

Involvement of Anti-Inflammatory, Antioxidant, and BDNF Up-Regulating Properties in the Antipsychotic-Like Effect of the Essential Oil of *Alpinia Zerumbet* in Mice: A Comparative Study With Olanzapine

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Abstract

The current drug therapy for schizophrenia effectively treats acute psychosis and its recurrence; however, this mental disorder's cognitive and negative symptoms are still poorly controlled. Antipsychotics present important side effects, such as weight gain and extrapyramidal effects. The essential oil of *Alpinia zerumbet* (EOAZ) leaves presents potential antipsychotic properties that need further preclinical investigation. Here, we aimed to determine the effects of EOAZ in the prevention and reversal of schizophrenia-like symptoms (positive, negative, and cognitive) induced by ketamine (KET) repeated administration in mice and putative neurobiological mechanisms related to this effect. To this end, we evaluated antioxidant (GSH, nitrite levels), anti-inflammatory [interleukin (IL)-6], and neurotrophic [brain-derived neurotrophic factor (BDNF)] effects of this oil in hippocampal tissue. The atypical antipsychotic olanzapine (OLZ) was used as standard drug therapy. EOAZ, similarly to OLZ, prevented and reversed most KET-induced schizophrenia-like behavioral alterations, i.e., sensorimotor gating deficits and social impairment. EOAZ had a modest effect on the prevention of KET-associated working memory deficit. Compared to OLZ, EOAZ showed a more favorable side effects profile, inducing less cataleptic and weight gain changes. EOAZ efficiently protected the hippocampus against KET-induced oxidative imbalance, IL-6 increments, and BDNF impairment. In conclusion, our data add more mechanistic evidence for the anti-schizophrenia effects of EOAZ, based on its antioxidant, anti-inflammatory, and BDNF up-regulating actions. The absence of significant side effects observed in current antipsychotic drug therapy seems to be an essential benefit of the oil.

1. Introduction

Alpinia zerumbet (*Alpinia zerumbet* (Pers) Burt. et Smith), popularly known in Brazil as “colônia”, is a tropical ornamental plant used in some regions to control depression, psychomotor agitation, and anxiety (Satou et al. 2010).

Despite the prevalent use of *Alpinia zerumbet* in controlling neuropsychiatric symptoms, its oil's central effects started to be investigated only in the last decade. In this context, the oil presents an anxiolytic-like effect in mice (Satou et al. 2010, 2011). Additionally, our research group demonstrated a putative antipsychotic-like effect of the EOAZ with dopaminergic mechanisms' involvement (de Araújo et al., 2009). To this end, we firstly used screening models for the evaluation of novel antipsychotic drugs based on acute administration of dopaminergic drugs such as amphetamine and ketamine. In this initial protocol, we observed that the EOAZ counteracted the stereotypies and hyperlocomotion induced by these drugs (De Araújo et al. 2009, 2011). In vitro analysis revealed that this oil presents a marked brain antioxidant action, which, hence, can partially justify its neuroprotectant effects (De Araújo et al., 2011).

To date, schizophrenia drug treatment is not ideal (Gaebel et al. 2019). Antipsychotic drugs present a good control of positive symptoms but are of limited efficacy for treating cognitive and negative symptoms, also presenting important side effects (Campbell et al. 1999; Carpenter and Davis 2012). One common mechanism of these drugs is antagonizing dopaminergic receptors (Li et al. 2016). Despite this,

the pathophysiology of schizophrenia, besides not fully understood, includes, but is not limited to alterations in glutamatergic neurotransmission (Javitt et al. 2012; Howes et al. 2015), and in neurotrophic mechanisms (Favalli et al. 2012), as well as oxidative damage and neuroinflammation (Muller and Schwarz 2006). Therefore, new anti-schizophrenia therapies targeting other pathophysiological alterations observed in schizophrenia and with proven efficacy against negative/cognitive symptoms with a safe and more tolerable profile of side effects is a challenging topic in the schizophrenia research field.

Ketamine (KET) repeated administration is a widely used animal model of schizophrenia. This model based on repeated administration can mimic positive, negative, and cognitive symptoms of this mental disorder (Monte et al. 2013b; Frohlich and Van Horn 2014). Dysfunctions of glutamatergic transmission and increased dopaminergic tone in the mesolimbic pathway accompany these behavioral alterations observed in this model (Irifune et al. 1991; Tan et al. 2012), as well as increased oxidative stress and neuroimmune changes (Monte et al. 2013c; Araújo et al. 2016). Ketamine-induced behavioral and neurochemical abnormalities are attenuated by atypical antipsychotics, confirming this model's predictive validity (Vasconcelos et al. 2015; Araújo et al. 2016).

In the present study, we hypothesized that the repeated administration of EOAZ could reverse the schizophrenia-like behavioral and neurochemical alterations induced by KET repeated administration. Hence, our primary outcome was evaluating the prevention or reversal of KET-induced behavioral alterations like positive, negative, and cognitive symptoms of schizophrenia by EOAZ, as well as the presence of side effects associated with antipsychotic drug therapy, namely catalepsy and weight gain. Our secondary outcome was determining the involvement of antioxidant, neurotrophic, and anti-inflammatory mechanisms in the effects of this oil in the hippocampus, a brain area related to schizophrenia neurobiology (Harrison 2004).

2. Material And Methods

2.1 Animals

We used male Swiss mice (25–30 g; 6–11 animals/group) housed in standard environmental conditions ($22 \pm 1^\circ\text{C}$, humidity $60 \pm 5\%$, 12-h light: 12-h dark cycle) with free access to a standard commercial diet and with water freely available, following international recommendations (NIH 1996). The Animal Ethics Committee of the Universidade Federal do Ceará (UFC) approved the experimental protocol (number 106/2014).

2.2 Plant material

The essential oil was extracted from the leaves of *A. zerumbet* (EOAZ), collected from the Medicinal Plants Garden of the Laboratory of Natural Products of the Universidade Federal do Ceará, Ceará State, Brazil, during January 2015. A voucher specimen of *A. zerumbet* is at Herbarium Prisco Bezerra (#10858). Researchers from the Department of Organic and Inorganic Chemistry of the UFC isolated the essential

oil, according to the method described elsewhere (Craveiro et al. 1976). Briefly, freshly chopped plant leaves were placed in a glass flask, connected at one end to a glass vessel with water, and at the other end to a water-cooled condenser. The steam was percolated through the chopped plant leaves and collected in the condenser under boiling water. After condensation, the liquid phase with its solutes, here called 'aqueous extract', was separated from an oily phase, the essential oil. Gas chromatography and mass spectrometry (Shimadzu QP5050 GCMS gas chromatograph, Shimadzu Corporation, Kyoto, Japan) was used to determine the oil composition. The constituents were identified by a computer library search based on their retention indices and visual interpretation of the mass spectra (Adams 2009). The main constituents identified in the EOAZ were (%): terpinene-4-ol, 25.7; 1,8-cineole, 24.61; γ -terpinene, 14.28. Supplementary Table 1 presents the complete list of EOAZ constituents with their respective chemical structure.

2.3 Drugs

The animals received oral doses of 100 or 200 mg/kg EOAZ dissolved in 2% tween 80 (Sigma-Aldrich, St. Louis, USA) or olanzapine (OLZ - 2 mg/kg - Zyprexa®, Elli Lilly, Brazil), used, here as a standard antipsychotic. Ketamine hydrochloride (KET - Ketalar®, Parke-Davis Lab, Brazil), at a dose of 20 mg/kg, was administered intraperitoneally (IP) to induce schizophrenia-like alterations. The dose of OLZ was calculated based on body surface area (BSA) as described elsewhere (Reagan-Shaw et al. 2008). Based on this calculation, 2 mg/kg OLZ in mice is equivalent to the human therapeutic dose of 10 mg/day OLZ. Previous studies on KET as a pharmacological animal model of schizophrenia guided KET dose choice (Monte et al. 2013c).

2.4 Experimental Design

We used two distinct protocols, namely prevention and reversal. In the prevention protocol, we aimed at mimicking the maintenance treatment phase of schizophrenia (Monte et al., 2013). In this protocol, the animals received a daily administration of vehicle, EOAZ (100 or 200mg/kg), or OLZ 2 mg/kg for 14 days. Between the 8th and 14th days of treatment, mice additionally received a daily injection of KET or vehicle (also called the control group) 30 min after EOAZ. Hence, the prevention protocol comprised the following groups: control, EOAZ100 + SAL, EOAZ200 + SAL, SAL + KET, EOAZ100 + KET, EOAZ200 + KET, OLZ + KET. The reversal protocol simulated an acute treatment of psychotic episodes (da Silva Araújo et al., 2017; Monte et al., 2013). Each animal received one daily injection of KET 20 mg/kg or vehicle for 14 days in this protocol. From the 8th day of treatment onwards, mice additionally received a daily oral administration of vehicle, EOAZ (100 or 200 mg/kg), or OLZ 2 mg/kg, with a 30 min interval between drugs administration. The reversal protocol comprised the following groups: control, KET + EOAZ100, KET + EOAZ200, KET + KET, KET + EOAZ100, KET + EOAZ200, KET + OLZ. The atypical antipsychotic OLZ was chosen as standard treatment because the ketamine-induced model of schizophrenia is more responsive to atypical antipsychotics (Becker and Grecksch 2004).

Behavioral alterations related to schizophrenia symptoms, namely positive-, negative- and cognitive symptoms, were respectively evaluated by prepulse inhibition of the startle reflex (PPI), social interaction,

and Y-maze task, on the 14th day of treatment, 30 min after the last drug administration. Catalepsy test and body weight gain evaluated extrapyramidal side effects and weight gain and significant side effects of antipsychotic treatment.

To improve mouse performance on the behavioral tests (i.e., reduce the influence of stress by the exposure to multiple tests), one animal of each group was submitted to PPI and catalepsy tests in this order. On the other hand, distinct animals of each group were exposed to the Y maze and social interaction test in this order.

We estimated the sample size of the groups used here based on the resource equation method (Charan and Kantharia, 2013). According to this method, the value “E” (degree of freedom of the ANOVA) should lie between 10 and 20. In our experiments, we used 8 animals/group with 7 groups, totalizing 56 minus the number of groups 7; the result is 49, which is more than the adequate number of animals.

2.5 Behavioral determinations

We conducted behavioral evaluations of prepulse inhibition of the startle reflex (PPI test), social interaction, and working memory by the Y-maze task to determine positive-, negative-, and cognitive-like symptoms of schizophrenia.

PPI evaluates sensorimotor gating, an endophenotype of schizophrenia (Turetsky et al. 2007). PPI test was conducted in a startle chamber (Insight, São Paulo, Brazil), as described previously (Kinkead et al. 2006). In brief, the test was initiated with a 5 min acclimatization to the startle chamber in the presence of 65dB background noise. Next, the animals received nine single 120dB pulses (startle amplitude) and eighteen pulses preceded by 100ms by a prepulse (PP) of 70-, 75- or 80-dB intensity. % PPI calculation followed the formula: $\%PPI = 100 - \frac{\text{startle amplitude with PP}}{\text{startle amplitude of pulse alone}} \times 100$. The results are expressed as mean % PPI. We determined acoustic startle reactivity (ASR) by the mean amplitude of the nine trials of single pulses.

We used a Plexiglas box for the social interaction test divided into three chambers (60 × 40 cm). Iron cages in each of the two side chambers contained, on one side, an unfamiliar, same-sex probe rat from the same experimental group, whereas on the other side, the cage was empty. Test animals were placed in the center chamber and allowed 5min of exploration time in the box. We registered the time spent in each of the three chambers, and social preference was defined as follows: (time spent in the social chamber) – (time spent in the opposite chamber) (Radyushkin et al. 2009).

We evaluated spatial working memory by the spontaneous alternation performance in the Y-maze, which allows the evaluation of cognitive searching behavior (Maurice et al. 1996). A Y-maze apparatus made of black acrylic consisted of three arms with 425 mm (length), 145 mm (width), and 225 mm (height) mounted symmetrically (120° between arms) to an equilateral triangular center compartment. Each mouse was placed at the end of one arm and freely moved through the three maze arms for 8 min. Raters blinded to the experimental groups registered the sequence of arms entries. The number of maximum alternations was the total number of arms entered minus 2, and the percent alternation was calculated as

(actual alternations/maximum alternations) X 100. A correct alternation is a new arm visit (example of correct alternation: 1, 2, 3 arms). An incorrect alternation is a visit to previously visited arms (example of incorrect alternation: 1, 2, 1 arms).

Side effects that emerge during the antipsychotic drug treatment, namely extrapyramidal side effects (EPSE) and weight gain, were evaluated here, respectively, by the catalepsy test and weight gain over time.

Catalepsy is the inability of an animal to correct an externally imposed posture. Catalepsy time was measured by placing each animal on a flat horizontal surface (15 cm long) and 5.5 cm above the surface level (Costall and Naylor 1974). The total length of time that the animal stayed on the bar without any voluntary movement was recorded.

For the determination of body weight gain, the animals were weighted on the 1st, 8th, and 14th days of each respective protocol. The body weight obtained on the 1st day was defined as 100 %, and the subsequent percent of weight alterations obtained on days 8 and 14 were calculated as a percent of increase or decrease concerning the initial weight. Results are expressed as mean % alterations \pm SEM.

2.6 Neurochemical Determinations

After the last drug administration, hippocampal tissues were rapidly dissected, frozen, and stored at -80°C until assayed.

Neurochemical parameters were evaluated in the control group and animals exposed to the ketamine-schizophrenia model and pretreated or post-treated with EOAZ or OLZ. The decision not to perform neurochemical analysis in the groups treated solely with EOAZ100 and EOAZ200 was to reduce the number of animals.

2.6.1 Reduced Glutathione (GSH) Levels

We estimated endogenous defenses against oxidative stress by GSH levels. The method is based on Ellman's reagent (DTNB) reaction, as described elsewhere (Sedlak and Lindsay 1968). For the determination of GSH levels, absorbance was set at 412nm. Results are expressed as mg GSH/g wet tissue.

2.6.2 Nitrite levels

To assess alterations in nitric oxide (NO) production, we evaluated nitrite levels in hippocampal samples. NO was determined based on the Griess reaction (Green et al. 1981; Radenovic and Selakovic 2005), with absorbance set at 550nm. The standard curve was prepared with several concentrations of NaNO_2 (ranging from 0.75 to 100 μM). Results are expressed as $\mu\text{M/g}$ of protein.

2.6.3 Immune enzymatic assay for IL-6 and BDNF

According to the manufacturers' instructions, these parameters were determined in each sample by enzyme immunoassays (R&D Systems, Minneapolis, MN, USA). Results are expressed as pg/g wet tissue.

2.7 Statistical Analysis

We used the Shapiro-Wilk test to verify the normality of the data. Repeated measures (RM) two-way ANOVA with Tukey post hoc test evaluated PPI results considering “PP intensities” (PP70, 75, and 80) as a within-groups factor and “drug treatment” (prevention and reversal groups) as a between-groups factor. For % weight gain, we used RM two-way ANOVA with “day of treatment” as a within-subjects factor and “drug treatment” as a between-subjects factor. Social interaction, Y maze, and catalepsy time were evaluated by regular two-way ANOVA followed by Tukey post hoc test, with “treatment protocol” and “drug treatment” as factors. Neurochemical parameters were evaluated by one-way ANOVA followed by the Tukey post hoc. The significance level was set at $P \leq 0.05$. Prism 6 software® analyzed the data.

3 Results

3.1 EOAZ or OLZ distinctly influence KET-induced schizophrenia-like symptoms

In the analysis of PPI results of the prevention protocol (Fig. 2A), we observed a significant main effect of “drug treatment” [$F(6, 43) = 17.93, P < 0.0001$]. KET repeated administration caused significant PPI deficits on PPs 70, 75, and 80 in relation to control ($P < 0.0001$). EOAZ100 ($P < 0.0001$), EOAZ200 ($P = 0.0015$), or OLZ ($P < 0.0001$) prevented KET-induced PPI deficit. Considering PPs 75 and 80, only EOAZ100 ($P < 0.0001$) or OLZ ($P < 0.0001$) prevented KET-induced alterations. In the reversal protocol (Fig. 2B), we observed a significant “PP intensities” vs. “drug treatment” interaction [$F(12, 86) = 2.099, P = 0.0251$]. In this protocol, KET also caused significant PPI deficits on PPs 70, 75, and 80 compared to control ($P < 0.0001$). Post-treatment with EOAZ100, 200 or OLZ significantly reversed KET-induced PPI deficits in all PP intensities evaluated (**PP70**: KET vs. KET + EOAZ100, $P < 0.0001$; KET vs. KET + EOAZ200, $P = 0.0005$; KET vs. KET + OLZ, $P < 0.0001$; **PP75**: KET vs. KET + EOAZ100, $P < 0.0001$; KET vs. KET + EOAZ200, $P = 0.0004$; KET vs. KET + OLZ, $P < 0.0001$; **PP80**: KET vs. KET + EOAZ100, $P = 0.0057$; KET vs. KET + EOAZ200, $P = 0.0128$; KET vs. KET + OLZ, $P < 0.0001$). There were no significant PPI alterations in the groups treated solely with EOAZ100 or EOAZ200 in any protocols tested.

In the evaluation of social interaction (Fig. 3A), we observed a significant “treatment protocol” vs. “drug treatment” interaction [$F(6, 70) = 2.602, P = 0.0247$]. The post hoc analysis revealed that KET administration caused marked significant deficits in the % of social preference in both protocols compared to control (KET vs. control: prevention protocol, $P = 0.0019$; reversal protocol, $P < 0.0001$). In the prevention protocol all treatments, EOAZ100 ($P = 0.0001$), 200 ($P = 0.0090$) or OLZ ($P < 0.0001$) prevented KET-induced alterations. Conversely, in the reversal protocol, only OLZ significantly reversed KET-induced alterations ($P < 0.0001$). There were no significant alterations in the groups treated solely with EOAZ100 or EOAZ200.

In the evaluation of working memory (Fig. 3B), there was a significant main effect of “drug treatment” [$F(6, 85) = 15.93, P < 0.0001$]. In both protocols, KET-treated mice showed working memory deficits compared to control ($P < 0.0001$). In the prevention protocol, EOAZ100 maintained working memory performance like control. In contrast, both EOAZ200 and OLZ maintained KET-induced working memory deficits (control vs. EOAZ200 + KET, $P = 0.0041$; control vs. OLZ + KET, $P < 0.0001$). In the reversal protocol, KET + OLZ had working memory compared to the control group ($P < 0.0001$), while KET + EOAZ100 or KET + EOAZ200 presented working memory performance like control group.

3.2 EOAZ causes fewer side effects in comparison with OLZ

In the prevention protocol, catalepsy time increased in the groups treated with EOAZ200 alone or EOAZ200 + KET, while a marked 25-fold increase was observed in OLZ + KET when compared to control ($P < 0.0001$). EOAZ200, KET + EOAZ100, or KET + EOAZ200 incremented catalepsy time compared to control ($P < 0.0001$) in the reversal protocol. Again, KET + OLZ induced a higher catalepsy time (24-fold increase) when compared to control (“treatment protocol” vs. “drug treatment” interaction [$F(6, 71) = 24.15, P < 0.0001$]) (Fig. 4A).

Regarding weight gain, in the prevention protocol (Fig. 4B), we observed a significant main effect of “day of treatment” [$F(1, 42) = 9.528, P = 0.0036$] and “drug treatment” [$F(6, 42) = 29.48, P < 0.0001$]. On days 8 and 14, the groups EOAZ100 + KET or OLZ + KET had significant weight gain compared to control. Furthermore, OLZ + KET presented a progressive increase in weight gain from day 8 to day 14 ($P < 0.001$). In the reversal protocol (Fig. 4C), weight gain was significantly higher, on day 8, in KET + SAL, KET + EOAZ100, or KET + OLZ groups compared to control. On day 14, this increase was observed in the KET or KET + OLZ group. Notably, KET or KET + OLZ presented progressive increase in weight gain, while the opposite was observed in KET + EOAZ100 from days 8 to 14 (“day of treatment” vs. “drug treatment” interaction [$F(6, 42) = 11.17, P < 0.0001$], main effect of “day of treatment” [$F(1, 42) = 5.510, P = 0.0237$], main effect of “drug treatment” [$F(6, 42) = 10.83, P < 0.0001$]).

3.3 EOAZ or OLZ distinctly influence KET-induced hippocampal oxidative, neuroinflammatory, and neurotrophic alterations

As depicted in Fig. 5A, we observed a significant interaction between “treatment protocol” vs. “drug treatment” in the analysis of GSH hippocampal levels [$F(4, 53) = 36.89, P < 0.0001$]. Tukey test revealed a significant decrease in GSH levels in KET-treated mice from both prevention and reversal protocols compared to control ($P < 0.0001$). In the prevention protocol, only OLZ pretreatment significantly prevented GSH deficits induced by KET ($P < 0.0001$). Conversely, pretreatment with EOAZ100 or EOAZ200 maintained GSH deficits induced by KET ($P < 0.0001$, when compared to control). In the reversal protocol, post-treatment with EOAZ100, EOAZ200, or OLZ significantly reversed the decrease in GSH levels induced by KET ($P < 0.0001$).

In the evaluation of hippocampal nitrite levels (Fig. 5B), there was also a significant “treatment protocol” vs. “drug treatment” interaction [$F(4, 60) = 15.53, P < 0.0001$]. Tukey’s test revealed a marked 5-fold increase in nitrite levels in the KET-treated group from reversal protocol in relation to control ($P < 0.0001$). All treatments significantly reversed this KET-induced alteration in nitrite levels ($P < 0.0001$). We observed no hippocampal changes in nitrite levels in mice subjected to the prevention protocol.

Interleukin 6 levels (Fig. 6A) significantly increased after KET administration in both protocols when compared to control animals ($P < 0.0001$). Only EOAZ200 + KET maintained IL-6 levels like those of control (EOAZ200 + KET vs. KET, $P < 0.0001$). Conversely, EOAZ100 + KET, or OLZ + KET, despite causing a significant decrease in IL-6 levels when compared to KET ($P < 0.01$), had increased levels of IL-6 when compared to control ($P < 0.0001$). In the reversal protocol, both doses of EOAZ reversed the increase in IL-6 induced by KET ($P < 0.0001$). KET + OLZ group had a slight and significant decrease in IL-6 levels when compared to KET ($P < 0.05$), although significantly higher than control group ($P < 0.0001$) (One-way ANOVA - prevention protocol [$F(4, 25) = 44.57, P < 0.0001$]; reversal protocol [$F(4, 29) = 88.14, P < 0.0001$]).

Considering BDNF levels, in the prevention protocol, we observed decreased levels of this neurotrophin in the groups’ KET, EOAZ200 + KET, or OLZ + KET when compared to control ($P < 0.0001$). Despite presenting decreased levels of BDNF when compared to control, the EOAZ100 + KET group had increased levels of this neurotrophin in relation to KET ($P < 0.05$) (One-way ANOVA: [$F(4, 27) = 55.28, P < 0.0001$]). In the reversal protocol, the levels of BDNF were decreased in all groups in relation to control ($P < 0.001$). Nevertheless, KET + EOAZ100 or KET + OLZ groups presented increased BDNF when compared to KET ($P < 0.0001$) (One-way ANOVA: [$F(4, 30) = 31.77, P < 0.0001$]).

4 Discussion

Here we add novel evidence for EOAZ antipsychotic effects by showing that it prevents and reverses behavioral alterations induced by KET repeated administration in mice that resemble schizophrenia symptoms by anti-inflammatory, antioxidant, and neurotrophic mechanisms. We also showed that the oil’s effects are quite like those of the atypical antipsychotic OLZ but devoid of some important side effects observed in antipsychotic drug therapy, namely weight gain and catalepsy. Notably, only EOAZ, but not OLZ, prevented and reversed working memory deficits and turned KET-induced IL-6 increments to control levels. Hence, EOAZ seems to be a promising drug therapy for schizophrenia or a source of new compounds for investigation against this devastating disease.

Herbal and plant-derived medicines are recognized for their beneficial therapeutic effects with few adverse effects (Edris 2007; Kennedy and Wightman 2011). Regarding schizophrenia, some plant extracts presented promising efficacy in preclinical and some preliminary human trials, such as *Melissa officinalis L (Melissa or Lemon balm)* and *Valeriana officinalis L (Valerian)* (Rahmatullah et al. 2010; Ahmed and Kabidul Azam 2014; Dey et al. 2016).

We have previously demonstrated the efficacy of the EOAZ in preventing hyperlocomotion induced by a single KET dose in mice. In this previous study, 200 mg/kg EOAZ induced sedative effects with no motor

coordination impairment (De Araújo et al., 2011). Importantly, KET single administration causes psychotic alterations that resemble only positive symptoms of schizophrenia but not the syndrome (Chatterjee et al. 2011).

We used repeated KET administration to induce broader behavioral changes that simulate positive, negative, and cognitive symptoms of schizophrenia (Chatterjee et al. 2011). The KET model presents a relevant face, construct, and predictive validity (Monte et al. 2013c; Frohlich and Van Horn 2014).

PPI is a neurophysiological endophenotype of schizophrenia, reflecting the ability to regulate sensory information (Braff and Light 2005). PPI is disrupted in mice repeatedly exposed to KET (Monte et al., 2013), and attenuated by atypical antipsychotics (risperidone and clozapine) (Vasconcelos et al., 2015).

Our results revealed that EOAZ, quite like OLZ, prevented and reversed PPI deficits induced by KET in both doses tested, but most efficiently at 100 mg/kg. These findings corroborated our previous evidence about EOAZ effects against schizophrenia-like psychotic symptoms in rodents (De Araújo et al. 2009, 2011).

Cognitive deterioration is another major breakpoint of schizophrenia psychopathology. Combined with negative symptoms (for example, asociality, avolition, and anhedonia), are the major causes of functional impairment and morbidity of this disorder (Bowie and Harvey 2006). Notably, current antipsychotic drugs present a limited effect against negative and cognitive symptoms of schizophrenia (Burton 2006).

Here, EOAZ successfully prevented the emergence of social deficits induced by KET, i.e., prevented the emergence of negative symptoms. On the other hand, OLZ prevented and reversed sociability changes induced by this model.

Although initial reports have pointed to some advantages of atypical antipsychotics for treating cognitive symptoms, subsequent studies failed to significantly benefit these agents for cognition (Meltzer et al. 1999; Cuesta et al. 2001). Therefore, therapeutic strategies for working memory deficits in schizophrenia are demanding and of great interest.

Ketamine caused a marked working memory impairment in mice, which was not affected by OLZ. EOAZ 100 mg/kg had a modest effect in improving this cognitive alteration in both prevention and reversal protocols. To our knowledge, our results bring the first evidence about EOAZ pro-cognitive effects in schizophrenia, which, despite the promising potential, should be confirmed by further studies evaluating other tasks and cognitive domains, such as attention, cognitive flexibility, and reference memory.

Catalepsy test evaluates drug-induced EPSE in mice (Gobira et al. 2013). Both typical and atypical antipsychotics can induce catalepsy (Kapur et al. 2000). We observed that OLZ, in both prevention and reversal protocols, induced a marked cataleptic effect. In the prevention protocol, EOAZ 100mg/kg caused no cataleptic alteration. In the reversal protocol, EOAZ increased catalepsy time in mice. EOAZ at the higher dose also increased catalepsy time in control conditions, which may be associated with the oil's antagonistic dopamine activity (De Araújo et al. 2009). These results suggest that the oil presents less potential to cause adverse EPSE than OLZ.

In our protocol, as expected, OLZ caused a considerable weight gain in both prevention and reversal treatments. Conversely, EOAZ caused no critical alterations in weight gain, mainly at a dose of 200 mg/kg. Atypical antipsychotics have severe metabolic effects, being weight gain the most common of them. Antipsychotic-induced weight gain is also frequently accompanied by hypertension, insulin resistance, and hypertriglyceridemia (Elmslie et al. 2009). Olanzapine and clozapine are the antipsychotics associated with the highest amount of weight gain (Elmslie et al. 2009). Notably, EOAZ presents antihypertensive and antiatherogenic properties (Bezerra et al. 2000; Lahlou et al. 2002; De Araújo Pinho et al. 2005; Chompoo et al. 2012).

Lieberman and coworkers (2018) proposed a unifying theory for hippocampal changes in the evolution of schizophrenia (Lieberman et al. 2018). Briefly, genetic and/or environmental factors promote dysregulation of glutamatergic neurotransmission beginning in the Cornu ammonis (CA)1 region related to prodromal symptoms and initiation of psychosis. As the illness progresses, this pathological process expands to other regions of the hippocampal circuit and projects to other anatomic areas causing hippocampal neuropil and interneurons atrophy (Lieberman et al. 2018), another relevant alteration observed in schizophrenia (Osimo et al. 2019).

An altered redox state is a well-known neurobiological feature of schizophrenia (Bošković et al. 2011). Oxidative stress seems to be an initial and final/secondary mechanism important to mediate neurodegeneration after several injury factors, such as neuroinflammation, glutamatergic dysfunction, and dopamine imbalance (Bitanirwe and WOO 2011). In this context, atypical antipsychotics present marked antioxidant actions (Monte et al. 2013c, 2020).

Here, EOAZ, mainly at 200 mg/kg, successfully reversed the hippocampal depletion of endogenous GSH and increased nitrite levels caused by the KET model. Similarly, OLZ protected mice hippocampus against pro-oxidative changes.

Interleukin-6 is the major cytokine in the central nervous system, being altered in major psychiatric disorders (Erta et al. 2012). Chronic schizophrenic patients present increased levels of IL-6 in the cerebrospinal fluid (CSF) (Schwieler et al. 2015). Furthermore, a single IL-6 injection during pregnancy causes schizophrenia-like behavioral abnormalities in wild-type mice, but not in IL-6 knockout mice (Smith et al. 2007). Together, these data posit IL-6 relevance for schizophrenia neurobiology.

We have previously shown that the KET model increased hippocampal IL-6 levels, followed by increased levels of other pro-inflammatory and pro-oxidative markers (lipid peroxidation, MPO activity, nitrosative stress) (Araújo et al. 2016). Here, we replicated our previous results showing a KET-induced marked rise in hippocampal IL-6 in both prevention and reversal protocols, which EOAZ efficiently counteracted. Notably, the effect of the oil was superior to OLZ.

Oxidative imbalance and immune disturbances compromise synaptic plasticity, causing neurodegeneration in schizophrenia. BDNF is a crucial mediator of differentiation, survival, and plasticity of several different neurons' populations (Zagrebelsky and Korte 2014). In schizophrenia, a robust meta-

analysis described moderately reduced peripheral BDNF levels in the serum and plasma of patients and recovered levels after antipsychotic therapy (Fernandes et al. 2011). In the KET model, mice seem to present reduced hippocampal BDNF (Fraga et al. 2013; Vasconcelos et al. 2015). However, another study also showed that repeated KET administration in mice did not cause any significant difference in brain BDNF expression (Gama et al. 2012). However, different KET doses used in these studies, protocol duration, animal age, and BDNF detection method (gene expression vs. protein detection) can explain these discrepancies.

In our results, the KET model caused a consistent reduction in hippocampal BDNF expression in both prevention and reversal protocols. EOAZ 100 mg/kg in both protocols significantly increased BDNF levels more efficiently than OLZ. Some constituents of EOAZ, namely 1,8-cineole, terpinene-4-ol, and caryophyllene, present neuroactive and neuroprotective properties. 1,8-cineole, also known as eucalyptol or cajeputol, is a monoterpene ether is present in many plant essential oils, known as eucalyptol or cajeputol. 1,8-cineole alters neural firing in the olfactory lobe and has antinociceptive action (Liapi et al. 2007). Terpinene-4-ol, in turn, showed CNS depressant and anticonvulsant activity in mice (Nóbrega et al. 2014; Sousa et al. 2015).

Caryophyllene is a phytocannabinoid with cannabinoid receptor type 2 (CB-2) agonist properties. Caryophyllene presents antinociceptive, anxiolytic, and antidepressant effects (Bahi et al. 2014; Aly et al. 2020; Hwang et al. 2020). Additionally, there is an ongoing clinical trial with beta-caryophyllene for schizophrenia treatment (application N° EP13763464.8A). These phytochemicals alone or combined can be responsible for EOAZ promising effect seen here but needs further evaluation.

Conclusions

Our results reveal the promising anti-schizophrenia action of the EOAZ. EOAZ counteracted the most behavioral alterations resembling schizophrenia symptoms induced by KET, with a more favorable side effect profile than the standard antipsychotic OLZ. Also, EOAZ protected mice hippocampus against the most KET-induced pro-oxidative changes and restored BDNF contents. Together, these findings provide broader preclinical evidence about using this plant oil as a valuable new therapeutic strategy for schizophrenia.

Declarations

Role of funding source

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Conflict of interests

The authors declare no conflict of interests

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Data Availability

Data will be made available under request

Authors' Contributions

FYRA, DFL, MOM, MEM – Designed the study

AJMCF, AMN, GVO, PXLG – treated the animals and performed behavioral tests

GSV, AJMCF, JC – Performed neurochemical determinations

DFL, DSM, FCFS – Performed statistical analysis of the data

DFL, DSM – constructed the graphics

FYRA, DSM, JC, AJMCF – Wrote the first draft of the manuscript

All authors approved the final version of the manuscript

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Figures

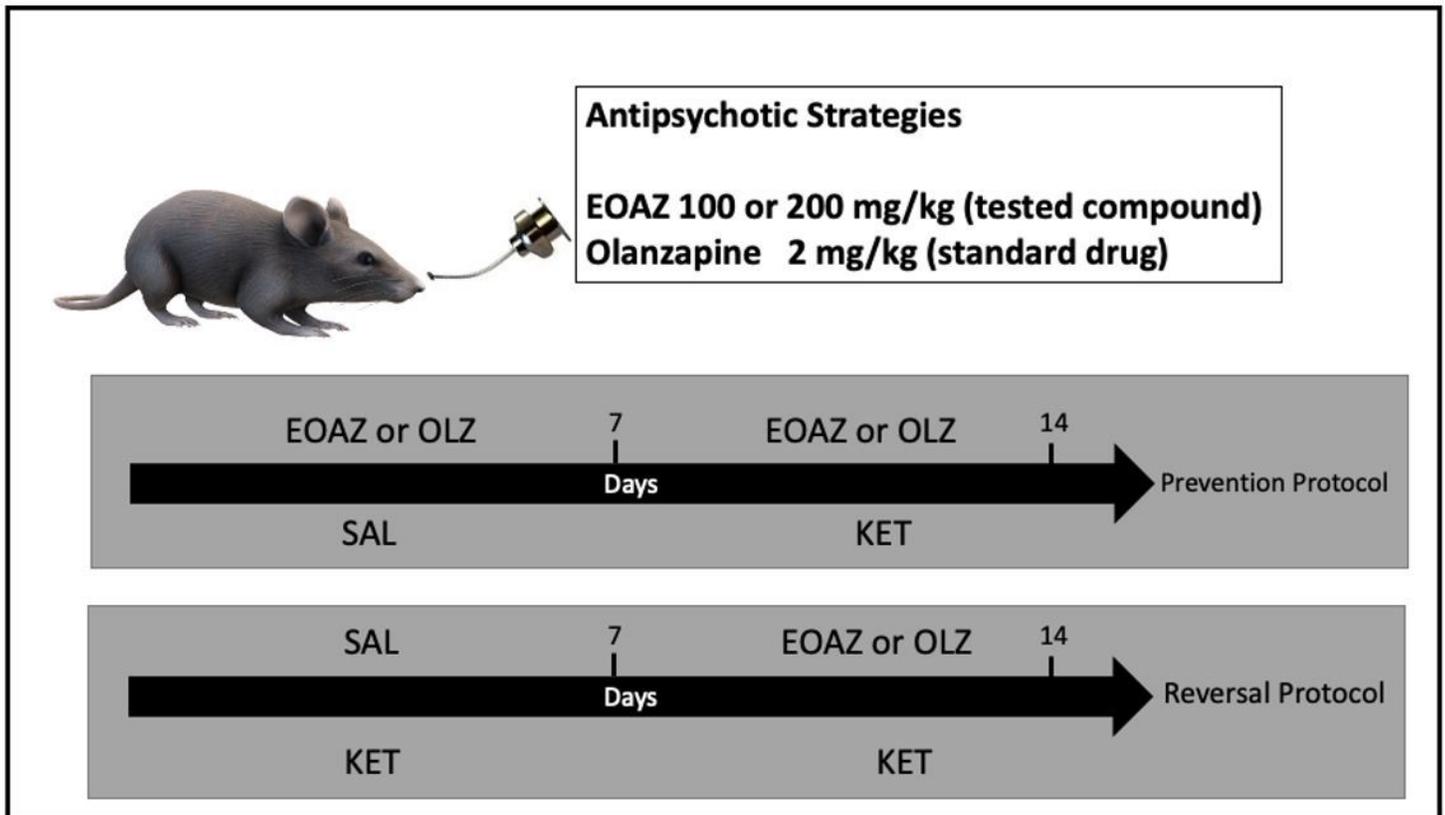


Figure 1

Schematic representation of the experimental design. In the prevention protocol, from the 1st to the 7th-day, mice received daily oral administrations of EOAZ 100, 200 mg/kg, or OLZ 2 mg/kg, while from the 8th to 14th days further received intraperitoneal injections of KET 20 mg/kg. In the reversal protocol, from the 1st to the 7th-day, the animals received intraperitoneal injections of KET 20 mg/kg, while from the 8th to the 14th-day, they were further treated with EOAZ 100, 200 mg/kg, or OLZ 2 mg/kg. Abbreviations: EOAZ – essential oil of *Alpinia zerumbet*; OLZ – olanzapine; KET – ketamine.

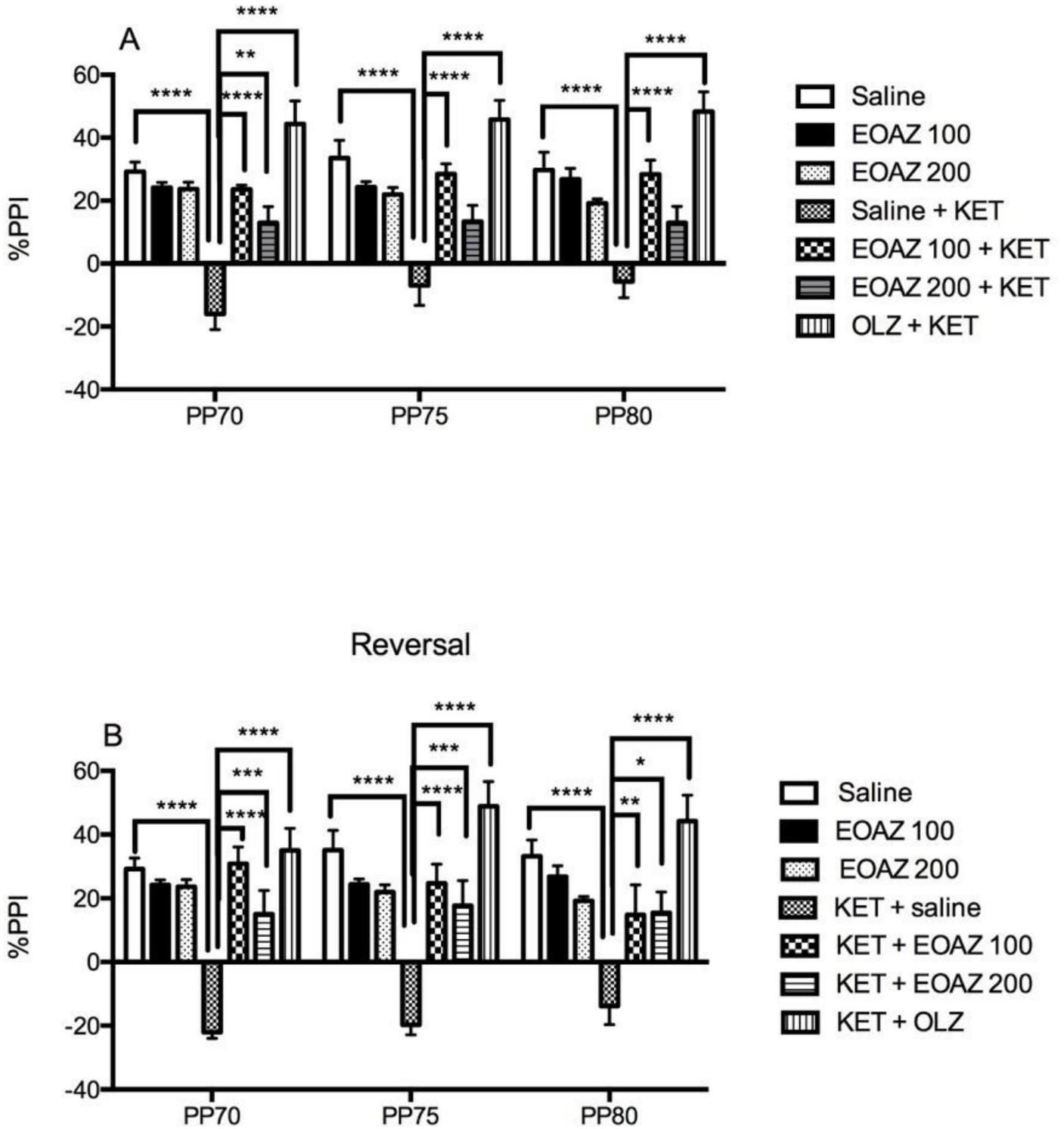


Figure 2

Effect of EOAZ on KET-induced PPI deficits. Mice were treated with the essential oil from *Alpinia zerumbet* (EOAZ 50, 100, and 200 mg/kg, p.o.) or 2 mg/kg olanzapine in the prevention (A) and reversal (B) protocols of KET- schizophrenia model. Bars represent mean \pm SEM of the percent of PPI (n=8 animals/group). *P<0.05, **P< 0.01, ***P< 0.001, ****P< 0.0001 according to two-way ANOVA followed by

Turkey's post-hoc test. Abbreviations: EOAZ: essential oil of *Alpinia zerumbet*; KET: ketamine; OLZ: olanzapine; PPI: prepulse inhibition.

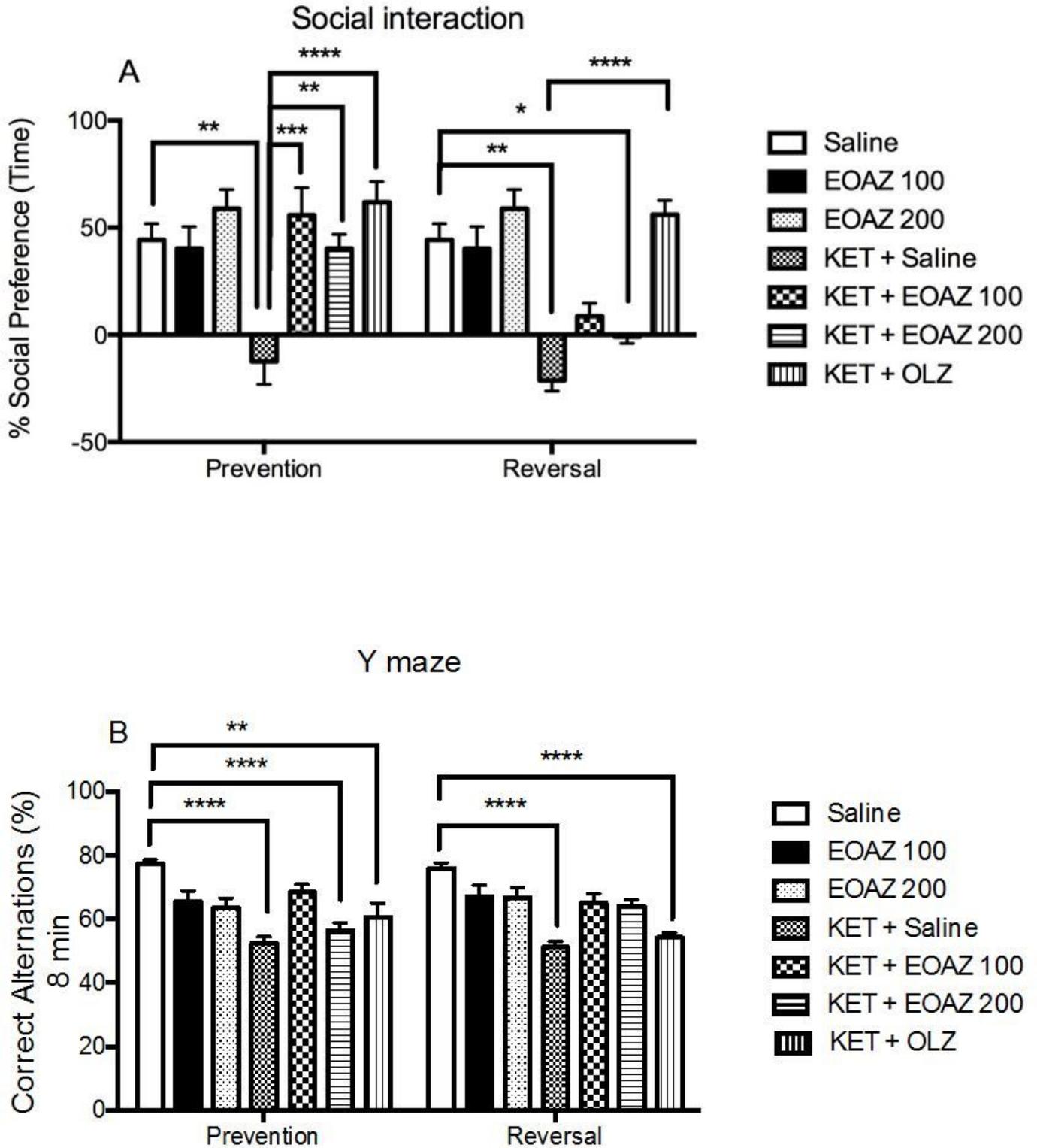


Figure 3

Effect of EOAZ on KET-induced social interaction and working memory deficits. Mice were treated with the essential oil from *Alpinia zerumbet* (EOAZ 50, 100 and 200 mg/kg, p.o.) or 2 mg/kg olanzapine in the prevention and reversal protocols of KET- schizophrenia model and evaluated for social preference % (A)

and correct alternates % in Y-maze test (B). Bars represent mean \pm SEM (n=8 animals/group). *P<0.05, **P< 0.01, ***P< 0.001, ****P< 0.0001 according to two-way ANOVA followed by Turkey's post-hoc test. Abbreviations: EOAZ: essential oil of *Alpinia zerumbet*; KET: ketamine; OLZ: olanzapine.

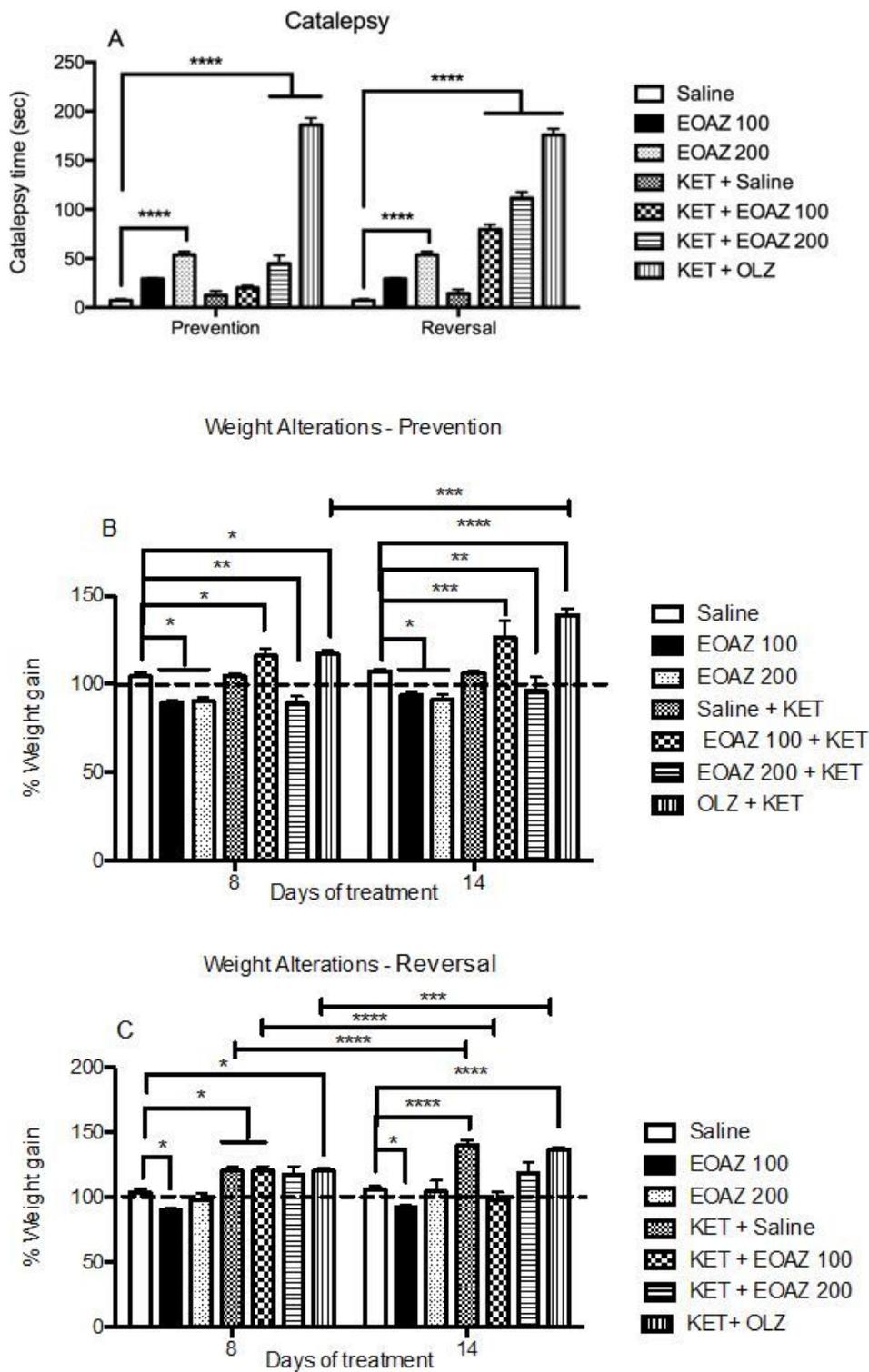


Figure 4

Evaluation of cataleptic effect and weight changes associated with EOAZ and OLZ in the KET schizophrenia model. Mice were treated with the essential oil from *Alpinia zerumbet* (EOAZ 50, 100 and

200 mg/kg, p.o.) or 2 mg/kg olanzapine in the prevention and reversal protocols of KET-schizophrenia model and evaluated for catalepsy time(s) (A) and % weight gain (B). Bars represent mean \pm SEM (n=8 animals/group). *P<0.05, **P< 0.01, ***P< 0.001, ****P< 0.0001 according to two-way ANOVA followed by Turkey's post-hoc test. Abbreviations: EOAZ: essential oil of *Alpinia zerumbet*; KET: ketamine; OLZ: olanzapine.

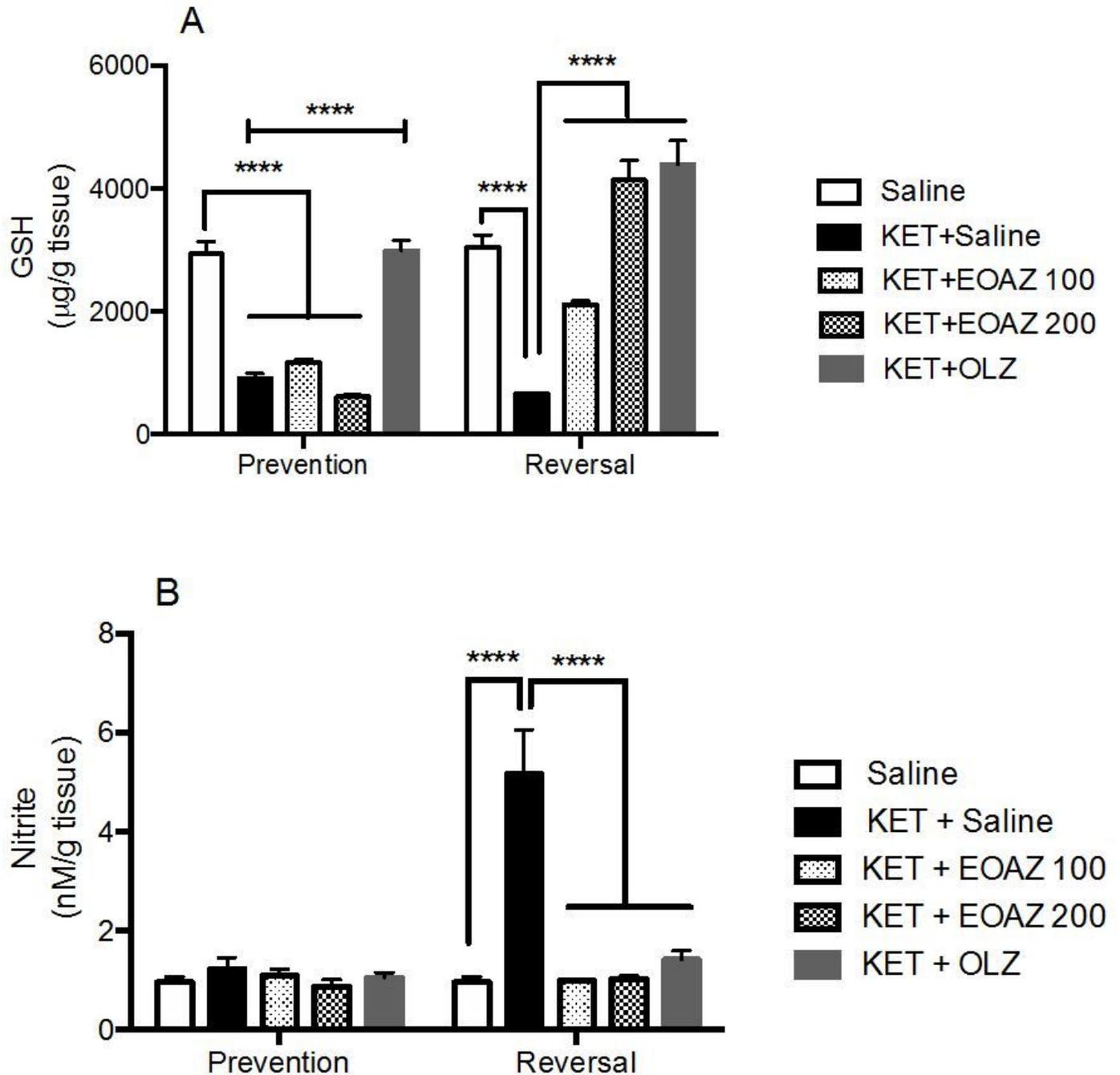


Figure 5

Effect of EOAZ and OLZ on KET-induced oxidative stress in mice hippocampus. Mice were treated with the essential oil from *Alpinia zerumbet* (EOAZ 50, 100, and 200 mg/kg, p.o.) or 2 mg/kg olanzapine in the

prevention and reversal protocols of KET-schizophrenia model. The hippocampus was dissected, and reduced GSH (A) and nitrite levels (B) were measured. Bars represent mean \pm SEM (n=8 animals/group). *P<0.05, **P< 0.01, ***P< 0.001, ****P< 0.0001 according to two-way ANOVA followed by Turkey's post-hoc test. Abbreviations: EOAZ: essential oil of *Alpinia zerumbet*; KET: ketamine; OLZ: olanzapine; GSH: glutathione.

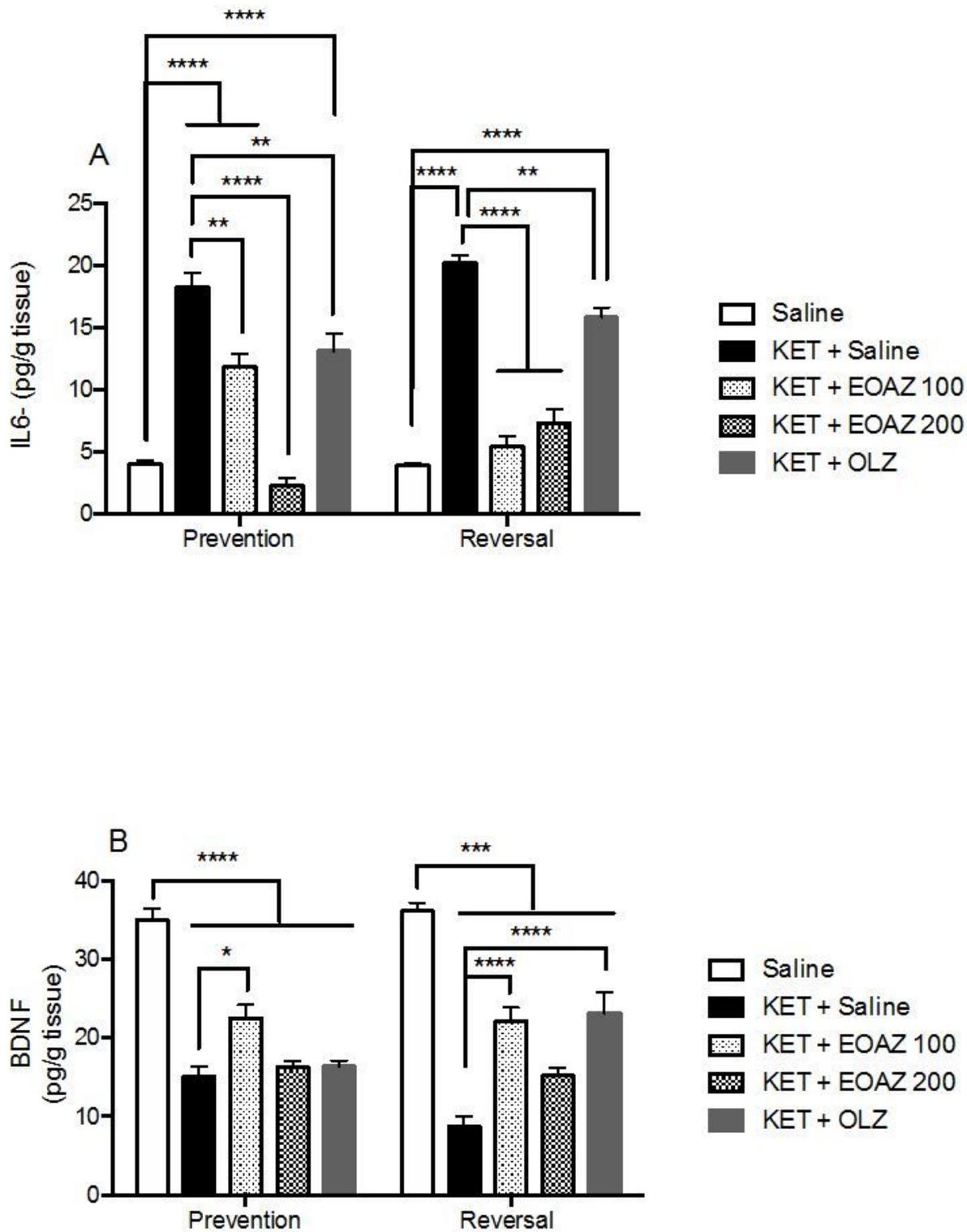


Figure 6

Effect of EOAZ and OLZ on KET-induced IL-6 and BDNF changes in mice hippocampus. Mice were treated with the essential oil from *Alpinia zerumbet* (EOAZ 50, 100, and 200 mg/kg, p.o.) or 2 mg/kg olanzapine in the prevention and reversal protocols of KET-schizophrenia model. The hippocampus was dissected, and IL-6 (pg/g tissue) (A) and BDNF (pg/g tissue) (B) were measured. Bars represent mean \pm SEM (n=8 animals/group). *P<0.05, **P< 0.01, ***P< 0.001, ****P< 0.0001 according to two-way ANOVA followed by Turkey's post-hoc test. Abbreviations: EOAZ: essential oil of *Alpinia zerumbet*; KET: ketamine; OLZ: olanzapine; IL: interleukin; BDNF: brain-derived neurotrophic factor.