

# 3D characterization of walnut morphological traits using X-ray computed tomography

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## Research

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1     **3D characterization of walnut morphological traits using X-ray**  
2                                   **computed tomography**

3  
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14

15 **Abstract**

16

17 **Background:**

18 Walnuts are grown worldwide in temperate areas and producers are facing an increasing  
19 demand. In a climate change context, the industry also needs cultivars that provide fruits of  
20 quality. This quality includes satisfactory filling ratio, thicker shell, ease of cracking, smooth  
21 shell and round-shaped walnut, and larger nut size. These desirable traits have been analysed  
22 so far using calipers or micrometers, but it takes a lot of time and requires the destruction of the  
23 sample. A challenge to take up is to develop an accurate, fast and non-destructive method for  
24 quality-related and morphometric trait measurements of walnuts, that are used to characterize  
25 new cultivars or collections in any germplasm management process.

26 **Results:**

27 In this study, we develop a method to measure different morphological traits on several walnuts  
28 simultaneously such as morphometric traits (nut length, nut face and profile diameters), traits  
29 that previously required opening the nut (shell thickness, kernel volume and filling kernel/nut  
30 ratio) and traits that previously were difficult to quantify (shell rugosity, nut sphericity, nut  
31 surface area and nut shape). These measurements were obtained from reconstructed 3D images  
32 acquired by X-ray computed tomography (CT). A workflow was created including several  
33 steps: noise elimination, walnut individualization, properties extraction and quantification of  
34 the different parts of the fruit. This method was applied to characterize 50 walnuts of a part of  
35 the INRAE walnut germplasm collection made of 161 unique accessions, obtained from the  
36 2018 harvest. Our results indicate that 50 walnuts are sufficient to phenotype the fruit quality  
37 of one accession using X-ray CT and to find correlations between the morphometric traits. Our

38 imaging workflow is suitable for any walnut size or shape and provides new and more accurate  
39 measurements.

## 40 **Conclusions:**

41 The fast and accurate measurement of quantitative traits is of utmost importance to conduct  
42 quantitative genetic analyses or cultivar characterization. Our imaging workflow is well adapted  
43 for accurate phenotypic characterization of a various range of traits and could be easily applied  
44 to other important nut crops.

45 **Keywords:** Walnut, Germplasm collection, Morphological traits, X-ray computed  
46 tomography, 3D characterization, Image analysis.

47

## 48 **Background**

49 Persian walnut (*Juglans regia* L.), the walnut species cultivated for nut production, is one of  
50 the oldest food sources known and is grown worldwide [1]. According to the Food and  
51 Agriculture Organization of the United Nations (www.fao.org, 2017 data), worldwide in-shell  
52 walnut production exceeds 3.8 M tons. The three largest producers are China, USA and Iran.  
53 France is the 9<sup>th</sup> largest producer, the 2<sup>nd</sup> in Europe, with 40,000 tons. French walnut orchard  
54 area reached approximately 21,000 hectares in 2017 (<https://agreste.agriculture.gouv.fr/>),  
55 making it the most important French fruit crop other than apple. The production is mainly  
56 exported in-shell to Europe thanks to its high quality, especially due to the well-known  
57 Protected Designations of Origin ‘Noix du Périgord’ and ‘Noix de Grenoble’, the two main  
58 walnut production areas. However, the number of cultivars is low and the French walnut  
59 industry needs new cultivars well adapted to French climatic conditions, with high nut and  
60 kernel quality. The quality traits include round-shaped walnut, smooth and shell easy to crack,  
61 larger nut size and high nut/kernel weight ratio [2].

62 Nowadays, agriculture is facing challenges for crop production and the plant research  
63 community needs to perform quantitative analyses of numerous plant traits in order to  
64 accelerate progress in breeding [3,4]. This is why crop germplasm collections are of tremendous  
65 importance since no production area is fully self-sufficient in genetic diversity to cover all  
66 producer and consumer demand [5]. A germplasm collection is ordinarily evaluated with  
67 morphological descriptors which is usually the first step for describing accessions and selecting  
68 genitors for breeding programs [6,7]. For obvious cost reasons, the International Plant Genetic  
69 Research Institute (IPGRI, now called Bioversity International) gives ontologies to manage  
70 germplasm collections based on morphological measurements, such as fruit length, diameter or

71 thickness in millimeter without any measuring tools mentioned, and visual appreciation for  
72 traits that are difficult to quantify such as fruit shape or rugosity.

73 In walnut, since mid-1970s numerous studies report correlations between various traits related  
74 to morphological properties of the nut [8-13]. For instance, to evaluate promising genotypes  
75 mainly originated from seed in Iran, shell thickness was measured with a micrometer and shell  
76 roughness was recorded by assigning values from 1 to 7 [14,15] or from 1 to 9 [16,17] based  
77 on visual appreciation recommended by IPGRI [18]. Other works in Iran, using a caliper, also  
78 focused on nut length and diameter [19-23]. In Turkey, similar studies were conducted still  
79 using a caliper or even a compass to measure walnut diameter, length and/or shell thickness  
80 [24-28]. Finally, walnut germplasm collections from Europe were also characterized using  
81 similar tools in Albania [29], Serbia [30], Bulgaria [31], Romania [32] and Italy [33].

82 Overall, the measurements using a caliper, a micrometer or even by simple visual observation  
83 are until now the classical methods in walnut assessment. However, this kind of evaluation is  
84 painstaking, time-consuming and can lead to inaccuracy and low resolution. Fortunately,  
85 imaging techniques applied for plant phenotyping and food quality determination have been  
86 developed over the past decades using a wide range of methodologies, mainly for field crops  
87 and for various traits such as growth dynamics, shoot structure and morphometric parameters  
88 [34]. We can quote the use of visible light [35] and fluorescence imaging [36] on barley; the  
89 thermal infrared [37], near-infrared [38] and hyperspectral imaging [39] on rice; or 3D imaging  
90 on soybean [40] and magnetic resonance imaging on bean [41]. With the genomics era allowing  
91 researchers to unravel the genetic architecture of complex traits, we clearly observe a shift to a  
92 need in high-throughput phenotyping for crop improvement [42].

93 X-ray computed tomography (CT) is a non-destructive imaging technique based on computer-  
94 processed X-rays to acquire tomographic slice images of the scanned sample and generate a 3D

95 reconstruction [34]. Used at first for medical purposes, X-ray CT has been lately applied in  
96 various agricultural products in particular to evaluate internal quality [43], especially in fruits  
97 and vegetables. In apples, X-ray CT was used to evaluate the density and the water content  
98 under varying moisture conditions [44], while in pears this technology was used to study the  
99 core breakdown development [45]. This method was also used in nuts and few works are  
100 reported, such as the detection of pinhole insect damage in almonds [46], the segmentation and  
101 classification in hazelnuts [47] and the behaviour study of fourth-instar weevil in pecan nuts  
102 [48]. In grapevine, a recent study aimed to characterize inflorescence architecture using X-rays  
103 [49]. The authors found correlations between 24 morphological traits among 392 samples of 10  
104 wild *Vitis* species. They were able then to perform a multivariate discriminant analysis to  
105 classify the different species.

106 However, there is not much work showing the application of such methods on walnut. A  
107 technical report is available on 3D reconstruction of a walnut using X-ray CT [50] and also a  
108 data collection providing an image reconstruction pipeline [51]. However, in this study, the  
109 authors aimed to develop a method adapted for machine learning and they used 42 walnuts as  
110 models because they have variability, hard shell, softer kernel and empty space which are  
111 characteristics similar to the human head. Here, we present the development of a robust method  
112 that extracts for the first time complete morphological measurements of walnut using X-ray CT  
113 within a worldwide germplasm collection. This method offers the possibility to quantify several  
114 traits such as rugosity, sphericity and shape indexes previously really difficult to quantify but  
115 essential for French walnut industry. It also allows to evaluate the filling ratio which is the  
116 volume occupied by the kernel over the total nut volume, until now impossible to know without  
117 cracking the walnut. Our results will be helpful for new breeding programs by selecting the best  
118 accessions as genitors in order to release tomorrow's varieties. Our method could be also used  
119 as a reference for walnut or other nut crop germplasm investigation in any breeding program

120 and could pave the way for future application in industry, particularly for internal quality  
121 control.

122

## 123 **Methods**

### 124 **Plant materials and sample preparation**

125 A panel of 161 unique *J. regia* accessions from worldwide was analysed. All the accessions are  
126 maintained at the *Prunus* and *Juglans* Genetic Resources Center and located in the Fruit  
127 Experimental Unit of INRAE in Toulence (latitude 44°34'37.442''N – longitude  
128 0°16'51.48''W), near Bordeaux, France (Additional file 1). The panel choice was made thanks  
129 to a previous work based on genetic diversity and phenotypic variation results [52].

130 In-shell walnut sampling was performed during harvest season in September 2018 and walnuts  
131 were dried following classical French industrial recommendations, for 2 days at 25°C using a  
132 food dryer, and then stored until analyses in a cold room set to 2°C. For each accession, a  
133 selection of 50 walnuts was performed based on their sanitary state and sent to the GEVES  
134 laboratory (Beaucouzé, France). All the samples were stored in an environmentally controlled  
135 room at 10°C and 47.75% ( $\pm 3.4$ ) relative humidity until use. During the preparation, the walnuts  
136 were embedded in a floral foam sample holder (9 cm length  $\times$  8 cm width  $\times$  21 cm height) to  
137 keep the samples from any abrupt or slight movement during the scanning process in order to  
138 avoid producing distorted images. The floral foam was chosen based on preliminary trials on  
139 different low-density materials in order to observe the level of attenuation of the X-rays passing  
140 through these materials.

141 The walnuts were scanned in batches, knowing that, the sample size was not fixed due to the  
142 huge variation between the walnuts in size and the limited scanning scope of the detector. The  
143 sample size ranged from 5 up to 16 walnuts per scan.

144

### 145 **X-ray computed tomography imaging system specifications**

146 X-ray CT scans were performed at the GEVES laboratory (Beaucouzé, France) using a 3D X-  
147 ray imaging system, the NSI X-50 model from North Star Imaging©, Inc. (Minnesota, USA),  
148 which has a focus tube with focal spot up to 1  $\mu$ , a voltage range of 10-130 kV, an electric  
149 current range of 50-300  $\mu$ A, a flat-panel detector with a resolution of 256 $\times$ 256 and an adjustable  
150 rotary stage.

151

### 152 **Image acquisition and reconstruction**

153 Scans were obtained at constant electron acceleration energy of 120 kV, an electric current of  
154 300  $\mu$ A and a rotation speed of 4.99 degrees/s resulting in a scan duration of 14m34s. A total  
155 of 2,164 images (or radiographs) in a .tif format were used for reconstructing each 3D image  
156 using North Star Imaging© reconstruction software EFX-CT (version 1.9.5.12) where the  
157 resulting 3D images were exported in a .nsihdr format with a resolution of 992 $\times$ 992 $\times$ 2991  
158 voxels (voxel size of 0.1 $\times$ 0.1 $\times$ 0.1 mm).

159

160 **Fig. 1** X-ray CT workflow of walnut measurements. **a** Preparation of walnut samples using  
161 floral foam (12 walnuts/batch in average), **b** acquisition of X-ray CT images with right images  
162 of 2D slices, **c** 3D reconstruction, **d** preprocessing with right image showing noise and artifacts

163 that have to be removed, **e** individualization of each walnut of the batch and **f** XY, YZ, ZX  
164 segmentation and labelling of a walnut leading to segmentation of each different part, with the  
165 shell in red, the kernel in green and the empty space in blue.

166 After 3D reconstruction, a multi-stage workflow was applied to all CT images in order to  
167 eventually achieve a quantitative study. This workflow consists of three key steps as illustrated  
168 in Fig. 1d-f: preprocessing steps, walnuts individualization, and morphological traits extraction  
169 and quantification.

170 (i) The preprocessing: it begins with automatically loading each image in our image collection  
171  $I_{(1,x,y,z)}, \dots, I_{(m,x,y,z)}$  sequentially (where  $m$  is the number of images) and then denoising them  
172 in order to eliminate the noise and artifacts introduced by the X-ray system during image  
173 acquisition. We applied Gaussian filter, successive morphological operations such as opening  
174 and closing, and also, we removed the unwanted small spots in the image. Subsequently, all the  
175 voxels which represent the sample holder are eliminated and only the voxels which represent  
176 the walnuts are preserved by discarding all the voxels below a certain threshold  $\tau$  resulting in a  
177 binary mask  $M_{(x,y,z)}$  according to the equation (1).

$$178 \quad M_{(x,y,z)} = \begin{cases} 0 & \text{if } I_{(x,y,z)} \leq \tau \\ 1 & \text{if } I_{(x,y,z)} > \tau \end{cases} \quad (1)$$

179 (ii) The individualization: the task of extracting the features of the walnuts and quantification  
180 is challenging especially if the walnuts are touching. To overcome this difficulty, all the walnuts  
181 in the images were separated and individualized (Fig. 2). The individualization step consists of  
182 multiple sub-steps such labelling, masking, convex hull estimation and exporting. Labelling is  
183 based on voxel connectivity in the whole 3D volume in order to determine the regions of interest  
184 which represent the walnuts by assigning identical values to all the voxels that belong to an  
185 individual walnut. Each walnut in the image was assigned a unique value starting from 1 to  $n$   
186 consecutively where  $n$  represents the total number of walnuts in the image. Then, labelling was

187 followed by generating a set of masks using the determined regions of interest then finally,  
188 given the original input image and the generated set of masks  $K_{(x,y,z)}$  as shown in the equation  
189 (2), each walnut was exported in a separate sub-image  $i_{(x,y,z)}$  in a .nsihdr format after estimating  
190 the convex hull of each walnut. Loading, preprocessing, labelling and convex hull calculation  
191 take 20 minutes for an average sized sample.

$$192 \quad f(I_{(x,y,z)}, K_{(x,y,z)}) = \{i_{(1,x,y,z)}, i_{(2,x,y,z)} \dots i_{(n,x,y,z)}\} \quad (2)$$

193

194 **Fig. 2** Separation and individualization of walnuts.

195 (iii) The morphological traits extraction and quantification: at this step, the principal  
196 components of the walnuts whose morphological features were segmented using multi-level  
197 thresholding and watershed algorithm which is a transformation that treats the image like a  
198 topographic map [53]. As a consequence, in our case, each main part of each walnut was  
199 segmented and was given a unique label as shown in Fig. 1f, considering the kernel, the shell,  
200 and the empty space between the kernel and the shell. The optimum threshold  $\tau$  and greyscale  
201 ranges of the principal parts of the walnuts were estimated experimentally based on the analysis  
202 of the histogram, using K Nearest Neighbor clustering method, that corresponds to the  
203 distribution of the intensities of the images (Fig. 3). Cropping and exporting take 1m30s/walnut.  
204 An additional movie file shows this in more detail (Additional file 2).

205

206 **Fig. 3** Greyscale histogram analysis. **a** Example of a 2D slice, **b** the corresponding histogram  
207 and **c** a bar that visualizes an approximate percentage of pixels in each cluster.

208 A fully automated in-house image processing pipeline was developed using the Thermo  
209 Scientific Avizo© software V9.0.0 built-in functions, the MATLAB© version 7.7.0 R2008b

210 image processing toolbox [54] from The MathWorks©, Inc. (Massachusetts, USA), the TCL  
 211 scripting language and Spyder Python IDE. To use this pipeline, walnuts that have no damage  
 212 on the shell are required.

213 Measurements of a total of 14 morphological and shape descriptors were obtained: the nut  
 214 length, the nut face diameter, the nut profile diameter, the nut volume, the nut shape VA3D, the  
 215 nut Feret shape 3D (defined by  $D/d$  where  $d$  is the minimum Feret diameter and  $D$  is the  
 216 maximum Feret diameter in the orthogonal direction, so  $90^\circ$  from the minimum Feret diameter;  
 217 the maximum Feret diameter is the maximum diameter of an object as if it were freely rotating  
 218 in three dimensions using a caliper [55]), the nut surface area, the shell volume, shell thickness,  
 219 the kernel volume, the kernel filling ratio, and the empty space volume (Table 1). Quantification  
 220 takes 2 minutes/walnut.

<b>Morphological trait</b>	<b>Symbol</b>	<b>Description</b>	<b>Unit</b>
<b>Nut</b>			
Nut Length	L	The largest length of the nut from the base to the end	mm
Nut Face Diameter	F	The largest longitudinal section of the nut through suture	mm
Nut Profile Diameter	P	The largest longitudinal section of the nut perpendicular to suture	mm
Nut Volume	$V_n$	Total volume of the nut, $V_n = V_s + V_k + V_e$	$\text{mm}^3$
Nut Shape VA3D	$S_1$	Shape factor of the nut	-
Nut Feret Shape 3D	$S_2$	Feret shape factor of the nut	-
Nut Surface Area	A	Surface area of the nut	$\text{mm}^2$
Nut Sphericity	$\Psi$	Index of nut roundness	-
<b>Shell</b>			
Shell Volume	$V_s$	Volume of the shell	$\text{mm}^3$
Shell Thickness	T	Thickness of the shell	mm
Shell Rugosity	$\Omega$	Index of shell surface roughness	-
<b>Kernel</b>			
Kernel Volume	$V_k$	Volume of the kernel	$\text{mm}^3$
Kernel Filling Ratio	R	Ratio of the kernel volume $V_k$ to the total volume of the nut $V_n$	%
<b>Empty Space</b>			

221

222 **Table 1** Walnut morphological traits measured by the workflow.

223 In addition, two supplementary traits, the nut sphericity (close to roundness) (3) and the shell  
224 rugosity (or surface roughness) (4) indexes, were measured using a non-standard calculation  
225 since they are not supported by the Avizo© software:

226 
$$\text{Nut Sphericity} = \frac{\pi^{\frac{1}{3}} (6V_n)^{\frac{2}{3}}}{A} \quad (3)$$

227 
$$\text{Shell Rugosity} = \frac{A}{\sqrt[3]{36\pi V_n^2}} \quad (4)$$

228 where  $V_n$  is the nut volume and  $A$  is its surface area. The sphericity of a sphere is 1 and any  
229 object which is not a sphere will have sphericity less than 1. The nut shape VA3D is defined by

230 
$$\frac{A^3}{36\pi V_n^2}$$

231 Experiments were run on a workstation equipped with an Intel® Xeon® dual-core processor  
232 running at 3 GHz using 64 MB of RAM and running Windows® version 10. The dataset was  
233 assessed using R software [56] with the package “tidyverse” [57]. Pearson correlation matrices  
234 were performed using the package “corrplot” [58] and Principal Component Analysis (PCA)  
235 using the package “FactoMineR” [59].

236

## 237 **Results**

238 **Building a workflow for assessing phenotypic variation of the germplasm**  
239 **collection from CT images and 3D processing**

240 The X-ray CT imaging workflow permitted to characterize the INRAE germplasm collection  
 241 for 14 traits whose descriptive statistics (mean, standard deviation, minimum and maximum)  
 242 are given in Table 2. The collection exhibits high phenotypic variation in morphology-related  
 243 traits, particularly for Nut Volume which ranged from approx. 10,000 for ‘UK 56-12’ to more  
 244 than 42,000 mm<sup>3</sup> for ‘Carmelo’ (Additional file 3). We found between the minimum and the  
 245 maximum a factor of 1.8 for Nut Length, 1.6 for Nut Face and Nut Profile Diameters, and 2.0  
 246 for Shell Thickness. Globally, the Kernel Filling Ratio is low, ranging from approx. 21 to 37%,  
 247 and the Nut Sphericity has a low variation, from 0.84 to 0.93.

<b>Morphological trait</b>	<b>Unit</b>	<b>Mean ± SD<sup>a</sup></b>	<b>Range</b>
<b>Nut</b>			
Nut Length	mm	38.39 ± 2.18	28.57 - 51.43
Nut Face Diameter	mm	32.27 ± 1.70	25.99 - 40.75
Nut Profile Diameter	mm	33.29 ± 1.70	27.06 - 42.84
Nut Volume	mm <sup>3</sup>	19,400.02 ± 2,669.03	10,382.05 - 42,813.08
Nut Shape VA3D	-	1.47 ± 0.08	1.24 - 1.69
Nut Feret Shape 3D	-	1.25 ± 0.05	1.12 - 1.48
Nut Surface Area	mm <sup>2</sup>	4,019.53 ± 401.91	2,622.59 - 7,093.53
Nut Sphericity	-	0.88 ± 0.02	0.84 - 0.93
<b>Shell</b>			
Shell Volume	mm <sup>3</sup>	4,076.78 ± 595.05	2,390.66 - 9,051.88
Shell Thickness	mm	1.03 ± 0.12	0.73 - 1.49
Shell Rugosity	-	1.14 ± 0.02	1.07 - 1.19
<b>Kernel</b>			
Kernel Volume	mm <sup>3</sup>	5,723.89 ± 1,039.03	3,408.85 - 9,548.93
Kernel Filling Ratio	%	30.02 ± 3.55	20.66 - 37.42
<b>Empty Space</b>			
Empty Space Volume	mm <sup>3</sup>	9,599.35 ± 1,719.56	4,536.51 - 24,212.21

<sup>a</sup> SD is the abbreviation for standard deviation

248

249 **Table 2** Descriptive statistics of walnut morphological traits.

250 Using Pearson correlation coefficient, we found unsurprisingly significant high positive  
251 correlations (p-value 0.001) between all the morphometric traits: Nut Face/Profile Diameters,  
252 Nut Length, Nut Surface Area, Nut/Shell/Kernel/Empty Space Volumes (Fig. 4). We can  
253 observe that Nut Length is positively correlated with Nut Face and Profile Diameters (0.67 and  
254 0.64, respectively), meaning that the longer the walnut is, the larger the diameter is. Then, Nut  
255 Volume is unsurprisingly positively correlated with all three previous traits (Nut Length 0.80,  
256 Nut Face Diameter 0.91 and Nut Profile Diameter 0.94), since the variation of the volume of  
257 an object depends on those three dimensions. Nut Surface Area is also positively correlated  
258 with all volumes-related traits (from 0.85 to 0.99). We also observed significant moderate  
259 positive correlations (p-value 0.001) between all those morphometric traits (except Kernel  
260 Volume), and Shell Rugosity and Nut Shape VA3D (from 0.30 to 0.50), indicating that the  
261 bigger the fruit is, the rougher the shell is. Those morphometric traits are significantly  
262 negatively correlated (p-value 0.001) with Nut Sphericity and Kernel Filling Ratio. This means  
263 that a big fruit is less spherical and less filled by the kernel. Finally, Nut Sphericity is perfectly  
264 negatively correlated (p-value 0.001) with Shell Rugosity and Nut Shape VA3D showing that  
265 the closer the fruit gets to a spherical shape, the smoother the shell is.

266

267 **Fig. 4** Pearson correlation matrix for walnut morphological traits.

## 268 **The X-ray CT method for the selection of superior genotypes**

269 In French walnut industry, both producers and consumers have particular expectations. For  
270 example, they would prefer large round-shaped walnuts, easy to crack and having a high kernel  
271 filling ratio. A Principal Component Analysis using the dataset obtained permitted us to select  
272 interesting genotypes for the previous important traits (Fig. 5).

273

274 **Fig. 5** Principal Component Analysis using the 161 walnut accessions and the 14 traits  
275 quantified using the X-ray CT method. **a** PCA correlation circle of the 14 variables (dimensions  
276 1 and 2), **b** Scree plot of the percentage of variances explained by the first ten dimensions, **c**  
277 PCA scatterplot of the 161 accessions (dimensions 1 and 2), **d** Correlation plot of the 14  
278 variables (dimensions 1 and 2). For a and c plots, color gradient indicates the quality of the  
279 representation of each variable given by the squared cosines  $\cos^2$ .

280 The first two dimensions of the PCA explain 75.5% of the total variance (Fig. 5b). The  
281 dimension 1 corresponds to the morphometric traits (Nut Length, Nut Face Diameter, Nut  
282 Profile Diameter), the volumes (Nut Volume, Shell Volume, Kernel Volume, Empty Space  
283 Volume) and the Nut Surface Area (Figs. 5a and 5d). The dimension 2 is linked to the Nut  
284 Shape VA3D, the Nut Sphericity and the Shell Rugosity (Figs. 5a and 5b). Unfortunately, the  
285 Kernel Filling Ratio and the Shell Thickness are both traits badly represented by the PCA (Fig.  
286 5). By visualizing the scatterplot of the individuals, it is now easy to select superior genotypes  
287 for most of the traits (Fig. 5c). For example, ‘Carmelo’ (131) and ‘Germisara’ (135) are the two  
288 accessions giving the biggest walnuts, and ‘Milotaï n°10’ (113) and ‘Lozeronne n°1’ (7) are the  
289 two accessions giving the more round-shaped walnuts.

290 Since the Shell Thickness and the Kernel Filling Ratio are not well represented by the PCA, we  
291 also looked at the ten superior genotypes for both traits (Table 3).

---

<b>Superior genotype</b>	<b>Mean <math>\pm</math> SD<sup>a</sup></b>
<b>Shell Thickness (mm)</b>	
Lozeronne n°1	0.7316 $\pm$ 0.1987
Izvor 10	0.8113 $\pm$ 0.1956
H 110-34	0.8293 $\pm$ 0.1845
Marchetti	0.8437 $\pm$ 0.1855

H 113-21	0.8527 ± 0.0995
Pourpre Hollande	0.8555 ± 0.1752
Sexton	0.8705 ± 0.0270
AS 1	0.8833 ± 0.1520
S 34 B Pyrrus	0.8859 ± 0.1448
H 131-08	0.8865 ± 0.1010

**Kernel Filling Ratio (%)**

---

IR 13-1	37.42 ± 3.10
H 102-15	35.80 ± 2.77
IR 100-2	35.61 ± 3.20
UK 224-6	35.29 ± 5.53
S 4 B Thétis	35.09 ± 3.59
Lozeronne n°1	35.07 ± 3.03
Wepster W2	34.92 ± 3.19
Ferjean	34.69 ± 3.04
Grappes Suisse	34.51 ± 2.55
Cheinovo	34.37 ± 4.76

---

<sup>a</sup> SD is the abbreviation for standard deviation

292

293 **Table 3** List of superior genotypes considering the shell thickness and the kernel filling ratio.

294 ‘Lozeronne n°1’, previously identified as highly round-shaped, gives walnuts with a thin shell,  
 295 more easily cracked, but, this accession is also one of those that gives the smallest walnuts. The  
 296 two Iranian accessions ‘IR 13-1’ and ‘IR 100-2’ are among those having the highest kernel/nut  
 297 ratio. In our panel, although we have a large diversity, we do not find the optimal accession for  
 298 all the traits, but we can identify the best for each trait with this technique.

299

300 **Discussion**

301 Primarily developed for medical purposes, X-ray CT is now widely applied in food science, for  
302 instance to track the microstructural evolution of dairy products or to quantify salt  
303 concentrations in pork meat [60]. An overview of applications related to various food products  
304 showed the use of X-ray CT in chestnut for postharvest assessment of internal decay and in  
305 pecan nuts for components screening [61-63]. We showed for the first time that X-ray CT is a  
306 method of choice also for walnut germplasm analysis of morphological traits. We obtained  
307 quantitative data of high accuracy on 14 traits including volumes and shell thickness which are  
308 classically obtained by cracking the nuts. The obtained dataset includes information that is  
309 crucial for the INRAE walnut germplasm collection, allowing a precise and relevant  
310 characterization of the nuts of each accession. It will help to select superior genitors for a  
311 breeding program, so that we can hope to combine many favourable traits in a new variety.

312 This method is suitable for all types of walnuts, regardless of size and shape. However, the  
313 walnuts analysed should not have shell damaged, as, in this case, it is difficult to extract the  
314 walnut features with the algorithm developed in our study. The observations show that the shell  
315 and the empty space inside those walnuts were incorrectly segmented. The measurements of  
316 such walnuts were totally excluded from the results. For future work, it is recommended to  
317 discard the damaged walnuts during the sample preparation step, before performing CT  
318 scanning in order to avoid any inaccurate calculations. One beneficial direction could be  
319 increasing the robustness of the pipeline by designing an artificial intelligence-based task for  
320 automatic detection of the walnuts with notably broken and/or damaged shells, and eliminating  
321 them before morphological traits extraction and quantification step.

322 We demonstrate that this method presents numerous advantages compared to classical  
323 morphological evaluation, mainly the accuracy of measurement and access to several measures  
324 without cracking. For all these reasons, the development of this technology for research scale  
325 but also for the industry could be very useful in the future. However, the cost of this technology

326 can constitute a limitation of its use but this method will save time for any lab or industry  
327 operator willing to use X-ray CT on walnuts and can be easily transferable to other nuts species.  
328 For instance, with the addition of deep learning methods, we can imagine such an application  
329 for food security and commercial frauds purposes, in place of molecular biology authentication.

330 For nuts, it is economically important to know from which cultivar they come from. For French  
331 walnut industry, the fruits of the most produced cultivar ‘Franquette’, old cultivar representing  
332 70% of the orchard surfaces, can be distinguished from those of ‘Fernor’, a cultivar released in  
333 1995 and sold at a higher price. Based on our results from 50 walnuts, the value of the nut Feret  
334 Shape for ‘Franquette’ is between 1.380 and 1.500 whereas the value for ‘Fernor’ is between  
335 1.210 and 1.304, considering the standard deviation. The Feret shape is clearly a powerful  
336 descriptor to discriminate two cultivars and establish genetic origin, since DNA isolation is  
337 often a difficult task on nut materials due to the high lipid content of kernels [64].

338

## 339 **Conclusions**

340 We presented a method for better resolution phenotyping of walnuts based on X-ray CT  
341 compared to classical measurement methods. The data will be used for INRAE walnut  
342 germplasm management, but also for GWAS purposes and for selecting superior genotypes in  
343 a future breeding program. This method could be easily adapted for any nut species and  
344 potentially moved towards the identification of the first steps of infection by pathogens.

345

## 346 **List of abbreviations**

347 3D: Three-dimensional space; ANR: Agence Nationale de la Recherche; CT: computed  
348 tomography; GEVES: Groupe d’étude et de contrôle des variétés et des semences; GWAS:

349 genome-wide association study; INRAE: Institut National de Recherche pour l'Agriculture,  
350 l'Alimentation et l'Environnement; IPGRI: International Plant Genetic Research Institute; kV:  
351 kilovolt; PCA: Principal Component Analysis;  $\mu$ A: microampere.

352

## 353 **Declarations**

### 354 **Ethics approval and consent to participate**

355 Not applicable.

### 356 **Consent for publication**

357 Not applicable.

### 358 **Availability of data and materials**

359 The dataset generated during the current study is available in the Additional file 3.

### 360 **Competing interests**

361 The authors declare that they have no competing interests.

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367 Recherche et de la Technologie).

368 **Authors' contributions**

369 LL performed the X-ray CT analyses. SH developed the workflow. AB performed the  
370 phenotypic statistical analyses. ED, FL and AB conceived and coordinated the study. AB and  
371 SH wrote the manuscript. All authors read and approved the final manuscript.

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385 et de la Technologie).

386

387 **List of additional data files**

388 **Additional file 1** List of the 161 accessions studied

389 **Additional file 2** Video of 3D reconstruction and individualization steps of a walnut

390 **Additional file 3** Complete dataset

391

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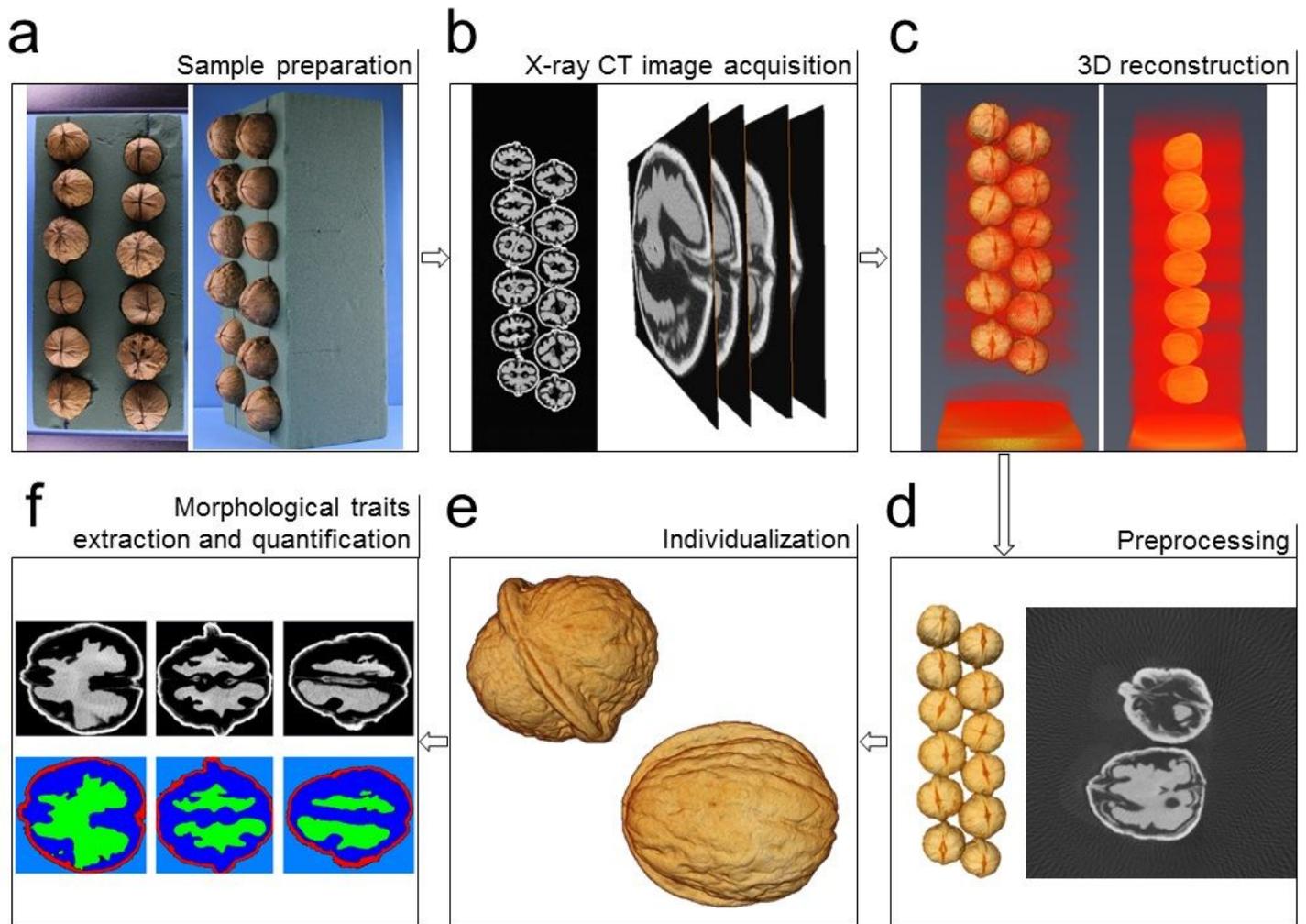
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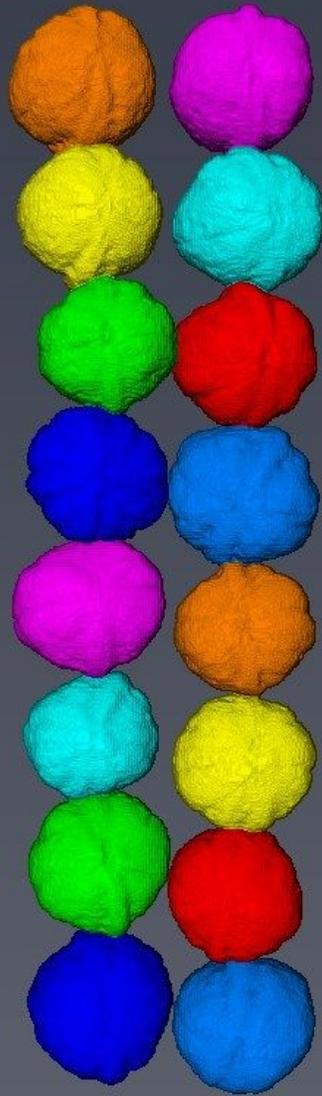
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# Figures



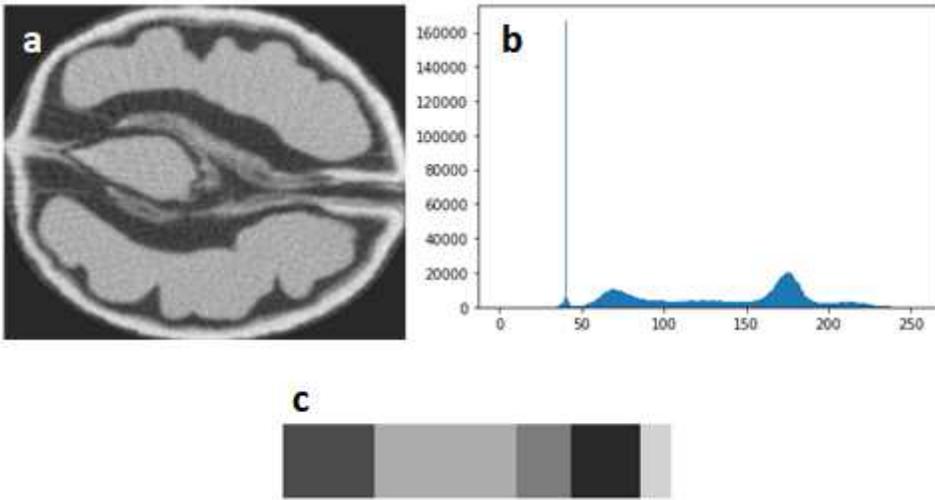
**Figure 1**

X-ray CT workflow of walnut measurements. a Preparation of walnut samples using floral foam (12 walnuts/batch in average), b acquisition of X-ray CT images with right images of 2D slices, c 3D reconstruction, d preprocessing with right image showing noise and artifacts that have to be removed, e individualization of each walnut of the batch and f XY, YZ, ZX segmentation and labelling of a walnut leading to segmentation of each different part, with the shell in red, the kernel in green and the empty space in blue.



**Figure 2**

Separation and individualization of walnuts



**Figure 3**

Greyscale histogram analysis. a Example of a 2D slice, b the corresponding histogram and c a bar that visualizes an approximate percentage of pixels in each cluster.

Nut Shape VA3D	-0.25	-1	0.43	0.4	0.31	0.3	0.36	0.47	0.5	0.29	0.22	0.35	1	1
Shell Rugosity	-0.25	-1	0.44	0.4	0.31	0.3	0.36	0.47	0.5	0.29	0.23	0.36	1	***
Shell Thickness	-0.08	-0.36	0.21	0.15	0.17	0.1	0.2	0.22	0.53	0.17	0.14	1	***	***
Nut Feret Shape 3D	-0.07	-0.23	0.61	-0.11	-0.12	0.05	0.08	0.11	0.17	0.06	1	*	**	**
Kernel Volume	-0.09	-0.29	0.7	0.83	0.82	0.71	0.85	0.85	0.82	1	n.s.	*	***	***
Shell Volume	-0.42	-0.5	0.77	0.8	0.83	0.79	0.89	0.91	1	***	*	***	***	***
Nut Surface Area	-0.57	-0.47	0.82	0.92	0.94	0.95	0.99	1	***	***	n.s.	**	***	***
Nut Volume	-0.58	-0.36	0.8	0.91	0.94	0.97	1	***	***	***	n.s.	*	***	***
Empty Space Volume	-0.73	-0.3	0.75	0.87	0.91	1	***	***	***	***	n.s.	n.s.	***	***
Nut Profile Diameter	-0.54	-0.31	0.64	0.88	1	***	***	***	***	***	n.s.	*	***	***
Nut Face Diameter	-0.48	-0.4	0.67	1	***	***	***	***	***	***	n.s.	*	***	***
Nut Length	-0.48	-0.44	1	***	***	***	***	***	***	***	***	***	***	***
Nut Sphericity	0.25	1	***	***	***	***	***	***	***	***	***	***	***	***
Kernel Filling Ratio	1	**	***	***	***	***	***	***	***	***	n.s.	n.s.	**	**
	Kernel Filling Ratio	Nut Sphericity	Nut Length	Nut Face Diameter	Nut Profile Diameter	Empty Space Volume	Nut Volume	Nut Surface Area	Shell Volume	Kernel Volume	Nut Feret Shape 3D	Shell Thickness	Shell Rugosity	Nut Shape VA3D

**Pearson Correlation**

**P-value**

0.001 \*\*\*

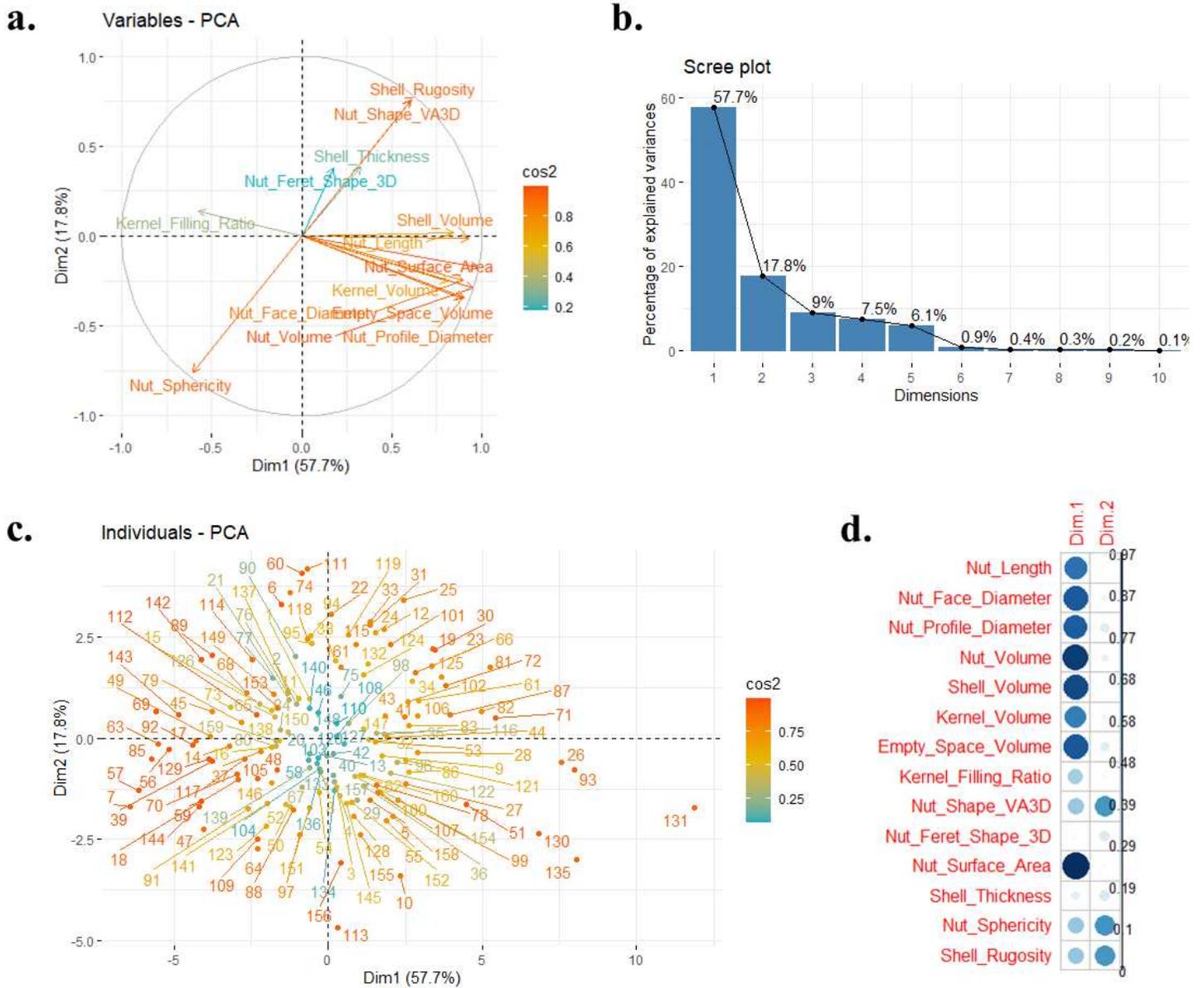
0.01 \*\*

0.05 \*

n.s. not significant

**Figure 4**

Pearson correlation matrix for walnut morphological traits.



**Figure 5**

Principal Component Analysis using the 161 walnut accessions and the 14 traits quantified using the X-ray CT method. a PCA correlation circle of the 14 variables (dimensions 1 and 2), b Scree plot of the percentage of variances explained by the first ten dimensions, c PCA scatterplot of the 161 accessions (dimensions 1 and 2), d Correlation plot of the 14 variables (dimensions 1 and 2). For a and c plots, color gradient indicates the quality of the representation of each variable given by the squared cosines cos<sup>2</sup>.

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Additionalfile3Completedataset.xls](#)
- [Additionalfile1Listofaccessionsstudied.xls](#)
- [Additionalfile2Video.mpg](#)