, we assigned only additive effects at the bi-allelic level to the simulated
259 QTLs. To choose ‘reasonably detectable’ QTL effect sizes for major and minor QTLs, i.e.,
260 neither too low nor too high to compare the performance of the six models, we did a grid
261 search on QTL effect sizes and calculated the corresponding realized heritability by $h^2 =$
262 $\sigma_G^2 / (\sigma_G^2 + 1)$ where σ_G^2 is the genetic variance contributed by both major and minor QTLs.
263 As an example used for illustration in this paper, the effect size of major QTLs was taken to
264 be 0.4, and the effect size of all minor QTLs was 0.1, which led to an h^2 in each design
265 between 0.35 to 0.38. [Appendix Figure S1](#) shows an example of the distribution of simulated
266 phenotypes in each family of different MPP designs.

267 3.1.3. Assessment of performance of QTL mapping models

268 We simulated 500 realizations for each MPP design and assessed the performance of all six
269 models on these 500 simulated datasets. As criteria for performance, we chose *TPR* and
270 mapping resolution of the major QTL. *TPR* was defined as follows. The successful detection
271 of a major QTL as a true positive had to meet two requirements. Firstly, the $-\log_{10}(p)$ value
272 for the likelihood ratio test for the variance component of the QTL effects at that position
273 should exceed the threshold of 4.2, obtained by the Bonferroni correction on all 462 markers
274 on the consensus map at a genome-wide significance level of 0.01. Secondly, the distance
275 between the true position of the simulated major QTL and the peak marker with the highest
276 $-\log_{10}(p)$ value on the same chromosome should be within a certain distance. The *TPR* for
277 each major QTL was the average percentage of runs where true QTLs were detected within

278 certain distances 5cM, 10cM, and 20cM. The mapping resolution of a major QTL in this
279 study was indicated by the average genetic distance between the true simulated position and
280 the detected position with the highest $-\log_{10}(p)$ value on the same chromosome over 500
281 runs. A shorter distance indicates a higher mapping resolution.

282 3.2. Empirical MPP designs

283 Besides the three simulated MPP designs, we re-analyzed empirical diallel, NAM, and
284 MAGIC designs collected from previous studies and the vegetable breeding company Rijk
285 Zwaan. These six datasets are summarized in [Table 2](#), and their crossing schemes are shown
286 in [Figure 3](#).

287 3.2.1. Maize MPP designs

288 In the first maize diallel design ([Figure 3 A](#)), recombinant inbred lines (RILs) were derived
289 from crosses between four inbred parents (Coles et al., 2010). The four parents represent
290 distinct germplasm groups in temperate (B73 and B97) and tropical (CML254 and Ki14)
291 types of maize (K. Liu et al., 2003), and parents of different types were crossed with each
292 other. In total, 569 progenies in four families were obtained with 1,339 genotyped markers.
293 Traits of interest were days to anthesis (DTA), days to silking (DTS), plant height (PH), ear
294 height (EH), and total leaf number (TLN) measured in long-day and short-day environments.
295 The photoperiodic responses of those traits were the difference between long-day and short-
296 day responses. The previous study used the package MCQTL to perform QTL mapping in
297 joint and separate families (Jourjon et al., 2005).

298 The second maize MPP design is the Dent panel of the EU-NAM population ([Figure 3 B](#)).
299 Ten families of 841 DH progenies were derived from 11 parents in which the central parent
300 F353 was crossed with ten peripheral lines (Bauer et al., 2013). We used the consensus map
301 of 22,122 Panzea markers that was also used in previous studies (Bustos-Korts et al., 2016;
302 Garin et al., 2017; Giraud et al., 2014). We removed the marker if at least one of the 11
303 parents had a missing genotype at this marker, which led to 15,813 markers for the IBD
304 calculation and QTL analysis. QTL mapping for DGY and PH was previously performed in
305 a multi-QTL effect model that assessed mixture types of QTL effects at parental, ancestral,
306 and bi-allelic levels (Garin et al., 2017).

307 The last maize MPP design is the eight-way MAGIC population ([Figure 3 C](#)) (Dell'Acqua et
308 al., 2015). The eight maize inbred lines (A632, B73, B96, F7, H99, HP301, Mo17, W153R)
309 were crossed in the format of 35 independent breeding funnels containing two-way, four-
310 way, and eight-way crosses. According to the previous study (Dell'Acqua et al., 2015), the
311 two-way cross $B96 \times HP301$ failed during the MAGIC population construction. A ninth
312 parent (CLM91) was introduced in the two-way cross $B73 \times CML91$ to complement four-
313 way crosses with failed two-way cross $B96 \times HP301$. Each funnel was advanced by single
314 seed descent to the F6 generation with 529 progenies and 41,473 genotyped markers. 529

315 progenies were phenotyped in two different environments for days to pollen shed (PS), plant
316 height (PH), ear height (EH), and grain yield (GY). In the previous study, two methods were
317 applied for QTL mapping (Dell'Acqua et al., 2015): the linkage mapping approach using
318 genotype probabilities as predictors and imposing a kinship VCOV structure on the polygenic
319 term, and the association mapping approach estimating allelic additive effects.

320 For these empirical maize MPP designs, we did not use all the markers described above for
321 analysis. Instead, we selected the markers at each 0.5cM for IBD computation and QTL
322 mapping to remove co-located markers and speed up the analysis.

323 3.2.2. Tomato MPP designs

324 We had two tomato MPP designs provided by the breeding company Rijk Zwaan. The first
325 one is a diallel F2 design constructed by crossing three inbred lines differing in fruit shape
326 ([Figure 3 D](#)). The three inbred parents were crossed with each other to generate 248 F2
327 progenies with 459 genotyped markers. The second tomato MPP design combines two NAM
328 F2 designs, where the two central parents are connected ([Figure 3 E](#)). We call the whole set-
329 up a connected NAM design where 718 progenies were genotyped with 593 markers and
330 phenotyped based on their resistance level.

331 The last tomato MPP design is an eight-way MAGIC population ([Figure 3 F](#)). In this
332 population, eight inbred tomato lines with different molecular and physiological levels were
333 selected as founders to capture the wide genetic diversity (Pascual et al., 2013). In the
334 previous research, the trait of interest for QTL mapping was fruit weight (FW) measured in
335 two locations for 397 F4 individuals with 1345 genotyped markers (Pascual et al., 2015).
336 Interval mapping with adjusted P-values and GWAS approaches were applied for the QTL
337 analysis. For the interval mapping, the previous study implemented R package mpMAP to
338 estimate parental effects based on multipoint probabilities (B. E. Huang et al., 2011). The
339 percentage of phenotypic variation was calculated by fitting all significant QTLs in a full
340 mixed model (B. E. Huang et al., 2011; Pascual et al., 2015). For the GWAS approach, the
341 kinship matrix was computed to describe the VCOV structure of the polygenic term in a
342 mixed linear model using software TASSEL (Bradbury et al., 2007).

343 4. Results

344 4.1. Results of simulated MPP designs

345 4.1.1. MPP simulation

346 To illustrate relatedness in each simulated MPP design, we performed PCA on progenies
347 using the simulated genome (IBS) ([Figure 2 Bottom panel](#)). The PCA plots of diallel and
348 NAM designs show apparent family clusters. For the simulated MAGIC design, no visible
349 family clusters were present.

350 Parental origins can be uncertain at multiple positions with non-segregating markers or
351 missing genotypes. Using the pedigree information and genomes of parents and offspring,
352 we reconstructed the parental origins represented by IBDs. We extracted the highest parental
353 IBD probabilities at each marker position per progeny and averaged the maximum IBD
354 probabilities of this position across all progenies. The average of the maximum IBD
355 probabilities at all positions was above 0.9 in all simulated MPP designs ([Appendix Figure](#)
356 [S2](#)).

357 4.1.2. Model performance on simulate MPP designs

358 By comparing the mapping results of the six models on simulated MPP designs, we aimed to
359 prove the advantages of using IBD information for genetic predictors and the necessity of
360 using the mixed model approach to account for the multilevel relatedness of offspring with
361 and across families. [Figure 4](#) demonstrates the performance of each model in terms of TPRs
362 and mapping resolutions at the three major QTLs in the three simulated MPP designs. From
363 the basic to the advanced IBD-based mixed models, we can observe the improved trend
364 regarding TPR and mapping resolution.

365 On chromosome 5 where neither major nor minor QTLs were simulated, we counted how
366 many markers were detected as QTLs (i.e., false positives) by each of the six models over
367 500 runs in each MPP design. Given 110 markers on chromosome 5, we expected the number
368 of false positives over 500 runs using a threshold of 4.2 to be $110 \times 500 \times 10^{-4.2} = 3.47$.
369 The reference model *IBS.Kin* identified 2, 3, and 5 positions as false QTLs in the respective
370 simulated diallel, NAM, and MAGIC designs; among IBD-based models, only the advanced
371 model *IBD.MQMkin_F* detected one false QTL on chromosome 5 in the simulated diallel
372 design over 500 runs. It indicates the good control of the false positive rate using the
373 Bonferroni threshold for all models.

374 In the simulated diallel design, the IBD-based models *IBD.Kin_F* and *IBD.MQMkin_F*,
375 incorporating polygenic effects, generally performed better than other models considering
376 both TPR and mapping resolution. For the *simQTL1* segregating in four out of six families,
377 the TPR (39.4%) from *IBD.MQMkin_F* was higher than that at *simQTL2* (27.9%) and
378 *simQTL3* (22.9%), both segregating in three out six families.

379 In the simulated NAM design, IBD-based models have advantages over the reference model
380 *IBS.Kin* in improving TPRs and mapping resolutions for *simQTL1* and *simQTL2*. Notably,
381 the advanced IBD-based model *IBD.MQMkin_F* detected *simQTL2*, which is segregating in
382 all three families, with the highest TPR (59%) at a relatively high mapping resolution (4cM).
383 For *simQTL1*, segregating in two out of three families, the TPR (44.8%) and mapping
384 resolution (5.9cM) obtained from *IBD.MQMkin_F* were also better than other models.
385 However, for *simQTL3* that segregated in only one family, all models identified this QTL
386 with low TPRs and mapping resolutions, even though *IBD.MQMkin_F* performed slightly
387 better.

388 The simulated MAGIC design seems to be the most promising MPP for detecting all three
389 *simQTLs* — all of them were detected with relatively high TPRs and mapping resolutions.
390 Especially for *simQTL1* with expected genotypes frequencies of 0.5, both the advanced IBD-
391 based model *IBD.MQMkin_F* and the reference IBS-based model *IBS.Kin* successfully
392 detected this QTL with a high TPR (around 77%) and high resolution (around 2.9cM). For
393 *simQTL2* and *simQTL3*, advanced models *IBD.Kin_F* and *IBD.MQMkin_F* performed better
394 than the rest of the models considering both TPRs and mapping resolutions.

395 4.2. Results of empirical MPP designs

396 4.2.1. Maize diallel design

397 The maize diallel design generated four families from four parents with multiple traits
398 measured and analyzed in the previous study (Coles et al., 2010). In this research, we re-
399 analyzed the photoperiodic responses of DTA, DTS, the difference in GDD between DTA
400 and DTS (GDDASI), PH, EH, and TLN ([Figure 5 A](#); [Appendix Table S1](#) and [Figure S3](#)).
401 The analysis of those traits using IBD-based models showed multiple shared QTLs, but the
402 last model, *IBD.MQMkin_F* is superior to other models because it detected most QTLs with
403 increased mapping signal and the relatively small Bayesian information criterion (BIC)
404 value.

405 Coles et al. (2010) reported QTL mapping using separate biparental families and joint
406 families and found that joint mapping detected more QTLs with higher resolution. These
407 QTLs were found to coincide with key flowering time QTLs on chromosomes 1, 8, 9, and
408 10. Here we compare our results to the joint mapping of Coles et al. (2010): in the example
409 of trait GDDTAP, we detected 7 QTLs on chromosomes 1, 2, 3, 4, 8, 9, and 10, which is
410 comparable to the 6 QTLs detected on chromosomes 1, 2, 4, 8, 9, and 10 in the joint model
411 by Coles et al. (2010). For other traits ([Appendix Figure S3](#)), it also shows the advanced
412 model *IBD.MQMkin_F* could detect most reported QTLs in the study by Coles et al. (2010).
413 Due to the smaller BIC of the *IBD.MQMkin_F* model with more detected QTLs, we fitted
414 these 7 detected QTLs in this advanced IBD-based mixed model to estimate the parental
415 effects at those QTLs for trait GDDTAP ([Figure 5 A](#)). It shows that the parents of temperate
416 type (B73 and B97) contribute negative effects at those QTLs while the other two parents of
417 tropical type (CML254 and Ki14) contribute the positive effects at most of those detected
418 QTLs.

419 4.2.2. Maize NAM design

420 In the maize NAM population, we identified two QTLs for DGY on chromosomes 6 and 8
421 using models without correction for genomic background, i.e., *IBD.SQM_U* and *IBD.SQM_F*
422 ([Figure 5 B](#); [Appendix Table S1](#) and [Figure S4](#)). Including either cofactors, the polygenic
423 term, or both of them increased the magnitude of the mapping signals for the two QTLs and
424 allowed us to detect new QTLs on chromosomes 3 and 7 for trait DGY. As for the mapping
425 results of PH ([Appendix Table S1](#) and [Figure S4](#)), the advanced models *IBD.kin_F* and

426 *IBD.MQMkin_F* detected a new QTL on chromosome 5 and increased the magnitude of
427 mapping signals for other QTLs that were also detected by basic models.

428 For both DGY and PH, our models detected QTLs that were detected by Garin et al. (2017)
429 using a so-called multi-QTL effects model that combined genome-wide scans at bi-allelic,
430 parental, and ancestral levels. Our study used a stringent threshold via Bonferroni correction,
431 so some QTLs with relatively weaker signals were missed compared to the analysis by (Garin
432 et al., 2017).

433 4.2.3. Maize MAGIC design

434 For the maize MAGIC population, we mapped the QTLs for trait PS, PH, EH, and GY ([Figure](#)
435 [5 C](#); [Appendix Table S1](#) and [Figure S5](#)). Using all five models, we detected one QTL on
436 chromosome 8 for PS, and identified one QTL on chromosome 6 for both traits PH and GY,
437 while no QTL was detected for EH, so the mapping profiles for EH were not shown.
438 Dell'Acqua et al. (2015) used all 529 magic maize progenies for QTL mapping. However, in
439 this study, we selected 303 progenies individuals in the population derived from the initial
440 eight parents, while individuals derived from the later added ninth parent were not included
441 because for those individuals, no pedigree data were available to calculate IBDs. We could
442 confirm some major QTLs detected earlier by Dell'Acqua et al. (2015) in their analysis, but
443 due to the smaller total population size in our analysis, we missed some QTLs, e.g., two QTLs
444 for trait EH, one QTL on chromosome 8 for PH and PS.

445 4.2.4. Tomato diallel design

446 The diallel F2 design of two beef tomatoes and one round tomato generated a population of
447 diverse fruit shapes, and we identified some QTLs for fruit shape ([Figure 5 D](#); [Appendix](#)
448 [Table S1](#)). In total, we detected three QTLs on chromosomes 1, 2, and 9. All three QTLs
449 were detected using *IBD.SQM_F* and *IBD.MQMkin_F* models, while other models missed
450 the QTL on chromosome 1 or 9 with relatively weak signals. The three detected QTLs using
451 were fitted in the model with the smallest BIC value (*IBD.MQMkin_F*) to estimate the
452 parental effects ([Figure 5 D](#)). It shows that the parental effect of one beef type tomato (parent
453 B) contributed negatively to the fruit shape at all three QTLs, and the other beef type tomato
454 (parent A) show negative effects at two QTLs on chromosomes 2 and 9 on which the round
455 type tomato (parent C) show positive effects at these two QTLs.

456 4.2.5. Tomato NAM design

457 In the connected NAM F2 design, a resistant parent crossed with four susceptible lines, and
458 one of the susceptible lines was crossed with another two resistant lines. All five IBD-based
459 mixed models identified two QTLs with strong signals on chromosomes 1 and 6 ([Figure 5 E](#);
460 [Appendix Table S1](#)). Because all models detected those two QTLs with strong signals, the
461 parental effects estimated by the five models show no big difference. As an example, we
462 estimated the parental effect in the *IBD.MQMkin_F* model to show that the three resistant

463 parents (parent C, B, and D), at the strongest QTL on chromosome 6, contributed negatively
464 to the disease score. Tomato breeders have successfully fine-mapped this QTL as a strong
465 resistance gene.

466 4.2.6. Tomato MAGIC design

467 In the eight-way MAGIC F4 population, we mapped QTLs underlying fruit weight measured
468 at two locations that we will refer to as A and B. The model *IBD.MQMkin_F* detected most
469 QTLs for location A, and all models detected three consistent QTLs for location B ([Figure 5](#)
470 [F](#); [Appendix Table S1](#) and [Figure S6](#)). For location A, models that accounted for the genomic
471 background by adding either cofactors or the polygenic term or both (*IBD.MQM_F*,
472 *IBD.Kin_F*, or *IBD.MQMkin_F*), compared to *IBD.SQM_U* and *IBD.SQM_F*, allowed us to
473 detect more QTLs on chromosomes 5 and 9. *IBD.Kin_F* and *IBD.MQMkin_F* identified two
474 linked QTLs on chromosomes 2 and 11. The advanced model *IBD.MQMkin_F*, among the
475 five models, has the smallest BIC value ([Appendix Table S1](#)).

476 The previous study by (Pascual et al., 2015) applied two approaches for QTL detection in
477 this design. One was the interval mapping for founder effects based on the multipoint
478 probability calculations, and another was a GWAS approach incorporating a polygenic term.
479 Pascual et al. (2015) analyzed fruit weight measured at location A using interval mapping
480 and detected nine QTLs on chromosomes 2, 3, 5, 7, 8, and 11. In our study, we detected eight
481 QTLs with the *IBD.MQMkin_F* model. We fitted QTLs in the model *IBD.MQMkin* to
482 estimate the percentage of phenotypic variation explained by the QTLs. The eight QTLs
483 identified in our study slightly increased the explained percentage of phenotypic variation,
484 from 51% in Pascual et al. (2015) to 56% now. For the fruit weight measured at location B,
485 we detected the three QTLs on chromosomes 2, 3, and 11 in the same region that has been
486 previously detected using interval mapping. The explained percentage of phenotypic
487 variation of 33% was close to 34% in the previous study. The parental effects on those QTLs
488 estimated from the *IBD.MQMkin_F* model can be conformed with parental performance. For
489 instance, The parental effects at all detected QTLs of FW showed that parent *Cervil*, with the
490 lightest fruit, contributed negative values to fruit weight in both A and B locations.

491 5. Discussion

492 5.1. MPPs show design-specific properties

493 Different MPPs are constructed for different goals. A diallel mating design of carrot was
494 constructed to dissect the genetic architecture of shoot growth by estimating the general and
495 specific combining abilities and non-additive effects (Turner et al., 2018); a maize NAM
496 population was proven to be able to capture small effect QTLs when they were shared by
497 families (Ogut et al., 2015); MAGIC populations allow a large set of QTLs segregating with
498 higher resolution and thus can increase the chance of detecting QTLs (Mackay et al., 2014).
499 Another study compared the different designs of biparental, multiparental, and association

500 panels in the context of the genome sequencing era to show their complementarity in genetic
501 studies (Pascual et al., 2016).

502 This study simulated diallel, NAM, and MAGIC designs using four real Arabidopsis inbred
503 lines. We focused on those MPP designs because they are often used in genetic research and
504 breeding programs, and NAM and MAGIC designs are components of other more general
505 designs that can also be analyzed using this framework.

506 QTL mapping results are impacted by the crossing schemes between parents in MPP designs
507 and the genomic background. Probabilities for segregation differ between families within
508 MPP designs owing to the specific crosses between parents. For our *simQTL3* segregating in
509 only one family of the simulated NAM design, even the advanced IBD-based models could
510 not improve the mapping results, while this QTL could be detected with higher TPR and
511 mapping resolution in both diallel and MAGIC designs. The reason might be that the joint
512 family QTL mapping in NAM designs favors the large-effect QTLs or QTLs shared by most
513 families (Bajgain et al., 2016; Garin et al., 2017; Ogut et al., 2015). The other QTLs, *simQTL2*
514 and *simQTL3* were expected to have the same allele frequency in the simulated MAGIC or
515 diallel design, but it turned out that *simQTL2* could be detected with higher TPR and mapping
516 resolution than *simQTL3*. The reason is that chromosome 2, where *simQTL2* is located,
517 provided a more contrasting genomic background combining *parent1* with other parents than
518 chromosome 3.

519 We expect multilevel relatedness between individuals as being full-sib, half-sib, or unrelated
520 depending on the specific MPP design. In the simulated MAGIC design, each progeny's
521 genome was the uniformly reshuffled genome of all parents (Dell'Acqua et al., 2015; Ongom
522 et al., 2018; Pascual et al., 2015), and thus no apparent clustering or grouping was observed
523 in the PCA plot. In simulated diallel and NAM design, we observed multilevel relatedness of
524 offspring within and across families: the full-sib progenies gathering in their family clusters
525 were more genetically correlated than the half-sib progenies sharing one common parent.

526 Different MPP designs require different QTL analysis models with the first question being
527 the choice of using IBS or IBD information in the genetic predictors and a second question
528 concerning how to deal with individual relatedness within and across families.

529 *5.2. The IBD is informative to reflect the genome origins*

530 We used the observed IBS with 5% of missing genotypes to estimate IBD probabilities and
531 then compared IBS-based with IBD-based models. Parental origins can be ambiguous at non-
532 segregating, missing, or mis-genotyped loci based on as IBS information. Therefore, we
533 inferred IBD probabilities by using the pedigree information and the whole genome (Zheng
534 et al., 2015, 2018). Generally, IBD-based models were more effective than the IBS-based
535 model (*IBS.Kin*) concerning the TPRs and mapping resolutions for major QTLs.

536 A reliable approach for precise IBD computations is fundamental for inferring parental
537 origins and performing IBD-based QTL mapping, but only a few methodologies are
538 available, and most of them were limited to specific MPP designs (Broman et al., 2018;
539 Verbyla et al., 2014). In this study, we used a general hidden Markov model framework to
540 construct parental origins (Zheng et al., 2015, 2018), which was successfully extended to all
541 kinds of MPP designs.

542 IBD information is not only useful as a basis for genetic predictors in the QTL mapping
543 models but also valuable in consensus map construction. The traditional process of consensus
544 map construction can be tedious in the MPP context, including marker cleaning, grouping,
545 ordering (Taylor, 2018; Wu et al., 2008), and map integrating (Endelman et al., 2014). In
546 future work, we can infer the recombination between markers for genetic map construction
547 using IBD probabilities to simplify and optimize the MPP analysis framework from the
548 beginning (Zheng et al., 2019).

549 *5.3. Modeling family-specific VCOV structure on the residual term is recommendatory*

550 Owing to the major and minor QTLs with varying segregation configurations in each family,
551 the family-specific distribution of phenotypes motivated us to model a family-specific VCOV
552 structure on the residual term to account for the family-genetic background. However, based
553 on the simulated diallel and NAM designs, there is no substantial evidence to show the
554 advantage of using *IBD.SQM_F* model over *IBD.SQM_U* model. Another study also reports
555 that modeling a heterogeneous VCOV structure on the residual term may not always improve
556 the mapping results (Garin et al., 2017). One of the reasons might be the limited family size,
557 e.g., a family size of 50 or 100 in simulated diallel or NAM designs may not have been big
558 enough to reveal the heterogeneity of variance components between families. Still, modeling
559 a heterogeneous error can be advantageous in the fitting of single-locus QTL models in initial
560 genome scans, whereas in the latter stages of the building of a multi-locus QTL model, the
561 advantage of a heterogeneous error diminishes because most of the genetic effects have been
562 incorporated in the QTL structure leaving the residual less heterogeneous.

563 Non-genetic factors can also cause family-specific variation. It is common in an MPP
564 breeding program where each family is separately established and subjectively phenotyped
565 by different breeders in specific locations. Therefore, we recommend modeling a family-
566 specific VCOV structure on the residual term to account for the potential family background
567 due to both genetic and non-genetic reasons.

568 *5.4. Imposing a kinship structure on the polygenic effect accounts for individual relatedness*

569 Including only significant positions as cofactors from the initial genome-wide scans can lead
570 to ignoring part of the genetic variance and missing heritability (Myles et al., 2009). To deal
571 with smaller QTLs that may go unnoticed, we incorporated a polygenic effect whose VCOV
572 structure is described by a kinship matrix. A study on a three-way barley cross has shown

573 that the inclusion of the kinship VCOV structure containing co-ancestry information can
574 avoid unrealistic marker-trait associations (Marcos Malosetti et al., 2011). In our study, the
575 re-analysis of the empirical tomato MAGIC population with the polygenic term allowed us
576 to detect more QTLs for fruit weight measured in location A with the relatively small BIC.
577 In the simulated MAGIC design, adding a polygenic term (*IBD.Kin_F*) increased TPRs and
578 mapping resolutions for all *simQTLs* compared with *IBD.SQM_F* and *IBD.MQM_F*.

579 Population-based mapping approaches incorporating individual relatedness are widely
580 applied to association panels. In specific MPP designs (e.g., diallel and NAM), multilevel
581 relatedness exists between individuals as being full-sib, half-sib, and unrelated within and
582 across families. A priori no population structure is expected in standard MAGIC designs, but
583 MAGIC lines may still show complicated realized genetic relationships. Multilevel
584 relatedness can be corrected by using a general QK model where the Q matrix accounts for
585 family structure, and the pairwise relationship matrix K deals with the individual relatedness
586 (Yu et al., 2006). Likewise, this study modeled the family-specific residual term to correct
587 for family structure and imposed a kinship VCOV structure on the polygenic term to
588 incorporate multilevel relatedness.

589 *5.5. The advanced IBD-based model works well for general MPP designs*

590 To sum up, we can refer to conceptions from family-based and population-based mapping
591 approaches to explain the efficiency of our approach. Family-based QTL mapping assumes
592 QTL effects to be multi-allelic and referring to parental origins. For their estimation, we need
593 design matrices that are functions of IBD probabilities. Popular population-based mapping
594 strategies deal with multilevel relatedness by imposing family-specific and kinship-based
595 VCOV structures on respectively the non-genetic residual and the polygenic terms in a mixed
596 model approach. Family-based and population-based mapping approaches complement each
597 other, and their synthesis in an advanced IBD-based mixed model approach
598 (*IBD.MQMkin_F*) offers us a robust and comprehensive solution to map QTL in general MPP
599 designs. In our simulation study, we observed no case where the *IBD.MQMkin_F* model
600 performed significantly worse than other IBD-based models in terms of TPR and mapping
601 resolution. Most results from empirical MPP designs also show that the unified
602 *IBD.MQMkin_F* model detected most QTLs with relatively small BIC, and the major QTLs
603 were comparable to those identified by previous studies using alternative mapping tools.

604 **Declarations**

605 **Author contribution statement** All authors contributed to developing the theoretical
606 framework, editing the manuscript, and approving the final version. MPB configured the
607 fundamental of fitting multi-QTL in the mixed model, and WHL extended this work to
608 general MPPs with options to fit polygenic effects. CZ developed the software for the IBD
609 computation and helped to interpret the output. RJ provided empirical data sets from Rijk
610 Zwaan and gave constructive feedback to optimize the framework. FvE and MPB
611 coordinated this research and provided critical feedback regarding the study design.

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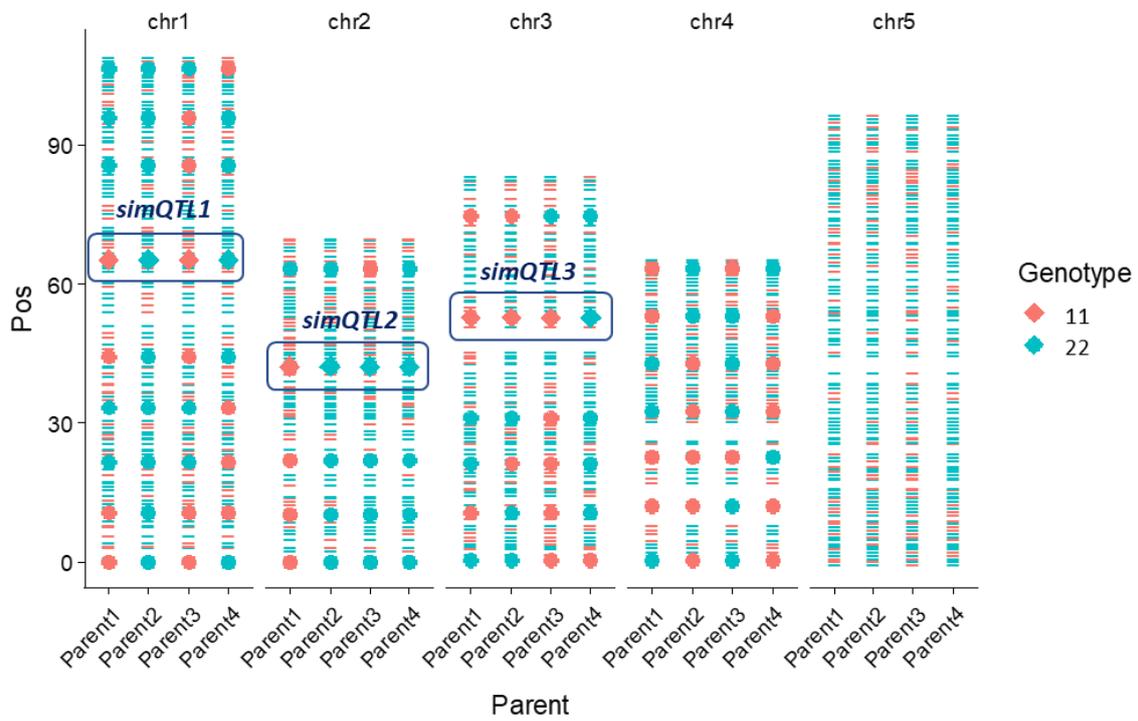
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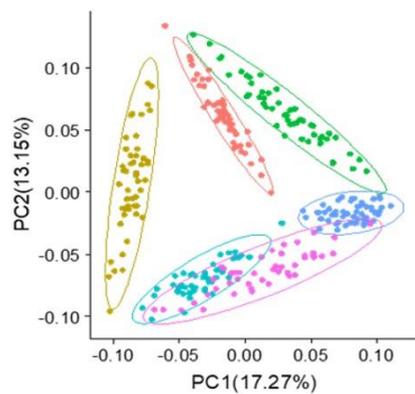
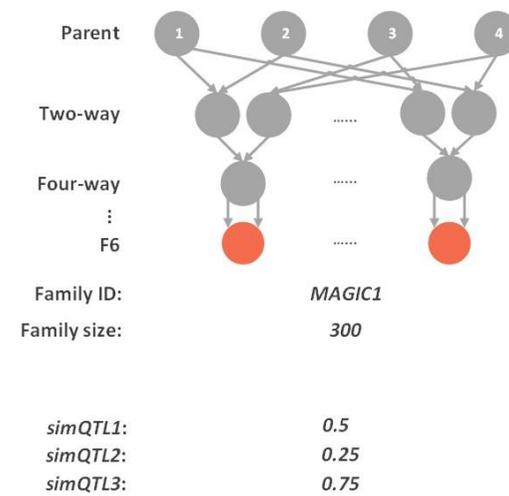
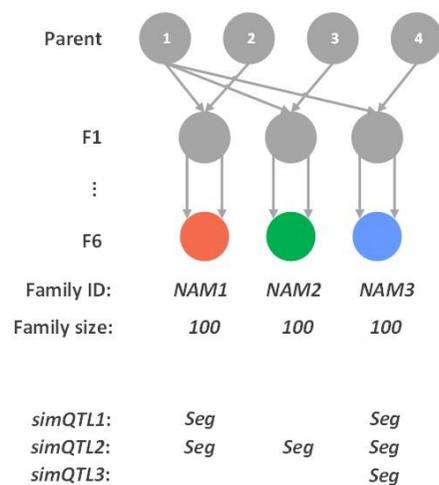
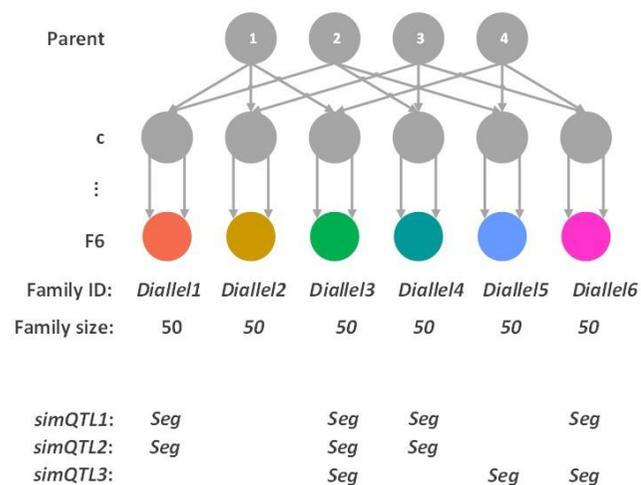
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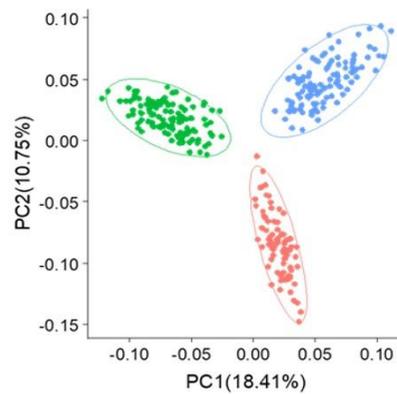
803 **Figures and Tables**

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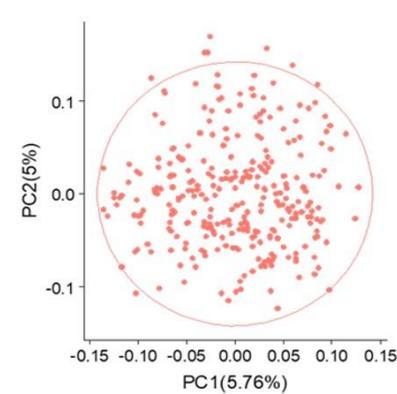
Figure 1 Simulated positions and genotypes for three major QTLs (diamonds) with an additive allelic substitution effect of 0.4 and the allele labelled as 1 increasing the trait. For 24 minor QTLs (dots) the additive allelic substitution effect was 0.1 with the allele labelled as 1 again increasing the trait.



A



B



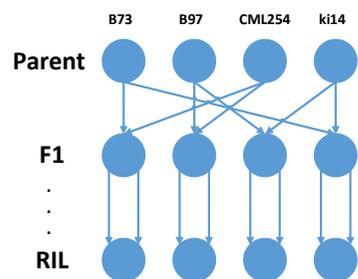
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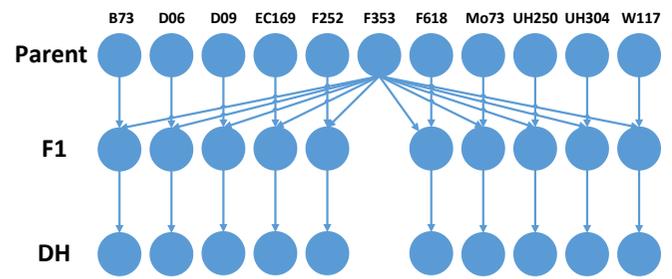
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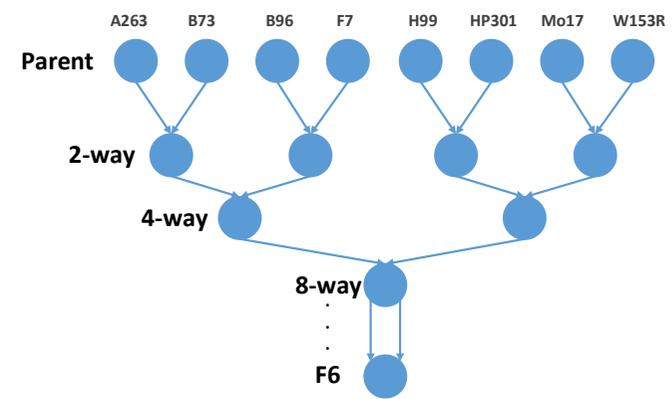
Figure 2 **Upper panel** the crossing schemes of simulated MPP designs. **Middle panel** Families with segregating QTLs or allele frequencies at those QTLs. **Bottom panel** PCA plots for progenies based on simulated genome data for **A. diallel**, **B. NAM**, and **C. MAGIC** designs.



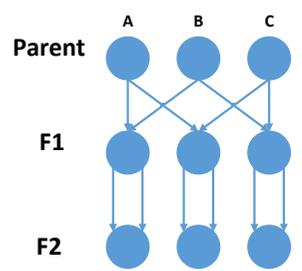
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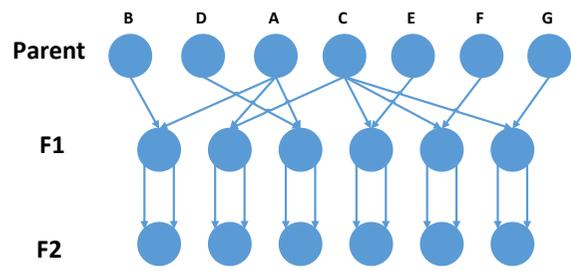
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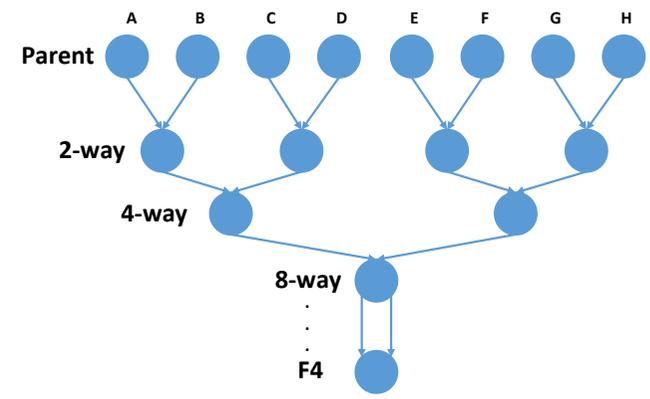
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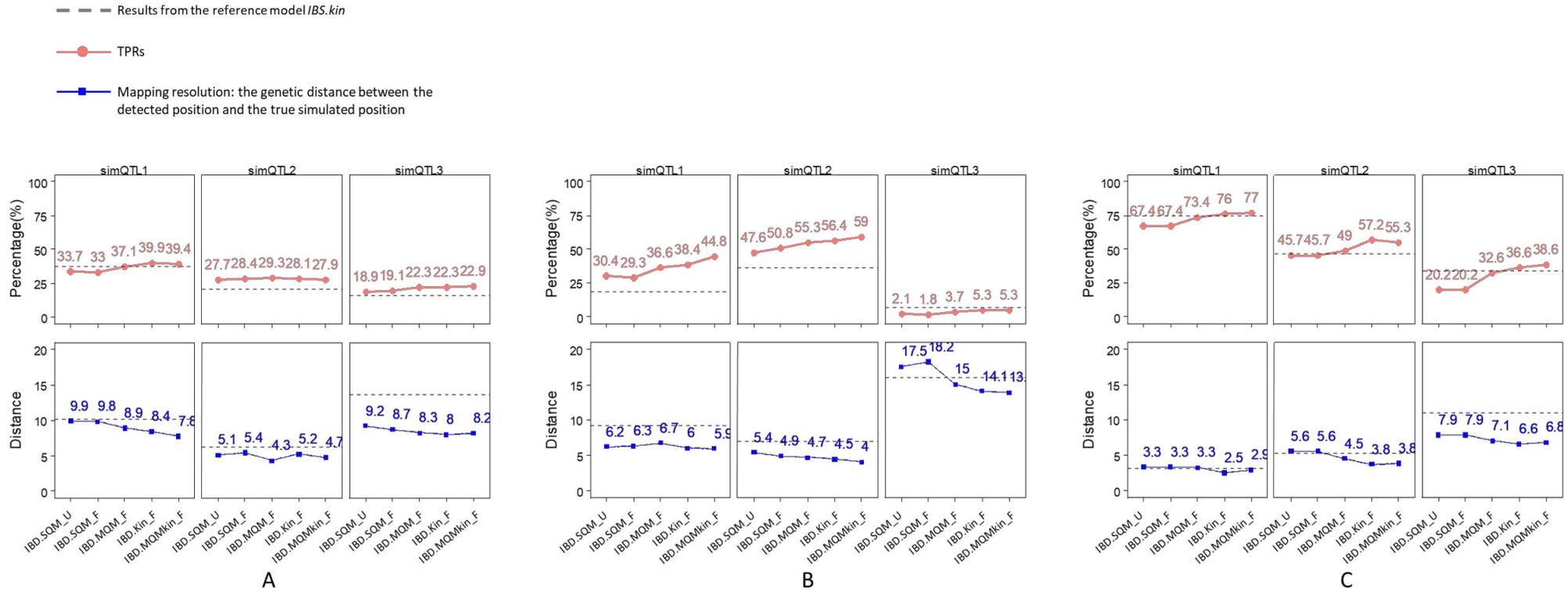
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Figure 3 The crossing schemes of empirical MPP designs: A. maize diallel RIL design B. maize NAM DH design C. maize eight-way MAGIC F6 design D. tomato diallel F2 design E. tomato connected NAM F2 design, and F. tomato eight-way MAGIC F4 design.

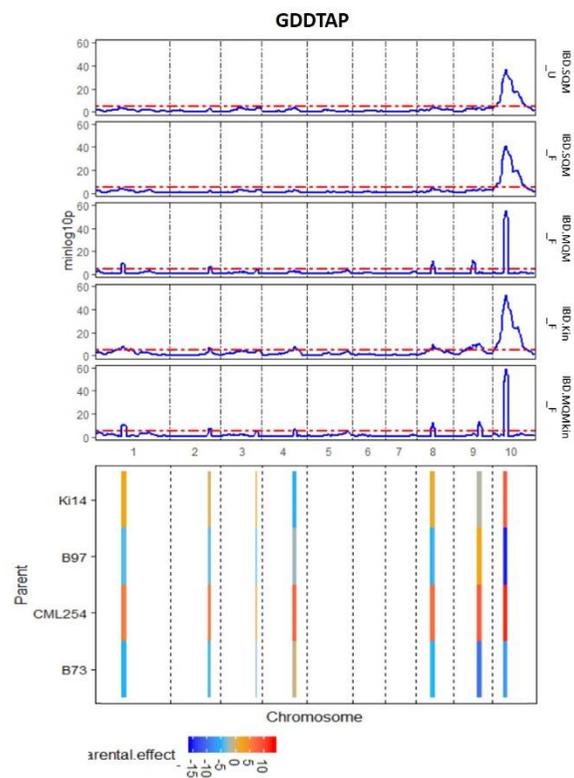


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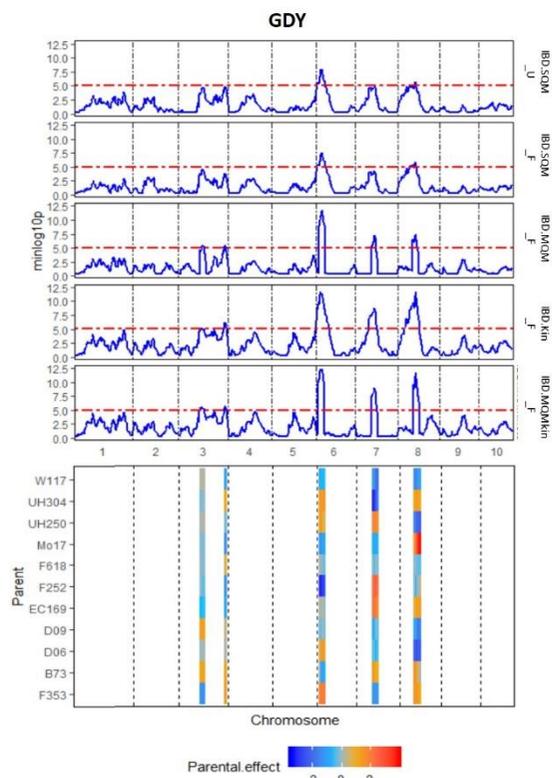
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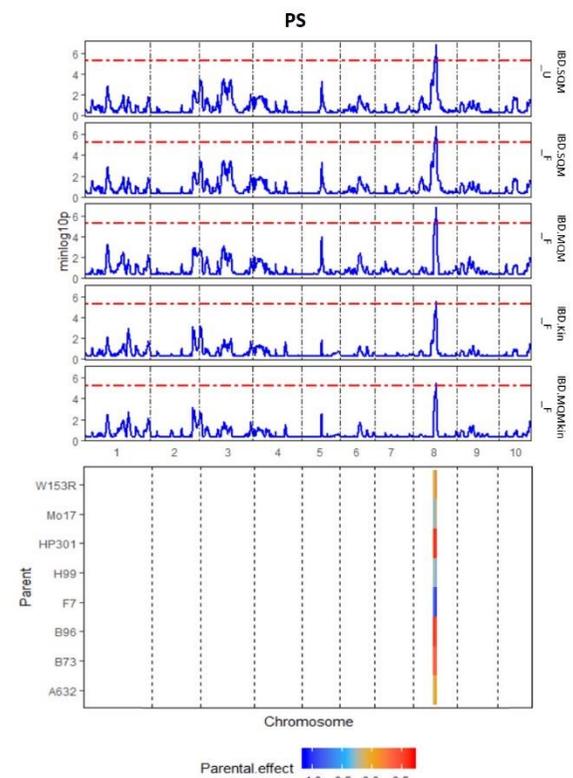
Figure 4 Model comparison in terms of True Positive Rates (dots with red lines) in percentages and mapping resolutions (squares with blue lines) in cM for simulated QTLs in A. diallel B. NAM, and C. MAGIC designs.



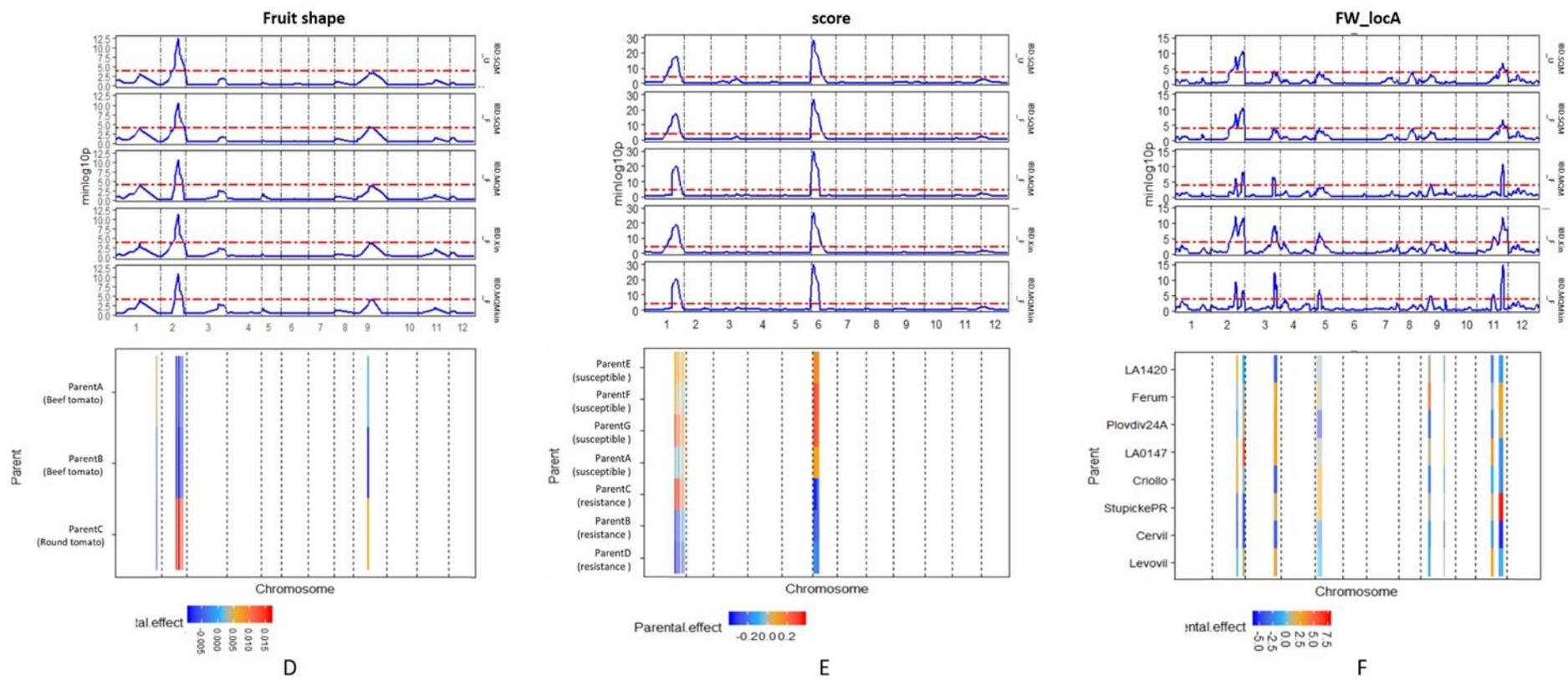
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Figure 5 Mapping results of some traits in the empirical MPP designs: **A.** maize diallel, **B.** maize NAM, **C.** maize MAGIC, **D.** tomato diallel, **E.** tomato NAM and **F.** tomato MAGI using the five IBD-based mixed models. **Upper panel** QTL profiles from the five IBD-based mixed model approaches. **Bottom panel** Estimation of parental effects at QTLs detected by model selected based on BIC. Mapping results of other traits are provided in the Appendix.

822 Table 1 Overview of QTL mapping models

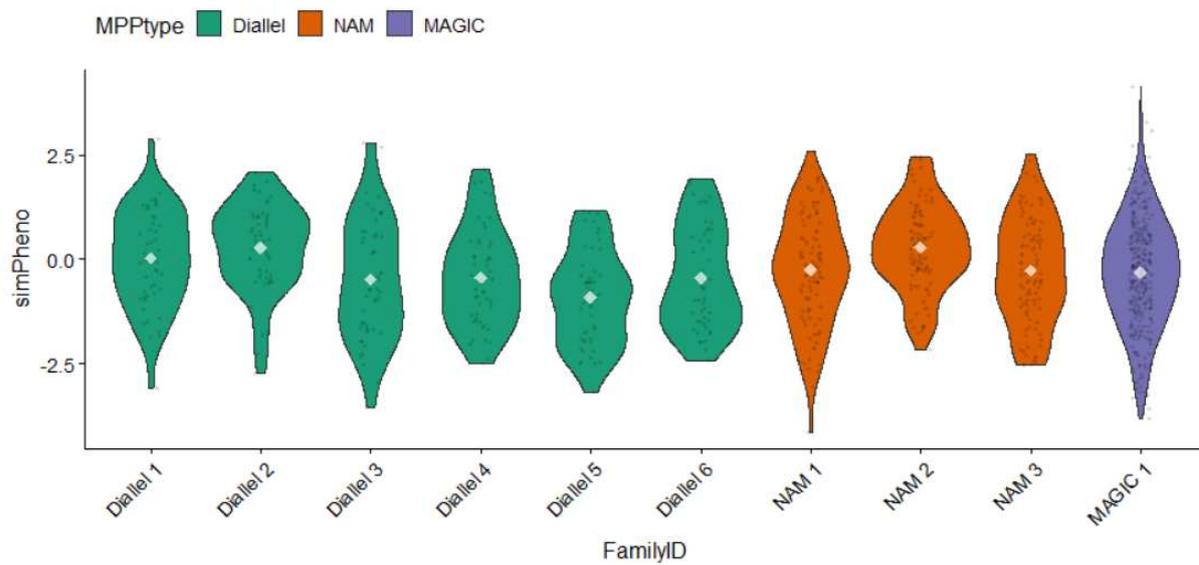
Model name	Genetic predictor	Genome background	Residual structure	Formula	VCOV structure of random terms
<i>IBD.SQM_U</i>	<i>IBD</i>	-	<i>Homogeneous (Uniform)</i>	$Y = X\beta + M_q a_q + \varepsilon$	$a_q \sim N(0, I_P \sigma_q^2)$ $\varepsilon \sim N(0, I_N \sigma_\varepsilon^2)$
<i>IBD.SQM_F</i>	<i>IBD</i>	-	<i>Family-specific</i>	$Y = X\beta + M_q a_q + \varepsilon$	$a_q \sim N(0, I_P \sigma_q^2)$ $\varepsilon \sim N(0, \bigoplus_{k=1}^F I_{n_k} \sigma_{e_k}^2)$
<i>IBD.MQM_F</i>	<i>IBD</i>	<i>Cofactors</i>	<i>Family-specific</i>	$Y = X\beta + \sum_{c \neq q} M_c a_c + M_q a_q + \varepsilon$	$a_q \sim N(0, I_P \sigma_q^2)$ $a_c \sim N(0, I_P \sigma_c^2)$ $\varepsilon \sim N(0, \bigoplus_{k=1}^F I_{n_k} \sigma_{e_k}^2)$
<i>IBD.Kin_F</i>	<i>IBD</i>	<i>Polygenic term</i>	<i>Family-specific</i>	$Y = X\beta + M_q a_q + g + \varepsilon$	$a_q \sim N(0, I_P \sigma_q^2)$ $g \sim N(0, K \sigma_g^2)$ $\varepsilon \sim N(0, \bigoplus_{k=1}^F I_{n_k} \sigma_{e_k}^2)$
<i>IBD.MQMkin_F</i>	<i>IBD</i>	<i>Cofactors polygenic term</i>	<i>Family-specific</i>	$Y = X\beta + \sum_{c \neq q} M_c a_c + M_q a_q + g + \varepsilon$	$a_q \sim N(0, I_P \sigma_q^2)$ $a_c \sim N(0, I_P \sigma_c^2)$ $g \sim N(0, K \sigma_g^2)$ $\varepsilon \sim N(0, \bigoplus_{k=1}^F I_{n_k} \sigma_{e_k}^2)$
<i>IBS.kin (GWAS model)</i>	<i>IBS</i>	<i>Polygenic term</i>	<i>Homogeneous (Uniform)</i>	$Y = X\beta + X_q \lambda_q + g + \varepsilon$	$g \sim N(0, K \sigma_g^2)$ $\varepsilon \sim N(0, I_N \sigma_\varepsilon^2)$

824 *Table 2 Summary of empirical MPP datasets.*

Crop	MPP designs	Family types	# of families	# of progenies	# of markers	Traits	Reference
Maize	Diallel	RIL	4	569	1339	Days to anthesis (DTA), days to silking (DTS), plant height (PH), ear height (EH), and total leaf number (TLN)	(Coles et al., 2010)
	NAM	DH	11	841	22122	Dry grain yield (DGY), PH	(Garin et al., 2017)
	MAGIC	F6	8	303	41473	Pollen shed (PS), plant height (PH), ear height (EH), and grain yield (GY)	(Dell'Acqua et al., 2015)
Tomato	Diallel	F2	3	248	456	Fruit shape	-
	NAM	F2	7	718	593	Resistance	-
	MAGIC	F4	8	397	1345	Fruit weight (FW)	(Pascual et al., 2015)

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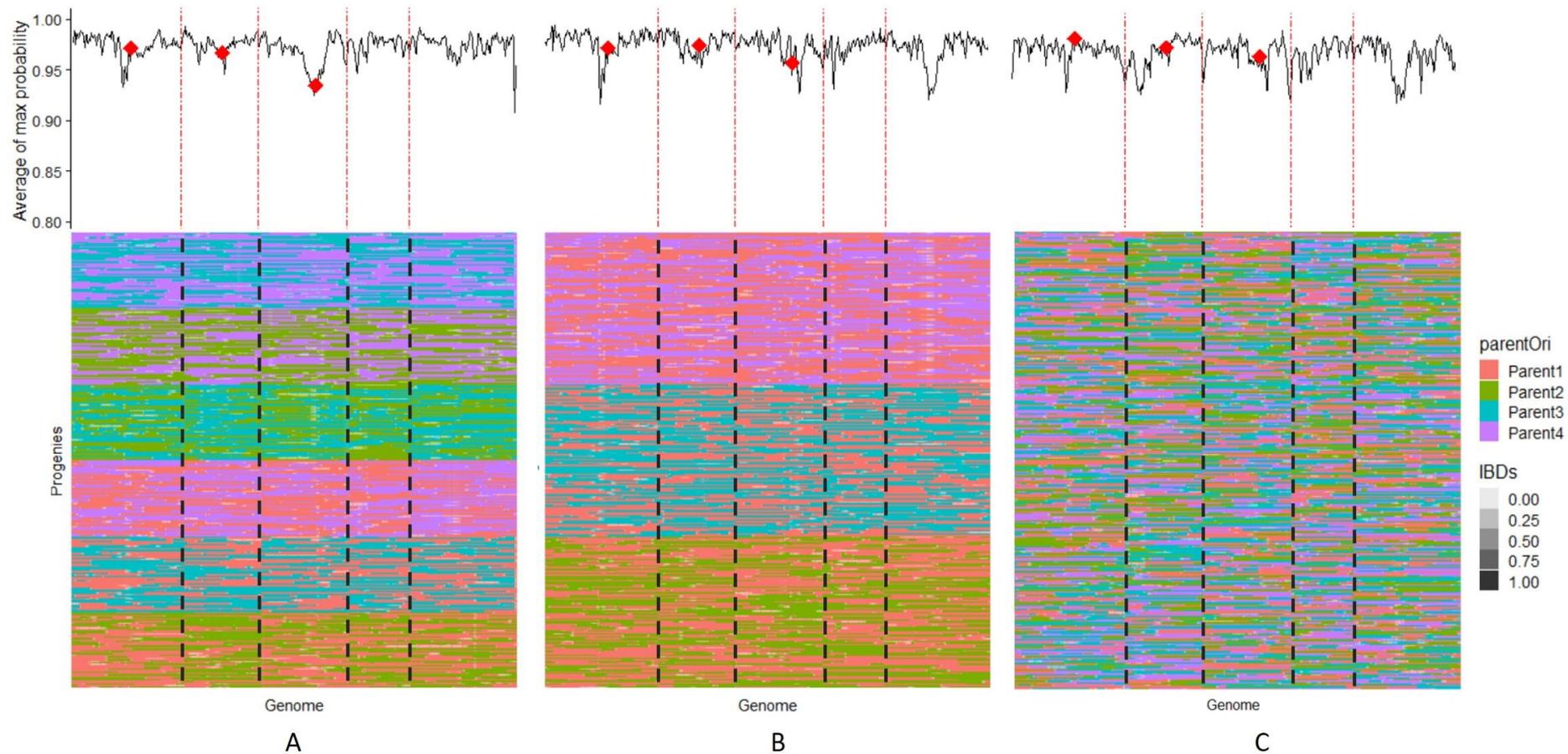
826 **Appendix**



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Figure S1 Example of simulated phenotypic distributions within families of different MPP designs.

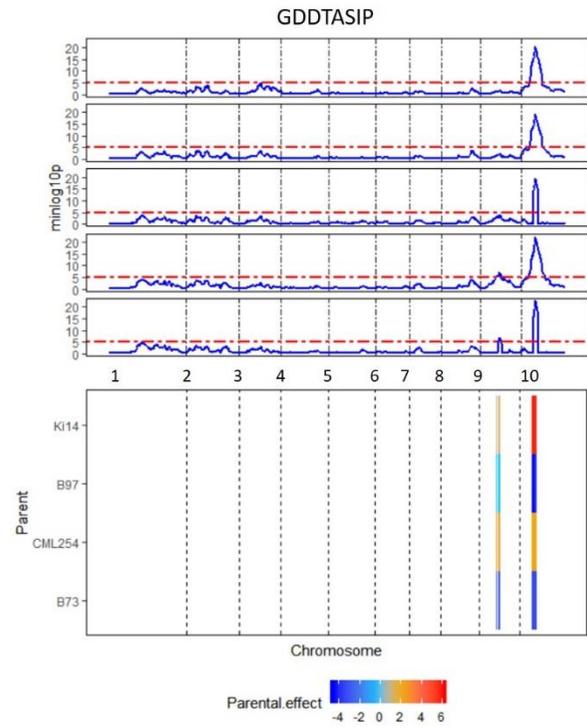
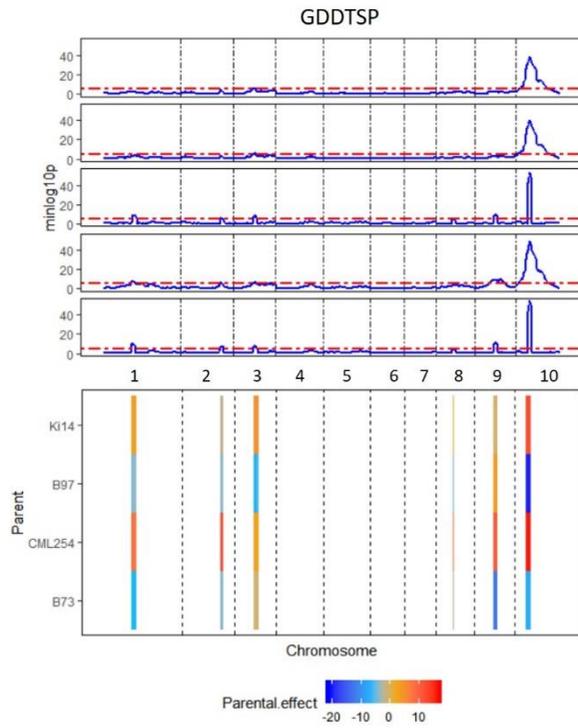
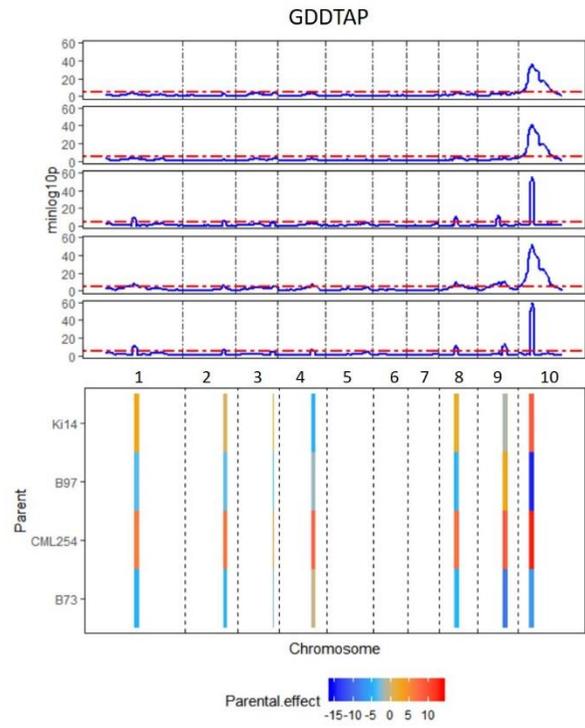


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Figure S2 **Upper panel** Average maximum IBD probabilities across the genome, where diamonds in red indicate positions of simulated major QTLs. **Bottom panel** Visualization of progeny genome construction referring to parent origins in IBDs for simulated **A.** diallel **B.** NAM, and **C.** MAGIC designs.

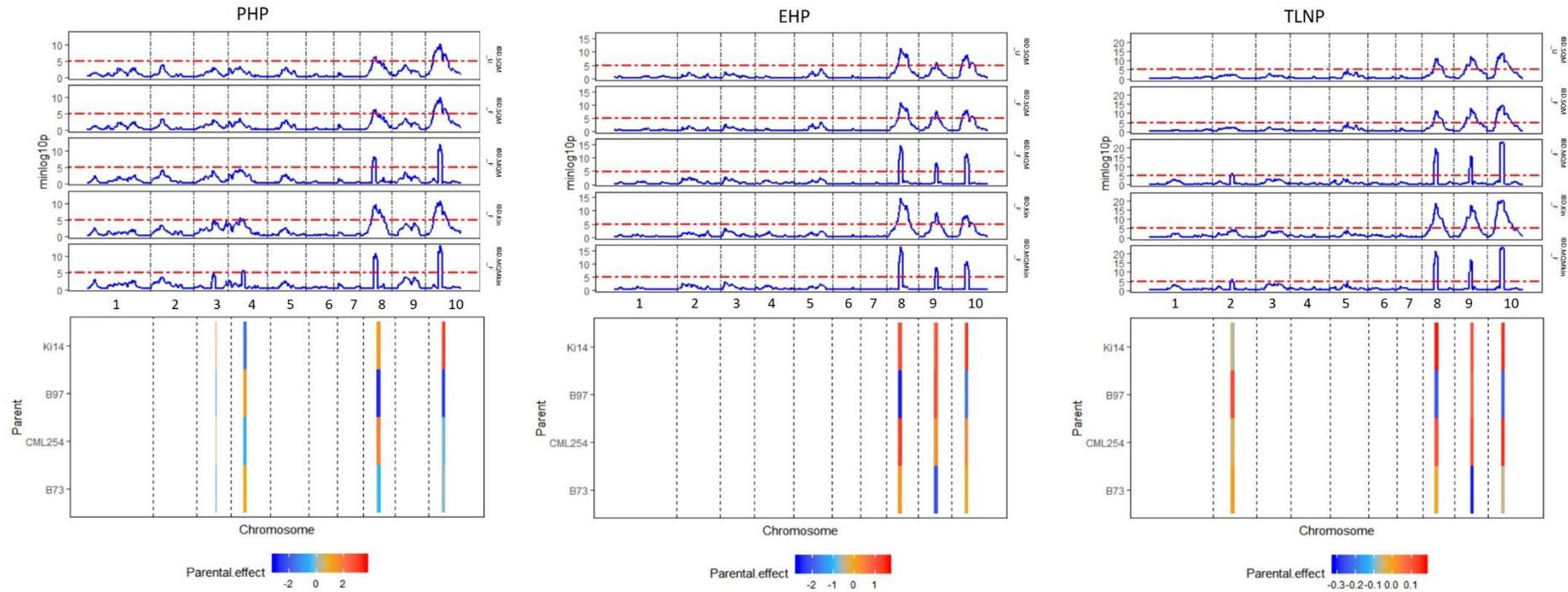


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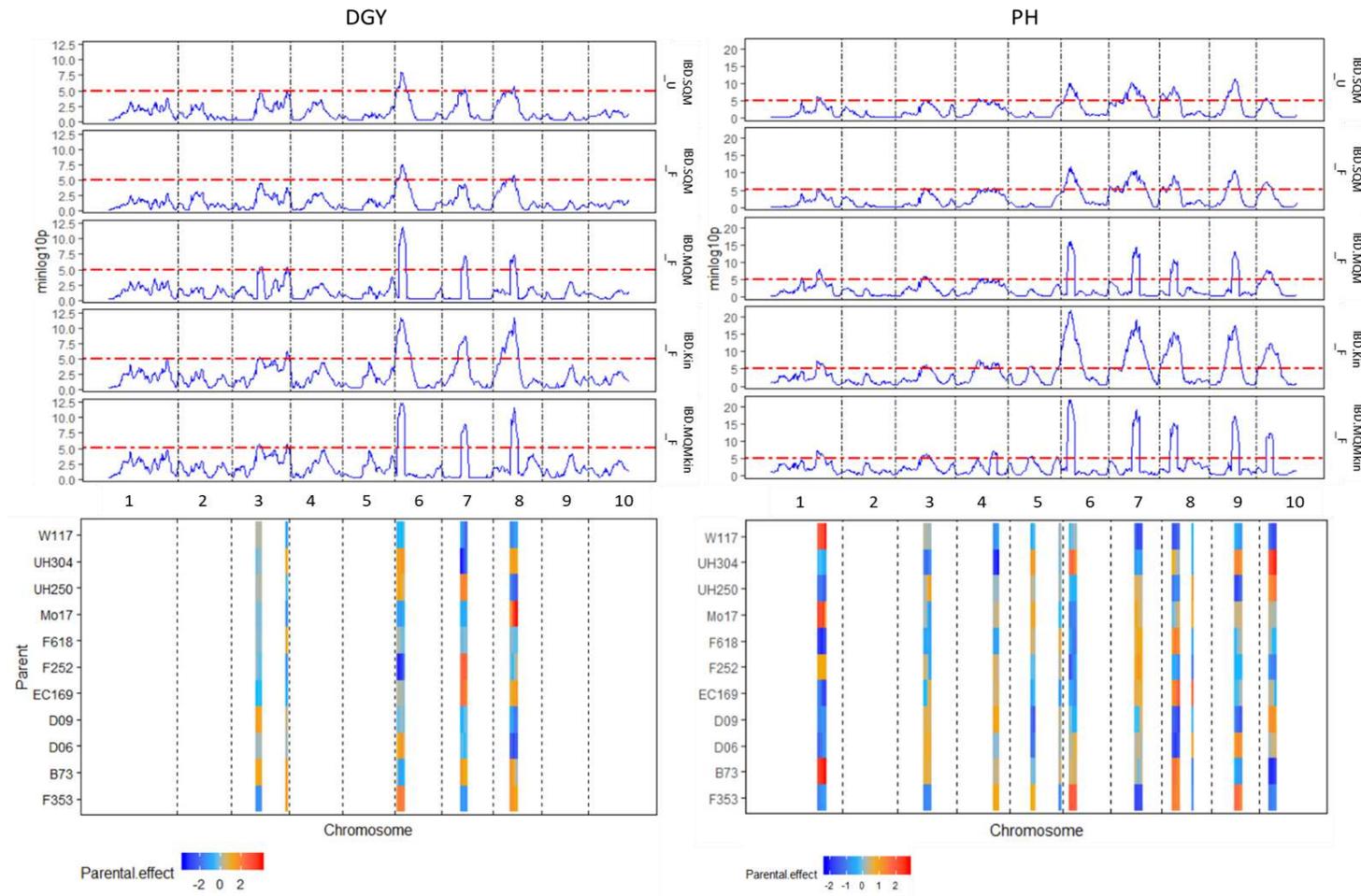


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Figure S3 Mapping results for the empirical maize diallel design using the five IBD-based mixed models. **Upper panel** QTL profiles from the five IBD-based mixed model approaches. **Bottom panel** Estimation of parental effects at QTLs detected by a model selected on the basis of BIC.



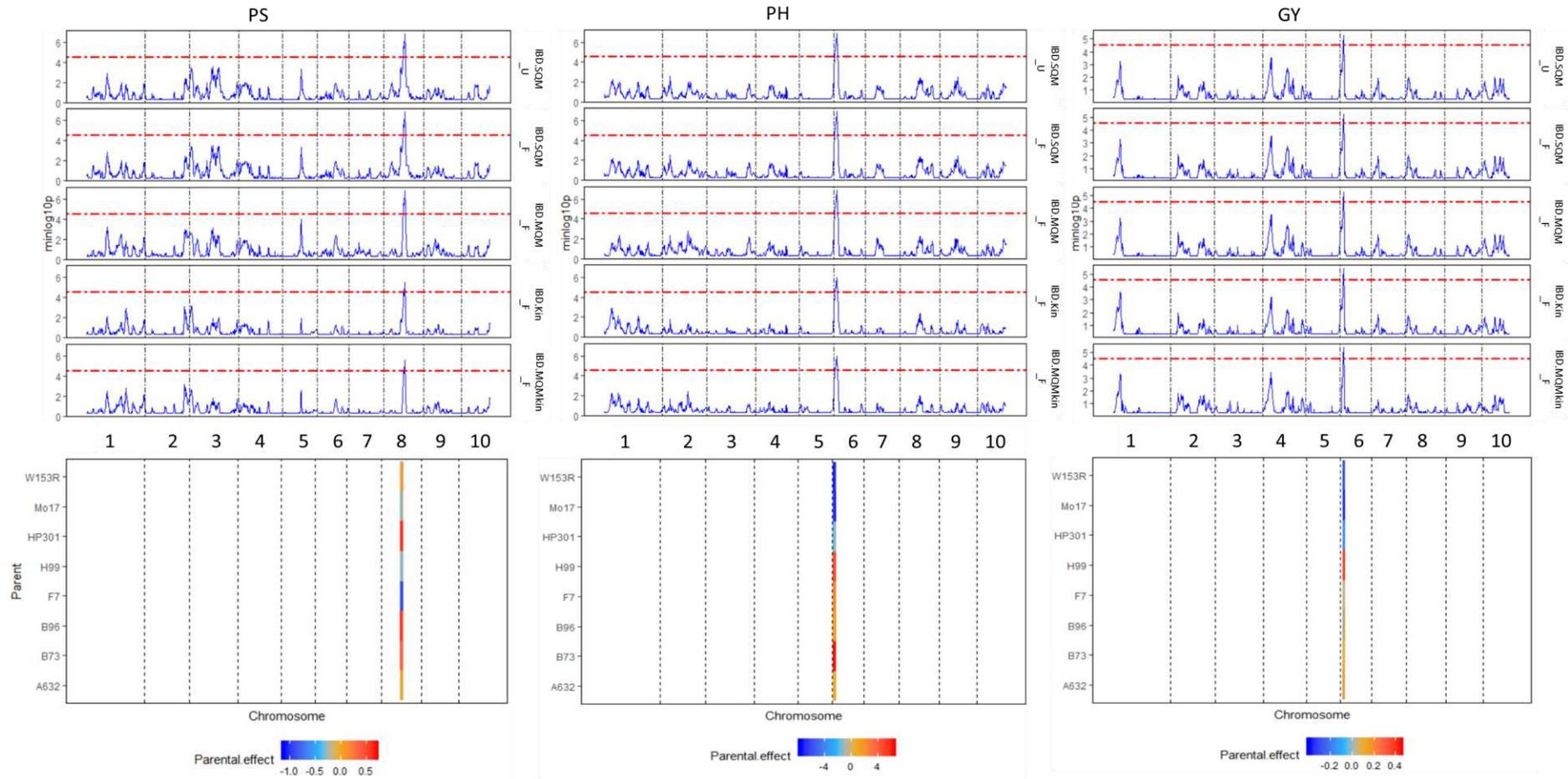
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Figure S4 Mapping results for the empirical maize NAM using the five IBD-based mixed models. **Upper panel** QTL profiles from the five IBD-based mixed model approaches. **Bottom panel** Estimation of parental effects at QTLs detected by the model with the smallest BIC among the five models.

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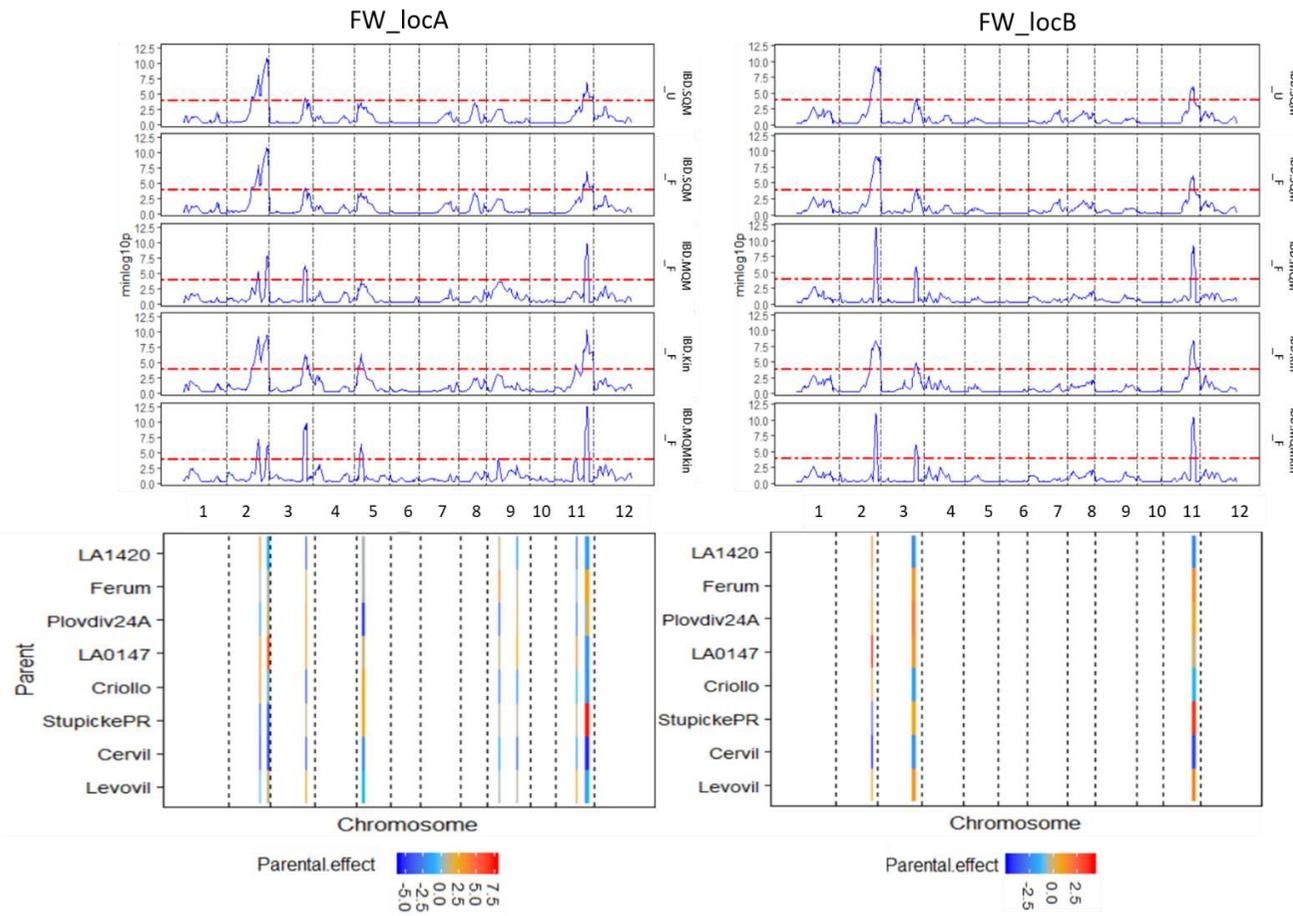


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Figure S5 Mapping results for the empirical maize MAGIC using the five IBD-based mixed models. **Upper panel** QTL profiles from the five IBD-based mixed model approaches. **Bottom panel** Estimation of parental effects at QTLs detected by the model with the smallest BIC among the five models.



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Figure S6 Mapping results for the empirical tomato MAGIC design using the five IBD-based mixed models. **Upper panel** QTL profiles from the five IBD-based mixed model approaches. **Bottom panel** Estimation of parental effects at QTLs detected by the model with the smallest BIC among the five models.

849 Table S1 Summary of QTL mapping results. The number of QTLs and BIC apply to the final multi-QTL model fitted on the
 850 basis of genome scans with mentioned IBD-based mixed models.

Trait	IBD-based mixed models	Number of identified QTLs	BIC
Maize diallel			
GDDTAP	<i>IBD.SQM_U</i>	3	4522
	<i>IBD.SQM_F</i>	3	4479
	<i>IBD.MQM_F</i>	6	4416
	<i>IBD.Kin_F</i>	7	4404
	<i>IBD.MQMkin_F</i>	7	4401
GDDTSP	<i>IBD.SQM_U</i>	2	4846
	<i>IBD.SQM_F</i>	3	4803
	<i>IBD.MQM_F</i>	6	4707
	<i>IBD.Kin_F</i>	6	4689
	<i>IBD.MQMkin_F</i>	6	4695
GDDTASIP	<i>IBD.SQM_U</i>	1	3937
	<i>IBD.SQM_F</i>	1	3928
	<i>IBD.MQM_F</i>	1	3928
	<i>IBD.Kin_F</i>	2	3881
	<i>IBD.MQMkin_F</i>	2	3881
PHP	<i>IBD.SQM_U</i>	2	3388
	<i>IBD.SQM_F</i>	2	3398
	<i>IBD.MQM_F</i>	2	3397
	<i>IBD.Kin_F</i>	5	3356
	<i>IBD.MQMkin_F</i>	4	3354
EHP	<i>IBD.SQM_U</i>	3	2835
	<i>IBD.SQM_F</i>	3	2852
	<i>IBD.MQM_F</i>	3	2852
	<i>IBD.Kin_F</i>	3	2842
	<i>IBD.MQMkin_F</i>	3	2842
TLNP	<i>IBD.SQM_U</i>	3	224
	<i>IBD.SQM_F</i>	3	243
	<i>IBD.MQM_F</i>	4	225
	<i>IBD.Kin_F</i>	3	197
	<i>IBD.MQMkin_F</i>	4	201
Maize NAM			
DGY	<i>IBD.SQM_U</i>	3	4791
	<i>IBD.SQM_F</i>	2	4842
	<i>IBD.MQM_F</i>	5	4819

	<i>IBD.Kin_F</i>	5	4698
	<i>IBD.MQMkin_F</i>	5	4698
	<i>IBD.SQM_U</i>	8	4289
	<i>IBD.SQM_F</i>	8	4314
PH	<i>IBD.MQM_F</i>	9	4312
	<i>IBD.Kin_F</i>	10	4219
	<i>IBD.MQMkin_F</i>	11	4225
	Maize MAGIC		
	<i>IBD.SQM_U</i>	1	669
	<i>IBD.SQM_F</i>	1	669
PS	<i>IBD.MQM_F</i>	1	669
	<i>IBD.Kin_F</i>	1	672
	<i>IBD.MQMkin_F</i>	1	672
	<i>IBD.SQM_U</i>	1	663
	<i>IBD.SQM_F</i>	1	663
PH	<i>IBD.MQM_F</i>	1	663
	<i>IBD.Kin_F</i>	1	667
	<i>IBD.MQMkin_F</i>	1	667
	<i>IBD.SQM_U</i>	1	648
	<i>IBD.SQM_F</i>	1	648
GY	<i>IBD.MQM_F</i>	1	648
	<i>IBD.Kin_F</i>	1	647
	<i>IBD.MQMkin_F</i>	1	647
	Tomato diallel		
	<i>IBD.SQM_U</i>	1	1429
	<i>IBD.SQM_F</i>	3	1429
Fruit shape	<i>IBD.MQM_F</i>	2	1433
	<i>IBD.Kin_F</i>	3	1433
	<i>IBD.MQMkin_F</i>	3	1431
	Tomato NAM		
	<i>IBD.SQM_U</i>	2	145
	<i>IBD.SQM_F</i>	2	165
Disease score	<i>IBD.MQM_F</i>	2	165
	<i>IBD.Kin_F</i>	2	165
	<i>IBD.MQMkin_F</i>	2	165
	Tomato MAGIC		
	<i>IBD.SQM_U</i>	3	2719
FT_locA	<i>IBD.SQM_F</i>	3	2719
	<i>IBD.MQM_F</i>	4	2703

	<i>IBD.Kin_F</i>	5	2667
	<i>IBD.MQMkin_F</i>	8	2649
	<i>IBD.SQM_U</i>	3	2346
	<i>IBD.SQM_F</i>	3	2346
FT_locB	<i>IBD.MQM_F</i>	3	2346
	<i>IBD.Kin_F</i>	3	2327
	<i>IBD.MQMkin_F</i>	3	2327

Figures

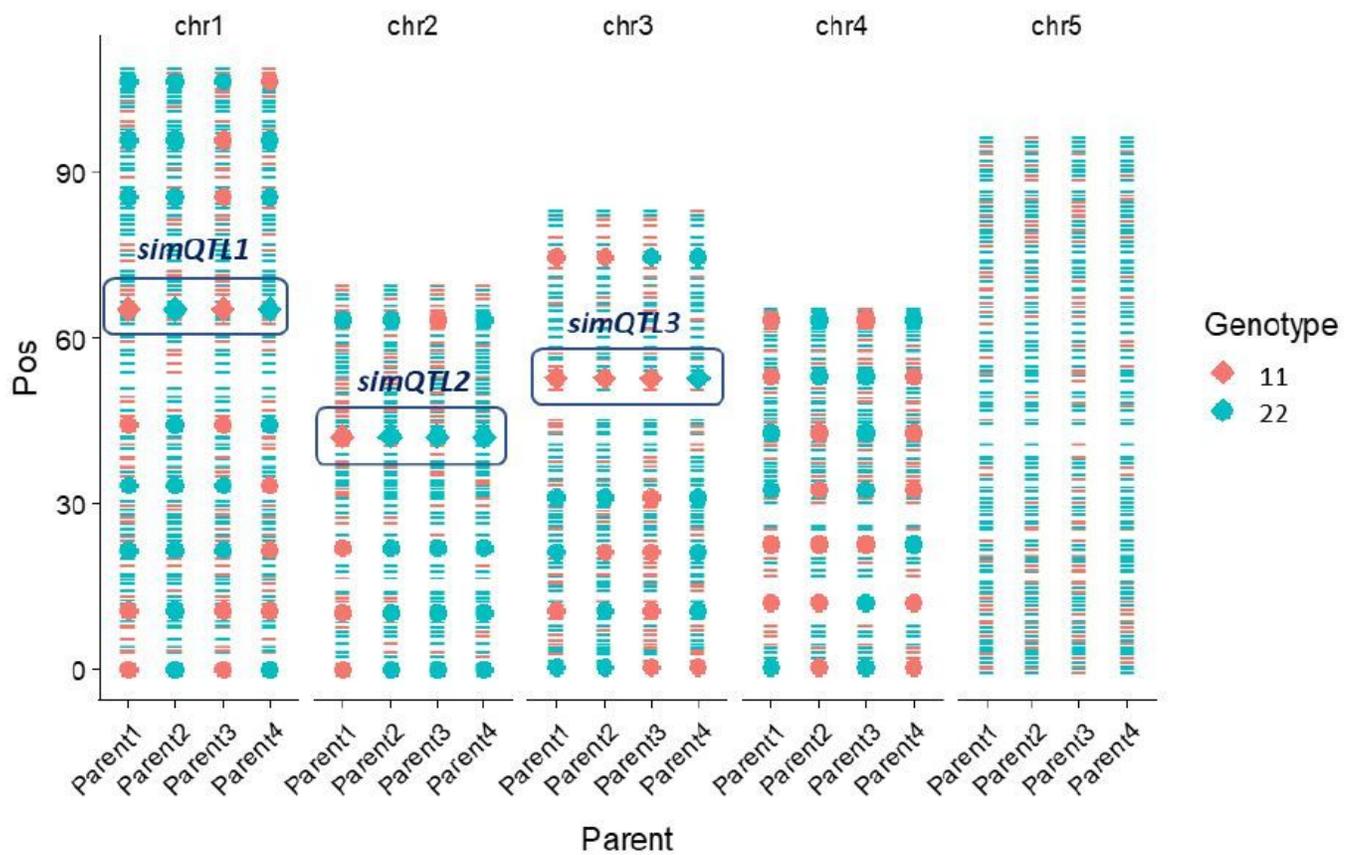


Figure 1

Simulated positions and genotypes for three major QTLs (diamonds) with an additive allelic substitution effect of 0.4 and the allele labelled as 1 increasing the trait. For 24 minor QTLs (dots) the additive allelic substitution effect was 0.1 with the allele labelled as 1 again increasing the trait.

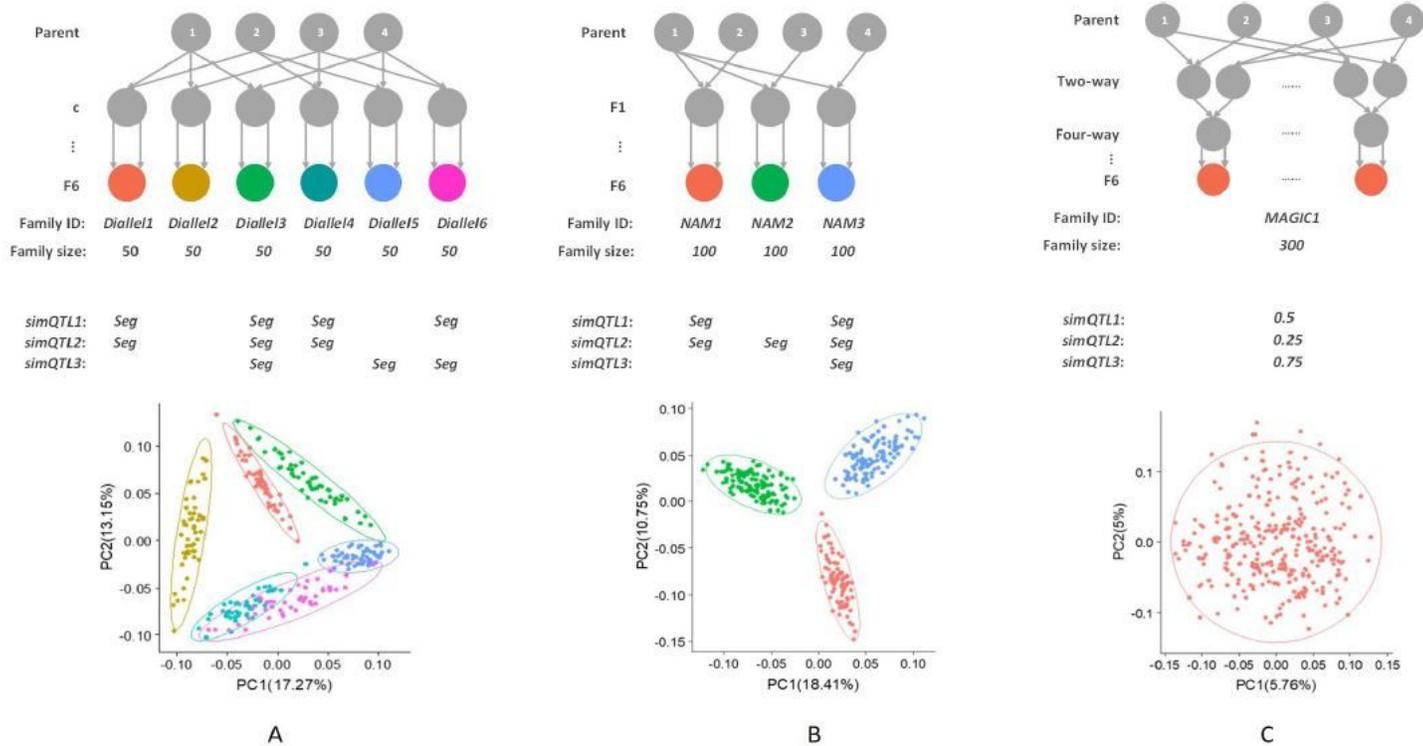


Figure 2

Upper panel the crossing schemes of simulated MPP designs. Middle panel Families with segregating QTLs or allele frequencies at those QTLs. Bottom panel PCA plots for progenies based on simulated genome data for A.diallel, B. NAM, and C. MAGIC designs.

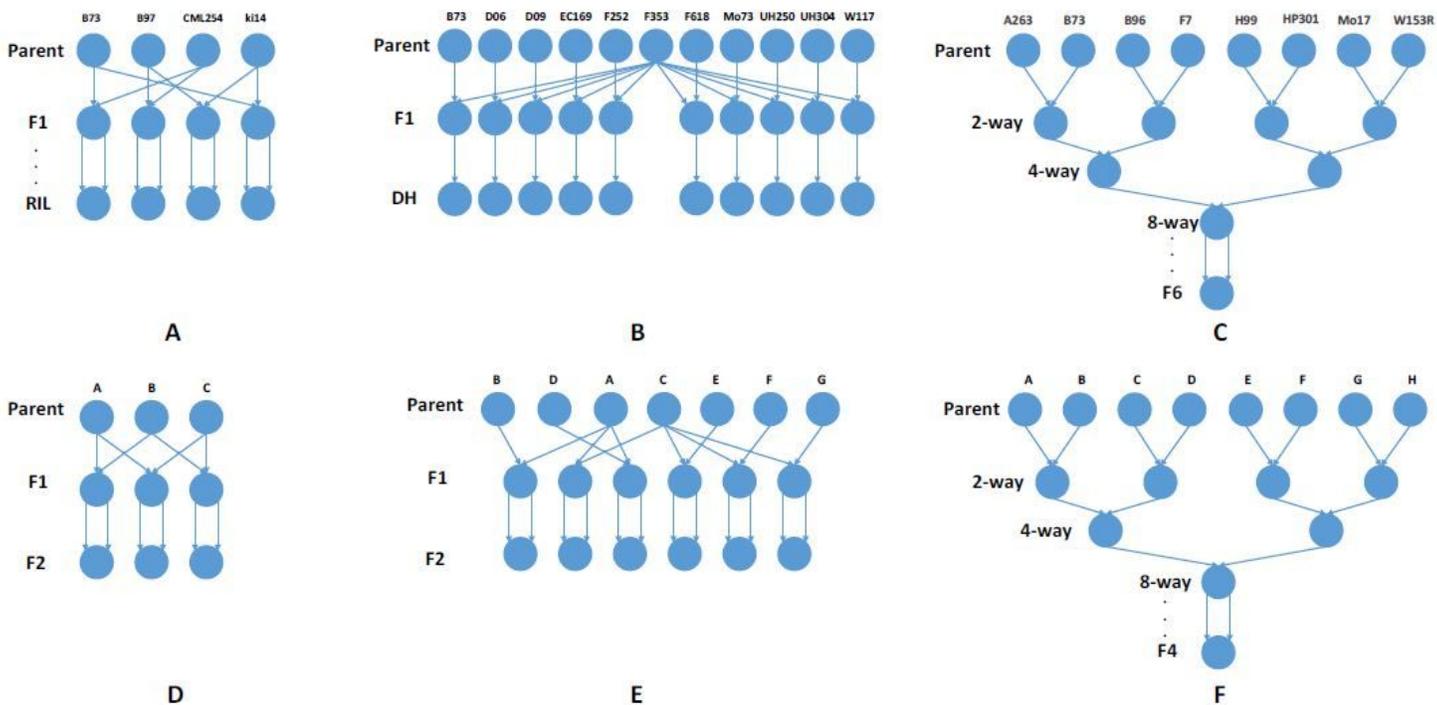


Figure 3

The crossing schemes of empirical MPP designs: A. maize diallel RIL design B. maize NAM DH design C. maize eight-way MAGIC F6 design D. tomato diallel F2 design E. tomato connected NAM F2 design, and F. tomato eight-way MAGIC F4 design.

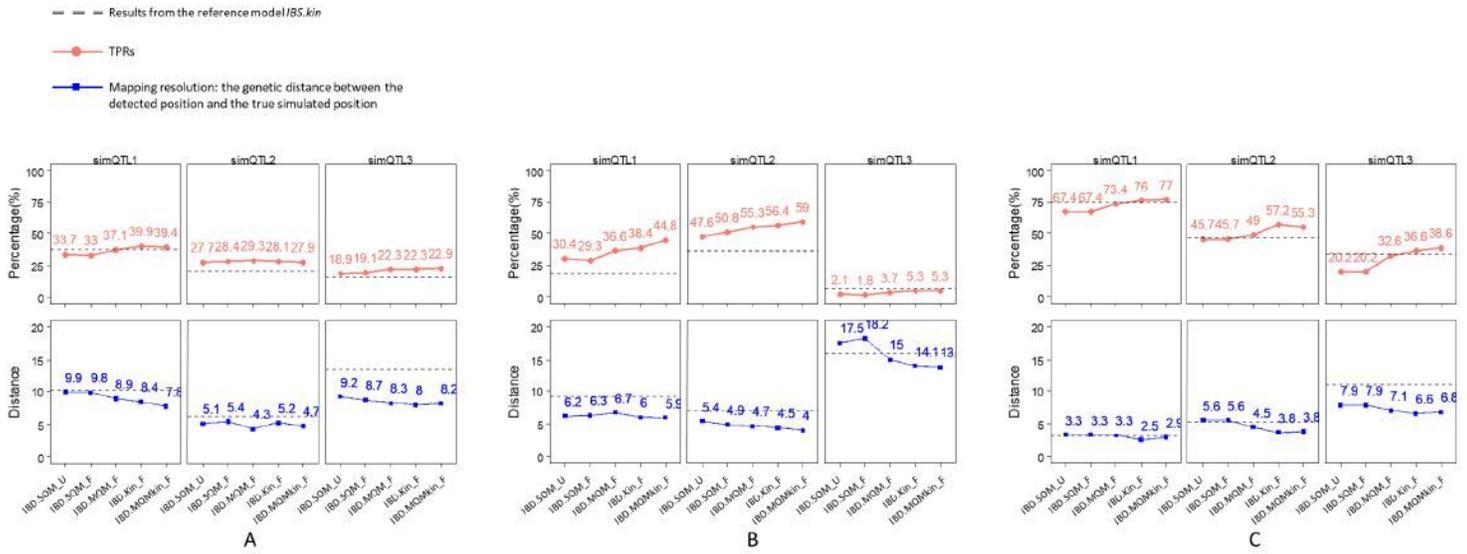


Figure 4

Model comparison in terms of True Positive Rates (dots with red lines) in percentages and mapping resolutions (squares with blue lines) in cM for simulated QTLs in A. diallel B. NAM, and C. MAGIC designs.

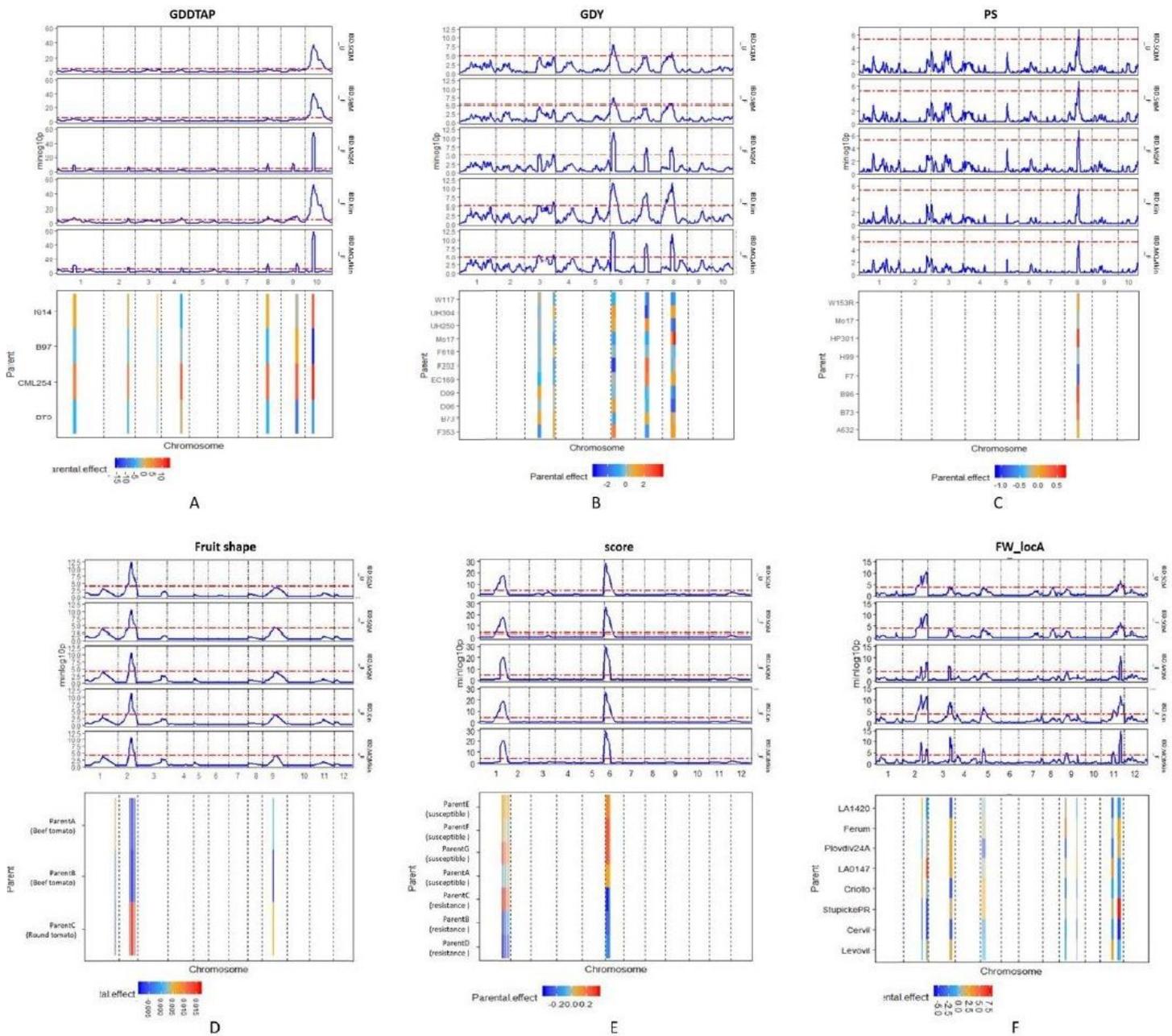


Figure 5

Mapping results of some traits in the empirical MPP designs: A. maize diallel, B. maize NAM, C. maize MAGIC, D. tomato diallel, E. tomato NAM and F. tomato MAGI using the five IBD-based mixed models. Upper panel QTL profiles from the five IBD-based mixed model approaches. Bottom panel Estimation of parental effects at QTLs detected by model selected based on BIC. Mapping results of other traits are provided in the Appendix.