

# Distinct Effect of Body Mass Index by Sex as a Prognostic Factor in Localized Renal Cell Carcinoma Treated with Nephrectomy ~ Data from a Multi-Institutional Study in Japan ~

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## Research article

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# Abstract

**Background:** We assessed the prognostic value of body mass index (BMI) in Asian patients with localized RCC who underwent nephrectomy.

**Methods:** A total of 665 patients who underwent nephrectomy for localized RCC were enrolled in the present study and divided into the two BMI groups: i.e., BMI <25 in 463 (69.6%) and BMI  $\geq$ 25 in 202 (30.4%) patients.

**Results:** In total, there were 482 (72.5%) males and 183 (27.5%) females. Five-year cancer-specific survival (CSS) rates were significantly higher in increased BMI compared to lower BMI group (97.1 and 92.5%: P = 0.007). When stratified by sex, significantly longer CSS in higher BMI was confirmed in male (5-year CSS of 92.7% in BMI <25 and 98.1% in BMI >25, p=0.005), while there was no difference in CSS between BMI groups for female patients. Multivariate analysis exhibited that higher BMI was an independent predictor for favorable CSS in male (cox model: p=0.041, Fine & Gray regression model: p=0.014), but not in the female. Subgroup analysis for CSS revealed that favorable CSS with higher BMI was observed in patient subgroups of age <65 (p=0.019), clear cell histology (p=0.018), and tumor size >4cm, p=0.020) as well as male (p=0.020).

**Conclusion:** Our findings collected from the multi-institutional Japanese dataset demonstrated the longer survival in patients with higher BMI than lower BMI for non-metastatic RCC treated with nephrectomy. Intriguingly, this finding was restricted to males, but not to females.

## Background

Renal cell carcinoma (RCC) is the most common kidney cancer, and expected numbers in the United States account for 65,340 of new cases and 14,970 deaths in 2018 [1]. A number of risk factors of developing RCC have been reported, including smoking, hypertension, sex, and obesity [2]. Although obesity is a well-known factor of developing RCC, several studies have indicated that obese patients treated with surgery for RCC may have a more favorable prognosis [3-7]. Recently, Albiges et al. further demonstrated that a multi-center cohort involving 1,975 patients from the International Metastatic Renal Cell Carcinoma Database Consortium (IMDC) and an external validation cohort of 4,657 patients revealed an improved survival in patients with higher body mass index (BMI) treated with molecular targeted agents for metastatic RCC [8]. However, whether these findings from the Caucasian population consistently can be applied in all races/ethnicity is still unknown. For example, a recent study suggested that RCC in Hispanic Americans and Native Americans have different clinical characteristics compared with European American patients [9, 10]. With regard to the Asian patients, the incidence of RCC seems to be less frequent in the Asian population than Caucasian, and treatment outcomes may differ between these ethnicities suggesting that the role of prognostic factors including BMI varies between ethnicities [11, 12]. In addition, several recent studies indicated that sex might affect the prognostic value of BMI in RCC [13, 14]. We previously reported the value of BMI as a prognostic factor in RCC treated with

nephrectomy in the Asian patient cohort [15]. In the present study, we further assess the prognostic value of BMI using the multicenter-cohort dataset for the clinically localized RCC in Japanese patients who underwent nephrectomy with curative intent.

## Methods

Between 1987 and 2017, 760 RCC patients underwent either radical or partial nephrectomy in our multi-center cohort, of which clinicopathological data in 665 localized RCC patients with pT1-4 tumors without nodal and distant metastases at surgery were collected. Data were collected from two leading hospitals, i.e., Tokyo Medical University (349 patients: located in Shinjuku-ku, Tokyo) and Osaka Medical College with two affiliated hospitals (316 patients: Osaka Medical College located in Takatsuki city, Saiseikai-Nakatsu Private Hospital located in Osaka city, and Hirakata Municipal Hospital located in Hirakata city, Osaka). Patients who did not undergo nephrectomy or had any missing clinicopathological/laboratory information were excluded from the study. The study design was approved in the institutional review board (IRB approval number: RIN-750-2571) and performed in accordance with the ethical standards of the World Medical Association Declaration of Helsinki [16].

The clinical stage in each patient was evaluated by computed tomography (CT), magnetic resonance imaging (MRI), ultrasound, and chest-X ray, and other patient information including performance status (Eastern Cooperative Oncology Group, ECOG-PS), BMI was preoperatively recorded within one month before surgery. BMI was calculated as the patient's weight at admission (in kilograms) divided by the patient's height squared (in meters) and categorized based on WHO recommendations for Asians [17]. Pathological review, including Fuhrman nuclear grade [18] was examined in all patients as well as the 7th TNM classification of the UICC and AJCC guidelines of renal tumors. After discharge, follow up CT and Chest X-rays were performed to detect any findings suspected to disease progression every three months in the first year. Thereafter, patients were followed up every six months. Overall survival (OS) and cancer-specific survival (CSS) after nephrectomy were evaluated in all 665 patients. Cancer-specific mortality was defined as death from RCC, not including other cancers. The record of the event was captured from the patient summary at each institute. Follow-up was calculated from the day of surgery to the day of death or the last visit. Recurrence-free survival (RFS) was calculated from the date of surgery to the date of disease recurrence or metastasis or the last follow-up in localized RCC patients.

The distribution of each factor was assessed by a contingency table with a Chi-square analysis. Kolmogorov-Smirnov normality was examined to check normal distribution in continuous variables followed by conducting a student's t-test, or one-way ANOVA was examined to assess the difference between the variables. For variables with non-normal distribution, Wilcoxon or Kruskal-Wallis test was performed to assess the difference. A Kaplan-Meier analysis was carried out to estimate the survival free ratio, and a log-rank test was performed to compare the difference between assigned patient groups. On multivariate analysis, Cox proportional-hazard regression models and Fine & Gray regression model [19] were utilized. In the Fine & Gray regression model, the strength of the prognostic correlation between variables and cancer-specific mortality was assessed using the sub-hazard ratio that is the hazard ratio

associated with the cumulative incidence function (CIF). In all statistical analyses, a 2-sided p value of <0.05 was considered significant. All analyses were performed using JMP® 13 (SAS Institute Inc., Cary, NC, USA) and the R 4.0.2 software package.

## Results

Table 1 summarizes the clinical and pathologic characteristics of 665 patients according to BMI subgroups (< 25 kg/m<sup>2</sup> and ≥ 25 kg/m<sup>2</sup>). There were 482 (72.5%) and 183 (27.5%) in male and female, respectively. Mean age in all patients was 62.2 ± 12.0 years (range: 21–91). The median follow-up time was 78.0 and 52 months for patients who survived (n=561) and deceased (n=104) during follow-up, respectively. Of the patients who deceased during follow-up, 62 (9.3%) patients died of RCC, 42 (6.3%) had died of other causes. During follow-up, 126 (18.9%) patients developed disease recurrence. The ECOG performance status was 0 in 612 patients (92.0%), 1 in 37 (5.6%), 2 in 13 (2.0%) and > 3 in 3 (0.4%). The histologic subtype of RCC was clear cell in 560 patients (84.2%), papillary in 73 (11.0%), chromophobe in 11 (1.7%) and others in 21 (3.1%). Pathological stage included pT1 in 520 patients (78.2%), pT2 in 61 (9.2%), pT3 in 80 (12.0%), pT4 in 4 (0.6%). Median tumor size was 4 cm (range: 0.9–18). The mean BMI (± SD) was 23.6 ± 3.2 kg/m<sup>2</sup> (range: 13-39.8) in the total cohort. There were 463 (69.6%) and 202 (30.4%) patients with BMI of <25 kg/m<sup>2</sup> and ≥25 kg/m<sup>2</sup>, respectively. No significant difference in the distribution of patient characteristics was seen between BMI groups in sex, histological subtypes, pathological stage and tumor size, whereas but there was a significant difference in age (<65 vs ≥65, p=0.015) and ECOG-PS (0 vs ≥1, p=0.012) between BMI groups.

Kaplan-Meier curves showed significantly longer OS in patients with higher BMI, in which the 5-year OS rates in BMI <25 kg/m<sup>2</sup> and ≥25 kg/m<sup>2</sup> groups were 87.3% and 92.6%, respectively (P = 0.021) (Figure 1). We also assessed CSS. As expected, the 5-year CSS and RFS rate was more favorable in higher BMI (97.1 and 91.1%) compared to lower BMI group (92.5 and 82.7%) (P = 0.007 for CSS, and p=0.019 for RFS), suggesting the prognostic value of BMI in patients with RCC treated with nephrectomy. Of note, when stratified by sex as shown in Figure 2, significantly longer CSS in higher BMI was confirmed in male (5-year CSS of 92.7% in BMI <25 and 98.1% in BMI ≥25, p=0.005), while there was no difference in CSS between BMI groups for female patients (5-year CSS of 91.9% in BMI <25 and 93.7% in BMI ≥25, p=0.738). Longer RFS in higher BMI group was also observed in male patients (5-year RFS of 82.1% in BMI <25 and 92.4% in BMI ≥25, p=0.009), but not in female (5-year RFS of 84.2% in BMI <25 and 86.9% in BMI ≥25, p=0.954).

To further interrogate the prognostic value of putative variables affecting CSS including BMI, we conducted multivariate analyses using the Cox regression model as well as the Fine & Gray regression model that offers sub-hazard ratio (SHR) by weighing the competing risk of death with other cause (Table 2). Increased BMI was as an independent prognostic factor of longer CSS in both cox regression (HR: 0.48, 95%CI: 0.24 - 0.98, p=0.045) and Fine & Gray regression model (SHR: 0.3, 95%CI: 0.11 - 0.85, p=0.023). Next, to assess whether the prognostic value of BMI is associated with sex, we separately examined the regression model analyses to predict CSS according to sex (Table 3). Multivariate analysis

revealed that BMI still remains as an independent predictor for CSS in male (cox model; HR: 0.37, 95%CI: 0.14 - 0.96,  $p=0.041$ , Fine & Gray model; HR: 0.2, 95%CI: 0.06 - 0.72,  $p=0.014$ ), but not in female (cox model;  $p=0.65$ , Fine & Gray model;  $p=0.518$ ). Finally, we conducted subgroup analysis for cancer-specific mortality according to BMI in 665 localized RCC patients (Figure 3), which revealed that favorable CSS with higher BMI was observed in patient subgroups of age <65 (HR: 0.32, 95%CI: 0.13-0.83,  $p=0.019$ ), ccRCC (HR: 0.12, 95%CI: 0.04-0.75,  $p=0.018$ ), and tumor size >4cm (HR: 0.41, 95%CI: 0.19-0.87,  $p=0.020$ ) as well as male (HR: 0.41, 95%CI: 0.19-0.87,  $p=0.020$ ). Since the majority of RCC was diagnosed with clear cell RCC (ccRCC: 560/665 patients) that is found to have a worse prognosis compared to other histological subtypes [20], we performed a multivariate analysis in 560 ccRCC patients (Table 4). Increased BMI seemed to be an independent predictor for favorable CSS in male (cox model; HR: 0.39, 95%CI: 0.15 – 1.04,  $p=0.059$ , Fine & Gray model; HR: 0.22, 95%CI: 0.06 - 0.80,  $p=0.022$ ), but not in female (cox model;  $p=0.398$ , Fine & Gray model;  $p=0.527$ ).

## Discussion

Obesity has been recognized as a risk factor for various diseases. To date, a number of epidemiological and clinical studies have suggested that obesity is a significant risk factor for developing RCC. Renehan et al. reported a systematic review of 221 databases to uncover the association between obesity and the occurrence of cancer [2]. They demonstrated that a 5 kg/m<sup>2</sup> increase in BMI was strongly associated with the risk of RCC in both men (HR: 1.24,  $p < 0.0001$ ) and women (HR: 1.34,  $p < 0.0001$ ). Intriguingly, there have also been several studies that showed a favorable clinical outcome in RCC patients with increased BMI compared to decreased BMI, which is known as the "obesity paradox", namely higher incidence and improved clinical outcome of RCC in higher BMI population [3, 6, 21]. In 1991, Yu et al. firstly investigated the prognosis of 360 RCC patients at 29 hospitals in Oklahoma between 1981 and 1987, and the disease-free survival and OS were significantly longer in patients who were obese than in non-obese patients [21]. Thereafter, the finding of improved clinical outcome in higher BMI patients for RCC have been supported in considerable data from retrospective studies. In 2016, Donin and colleagues showed the data from a prospective randomized trial reporting an association between obesity and improved overall survival for clear cell RCC [22]. These data were further supported in metastatic RCC in the recent large cohort study, which concludes that higher BMI is a prognostic factor for improved survival and progression-free survival in patients with metastatic RCC treated with targeted therapy [8]. However, these findings were mainly derived from the Caucasian population, which raises the question that BMI can also be applied in all races/ethnicity. For example, the report from Donin *et al.* stratified BMI into <25, 25-29.9, 30.0-34.9, and  $\geq 35$  [22]. Compared with patients with BMI <30, patients with a BMI  $\geq 30$  had significantly improved OS in their prospective study. Of note, if we stratified our cohort according to BMI referring to their definition, there were 463 (69.6%), 169 (25.4%), 31 (4.7%), and 2 (0.3%) patients with BMI <25, 25-29.9, 30.0-34.9, and >35 (namely, 95% patients assigned to BMI <30). This is in line with the report from Matsuzawa et al., in which BMI  $\geq 30$  is approximately 2-3% in the Japanese population, in contrast to 10-20% in Europe and the United States [23]. Another Japanese study by Hozawa et al. assessed the association between BMI and all-cause death in Japan using thirteen epidemiology-cohorts, and they reported that all-cause

mortality risk was lowest in BMI of 22.0-24.9 [24]. In fact, the Yoden index for the optimal cutoff to best predict cancer-specific mortality in our cohort of 665 localized RCC patients was 23.9 of BMI that offers 0.7 in sensitivity and 0.54 in 1-specificity. Therefore, given the different BMI distribution in Japanese from Caucasian, we defined a cutoff point of 25 for BMI in the present study. In the Asian population, reports from Korean cohort studies consistently demonstrated the improved clinical outcomes in higher BMI patients [6, 25, 26]. In Japanese RCC patients, several articles interrogating the prognostic value of BMI have been reported, all of which were conducted as a single-institute cohort study [14, 15, 27]. In the current study, we conducted a multi-institutional cohort study for localized RCC patients treated with radical or partial nephrectomy. Consistent with previous studies, increased BMI was significantly correlated with improved clinical outcomes compared to decreased BMI and remained an independent predictor for longer CSS in patients with non-metastatic RCC treated with nephrectomy. Our data also support the hypothesis that the prognostic value of BMI is male-specific, as suggested by Byun et al. [13]. In their study, male patients had a higher BMI ratio than female patients ( $P = 0.03$ ), whereas, in the present study, there was no significant difference in the distribution between BMI groups and sex, which allowed us to assess the crude effect of BMI on prognosis according to sex difference. Furthermore, our subgroup analysis for CSS according to BMI (Figure 3) suggests that favorable CSS with higher BMI is more likely to be observed in patient subgroups of age <65, ccRCC, and tumor size >4cm as well as being male.

Although several studies have sought to elucidate the biological underpinnings, a mechanism by which obesity may improve clinical outcomes in RCC still remains unclear. Adipose tissue produces a variety of inflammatory factors, including leptin, adiponectin, and cytokines. Of them, leptin has been shown to upregulate the expression of phosphorylated-STAT3 (signal transducers and activators of transcription 3), phosphorylated-ERK (extracellular signal-regulated kinase), and AP-1 (transcript activator protein 1), which might confer the proliferative effect on tumor cells [28]. On the other hand, there was a conflicting study showing that serum leptin level was positively correlated with BMI and inversely related to tumor stage and grade [29]. Given the multiple roles of leptin in chronic inflammation and autoimmunity [30], further experiments are required to answer the question. Ito and colleagues recently assessed the impact of BMI, serum adiponectin level, total adiponectin secretion from perinephric adipose tissue, and intratumor expression of adiponectin receptors in RCC [31]. In their study, secreted adiponectin levels in perinephric adipose tissue and intratumor adiponectin receptors (AdipoR1/R2) expression were not correlated with RCC aggressiveness or survival, whereas decreased BMI and increased serum adiponectin level was significantly associated with poor overall survival in patients with non-metastatic RCC, which might offer new molecular insight of 'obese paradox'. Finally, The Cancer Genome Atlas (TCGA) data set revealed the downregulation of fatty acid synthase (FASN) in obese RCC patients by transcriptome analysis without specific DNA alternation [32]. They demonstrated that increased FASN mRNA expression level was associated with lower BMI and shorter OS. Furthermore, in the IMDC biospecimen cohort, FASN immunohistochemistry positivity was significantly more detected in IMDC poor (48%) and intermediate (34%) risk groups than in the favorable risk group (17%), indicating the potential role of FASN regulating lipid homeostasis in RCC [8].

The present study had some limitations. Firstly, the patient selection was biased as the cohort in the study was retrospectively designed. Secondly, we could not assess potential prognostic factors, such as smoking, molecular markers, and peripheral blood measurement at surgery [33-35]. In particular, smoking is a well-accepted risk factor for RCC development regardless of sex [36]. In addition, the prognosis for RCC patients with current or former smoking history appears to be poorer than never smoker [37] [38]. Thus, it is plausible that smoking status is a significant confounder when assessing the prognostic value of BMI stratifying with male and female. Unfortunately, our cohort dataset does not have a record of smoking status. Nevertheless, our findings collected from multi-institutional Japanese data sets further confirmed the improved survival in patients with higher BMI compared to lower BMI for non-metastatic RCC treated with nephrectomy, and intriguingly, this finding was restricted to male, but not to female. Furthermore, given that other subgroups such as younger (age <65) and/or tumor size >4cm are privileged to have a favorable effect on clinical survival with higher BMI, these findings potentially help physicians for decision making such as operation approach (total or partial nephrectomy). Further research is warranted to unveil the biological mechanisms responsible for the benefit of high BMI on improved RCC survival in males.

## Conclusion

Our findings collected from the multi-institutional Japanese dataset demonstrated the longer survival in patients with higher BMI than lower BMI for non-metastatic RCC treated with nephrectomy. Intriguingly, this finding was restricted to males, but not to females.

## Abbreviations

**BMI:** body mass index

**RCC:** Renal Cell Carcinoma

**CSS:** cancer-specific survival

**IMDC:** International Metastatic Renal Cell Carcinoma Database Consortium

**CT:** computed tomography

**MRI:** magnetic resonance imaging

**ECOG-PS:** Eastern Cooperative Oncology Group performance status

**WHO:** World Health Organization

**OS:** Overall survival

**RFS:** Recurrence-free survival

**CIF:** cumulative incidence function

**SHR:** sub-hazard ratio

**STAT3:** signal transducers and activators of transcription 3

**ERK:** extracellular signal-regulated kinase

**AP-1:** transcript activator protein 1

**AdipoR:** adiponectin receptors

**TCGA:** The Cancer Genome Atlas

**FASN:** fatty acid synthase

## **Declarations**

### **Ethics approval and consent to participate**

The study design was approved in the institutional review board (IRB approval number: RIN-750-2571) and performed in accordance with the ethical standards of the World Medical Association Declaration of Helsinki.

### **Consent for publication**

Not applicable.

### **Availability of data and materials**

The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

### **Competing interests**

The authors declare that they have no competing interests.

### **Funding**

Not applicable.

### **Authors' contributions**

KK, YO and HA designed the study. TT, TH, RM, NS, TT, KM, KT and TT acquired data. TT, KK, TM, TT and YY performed the statistical analysis. KK, HN, KT and TI interpreted data. TT, KK, HU and NI wrote manuscript. All authors have read and approved the manuscript.

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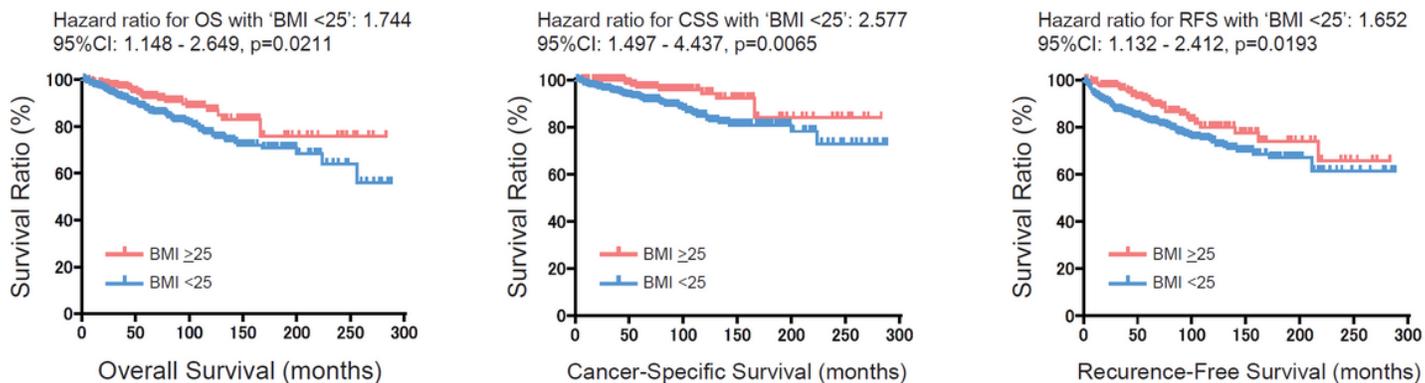
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## Tables

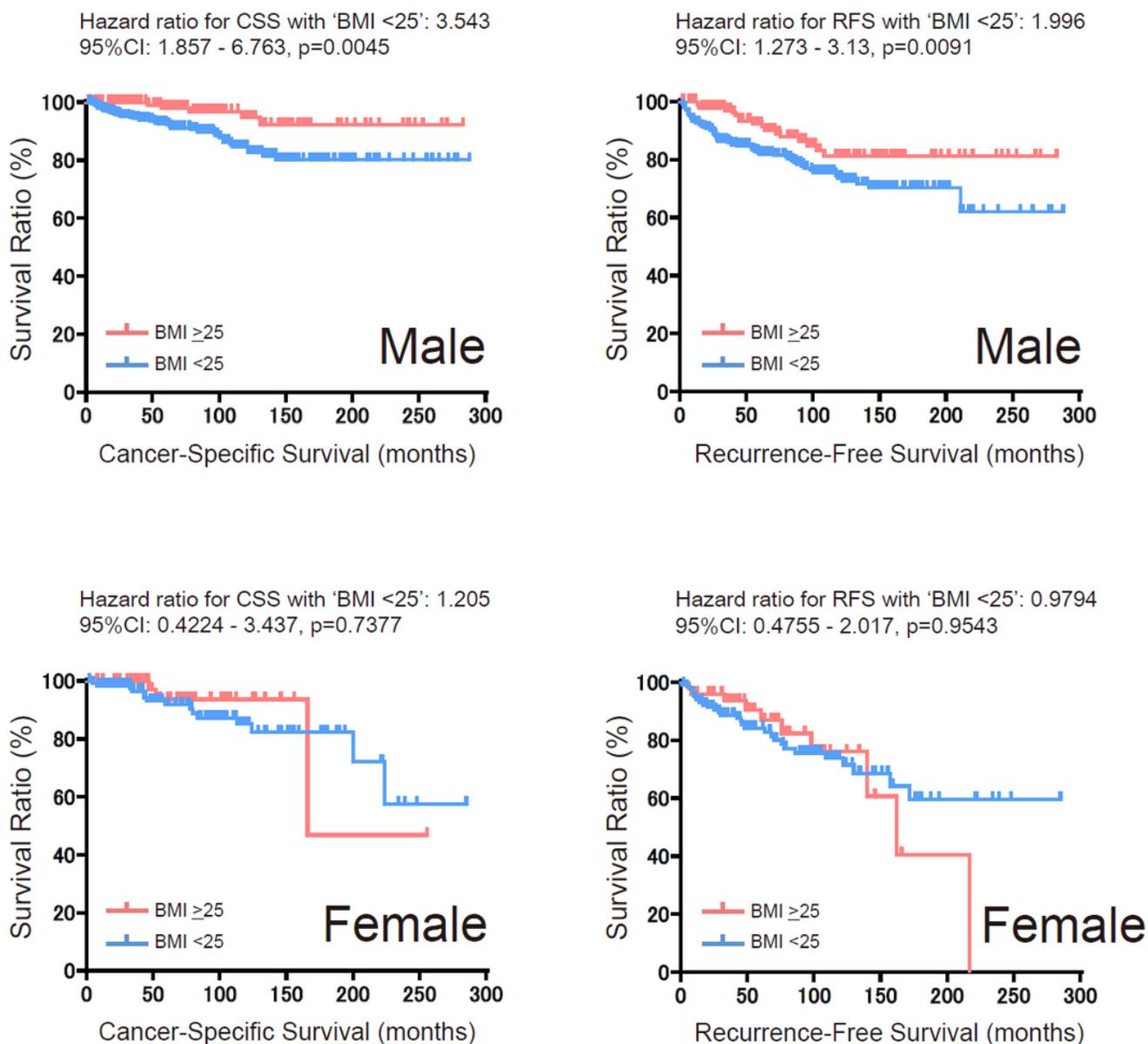
Due to technical limitations, the tables are provided in the Supplementary Files section.

## Figures



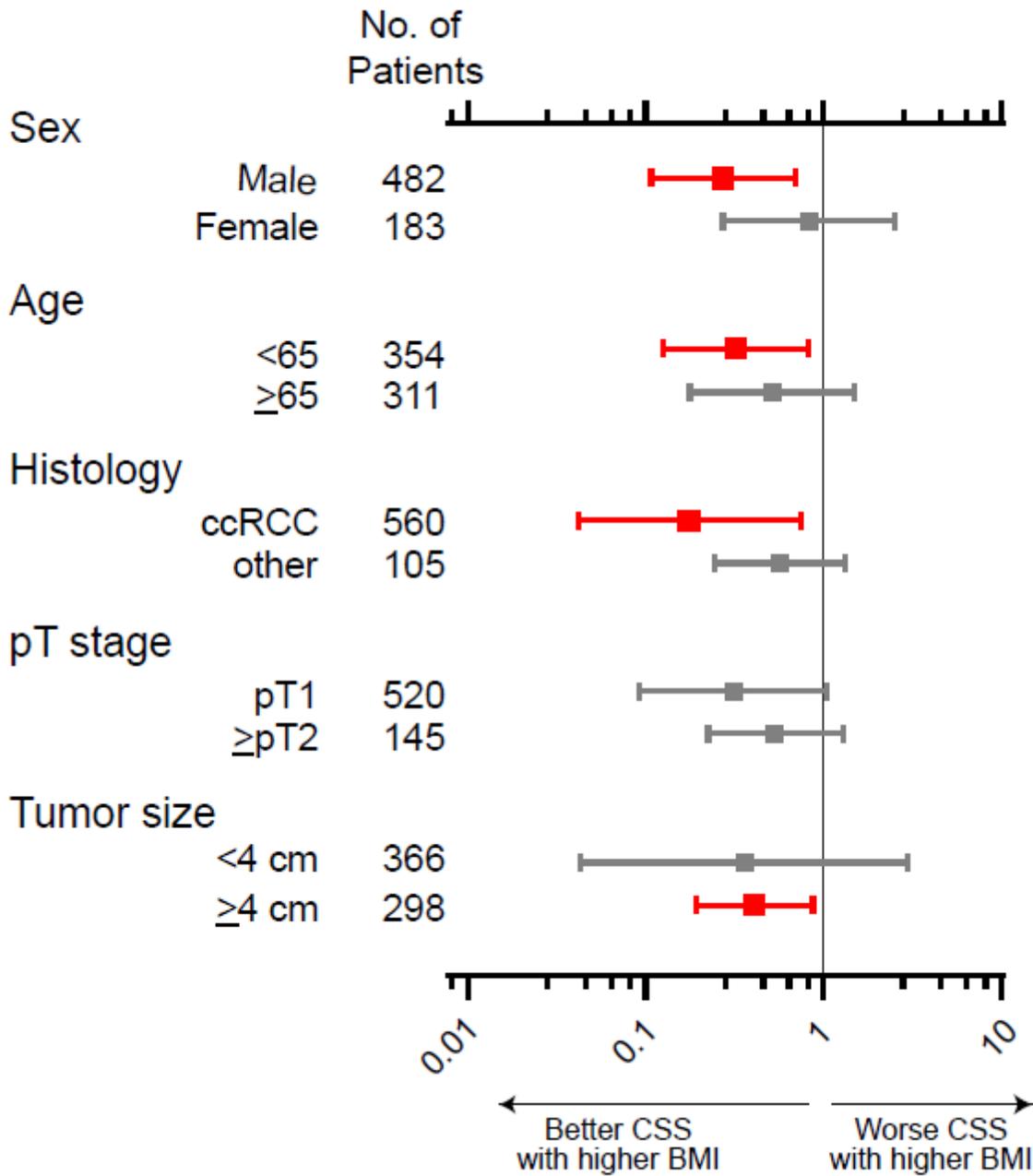
**Figure 1**

Kaplan-Meier curves of OS, CSS, and RFS in 655 localized RCC patients according to BMI subgroups.



**Figure 2**

Kaplan-Meier curves of CSS and RFS in localized RCC patients according to BMI subgroups with the stratification by sex.



**Figure 3**

The forest plots of subgroup analysis of CSS according to BMI in 665 localized RCC patients.

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Table1.pdf](#)
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