

# Prevalence and Risk Factors for Antibiotic Utilization in Chinese Children

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#### Research article

Keywords: Antibiotic, Prevalence, Risk factors, Children, China

Posted Date: February 19th, 2021

**DOI:** https://doi.org/10.21203/rs.3.rs-244798/v1

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### **Abstract**

**Background:** Antibiotic resistance poses a significant threat to public health globally. Irrational utilization of antibiotics being one of the main reasons of antibiotic resistant. Children as a special group, there's more chance of getting infected. Although most of the infection is viral in etiology, antibiotics still are the most frequently prescribed medications for children. Therefore, high use of antibiotics among children raises concern about the appropriateness of antibiotic prescribing. This systematic review aims to measuring prevalence and risk factors for antibiotic utilization in children in China.

**Methods:** English and Chinese databases were searched to identify relevant studies evaluating the prevalence and risk factors for antibiotic utilization in Chinese children (0-18 years), which were published between 2010 and July 2020. A Meta-analysis of prevalence was performed using random effect model. The Agency for Healthcare Research and Quality (AHRQ) and modified Jadad score was used to assess risk of bias of studies.

**Results**: Of 10,075 studies identified, 101 eligible studies were included. A total of 82 studies reported prevalence and 43 studies reported risk factors for antibiotic utilization in children. The overall prevalence of antibiotic utilization among outpatients and inpatients were 63.8%, and 81.2%, respectively. In addition, the overall prevalence of caregiver's self-medicating of antibiotics for children at home was 37.8%. The high prevalence of antibiotics was associated with multiple factors, while lacking of skills and knowledge in both physicians and caregivers was the most recognized risk factor, caregivers put pressure on physicians to get antibiotics and self-medicating with antibiotics at home for children also were the main factors attributed to this issue.

**Conclusion**: The prevalence of antibiotic utilization in Chinese children is heavy both in hospitals and home. It is important for government to develop more effective strategies to improve the irrational use of antibiotic. In addition, there is also great need to carry out more large-scale studies of antibiotic utilization on children, especially in rural setting.

### **Background**

Antibiotic utilization is a major driver of antibiotic resistance, which is becoming a serious public health threat, imposing a direct effect on morbidity, mortality, and financial burden[1–3]. It was reported that China was the second largest consumer of antibiotics, and up to 57% of the increase in antibiotic consumption in the hospital sector among BRICS countries (Brazil, Russia, India, China, and South Africa) was attributable to China[4]. In addition, China also has the most rapid growth rate of antibiotic resistance worldwide[5].

Antibiotics are the most widely prescribed therapy among all medications given to children[6, 7]. It was reported that the proportion of children with antibiotic prescription in the hospital settings was between 22% and 78%[8–13], at the same time, inappropriate antibiotic prescription was the most common in pediatric clinical practice[14, 15]. About 50% of antibiotics were prescribed to children who were suffering

from viral infection or non-infectious diseases, and the proportion of antibiotic prescriptions under 15 years old was three times than other ages[16, 17]. In addition, antibiotic abuse and misuse can also result in adverse events and drug toxicity due to children's special physiological condition[18]. Children who prescribed antibiotics were more likely to have a subsequent acute bronchitis episode[19, 20]. China Antimicrobial Resistance Surveillance System (CARSS) showed that the proportions of gram-positive bacteria isolated from children and newborns was 45.5% in 2017, which were higher than that of the adults[21]. Children may have little or even no benefit from antibiotics[22], therefore, promotion of rational use of antibiotics and reduction in antibiotic resistance in children has become an urgent problem[23, 24]. To combat this problem, the Chinese government has launched a National Antibiotic Stewardship Program (NASP), which limits the proportion of outpatient antibiotic prescriptions and inpatient antibiotic prescriptions in Children's hospitals to 25% and 60%, respectively[25]. Hierarchical management was carried out for the clinical use of antibacterial drugs, which includes three levels: unrestricted use, restricted use and special use, according to safety, effect, drug resistance, and price[26]. National action plan combating antimicrobial resistance proposed to carry out health education on the rational use of antibiotics in primary and secondary schools[27].

Recently, the number of studies on the prevalence of antibiotic utilization in Chinese children has increased greatly, while sparse studies explored risk factors. Assessment of risk factors for antibiotic utilization in children may lead to a better understanding of the prevalence rates of antibiotics utilization and therefore leading to a more effective preventive strategy. In addition, most of the relevant studies were published in Chinese, with only a few in English language, which caused a lack of awareness of antibiotic utilization in China worldwide. There has not been an in-depth, up-to-date, and comparative analysis of the contemporary literature reporting the prevalence and risk factors for antibiotic utilization in Chinese children. In this study, we aimed to summarize the proportion of antibiotic usage among outpatients, inpatients, and self-medication, and investigate the major risk factors for antibiotic utilization in Chinese children.

### **Methods**

This systematic review and meta-analysis was conducted in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) reporting guideline[28]. The protocol was registered with PROSPERO (CRD42020200172).(Table S1 in Appendix A)

# Search Strategy

We searched three Chinese databases including China National Knowledge Infrastructure (CNKI), Chongqing VIP, and Wanfang Data, and three English databases including PubMed, Web of Science, and Embase between January 1, 2010 and July 10, 2020. Search terms were a combination of antibiotic, children, China, and prevalence (or risk factor). Then, references lists of the included studies were

screened to complement our database searches. The detailed search strategies were presented in Table S2 Appendix B.

### **Inclusion And Exclusion Criteria**

Inclusion criteria were: (1) studies published in English or Chinese language; (2) publication date was between January 1, 2010 and July 10, 2020; (3) original studies using any study designs, such as observational studies (cohort, case-control, cross-sectional) or randomized studies; (4) reports on children (≤ 18 years old); (5) reports in China; (6) reports on prevalence rates or risk factors for antibiotic utilization. In order to update the analysis reflecting current patterns and practice guidelines, we excluded studies published before 2010. Children with special diseases (e.g. cancer, leukemia) were excluded as well. For randomized studies, we only included data in control group. Two reviewers (XY and GS) independently estimated the titles and abstracts of the retrieved studies using the above eligibility criteria, then, full texts were examined. Any discrepancies were resolved by the third reviewer (XM).

# **Data Extraction**

A pre-designed standardized extraction form was used to record the characteristics of each study including first author, publication year, study period, study design, geographical regions, study setting (rural or urban), hospital levels, sample size (number of children), age, number of children with antibiotics, number of children with one antibiotic, number of children with antibiotic combination, and risk factors for antibiotic utilization.

# Statistical analysis

For statistical analysis, STATA version 15.0 was employed. We assessed heterogeneity of prevalence estimates using P index, which was categorized as low (0-25%), moderate (26-50%), and high (above 50%)[29]. A random-effect meta-analysis was used to calculate the overall pooled prevalence of antibiotic utilization in children with 95% confidence interval (CI) due to high heterogeneity. Subgroup analyses for prevalence were performed with respect to study location (outpatient, inpatient, and self-medication at home), geographical region (eastern, central, and western), setting (urban and rural area), hospital levels (level 1, 2, 3), quantity of antibiotic use (alone or in combination).

# **Study Quality Assessment**

We assessed the included study quality using the Agency for Healthcare Research and Quality (AHRQ) for observational studies[30]. We considered 11-item checklist for quality assessment, including source of investigation, inclusion and exclusion criteria, time period, consecutive of subjects, objectivity of indicators, reproducibility of indicators, reason for subject exclusions, confounding controlled, missing

data handled, response rate and completeness of data collection, and results of follow-up. "No" or "Unclear" was scored "0", and "Yes" was scored "1". We considered studies that  $\leq$  3 scores as low quality, 4–7 scores as moderate quality,  $\geq$  8 scores as high quality. For randomized studies, we used modified Jadad score with classification criteria of high quality (6–7 scores), moderate quality (4–5 scores), and low quality ( $\leq$  3), which included the generation of random sequences, randomization, blinding, follow-up. "No" was scored "0", "unclear" was scored "1", and "Yes" was scored "2" [31].

### Results

# Study selection

A total of 9,973 records were retrieved in the initial literature search, and additional 102 studies were identified through manual reference screens. After removing 1,395 duplicates, 8,680 studies were retrieved. Titles and abstracts screening resulting in 603 records for full-text evaluation. Finally, 101 records were included. (Fig. 1)

# **Study Characteristics And Quality**

Of the 101 eligible studies included in our review, 82 studies reported the prevalence of antibiotic utilization of children, including 34 studies on outpatient[32–65], 43 studies on inpatient[66–108], and one study on both outpatient and inpatient[109]. Moreover, four studies reported the prevalence of self-medication antibiotic utilization at home[110–113]. The majority of the studies were retrospective observational studies (n = 76), were published after 2011 year (n = 68), and were conducted in urban area (n = 75), and collected data from the Level 3 hospital (n = 50). The study data were obtained from 22 provinces in mainland China, with the largest number of studies from Guangdong province (n = 15), followed by Guangxi province (n = 9), and Jiangsu province (n = 7). A total of 50 studies collected data from the eastern economic zone, 19 studies from the western economic zone, 10 studies from the central economic zone, and three studies reported data from nationwide. In addition, 43 studies reported the risk factors for antibiotic utilization[20, 32, 33, 39, 42, 43, 49, 50, 55, 57, 64, 66, 68, 75, 77, 83–85, 91, 92, 99, 110–131], of which, five studies focused on children, 20 studies focused on physicians, 31 studies reported from caregivers, 11 studies reported from retail pharmacies, and one study reported from hospital.(Table S3, Table S4, Table S5, Table S6 in Appendix C).

Regarding the quality of included studies, for observational studies, 37 were with high quality and 58 were with moderate quality (Table S7 in Appendix D). For randomized studies, 2 were with high quality, 4 were with moderate quality. (Table S8 in Appendix D).

### Prevalence Of Antibiotic Utilization In Chinese Children

The overall prevalence of antibiotic utilization among outpatients and inpatients were 63.8% (95% *Ct.* 55.1–72.4%,  $l^2$  = 99.9%, P< 0.0001) (Fig. 2), and 81.2% (95% *Ct.* 77.2–85.3%,  $l^2$  = 99.7%, P< 0.0001) (Fig. 3), respectively. In addition, the overall prevalence of caregiver's self-medicating of antibiotics for children at home was 37.8% (95% *Ct.* 7.9–67.6%,  $l^2$  = 99.8%,  $l^2$  = 90.0001) (Fig. 4).

In the subgroup analyses, the prevalence of combined use of antibiotic was 30.7% among outpatients, and 38.6% among inpatients. The prevalence of antibiotic utilization in eastern, central, and western economic zone were 59.8%, 80.0%, 70.0%, respectively, for outpatients, and 80.9%, 78.7%, 80.4%, respectively, for inpatients. A higher prevalence antibiotic utilization was found in urban, with 64.1% and 81.7% for outpatients and inpatients respectively. In addition, prevalence of antibiotic utilization in level 1, 2, 3 hospital were 66.4%, 59.1% 64.0%, respectively, among outpatients, and 85.5% in level 2 hospital, 79.6% in level 3 hospital among inpatients. (Table 1, Table 2).

Table 1
The prevalence of outpatient antibiotic utilization by antibiotic combination situation, economic zone, study setting, and hospital level.

	No. of studies (N)	n/N	Percentage (95% CI) (%)	P-value
Antibiotic combination situation (23)				
Single use of antibiotic	23	27736/36751	69.3(58.2-80.3)	P < 0.0001
Combined use of antibiotic	23	9015/36751	30.7(19.7-41.8)	P < 0.0001
Economic zone (34)				
Eastern	24	68961/118022	59.8(49.3-70.2)	P < 0.0001
Central	4	4363/5244	80.0(67.2-92.8)	P < 0.0001
Western	7	5371/8540	70.0(56.1-83.9)	P < 0.0001
Study setting (34)				
Urban	29	68961/118022	64.1(54.4-73.8)	P < 0.0001
Rural	6	15489/30429	63.1(55.3-71.1)	P < 0.0001
Hospital level (34)				
Level 3	18	61551/103670	64.0(54.9-73.0)	P < 0.0001
Level 2	8	9086/21118	59.1(33.6-84.5)	P < 0.0001
Level 1	9	13813/23663	66.4(47.2-85.5)	P < 0.0001

N: Sample Size; n: Number of Children with Antibiotics; random-effect meta-analysis was used to calculate the overall pooled prevalence of antibiotic utilization.

Table 2
The prevalence of inpatient antibiotic utilization by antibiotic combination situation, economic zone, study setting, and hospital level.

	No. of studies (N)	n/N	Percentage (95% CI) (%)	P-value	
Antibiotic combination situation (34)					
Single use of antibiotic	34	16230/26399	61.5(53.9-69.2)	P < 0.0001	
Combined use of antibiotic	34	10169/26399	38.6(31.0-46.2)	P < 0.0001	
Economic zone (43)					
Eastern	26	30201/36977	80.9(77.2-84.6)	P < 0.0001	
Central	9	17880/22341	78.7(71.6-85.9)	P < 0.0001	
Western	16	25156/34658	80.4(71.1-89.7)	P < 0.0001	
Study setting (43)					
Urban	43	46584/60478	81.7(77.4-85.9)	P < 0.0001	
Rural	2	219/296	76.3(77.2-85.3)	P < 0.0001	
Hospital level (43)					
Level 3	33	42233/55692	79.6(74.6-84.6)	P < 0.0001	
Level 2	13	4527/5039	85.5(81.6-89.5)	P < 0.0001	
Level 1	1	43/45	95.6(-)	-	

N: Sample Size; n: Number of Children with Antibiotics; random-effect meta-analysis was used to calculate the overall pooled prevalence of antibiotic utilization.

### Risk Factors Of Antibiotic Utilization In Chinese Children

We explored the risk factors of antibiotic utilization in Chinese children using qualitative analysis from five aspects and 12 items (Table 3). The presentation of factors here is grouped into those at children level (e.g. distribution of disease, lack of skills and knowledge), and physician level (e.g. lack of skills and knowledge, pressure from patient, physician-patient relationship, economic incentive and profit from prescribing medicine, lack of pathogen detection or low pathogen detection rate), and caregiver level (e.g.

lack of skills and knowledge, put pressure on physician to get antibiotics, behavior of self-medicating with antibiotics at home for children) and retail pharmacies level (e.g. sale antibiotics without prescription) and hospital level (e.g. ward capacity).

Table 3
Risk factors of antibiotic utilization in children in China.

	Risk factors	tors of antibiotic atmization in children in omita.	No. of studies (N = 43)
Children	distribution of disease	The biological systems and organs of children are not well-developed, especially those of younger children, which make children more vulnerable.	3(7.0%)
		Children with upper respiratory tract infections (URTIs) are among the highest receivers of antibiotics.	
	lack of skills and knowledge	Middle school students still have problems in medication adherence, the management of expired drugs and the antibiotics cognition.	1(2.3%)
Physicians	lack of skills and knowledge	Physicians consider antibiotics to be anti- inflammatory drugs is a common misconception. Doctors might overprescribe antibiotics due to lack of knowledge of its rational use.	20 (46.5%)
		Gaps between reported knowledge and actual practice within antibiotic prescribing are commonly encountered.	
	pressure from patient	Majority of the village doctors would prescribe antibiotics if their patients stick to getting them.	5(11.6%)
	physician- patient relationship	Ineffective communication between patients and physicians may lead to the unnecessary prescription of antibiotics.	2(4.7%)
	economic incentive and profit from prescribing medicine	Retention of patients would increase physicians' consultation fees.  Doctors are able to make a profit from individual drug prescriptions, including antibiotics, and this may stimulate over-prescribing of antibiotics.	5(11.6%)
	lack of pathogen detection or low pathogen detection rate	Uncertainty in the etiological diagnosis is reported as one of the main causes of fear when prescribing in primary care settings.  The doctor paid little attention to microbiological examination.	8(18.6%)
Caregivers	lack of skills and knowledge	Parents have considerable misunderstandings that may contribute to inappropriate antibiotic use.  Most of parents believe that taking antibiotics in advance could protect children from common diseases.	29(67.4%)
	put pressure on physician to get antibiotics	Parents' high expectations of quick relief of symptoms and recovery of their children would impose further pressure on doctors to prescribe antibiotic in order to make treatments more immediately effective.	14(32.6%)

	Risk factors		No. of studies (N = 43)
	self- medicating with antibiotics at home for children	Most of the parents would use lower dose of antibiotics than required by the instruction with consideration of safety, and some parents would choose a higher dose.	14(32.6%)
Retail pharmacies	sale antibiotics without prescription	Although antibiotics sales in retail pharmacies are not within the jurisdiction of government regulation, retail pharmacy is still the main channel for parents to purchase antibiotics.	11(25.6%)
Hospitals	ward capacity	Newborn units with more than 100 beds have the highest rate of antibiotic use, compared to units with 50 or fewer beds, and those with 51–100 beds.	1(2.3%)

Distribution of disease in children has been regarded as a risk factor influencing antibiotic utilization by 7.0% (3/43) of studies. A study indicated that the reasons that lead physicians prescribe antibiotics were mainly clinical determinants such as severity of symptoms, immediate clinical issue[129]. Another survey on pediatric outpatient prescription found that respiratory infection was one of the diseases with the highest frequency, the most dosage of antibiotic utilization[86]. In addition, children lacking of skills and knowledge about antibiotics also influences antibiotic utilization. Although children knew that antibiotics were not antiviral drugs, they were less able to identify specific antibiotics[121].

A total of 46.5% (20/43) of studies suggested that physicians lacking of skills and knowledge was an important factor influencing antibiotic utilization of children. It was indicated that nearly 30% of pediatricians considered antibiotics to be anti-inflammatories[42], and some village doctors confused to select appropriate antibiotics for children[120]. Some studies explored that pressure from patient had an effect on antibiotic prescriptions. About 70% of the village doctors complied with the primary caregivers' request even when they felt the antibiotics were unnecessary[120]. Physician-patient relationship was mentioned by 4.7% (2/43) of studies. Physicians wariness of medical disputes by dissatisfied patients might induce them to order unnecessary investigations and overprescribe antibiotics[77]. Economic incentives and profits from prescription also lead physicians to prescribe antibiotics. A study reported that inter-hospital competition was a driver of inappropriate prescribing, if patients did not have antibiotics they want, they will choose other hospital to purchase, leading to suffer financially[128]. A total of 18.6% (8/43) of studies reported that lacking of pathogen detection or low pathogen detection rate was also a risk factor. Through meta-analysis, we found that the overall pathogen detection rate among inpatients was 44.7% (95% Ct: 29.7-59.7%, t0.0001), but there was no study on outpatients. (Fig. S1 in Appendix E).

A total of 67.4% (29/43) of studies reported that lacking of skills and knowledge from caregivers influences antibiotic utilization for children. Almost 66.3% of respondents mistakenly believed that

antibiotics and anti-inflammatory drugs are the same drugs, 68.8% of respondents believed that antibiotics can cure infections caused by virus, 51.5% of respondents believed that antibiotics can be used to treat common cold, 69.9% of respondents believed that antibiotics can be used to treat pharyngitis or nonsuppurative tonsillitis, 37.9% of respondents didn't know antibiotics should only be obtained with a doctor's prescription, and 46.6% of respondents didn't know inappropriate use of antibiotics can reduce the effectiveness of antibiotics (Table S9 in Appendix E). 32.6% (14/43) of studies reported put pressure on physician to get antibiotics was one of the risk factors influencing antibiotic utilization for children. A study reported that about half of the caregivers had requested antibiotics directly from physicians[117]. A total of 32.6% (14/43) of studies reported self-medicating with antibiotics at home for children was a risk factor of antibiotic utilization. A study reported that about 69.2% of caregivers would self-medication for children before visiting a doctor, in addition, to improve the effectiveness of treatment, they would increase the dosage arbitrarily[118].

For retail pharmacies, selling antibiotics without prescription has been regarded as a risk factor of antibiotic utilization for children. It was reported that individuals in most rural areas continue to have easy access to antibiotics[125]. The rate of antibiotic use was also associated with bed capacity, newborn units with more than 100 beds had the highest rate of antibiotic use, compared to units with 50 or fewer beds, and those with 51–100 beds[124].

### **Discussion**

The prevalence of antibiotic utilization in Chinese children was high. The overall prevalence of antibiotic utilization among outpatients and inpatients was 63.8% and 81.2%, respectively, and caregivers' self-medicating with antibiotics for children at home was 37.8%.

Two literature reviews reported a prevalence of 89% or 91.3% for antibiotic utilization in Chinese children, which was higher than that in our study[108, 132]. However, our results were still much higher than the standard values of 25% for outpatient and 60% for inpatient with antibiotic prescriptions for children issued in an antimicrobial stewardship policy by Chinese government[133]. In addition, the prevalence of antibiotic utilization for children in China was higher than that in the USA (17%-29%)[134]. According to the ARPEC (Asia-Pacific Economic Cooperation) report, the prevalence of antibiotic utilization from 226 hospitals in 41 countries was 36.7%[12]. The overall prevalence of caregiver's self-medicating of antibiotics for children at home was 37.8%, which was similar to the Chang et.al' s finding(37.67%)[122], but lower than that in India (69.4%)[135].

Children are more susceptible to infection due to their unique peculiarities of body size, surface area, drug metabolism, and excretion, which may be associated with high antibiotic prevalence. However, acute upper respiratory infections (AURIs) are the most common condition associated with the excessive use of antibiotics[136]. Most AURIs are caused by viral infection and usually resolved after three to seven days[137]. However, the use rate of antiviral drugs in the treatment of AURIs in children is low, and most of physicians choose newer, broad-spectrum antibiotics[42]. Therefore, identifying the characteristics of

childhood diseases and encouraging the prescriptions of older, narrow-spectrum antibiotics rather than newer, broad-spectrum antibiotics plays an important role in the process of rational use of antibiotics. For school student, there is no systematic teaching on antibiotics, therefore, it is difficult for them to identify antibiotics. In addition, students in different regions have varieties of understandings of antibiotics. The awareness of antibiotics utilization in central urban areas is higher than that of urban and rural areas and counties in suburbs, in addition, the awareness of antibiotics utilization in high school students is higher than that in junior high school and vocational high school[121]. Therefore, more efforts should be made to improve the cognition of student in different regions and different types of school.

The high prevalence of antibiotic utilization in children might have a strong association with physicians, such as lacking of skills and knowledge, pressure from patient, physician-patient relationship, economic incentive and profit from prescribing medicine, lacking of pathogen detection or low pathogen detection rate. First, physicians in high-level hospitals are more likely to receive training on rational use of antibiotics than those in primary hospitals[138]. Physicians who has not attend related training are more likely to prescribe antibiotics[139]. However, sometimes routine training for village doctors is held regularly, however, the content of training is repeated without new course, so the training seems not effective[140]. Therefore, continuous education can narrow the knowledge gap among physicians in different levels of hospital, meanwhile, the quality of education also should be emphasized. Secondly, many caregivers faithfully embrace the effectiveness of antibiotics and injections over other regimens, and they will actively ask these therapies when visiting doctors. When physicians feel pressure from patients, about 70% of them would prescribe antibiotic[139]. On the other hand, the physician-patient relationship in China is highly strained[141]. Because there is no formal appointment system, patients generally prefer morning consultations, and there is long wait time and short consultations, which cause dissatisfaction among parents[142]. Therefore, some physicians protect themselves by regarding antibiotics as a weapon, especially expensive and injectable ones when they perceive that parents are dissatisfied[77]. They believe that prescribing antibiotics not only satisfy the patients' claims, but further ease the strained doctor-patient relationship[143]. Thirdly, economic incentive and profit from prescribing medicine also lead physicians to prescribe antibiotics. Antibiotics, which account for approximately 20% of all drug sales in general hospitals[44], are the most frequently used medicine in Chinese medical facilities. In some regions, doctors' income covered by government funds and profits from drug sales is virtually nail, these changes are not associated with improved antibiotic use. This may partly due to the low salary of medical staff, which motivates the personnel to seek additional income by providing other services and selling pharmaceutical products[144]. In addition, patient retention also could increase the income of physicians. In village clinic, if physicians adopt wait-and-watch policy or prescribe only antiviral drugs for fevers or the common cold, the caregivers would be dissatisfied with the village doctor, and would visit other village doctors. Furthermore, the primary caregivers would not return to the clinic the next time when their child had disease[120]. This may be a reason for the high prevalence of antibiotic utilization in children. Fourthly, we found that pathogen detection rate among inpatients was 44.7% in our study, which was similar to the result in another study[108]. Antibiotic use without a clear indication is common[126], it is difficult for physicians to distinguish viral infection and bacterial infection, because

the diagnostic tests, such as a routine blood test or C-reactive test, are not available in rural settings in China, so they make decisions based on clinical experience without clear indications. However, differentiating definitively between bacterial and viral causes of respiratory infections based on signs and symptoms alone is seldomly possible, and this imprecision and concern about missed bacterial diagnosis likely drives over-prescription of antibiotics[145]. Therefore, additional tools are necessary to physicians.

Parents' perceptions and practices of how to use medicines have important effect on the management of childhood illness[146]. Through meta-analysis, we found that parents who had considerable misunderstandings may contribute to inappropriate antibiotic use. We found that 66% of caregivers believed that antibiotics could cure infection caused by viruses, a higher percentage than that was found in a pan-European study (54%)[147]. Furthermore, half of the parents believed that antibiotics could cure common cold. Some parents often overestimate the benefits of antibiotics[148], and consider them as panacea[149]. For caregivers with correct recognition of antibiotics, the self-directed medication rate is lower than the doctor-dependent medication rate[115]. Thus, parents should receive more health education about antibiotics to improve the ability of antibiotic cognition. In addition, one study reported that about 60% of parents asked for doctors to prescribe antibiotics[150], and those who took antibiotic previously were more likely to put pressure on physicians to get them once again[151]. Other inappropriate behaviors include portraying severity of illness, or providing positive experience with use of antibiotic voluntary. However, sometimes, some physicians might misunderstand the willingness of the parents who just would like to ask for an advice or explanation from physicians rather than an antibiotic prescription[152]. Therefore, strategies for effective communication with patients and the prudent prescription of antibiotics are important. In addition, through meta-analysis, we found the overall prevalence of caregiver's self-medicating of antibiotics for children at home was 37.8%, the result was lower than Yu et.al 's finding[117]. The reasons for the prevalence of self-medicating children with antibiotics are multifactorial. Storing antibiotics at home increases the probability of medicating children with antibiotics, almost 50% of the caregivers keep antibiotics at home for children[153]. Parents who keep antibiotics at home prefer to self-medicate their children rather than directly seeking advice from a medical professional[122], and people tend to use the same drugs when they confronted similar symptoms based on their experience[154]. In addition, most of home-stored antibiotics are reported to be left over from previous prescriptions[113].

Having purchased antibiotics from retail pharmacies without a physician's prescription is a critical factor contributing to self-medicating children with antibiotics. Through meta-analysis, we found that about 37% of caregivers do not know that antibiotics should only be obtained with a doctor's prescription. Although the purchase of antibiotics without a prescription is forbidden by State Food and Drug Administration regulations[155], customers nevertheless have easy access to antibiotics in most areas. Therefore, stringent implementation of regulations concerning non-prescribed antibiotics in retail pharmacies is essential to restrict access to antibiotics.

Our study has several limitations. First, the heterogeneity across studies was statistically significant and the available data were insufficient to explain all the observed heterogeneity across studies. Second, there are few studies reported the antibiotic utilization in rural areas, however, as there is a rural population of nearly 800 million in China, antibiotic misuse in rural areas may be more serious, and we will investigate antibiotic use among children in rural areas. In addition, only published literatures were included, and potential publication bias cannot be neglected.

### Conclusion

The prevalence of antibiotic utilization is much higher than the standard. The overall prevalence of antibiotic utilization among outpatients, inpatients, and caregiver's self-medicating at home were 63.8%, 81.2%, and 37.8% respectively. The high prevalence of antibiotics is associated with multiple factors, including at children level (e.g. distribution of disease, lacking of skills and knowledge), and physician level (e.g. lacking of skills and knowledge, pressure from patient, physician-patient relationship, economic incentive and profit from prescribing medicine, lacking of pathogen detection or low pathogen detection rate), and caregiver level (e.g. lacking of skills and knowledge, put pressure on physician to get antibiotics, behavior of self-medicating with antibiotics at home for children) and retail pharmacies level (e.g. sale antibiotics without prescription) and hospital level (e.g. ward capacity). Efforts to improve the prevalence of antibiotic utilization require multisector cooperation, and long-term efforts should target at both children and caregivers, and also prescribers, like health education or training on the proper use of antibiotics and powerful supervision. Further studies should focus on antibiotic utilization of children, especially in rural area.

### **Abbreviations**

BRICS Brazil, Russia, India, China, and South Africa

CARSS China Antimicrobial Resistance Surveillance System

NASP National Antibiotic Stewardship Program

PRISMA Preferred Reporting Items for Systematic Reviews and Meta-Analyses

CNKI China National Knowledge Infrastructure

CI Confidence interval

AHRQ Agency for Healthcare Research and Quality

ARPEC Asia-Pacific Economic Cooperation

AURIS Acute upper respiratory infections

### **Declarations**

#### **Author Contributions**

S.G., Q.S., and X.Z. confirmed the research questions and the search strategies before screening the database. S.G. and X.Z. were the two reviewers, and they screened the titles and abstracts, read the full texts, and conducted the quality assessments for the included articles. X.Z acted as the third reviewer, made the final decision when disagreement between the two reviewers occurred. S.G. X.Z. and L.S. participated in the data extracting and checked the accuracy of the data. S.G. wrote the manuscript, and X.Z. checked the manuscript for polishing language. All authors read and approved the final manuscript.

#### **Funding**

This work was supported by National Natural Science Foundation of China (71774103) and The Fundamental Research Funds of Shandong University. The funders had no role in the study design, data collection and analysis, data interpretation, writing the manuscript, and publication.

#### Availability of data and materials

The datasets analyzed during the current study are not public, but are available from the corresponding author on reasonable request.

#### Ethics approval and consent to participate

Ethics approval for this study was not required.

#### Consent for publication

Not applicable.

#### **Competing interests**

The authors declare no conflicts of interest.

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### **Figures**

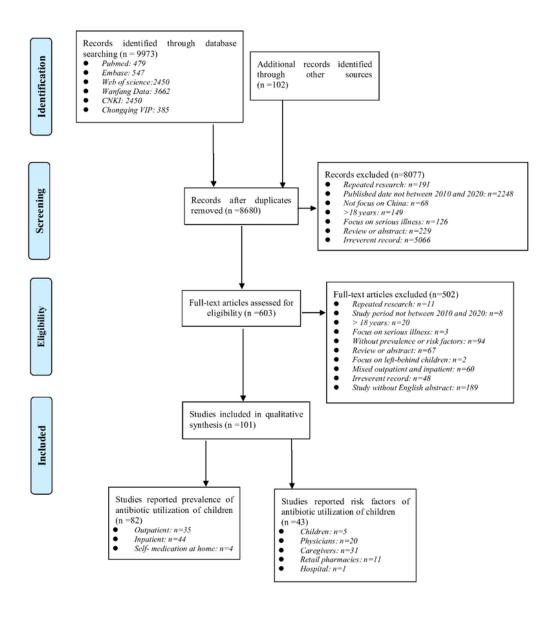


Figure 1

PRISMA flowchart of study selection process. PRISMA: Preferred Reporting Items for Systematic Reviews and Meta-Analyses CNKI: China National Knowledge Infrastructure

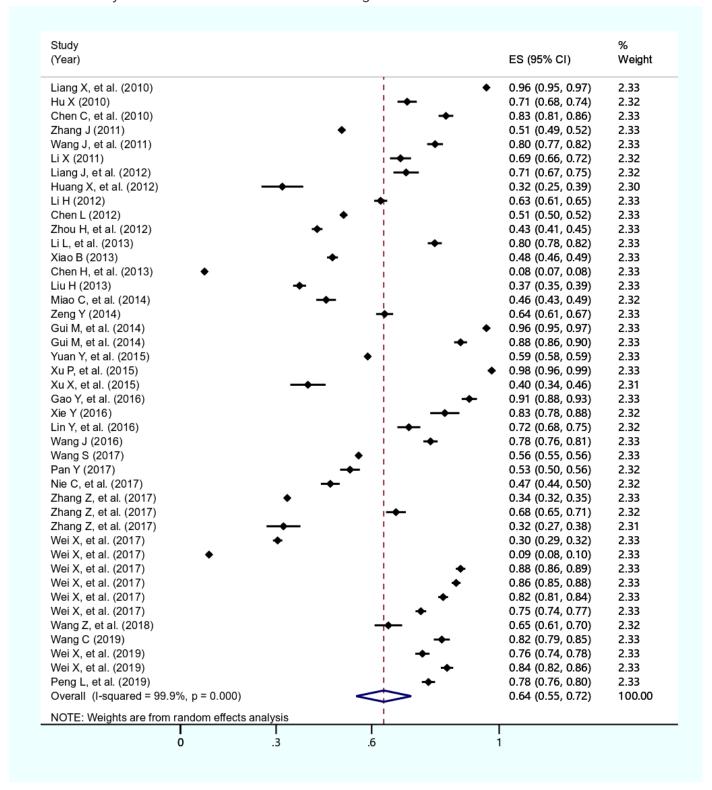


Figure 2

Forest plot of the studies for prevalence of antibiotic utilization of outpatient.

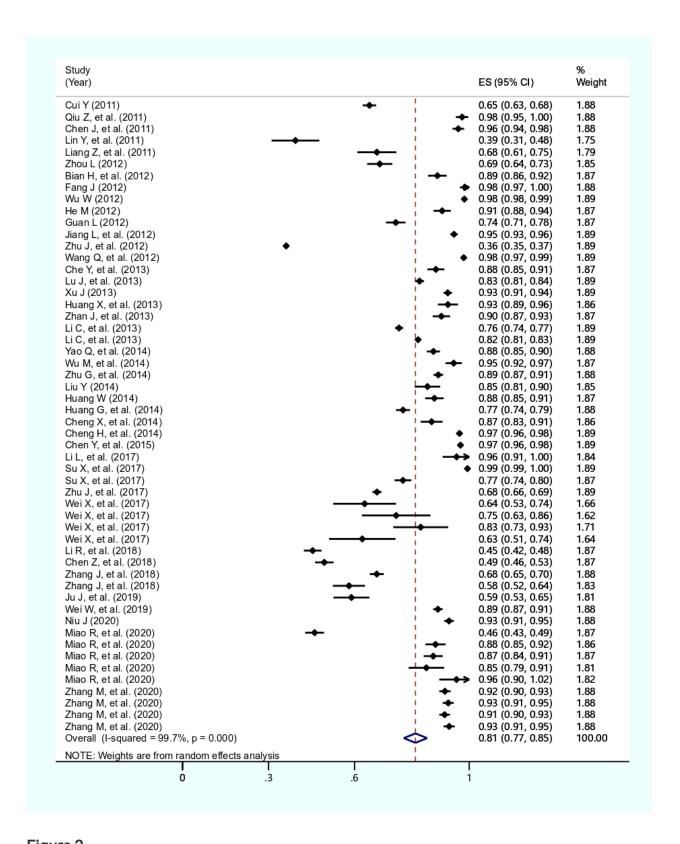
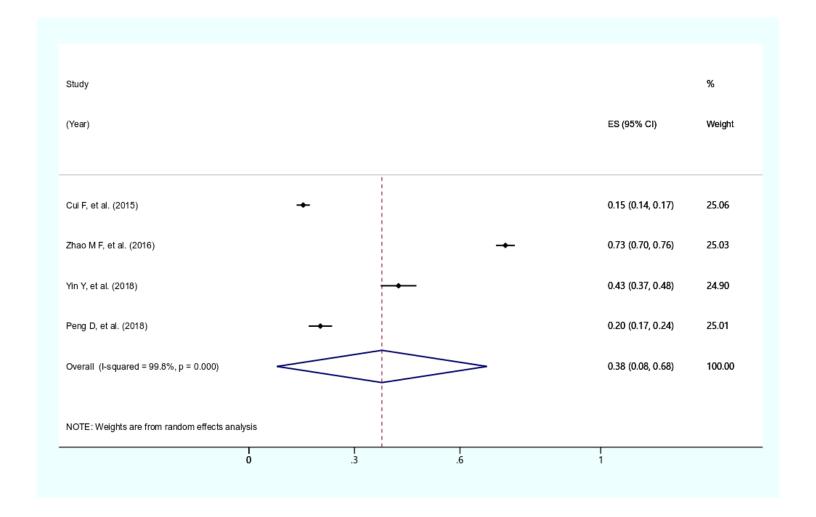


Figure 3

Forest plot of the studies for prevalence of antibiotic utilization of inpatient.



Forest plot of the studies for prevalence of self-medicating at home.

# **Supplementary Files**

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• Appendix.docx