

Effectiveness of an online self-management tool for people with rheumatoid arthritis: a research protocol

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Study protocol

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Abstract

Background. Active self-management is a process where patients are fully engaged in managing their health in daily life by having access to contextualized health data and tailored guidance to support a healthy lifestyle. The current study aims to determine whether an e-health intervention which incorporates symptom/disease activity monitoring and physical activity counselling can improve self-management ability in patients with rheumatoid arthritis (RA). **Methods.** The Empowering active self-management of arthritis: Raising the bar with OPERAS (an On-demand Program to Empower Active Self-management) project is a randomized controlled trial which uses a delayed control design. 134 participants with RA will be randomized to either start the intervention immediately (Immediate Group) or start 6 months later (Delayed Group). The intervention involves: 1) use of a Fitbit-compatible web app to record and monitor their RA disease activity, symptoms and time spent on physical activity and a Fitbit; 2) group education and individual counselling by a physiotherapist (PT), and 3) 6 phone calls with a PT. The primary outcome measure is self-management ability measured by the Patient Activation Measure. Secondary outcome measures include disease status, fatigue, pain, depressive symptoms, and characteristics of habitual behavior. In addition, time spent in physical activity and sedentary activity with a wearable multi-sensor device (SenseWear Mini). Following the 6-month intervention, we will interview a sample of participants to examine their experiences with the intervention. **Discussion.** The results of this study will help to determine whether this technology-enhanced self-management intervention improves self-management ability and their health outcomes for people living with RA. A limitation of this study is that participants will need to self-report their symptoms, disease status, and treatment use through questionnaires on the OPERAS web app. The user-friendly interface, reminder emails from the research staff, and tailored guidance from PTs will encourage participants to actively engage with the app. **Trial Registration.** Date of last update in ClinicalTrials.gov: January 2, 2019 ClinicalTrials.gov Identifier: NCT03404245

Background

Self-management is a key component of successful chronic disease management [1].

Broadly, it is a process where patients are actively participating in a variety of activities that contribute to lessening of the physical and emotional impact of their illness. Such activities include adhering to their treatment plan, being physically active, and seeking medical help when the treatment target is not met. It is important to make self-management a priority as patients can benefit from learning about how their daily activities and treatments correlate with their symptoms and health status on an ongoing basis. This contextualized health data provides a baseline for patients to determine if their symptoms warrant seeking medical attention and could inform their decisions about daily activities. For example, a patient who is aware of how much pain and fatigue they experience following a day of housework will know to pace their activities the next day.

Chronic disease self-management is hard work; it can be difficult to follow treatment regimes in the context of busy lives and fluctuating symptoms. There is a need to develop a multifaceted approach that can promote self-management and adherence to recommended treatments. As an example, for people with RA, there is ample evidence to support early and persistent use of disease-modifying anti-rheumatic drugs (DMARDs) [2]. However, for people who started RA medications, the adherence rates are as low as 30% [3]. Furthermore, despite the compelling evidence supporting a physically active lifestyle in reducing symptoms [4–7], the majority of patients do not meet the minimum recommended level of moderate-to-vigorous physical activity (MVPA) [8].

In general, patients feel a moral obligation to “manage well” [9]. However, some become disengaged from self-management activities [10] because of a frustration from managing their health on a trial-and-error basis [11]. The current practice relies on patients applying their self-management knowledge and skills in daily life with little feedback on their performance or how their actions affect their health. In light of these findings, we believe that there is a need for a multifaceted approach that provides support in terms of knowledge, skill development and timely advice from health professionals, as well as motivational support for patients to be engaged in their care and to stay physically active.

OPERAS web application

The OPERAS web app was designed to engage patients in monitoring and managing their health. Building from two existing software programs, the Arthritis Health Journal (AHJ) [12] and FitViz [13], OPERAS allows users to track symptoms, self-management goals, medication use, and physical activity. The latter is automatically measured by Fitbit. Using OPERAS, a physiotherapist (PT) will provide remote counselling to guide the user in setting realistic physical activity goals. Based on the users’ goals, the PT can adjust parameters of physical activity intensity and duration to provide automated personalized feedback on their goal attainment.

The objectives of this study are to: 1) assess the efficacy of OPERAS at improving self-management ability of people with RA in a 6-month randomized controlled trial (RCT); 2) explore the effect of OPERAS on disease status, pain, fatigue, depressive symptoms, and characteristics of habitual behavior; and 3) assess barriers to implementation and sustainability of OPERAS from the perspectives of participants involved in the study.

Methods/design

Study Design

The *Empowering active self-management of arthritis: Raising the bar with OPERAS (an On-demand Program to Empower Active Self-management)* project will use a mix of quantitative and qualitative

research methods. The RCT will employ a delayed control design, whereby participants will be randomly assigned to start the 6-month intervention immediately (Immediate Intervention [II] group) or 6 months later (Delayed Intervention [DI] group) using a 1:1 allocation ratio. Participants will be assessed 3 times throughout the study (Fig. 1.). At the end of the intervention, we will invite a sample of participants to be interviewed regarding their experiences.

Participants

Individuals are eligible for the study if they have: 1) a rheumatologist confirmed diagnosis of RA; 2) no joint surgery in the past 6 months; 3) no history of acute injury to any joints in the past 6 months; 4) an email address and daily access to a computer or mobile device; 5) the ability to speak and understand English; and 6) not previously participated in studies involving the AHJ or physical activity counselling. We will exclude people who should not be physically active without medical supervision, as identified by the Physical Activity Readiness Questionnaire (PAR-Q) [14]. If participants fail the PAR-Q, a physician's note will be required to determine if they are eligible.

Participants will be recruited from rheumatology clinics in Metro Vancouver, and through the network of patient groups including Arthritis Consumer Experts, and Arthritis Research Canada's Arthritis Patient Advisory Board. We will also post study information in social media (Facebook, Twitter, Kajiji, Craigslist) and Arthritis Research Canada's website.

The Intervention

Participants randomized into the II group will receive the 6 month intervention immediately. They will attend a 2-hour in-person session where they will: 1) participate in a group education session from a study PT about self-management and physical activity for people with RA, 2) receive a Fitbit Flex 2 and an orientation to the OPERAS web app, and 3) receive individual counselling from a PT trained in motivational interviewing [15]. All study PTs will follow the Brief Action Planning approach [16], whereby participants will be guided to set their own physical activity goals, develop an action plan, and identify barriers and solutions.

We will ask participants to wear the Fitbit throughout the intervention period, and use the OPERAS app to record their RA disease activity, symptoms, treatment use, and self-management action plans. Disease activity will be tabulated from participants' self-reported measures, including the Rheumatoid Arthritis Disease Activity Index [RADAI], Visual Analogue Scale [VAS], and the Health Assessment Questionnaire [HAQ]. Participants will record their symptoms twice a week during periods of more active disease (i.e., a disease activity score above 4), and once every 2 weeks during periods of stable disease (i.e. no sudden increases in disease activity score). They will also be asked to log on and review their physical activity goal achievements at least once a week. Participants who have not recorded their disease activity for more than 2 weeks will receive an email reminder from the research team.

II participants will receive a phone call from their PTs at weeks 2, 4, 6, 8, 13 and 26 to review their physical activity goals and accomplishments. PTs will coach participants to modify their goals if they are ready.

The DI group will receive the same intervention in week 27. Participants will receive a monthly newsletter of arthritis news, which are unrelated to disease management prior to the intervention period.

After the six-month intervention period, both II and DI participants can keep the Fitbit and their OPERAS app account, but will not have access to a PT.

Measurements

All participants will be assessed 3 times throughout the study: at baseline (T0), week 27 (T1), and week 53 (T2). Baseline measurements will be completed before randomization.

Outcomes

Primary Outcome

Self-management ability will be assessed through the Patient Activation Measure (PAM), a 13-item self-reported measure of individual's confidence in managing chronic diseases [17,18]. Each item has a 4-point response, with 1 being strongly disagree and 4 being strongly agree, and the aggregate raw score is converted to 0–100 [15]. Hibbard et al [17] described a 4-stage activation model based on the standardized scores of PAM: 1) believing an active role is important (PAM score <47); 2) having confidence and knowledge to take action (47.1 - 55.1); 3) taking action (55.2 - 67); and 4) maintaining healthy behaviors despite setbacks (> 67.1). PAM has demonstrated internal consistency (Chronbach's α > 0.85) [17], and construct validity with health status measures (e.g., SF-36 [24]) and healthy behaviours such as exercise, healthy eating and medication adherence [19].

Secondary Outcomes

We will assess disease status through the RADAI which categorizes disease activity into remission, low, moderate, and high states [20]. RADAI has 5 components, including 1) global disease activity; 2) joint tenderness/swelling; 3) pain; 4) morning stiffness; and 5) number/severity of painful joints. Its validity was supported by moderate correlations with physicians' assessment of disease activity ($r = 0.54$), swollen joint count ($r = 0.54$), and C-reactive protein value ($r = 0.43$) [18].

Pain will be measured with the McGill Pain Questionnaire (MPQ-SF), which consists of 15-pain related words that can be rated from 0 to 3, with the higher number being more severe [21]. We will measure fatigue using the Fatigue Severity Scale (FSS), a 9-item questionnaire that has demonstrated internal

consistency (Cronbach's $\alpha = 0.89$) [22]. The FSS is also moderately correlated with pain ($r = 0.68$) and depression ($r = 0.46$) [23]. We will also assess participants' mood using the Patient Health Questionnaire-9 (PHQ-9) [24] which consists of 9 questions that correspond to the diagnostic criteria for major depressive disorder. A total score of greater than 11 indicates the presence of major depressive disorder [25].

The Self-Reported Habit Index (SRHI) will be used to measure characteristics of habitual behavior. It is a 12-item scale, rated on a 7-point Likert scale, with higher scores indicating a stronger habit or behavior that is done frequently, automatically, and done without thinking about it [26, 27]. Participants will rate their strength of habit for 3 specific behaviors, including sitting during leisure time at home, sitting during usual occupational activities, and walking outside for 10 minutes or more.

We will measure participants' time spent in physical activity and sedentary activity with SenseWear Mini. It integrates tri-axial accelerometer data, physiological sensor data and personal demographic information to estimate steps, energy expenditure and Metabolic Equivalent of Tasks (METs). Tierney et al. [28] has showed that the SenseWear is an appropriate tool in estimating energy expenditure during activities of daily living in people with arthritis (intraclass correlation coefficient (ICC) = 0.72). Participants will wear the SenseWear monitor over their triceps on the non-dominant arm for 7 days. Almeida et al [29] recommended that a minimum of 4 days is required to reliably assess energy expenditure from different levels of physical activity in people with RA (ICC > 0.80).

We will calculate: 1) the mean time spent in bouts of MVPA per day. A bout is defined as > 10 consecutive minutes at the level of > 3 METs (i.e., the lower bound of MVPA), with allowance for interruption of up to one minute below the threshold [30]. 2) Mean daily time spent in sedentary behaviours, with an energy expenditure of < 1.5 METs, occurring in bouts of > 20 minutes during waking hours [31, 32].

Implementation Assessment

At the end of the 6 month intervention, we will conduct one-hour interviews with a purposive sample of participants to include both men and women and have different duration of experience in using health-related apps (Novice: <2 years; Avid > 2 years). The interview guide will explore three topics: 1) the participants' experiences with the intervention, probing to understand their views of each aspect of the program; 2) barriers and facilitators to using OPERAS; and 3) the nature of activities they engaged in with the program.

The OPERAS app will collect program usage data of all participants and these data will be securely stored on the Arthritis Research Canada server. Information collected include: 1) participants' frequency of using OPERAS app; 2) duration of each use; 3) adherence to using the Fitbit; and 4) adherence to the PT counselling session. The app is designed to allow researchers to obtain usage data without access to the personal health information, protecting participants' health information privacy.

Sample Size Calculation

Our primary outcome measure is self-management ability as measured by the (PAM) [17,18]. In a study by Turner et al., it was reported that patients with chronic diseases who completed a 7-week self-management program would have their mean PAM score improve from 52.2 to 60.2 at 6 months (standardized effect size = 0.65) [33]. Based on a difference of 8 points, an estimated SD of 12.4, and a 2-tailed analysis of covariance (ANOVA), a total of 102 participants (51 per group) would be needed (90% power; α -level 0.05). We plan to recruit a total sample size of 134, with 67 participants in each group, to allow for a ~24% attrition rate.

For the implementation assessment, we will conduct qualitative interviews with 7–10 participants in each of the 4 purposive sampling strata, including gender (2) and experience in using health-related apps (2). Hence, 28–40 people will be interviewed.

Data Analysis

Efficacy Analyses

We will analyze the outcomes measured at weeks 26 and 52 in the II and DI groups. We will employ Generalized Linear Mixed-effect Models (GLMM), adjusting for baseline value on the outcome variable and sex as covariates. These models are the most efficient and recommended statistical methods for analyzing longitudinal clinical trial data with missing data [34, 35] and can account for data missing at random without the need to perform explicit imputations of the missing values [36]. Binary indicators of group and months since baseline, will allow us to test their interaction ($p < 0.05$ for 2-tailed Wald test), which addresses if the outcome post-intervention is significantly better in the II than DI group. Data transformation, if needed, will be applied to continuous outcomes to satisfy the assumption of normality. The sandwich estimators for GLMMs [37] will be used to compute empirical standard errors that are robust to model specifications and distributional assumptions. An unstructured variance–covariance matrix will be used to model the within-subject error variance-covariance for continuous outcomes. The quality of statistical inferences will be substantiated through rigorous model checking and validation techniques.

Implementation Analysis

For the qualitative interviews, we will conduct an iterative content analysis, whereby codes will be identified and revised as interviews are analyzed. Initial open coding will be followed by clustering the labels into thematic categories [38]. Quotes representative of the thematic categories will be identified to illustrate experiences of participants with the intervention, as well as barriers and facilitators in meeting

their physical activity goals. If distinct themes based on gender or age are apparent, data will be reanalyzed within subgroups. Descriptive analysis will be conducted for all participants' usage data from the OPERAS app.

An iterative content analysis will be used to identify and revise codes in the qualitative interviews. We will start with an initial open coding and then cluster the labels into thematic categories [39]. Quotes representative of the thematic categories will be identified to illustrate experiences of participants in using OPERAS, as well as barriers and facilitators in using the program. We will reanalyze the data within subgroups if there are distinct themes based on gender or age. We will also conduct a descriptive analysis for all participants' usage data.

Discussion

Potential Impact and Significance

For patients, active self-management is a fundamental, but often neglected component in current models of care [40]. To this end, this study will evaluate the effectiveness of an e-health intervention at empowering patients to engage in self-care activities, such as monitoring their health and being physical active. Should the intervention prove to be effective for patients with RA, we believe OPERAS has the potential to be adaptable for patients with other chronic diseases to address the issue of self-management.

Limitations of the Study

A challenge of OPERAS is that it requires participants to actively record their symptoms/disease status and treatment use, although physical activity tracking will be achieved automatically. To encourage participants to record data, OPERAS is designed with a user-friendly interface to enter the information and we have a built-in protocol to send reminders after a period of non-use. Based on feedback from our patient partners, we included the self-reported component because it will enable the program to provide a personalized picture of individuals' health in relation to their activities and treatment use.

Another limitation of OPERAS is that participants need to wear and use a Fitbit continuously over the 6-month intervention. To minimize non-use, we selected the Fitbit Flex 2 which can be worn on the wrist 24 hours a day. In a previous study involving the Fitbit Flex, patients with arthritis were able to wear and use the device over an extended period of time [41]. To ensure the Fitbit is being used properly, the research coordinator will monitor the physical activity data synchronization with the app. Since the Fitbit is a commercial product, it is possible that participants may acquire one during the non-intervention period. To encourage adherence to the protocol, we will inform participants that they will receive a Fitbit from us free of charge.

Trial Status

We obtained ethics approval for this study in April 17, 2018. The study has also been registered to [ClinicalTrials.gov](https://clinicaltrials.gov) and we began recruitment in January 2019. We expect to complete recruitment in June 2020. The protocol version number is 1.0 and version date May 27, 2019.

Abbreviations

AHJ: Arthritis Health Journal

ANOVA: Analysis of Covariance

DI: Delayed Intervention

DMARDs: Disease-Modifying Anti-Rheumatic Drugs

GLMM: Generalized Linear Mixed-effect Models

HAQ: Health Assessment Questionnaire

ICC: Intraclass Correlation Coefficient

II: Immediate Intervention

METs: Metabolic Equivalent of Tasks

MPQ-SF: McGill Pain Questionnaire

MVPA: Moderate to Vigorous Physical Activity

OPERAS: an *On-demand Program to Empower Active Self-management*

PAM: Patient Activation Measure

PAR-Q: Physical Activity Readiness Questionnaire

PT: Physiotherapist

RA: Rheumatoid Arthritis

RADAI: Rheumatoid Arthritis Disease Activity Index

RCT: Randomized Controlled Trial

SRHI: Self-Reported Habit Index

Declarations

Ethical approval and consent to participate

Ethical approval for this study has been obtained from the University of British Columbia Clinical Research Ethics board (H17–03424). The study has also been published in [ClinicalTrials.gov](https://www.clinicaltrials.gov/ct2/show/study/NCT03404245) (NCT03404245). Written consent will be obtained from all participants.

Consent for publication

Not applicable

Availability of data and material

Not applicable.

Competing Interests

The authors declare that they have no competing interests.

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Author's contributions

LCL and DL conceived the study concept and design. LCL, DL, TLA, CS, HX, CLB, JE, PA, KM, RP developed the study protocol. LCL, DL, JT have contributed to ongoing data collection. Based on the funded study protocol, JT and LCL wrote the first draft of the manuscript. All listed authors read and approved the final manuscript.

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Author's information (optional)

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Figures

STUDY PERIOD					
	Enrolment	Baseline	Post-allocation		
Weeks	-1 to 0	0 (T0)	1	27 (T1)	53 (T2)
ENROLMENT:					
Eligibility	X				
Informed consent	X				
Allocation		X			
INTERVENTIONS:					
<i>Immediate Group (N= 67)</i>					
Education Session			X		
Access to OPERAS web-app and Fitbit (Weeks 1-53)			←————→		
PT Phone Calls (Weeks 2- 26)			←————→		
<i>Delayed Group (N=67)</i>					
Education Session				X	
Access to OPERAS web app and Fitbit (Weeks 27-53)			←————→		
PT Phone Calls (Weeks 29-52)			←————→		
ASSESSMENTS:					
Patient Activation Measure (PAM)		X		X	X
Rheumatoid Arthritis Diseases Activity Index (RADAI)		X		X	X
Fatigue Severity Scale (FSS)		X		X	X
McGill Pain Questionnaire (MPQ-SF)		X		X	X
Patient Health Questoinnaire-9 (PHQ-9)		X		X	X
Self-Reported Habit Index (SRHI)		X		X	X
SenseWear Mini		X		X	X

Figure 1

Standard protocol items: recommendation for interventional trials (SPIRIT) figure

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [supplement1.doc](#)