

1 A spatiotemporal simulation study on the
2 transmission of harmful microorganisms
3 through connected healthcare workers in
4 a hospital ward setting

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38 Abstract

39 **Background:** Transmission of harmful microorganisms may lead to infections and poses a major threat
40 to patients and healthcare workers in healthcare settings. The most effective countermeasure against
41 the transmission and spread of harmful microorganisms is the adherence to spatiotemporal hand
42 hygiene policies, but adherence rates are relatively low and vary over space and time. The
43 spatiotemporal effects on the transmission and spread of harmful microorganisms for varying levels
44 of hand hygiene compliance are unknown. The objectives of this study are to (1) identify a healthcare
45 worker occupancy group of potential super-spreaders and (2) quantify spatiotemporal effects on the
46 transmission and spread of harmful microorganisms for varying levels of hand hygiene compliance
47 caused by this group.

48 **Methods:** Spatiotemporal data were collected in a ward of an academic hospital using radio frequency
49 identification technology over a period of seven days. A potential super-spreader healthcare worker
50 occupation group was identified using the contact data derived from the frequency identification
51 sensors. The effects of five probability distributions of hand hygiene compliance and three rates of
52 harmful microorganism transmission were simulated using a dynamic agent-based simulation model.
53 The effects of initial simulation assumptions on the simulation results were quantified using five risk
54 factors.

55 **Results:** Nurses, doctors and patients are together responsible for 78.8% of all contacts. Nurses made
56 up 57% of all contacts, which is more than five times that of doctors (11.1%). This identifies nurses as
57 the potential super-spreader healthcare worker occupation group. For initial simulation conditions of
58 extreme lack of hand hygiene compliance (5%) and high transmission rates (5% per contact moment),
59 a colonized nurse can transfer microbes to three of the 17 healthcare worker or patients encountered
60 during the 87 minutes of visiting 22 rooms while colonized. The harmful microorganism transmission
61 potential for nurses is higher during weeknights (5pm – 7am) and weekends as compared to weekdays
62 (7am – 5pm).

63 **Conclusion:** Spatiotemporal behaviour and social mixing patterns of healthcare can change the
64 expected number of transmissions and spread of harmful microorganism by super-spreaders in a
65 closed healthcare setting. These insights can be used to develop better informed infection prevention
66 and control strategies.

67 Keywords

68 Spatiotemporal risk factors; RFID; Wearable proximity sensors; Spatiotemporal simulation;
69 Healthcare-associated infections; Transmission; Hand hygiene compliance

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82 Background

83 Vancomycin-resistant enterococci (VRE) is one of the important harmful microorganisms (HMO) which
84 may cause healthcare associated infections which estimated to affect more than four million European
85 patients every year. It results in an additional 16 million days patients spent in hospital, translating
86 into a direct cost of €7 billion annually [1]. The ease of transmission of HMO depends upon the features
87 of the microorganism, patient characteristics and the behaviour of healthcare workers (HCW),
88 whereas the damage caused by the infection that follows ranges from none to potentially fatal. [2]

89 The most effective precautionary measure to combat the mass transmission and spread of harmful
90 microorganisms in closed healthcare settings is the adherence to well established and effective hand
91 hygiene policies also known as hand hygiene compliance (HHC). Unfortunately, HHC is often
92 unsatisfactory with highly variable levels within and between hospitals. Rates of hand hygiene
93 compliance range from 5% to 81%, with an average compliance of approximately 40%. With a level of
94 85% adherence seen as high levels of HHC and 95% as very high, it is not surprising that the spread of
95 HMO in closed healthcare settings remains a major dilemma [3]. Reasons for hand hygiene non-
96 compliance include increased work intensity, lack of education and ineffective placement or defective
97 alcohol dispensers. For instance, one hour of overtime worked by an HCW can lead to a 3% decrease
98 in the level of HHC. [4,5] The result is a highly variable level of HHC within closed healthcare settings.
99 Compounding the non-adherence to hand hygiene policies is that the medium and method used for
100 hand hygiene are not 100% efficient. With an estimated efficacy rate of 83%, some HMO may remain,
101 even if HCWs perform hand hygiene with alcoholic rub. This can lead to further transmission. [6]

102 The combination of colonized and non-colonized HCWs or patients, who are potentially
103 immunocompromised and in a confined space, makes healthcare facilities a high-risk environment for
104 the spread of HMO. The term super-spreader is used to categorise an individual with a
105 disproportionately high potential to spread HMO. Super-spreaders were the cause of several super-
106 spreading events (SSE) in the past with devastating consequences [7]. Highly connected HCWs can

107 increase the risk of SSE in closed healthcare environments. The amount of contact between HCWs or
108 patients as well as HHC are critical factors that contribute to the extent and severity of an SSE. [8]

109 For these reasons, the SSE is affected by the joint spatiotemporal behaviour, i.e. where and when, and
110 social mixing patterns, i.e. with whom of the HCW or patients inside a hospital ward as well as the
111 level of HHC, including its variability. It is therefore necessary to understand the spatiotemporal effect
112 on the transmission and spread of HMO for varying levels of HHC for potential super-spreader in a
113 closed healthcare setting.

114 Automatic contact tracking methods like Radio Frequency IDentification (RFID) technology are now
115 being adopted by healthcare institutes by tagging healthcare equipment, HCWs and patients in order
116 to improve logistics and patient safety. There is still reluctance to fully adopt this technology, mainly
117 driven by security and privacy concerns. [9] Real contact data between patient and HCWs became
118 more prevalent since 2002 when data were collected by means of shadowing. Medical records,
119 surveys and more recently, sensors became more important for data and contact detection. Assab et
120 al. (2017) showed that studies using empirical contact data within closed healthcare settings lead to a
121 better understanding of the transmission and spread of HMO. Such data can result in the development
122 of improved control interventions. Using real-time RFID tracking data it is possible to model the spread
123 of HMO at an individual level rather than using a compartmental-based model [2,10]. RFID data have
124 been used to model the spread of HMO in different closed healthcare settings and at different
125 proximities using a temporal proximity network at schools [9],[10], conferences [13], households [14],
126 hospitals [15–21] and other healthcare facilities [22]. In addition to the research that has recently been
127 done, innovations in data collection and modelling are clearly needed in order to implement better
128 control strategies. [23] Studies based on contact data only are unable to determine the effect of
129 spatiotemporal healthcare policies like HHC. A few hours of RFID tracking can be sufficient to produce
130 a statistical model that shows the heterogeneity of spatiotemporal social contact patterns, which
131 represents how people socially interact in space and time. [24]

132 The spatiotemporal effects of varying levels HHC on the transmission and spread of HMO in a closed
133 healthcare setting must still be quantified, based upon empirical spatiotemporal tracking data. HCWs
134 and policymakers may benefit from understanding the impact of spatiotemporal infection control
135 interventions and healthcare policies on the transmission and spread of HMO.

136 The main objectives of this study were to (1) identify an HCW occupancy group of potential super-
137 spreaders and (2) quantify the spatiotemporal effects on the transmission and spread of HMO for
138 varying levels of hand hygiene compliance caused by this group.

139 Methods

140 We used spatiotemporal data from the University Medical Center Groningen (UMCG), being one of
141 the largest hospitals in the Netherlands with more than 10 000 employees and almost 1 400 beds.
142 Between 2 April 2018 and 8 April 2018, data were collected in a 32-bed general hospital ward, for
143 stomach, gut and liver patients (Figure 1). The dates were chosen such that they cover a whole
144 calendar week from Monday to Sunday and all shifts to increase the representativeness of the
145 estimates obtained. The floor plan of the ward was divided into 33 rooms of which 14 were patient
146 rooms, with between one and four beds, nine medical storage rooms and ten rooms ranging from a
147 doctor's office to a staff kitchen.

148 Data were collected using RFID sensors worn by the HCWs working in the ward during the study
149 period. Sensors were grouped by HCW occupation groups. Each HCW chose a sensor at the start of a
150 shift at random belonging to his/her respective occupation group. The RFID tags (Figure 1: A) emit
151 radio signals with a unique identifying information and RFID readers (Figure 1: B), on the ceiling of the
152 rooms, register those signals. The range of the RFID readers was set to the size of the rooms and they
153 continuously monitored the uniquely identifiable RFID tags in their range. HCWs moving in and out of
154 the rooms were registered and the data were generated and stored. The data consist of a room ID, a
155 RFID sensor ID and a datetime stamp corresponding to the movement of the RFID tag into and out of

156 a room (Figure 1: C). The spatial resolution is at the room level and defined by the set of rooms inside
 157 the ward. The temporal resolution equals the second at which the observation signal was received.

158 Figure 1: Floorplan of the 32-bed general hospital ward, for stomach, gut and liver patients.



159

B

C

160



161 **A** = Floorplan of the 32-bed general hospital ward, for stomach, gut and liver patients where sample data were collected
 162 using **B** = RFID tags worn by HCWs during data collection using **C** = RFID readers placed on the ceiling of the ward rooms.

163 During the seven days of data collection, a total of 2 631 observations were recorded of which 58 had
 164 to be removed because of spurious measurements detected using outlier detection and identifying
 165 aberrant movement patterns in the collected data (Table 1). The sampled data were divided into two

166 subsets. Data in subset 1 contain the sampled data collected during weekdays (7am – 5pm) and subset
 167 2 the sampled data collected during the evenings (5pm – 7am) and over the weekend.

168 Table 1: Example of data collected using the RFID sensors and readers.

Room	From	To	Sensor
54.3.35A	03/04/2018 16:49	03/04/2018 16:50	58007
54.3.45	03/04/2018 16:51	03/04/2018 17:00	58007
54.3.14	03/04/2018 17:00	03/04/2018 17:37	58007
54.3.17	03/04/2018 17:37	03/04/2018 17:41	58007

169
 170 Contact data were extracted from the empirical spatiotemporal data. They are generated by the
 171 underlying contact network between HCWs and determines the possible pathways over which the
 172 spread of HMO occurs over space and time [25,26]. Since the spatial resolution of the collected data
 173 were at the room level and not at the face-to-face level, an assumption was needed for the contact
 174 definition. We define a contact as the physical co-occurrence of two HCWs or patients in a single room
 175 and a contact moment as contact over a 30 second period of time. This contact definition is used in
 176 computational epidemiology as a proxy for face-to-face contacts [27]. For example, if a HCW enters a
 177 patient’s room, then the HCW and the patient are assumed to be in contact with each other for the
 178 time over which they co-occur in that room. Using the sampled data and the contact definition,
 179 potential super-spreaders can be identified by occupation group.

180 We investigate different HCW occupation groups as potential super-spreaders. A general guideline to
 181 identify super-spreaders is to identify the 20% of the people contributing at least 80% of the
 182 transmission potential [28]. Seven HCW occupation groups were identified, namely doctor (DOC),
 183 nurse (NUR), cleaner (CLN), department assistant (DAS), department co-assistant (DCA), consultant
 184 (CON) and feeding assistant (FAS). Each HCW occupation group’s potential to transmit an HMO was
 185 evaluated based upon the number of times contact was made (contact moment) and time spent with
 186 other HCWs or patients. The estimated transmission potential is obtained for each HCW occupation
 187 group and compared to identify disproportionality and thus potential super-spreaders.

188 For objective 1, i.e. the identification of a potential super-spreader HCW occupation group (G) we
189 performed the following steps:

190 Step 1.1: Obtain the total time spent in minutes (ψ) and the number of contacts (M) between
191 all groups of HCW occupations or patients.

192 Step 1.2: Rank order HCW occupation groups by ψ and M .

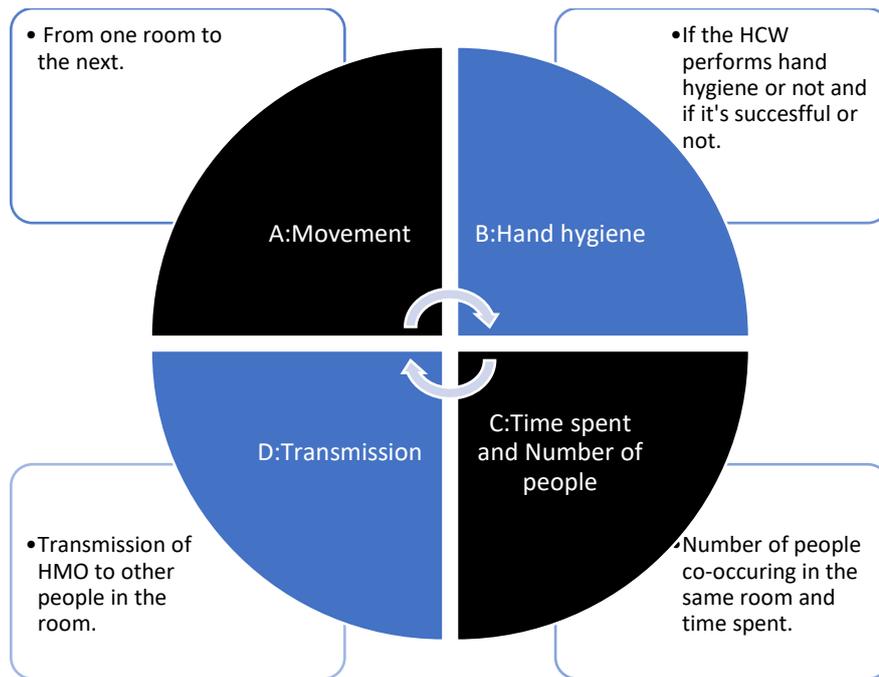
193 Step 1.3: Identify the HCW occupation group with the highest ψ and M and in this sense differs
194 the most from the other HCW occupation group as G .

195 Once G is identified, we estimated the effect of the transmission and spread of an HMO by a colonized
196 HCW from occupation group G for varying levels of HHC using five risk factors while colonized (RF1 –
197 RF5). The risk factors are defined as follows for a member of G while colonized: the amount of time
198 (minutes) spent colonized (RF1), the amount of time (minutes) spent with HCWs or patients (RF2), the
199 number of HCWs or patients encountered (RF3), the number of transitions made from one room to
200 another (RF4) and the expected number of HMO transmissions to other HCWs or patients before
201 successfully performing hand hygiene (RF5).

202 To simulate the underlying distribution from the sampled data, we estimated the underlying
203 distribution, followed by resampling to generate more samples. This simulation process aids us to
204 explore the consequences of initial simulation assumptions.

205 To estimate RF1 – RF5, we construct a dynamic agent-based transition simulation model [29]. The
206 simulation follows a four-part (A-D) workflow (Figure 2). We assumed that RF1 – RF5 are dependent
207 upon the order in which an HCW moves between the different rooms in the hospital ward (A), the
208 likelihood of the HCW performing hand hygiene and the efficacy of doing so (B), the amount of time
209 an HCW spends in each room with a number of other HCWs or patients (C) and the transmission
210 dynamics of the HMO (D). Parts A and C are based on statistics from the sampled data while parts B
211 and D are based on assumptions from the literature.

212 Figure 2: The four-part of the simulation workflow.



213

214 A and C are dependent of the sampled data and B and D on initial assumptions from literature.

215 For part A in the simulation workflow (Figure 2), we used continuous Markov chains. They allowed us
 216 to model the movement of HCWs from one of n rooms to the next [30]. If R is the set of n rooms, i.e.
 217 $R = \{R_1, R_2, \dots, R_n\}$, then the transition probability p_{ij} (Formula 1) in row i and column j of the $n \times$
 218 n transition probability matrix \mathbf{P} (Formula 2) is the probability that an HCW will transition from room
 219 R_i to room R_j during the next transition. Since an HCW will either be in the same room or in another
 220 room after the next transition, the rows of the matrix \mathbf{P} must add up to 1 i.e. $\sum_{j=1}^n p_{ij} = 1$ for $i =$
 221 $1, \dots, n$. Each element p_{ij} is between 0 and 1 inclusively i.e. $0 \leq p_{ij} \leq 1$ for $i, j \in (1, \dots, n)$. An
 222 estimate for p_{ij} is obtained by dividing the number of transitions to R_j from R_i by the total number
 223 of transitions from R_i . Using only the transition data of G we obtain a transition probability matrix \mathbf{P}
 224 for G .

225
$$p_{ij} = P(\text{Next room} = R_j | \text{Current room} = R_i) \text{ for } i, j \in (1, \dots, n) \quad \text{Formula 1}$$

226
$$\mathbf{P} = \begin{matrix} & R_1 & \dots & R_n \\ \begin{matrix} R_1 \\ \vdots \\ R_n \end{matrix} & \begin{bmatrix} p_{11} & \dots & p_{1n} \\ \vdots & \ddots & \vdots \\ p_{n1} & \dots & p_{nn} \end{bmatrix} \end{matrix} \quad \text{Formula 2}$$

227 We assume that the length of time spent in each room (ψ_{R_i}) is exponentially distributed with
 228 parameter η with mean $1/\eta$ and variance $1/\eta^2$ [30]. The estimated values of η and the average
 229 number of HCWs or patients co-occurring inside each room, together with the corresponding
 230 estimated variance, are obtained at room level from the sampled data. We assumed that the number
 231 of HCWs or patients co-occurring within each room follows either a normal distribution or Poisson
 232 distribution with mean and standard deviation equal to the estimates obtained from the sampled
 233 data.

234 The performance and efficacy of hand hygiene (B) compliance and the transmission of a HMO (C) are
 235 simulated using agent-based modelling and the corresponding model assumptions in Table 2, based
 236 on [7].

237 Table 2: Agent-based model parameters (Thomas Hornbeck et al.).

Symbol	Definition	Range
P	Probability of transmission per 30 s of contact	0.0005, 0.005 and 0.05
λ	Hand hygiene efficacy using alcohol rub	0.83
γ	Hand hygiene compliance level	$\mu = 0.05, 0.25, 0.5, 0.75, 0.95$ and $\sigma = 0.1$

238 The simulation starts with one colonized HCW $g \in G$ in a random room inside the hospital ward and
 239 ends when g successfully performed hand hygiene. We assume that all patient rooms are occupied.

240 The simulation procedure is performed as follows:

241 Objective 2

242 2.1 Obtain sample statistics

243 Step 2.1.1: Use the sampled data generated by the sensors carried by G to construct a 1-
 244 step transition probability matrix (\mathbf{P}) for the transitions between rooms
 245 $R = \{R_1, R_2, \dots, R_n\}$.

246 Step 2.1.2: Obtain the average and standard deviation of the number of HCWs or patients
 247 co-occurring with $g \in G$ in each room R_i as ω_{R_i} .

248 Step 2.1.3: Obtain the average and standard deviation of the number of minutes spent
 249 by G in each room as ψ_{R_i} .

250 2.2 Simulation

251 Step 2.2.1 (Workflow A): Select at random a room R_i for $i = 1, \dots, n$ as the initial room
 252 where g will start the simulation.

253 Step 2.2.2: Select five equally probable values from a random univariate distribution
 254 between 0 and 1 with assigned variables names u_1, \dots, u_5 .

255 Step 2.2.3:

256 1. (Workflow B): Determine if g successfully performed hand hygiene after entering
 257 the room:

258 $PH = P(\text{Successful handhygiene}) = \lambda \times \gamma$, where we make the assumption
 259 that $H \sim N(\mu, \sigma)$ where μ and σ are as in Table 2 and γ is sampled from H . Sample
 260 a random number γ from H until $u_1 \leq (\gamma * \lambda)$ when the simulation has converged
 261 as hand hygiene was performed successfully and the HCW is no longer contagious.
 262 Record the number of transitions as T .

263 2. (Workflow C): Simulate the number of HCWs or patients co-occurring in room R_i :
 264 We assume $\omega_{R_i} \sim N(\nu, \varphi^2)$ or $\omega_{R_i} \sim \text{Poisson}(\nu)$, where ν and φ^2 are estimated
 265 using the average and variance of ω_{R_i} from the sampled data respectively. Select
 266 a random number from ω_{R_i} , using the value u_2 , and round up the results to the
 267 nearest integer.

268 3. (Workflow C): Simulate the number of minutes spent in room R_i :

269 We assume that $\psi_{R_i} \sim \text{Exp}\left(\frac{1}{\eta}\right)$, where η is the sample average of ψ_{R_i} , estimated
 270 from the sampled data. Select a random number from ψ_{R_i} , using the value u_3 ,
 271 and round up the results to the nearest integer. Let m be the number of contact
 272 moments of 30 s i.e. $m = \psi_{R_i} \times 2$.

273 4. (Workflow D): Simulate the number of ω_{R_i} colonized by g with the HMO:

274 We assumed each person co-occurring in a room has an independent binomial
 275 distribution given by $I \sim \text{Bin}(m, P)$ to get colonized by g over m contact moments
 276 with and transmission probability P . We assume that the successful transmission
 277 during at least 1 of the m contact moments for each of the ω_{R_i} co-occurring HCWs
 278 or patients are independent, which is why the expected number of HCWs or
 279 colonized during a the co-occurrence in room R_i (IN) can be estimated as
 280 $E[IN] = \sum_{j=1}^{\omega_{R_i}} \{1 - P(I = 0)\} = \omega_{R_i} \times (1 - (1 - P)^m)$.

281 5. (Workflow A): Determine the next room after the following transition:

282 Use u_5 as input for the inverse cumulative probability distribution of the transition
 283 probability matrix \mathbf{P} found by taking the cumulative sum on the row of \mathbf{P}
 284 corresponding to current room R_i .

285 6. Three cumulative measures during simulation while g is colonized are collected:
 286 the time spent in minutes (ψ_c), ω_{R_i} co-occurring with g (ω_c) and the expected
 287 number of HCWs or patients colonized by g (IN_c).

288 7. Repeat Step 2.2.3

289 One thousand simulations were performed for three different rates of transmission (P) between
 290 0.0005 and 0.05 for each of the five HHC distributions. The result of the simulation is 15 (3×5)
 291 scenarios with outputs ψ_c, M, ω_c, T and IN_c corresponding to RF1 – RF5 respectively. The simulations
 292 were repeated for the subsets 1 and 2 separately and combined.

293 We summarise the simulation assumptions made by workflow section as follows:

294 Workflow A: Movement

- 295 1. Contact definition is based on HCWs or patients co-occurring at a room level.
- 296 2. Patient rooms are always occupied by at least 1 patient which is the reasons for the HCW to
- 297 visit the room.

298 Workflow B: Hand hygiene

- 299 1. A colonized NUR can perform hand hygiene once during every transition between rooms.
- 300 2. For a colonized NUR to be decolonized, hand hygiene needs to be performed and it needs to
- 301 be successful. The former depends on the action of the NUR with probability γ and the latter
- 302 on the efficacy of the solution used to perform hand hygiene with probability λ .

303 Workflow C: Time spent and Number of people

- 304 1. The number of minutes an infected NUR spends in a room R_i is given by $\psi_{R_i} \sim \text{Exp}\left(\frac{1}{\eta}\right)$, where
- 305 η is the sample average of ψ_{R_i} .
- 306 2. The number of HCWs or patients co-occurring in room R_i with the infected NUR is given by
- 307 $\omega_{R_i} \sim N(\nu, \varphi^2)$ or $\omega_{R_i} \sim \text{Poisson}(\nu)$, where ν and φ^2 are estimated using the average and
- 308 variance of ω_{R_i} from the sampled data respectively.

309 Workflow D: Transmission

- 310 1. Only colonized nurse can transmit an HMO.
- 311 2. HCW and patients only have two states: susceptible and colonized.
- 312 3. Number of colonized HCW or patients after co-occurring with a colonized NUR for m contact
- 313 moments with probability of transmission P for each 30 s of co-occurrence is distributed as
- 314 $I \sim \text{Bin}(m, P)$.

315 There are two key moments in the model. The first is when g enters a room – that is when an

316 opportunity is given to perform hand hygiene with probability γ and corresponds to part B of the

317 simulation workflow. Five probability distributions are used to simulate HHC for simulation. A normal
 318 distribution with mean 0.05 represents very low HHC, 0.25 and 0.5 shows the effect of low to average
 319 HHC and 0.75 to 0.95 for high to near perfect HHC levels. Should g perform hand hygiene, then the
 320 probability that the hand hygiene was successfully performed, meaning that all traces of the HMO
 321 were eradicated, is given by λ . The probability of successful use of hand hygiene is based on Girou et
 322 al [6] and the difference compliance levels (low, medium and high) are based on Temime et al [8].

323 The second key moment is when more than one HCW or patient co-occurs with g in the same room.
 324 The colonized HCW has a probability of transferring microbes to all HCWs or patients in the room
 325 every 30 s with probability P . The probability of transmitting a HMO from one person to another
 326 results in a 1.5% - 13.5% probability of infection for each 15 minutes spent together, which is similar
 327 to [8]. We assume that transmissions between all HCWs and patients are equally likely for each 30 s
 328 spent together in the same room. For example, HCWs or patients in contact with an g will be subject
 329 to the probability stated in Formula 3 during the first 30 s of contact. The last two terms in Formula 1
 330 decrease the probability of transmission because of the chance that g will effectively perform hand
 331 hygiene and not carry the HMO anymore. Only the parameter P remains for subsequent 30 s interval
 332 because g only performs hand hygiene when entering the room.

333
$$P[\textit{Susceptible} \rightarrow \textit{Infected} | n = 1] = P \times \gamma \times (1 - \lambda) \quad \text{Formula 3}$$

334 For successive 30 s contact moments, we assume that the probability that a colonized HCW
 335 contaminates an uncontaminated transfers microbes to an uncolonized HCW or patient follows a
 336 binomial distribution with parameter m indicating the number of 30 s intervals and parameter P
 337 indicating the probability of transmission. In order to model this as a binomial distribution, we assume
 338 that there are only two outcomes, i.e. colonized and decolonized, that each 30 s interval is
 339 independent from the other and that the probability of transmission stays constant.

340 The effect of P is positively correlated with the number of transmissions, meaning that more
 341 transmissions should take place if the rate of infection increases. Model parameters λ and γ however

342 have an inverse relationship with the expected number of transmissions. Some simulation parameters
 343 are positively correlated and some with a negatively correlated with the expected number of
 344 transmissions. The linear nature of the transmission formula makes it possible to create scenarios
 345 where two parameters, with opposite correlation with the expected number of transmissions, can
 346 mitigate and even completely off-set effect of the other on the expected number of transmissions.
 347 This provides further insight into the effects of the initial simulation assumptions propagated through
 348 the sampled spatiotemporal data.

349 Results

350 During the seven-day period, 2 215 contact moments were derived from the 2 573 sampled
 351 observations which equates to 412 hours (24 719 minutes) of contact data. NUR, DOC and patients
 352 (PAT) were together responsible for 78.8% and 77.1% of all contacts and time spent in contact
 353 respectively (Table 3). NUR made up 57.0% and 56.8% of these percentages which is five times more
 354 than the second higher HCW occupation group, DOC (11.1% and 12.6%). Therefore, a colonized NUR
 355 has a disproportionately high potential of transmitting and HMO based on the amount of contact and
 356 time spent with HCWs or patients. For these reasons, we investigate the NUR HCW occupation group
 357 as potential super-spreaders in this study.

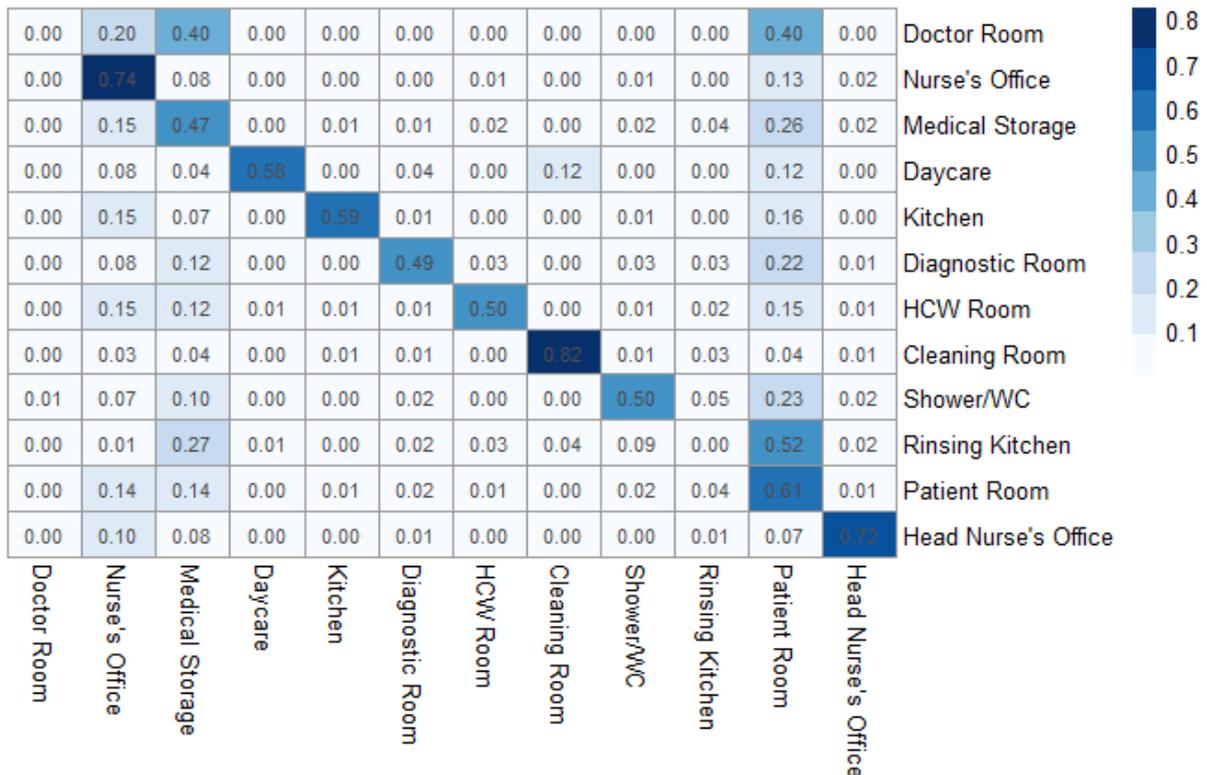
358 Table 3: Summary of number of contact and duration of contacts by individual category.

(Occupation) Group	Average Contact Minutes (SD)	Number of Contacts (% of total)	Contact Minutes (% of total)
NUR	11.13 (20.05)	1262 (57.0%)	14 040 (56.8%)
DOC	12.56 (20.65)	248 (11.2%)	3 116 (12.6%)
PAT	8.04 (12.23)	234 (10.6%)	1 882 (7.6%)
DAS	13.63 (16.16)	192 (8.7%)	2 616 (10.6%)
CON	145.98 (23.27)	143 (6.5%)	2 097 (8.5%)
CLN	6.06 (10.35)	83 (3.7%)	503 (2.0%)
CAS	10.47 (12.88)	36 (1.6%)	377 (1.5%)
FAS	5.18 (8.27)	17 (0.8%)	88 (0.4%)
Total	11.16 (19.75)	2215 (100.0%)	24719 (100.0%)

359 NUR = Nurse, DOC = Doctor, PAT = Patient, DAS = Department assistant, CON = Consultant, CLN = Cleaner, CAS = Co-assistant,
 360 FAS = Feeding assistant

361 The estimated transition probability matrix (\mathbf{P}) for NUR summarises the transitions of NUR between
 362 rooms observed in the sampled data (Figure 3). According to \mathbf{P} , NUR are most likely to transition to
 363 either a patient room, a medical storage area or the nurse's office.

364 Figure 3: Transition probability matrix \mathbf{P} for movement of NUR between rooms.



365 The transmission probabilities are given as p_{ij} in the i^{th} row and j^{th} column for the movement of NUR between rooms. Each
 366 element is the estimated probability that a nurse will transition from room i to room j after the next transition.
 367

368 The time weighted average number of HCWs or patients co-occurring with a NUR (Table 4) shows that
 369 the nurse's office has both the highest estimated ω_{R_i} and ψ_{R_i} . For this reason, the relatively high
 370 estimated probability that a NUR will transition to the nurse's office, implies that an HCW of the
 371 occupation group NUR spends a large portion of their time here while co-occurring with a relatively
 372 large number of people. Patient rooms have a lower expected ψ_{R_i} , relative to the nurse's office, but
 373 the ω_{R_i} is almost the same. Since we assumed that there is at least one patient in the patient room,
 374 the expected ω_{R_i} for the patient rooms is more than 2.

375 Table 4: Number of HCWs or patients and the time they co-occurred in each room.

Room (R_i)	ω_{R_i} (SD)	ψ_{R_i} (SD)
Cleaning Room	1.45 (0.37)	8.05 (12.50)
Daycare	1.10 (0.30)	8.55 (11.07)
Diagnostic Room	1.11 (0.59)	27.57 (45.01)
Doctor Room	1.00 (0.00)	4.82 (5.25)
HCW Room	1.04 (0.32)	18.17 (22.75)
Head Nurse's Office	1.82 (1.59)	18.64 (33.09)
Kitchen	1.15 (0.46)	9.51 (9.96)
Medical Storage	1.12 (0.49)	8.90 (14.70)
Nurse's Office	2.16 (1.59)	19.39 (26.70)
Patient Room	2.09 (0.40)	11.55 (19.01)
Rinsing Kitchen	1.00 (0.14)	0.08 (0.19)
Shower/WC	1.10 (0.40)	10.74 (20.94)

376 ω_{R_i} = average number of people co-occurring in room R_i , ψ_{R_i} = average amount of time (minutes) spent in room R_i

377 Simulation results

378 The ($P = 0.05$; $\lambda = 0.05$) scenario in Table 5 corresponds to the highest probability of transmission
 379 (P) and the lowest HHC level (λ). For this scenario, a colonized NUR can transition through 22
 380 wardrooms ($T = 22.32$) for almost one and a half hours ($\psi_c = 87.39$) while making contact with 13
 381 HCWs or patients ($\omega_c = 17.10$) which results in 76 30 s opportunities to transmit HMO ($M = 76.34$).
 382 This scenario also resulted in the highest amount of expected transmissions ($IN_c = 3.13$). Reducing
 383 the transmission rate results into an exponential decrease of the number of expected transmissions
 384 as expected.

385 In the ($P = 0.005$; $\lambda = 0.75$) scenario, where the level of HHC is highest and the transmission
 386 probability is lowest, the expected time that a colonized NUR would spend carrying an HMO is just
 387 under 6 minutes even though the assumed effectiveness of the alcohol rub used is only 83%. Note
 388 that the ($P = 0.005$; $\lambda = 0.05$) scenario results in a similar amount of expected number of infections
 389 as the scenario where $P = 0.05$ and $\lambda = 0.5$ (0.37 vs. 0.43) even though differs by a factor of ten.

390

391 Table 5: Results of all the 1 000 simulations performed.

P	λ	RF1: ψ_c (SD)	RF2: M (SD)	RF3: ω_c (SD)	RF4: T (SD)	RF5: IN_c
0.05	0.05	87.39 (72.01)	76.34 (67.87)	17.10 (13.54)	22.32 (21.37)	3.13 (2.70)
0.005	0.05	88.00 (71.25)	76.62 (68.24)	16.90 (13.57)	22.42 (21.58)	0.37 (0.33)
0.0005	0.05	92.97 (72.14)	81.21 (71.41)	17.84 (13.76)	23.94 (22.33)	0.04 (0.04)
0.05	0.25	19.21 (22.78)	18.77 (25.43)	4.10 (4.51)	4.56 (4.21)	0.75 (0.98)
0.005	0.25	19.99 (22.68)	19.26 (25.00)	4.38 (4.68)	4.84 (4.30)	0.09 (0.12)
0.0005	0.25	19.79 (22.92)	18.93 (24.56)	4.31 (4.61)	4.76 (4.40)	0.01 (0.01)
0.05	0.5	9.48 (9.15)	11.13 (16.15)	2.26 (2.25)	2.39 (1.78)	0.43 (0.59)
0.005	0.5	9.44 (10.72)	9.96 (14.72)	2.32 (2.43)	2.48 (1.89)	0.05 (0.07)
0.0005	0.5	9.59 (10.90)	10.24 (16.82)	2.10 (2.20)	2.36 (1.84)	0.01 (0.01)
0.05	0.75	6.63 (5.91)	7.80 (11.50)	1.61 (1.64)	1.61 (0.97)	0.30 (0.43)
0.005	0.75	6.94 (6.86)	8.07 (13.19)	1.64 (1.66)	1.72 (1.03)	0.04 (0.06)
0.0005	0.75	6.65 (6.59)	7.92 (13.89)	1.59 (1.68)	1.59 (0.99)	0.00 (0.01)
0.05	0.95	5.81 (5.37)	6.80 (12.15)	1.31 (1.41)	1.31 (0.64)	0.25 (0.41)
0.005	0.95	6.02 (5.35)	7.27 (11.60)	1.35 (1.38)	1.26 (0.61)	0.03 (0.05)
0.0005	0.95	5.85 (5.70)	7.24 (11.89)	1.38 (1.40)	1.27 (0.61)	0.00 (0.01)

392 Sampled data for three different transmission assumptions and five distributions of HHC for one colonized NUR starting in a
 393 random room in the hospital ward, a hand hygiene efficacy (γ) of 0.83 and that ω_{R_i} follows a Poisson distribution. P =
 394 probability of transmission, λ = HHC level, ψ_c = amount of time spent colonized, M = number of contact moments, ω_c =
 395 number of HCWs or patients made contact with, T = number of transition between hospital ward rooms, IN_c = expected
 396 number of HMO transmissions

397 The simulation results based upon subset 1 (Table 6) show that, for the ($P = 0.05$; $\lambda = 0.05$) scenario,
 398 a colonized NUR is expected to spend less time colonized while transitioning through the wardrooms
 399 during weekdays than during weeknights or weekends (72.93 vs. 101.54 minutes) even though more
 400 HCWs or patients are expected to be encountered (19.07 vs. 15.96) by the colonized NUR. From Table
 401 6 and Table 7 we see that the difference between the expected number of transitions (T) by a
 402 colonized NUR for subset 1 and 2 is less than 10% for all scenarios. The difference in the expected
 403 number of transmissions caused by a colonized NUR between subset 1 and 2 equals 20% for the ($P =$
 404 $0.05, \lambda = 0.05$) scenario and equals 52% for the ($P = 0.05, \lambda = 0.95$) scenario. These differences are
 405 the result of the change of spatiotemporal and social mixing patterns of the HCW observed during the
 406 weekdays and weeknights or weekends.

407 Table 6: Results of 1 000 simulations performed on weekday (7am-5pm)

P	λ	RF1: ψ_c (SD)	RF2: M (SD)	RF3: ω_c (SD)	RF4: T (SD)	RF5: IN_c
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0.05	0.05	72.93 (58.37)	68.47 (61.71)	19.07 (15.52)	22.74 (21.82)	2.94 (2.60)
0.005	0.05	74.76 (58.11)	72.06 (62.66)	19.86 (15.72)	23.50 (21.82)	0.35 (0.31)
0.0005	0.05	72.00 (58.79)	66.90 (58.71)	18.68 (14.95)	21.73 (20.37)	0.03 (0.03)
0.05	0.25	16.57 (19.43)	16.76 (22.35)	4.65 (5.41)	4.77 (4.61)	0.70 (0.92)
0.005	0.25	15.96 (17.74)	16.76 (21.60)	4.43 (4.79)	4.61 (4.13)	0.08 (0.11)
0.0005	0.25	17.74 (19.32)	18.24 (22.23)	4.72 (5.15)	4.95 (4.48)	0.01 (0.01)
0.05	0.5	7.30 (7.84)	8.24 (12.37)	2.10 (2.28)	2.25 (1.75)	0.33 (0.48)
0.005	0.5	7.86 (8.33)	9.48 (13.48)	2.38 (2.45)	2.43 (1.85)	0.04 (0.06)
0.0005	0.5	8.09 (8.32)	9.09 (12.66)	2.26 (2.43)	2.41 (1.84)	0.00 (0.01)
0.05	0.75	5.63 (5.45)	6.83 (10.63)	1.63 (1.68)	1.62 (0.99)	0.27 (0.40)
0.005	0.75	5.52 (5.11)	6.58 (10.37)	1.63 (1.75)	1.59 (1.00)	0.03 (0.05)
0.0005	0.75	5.38 (5.06)	6.97 (10.47)	1.71 (1.80)	1.62 (1.03)	0.00 (0.01)
0.05	0.95	4.81 (4.48)	5.96 (9.07)	1.38 (1.40)	1.31 (0.65)	0.23 (0.33)
0.005	0.95	4.91 (4.45)	6.06 (9.54)	1.38 (1.44)	1.29 (0.62)	0.03 (0.04)
0.0005	0.95	4.95 (4.31)	5.79 (8.86)	1.35 (1.38)	1.29 (0.64)	0.00 (0.00)

408 Sampled data for three different transmission assumptions and five distributions of HHC for one colonized NUR starting in a
 409 random room in the hospital ward, a hand hygiene efficacy (γ) of 0.83 and that ω_{R_i} follows a Poisson distribution. P =
 410 probability of transmission, λ = HHC level, ψ_c = amount of time spent colonized, M = number of contact moments, ω_c =
 411 number of HCWs or patients made contact with, T = number of transition between hospital ward rooms, IN_c = expected
 412 number of HMO transmissions

413 Table 7: Results of 1 000 simulations performed on weeknights (6pm – 6am) and weekend

P	λ	RF1: ψ_c (SD)	RF2: M (SD)	RF3: ω_c (SD)	RF4: T (SD)	RF5: IN_c
0.05	0.05	101.54 (81.75)	95.75 (89.27)	15.96 (12.45)	23.44 (22.09)	3.61 (3.19)
0.005	0.05	103.02 (81.06)	99.07 (89.73)	16.13 (12.87)	23.66 (22.60)	0.48 (0.43)
0.0005	0.05	101.34 (79.78)	97.56 (89.70)	16.02 (12.68)	23.23 (22.27)	0.05 (0.04)
0.05	0.25	24.36 (25.15)	24.20 (32.35)	4.18 (4.31)	4.91 (4.26)	0.90 (1.13)
0.005	0.25	23.92 (24.96)	24.17 (32.03)	4.10 (4.36)	4.87 (4.41)	0.11 (0.15)
0.0005	0.25	23.39 (24.56)	24.11 (34.26)	3.93 (4.18)	4.62 (4.14)	0.01 (0.02)

0.05	0.5	12.04 (12.21)	12.82 (19.68)	2.04 (2.26)	2.44 (1.86)	0.46 (0.64)
0.005	0.5	11.66 (12.37)	13.19 (19.25)	2.14 (2.28)	2.32 (1.80)	0.06 (0.09)
0.0005	0.5	12.24 (12.23)	12.85 (19.69)	2.14 (2.32)	2.41 (1.83)	0.01 (0.01)
0.05	0.75	9.04 (8.55)	10.50 (16.98)	1.52 (1.52)	1.62 (0.93)	0.37 (0.54)
0.005	0.75	8.84 (8.07)	10.81 (16.75)	1.62 (1.63)	1.62 (0.98)	0.05 (0.08)
0.0005	0.75	9.18 (9.02)	10.61 (17.50)	1.51 (1.56)	1.61 (0.96)	0.01 (0.01)
0.05	0.95	8.39 (7.69)	9.97 (16.45)	1.33 (1.36)	1.30 (0.64)	0.35 (0.52)
0.005	0.95	8.25 (7.65)	10.81 (19.30)	1.37 (1.44)	1.28 (0.64)	0.05 (0.09)
0.0005	0.95	8.87 (8.45)	10.46 (18.37)	1.40 (1.44)	1.31 (0.68)	0.01 (0.01)

414 Sampled data for three different transmission assumptions and five distributions of HHC for one colonized NUR starting in a
 415 random room in the hospital ward, a hand hygiene efficacy (γ) of 0.83 and that ω_{R_i} follows a Poisson distribution. P =
 416 probability of transmission, λ = HHC level, ψ_c = amount of time spent colonized, M = number of contact moments, ω_c =
 417 number of HCWs or patient made contact with, T = number of transition between hospital ward rooms, IN_c = expected
 418 number of HMO transmissions

419 Discussion

420 This study identified nurses as a potential super-spreader healthcare worker (HCW) occupation group.
 421 Nurses have a disproportionately high potential to transmit harmful microorganisms (HMO) to other
 422 HCWs or patients as compared to the other HCW occupation groups. In this study we showed that the
 423 expected number of transmissions caused by a colonized nurse increases exponentially as the level of
 424 hand hygiene compliance (HHC) deteriorates or the transmission probability increases. This is due to
 425 the spatiotemporal behaviour and social mixing patterns of HCWs.

426 Five risk factors were defined to quantify the spatiotemporal effects of varying levels of HHC on the
 427 transmission and spread of HMO in a healthcare setting. These were: 1) the time that a colonized
 428 super-spreader is expected to be colonized; 2) the number of contact moments with other HCWs or
 429 patients; 3) the number of HCWs or patients encountered; 4) the number of ward rooms frequented
 430 while colonized and 5) the expected number of HCWs or patients a super-spreader will transfer
 431 microbes to before performing proper hand hygiene. These risk factors were quantified for various
 432 levels of hand hygiene compliance and probabilities of transmission. We quantified the decrease in

433 the expected number of transmissions for different levels of HHC. This may encourage approval for
434 healthcare interventions such as increased education and awareness about HHC and strategic
435 accessibility to alcohol dispensers in healthcare settings. The simulation results are based on empirical
436 social mixing patterns of HCWs and highlight the impact of one colonized nurse as the super-spreader.
437 Such a simulation can be used in educational materials to emphasize personal control and
438 responsibility to perform HHC. Normal HHC levels of 50% may deteriorate to 25% during busy periods
439 in a healthcare setting because of reduced healthcare worker capacity or time pressure. The
440 simulation results allow for “what if?” questions to be answered under different assumed levels of
441 HHC and transmission probabilities in terms of the five risk factors. HCWs are then able to simulate
442 the impact of the initial assumptions on the expected number of transmissions caused by a super-
443 spreader based on empirical spatiotemporal behaviour and social mixing patterns of HCWs in a real
444 healthcare setting.

445 The results are consistent with other work done on super-spreaders in healthcare facilities [18]. Our
446 contribution is that we quantified potential consequences of the spatiotemporal behaviour of HCWs
447 for varying levels of hand hygiene compliance and different transmission probabilities. The simulation
448 results showed that, for the same transmission rates and HHC levels, the number of transmissions is
449 higher during weeknights and weekends. An explanation is that during weeknights and weekends,
450 HCWs spent more time with fewer HCWs or patients but had more contact moments for every minute
451 spent colonized. An increase in the time that a super-spreader navigates through the hospital ward
452 results in an increase in the number of encountered HCWs or patients, allowing for more opportunity
453 to transmit the HMO. HHC may vary over time because of varying ward occupancy levels or different
454 days of the week: the simulation results show that for a HMO with a transmission rate of 0.05 and
455 with the average level of HHC of 50% during the week and 25% over the weekend, that the expected
456 number of HCWs and patients to whom a colonized nurse transfers microbes will almost double.
457 Simulation scenarios were identified with equal risk factors for different initial conditions. They

458 illustrate that infection prevention and control interventions can use combinations of strategies and
459 bundles of interventions to fight the transmission and spread of HMO to achieve the same results.

460 The expected number of HCWs or patients a super-spreader will transfer microbes to before
461 performing proper hand hygiene (risk factor 5) is the ultimate consequence which should be
462 controlled by managing spatiotemporal behaviour (risk factors 1 – 4) and the level of HHC. A possible
463 intervention based on these results is to limit the amount of movement/contact during periods of low
464 expected levels of HHC. For example, if Friday evenings are very busy and affecting the levels of HHC,
465 a possible preventative intervention might be to optimise HCW routes and logistics according to an
466 algorithm based on sampled spatiotemporal movement data. This should then specifically be designed
467 to minimise the potential transmission and spread of harmful microorganisms. Risk factors can thus
468 be monitored over time, for instance allowing one to determine any seasonal trends or the effects of
469 spatiotemporal interventions or policy changes. The five risk factors may then be used in combination
470 to compare hospital wards or in the formulation of healthcare policy.

471 Limitations

472 Our sample is taken over a period of seven days giving a unique sample with a good coverage for a
473 single week. Differences may exist, however, with other weeks throughout the year and even between
474 years. The data used in this study may further be biased towards HCWs who were diligent in wearing
475 the RFID badges. The data were carefully checked for any inconsistencies; some loss in data quality
476 caused by incorrect room classification because of overlapping RFID reader areas could still be present
477 in the data. The hospital ward in our study is similar to wards found in most healthcare facilities in
478 most aspects. Our results are based upon the sampled RFID tracking data for one specific ward. It is a
479 future challenge to generalise these results to other wards in other hospitals. These data, together
480 with the knowledge of typical spatiotemporal HHC levels, can be used to estimate the five risk factors.
481 The spatial resolution of our data is the room level and made assumptions on the proximity and
482 interaction between people, thus adding uncertainty in the simulation results. Future opportunities

483 include the collection of data of a higher spatial resolution that will allow us to identify the proximity
484 between people, within room locations in the hospital and interaction with objects like alcohol
485 dispensers and mobile (diagnostic) equipment like computers on wheels. An increase in spatial
486 resolution will enable a more accurate event classification and may result in more accurate simulation
487 results. For instance, interaction with a hand hygiene dispenser does not require any assumption
488 about the level of HHC, but only on its efficacy. Interaction of an HCW with objects and equipment
489 inside a room, allows one to refine the transmission models thus improving the transmission
490 scenarios.

491 Conclusion

492 This study contributes to infection prevention and control by highlighting five risk factors which are
493 essential for interventions that describe the possible spread of an HMO both on a temporal and
494 individual level, as well as on the spatial level in a closed healthcare setting. These insights can be used
495 to develop better informed preventative strategies, for heterogeneous hand hygiene education,
496 feedback, work-place reminders and other interventions. The risk factors defined in this study enable
497 the quantification of geospatial behaviour which may result in the increase in transmission and spread
498 of harmful microorganisms. The simulations increase our insight into the consequences of varying
499 levels of adherence to spatiotemporal-dependent healthcare policies such as hand hygiene
500 compliance. Spatiotemporal behaviour and social mixing patterns of HCWs can change the expected
501 number of transmissions in a closed healthcare setting and should be better understood to inform
502 better policy making and further educating HCWs about the risks of their actions. In this sense, the
503 differences between weekdays and weekend days is very illustrative.

504

505

506 List of abbreviations

CLN	CleaNer
CON	CONsultant
DAS	Department ASsistant
DCA	Department Co-Assistant
DOC	DOctor
FAS	Feeding ASsistant
HCW	Healthcare Worker
HHC	Hand Hygiene Compliance
HMO	Harmful Microorganisms
MRSA	Methicillin-Resistant Staphylococcus Aureus
NUR	NURse
PAT	PATient
RFID	Radio Frequency IDentification
SD	Standard Deviation
SSE	Super-Spreading Events
UMCG	University Medical Center Groningen
WC	Water Closet

507 Ethics approval and consent to participate

508 Ethics approval was not required under Dutch law (WMO) according to the medical ethical technical
 509 committee of UMCG (METc UMC Groningen: 201600818). Verbal informed consent was given by all
 510 participants and deemed sufficient given the anonymised nature of the data.

511 Consent for publication

512 All authors read and approved the manuscript.

513 Availability of data and material

514 The data that support the findings of this study are available from UMCG but restrictions apply to the
 515 availability of these data, which were used under license for the current study, and so are not publicly
 516 available. Data are however available from the authors upon reasonable request and with permission
 517 of UMCG.

518 Competing interests

519 None

520 Funding

521 This study was supported by the INTERREG V A (122084) funded project health-i-care
 522 (<http://www.health-i-care.eu>), part of a Dutch-German cross-border network supported by the
 523 European Commission, the Dutch Ministry of Economics, the Ministry of Economy, Innovation,
 524 Digitalisation and Energy of the German Federal State of North Rhine-Westphalia, the Ministry for
 525 National and European Affairs and Regional Development of Lower Saxony and the Dutch Provinces
 526 Drenthe, Flevoland, Fryslân, Gelderland, Groningen, Noord-Brabant and Overijssel. This research was
 527 also supported by the INTERREG V A (202085) funded project EurHealth-1Health
 528 (<http://www.eurhealth1health.eu>), part of a Dutch-German cross-border network supported by the
 529 European Commission, the Dutch Ministry of Health, Welfare and Sport, the Ministry of Economy,
 530 Innovation, Digitalisation and Energy of the German Federal State of North Rhine-Westphalia and the
 531 Ministry for National and European Affairs and Regional Development of Lower Saxony.

532 Author's contributions

533 JM, JEW, A contributed to the conceptualization of the research and commenting on the draft and
 534 final version of the paper. JM, JEW, A, MHED, ML and LMA contributed in editing and writing the
 535 draft and final version of the paper. JM performed the data analysis, statistical analysis and wrote the
 536 draft and final version of the paper. . MHED and ML introduced the Radio Frequency Identification
 537 (RFID) technology developed by RePoint (<https://repoint.nl/nl/>) on the ward and motivated HCWs to
 538 wear batches to generate data.

539 Acknowledgements

540 N. (Nashwan) Al Naiemi, clinical microbiologist in Labmicta, Department of Medical Microbiology and
 541 Infection Control, Ziekenhuisgroep Twente, Almelo/Hengelo, Netherlands, and Corrina Glasner,
 542 Scientific Project Manager EurHealth-1Health and health-i-care for the insight into the clinical
 543 applications and value of this research.

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