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Teresa Lehnert

Research Group Applied Systems Biology, Leibniz Institute for Natural Product Research and Infection Biology  Hans Knöll Institute, Jena

Ines Leonhardt

Research Group Fungal Septomics, Leibniz Institute for Natural Product Research and Infection Biology  Hans Knöll Institute, Jena

Sandra Timme

Research Group Applied Systems Biology, Leibniz Institute for Natural Product Research and Infection Biology  Hans Knöll Institute, Jena

Daniel Thomas-Rüddel

Department of Anesthesiology and Intensive Care Medicine, Jena University Hospital, Jena

Frank Bloos

Department of Anesthesiology and Intensive Care Medicine, Jena University Hospital, Jena

Christoph Sponholz

Department of Anesthesiology and Intensive Care Medicine, Jena University Hospital, Jena

Oliver Kurzai

Institute for Hygiene and Microbiology, University of Würzburg, Würzburg

Marc Thilo Figge

Research Group Applied Systems Biology, Leibniz Institute for Natural Product Research and Infection Biology  Hans Knöll Institute, Jena

Kerstin Hünninger (✉ kerstin.huenniger@leibniz-hki.de)

Institute for Hygiene and Microbiology, University of Würzburg, Würzburg

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***Ex vivo* Immune Profiling in Patient Blood enables Quantification of innate immune Effector Functions**

Teresa Lehnert^{1,2,#}, Ines Leonhardt^{2,3,#}, Sandra Timme¹, Daniel Thomas-Rüddel^{2,4}, Frank Bloos^{2,4}, Christoph Sponholz⁴, Oliver Kurzai^{2,3,5}, Marc Thilo Figge^{1,2,6,##,*}, Kerstin Hünninger^{3,5,##,*}

¹ Research Group Applied Systems Biology, Leibniz Institute for Natural Product Research and Infection Biology - Hans Knöll Institute, Jena, Germany.

² Center for Sepsis Control and Care (CSCC), Jena University Hospital, Jena, Germany.

³ Research Group Fungal Septomics, Leibniz Institute for Natural Product Research and Infection Biology - Hans Knöll Institute, Jena, Germany.

⁴ Department of Anesthesiology and Intensive Care Medicine, Jena University Hospital, Jena

⁵ Institute for Hygiene and Microbiology, University of Würzburg, Würzburg, Germany.

⁶ Institute of Microbiology, Faculty of Biological Sciences, Friedrich Schiller University Jena, Jena, Germany.

Teresa Lehnert and Ines Leonhardt are shared first authors and contributed equally to this work. The order was determined alphabetically.

Marc Thilo Figge and Kerstin Hünninger are shared senior authors and contributed equally to this work. The order was determined alphabetically.

* Address correspondence to:

Marc Thilo Figge

e-mail address: thilo.figge@leibniz-hki.de

phone number: 0049 3641 5321416

fax number: 0049 3641 5322416

Kerstin Hünninger

e-mail address: kerstin.huenniger@leibniz-hki.de

phone number: 0049 3641 5321361

fax number: 0049 3641 9396502

1 **Abstract**

2 Assessment of a patient's immune function is critical in many clinical situations. One
3 prominent example is sepsis, which results from a loss of immune homeostasis due to
4 microbial infection and is characterized by a plethora of pro- and anti-inflammatory stimuli
5 that may occur consecutively or simultaneously. Thus, any immunomodulatory therapy would
6 require in depth knowledge of an individual patient's immune status at a given time. Whereas
7 lab-test based immune profiling often relies solely on quantification of cell numbers, we have
8 used an *ex vivo* whole-blood infection model in combination with biomathematical modeling
9 to quantify functional parameters of innate immune cells in blood from patients undergoing
10 cardiac surgery. These patients experience a well characterized inflammatory insult, which
11 results in mitigation of pathogen-specific response patterns towards *Staphylococcus aureus*
12 and *Candida albicans* that are characteristic for healthy people and baseline results in our
13 patients. This does not only interfere with pathogen elimination from blood but also
14 selectively augments the escape of *C. albicans* from phagocytosis. In summary, our model
15 gives insight into immune functionality and might serve as a functional immune assay to
16 record and evaluate innate response patterns towards infection.

17 **Introduction**

18 Critical illness may be associated with significant alterations in immune function. Both
19 hypo- and hyper-inflammatory states are possible. While impairment of human immunity
20 significantly increases the risk of infection, systemic inflammation promotes the development
21 of the multiple organ dysfunction syndrome. Therefore, assessment of immune function
22 would be desirable in clinical practice. However, most laboratory tests solely rely on
23 quantification of immune cell populations. Risk of infection during neutropenia is quantified by
24 determining the number of neutrophils found in peripheral blood ¹. Similarly, the CD4⁺ T-cell
25 count is used to quantify the degree of immunosuppression in HIV infection ². Although these
26 assays are highly useful in clinical routine, they use quantitative thresholds and do not
27 provide any information on immune cell function ³. To improve understanding of a patient's
28 immune status, functional immune monitoring has been attempted using quantification of
29 released proteins as indirect markers, such as IL-6, IL-8, procalcitonin and C-reactive protein
30 ⁴. All of these derive from different immune cells, are mostly pleiotropic and may antagonize
31 each other, all of which limits their clinical use. Sepsis-induced immunosuppression can be
32 measured by reduced expression of major histocompatibility (MHC) class II molecule HLA-
33 DR on monocytes that is associated with a diminished antigen-presenting capacity and a
34 shift from pro- to anti-inflammatory cytokine production (reviewed in ^{5,6}). Similarly, neutrophil
35 surface expression of high-affinity Fc γ receptor I (CD64) has been shown to increase in
36 patients during the early immune response to bacterial infection and in systemic
37 inflammatory response syndrome ⁷⁻⁹. Several studies demonstrated that CD64 measured as
38 an index may be useful for detection and management of sepsis and bacterial infection in
39 neonatal intensive care units and adult hospital patients ^{10,11}. However, while surface markers
40 reflect the activation status of immune cells, they do not provide a direct functional readout.
41 Therefore, in a more complex setting, *ex vivo* stimulation of whole blood with LPS and
42 quantification of cellular cytokine release has been used to quantify immune function. LPS-
43 induced TNF- α release by monocytes in whole blood tends to be less in immunosuppressed
44 patients compared to healthy individuals ^{12,13}. Nevertheless, this attempt so far only relied on

45 a secreted cytokine as an indirect marker for cellular immune function. The most functional
46 read-out described so far is the assessment of neutrophil dysfunction in critically ill patients
47 by measuring neutrophil capacity to clear zymosan particles *ex vivo* ^{3,14}. However, these
48 assays selectively address neutrophils and require prior isolation of the cells, which may alter
49 their function especially in patients with activated neutrophils ¹⁵.

50 In previous studies, we applied a systems biology approach to investigate the immune
51 response to pathogens in blood of healthy individuals ¹⁶⁻¹⁸. Using *ex vivo* whole-blood
52 infection in combination with biomathematical modeling enabled the calculation of functional
53 parameters for blood immune cells, such as kinetic rates for cell migration and pathogen
54 uptake. The aim of this study is a pilot investigation whether a systems biology approach
55 allows quantification of immune function in a clinical setting. We selected for patients that
56 underwent cardiac surgery with extracorporeal circulation. These patients receive a
57 standardized anesthesia regiment and operation procedure and constitute a well
58 characterized inflammatory response (e.g. increase of blood lipopolysaccharide and beta-D-
59 glucan levels) which enables analysis of immune function before and after the insult ¹⁹⁻²¹. By
60 determining functional parameters of innate immune cell populations after *ex vivo* whole-
61 blood bacterial (*Staphylococcus aureus*) and fungal (*Candida albicans*) infection, we show
62 that the post-surgery inflammation results in attenuation of inter-patient and inter-pathogen
63 differences in immune response patterns. Moreover, our model revealed an enhanced
64 immune escape specific for *C. albicans* that could be not observed for *S. aureus*, indicating
65 pathogen specific adaptation to altered immune activation status.

66 Results

67

68 Pathogen-specific immune response patterns during bacterial and fungal 69 whole-blood infection

70 Prior analyses in whole blood have been done with viable pathogens¹⁶. However,
71 quantification of immune cell function in patient blood samples requires the use of inactivated
72 stimuli, e.g. to avoid effects of pathogen inactivation by antibiotics in patient blood. Thus, we
73 quantified immune cell functional parameters for two inactivated pathogens,
74 *Staphylococcus aureus* (bacterial) and *Candida albicans* (fungal). During a 4-hour time
75 course, neutrophils were the main immune cell type to interact with both pathogens (Fig. 1A).
76 Both pathogens showed similar association to neutrophils after 60 min of blood infection.
77 However, the early 10 min time point revealed much faster association of *S. aureus* to
78 neutrophils ($70.8 \pm 10.2\%$) when compared with *C. albicans* ($45.0 \pm 13.3\%$) and monocytes
79 ($7.6 \pm 1.8\%$ for *S. aureus* compared to $3.1 \pm 0.5\%$ for *C. albicans*, Fig. 1A,B). Consistent with
80 different association kinetics, *C. albicans* was cleared more slowly than *S. aureus* (10 min
81 *p.i.*: extracellular *C. albicans* $52.9 \pm 13.6\%$, extracellular *S. aureus* $21.6 \pm 10.5\%$, Fig. 1C).
82 Notably, 4 hours after infection a larger population of *C. albicans* cells remained extracellular
83 compared to *S. aureus* (extracellular *C. albicans* $13.0 \pm 4.3\%$, extracellular *S. aureus*
84 $4.0 \pm 1.5\%$). Comparison of these data with previous studies and a new set of experiments
85 using viable *C. albicans* showed overall comparable patterns but also revealed a significantly
86 higher number of inactivated fungal cells associated to monocytes (60 min *p.i.*: viable
87 *C. albicans* $4.9 \pm 2.3\%$, inactivated *C. albicans* $13.4 \pm 2.5\%$, $P < 0.001$) (Fig. 1F and¹⁶).
88 Association to lymphocytes could not be detected for either pathogen regardless of their
89 viability. Whole-blood infection with *C. albicans* in an either active or inactive state induced a
90 strong and comparable secretion of monocytes-derived cytokines (TNF- α , IL-1 α and IL-6),
91 whereas only low cytokine levels could be detected in mock-infected blood (Fig. 1G).
92 In order to comparatively quantify the functional properties of immune cells we calibrated the
93 state-based virtual infection model (SBM) to the experimental data (see Methods section,

94 Table 1, Fig. 1D). The coefficients of variation of the resulting transition rates are very small
95 (< 7%) and the corresponding dynamics of the three combined units are similar to the mean
96 values of the respective experimental data, indicating a good match to the experimental data
97 (see Fig. 1A-C). By comparing the resulting transition rate values, we observed significantly
98 higher phagocytosis rates for *S. aureus* than for *C. albicans* (for neutrophils $\phi_N^{S.a.}/\phi_N^{C.a.} = 2.2$
99 with $P' < 0.001$, for monocytes $\phi_M^{S.a.}/\phi_M^{C.a.} = 4.2$ with $P' < 0.001$). The opposite ratio was
100 found with respect to the rate of immune escape (ρ), ($\rho^{S.a.}/\rho^{C.a.} = 0.7$ with $P' < 0.001$).
101 These parameter differences lead to an overall faster removal of *S. aureus* cells from
102 extracellular space and a higher amount of immune evasive *C. albicans* at 240 min after
103 infection (Fig. 1C).

104 Based on the estimated parameters of the SBM we also simulated the infection scenario with
105 both pathogens in an agent-based model (ABM) (see Methods section). Similar to the SBM
106 dynamics, the resulting dynamics of the ABM are in agreement with the experimental data
107 (see Fig. 1A-C). Diffusion coefficients for neutrophils and monocytes are approximately two
108 to three times higher for *S. aureus* infection (D_N, D_M) = (1500 $\mu\text{m}^2/\text{min}$, 1800 $\mu\text{m}^2/\text{min}$)
109 compared to *C. albicans* (D_N, D_M) = (700 $\mu\text{m}^2/\text{min}$, 450 $\mu\text{m}^2/\text{min}$). Furthermore, the
110 diffusion coefficient for neutrophils is higher than for monocytes during *C. albicans* infection
111 and *vice versa* for *S. aureus* infection (Fig. 1E).

112

113 **Cardiac surgery results in neutrophil mobilization and immune activation**

114 Data generated so far clearly show that a combination of *ex vivo* blood infection and
115 virtual infection modelling allows quantification of immune cell functions. To address the
116 feasibility of this approach in a clinical setting, we used blood samples from patients
117 undergoing cardiac surgery for mitral valve insufficiency. Six patients (4 female, 2 male) with
118 an age of 52 to 74 years were recruited. All received minimally invasive mitral valve
119 replacement or reconstruction surgery via lateral thoracotomy on cardiopulmonary bypass
120 (CPB). Anesthesia was induced by Propofol, Sufentanyl and Rocuronium and sustained by
121 Sufentanyl and Sevofluran before and Propofol during CPB. Three patients underwent

122 additional surgery such as tricuspid valve surgery, cryoablation, atrial appendage closure
123 and atrial septal defect closure. Bypass time ranged from 99 to 220 minutes. All patients
124 were extubated on the day of surgery and none had any major complications. The only
125 postoperative infection was a urinary infection more than two weeks after surgery. After
126 informed consent, blood samples were taken before cardiac surgery (pre-operative), directly
127 after surgery (post-operative) and one day after admission to intensive care (post-operative +
128 1d). These defined time points together with the low variance in surgical time and duration of
129 extracorporeal circulation as well as the time-defined, strong and homogeneous inflammatory
130 stimulus allowed us to clearly distinguish inter-individual differences and effects of
131 inflammation. Both pro-inflammatory cytokines like IL-6, IL-8, MIP-1 α and MIF and the anti-
132 inflammatory cytokine IL-10 showed increased levels in post-operative blood (Fig. 2A),
133 whereas TNF- α and IL-1 β were not detectable in plasma samples before and after surgery.
134 Elevated levels were sustained until one day after surgery for IL-6 and IL-8. Furthermore, the
135 mean total white blood cell counts increased after surgery (post-operative: $9.9 \pm 0.8 \times 10^9/L$,
136 post-operative + 1d: $10.9 \pm 1.0 \times 10^9/L$) compared to pre-operative blood ($4.7 \pm 0.6 \times 10^9/L$)
137 (Fig. 2B). Quantitative analyses of white blood cells revealed a significant increase of
138 neutrophils (both post-operative and post-operative + 1d) and monocytes (post-operative +
139 1d), whereas lymphocyte counts remained stable. Neutrophilia following cardiac surgery is
140 mediated by granulocyte colony-stimulating factor (G-CSF) induced bone marrow neutrophil
141 release ²². G-CSF was markedly higher in plasma samples obtained directly and one day
142 after surgery compared to pre-operative blood (Fig. 2A). Furthermore, whereas pre-operative
143 blood was characterized by a homogenous neutrophil population that expressed abundant
144 CD10 and high CD16 surface levels (mature neutrophils), the neutrophil population after
145 surgery contained a CD10-negative subpopulation with reduced CD16 and increased L-
146 selectin (CD62L) expression, indicating recruitment of immature neutrophils (Fig. 3A). Post-
147 operatively, the CD10^{neg} neutrophil subpopulation accounted for $49.3 \pm 4.1\%$ of the total
148 neutrophil population and remained almost stable after one day ($46.7 \pm 2.6\%$). Whereas no
149 changes in monocyte counts could be observed between the pre- and post-operative time

150 point, total monocyte numbers were significantly increased one day after surgery (pre-
151 operative: $0.24 \pm 0.06 \times 10^9/L$, post-operative + 1d: $0.54 \pm 0.09 \times 10^9/L$, $P < 0.05$, Fig. 2B).
152 Expression of the MHC class II antigen HLA-DR on monocytes was markedly decreased
153 after surgery (Fig. 3B). Together with the increased CD62L expression this pointed towards
154 an immature state of monocytes induced by the surgery.

155

156 **Pro-inflammatory stimulus during cardiopulmonary bypass mitigates inter-** 157 **patient and inter-pathogen differences in immune response patterns**

158 To quantify functional immune parameters in whole blood after cardiopulmonary
159 bypass, we performed whole-blood infection with inactivated *S. aureus* or *C. albicans* as
160 described before. These analyses revealed clear changes in innate immune response
161 patterns against *C. albicans* and *S. aureus*. After surgery, pathogen association to
162 neutrophils and monocytes was faster and higher in comparison to the pre-operative time
163 point (Fig. 4). For instance, after 10 min post infection $35.1 \pm 8.8\%$ of *C. albicans* and
164 $53.7 \pm 7.2\%$ of *S. aureus* cells, respectively, were associated to neutrophils in pre-operative
165 blood (Fig. 4A,D), whereas these fractions increased to $78.5 \pm 5.1\%$ for *C. albicans* and
166 $81.1 \pm 6.7\%$ for *S. aureus* one day after surgery (Fig. 4C,F). To test, whether altered
167 association kinetics could be explained by altered leukocyte numbers or indicate functional
168 alterations, we fitted the SBM to the association kinetics and used the means of the
169 measured immune cell counts as input for the model simulations. These analyses clearly
170 showed that the faster association kinetics after surgery can only be explained by a
171 significant increase in phagocytosis rates of neutrophils and monocytes and are not solely
172 depended on increased immune cell counts (Fig. 4G). Importantly, pathogen-specific
173 response patterns that were clearly visible for healthy donors and in samples taken before
174 surgery were assimilated after the pro-inflammatory stimulus. More specifically, *S. aureus*
175 had a greater magnitude and faster association to neutrophils and monocytes than
176 *C. albicans* in blood taken before surgery (Fig. 4A,D). Comparing the transition rates for
177 phagocytosis by neutrophils (ϕ_N) and monocytes (ϕ_M) between both pathogens revealed

178 significantly higher values for *S. aureus* ($\phi_N^{S.a.}/\phi_N^{C.a.} = 2.4$, $\phi_M^{S.a.}/\phi_M^{C.a.} = 2.7$) (Fig. 4G). In
179 contrast, association kinetics for *C. albicans* and *S. aureus* as well as the corresponding
180 phagocytosis rates for neutrophils and monocytes were almost similar in blood after surgery
181 (e.g. post-operative + 1d: $\phi_N^{S.a.}/\phi_N^{C.a.} = 1.1$, $\phi_M^{S.a.}/\phi_M^{C.a.} = 1.2$; Fig. 4G).

182 In addition, we investigated possible alterations in immune cell migration in blood after
183 surgery compared to the pre-operative time point using the ABM. As in healthy donors,
184 diffusion coefficients for both immune cell types during *S. aureus* infection exceed diffusion
185 coefficients during *C. albicans* infection by a factor of two to three (Fig. 4H, Fig. 5). Infection
186 of post-operative blood with *C. albicans* resulted in a decrease in D_N by a factor of $D_N^{pre-OP} /$
187 $D_N^{post-OP} = 3.0$ and an increase in D_M by a factor of $D_M^{pre-OP} / D_M^{post-OP} \approx 0.7$ (Fig. 4H and
188 Fig. 5). Similarly, D_N was decreased by a factor of $D_N^{pre-OP} / D_N^{post-OP} = 3.5$ during *S. aureus*
189 infection. Contrary to confrontation with *C. albicans*, D_M was not increased in post-operative
190 blood, but rather slightly decreased (Fig. 4H and Fig. 5). Furthermore, D_N did not change
191 from post-operative time point to one day after surgery for both species. However, in case of
192 D_M we observed a decrease for both species by a factor of approximately $D_M^{post-OP} /$
193 $D_M^{post-OP+1d} = 1.5$. Comparing the values for D_N and D_M between the pathogens for each
194 sample time point showed that both diffusion constants were larger in presence of *S. aureus*
195 compared to *C. albicans* for all time points. Similar to the immune cell association and
196 phagocytosis rates, differences in neutrophil and monocyte diffusion between the fungal and
197 bacterial pathogen decreased after surgery (Fig. 4H).

198 In addition to assimilation of differences between both pathogens, the inter-individual
199 differences in the association to immune cells decreased after the inflammatory stimulus. For
200 instance, the percentage standard deviation of pathogens that interacted with neutrophils
201 decreased from 32% to 10% for *C. albicans* (Fig. 4A,C) and 13.5% to 8% for *S. aureus*
202 infection (Fig. 4D,F) between pre-operative and one day after surgery samples.

203 Taken together, kinetics of immune responses were accelerated after the pro-inflammatory
204 stimulus independent of the microbial trigger. This results not only from the large increase in

205 immune cell numbers, but also from increased transition rates (see Fig. 4G). As a
206 consequence pathogen specific - as well as the inter-individual differences are less
207 pronounced after surgery.

208

209 **Monocyte activation by both *C. albicans* and *S. aureus* is dampened after** 210 **cardiopulmonary bypass**

211 We further investigated consequences of cardiopulmonary bypass surgery-induced
212 inflammation on activation of innate immune cells during infection. Activation of monocytes
213 analyzed by their surface expression of early activation antigen CD69 and cytokine secretion
214 in response to microbial confrontation was reduced after cardiac surgery. Presence of
215 *C. albicans* and *S. aureus*, respectively, induced the release of monocytic cytokines TNF- α ,
216 IL-1 β and IL-6 within blood from all three time points tested for HLM patients compared to the
217 corresponding mock-treated samples (Fig. 6A). However, the induced levels were markedly
218 lower in post-operative blood during both *C. albicans* (e.g. TNF- α : pre-operative
219 1456 \pm 1248 pg/ml, post-operative 355 \pm 428 pg/ml, $P = 0.068$) and *S. aureus* infection (e.g.
220 TNF- α : pre-operative 1730 \pm 903 pg/ml, post-operative 380 \pm 427 pg/ml, $P < 0.01$). The
221 reduced pro-inflammatory cytokine release pointed towards a regulatory effect mediated by
222 increased IL-10 levels, which could be detected specifically in blood obtained directly after
223 surgery (see Fig. 2A), on monocytes. Neither *C. albicans* nor *S. aureus* infection were able to
224 further induce IL-10 secretion when compared to mock-treated post-operative samples (Fig.
225 6A). Since plasma concentrations of IL-10 almost returned to values within pre-operative
226 blood after one day at the ICU, release of monocytic cytokines was also increased again in
227 response to both pathogens (e.g. TNF- α : *C. albicans* 1229 \pm 1234 pg/ml, *S. aureus*
228 1507 \pm 852 pg/ml). In line with cytokine data, elevated CD69 surface levels on monocytes
229 could be detected 4 hours after inoculation of *C. albicans* (MFI 1276 \pm 598) or *S. aureus* (MFI
230 1447 \pm 597) compared to mock-infection (MFI 488 \pm 298) in pre-operative blood without any
231 quantitative differences between both pathogens (Fig. 6B). A less pronounced response was
232 detected in blood after surgery (e.g. *S. aureus* infection: post-operative MFI 524 \pm 202, post-

operative + 1d MFI 580 ± 212). Together with reduced monocytic cytokine release, these data indicated a lower monocyte stimulation following infection of blood after surgery. Analyses of the surface phenotype of neutrophils revealed significant differences in exposure of CD16 and CD66b between mock-infected control samples in blood taken before and after surgery as well as after one day at the ICU that can be explained by the inflammation-dependent recruitment of immature neutrophils (Fig. 6B). Activation was largely restricted to those neutrophils that had phagocytosed either *C. albicans* or *S. aureus* and was stronger in response to *C. albicans*, shown by a more pronounced increase for CD69 (pre-operative: mock-infected MFI 141 ± 82 , *C. albicans*-infected MFI 730 ± 178 , *S. aureus*-infected MFI 427 ± 211) and down-regulation of CD16 (pre-operative: mock-infected MFI 7359 ± 2962 , *C. albicans*-infected MFI 1721 ± 998 , *S. aureus*-infected MFI 3812 ± 2108). However, decrease in surface CD16 and degranulation marker CD66b up-regulation on pathogen-associated neutrophils, respectively, was equal within blood from all three time points for the respective infection, even though surface levels of both activation markers were different on neutrophils in pre- and post-operative blood. Comparable results could be observed for CD69 expression.

249

250 **Inflammatory stimulus during cardiopulmonary bypass induces enhanced *C.*** 251 ***albicans* immune escape**

252 Despite the quantitative increase in leukocyte number and functional changes, a
253 fraction of *C. albicans* and *S. aureus* cells, respectively, was not phagocytosed by
254 neutrophils or monocytes even after 4 hours of infection and remained extracellular (Fig. 7).
255 Comparing the fraction of extracellular cells between the different sample time points, we
256 observed for both pathogens that this population decreased after surgery and the slope of
257 the reaction curve during initial phase is increased. More precisely, 60 min after inoculation
258 34.5 ± 17.5 % of total *C. albicans* cells were not associated to immune cells in pre-operative
259 blood (Fig. 7A). However, in blood samples from immediately (post-operative) and one day
260 after surgery only 9.9 ± 7.8 % and 10.1 ± 3.7 % *C. albicans* cells, respectively, were not

261 associated to immune cells at 60 min post infection (Fig. 7B,C). Although these results
262 indicated that elimination of pathogens from extracellular space after surgery was more
263 efficient, pathogen-specific differences were still present within blood of the three tested
264 conditions: in every case the fraction of extracellular cells was higher for *C. albicans* than for
265 *S. aureus* following 240 min of infection (Fig. 7A-C). Our model predicted that at 240 min all
266 extracellular cells for both pathogens acquired immune escape (P_{IE}). Interestingly, despite
267 increased neutrophil numbers and neutrophil phagocytosis rates in blood after surgery,
268 estimated transition rates for acquiring immune escape (ρ) were 2-fold higher for *C. albicans*
269 in post- than pre-operative samples, which was in contrast to *S. aureus* with almost equal ρ
270 values for the different sample time points (Fig. 7D, Table 1). In fact, this resulted in a
271 changed ratio of ρ between *S. aureus* and *C. albicans* infection in post-operative blood.
272 While ρ values were similar for *C. albicans* and *S. aureus* in pre-operative blood ($\rho^{S.a.}/$
273 $\rho^{C.a.} = 0.96$), larger differences could be detected in blood samples taken after surgery
274 (post-operative: $\rho^{S.a.}/\rho^{C.a.} = 0.48$, post-operative + 1d: $\rho^{S.a.}/\rho^{C.a.} = 0.5$).

275 **Discussion**

276 In this study, we applied a systems biology approach, where experimental whole-
277 blood infection assays are combined with virtual infection modeling to investigate the effect of
278 immune activation on the immune defense against pathogens in whole blood. In order to
279 analyze the immune response of patients that suffer from an unbalanced immune
280 homeostasis, we used blood samples of patients that underwent cardiac surgery with
281 extracorporeal circulation. The surgical intervention and the cardiopulmonary bypass are
282 known to trigger a systemic inflammatory response. Although this transitory inflammation is
283 not usually related to infection or sepsis, it can contribute to post-operative complications
284 such as organ dysfunction or bleeding ²³. Pathophysiologically, a multitude of triggers that
285 include surgical trauma, ischemia and reperfusion injury as well as endotoxemia and
286 glucanemia results in an acute phase reaction largely but not exclusively mediated by
287 activation of NF- κ B ^{20,24}. In particular, the inflammatory stimulus causes a surplus of the
288 complement protein C5a, which serves as an important chemoattractant of several innate
289 immune cells like neutrophils and monocytes ^{25,26}. C5a molecules activate neutrophils and
290 induce neutrophil degranulation and superoxide generation ²⁶⁻³¹. Due to this pro-
291 inflammatory stimulus, patients after cardiac surgery show leukocytosis, including
292 mobilization of neutrophils from bone marrow. Furthermore, their monocytes express
293 reduced levels of HLA-DR and increased CD62L ³². Thus, transient hyperinflammation can
294 well be studied in elective cardiac bypass surgery patients.

295 In accordance with this, we could observe leukocyte recruitment after surgery in our study.
296 Especially the number of neutrophils increased by more than twice and was due to
297 recruitment of CD10^{neg} immature neutrophils. In addition, monocytes were increased at day
298 one, but showed an immature phenotype already in blood taken directly after surgery.
299 Despite the higher abundance of immature neutrophils and monocytes, pathogen association
300 to immune cells occurred faster and to a larger extent in post-operative compared to pre-
301 operative blood samples. By calibrating the state-based virtual infection model to the
302 association kinetics of samples taken before and after surgery, we found that this was not

303 solely due to increased immune cell numbers. In contrast, phagocytosis rates of neutrophils
304 and monocytes increased by a factor of more than 2-fold after surgery, indicating an
305 enhanced activation status. These quantitative results showing the increase of immune cell
306 activity could not be detected by selectively quantifying surface activation marker expression,
307 such as for the neutrophil degranulation marker CD66b. Nevertheless, despite the high
308 abundance of immature neutrophils recruited in response to transient hyperinflammation,
309 these cells showed the same maximum of CD66b and CD69 upregulation and decrease in
310 surface CD16 upon fungal phagocytosis compared to mature neutrophils in pre-operative
311 blood. Immature neutrophils already contain all three types of granules and can produce
312 reactive oxygen species^{33,34}, indicating that these cells are a functional subset that becomes
313 released in certain conditions. Studies by Leliefeld *et al.* and van Grinsven *et al.* using
314 experimentally induced acute inflammation in healthy subjects by intravenous administration
315 of bacterial LPS demonstrated that the different neutrophil subsets not only differ in
316 phenotype but also in function^{35,36}. Immature neutrophils were shown to have even higher
317 antibacterial capacity *in vitro* and exhibit efficient migration, which led to the hypothesis that
318 immature neutrophils are not released as bystanders but rather as cells more efficient in
319 pathogen killing, in line with the observed increased immune cell activity in our study. Other
320 previous studies reported an increase of immune cell activation for monocytes and
321 neutrophils after surgery, by evaluating the expression of immune cell specific activation
322 markers and the cytokine profile³⁷⁻³⁹. However, they do not provide a quantitative change of
323 the immune cell functionality during the response to different pathogens serving as stimuli.
324 The observed functional activation also mitigates pathogen specific patterns of immune
325 activation: Prior to the inflammatory insult and as observed in samples from healthy control
326 donors, *S. aureus* infection induced a faster immune response than *C. albicans* infection. In
327 contrast, after surgery, the inter-pathogen differences were substantially reduced.
328 Additionally, we analyzed the concentration of pro- and the anti-inflammatory cytokines in
329 blood samples of HLM patients before and after surgery. Interestingly, pro-inflammatory
330 cytokines IL-1 β and TNF- α were not present in higher levels after surgery, whereas an

331 increase was observed for others, such as IL-6. In addition, the anti-inflammatory cytokine IL-
332 10 was also significantly increased at the end of surgery, indicating the activation of anti-
333 inflammatory pathways. These findings are in line with several studies reporting the surgery-
334 induced release of both pro- and anti-inflammatory mediators ^{27,40–43}. During *ex vivo* infection,
335 the release of monocytic cytokines as well as up-regulation of early activation antigen CD69
336 on monocytes were markedly lower in post-operative blood. Together with the immature
337 phenotype of blood monocytes this pointed towards a regulatory effect of increased IL-10
338 levels in blood taken directly after surgery. Among the mediators released during surgery, IL-
339 10 was already shown to contribute to the down-regulation of HLA-DR on CD14⁺ cells ⁴⁴. IL-
340 10 levels decreased after one day, which consequently resulted in the partial recovery of
341 HLA-DR on monocytes and secretion of cytokines IL-1 β , IL-6 and TNF- α during both *C.*
342 *albicans* and *S. aureus* infection.

343 Similar to results of our previous studies on the immune response in whole-blood samples
344 upon *C. albicans* infection ¹⁶, we observed a specific population of pathogens that could not
345 be phagocytosed by the immune cells, but are still present in extracellular space at four
346 hours after infection. Therefore, these pathogens can escape the immune defense. Although
347 the evasive pathogens could be detected in stimulated blood samples at each sample time
348 point before and after surgery, we found that the amount of evasive *C. albicans* cells and *S.*
349 *aureus* cells decreased after surgery, while the rate for this process increased for *C. albicans*
350 infection and remained almost unchanged for *S. aureus* infection. In a previous study, we
351 investigated the mechanism of *C. albicans* immune evasion in whole blood by testing
352 potential evasion mechanisms using mathematical modeling. By simulating the infection in
353 whole blood under neutropenic conditions, we could suggest future experimental
354 measurements that most likely enable to accept or reject one of the two tested mechanisms,
355 *i.e.* spontaneous evasion or neutrophil-mediated immune evasion ⁴⁵. Since we observed
356 larger evasion rates after surgery, where the immune cells are more active in terms of
357 phagocytosis, our study provides clues that the evasion of pathogens could be dependent on
358 immune cell activity. Moreover, the change in the immune evasion rate after surgical insult is

359 an additional characteristic property describing the changes of the immune response in
360 whole-blood samples of patients that underwent inflammatory stimulus. Taken together we
361 show that a combination of experimental assays in *ex vivo* blood samples and
362 biomathematical monitoring is a feasible approach for immune profiling in patients. Clearly at
363 this stage this cannot be used for diagnostic purposes and requires both further optimization
364 and standardization. However, using this approach in its current state we can already reveal
365 important pathophysiological properties governing virulence of microorganisms as in the
366 case of immune escape of *Candida albicans*.

367 **Methods**

368

369 **Experimental methods**

370 **Patients and Ethics statement.** Human peripheral blood was collected from healthy
371 volunteers and HLM patients with written informed consent. This study was conducted in
372 accordance with the Declaration of Helsinki and all protocols were approved by the Ethics
373 Committee of the University Hospital Jena (permit number: 4643-12/15).

374 Patients included in this study received standardized minimally invasive cardiac surgery for
375 mitral valve insufficiency with a heart lung machine (HLM) and same anesthesia regimen.
376 Blood was taken from inserted catheters before cardiac surgery (pre-operative), immediately
377 after surgery (post-operative) and one day after admission to the intensive care unit (post-
378 operative + 1d).

379

380 **Strains and Culture.** *Candida albicans* (SC5314) was grown overnight in YPD medium
381 (2% D-glucose, 1% peptone, 0.5% yeast extract, in water) at 30 °C to stationary phase.
382 Fungal cells were reseeded in YPD medium, grown for 3 hours at 30 °C, stained with
383 CellTracker™ Green (Invitrogen) for 1 hour, and harvested in PBS. Subsequently, cells were
384 inactivated with 0.1% thimerosal at 37 °C for 1 hour and then rinsed extensively.

385 *Staphylococcus aureus* (ATCC25923) was cultivated overnight in lysogeny broth (LB)
386 medium (10 g/l tryptone, 5 g/l yeast extract, 10 g/l sodium chloride, pH 7) at 37 °C. Bacterial
387 cells were reseeded in LB medium and grown at 37 °C to reach the exponential growth
388 phase (OD₆₀₀ of 0.6 - 0.7) followed by staining with CellTracker™ Green for 30 min and
389 inactivation with 50% ethanol for 4 hours at 37 °C. Both pathogen stocks were stored
390 at -20 °C until use.

391

392 **Ex vivo whole-blood infection assay.** Peripheral blood from healthy volunteers and
393 from patients undergoing cardiac surgery with extracorporeal circulation was collected in S-

394 monovettes® (Sarstedt) containing recombinant Hirudin as anti-coagulant. Differential blood
395 cell counts were measured with an auto hematology analyzer (BC-5300, Mindray).

396 For whole-blood infection, PBS as mock-infected control (mock) or 1×10^6 killed
397 pathogens/ml whole blood were incubated for various time points (as indicated) at 37 °C on a
398 rolling mixer (5 rpm). After incubation, the samples were immediately placed on ice. To
399 collect plasma samples, whole-blood aliquots were centrifuged for 10 min at 10.000xg and
400 4 °C, and the resulting plasma was stored at -20 °C until further analysis.

401

402 **Flow cytometry.** Differential staining and flow cytometry was applied to identify distinct
403 immune cell populations as well as to measure activation of immune cells and their
404 association to pathogens. For surface antigen staining on the different immune cells, whole
405 blood was stained with mouse anti-human CD3 (clone SK7, T cells), CD19 (clone HIB19, B
406 cells), CD56 (clone MEM-188, NK cells), CD66b (clone G10F5, neutrophils), obtained from
407 BioLegend, and CD69 (clone L78, early activation antigen, BD Biosciences). Monocytes
408 were labelled with anti-human CD14 antibody (clone REA599, Miltenyi Biotec). Immature
409 phenotype of neutrophils was assessed by surface CD10, CD16 and CD62L expression
410 using mouse anti-human CD10 (clone HI10a, BioLegend), CD16 (clone 3G8, BioLegend)
411 and CD62L (clone REA615, Miltenyi Biotec) antibodies. Surgery-induced changes on
412 monocyte surface antigen expression were analysed for HLA-DR (anti-human HLA-DR,
413 clone REA805, Miltenyi Biotec) and CD62L. Red blood cells were lysed with BD FACS
414 Lysing solution followed by washing and harvesting cells in BD CellWASH solution.
415 Acquisition was performed with the BD FACSCanto II flow cytometer and data was
416 processed with FlowJo 7.6.4 software.

417 The strategy used to evaluate the association of microorganisms to immune cells in human
418 blood was shown for GFP-expressing *C. albicans* in Hänniger *et al.*, 2014 and used in the
419 same way for CellTracker™ Green-labeled *C. albicans* and *S. aureus* in this study ¹⁶.

420

421 **Quantification of cytokines.** The secretion of cytokines was assessed in plasma
422 samples using Luminex technology (ProcartaPlex™ Multiplex Immunoassay, Thermo Fisher
423 Scientific). The analyses were performed according to the instructions from the
424 manufacturer.

425

426 **Statistical analyses.** Data are presented as arithmetic means \pm standard deviation (SD).
427 Statistical analysis was performed by applying the following steps. First, the Shapiro-Wilk test
428 was applied to test whether the underlying data is normally distributed. For normally
429 distributed data the unpaired *t*-test was used to test for significant differences, since the
430 respective data was unpaired. If the data was not normally distributed, either the Wilcoxon
431 signed-rank test was applied to test paired samples, or the Mann-Whitney U test was applied
432 to test unpaired samples for significant differences. Afterwards, a multiple comparison
433 correction (Bonferroni's correction) was performed if comparisons were made between
434 several data sets. The corrected *P*-value is given by *P'*. Significance is shown as **P* < 0.05,
435 ***P* < 0.01, ****P* < 0.001.

436

437 **Biomathematical Modeling**

438 **State-based virtual infection modeling.** The state-based model (SBM) is derived from
439 our previous models of whole-blood infection^{16–18} and simulates the immune defence in
440 whole blood that was infected with killed pathogens. As depicted in Fig. S1A, the SBM
441 contains several states for distinct cell populations in the system. Killed pathogens in
442 extracellular space are represented by the state P_{KE} and pathogens that acquired immune
443 escape are described by the state P_{IE} . Furthermore, the model contains the states N_j and M_j
444 that represent neutrophils and monocytes, respectively, where the index *j* denotes the
445 number of phagocytosed pathogens. In order to compare the dynamics of the model with
446 experimental data, we defined combined units that are specific combinations of measurable
447 model states. Pathogens in extracellular space are represented by the combined unit P_E :

448

$$P_E = P_{IE} + P_{KE} .$$

449 The combined units P_N and P_M refer to pathogens that have been phagocytosed by either
450 neutrophils or monocytes:

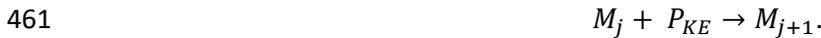
$$451 \quad P_N = \sum_{j=1}^{j=n} N_j \times j, \text{ and}$$

$$452 \quad P_M = \sum_{j=1}^{j=n} M_j \times j.$$

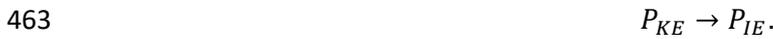
453 The transitions between model states represent biological processes during whole-blood
454 infection (see the connections between states in Fig. S1A). In the SBM, we defined three
455 transition rates characterising specific transitions. The rate φ_N refers to the phagocytosis of
456 killed pathogens (P_{KE}) by neutrophils with j phagocytosed pathogens (N_j) and characterises
457 the state transition



459 The phagocytosis of killed pathogens (P_{KE}) by monocytes with j phagocytosed pathogens
460 (M_j) is characterised by the rate φ_M and is described by



462 The immune escape of killed pathogens (P_{KE}) is quantified by the rate ρ for state transition



464 The transition rates enables us to quantify the dynamics of the SBM, where a transition from
465 any state S to state S' within the time step Δt will be performed with probability $P_{S \rightarrow S'}$: $P_{S \rightarrow S'} =$
466 $r_{S \rightarrow S'} \times \Delta t$. The SBM dynamics was calculated by applying the random selection method ⁴⁶
467 and the flow chart of this simulation algorithm is depicted in Fig. S1B.

468

469 **Agent-based virtual infection modeling.** In order to investigate also spatial aspects of
470 host-pathogen interactions we applied a previously developed agent-based model (ABM) ^{47,48}
471 that was adjusted to the context of whole-blood infection assays ^{17,18}. This spatial counterpart
472 of the SBM simulates the different immune cell types of neutrophils and monocytes as well
473 as the killed pathogens as distinct spherical objects, i.e. the agents (Fig. S2A). These agents
474 can migrate and interact within an environment in a rule-based fashion, where the
475 environment is a continuous three-dimensional representation of a section of $0.5 \mu l$ of the

476 whole-blood infection assay in which cells perform random walk migration due to the high
477 density of erythrocytes ¹⁷. Modeling the active migration of neutrophils and monocytes by a
478 diffusion process with coefficients D_N and D_M , respectively, the passive migration of
479 pathogens is estimated to have a small diffusion coefficient of $D_p \approx 1 \mu m^2/min$ ¹⁷. Based on
480 the random selection method ⁴⁶, each stochastic simulation was repeated 30 times to obtain
481 significant mean and standard deviation of simulation dynamics (Fig. S2B). The migration
482 parameters of immune cells were estimated from a minimization of least-squares error (LSE)
483 between experiment and simulation using the method of *adaptive regular grid search* ⁴⁹.

484

485 **Parameter estimation.** In order to estimate the *a priori* unknown values of transition rates,
486 the state-based model (SBM) is fitted to experimental data of the whole-blood infection
487 assays by applying the algorithm of *simulated annealing* ⁵⁰ based on the *Metropolis Monte*
488 *Carlo* scheme ⁵¹. For a detailed description of the parameter estimation in the SBM we refer
489 to our previous work ^{17,18,29,45} and to the flowchart of this parameter fitting algorithm in Fig.
490 S3.

491 To calibrate the model parameters of the agent-based model (ABM) to the experimental
492 data, we previously developed a bottom-up approach that combines the SBM and ABM such
493 that estimated rates from the SBM can be used in the ABM by a self-consistent conversion of
494 these parameters ¹⁷. Therefore, the parameter search space reduces to the migration
495 parameters of the immune cell types D_N and D_M . For a detailed description of the parameter
496 estimation in the ABM we refer to our previous work ^{17,18,29,45} and the flowchart of this
497 algorithm in Fig. S4.

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- 629

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640 **Author Contributions**

641 Conceptualization and Ideas: OK, MTF, KH; Formal Analysis including application of
642 statistical, mathematical, computational, or other formal techniques: TL, ST, MTF; Funding
643 Acquisition: OK, MTF; Investigation: TL, IL, ST, KH; Resources: DTR, FB, CS, Writing: TL,
644 IL, OK, MTF, KH.

645 **Competing interests**

646 The authors declare no competing interests.

647 **Figure Legends**

648

649 **Fig 1. Comparison of the dynamics of host-pathogen interaction during *C. albicans***
650 **and *S. aureus* infection in healthy blood.** (A-C) Results of fitting the state-based model
651 (SBM) and the agent-based model (ABM) to experimental data. Simulated dynamics of the
652 combined units (solid lines) were obtained by fitting the SBM (dark color) and the ABM (light
653 color) to the experimentally measured association kinetics (dotted lines). Experimental data
654 were gained from whole-blood infection assays with either *C. albicans* (green lines, n=10) or
655 *S. aureus* (red lines, n=7). SBM: line thickness represents SD obtained by 50 simulations
656 with transition rate values sampled within their corresponding SD. ABM: line thickness
657 represents the standard deviations obtained from 30 stochastic simulations with the
658 estimated diffusion coefficients. (A) and (B), dynamics of the combined units P_N and P_M ,
659 which correspond to the experimental data on pathogens associated to neutrophils and
660 monocytes. (C) kinetics of the combined unit P_E together with experimentally measured
661 kinetics of either fungal or bacterial cells in extracellular space. (D) Mean values (+/- SD) of
662 transition rate values obtained by fitting the SBM to experimental data using simulated
663 annealing. The rate of phagocytosis by neutrophils (ϕ_N) and by monocytes (ϕ_M) as well as
664 the rate for immune escape (ρ) are depicted for infection scenarios with either *C. albicans*
665 (green data points) or *S. aureus* (red data points). (E) Diffusion coefficients for neutrophils
666 (D_N) and monocytes (D_M) were estimated by fitting the ABM to the experimental data for
667 *C. albicans* (green) and *S. aureus* (red), respectively. Mean and SD are calculated from all
668 parameter sets with a mean LSE within the SD of the optimal parameter set. (F) Association
669 of viable and inactivated *C. albicans* with blood monocytes and neutrophils after 60 min
670 quantified using flow cytometry. (G) Release of monocyte-derived cytokines (TNF- α , IL-1 β ,
671 IL-6) in plasma samples generated from 4 h whole-blood infection experiments in response
672 to viable and inactivated *C. albicans* cells was investigated. Bars are shown as means \pm SD
673 of at least 3 independent experiments with whole blood from different donors. Significance
674 was estimated using the unpaired, two-sided Student *t* test (***) $P < 0.001$).

675

676 **Fig 2. Blood after surgery shows changes in cytokine profiles and peripheral**

677 **differential cell counts.** Blood samples from six HLM patients taken before cardiac surgery

678 (pre-operative), immediately after surgery (post-operative) and one day after admission to

679 intensive care (post-operative + 1d) were analyzed for (A) cytokines levels (MIP1 α , MIF, IL-8,

680 IL-6, IL-10, G-CSF) using Luminex technology and (B) white blood cell count (WBC) as well

681 as neutrophil, lymphocyte and monocyte counts using an automated hematology analyzer.

682 Reference ranges of leukocytes are indicated in red. The plasma levels (pg/ml) of cytokines

683 are presented, except for IL-8 levels that are normalized to plasma levels within pre-

684 operative blood (set to 100%). Significance was estimated using the unpaired, two-sided

685 Student *t* test and shown as **P* < 0.05, ****P* < 0.001.

686

687 **Fig 3. Surface phenotypes of monocytes and neutrophils after surgery indicate an**

688 **increased number of immature cells in blood of HLM patients.** Flow Cytometry analysis

689 of CD66b⁺ neutrophils (A) and CD14⁺ monocytes (B) from whole blood of HLM patients taken

690 before cardiac surgery (pre-operative), immediately after surgery (post-operative) and one

691 day after admission to intensive care (post-operative + 1d) are shown. (A) Immature

692 phenotype of CD66b⁺ blood neutrophils was analyzed by surface expression of CD10, CD16

693 and CD62L. Representative zebra plots show the change of surface phenotype by the shift of

694 the population to the lower right quadrant for CD10 and CD16 and to the higher right

695 quadrant for CD62L. (B) Surgery-induced changes on CD14⁺ blood monocytes are

696 represented by zebra plots of HLA-DR and CD62L expression pattern. Left plots showing

697 proper gate setting defined by isotype controls.

698

699 **Fig 4. Time courses of pathogen association to immune cells observed in whole-blood**

700 **samples of HLM patients.** Blood samples were taken before cardiac surgery (pre-

701 operative), immediately after surgery (post-operative) and one day after admission to

702 intensive care (post-operative + 1d). Time-resolved experimental data (dotted lines) were

703 obtained by whole-blood infection assays with either *C. albicans* (A-C) or *S. aureus* (D-F).
704 Data points and error bars refer to the means and standard deviations of blood samples from
705 six HLM patients. The simulated dynamics of the combined units (solid lines) were obtained
706 by fitting the state-based model (SBM, dark color) and the agent-based model (ABM, light
707 color) to the experimental data. The thickness of the results from the SBM (solid lines, dark
708 color) represents the standard deviations obtained by 50 simulations with transition rate
709 values that were sampled within their corresponding standard deviation. The thickness of the
710 results from the ABM (solid lines, light color) represents the standard deviations obtained
711 from 30 stochastic simulations of the ABM with the estimated diffusion coefficients. (G)
712 Transition rate values of the SBM resulting from fitting the model to experimental data of
713 either *C. albicans* or *S. aureus* infection in blood samples from HLM patients. The transition
714 rate values are given for the phagocytosis rate ϕ_N of neutrophils and the phagocytosis rate
715 ϕ_M of monocyte. (H) The diffusion coefficients are given for neutrophils D_N and monocytes
716 D_M . Mean and standard deviation are calculated from all parameter sets with a mean LSE
717 that lies within the standard deviation of the optimal parameter set.

718

719 **Fig 5. Results of fitting the agent-based model (ABM) to the experimental data from *C.***
720 ***albicans* and *S. aureus* infection using the method of adaptive regular grid search.** The
721 parameter space is shown for fitting the ABM to experimental data, where blood samples
722 from healthy donors and HLM patients before surgery (pre-operative), immediately after
723 surgery (post-operative) and one day after admission to intensive care (post-operative + 1d)
724 were infected with *C. albicans* cells (left column) or *S. aureus* cells (right column). Colors of
725 the points refer to the weighted least squares error $E(\vec{p})$ for each parameter set $\vec{p} = (D_N, D_M)$.
726 The optimal parameter set is marked with a white dot. All parameter sets with a mean LSE
727 that lies within the standard deviation of the optimal parameter set are marked with a black
728 dot.

729

730 **Fig 6. Changes in cytokine secretion and innate immune cell activation in whole blood**
731 **from HLM patients after surgery.** Blood samples from HLM patients were taken before
732 cardiac surgery (pre-operative, non-filled bars), directly after surgery (post-operative, light
733 grey bars) and one day after admission to intensive care (post-operative + 1d, dark grey
734 bars) and either mock-infected, treated with *C. albicans* or *S. aureus* for 4 hours. (A) Plasma
735 levels of TNF- α , IL-1 β , IL-6 and IL-10 were quantified and bars are shown as means \pm SD.
736 Results are presented as pg/ml; N/A stands for values not available. (B) Surface marker
737 expression was analyzed on total monocyte population and on pathogen-associated
738 neutrophils by flow cytometry. Data shown are mean fluorescence intensity (MFI) \pm SD.
739 Significance is shown as * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$, unpaired, two-sided Student t
740 test.

741
742 **Fig 7. Time courses of extracellular pathogen association to immune cells observed in**
743 **whole-blood samples of HLM patients.** Blood samples were taken (A) before cardiac
744 surgery (pre-operative), (B) immediately after surgery (post-operative) and (C) one day after
745 admission to intensive care (post-operative + 1d). Time-resolved experimental data (dotted
746 lines) were obtained by whole-blood infection assays with either *C. albicans* (green) or *S.*
747 *aureus* (red). Data points and error bars refer to the means and standard deviations of blood
748 samples from six HLM patients. The simulated dynamics of the combined units (solid lines)
749 were obtained by fitting the state-based model (SBM, dark color) and the agent-based model
750 (ABM, light color) to the experimental data. The thickness of the results from the SBM (solid
751 lines, dark color) represents the standard deviations obtained by 50 simulations with
752 transition rate values that were sampled within their corresponding standard deviation. The
753 thickness of the results from the ABM (solid lines, light color) represents the standard
754 deviations obtained from 30 stochastic simulations of the ABM with the estimated diffusion
755 coefficients. (D) Transition rate values for immune evasion ρ of the SBM resulting from fitting
756 the model to experimental data of either *C. albicans* or *S. aureus* infection in blood samples
757 from HLM patient.

Transition rate	Mean $\times 10^{-2}[\text{min}^{-1}] \pm \text{SD} \times 10^{-2}[\text{min}^{-1}]$ (CV [%])							
	healthy		pre-operative		post-operative		post-operative + 1d	
	<i>C. albicans</i>	<i>S. aureus</i>	<i>C. albicans</i>	<i>S. aureus</i>	<i>C. albicans</i>	<i>S. aureus</i>	<i>C. albicans</i>	<i>S. aureus</i>
ϕ_N	7.75 \pm 0.20 (2.6)	16.94 \pm 0.55 (3.3)	4.45 \pm 0.14 (3.1)	10.65 \pm 0.36 (3.3)	16.67 \pm 0.36 (2.2)	20.86 \pm 0.54 (2.6)	23.24 \pm 0.77 (3.3)	25.20 \pm 0.72 (2.9)
ϕ_M	9.87 \pm 0.23 (2.4)	41.19 \pm 9.45 (2.3)	9.29 \pm 0.28 (3.0)	24.74 \pm 0.64 (3.3)	94.41 \pm 1.98 (2.1)	97.94 \pm 0.98 (3.3)	72.28 \pm 2.23 (3.1)	84.60 \pm 2.24 (3.3)
ρ	1.26 \pm 0.06 (4.4)	0.89 \pm 0.06 (7.0)	1.32 \pm 0.05 (3.8)	1.26 \pm 0.07 (5.3)	1.88 \pm 0.08 (4.3)	0.90 \pm 0.05 (5.4)	2.86 \pm 0.10 (3.5)	1.43 \pm 0.10 (7.0)

758

759 **Table 1.** Transition rate values of the SBM for *C. albicans* and *S. aureus* infection of blood samples taken from either healthy volunteers or HLM
760 patients before cardiac surgery (pre-operative), immediately after surgery (post-operative) and one day after admission to intensive care (post-
761 operative + 1d). The transition rate values are given by the phagocytosis rate ϕ_N of neutrophils, the phagocytosis rate ϕ_M of monocytes and the
762 rate for acquiring immune escape ρ .

Figures

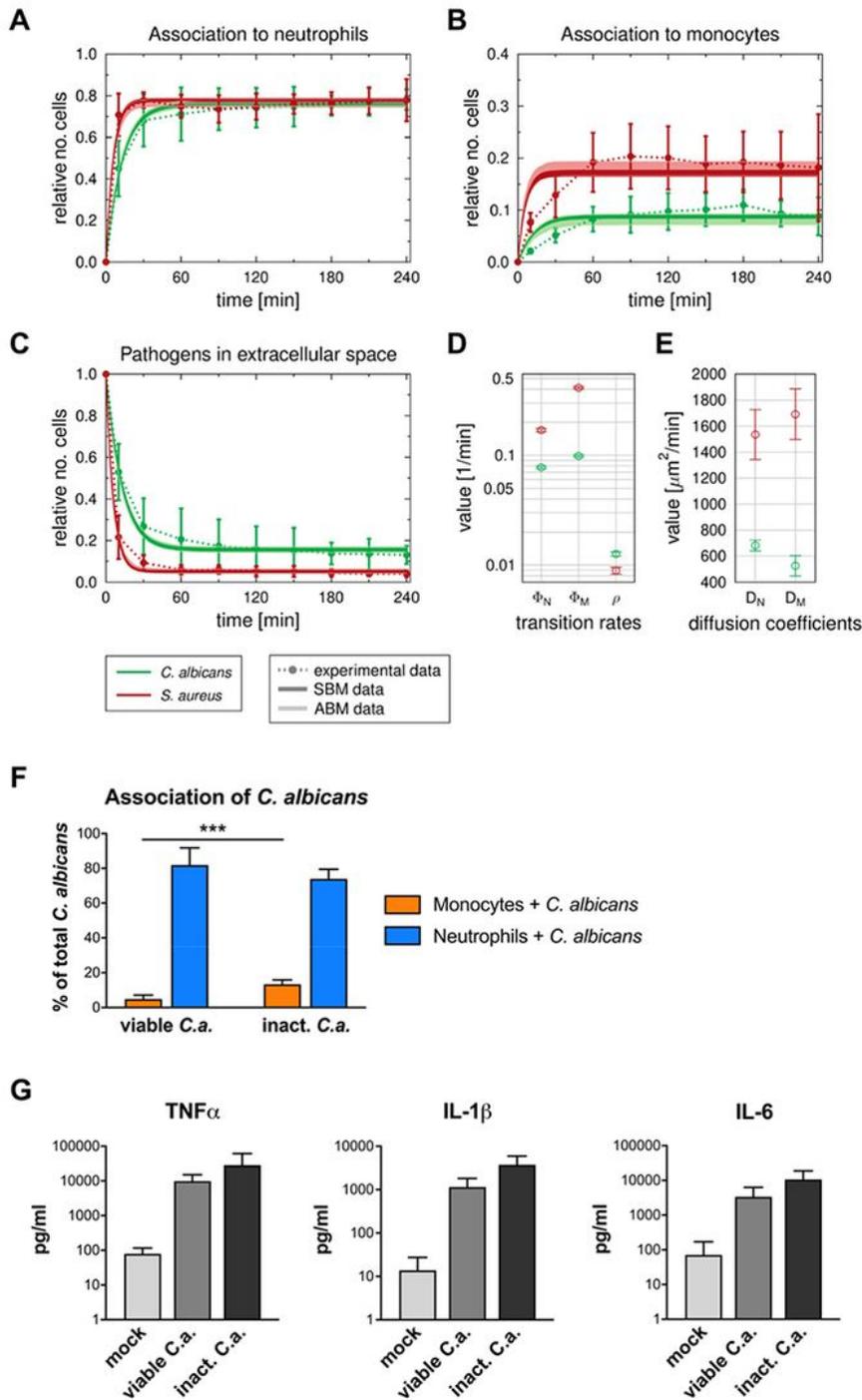


Figure 1

Comparison of the dynamics of host-pathogen interaction during *C. albicans* and *S. aureus* infection in healthy blood. (A-C) Results of fitting the state-based model (SBM) and the agent-based model (ABM) to experimental data. Simulated dynamics of the combined units (solid lines) were obtained by fitting the

SBM (dark color) and the ABM (light color) to the experimentally measured association kinetics (dotted lines). Experimental data were gained from whole-blood infection assays with either *C. albicans* (green lines, n=10) or *S. aureus* (red lines, n=7). SBM: line thickness represents SD obtained by 50 simulations with transition rate values sampled within their corresponding SD. ABM: line thickness represents the standard deviations obtained from 30 stochastic simulations with the estimated diffusion coefficients. (A) and (B), dynamics of the combined units PN and PM, which correspond to the experimental data on pathogens associated to neutrophils and monocytes. (C) kinetics of the combined unit PE together with experimentally measured kinetics of either fungal or bacterial cells in extracellular space. (D) Mean values (+/- SD) of transition rate values obtained by fitting the SBM to experimental data using simulated annealing. The rate of phagocytosis by neutrophils (λ_N) and by monocytes (λ_M) as well as the rate for immune escape (ρ) are depicted for infection scenarios with either *C. albicans* (green data points) or *S. aureus* (red data points). (E) Diffusion coefficients for neutrophils (D_N) and monocytes (D_M) were estimated by fitting the ABM to the experimental data for *C. albicans* (green) and *S. aureus* (red), respectively. Mean and SD are calculated from all parameter sets with a mean LSE within the SD of the optimal parameter set. (F) Association of viable and inactivated *C. albicans* with blood monocytes and neutrophils after 60 min quantified using flow cytometry. (G) Release of monocyte-derived cytokines (TNF- α , IL-1 β , IL-6) in plasma samples generated from 4 h whole-blood infection experiments in response to viable and inactivated *C. albicans* cells was investigated. Bars are shown as means \pm SD of at least 3 independent experiments with whole blood from different donors. Significance was estimated using the unpaired, two-sided Student t test (**P < 0.01, ***P < 0.001).

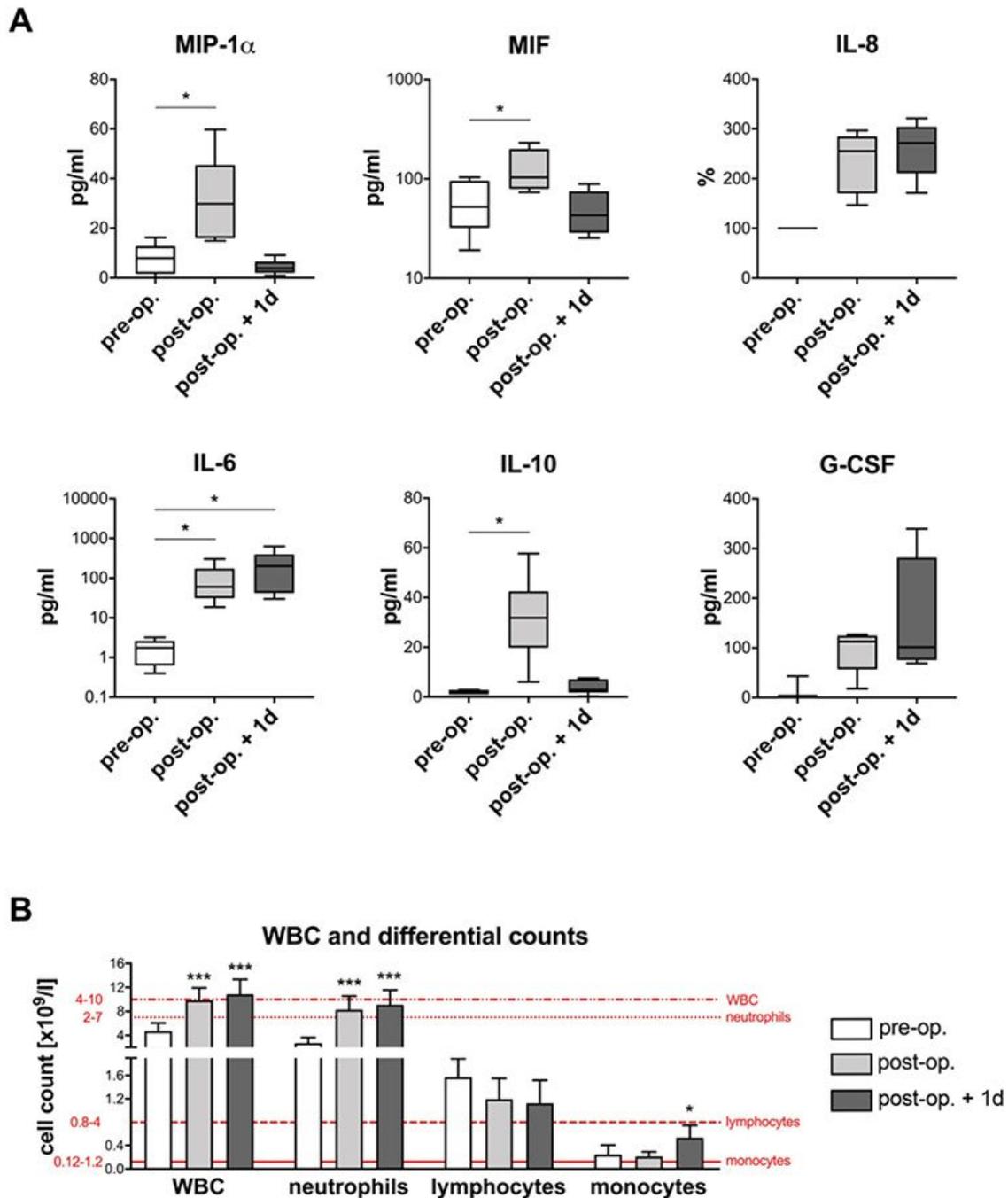


Figure 2

Blood after surgery shows changes in cytokine profiles and peripheral differential cell counts. Blood samples from six HLM patients taken before cardiac surgery (pre-operative), immediately after surgery (post-operative) and one day after admission to intensive care (post-operative + 1d) were analyzed for (A) cytokines levels (MIP1 α , MIF, IL-8, IL-6, IL-10, G-CSF) using Luminex technology and (B) white blood cell count (WBC) as well as neutrophil, lymphocyte and monocyte counts using an automated hematology

analyzer. Reference ranges of leukocytes are indicated in red. The plasma levels (pg/ml) of cytokines are presented, except for IL-8 levels that are normalized to plasma levels within pre-operative blood (set to 100%). Significance was estimated using the unpaired, two-sided Student t test and shown as *P < 0.05, ***P < 0.001.

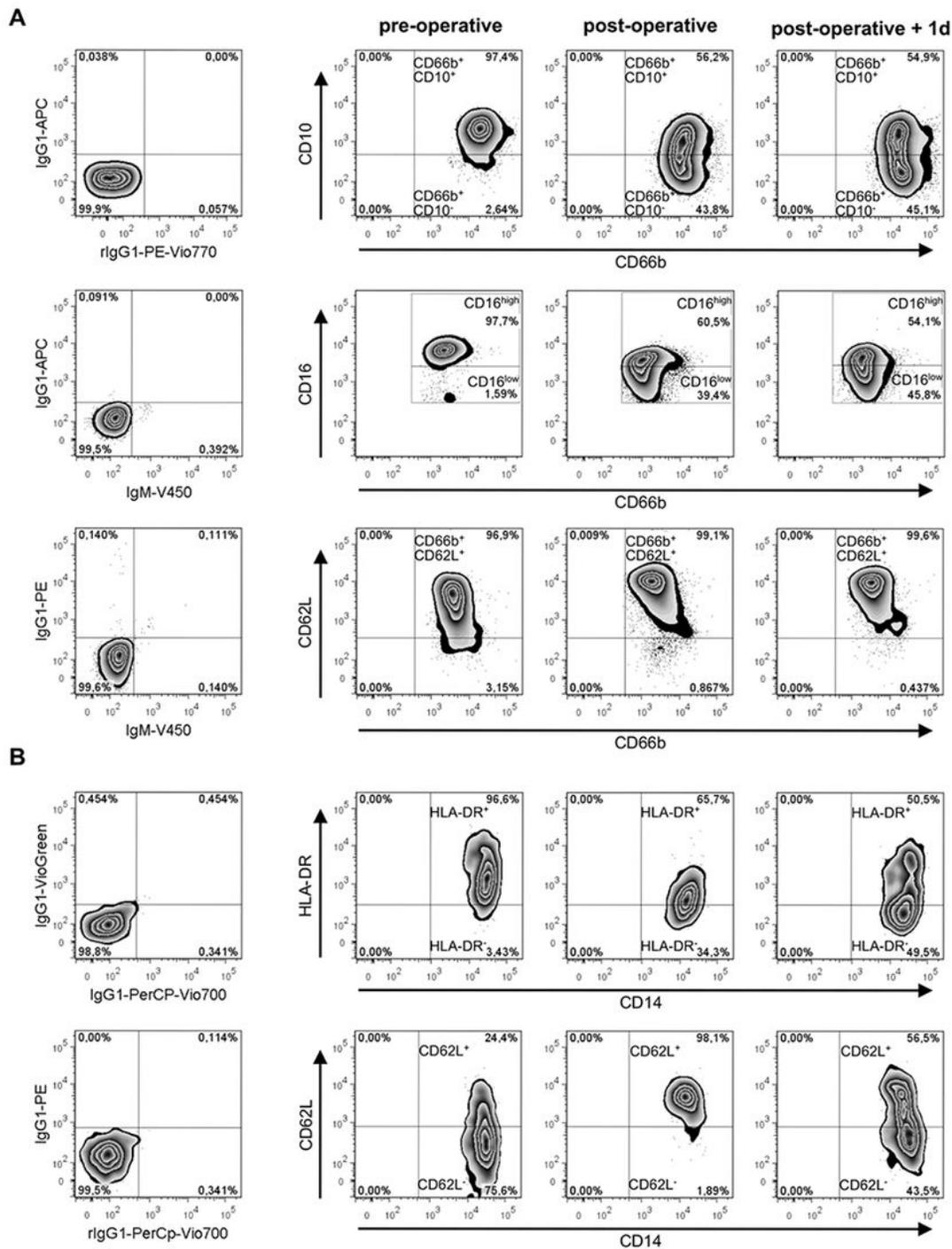


Figure 3

Surface phenotypes of monocytes and neutrophils after surgery indicate an increased number of immature cells in blood of HLM patients. Flow Cytometry analysis of CD66b+ neutrophils (A) and CD14+ monocytes (B) from whole blood of HLM patients taken before cardiac surgery (pre-operative), immediately after surgery (post-operative) and one day after admission to intensive care (post-operative + 1d) are shown. (A) Immature phenotype of CD66b+ blood neutrophils was analyzed by surface expression of CD10, CD16 and CD62L. Representative zebra plots show the change of surface phenotype by the shift of the population to the lower right quadrant for CD10 and CD16 and to the higher right quadrant for CD62L. (B) Surgery-induced changes on CD14+ blood monocytes are represented by zebra plots of HLA-DR and CD62L expression pattern. Left plots showing proper gate setting defined by isotype controls.

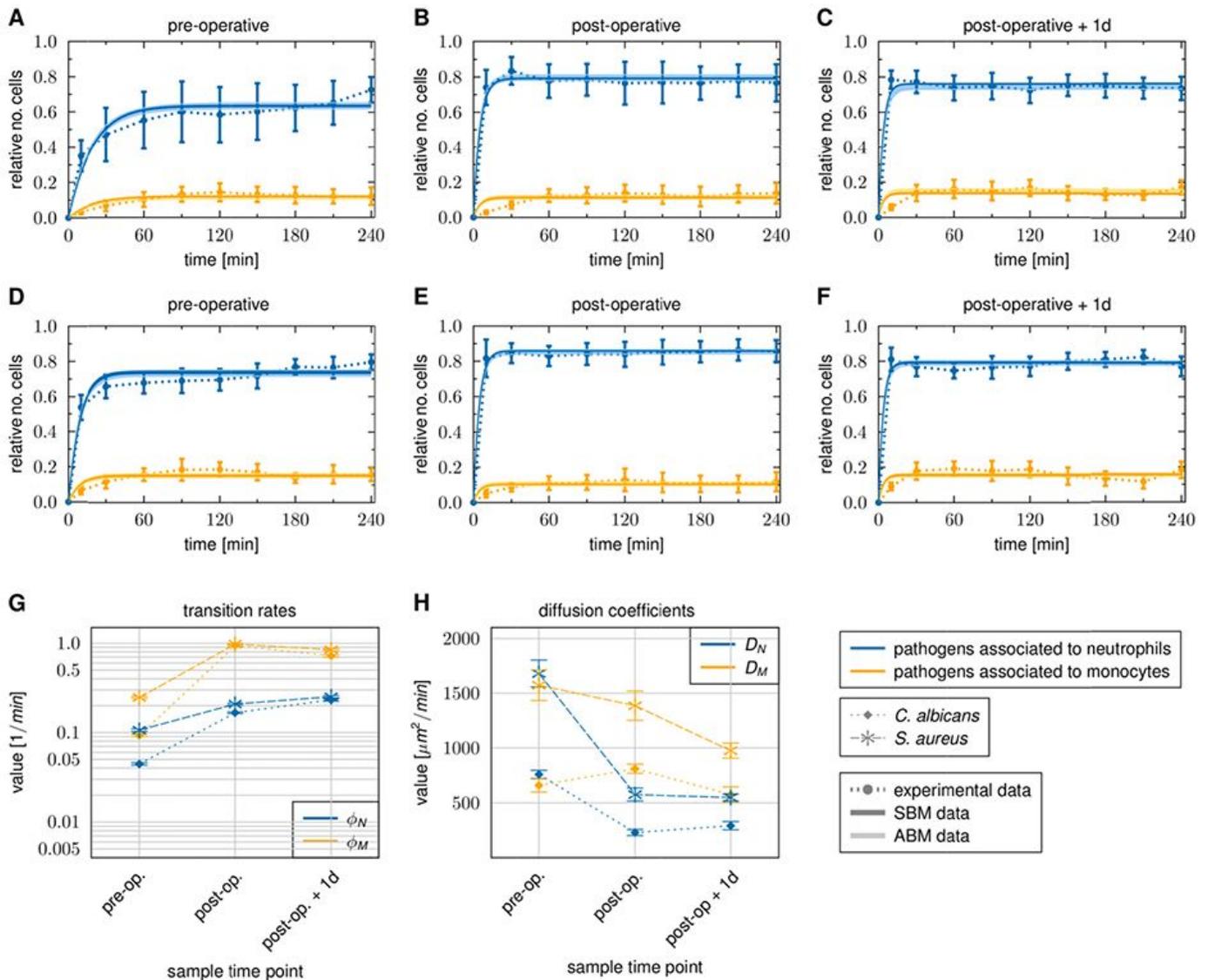


Figure 4

Time courses of pathogen association to immune cells observed in whole-blood samples of HLM patients. Blood samples were taken before cardiac surgery (pre-operative), immediately after surgery (post-operative) and one day after admission to intensive care (post-operative + 1d). Time-resolved experimental data (dotted lines) were obtained by whole-blood infection assays with either *C. albicans* (A-C) or *S. aureus* (D-F). Data points and error bars refer to the means and standard deviations of blood samples from six HLM patients. The simulated dynamics of the combined units (solid lines) were obtained by fitting the state-based model (SBM, dark color) and the agent-based model (ABM, light color) to the experimental data. The thickness of the results from the SBM (solid lines, dark color) represents the standard deviations obtained by 50 simulations with transition rate values that were sampled within their corresponding standard deviation. The thickness of the results from the ABM (solid lines, light color) represents the standard deviations obtained from 30 stochastic simulations of the ABM with the estimated diffusion coefficients. (G) Transition rate values of the SBM resulting from fitting the model to experimental data of either *C. albicans* or *S. aureus* infection in blood samples from HLM patients. The transition rate values are given for the phagocytosis rate λ_N of neutrophils and the phagocytosis rate λ_M of monocyte. (H) The diffusion coefficients are given for neutrophils D_N and monocytes D_M . Mean and standard deviation are calculated from all parameter sets with a mean LSE that lies within the standard deviation of the optimal parameter set.

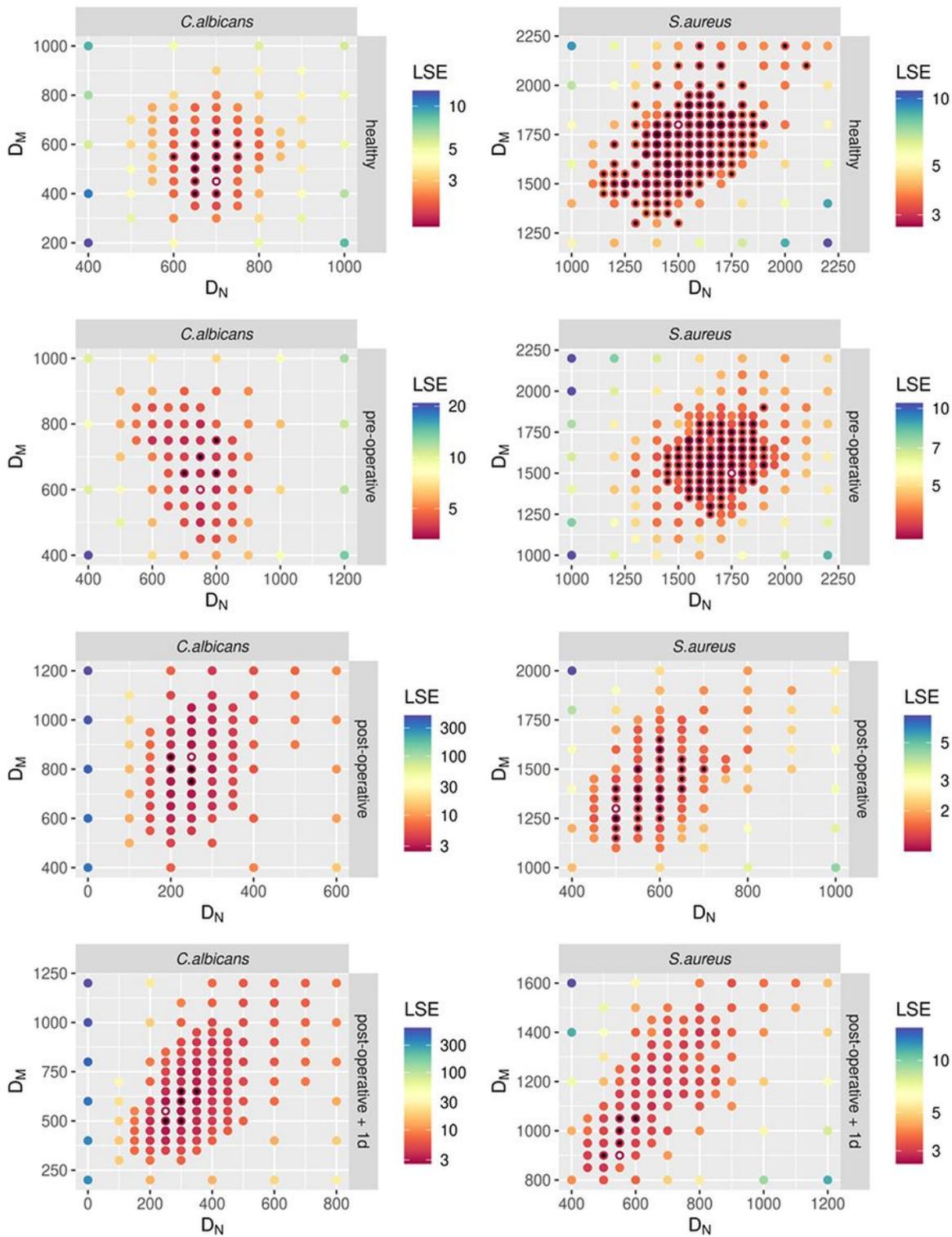


Figure 5

Results of fitting the agent-based model (ABM) to the experimental data from *C. albicans* and *S. aureus* infection using the method of adaptive regular grid search. The parameter space is shown for fitting the ABM to experimental data, where blood samples from healthy donors and HLM patients before surgery (pre-operative), immediately after surgery (post-operative) and one day after admission to intensive care (post-operative + 1d) were infected with *C. albicans* cells (left column) or *S. aureus* cells (right column).

Colors of the points refer to the weighted least squares error $E(p)$ for each parameter set $p = (D_N, D_M)$. The optimal parameter set is marked with a white dot. All parameter sets with a mean LSE that lies within the standard deviation of the optimal parameter set are marked with a black dot.

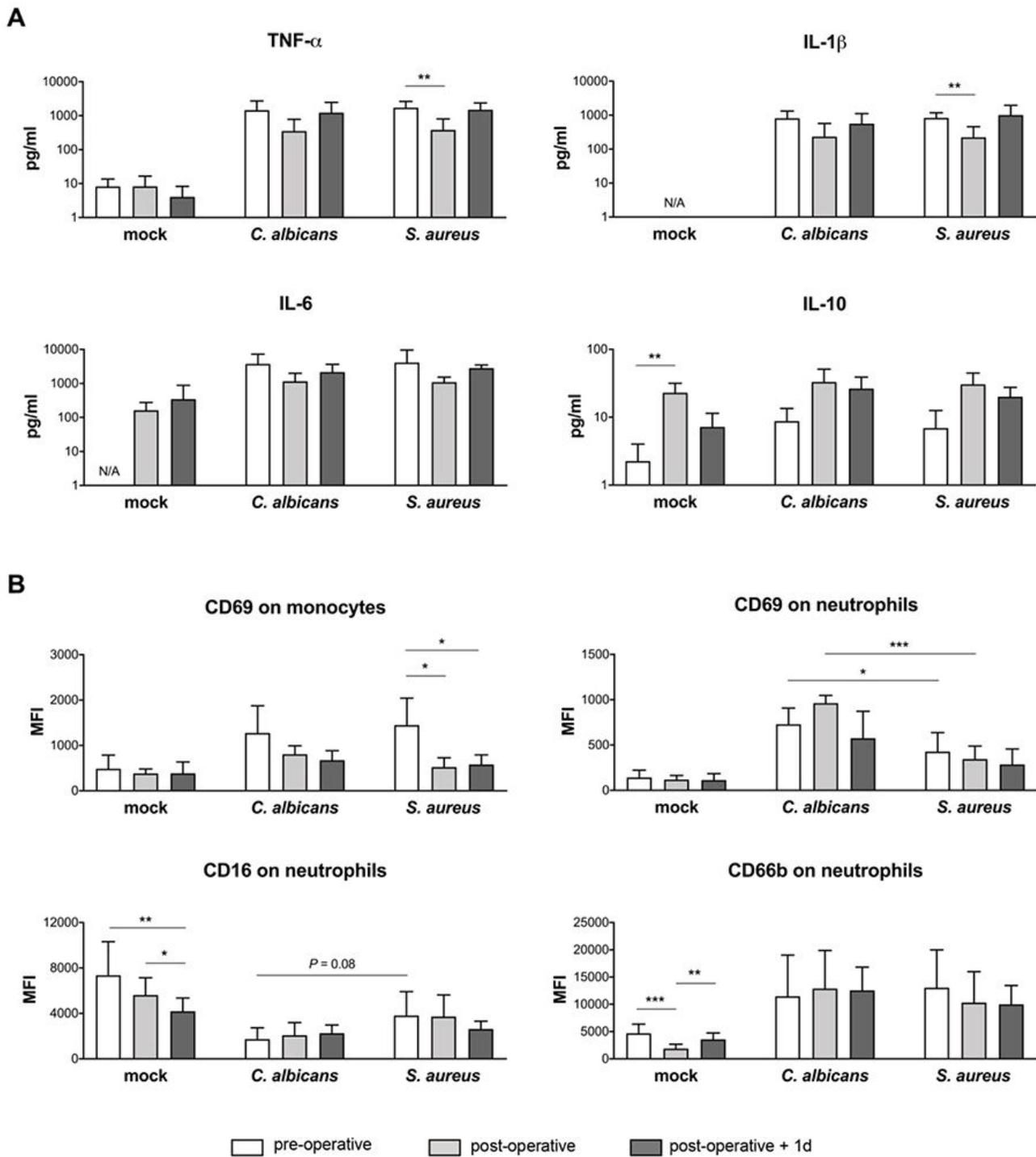


Figure 6

Changes in cytokine secretion and innate immune cell activation in whole blood from HLM patients after surgery. Blood samples from HLM patients were taken before cardiac surgery (pre-operative, non-filled

bars), directly after surgery (post-operative, light grey bars) and one day after admission to intensive care (post-operative + 1d, dark grey bars) and either mock-infected, treated with *C. albicans* or *S. aureus* for 4 hours. (A) Plasma levels of TNF- α , IL-1 β , IL-6 and IL-10 were quantified and bars are shown as means \pm SD. Results are presented as pg/ml; N/A stands for values not available. (B) Surface marker expression was analyzed on total monocyte population and on pathogen-associated neutrophils by flow cytometry. Data shown are mean fluorescence intensity (MFI) \pm SD. Significance is shown as * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$, unpaired, two-sided Student t test.

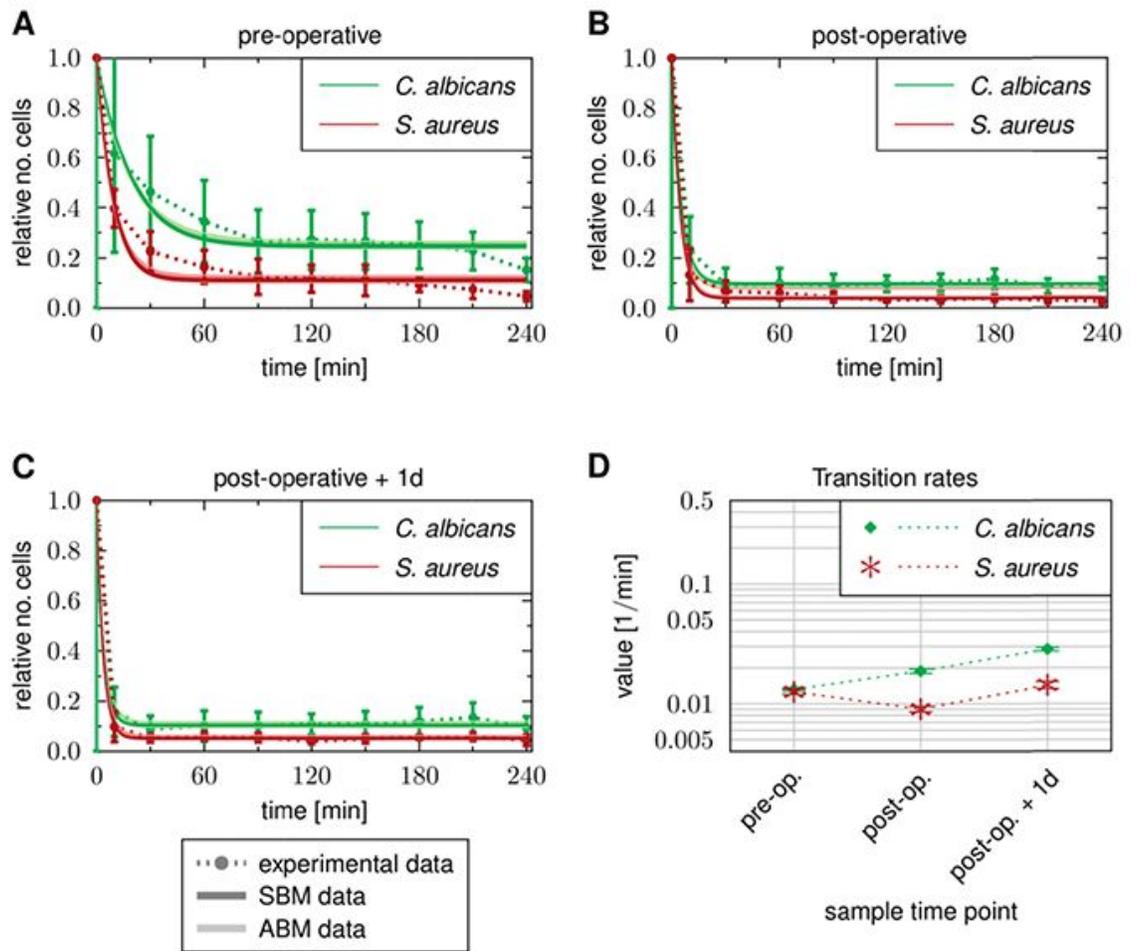


Figure 7

Time courses of extracellular pathogen association to immune cells observed in whole-blood samples of HLM patients. Blood samples were taken (A) before cardiac surgery (pre-operative), (B) immediately after surgery (post-operative) and (C) one day after admission to intensive care (post-operative + 1d). Time-resolved experimental data (dotted lines) were obtained by whole-blood infection assays with either *C. albicans* (green) or *S. aureus* (red). Data points and error bars refer to the means and standard deviations of blood samples from six HLM patients. The simulated dynamics of the combined units (solid lines) were obtained by fitting the state-based model (SBM, dark color) and the agent-based model (ABM, light color) to the experimental data. The thickness of the results from the SBM (solid lines, dark color)

represents the standard deviations obtained by 50 simulations with transition rate values that were sampled within their corresponding standard deviation. The thickness of the results from the ABM (solid lines, light color) represents the standard deviations obtained from 30 stochastic simulations of the ABM with the estimated diffusion coefficients. (D) Transition rate values for immune evasion ρ of the SBM resulting from fitting the model to experimental data of either *C. albicans* or *S. aureus* infection in blood samples from HLM patient.

Supplementary Files

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- [SupplementalFigures18022021.pdf](#)