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Escape behaviors in prey might have underlain the evolution of pennaceous plumage in predatory dinosaurs

Piotr Jablonski (piotrjab@behecolpiotrsangim.org) Seoul National University/Polish Academy of Sciences https://orcid.org/0000-0002-8333-0106 Jinseok Park (winterwren 15@gmail.com) Seoul National University Minyoung Son (\leq son06229@umn.edu) Seoul National University/University of Minnesota Jeongyeol Park (I flashpark@g.skku.edu) Sungkyunkwan University Sang Yun Bang (babaronka@snu.ac.kr) Seoul National University Jungmoon Ha (widans1021@gmail.com) Seoul National University Sungkyunkwan University Yuong-Nam Lee (vnlee@snu.ac.kr) Seoul National University https://orcid.org/0000-0003-1067-6074 Sang-im Lee (sangim@dgist.ac.kr) DGIST https://orcid.org/0000-0001-7808-7140

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4	Authors: Jinseok Park ¹ , Minyoung Son ^{2,3} , Jeongyeol Park ⁴ , Sang Yun Bang ¹ , Jungmoon Ha ¹ ,
5	Hyungpil Moon ^{4*} , Yuong-Nam Lee ^{2*} , Sang-im Lee ^{5*} , Piotr, G. Jablonski ^{1,6*}
6	
7	Affiliations:
8	¹ Laboratory of Behavioral Ecology and Evolution, School of Biological Sciences, Seoul
9	National University; Seoul 08826, South Korea
10	² Paleontological Laboratory, School of Earth and Environmental Sciences, Seoul National
11	University; Seoul 08826, South Korea
12	³ Makovicky Vertebrate Paleontology Lab, Department of Earth and Environmental Sciences,
13	University of Minnesota; Minneapolis 55455, U.S.A.
14	⁴ Laboratory of Robotics and Intelligent System Engineering, Department of Mechanical
15	Engineering, Sungkyunkwan University; Suwon 16419, South Korea
16	⁵ Laboratory of Integrative Animal Ecology, Department of New Biology, DGIST; Daegu 42988,
17	South Korea
18	⁶ Museum and Institute of Zoology, Polish Academy of Sciences; Wilcza 64, Warsaw, Poland
19	
20	*Correspondence to:
21	Correspondence regarding robot design should be addressed to hyungpil@g.skku.edu (HM),
22	regarding paleontological issues should be addressed to ynlee@snu.ac.kr (YL), regarding avian
23	flush-pursuers and their prey should be addressed to sangim@dgist.ac.kr (SL) or
24	piotrjab@behecolpiotrsangim.org (PGJ)
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25 Abstract:

26	Numerous non-avian dinosaurs possessed pennaceous plumage in the proto-wing and tail ¹ ,
27	whose function remains unclear. We hypothesize that they might have been used during hunting
28	that resembles avian flush-pursuers, who use wing/tail displays to flush hidden prey and pursue
29	it ^{2,3} . Using a dinosaur robot, we confirmed that efficiency in flushing grasshoppers by moving
30	forelimbs and tail increased when proto-wings were present, especially distally and with
31	contrasting pattern, and when caudal plumage (tail feathers), especially of large surface, was
32	present. Responses of grasshopper escape neurons to animations of distal proto-wing displays
33	matched the observed escape behavior. Hence, the surface of stiff pennaceous feathers might
34	have evolved to exploit the sensitivity of prey escape pathways and to help dinosaurs
35	aerodynamically in quick maneuvers during pursuits after prey.

36 Main

Spectacular dinosaur fossils with diverse feather types have been discovered in the past three 37 decades¹. The type of feathers used for flying in modern birds, the pennaceous feathers, are 38 limited to Pennaraptora among dinosaurs⁴. The earliest pennaceous feathers were present on 39 distal forelimbs as small 'proto-wings' and around the tip of the tail as distal caudal plumage in 40 the early-diverging pennaraptorans, as preserved in *Caudipteryx⁵*. Multiple lineages of feathered 41 dinosaurs reached powered flight before the true Aves⁶, but the early proto-wings were too small 42 to be used for powered flight⁷. The functions of distal proto-wings and caudal plumage might 43 have been related to foraging/hunting [insect netting^{8,9}; pouncing on prey¹⁰; leaping for prey¹¹; 44 immobilizing large prey¹²; running while flapping^{13,14}], or other behaviors [brooding¹⁵; wing-45 assisted incline running¹⁶; gliding¹⁷; intraspecific displays^{18,19}] (Supplementary Table 1). 46 Concerted association of multiple inter-related functions might have initiated the evolution of 47 true powered flight in certain ecological context such as foraging and/or predation. Here we 48 propose that a previously overlooked potential function of feathered proto-wings and tail might 49 have played an important role in these evolutionary processes: the function of flushing the prey 50 in the flush-pursue foraging known in extant birds^{2,20,21}. 51

Flush-pursue foraging involves displays of contrasting plumage on spread/flicked wings and 52 tails (Fig. 1a-f; Supplementary Note 1). In response to these displays, the prey escape from their 53 hiding places and become available for pursuits (aerial or cursorial) and subsequent captures by 54 predators^{2,3}. This strategy exploits the properties of relatively simple neural circuits that mediate 55 prey escape responses to visual stimuli^{2,20–22}. This exploitation occurs especially when the prey 56 has difficulty in quickly evaluating the absolute distance to, and the absolute size, speed, and 57 type of, the approaching predator²¹. Visual displays by flush-pursuing birds are found in various 58 families of primarily insectivorous or omnivorous birds (Fig. 1a–g; Extended Data Fig. 1; 59

60	Supplementary Notes 1 and 2). The escape behaviors occur in many prey animals ²² :
61	orthopterans ²³ , flies ²⁴ , crabs ²⁵ , crayfish ²⁶ , and small mammals ²⁷ – taxa that pennaraptoran
62	dinosaurs likely also hunted ²⁸ . Hence, this foraging strategy might also have been used by the
63	small predatory pennaraptorans with widely accepted fast-pursuit predation.
64	Here, we propose a <i>Flush-pursue Hypothesis</i> (Supplementary Table 1; Supplementary Note 3)
65	that includes some of the earlier hypotheses and observed evolutionary trends. It involves three
66	consecutive elements, two of which are shared with other hypotheses: (element 1) visual flush-
67	displays with feathered forelimbs/tails; (element 2) use of feathered forelimbs/tails in drag and/or
68	lift generation for pursues after prey [e.g., the "running while flapping" hypothesis ^{13,14} , the
69	"leaping" hypothesis ¹¹] or in attacks on flushed prey immediately after it lands on a substrate
70	[similar to "pouncing" hypothesis ¹⁰]; (element 3) use of quick forward moves of head on a long
71	neck as extant birds do, or possibly the use of hindlimbs (or even forelimbs) to capture the prey,
72	which could be aided by the use of proto-wings as insect nets ⁹ or to immobilize the prey ¹² . The
73	hypothesis highlights positive feedback between the "flush (element 1)" and the "pursue-attack
74	(elements 2 and 3)" of the strategy. The use of plumage to flush prey increases the frequency of
75	chases after escaping prey, which in turn increases the importance of plumage in drag-based or
76	lift-based maneuvering for a successful pursuit, leading to an increase in the plumage area and
77	stiffness and the forelimb ability to perform fast movements, which in turn may increase the
78	strength of the visual stimulus in flush-displays, leading to foraging that is even more based on
79	flushing, pursuing and capturing the flushed prey (Supplementary Table 1).
80	The flush-displays that cause an increase of the frequency and/or the distance at which the
81	prey initiates escapes invariably lead to increased foraging efficiency by avian flush-
82	pursuers ^{2,20,21} . Hence, the flush-pursue hypothesis can be experimentally evaluated by testing the

83 "flush" function of the distal proto-wings, distal caudal plumage, and their contrasting coloration

84	on the escape reactions of prey organisms. We used a robot (Robopteryx; Fig. 1h; Methods part
85	2; Extended Data Figs. 2–4) based on the morphology and size of <i>Caudipteryx</i> (Methods parts 1–
86	3) to flush grasshoppers Oedaleus infernalis (Fig. 1j; Methods part 4) by visual displays (Fig. 2a;
87	Extended Data Figs. 5-7). The Robopteryx represents a general cursorial bipedal theropod with a
88	long tail and forelimb movement range similar to the early pennaraptoran dinosaurs (Fig. 1h,i).
89	We also recorded responses of the LGMD/DCMD neural pathway (Fig. 1k) involved in
90	orthopterans' visually evoked escape reactions (Methods part 6).
91	The presence of distal proto-wings increased the flush frequency and the distance at which the
92	prey escaped (Fig. 2b; Supplementary Table 2, Methods part 4: exp. 1). Grasshoppers were
93	flushed more frequently by the distally rather than proximally located proto-wings (Fig. 2c;
94	Supplementary Table 3; Methods part 4: exp. 2). They escaped not only at the spreading stage of
95	the flushing movement (Supplementary Video 1) but also at the folding stage (Supplementary
96	Video 2): in separate tests at 35 cm to the animal, only 35% (7 out of 20) of grasshoppers
97	escaped in response to the forelimb folding movements, while 90% (27 out of 30) of
98	grasshoppers escaped in response to the whole flush-display. Grasshoppers escaped more often
99	when white patches were present on the proto-wings (Fig. 2d; Supplementary Table 4; Methods
100	part 4: exp.3). The flushing performance was higher when caudal plumage was present on the tail
101	(Fig. 2e; Supplementary Table 5; Methods part 4: exp. 4), and when its surface area was larger
102	(Fig. 2e; Supplementary Table 5; Supplementary Video 3). Grasshopper neurons (Fig. 1k)
103	mediating the escape responded more strongly when the distal proto-wings (Supplementary
104	Video 4) were present compared to when they were absent (Fig. 2g; Extended Data Fig. 8a;
105	Supplementary Video 5) in the computer animations of dinosaur forelimb's displays (Fig. 2f;
106	Methods part 6): the firing rate followed the pattern of the angular speed of forelimb movements
107	(Extended Data Fig. 8a). In summary, all the results are consistent with the idea that the proto-

wings, especially distally located and with contrasting patterns, as well as the feathered tails in
 predatory dinosaurs, might have been used to exploit escapes in their prey in a manner similar to
 avian flush-pursuers^{2,20,21}.

The *Flush-pursue Hypothesis* offers a new perspective on the evolution of the pennaceous 111 feathers suggesting that the exploitation of simple neural pathways mediating escape behaviors 112 of prey might have shaped not only the ancestral origins of the avian wing and tail but also some 113 other major evolutionary transitions. We hypothesize that even the earlier theropods (e.g., 114 Compsognathidae) with plumulaceous, filamentous feathers, membranes, and/or color patterns 115 on the tail [e.g., Sinosauroptery x^{29}] might have used these structures to flush prey. Additionally, 116 hypothetical dinosaurian flush-pursuers might have benefited from the development of the inner 117 ear³⁰, which might have helped in the precise control of fast motions during pursuit-attacks. 118 Body miniaturization³¹ might have helped in fast maneuvers during pursuits and might have been 119 linked to the evolution of insectivory, which is also typical for the extant flush-pursuers. We also 120 hypothesize that the flush-pursue foraging might have contributed to the early evolution of 121 membranous wings in Pterosauromorpha (pending fossil discoveries of pterosauromorphs with 122 proto-wings) as the laterally facing glenoids in Pterosauria and some of their closest precursors 123 Lagerpetidae support the wide range of forelimb motion needed for flush-displays³². 124

- 126 Methods
- 127

Part 1. Selection of *Caudipteryx* as a model for building a robot

129

128

130	We examined the following Caudipteryx specimens in publications: C. zoui [NGMC 97-4-A
131	(holotype) and NGMC 97-9-A (paratype) of Ji et al. ⁵ ; BPM 0001 of Zhou et al. ³³ ; PMOL
132	AD00020 of Li et al. ³⁴], <i>C. dongi</i> [IVPP V 12344 (holotype) of Zhou and Wang ³⁵], <i>C.</i> sp. [IVPP
133	V 12430 of Zhou et al. ³³ ; LPM0005 of Feduccia and Czerkas ³⁶].
134	Pennaceous feathers with rachis structure are restricted only to Pennaraptora [Oviraptorosauria
135	+ Paraves ⁴]. Therefore, the evolution of wings that can be used for aerial locomotion has
136	occurred within this clade. Oviraptorosauria is the phylogenetically most basal clade in
137	Pennaraptora.
138	We selected the forelimbs of oviraptorosaur <i>Caudipteryx</i> as a representation of the ancestral
139	condition of Pennaraptora because Caudipteryx is one of the basal taxa with almost completely
140	preserved 'proto-wings'37. Caudipteryx forelimb and tail pennaceous feathers (open-vaned,
141	broad, and 'frond' shaped) are symmetrical and highly simplified compared to those of flying
142	birds, both extant and extinct ^{5,38,39} . The pennaceous forelimb feathers of <i>Caudipteryx</i> are located
143	distally, and the pennaceous tail feathers are restricted to the tail tip ^{5,37,39,40} . Although the tail
144	feathers are pennaceous (vaned), no specimen preserves evidence of hooklets on the barbules ⁵ . In
145	Caudipteryx (IVPP V22606), two layers of tail feathers are evident: one layer of shorter rachis-
146	less body feathers and another layer of longer pennaceous tail feathers ⁴¹ .
147	The feathered forelimbs of Caudipteryx could have produced weak aerodynamic forces in
148	rapid terrestrial locomotion ⁴² . However, feathers on the forelimbs and tail of <i>Caudipteryx</i> are

149 probably not related to flight because they have no striking aerodynamic features and no

osteological features to support any aerial capability³⁸. Other functions, such as maintaining
balance or producing additional thrust during running or climbing, insulating eggs, and
displaying, are all viable hypotheses³⁸. *Caudipteryx*, with the center of mass situated anteriorly,
probably used a running mechanism more similar to that of modern cursorial birds than more
basal bipedal dinosaurs⁴³.

The evolution of predator's plumage that visually contrasts with the background appeared to be advantageous in flush-pursuit foraging⁴⁴, and light patches on the darker plumage were also shown to be advantageous in the context of flush-pursue foraging⁴⁴. Considering that both the tail and body feathers of *Caudipteryx* are known to be black³⁴, and visible banding patterns are shown in the tail feathers (striped caudal plumage)^{5,45}, *Caudipteryx* is a reasonable model species for evaluating the effect of the color pattern of proto-wings/caudal plumage on flushing performance in non-avian dinosaurs.

This decision should be viewed in light of the following remarks: (i) Caudipteryx is from the 162 early Cretaceous (Barremian-Aptian), whereas the first pennaraptorans are thought to have 163 emerged as late as the middle Jurassic, as evidenced by the more derived $Archeopteryx^{46}$. 164 However, to infer the ancestral state of the most basal pennaraptorans, using basal taxa rather 165 166 than the oldest taxa is likely more reliable, pending the discovery of Jurassic caudipterids. (ii) the preservation of gastroliths in several Caudipteryx fossils^{5,47} indicates that the diet might have 167 included hard plant materials²⁸. However, gastroliths may also indicate a diet of arthropods with 168 hard exoskeletons [suggested in extant lizards^{48,49}], suggesting an omnivorous diet. In general, 169 the most basal Pennaraptora, Oviraptorosauria, and the derived Paravian theropods, 170 Deinonychosauria, showed a diversity of feeding ecology, including carnivory, insectivory, 171 omnivory, and herbivory²⁸, a situation similar to the extant avian flush-pursuers [e.g., 50-52], for 172 which the flush-pursue strategy is one of many employed during foraging. (iii) Caudipteryx 173

174	shows particularly short arms and tails ^{19,53} with a reduced third finger, all of which are derived
175	condition in Oviraptorosauria [the most basal clade of pennaraptoran dinosaurs ¹⁹]. Thus, if the
176	experimentally imitated flush-displays by the relatively short-armed robot generally similar to
177	<i>Caudipteryx</i> ^{19,53} or <i>Incisivosaurus</i> [specimens ⁵⁵ formerly referred to as <i>Similicaudipteryx</i> ¹]} will
178	prove efficient in flushing arthropods, then this function will likely be amplified in other
179	relatively long-armed taxa. The flushing function could be easily generalized to other basal
180	pennaraptoran with longer forelimbs such as <i>Protarchaeopteryx⁵</i> and Scansoriopterygids ⁵⁶ . (iv)
181	the arms of many small-bodied theropod dinosaurs, even those believed to have predatory habits,
182	were relatively short and might not have been used at the capture stage, when capture in the
183	mouth with a long and robust neck might have been more efficient ⁵⁷ . However, the forelimbs
184	equipped with claws could have been used to handle the prey after the capture. (v) Caudipteryx
185	shows some disparity in feather size and distribution among caudipterids: pennaceous feathers
186	are more restricted to the distal portion of the forelimb (with shorter secondary feathers) and tail
187	in Caudipteryx than in other members of Caudipteridae {e.g., Incisivosaurus
188	["Similicaudipteryx" ⁵⁵] and Xingtianosaurus}. However, since the Caudipteryx specimens offer
189	the most complete and comprehensive data among caudipterids and possess the relatively distal
190	distribution of pennaceous feathers on forelimbs and tail (likely to boost the efficiency in flush-
191	pursuit foraging), we think that the use of Caudipteryx with its distal proto-wings as a model
192	ancestral early-diverging pennaraptoran is both conservative and represents the best use of the
193	available data.
194	Since its initial report in 1998, Caudipteryx still serves as the most representative basal-most
195	pennaraptoran in the fossil record currently known, and we aim to shed light on the evolution of
196	proto-wings and caudal plumage considering the following assumptions:

198	(i) the early members of Pennaraptora generally had similar proto-wings' dimensions
199	(relative to body size) to those of <i>Caudipteryx</i> and
200	(ii) their forelimb's movement range was anatomically restricted in a manner similar to
201	Caudipteryx;
202	(iii) the early members of Pennaraptora hunted small prey such as insects, crustaceans (e.g.,
203	crabs), small reptiles, and small mammals that use visually triggered escape behaviors to
204	avoid predation;
205	(iv) the early members of Pennaraptora were skilled in chasing (running) the flushed prey.
206	
207	Part 2. Building a robot based on the morphology and size of <i>Caudipteryx</i>
208	
209	Based on the skeletal and plumage anatomy of fossil specimens of <i>Caudipteryx</i> , we built a robot
210	(Robopteryx; Fig. 1h; Extended Data Fig. 2a) of a size similar to that of <i>Caudipteryx</i> . Of the
211	known <i>Caudipteryx</i> specimens, we chose IVPP 12430^{33} for the overall body proportions (length
212	of body, hip height, length of arms, and tail), IVPP 12344 ³⁵ for the shape of the proto-wing, and
213	NGMC 97-4-A for the tail feather dimensions and pattern ⁵ , and PMOL AD00020 for coloration ³⁴ .
214	The dimensions of arms and proto-wing referred to the identical specimens as in Talori et al. ¹³ .
215	There is an indication that the presence of propatagium should be treated tentatively ⁵⁸ . However,
216	based on the visible contour of what is presumed to be the propatagium and as inferred from the
217	preserved positions of the forelimbs, we imitated the propatagium based on LPM0005 ³⁶ .
218	As the tail feathers of reported Caudipteryx specimens are folded in half, the opened outline
219	was inferred from the tail fan of Incisivosaurus STM22-61 (formerly known as a specimen of
220	Similicaudipteryx). We took a conservative approach and assumed the folded tail feathers of
221	(PMOL AD00020) represented the anteriormost margin of the opened tail fan and assumed that

the distal-most feathers would have filled in the gap of the fan, as in STM22-6, to form acontinuous fan.

The robot was built from aluminum (A6061); the CAD used to build the robot is shown in 224 Extended Data Fig. 3a,b. We made proto-wings and caudal plumage using black-colored paper 225 (Fig. 2a). Plastic pieces were inserted between segments of the proto-wing to control their 226 minimum and maximum range of movements (Extended Fig. 2d1-d3). The propatagium was 227 228 made of black elastic stocking (Extended Data Fig. 2b), and the head was built using blackcolored polystyrene (Fig. 1h; Extended Data Fig. 2a). For imitating a bent tail, an additional 229 structure was attached to the tail part (Extended Data Fig. 2c). The main body was covered with 230 black-colored felt (Fig. 1h; Extended Data Fig. 2a). 231

232 The tendon-driven mechanism was applied to the robot's forelimb and tail motions. Fishing line (thickness: 0.47 mm, tensile strength: 45 kg) or belt were used as tendons (Extended Data 233 Fig. 3c1–d2), which were each connected to a motor (XM430-W210-R, Robotis), respectively. 234 For the forelimb motion, two fishing lines were used in controlling motion for the pitch and yaw 235 rotation (green and blue lines in Extended Data Fig. 3c1,c2); a belt was used in controlling 236 motion for the roll rotation (red line in Extended Data Fig. 3c1,c2). The fishing line controlling 237 238 the yaw axis was also designed to also rotate the elbow joint. An additional fishing line (yellow line in Extended Data Fig. 3c1,c2) was used in rotating the wrist joint passively. For the tail 239 motion, a motor with a fishing line was used to control the pitch rotation (green line in Extended 240 Data Fig. 3d1,d2). Additionally, we implemented the yaw motion (motor no.5 in Extended Data 241 Fig. 3d1,d2) and spread the tip of the tail (blue line in Extended Data Fig. 3d1,d2) in the robot. 242 The schematic diagram of the robot control system is shown in Extended Data Fig. 3e. The 243 robot controller (OpenCM9.04-C, Robotis) receives an operation command created from a 244 mobile phone through the Bluetooth communicator (BT-410, Robotis). The controller is 245

246	connected to a series of nine motors, and the power is supplied through an external battery (LIPO
247	Battery LB-020, Robotis) directly connected to one of the motors.
248	
249	Part 3. Choosing the postures and movements of the forelimbs and tail
250	
251	We needed to set the range of angular movements performed by the robot's forelimb and tail to
252	imitate the hypothetical ranges of Caudipteryx. We considered several angle types in the robot
253	(Extended Data Fig. 4) as descried below:
254	
255	Angles defined in the side view of the robot (Extended Data Fig. 4a, upper row):
256	- Shoulder angle (S) – The angle between the humerus structure and a horizontal line parallel to
257	the lower part of the main body.
258	- Elbow angle (E) – The joint angle at the elbow.
259	- Wrist angle (W) – The joint angle at the wrist.
260	- Tail angle (T) – The angle between the tail structure and the main body.
261	
262	Angles defined in the frontal view (Extended Data Fig. 4b, lower row):
263	- Lift angle (L) – The angle between the humerus structure and the vertical line running along the
264	side of the main body.
265	
266	Based on the literature ⁵⁹ , the angles should ideally be set to the following values at the resting
267	posture: ~33° for S, ~106° for E, and ~131° for W. However, due to the limit in the robot's
268	design (one motor controls the two joints), we always set values of the E and W to be identical:
269	~106°. This setting allows mimicking the spreading and folding of the arm as a consequence of

270	automatic wrist folding ⁶⁰ . The automatic wrist folding mechanism was previously known from
271	volant birds ⁶⁰ but was recently also described in alligators and ostriches ⁶¹ . Therefore, by the
272	extant phylogenetic bracketing approach ⁶² and the presence of propatagium ³⁶ , <i>Caudipteryx</i> is
273	expected to have used a similar mechanism, as has been proposed even for Chilesaurus ⁶³ of
274	debated affinity inside Dinosauria ^{64–66} . Hence, we set the values for the resting posture in the S,
275	E, and W as 33°, 106°, and 106°, respectively (Extended Data Fig. 4a). We set the angle L as 12°,
276	a consequence of the robot's forelimb structure (Extended Data Fig. 4a).
277	Estimating the range of motion helps infer joint mobility in vivo ⁶¹ . Because the exact range of
278	motion cannot be directly induced from the bones of <i>Caudipteryx</i> , as the bones are all
279	compressed during taphonomic processes in known specimens, we used a conservative method
280	of phylogenetic bracketing. In this method, we chose model organisms representing a more basal
281	condition (Acrocanthosaurus) and a more derived condition (Bambiraptor) ⁶⁷ and assumed that
282	the range of motion at the shoulder joint of <i>Caudipteryx</i> would have been in between those two.
283	In other words, the data for the two taxa offer estimates of the minimum and maximum range of
284	motion (Supplementary Table 8) in the phylogenetically intermediate taxon of our interest.
285	Morphology of the articular surface of the glenoid, where the upper arm (humerus) meets the
286	shoulder (scapula), of Caudipteryx specimens, indicates that they were unlikely to have been
287	held over horizontally [Senter ⁶⁷ , <i>contra</i> Talori et al. ¹³], or have had a range of motion seen in
288	more derived dromaeosaurids, much less than in birds. The range of motion in shoulder raising
289	may have been closer to Acrocanthosaurus ⁶⁸ , which probably could not be raised to the
290	horizontal ⁶⁹ . The elbow flexion is beyond 90 degrees in Ornithomimosauria and more derived
291	clades ⁷⁰ . The range of motion in the elbow might be intermediate between <i>Acrocanthosaurus</i> and
292	Bambiraptor, with its folding movements closer to Bambiraptor ⁶⁹ . As for the wrist, the radial
293	angles of Caudipteryx imply that the range of abduction was even greater than in

294	dromaeosaurids ⁷¹ . Therefore, we assumed that the wrist could fold like some extant birds but
295	could not be held straight ⁷¹ . In summary, we used the following estimated motion ranges: $19^{\circ} \sim 2^{\circ}$
296	$\leq S \leq 114^{\circ} \sim 123^{\circ}, 55^{\circ} \leq E \leq 136^{\circ}, 0^{\circ} \leq W < 180^{\circ}, L \leq 88^{\circ} \text{ (Supplementary Table 8)}.$
297	We chose a hypothetical forelimbs' flushing movement within the estimated motion range
298	(Supplementary Video 6). The proto-wings' flushing movement (Extended Data Figs. 4a and 5)
299	started from the resting posture (S = 33°, E = 106°, W = 106°, L = 12°) to the estimated
300	maximum value of each angle (S = 123° , E = 136° , W = 136° , L = 88° ; this process takes 0.42
301	sec), pause for 0.2 sec, and then revert to the resting posture (this process takes 0.42 sec). This
302	movement shows very similar forelimbs' trajectory to the ground-foraging flush-pursuers [e.g.,
303	Greater Roadrunner (Geococcyx californianus; link 1 provided in Supplementary Note 1),
304	Rufous-tailed Scrub Robin (Cercotrichas galactotes; link 25 to 28 provided in Supplementary
305	Note 1)]. The Greater Roadrunner's flushing movement speeds are about 0.23 sec for wing
306	spreading and folding (link 1 provided in Supplementary Note 1).
307	With a proportionately large body, M. longissimus and M. ilio-ischiocaudalis, oviraptorosaurs
308	would have been capable of swinging and twisting their tails both mediolaterally and
309	dorsoventrally with a degree of muscular dexterity beyond that of most other theropods and
310	modern reptiles ¹⁹ . However, in the robot, we only used a simple vertical up-down tail movement
311	imitating the tail-flushing movement of some of the extant flush-pursuers (Supplementary Note
312	1): the value of T is from 150° to 90° (this process takes 0.33 sec), and then revert to 150° (this
313	process takes 0.33 sec; Extended Data Figs. 4b and 6). Sidewise movements with the tail, present
314	in some flush-pursuers, were impossible due to the robot's design constraints.
315	

317 movements

316

14

Part 4. Behavioral experiments: responses of grasshopper to the robot's flushing

319	We conducted behavioral experiments on the band-winged grasshopper Oedaleus infernalis
320	(Orthoptera), which can serve as a model of prey susceptible to flush-pursue foraging. We chose
321	it as the study species because of its high abundance. Species identification was made using field
322	guide books on Orthoptera ^{72,73} . As the escape behavior of orthopterans is likely affected by sex ⁷⁴ ,
323	we tested adult males only. The sex was identified based on the body shape and size in the field
324	without capturing the animals.
325	Orthoptera is an ancient prey taxon ⁷⁵ that evolved a fast escape reaction as an adaptation to
326	avoid attacking predators ⁷⁵ . The grasshoppers may be unable to precisely evaluate the distance,
327	size, and type of an approaching predator due to constraints of their sensory systems, including
328	relatively poor resolution and close distance between the eyes. Instead, they use relatively simple
329	looming-detect neural circuits that mediate the visually triggered escapes in response to looming
330	objects ^{76,77} , including fast-approaching predators. The visual displays by flush-pursue predators
331	produce those types of stimuli and exploit the visually triggered escape responses in prey.
332	From August to September 2020 and 2021, we conducted behavioral experiments on males of
333	Oedaleus infernalis (Fig. 1j) along a 2-Km-long trail (37°40'12.3"N, 126°53'11.4"E) in Go-yang
334	and a 1-Km-long trail (35°42'00.2"N 128°27'29.0"E) in Dae-gu, South Korea. We chose
335	grasshoppers resting on the road/path where the robot can be easily placed facing the
336	grasshopper without much disturbance. We tested the grasshopper's frequency of escapes in
337	response respond to the robot's movements (see experimental treatment description below).
338	The experiments were conducted according to the following general procedure (e.g., Fig. 1h): (1)
339	Gently place a length-marked wooden stick next to the grasshopper. (2) Take a picture of the
340	grasshopper and record its body orientation relative to the robot's position. (3) Run the robot's
341	forelimb or tail display movements (using phone wireless controller software) at a specific

342	distance(s), depending on the experiment. If the grasshopper escaped at first (the farthest)
343	distance, the experiment on the individual was over. But if the grasshopper did not respond to the
344	robot's movements, we moved the robot to the next test distance closer to the grasshopper until
345	the grasshopper responded or until the closest distance to the grasshopper planned in the
346	experimental design. To place the robot in front of the grasshopper, we moved the robot
347	carefully using a long beam attached to the robot. We tested 3 to 5 individuals in one
348	experimental treatment, followed by 3-5 tests in the subsequent treatment, and some experiments
349	with the third experimental treatment; finally, we tested 3-5 individuals in the third treatment,
350	after which we returned to using the first treatment. We repeated this cycle for several hours per
351	day, resulting in no bias among treatments regarding the time of day. To avoid the possible effect
352	of the shadow created by the robot's movements on the grasshopper's response, we placed the
353	robot where no shadows would appear near the grasshopper while the robot's forelimbs or tail
354	were moving. The main body of the robot was tilted 37° upward for the experiments concerning
355	the proto-wings (experiments 1 to 3; Extended Data Fig. 2e) to imitate a posture observed in
356	ground flush-pursuers that use wing displays such as greater roadrunners, northern mockingbirds,
357	or rufous-tailed scrub robins (see Supplementary Note 1). The main body of the robot was tiled
358	40° downward for an experiment concerning caudal plumage (experiment 4; Extended Data Fig.
359	2f) to imitate a situation of a body tilted forward during tail displays in some of the flush-
360	pursuers such as in the body pivoting of Myiobrous redstarts with upward-lifted and spread tail,
361	or similar to the willie wagtail's (Rhipidura leucophrys) foraging movements at short moments
362	when the tail is quickly cocked upwards while the head points downward.

Experiment 1. The effect of the presence of proto-wings on the forelimbs and the motor sound created during the robots' flushing movement on the flushing performance.

366	We used three experimental conditions: (1-1) robot presented without forelimb movements but
367	with sounds of the robot played back through a speaker (Extended Data Fig. 7a1); (1-2)
368	movements of forelimbs without proto-wings (Extended Data Fig. 7a2); (1-3) movements of
369	forelimbs with distal proto-wings (Extended Data Fig. 7a3). Since auditory cues are also used to
370	detect predators ⁷⁴ , condition (1-1) was used to determine the effect of noise that occurs when the
371	robot is operating. The motor noise was recorded using a microphone (BY-MM1, BOYA)
372	connected to a smartphone before the experiments, and it was played through a speaker
373	(XMYX03YM, Xiaomi) attached to a structure between the legs of the robot. The test distances
374	were 100, 80, 60, 40, and 20 cm (between the grasshopper and the point between the robot
375	wheels). We found that grasshoppers were not seriously affected by the motor sound (only two
376	jumped away out of total 46 tests in the 1-1 condition) and that the remaining treatments with
377	moving forelimbs triggered escapes significantly more often than the sound-only treatment:
378	Dunn's test with Bonferroni correction, P for (1-1) vs. (1-2) < 0.001, (1-1) vs. (1-3) < 0.0001
379	(Extended Data Fig. 9; Supplementary Table 2). Based on these comparisons, we regarded the
380	sound effect on grasshoppers' escapes as negligible, and we focused on the comparisons between
381	the two remaining treatments (i.e., 1-2 vs. 1-3) presented in the main text Fig. 1b.
382	
383	Experiment 2. The effect of the presence and location of proto-wings on the flushing
384	performance.
385	For efficient gliding, the development of surfaces near the body is expected ¹⁰ . For efficient
386	flushing and pursuing the prey, by contrast, the development of surfaces on the distal parts of the
387	forelimbs is expected because it produces a relatively stronger visual stimulus during limb
388	movements. To determine the effect of the presence and location of the proto-wings on the
389	flushing performance, we tested grasshoppers in three experimental treatments: (2-1) proto-

390	wings absent (Extended Data Fig. 7b1); (2-2) proximal proto-wings present (Extended Data Fig.
391	7b2); (2-3), and distal proto-wings present (Extended Data Fig. 7b3). Proximal and distal proto-
392	wings have an identical surface area (128 cm ²) to the distal proto-wings at the peak of the visual
393	stimulus of the flushing movement (right before folding the forelimbs). Based on the results of
394	Experiment 1, we chose 70 and 35 cm as test distances to simplify the experimental procedure in
395	Experiment 2. None of the grasshoppers responded to the robot's flushing movement at 70 cm.
396	Therefore, we only used the responses at 35 cm for statistical comparisons among the treatments.
397	We also noticed that the grasshoppers escaped at the forelimbs' spreading stage as well as
398	folding stage of the flushing movement. We thus conducted an additional experiment to compare
399	the effect of opening-only vs. folding-only movement on the grasshoppers' escapes at 35 cm to
400	the grasshopper.

Experiment 3. The effect of proto-wings' color contrast on the flushing performance. 402 Plumage color patterns in non-avian feathered dinosaurs might have played a role in many 403 aspects of their life, including signaling function, thermoregulation, and crypsis^{18,78,79}. Plumage 404 coloration, such as the light and dark regions in the tail fan of *Caudipteryx*⁵, might have been 405 used in display and communication (e.g., intersexual communication)^{18,19,80,81} regardless of 406 407 whether it was used for flush-pursuing or not. For example, some extant flush-pursuers, such as the Painted redstart (Myioborus pictus), use white patches in flushing the prey⁸² as well as in 408 territorial interactions (the display behavior is different from these two functions). Hence, similar 409 situations might have occurred among the pennaraptoran dinosaurs. The contrast in the plumage 410 is known to affect the foraging efficiency of extant flush-pursuers [e.g., ^{2,20,21}]. To determine the 411 effect of proto-wings' color contrast on flushing performance, we tested grasshoppers in two 412 experimental treatments: (3-1) plain black proto-wings (Extended Data Fig. 7c1); (3-2) white-413

414	patched proto-wings (Extended Data Fig. 7c2). Using white paint, we created a hypothetical
415	stripe pattern (Extended Data Fig. 7c3) on the original black proto-wings. As none of the
416	grasshoppers escaped at the distance of 70 cm in Experiment 2, we chose closer distances: 60
417	and 50 cm. Also, considering that 90% of grasshoppers escaped in response to the robot's
418	flushing movement equipped with the proto-wings in Experiment 2 at 35 cm, we chose a slightly
419	larger distance of 40 cm as the nearest distance to be able to observe differences between the
420	plain black proto-wings and the white-patched proto-wings treatments in the frequency of
421	escapes. Hence, in Experiment 3, we used three subsequent distances in the field procedure: 60,
422	50, and 40 cm. As the grasshoppers escaped only at the distance of 40 cm, the statistical
423	comparison between the two treatments was conducted only on the results from 40 cm tests.
424	
425	Experiment 4. The effect of the presence and area of caudal plumage on the flushing
426	performance.
426 427	performance. We tested grasshoppers in three experimental treatments: (4-1) caudal plumage absent (Extended
426 427 428	performance. We tested grasshoppers in three experimental treatments: (4-1) caudal plumage absent (Extended Data Fig. 7d1); (4-2) normal-sized caudal plumage present (Extended Data Fig. 7d2; 262 cm ²);
426 427 428 429	performance. We tested grasshoppers in three experimental treatments: (4-1) caudal plumage absent (Extended Data Fig. 7d1); (4-2) normal-sized caudal plumage present (Extended Data Fig. 7d2; 262 cm ²); (4-3) large-sized caudal plumage present (twice the surface area of 4-2; Extended Data Fig. 7d3;
426 427 428 429 430	performance. We tested grasshoppers in three experimental treatments: (4-1) caudal plumage absent (Extended Data Fig. 7d1); (4-2) normal-sized caudal plumage present (Extended Data Fig. 7d2; 262 cm ²); (4-3) large-sized caudal plumage present (twice the surface area of 4-2; Extended Data Fig. 7d3; 524 cm ²). The effect of upward tail movements (the only type possible to imitate with our robot)
426 427 428 429 430 431	performance. We tested grasshoppers in three experimental treatments: (4-1) caudal plumage absent (Extended Data Fig. 7d1); (4-2) normal-sized caudal plumage present (Extended Data Fig. 7d2; 262 cm ²); (4-3) large-sized caudal plumage present (twice the surface area of 4-2; Extended Data Fig. 7d3; 524 cm ²). The effect of upward tail movements (the only type possible to imitate with our robot) can only be expected with the tail is not blocked by the head, neck, and body of the
426 427 428 429 430 431 432	performance. We tested grasshoppers in three experimental treatments: (4-1) caudal plumage absent (Extended Data Fig. 7d1); (4-2) normal-sized caudal plumage present (Extended Data Fig. 7d2; 262 cm ²); (4-3) large-sized caudal plumage present (twice the surface area of 4-2; Extended Data Fig. 7d3; 524 cm ²). The effect of upward tail movements (the only type possible to imitate with our robot) can only be expected with the tail is not blocked by the head, neck, and body of the dinosaur/robot. Therefore, we imitated a hypothetical situation when the predator already focuses
426 427 428 429 430 431 432 433	performance. We tested grasshoppers in three experimental treatments: (4-1) caudal plumage absent (Extended Data Fig. 7d1); (4-2) normal-sized caudal plumage present (Extended Data Fig. 7d2; 262 cm ²); (4-3) large-sized caudal plumage present (twice the surface area of 4-2; Extended Data Fig. 7d3; 524 cm ²). The effect of upward tail movements (the only type possible to imitate with our robot) can only be expected with the tail is not blocked by the head, neck, and body of the dinosaur/robot. Therefore, we imitated a hypothetical situation when the predator already focuses on the ground and has its head down and body tilted downward (Extended Data Fig. 2f). We
426 427 428 429 430 431 432 433 434	performance. We tested grasshoppers in three experimental treatments: (4-1) caudal plumage absent (Extended Data Fig. 7d1); (4-2) normal-sized caudal plumage present (Extended Data Fig. 7d2; 262 cm ²); (4-3) large-sized caudal plumage present (twice the surface area of 4-2; Extended Data Fig. 7d3; 524 cm ²). The effect of upward tail movements (the only type possible to imitate with our robot) can only be expected with the tail is not blocked by the head, neck, and body of the dinosaur/robot. Therefore, we imitated a hypothetical situation when the predator already focuses on the ground and has its head down and body tilted downward (Extended Data Fig. 2f). We used 80 and 60 cm from the robot, which resulted in much closer distances to the immobile
426 427 428 429 430 431 432 433 434 435	performance. We tested grasshoppers in three experimental treatments: (4-1) caudal plumage absent (Extended Data Fig. 7d1); (4-2) normal-sized caudal plumage present (Extended Data Fig. 7d2; 262 cm ²); (4-3) large-sized caudal plumage present (twice the surface area of 4-2; Extended Data Fig. 7d3; 524 cm ²). The effect of upward tail movements (the only type possible to imitate with our robot) can only be expected with the tail is not blocked by the head, neck, and body of the dinosaur/robot. Therefore, we imitated a hypothetical situation when the predator already focuses on the ground and has its head down and body tilted downward (Extended Data Fig. 2f). We used 80 and 60 cm from the robot, which resulted in much closer distances to the immobile downward tilted head (the distance between the head and the grasshopper was 10 and 30 cm,
426 427 428 429 430 431 432 433 434 435 436	performance. We tested grasshoppers in three experimental treatments: (4-1) caudal plumage absent (Extended Data Fig. 7d1); (4-2) normal-sized caudal plumage present (Extended Data Fig. 7d2; 262 cm ²); (4-3) large-sized caudal plumage present (twice the surface area of 4-2; Extended Data Fig. 7d3; 524 cm ²). The effect of upward tail movements (the only type possible to imitate with our robot) can only be expected with the tail is not blocked by the head, neck, and body of the dinosaur/robot. Therefore, we imitated a hypothetical situation when the predator already focuses on the ground and has its head down and body tilted downward (Extended Data Fig. 2f). We used 80 and 60 cm from the robot, which resulted in much closer distances to the immobile downward tilted head (the distance between the head and the grasshopper was 10 and 30 cm, respectively).

438 Part 5. Statistical analyses

440	All statistical analyses were conducted in R version 4.0.3 ⁸³ . The Dunn's test with Bonferroni
441	correction was used to determine the differences in the flushing performance in multiple pairwise
442	comparisons using "dunn.test" function in dunn.test package ⁸⁴ for Experiments 1 and 4. For
443	Experiments 1 and 4, the distance at which the grasshopper escaped [e.g., 100, 80, 60, 40, 20, 0
444	(= "no response") in Experiment 1] was used as the dependent variable. The experimental
445	treatment was used as the independent variable.
446	In Experiment 2, the Chi-square test with Bonferroni correction was performed to determine
447	the differences in the flushing frequency between the experimental treatments using the
448	"pairwiseNominalIndependence" function in rcompanion package ⁸⁵ . In Experiment 3, the Chi-
449	square test with Yates' continuity correction was performed to determine the differences in the
450	flushing performance between the experimental treatments using the "chisq.test" function in stats
451	package ⁸³ . For Experiments 2 and 3, the grasshopper's escape behavior (binary variable: escaped
452	or not) was used as the dependent variable, and the experimental treatment was used as the
453	independent variable.
454	Data points in the cases when the grasshopper escaped while moving the robot between
455	distances and before the robot displayed were excluded from the statistical analyses. Given that
456	we repeatedly switched experimental conditions during the day, the effect of the temperature was
457	not addressed in the statistical analyses. During the experiments, we could not control the
458	grasshopper's body orientation, relative to the robot's position. The pattern of escape neurons'
459	firing activity may vary depending on the eye region that faces the display ⁸⁶ , and the frontal
460	approach of a visual stimulus shows a different escape pattern from the other approach directions
461	[from the side and back ⁸⁷]. Therefore, we conducted two statistical analyses: one using all the

462	data and another using the smaller data set after removing the data points from the tests when the
463	robot was placed in front or behind the grasshopper. Analyses of both data sets led to the same
464	conclusions. The statistical significance of the effects tested in the experiments is presented as
465	asterisks in the main text, while the detailed information is given in the captions to
466	Supplementary Tables 2 to 5.
467	
468	Part 6. Extracellular neurophysiological recordings from grasshopper's LGMD/DCMD
469	pathway
470	
471	Animations
472	Similar to the Caudipteryx robot (Robopteryx) used in the behavioral experiments, we created
473	Caudipteryx animations based on the morphology and size of Caudipteryx specimens (see
474	Methods part 2 for specimen information) using a 3D animation software: Blender (version
475	3.2.0). Those animations imitate the dinosaur, similar to the robot, except for the neck and head,
476	which are more naturalistically imitated in the animation. In the animations, like in the
477	Robopteryx, the hypothetical dinosaurian flush-pursuer moves its forelimbs from the estimated
478	resting posture (S = 33°, E = 106°, W = 106°, L = 4°) to the estimated maximum value of each
479	angle (S = 123°, E = 136°, W = 178°, L = 88°; this process takes 0.23 sec), pause for 0.1 sec, and
480	then reverts to the forelimbs' resting posture along the same trajectory as the expansion
481	trajectory (this process takes 0.23 sec; see Methods part 3 for estimated motion range
482	information). We produced two animations of the forelimb-flushing dinosaur without and with
483	distal proto-wings (Supplementary Videos 4 and 5).
484	We also produced an animation of a simple looming stimulus (an approaching circle; $l/ v = 5$
485	ms, where l is the radius of 3 cm and v is the imitated constant approaching speed of 6 m/s;

486	Supplementary Video 7) similar to the classical stimuli that have been used over more than 45
487	years of classical neurophysiological studies of the LGMD/DCMD pathway and are exemplified
488	by several influential classical reports ^{88–92} . In the animations, the dinosaur and circle are colored
489	black (R – 000, G – 000, B – 000), and the background is colored light gray (R – 203, G – 203, B
490	-203). Since we placed the grasshopper ventral side up in the experiments, the hypothetical
491	dinosaurian flush-pursuer was oriented upside down in the animations (Extended Data Fig. 10b).
492	
493	Study subjects
494	We used adult males of the band-winged grasshopper Oedaleus infernalis collected from the
495	study sites where the behavioral experiments were conducted. We kept them in an indoor
496	breeding facility and fed them with grasses.
497	
498	Laboratory set-up
499	In the lab, we used tape to fix a grasshopper's ventral side up onto a cork board (Extended Data
500	Fig. 10a–c). We removed the antennae to prevent noise and prevent accidental obstruction of the
501	view. Then, we slightly tilted the head backward using a pin to expose the neck connectives
502	(between the head and thorax). Beeswax was added to both sides of the neck to keep the saline
503	solution in there. One eye (the left one) was covered with beeswax to block the view. Next, we
504	carefully dissected the soft ventral part of the neck to expose the ventral nerve cords (Extended
505	Data Fig. 10d). We dropped the saline solution (NaCl 210 mM, KCl 7.1 mM, CaCl ₂ 9.0 mM,
506	Tris-buffered to pH 6.8) on the part and hooked an extracellular silver wire electrode (127- μ m
507	bare diameter, AM systems) to the contralateral nerve cord (Extended Data Fig. 10e,f). The other
508	wire of the electrode with a pin is pinned on the abdomen (Extended Data Fig. 10c). We used a
509	stereoscope during dissecting and placing the electrode. The electrode was attached to an

510	electrode holder (H-13, Narishige), and the holder was manipulated using Micromanipulator
511	(MM-3, Narishige). The electrode was connected to the Neuron SpikerBox Pro (Backyard Brains,
512	USA), which was connected to a laptop. The BYB Spike recorder (Backyard Brains, USA) was
513	used in the laptop to record neural activity in response to Caudipteryx animations at the 10 kHz
514	sampling rate. During the recording from the nerve cord, the BYB Spike recorder shows DCMD
515	spikes in real-time. To synchronize the neural activity and visual stimuli, we recorded a high-
516	speed video using iPhone (12 mini; 240 fps). Animations were projected on a flat-screen monitor
517	(TFG32Q14P IPS QHD 144, Hansung computer; 32 Inch) with a display brightness of 400 cd/m^2
518	and a refresh rate of 120 Hz. The distance between the monitor and the grasshopper was set to 35
519	cm (Extended Data Fig. 10a). To reduce the noise in the recordings, we used a separate cable to
520	connect the Neuron SpikerBox Pro, Laptop, and Micromanipulator to the ground.
521	We confirmed that a black looming circle stimulus $(l/ v = 5 \text{ ms})$ displayed on the monitor
522	triggered the grasshopper's response, known as the typical spiking frequency response to a fast
523	looming stimulus (Extended Data Fig. 8b,c): an accelerating increase in firing rate up to the
524	maximum point after which the rate decreases.
525	
526	Experimental Design
527	To determine the effect of the presence of proto-wings on the neural response of the
528	LGMD/DCMD pathway, we compared the responses of grasshoppers to two forelimb
529	animations: (1) display without proto-wings (NoPW treatment); (2) display with distal proto-
530	wings (PW). They were played six times each to each grasshopper in one of the two
531	experimental orders: (PW, NoPW, PW, NoPW, PW, NoPW, PW, NoPW, PW, NoPW, PW,
532	NoPW) or (NoPW, PW, NoPW, PW, NoPW, PW, NoPW, PW, NoPW, PW).
533	Additionally, for each individual, we played a looming circle animation (black circle of $l/ v = 5$

534	ms) at the beginning and end of recording neurophysiological responses. A pause of 1 min
535	followed each stimulus presentation. Hence the duration of a set of experiments for each
536	individual was about 20 min.
537	
538	Analysis
539	We analyzed the neural spike data using Spike2 software (version 5, Cambridge Electronic
540	Design, Cambridge). We followed numerous previous studies exemplified by several classical
541	studies ^{88–92} in identifying of DCMD spikes in the recordings based on spike amplitude, general
542	shape, and response pattern. We confirmed that our method results in extracellularly recorded
543	spikes that match the well-known pattern of DCMD responses with respect to the typical spiking
544	frequency response to a fast-looming stimulus (Extended Data Figs. 8b,c).
545	We first inspected the recorded firing rate with a bin size of 10 ms (Extended Data Fig. 8d),
546	and we realized that even at this relatively narrow bin size, the maximum spiking frequency is
547	almost always observed at the beginning of the display (within the first 10 ms). To analyze the
548	differences in the firing rate profile between the two treatments, we used the wider bin size (25
549	ms), which produces a more general view of the response better suited for our comparisons and
550	was previously used in some of the classical neurophysiological studies of the LGMD/DCMD
551	pathway [e.g., ⁸⁹].
552	For each frame of the looming circle animation, we determined the angular size subtended by
553	the circle on the retina and calculated the changes in the angular speed of expansion during
554	animation. For each frame in the forelimb animations, we determined the angular distance
555	between the tips of the left and right forelimb (i.e., wing span; proto-wing tips were used for the
556	"with proto-wings" animation), and we used them to calculate the angular speed based on the

557 changes in the angular wing span.

558	A comprehensive study of the neurophysiological responses to a whole variety of hypothetical
559	displays by flush-pursuing dinosaurs to determine the hypothetically most efficient display
560	movements will be presented separately. The current report clearly illustrates the potential
561	benefits of increased frequency of flushing prey and increased intensity of the DMCD response
562	to one of the typical display movement types known in the extant flush-pursuing birds. A
563	separate comprehensive study will evaluate the full spectrum of different displays by wings and
564	tails known in the extant avian flush-pursuers.

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793	Competing interests
794	Authors declare that they have no competing interests.
795	
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797	All data are available in the supplementary tables.
798	

799 Figure legends

800



Fig. 1: Diversity of extant avian flush-pursuers, an example of their prey with simple
 neural escape pathways, and the Robopteryx imitating *Caudipteryx*.

a-f, Examples of flush-pursuers: *Rhipidura leucophrys*, *Geococcyx californianus*, *Cercotrichas galactotes*, *Tapera naevia*, *Myioborus pictus*, *Myioborus miniatus*, respectively [Macaulay
Library: ML205494131, ML98307051, ML366333971, ML278048021, ML272399001,
ML253368241 (more examples in Supplementary Note 1)]. g, Distribution of flush-pursuers
among 248 avian families (see Extended Data Fig. 2 and Supplementary Note 1 for details). h,
The Robopteryx in the natural habitat placed in front of the grasshopper. i, Artistic restoration of
the hypothetical flushing by a feathered dinosaur. j, *Oedaleus infernalis* used in the experiments.

- **k**, The looming-detecting neurons (LGMD/DCMD) involved in triggering the escapes in
- 811 response to visual stimuli in orthopterans.



Fig. 2: Behavioral and neurophysiological experiments.

815	a , Experimental treatments in behavioral tests. b , The effect of the presence of the proto-wings
816	on the escape frequency of grasshoppers at each of 5 distances: 100, 80, 60, 40, and 20 cm. c–e,
817	The effect of the presence and location of proto-wings (c) , their color (d) , and the presence and
818	size of caudal plumage (e) on the escape frequency of grasshoppers. NR means "no response." *
819	indicates $P < 0.05$; ** indicates $P < 0.01$; *** indicates $P < 0.001$ (see Supplementary Tables 2–
820	5 for details). f, Experimental treatments in neurophysiological experiments (the first frame and
821	the most extended forelimbs of animation presented). g , The firing rate [nr of spikes/25 ms bin;
822	average \pm SD; n = 18 (6 recordings from each of 3 individuals)] of the grasshopper's escape
823	pathway (LGMD/DCMD) in response to the animations without (solid black line) and with
824	(dotted orange line) distal proto-wings (see Supplementary Tables 6 and 7). Bins are marked as

825	gray bars, and screenshots from the animation with proto-wings are shown at 50 ms intervals
826	along the horizontal axis. The insets represent two examples of the recorded responses (see
827	Extended Data Fig. 13 for details).

829 Extended Data



830

831 Extended Data Fig. 1: Phylogenetic distribution of extant avian flush-pursuers.

a, Phylogenetic distribution of extant flush-pursuers in 248 avian families⁹³. Pink dots indicate
families containing at least one species with evidence of using flush-pursue foraging and
classified as "confirmed" flush pursuers (Supplementary Note 1). Blue dots indicate additional
families containing at least one "suspected flush pursuer" (Supplementary Note 1) defined as an
actively foraging species (i.e., not sit-and-wait predator) with evidence for the use of displays
during foraging movements but with weaker evidence for direct links between the display and
pursuing of the flushed prey (albeit video evidence suggesting the link may exist). Brown star,

839	yellow circle, and green pentagon denote the main foraging substrate of confirmed flush-
840	pursuers within a family, and each means that the species mainly forage on the ground, in bushes,
841	or trees, respectively. This consensus tree was built using a tree set obtained from BirdTree.org.
842	b – d , Photos illustrating examples of displays of ground-foraging flush-pursuers: Greater
843	Roadrunner (Geococcyx californianus), Rufous-tailed Scrub-Robin (Cercotrichas galactotes),
844	Willie-wagtail (Rhipidura leucophrys) respectively from the following recordings in the
845	Macaulay Library at the Cornell Lab of Ornithology: ML98307051, ML366333971,
846	ML205494131. This figure concerns Fig. 1g. The numbers next to each family correspond to the
847	numbers given to the links with flush-pursue foraging (in the internet movie archives such as
848	Macaulay Library or YouTube) and listed in Supplementary Note 1. A comprehensive review of
849	all avian species that include the flush-pursue foraging strategy among all the foraging strategies
850	of a species will be the subject of a separate review paper ⁹³ .



852 Extended Data Fig. 2: Details on the *Caudipteryx* robot (Robopteryx).

a, For behavioral experiments, the main body and legs of the robot were covered with black felt. 853 The head was built from black-colored polystyrene. b, A surface made of dark stockings was 854 used to imitate propatagium. c, An additional structure was attached to the robot's tail to imitate 855 a bending tail. d1-d3, Paper distal proto-wing used in experiments 1 to 3. White arrows indicate 856 plastic pieces attached onto pieces of proto-wing to control the minimum (d2) and maximum 857 (d1) range of movement of each piece of proto-wing. e, The main body of the robot was tilted 858 37° upward for experiments concerning the proto-wings (Experiments 1 to 3) to imitate a flush 859 pursuer position similar to the observed ground-foraging flush-pursuers (e.g., Geococcyx 860 californianus; link 1 in Supplementary Note 1) f, The main body of the robot was tilted 40° 861

862	downward for an experiment concerning caudal plumage (experiment 4) to imitate a situation in
863	which the upward movements of the tail may potentially affect on the grasshopper; otherwise,
864	that tail is behind the body and not visible to the grasshopper. This posture is also observed
865	among foraging birds, especially if they already focus on a specific ground area in front of them.



Extended Data Fig. 3: Hardware and control system of the Caudipteryx robot (Robopteryx). 867 a, Side view of CAD model used to build the Robopteryx. b, Top view of CAD model used to 868 build the Robopteryx. The unit of length shown is mm. c1 and c2, For the forelimb motion, two 869 fishing lines and a belt are used as tendons in controlling motion for the pitch (green), yaw (blue), 870 and roll (red) rotation. The fishing line (blue) connected with motor no.3 also implements 871 rotating at the elbow joint. An additional fishing line (yellow) is used in rotating the wrist joint 872 passively. d1 and d2, For the tail motion, a motor (no.6) with a fishing line (green) is used to 873 control the pitch motion. We implement the yaw rotation (motor no.5) and spread the tip of the 874 tail (blue) in the robot. e, The schematic diagram of the robot control system. The controller 875

- receives operation commands created from a mobile phone through the Bluetooth communicator.
- 877 The controller is connected to a series of smart motors. An external battery is used to supply the
- 878 power.



Extended Data Fig. 4: Motion ranges of the Robopteryx's flushing movements chosen for the experiments performed in this study.

a, The proto-wings' flushing movements start from the resting posture (33° in S, 106° in E, 106°
in W, 12° in L) to the estimated maximum values of each angle (arrow 1; 123° in S, 136° in E, 136° in W, 88° in L) and then revert to the resting posture (arrow 2). b, For the tail's flushing
movement, the robot lifts its tail (arrow 1; angle T changes from 150° to 90°) and lowers it
(arrow 2; angle T changes from 90° to 150°). For tail experiments, the main body is tilted to
imitate an animal lowering its head to search for prey on the ground and displaying with its tail
when the prey may actually detect the upward-lifting movements of the tail.



Extended Data Fig. 5: The Robopteryx's forelimb movements viewed from the point of view of the grasshopper.

Each of the four columns (from left to right) represents a series of frames showing the robot displays in the four experimental treatments (proto-wings absent, proximal proto-wings present, distal proto-wings present, and white patches present on distal proto-wings) filmed from the grasshopper point of view on the ground level 40 cm away from the robot (from the mid-point between the robot's leg). The bottom panels show the resting posture at the end of the movement. The vertical broken arrow on the left represents the time in seconds.



Extended Data Fig. 6: The Robopteryx's tail movements viewed from the point of view of the grasshopper.

Each of the three pairs of columns (from left to right) represents a series of frames showing the robot displays in the three experimental treatments (caudal plumage absent, normal-sized caudal plumage present, and the large-sized caudal plumage present) filmed from the grasshopper points of view on the ground level at 60 and 80 cm away from the and the robot (from the mid-point between the robot's leg). The bottom panels show the resting posture at the end of the movement. The vertical broken arrow on the left represents the time in seconds.

908



910 Extended Data Fig. 7: Experimental designs of the behavioral experiments.

a1-a3, Experimental treatments in Experiment 1: (a1) motor sound without forelimbs'
movement; (a2) proto-wings absent; (a3) distal proto-wings present as in the fossil records of *Caudipteryx.* b1-b3, Experimental treatments in Experiment 2: (b1) proto-wings absent; (b2)
proximal proto-wings present; (b3) distal proto-wings present. c1 and c2, Experimental
treatments in Experiment 3: (c1) plain black proto-wings; (c1) white-patched proto-wings. (c3)
Close-up view of the hypothetical proto-wing with white patches used in Experiment 3. d1-d3,

917 Experimental treatments in Experiment 4: (d1) caudal plumage absent; (d2) caudal plumage

918 present; (**d3**) large caudal plumage present.



920

921 Extended Data Fig. 8: Results of neurophysiological experiments.

al, Angular speed calculated from the forelimb tips' movements in the Caudipteryx animations 922 (Supplementary Videos 6 and 7). a2-a4, The firing rate (nr of spikes/25 ms bin; average \pm SD) 923 of the looming-sensitive escape pathway from each of three individuals in response to the 924 animations of forelimbs display without (gray bar; n = 6) and with (red bar; n = 6) distal proto-925 wings. All records from three individuals are used in Fig. 1g. Bins are marked as gray bars along 926 the horizontal axis. b1-b3, Examples of recordings from the grasshopper' LGMD/DCMD 927 looming-detective pathway from each of three individuals in response to animations: a looming 928 circle; forelimb movement without proto-wings; forelimb movement with proto-wings. A spike 929

930	next to a recording is an example from that recording. Spike shapes slightly differed between
931	individual grasshoppers (especially in terms of the amplitude of the lower and upper part of the
932	spike), but the spike shapes were similar between the looming circle and the animations of flush
933	displays within the same individual grasshopper. A comprehensive study of the
934	neurophysiological responses to a full variety of hypothetical displays by flush-pursuing
935	dinosaurs will be a subject of a separate paper. The spike data is shown in Supplementary Tables
936	6 and 7. c, The firing rate in response to a looming circle. The firing rate (nr of spikes/25 ms bin;
937	average \pm SD) of the grasshopper looming-sensitive escape pathway in response to a looming
938	circle animation $[n = 6 (2 \text{ recordings from each of three individuals})]$. The approaching speed
939	was 6 m/s. The right-side Y-axis shows the stimulus angular size (deg; dotted burgundy line) and
940	speed (deg/s; solid blue line) of the looming circle. Bins are marked as gray bars along the
941	horizontal axis. The spike data is shown in Supplementary Table 9. d, Examples of the firing rate
942	of the escape pathway analyzed with the bin size of 10 ms to show that even with a short bin size,
943	the peak value of spiking frequency occurs right at the outset of the flush display animation. The
944	remaining analyses were conducted using a bin size of 25 ms to decrease random variation
945	among bins.





948 Extended Data Fig. 9: Confirmation that the robot's sound does not affect grasshopper 949 escapes.

The effect of the motor sound created during the robot's movement and the effect of the presence 950 of the proto-wings on the forelimbs on the flushing performance in Experiment 1. Y axis shows 951 the proportion of grasshoppers that escaped at each distance: 100, 80, 60, 40, and 20 cm during a 952 procedure involving repeated displays by the robot at 20cm distance intervals starting at 100 cm 953 and ending at the distance at which the grasshopper jumped or at 20cm, and classifying the 954 outcome as "NR" (no response) if the grasshopper did not escape even at the 20cm. This figure 955 concerns Fig. 2b. *** indicates P < 0.001 according to the Dunn's test with Bonferroni 956 correction ["dunn.test" function in dunn.test package in R⁸⁴]. The data are shown in 957 958 Supplementary Table 2.



961 Extended Data Fig. 10: Experimental set-up for neurophysiological experiments.

a, Schematic view of the experimental set-up for neurophysiological experiments. A grasshopper
and equipment are placed on a table. The distance between the monitor and the grasshopper's
right eye is set to 35 cm. b, *Caudipteryx* animation is displayed on the monitor. c, The silver wire
hook-electrode (red – recording electrode) wrapped around the contralateral ventral nerve cord,
and a pin (black – ground electrode) in the grasshopper's abdomen connected to the ground. d,
An arrow indicates the ventral nerve cords of the grasshopper. e and f, A dotted circle indicates
the point of the ventral nerve cord where the electrode is hooked to the contralateral nerve cord.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- SupplementaryVideoLegends.docx
- Video5.mp4
- Video7.mp4
- Video4.mp4
- SupplementaryInformation.pdf
- Video1.mp4
- Video6.mp4
- Video2.mp4
- Video3.mp4