

Effect of straw retention on carbon footprint under different cropping sequences in Northeast China

Qiulai Song (✉ sql142913@163.com)

Heilongjiang Academy of Agricultural Sciences

Jie Zhu

Beijing Chalk Blue Sky Technology Co.,Ltd

Zhenping Gong

Northeast Agricultural University

Yanjiang Feng

Heilongjiang Academy of Agricultural Sciences

Qi Wang

Heilongjiang Academy of Agricultural Sciences

Yu Sun

Heilongjiang Academy of Agricultural Sciences

Xiannan Zeng

Heilongjiang Academy of Agricultural Sciences

Yongcai Lai

Heilongjiang Academy of Agricultural Sciences

Research Article

Keywords: straw retention, continuous corn, corn-soybean rotation, carbon footprint, forming factors, carbon balance

Posted Date: March 29th, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-269237/v1>

License:  This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

Effect of straw retention on carbon footprint under different cropping sequences in Northeast China

Qiulai Song^{1,2}, Jie Zhu³, Zhenping Gong^{4*}, Yanjiang Feng¹, Qi Wang¹, Yu Sun¹, Xiannan Zeng¹,

Yongcai Lai¹

1. Institute of Crop Cultivation and Tillage, Heilongjiang Academy of Agricultural Sciences, Harbin, Heilongjiang 150086, China

2. Key Laboratory for Combining Farming and Animal Husbandry, Ministry of Agriculture and Rural Affairs, Harbin, Heilongjiang 150086, China

3. Beijing Chalk Blue Sky Technology Co., Ltd, Beijing 100102, China

4. College of Agriculture, Northeast Agricultural University, Harbin 150030, China

*Corresponding author: Zhenping Gong, E-mail address: gzpyx2004@163.com

Abstract

Inappropriate farm management practices can lead to increased agricultural inputs and changes in atmospheric greenhouse gas (GHG) emissions, impacting climate change. This study assessed the potential of straw retention to mitigate the negative environmental impact of different cropping systems on the Songnen Plain using the life cycle assessment (LCA) method combined with field survey data. Straw retention (STR) and straw removal (STM) treatments were established in continuous corn (CC) and corn-soybean rotation (CS) systems in a split-plot experiment. The effects of straw retention on the carbon footprint (CF) of cropland under different cropping systems were compared. The CF under CC was 2434.0–2706.9 kg CO₂ ha⁻¹ yr⁻¹, 49.3%–57.3% higher than that under CS. Nitrogen fertilizer produced the most CO₂, accounting for 66.2%–80.4% of the CF. The carbon balances of the CC and CS systems with STR were positive, with annual carbon sequestrations of 9632.5 and 2715.9 kg CO₂ ha⁻¹

23 yr⁻¹, respectively. The carbon balances of the CC and CS systems with STM was negative, with annual
24 carbon sequestrations of -3589.2 and -3006.2 kg CO₂ ha⁻¹ yr⁻¹, respectively. This study demonstrates that
25 STR under CC cultivation is an environmentally friendly practice for agricultural production, can help
26 achieve high-yield and low-carbon production in rainfed cropland, and can support the sustainable
27 development of grain production in Northeast China.

28 **Keywords:** straw retention; continuous corn; corn-soybean rotation; carbon footprint; forming factors ;
29 carbon balance.

30 **Declarations**

31 Ethics approval and consent to participate

32 Not applicable

33 Consent for publication

34 Not applicable

35 Availability of data and materials

36 The datasets used and/or analysed during the current study are available from the corresponding author
37 on reasonable request.

38 All data generated or analysed during this study are included in this published article [and its
39 supplementary information files.

40 Competing interests

41 The authors declare that they have no competing interests.

42 Funding

43 This research was supported by the National Natural Science Foundation of China (No. 31901473) and
44 the Agricultural Science and Technology Innovation Leaping Project of Heilongjiang Academy of
45 Agricultural Sciences in China (No. HNK2019CX12).

46 Authors' contributions

47 QS set the goal of the study, analyzed the data related to the carbon footprint, and was a major contributor
48 in writing the manuscript. JZ performed the gas collection in the field. ZG guided the entire study, and
49 was a major contributor in writing the manuscript. YF conducted the literature retrieval work. QW
50 analyzed the data of carbon balance. YS analyzed and explained the carbon emission data of diesel fuel.
51 XZ analyzed and explained the indirect carbon emission data of fertilizer. YL analyzed and explained the

52 data related to the carbon footprint. All authors read and approved the final manuscript.

53 1. Introduction

54 Greenhouse gas (GHG) emissions are the most critical factors influencing global climate change,
55 and climate change poses a serious threat to the natural environment and human economic development
56 (IPCC 2013). Agricultural ecosystems are a primary source of GHGs released by human activity
57 (Duxbury 1994; Linqvist et al. 2012). Different management practices in cropland affect the
58 mineralization of soil organic matter, changing carbon emissions. Moreover, different inputs of chemical
59 fertilizers, human activities, and fuels create variation in carbon emissions from agricultural inputs under
60 different management practices, indirectly influencing the energy consumption and carbon cycling of the
61 system (Li et al. 2002; Lal 2004; Larsen and Hertwich 2011; Wang et al. 2015a; Zhang et al. 2015; Meier
62 et al. 2020). The carbon footprint (CF), the impact of carbon emissions on the global environment, is an
63 assessment of direct or indirect CO₂ emissions caused by particular activities or accumulated during the
64 life cycles of particular products (Peters 2010; Duan et al. 2011; Adewale et al. 2019). Many factors are
65 involved in the CF associated with field crop production, including not only CO₂ emissions from
66 cropland soils and crops themselves but also diesel directly used by agricultural machinery during
67 agricultural production, electricity consumed by irrigation, and indirect CO₂ emissions caused by the
68 production and transportation of chemical fertilizers, pesticides, and seeds (Liu et al. 2016; Lal et al.
69 2019).

70 The CF is affected by many factors, such as regional conditions, agricultural production systems,
71 and crop type (Günther et al. 2017; Houshyar and Grundmann 2017; Yadav et al. 2017; Liu et al. 2018;
72 Xue et al. 2018). To quantify the CFs of different agricultural production systems around the world,
73 many studies of regional agricultural CF, crop CF, and food CF have been conducted (Hillier et al. 2009a;
74 Nelson et al. 2009; Wang et al. 2015b). Previous studies have quantified the CFs of different crops and

75 patterns of variation in different regions (Hillier et al. 2009b; Rööös et al. 2010; Clay et al. 2012; Gan et
76 al. 2014; Wang et al. 2015a; Wang et al. 2016; Günther et al. 2017; Houshyar and Grundmann 2017;
77 Pishgar-Komleh et al. 2017; Yadav et al. 2017; Heusala et al. 2020), providing a basis for reducing
78 carbon emissions in agricultural production processes. Other studies focus on technologies and
79 approaches for reducing the CF associated with crop production, with the goal of mitigating the
80 contribution of agricultural production systems to global climate change. The CF of crop production can
81 be reduced by changing management methods and implementing low-carbon technologies, such as
82 conservation tillage, optimized irrigation, and fertilizer application (Zhang et al. 2016; Yadav et al. 2018).
83 Wang et al. (2020) assessed the CFs of four different cropping systems: cotton monoculture (CM), winter
84 wheat intercropped with cotton (WIC), wheat cropping followed by transplanted cotton (WTC), and
85 direct-seeded cotton after winter wheat harvest. The results indicated that CM was the best cropping
86 system in low-fertility plots, whereas WIC was the cropping system with the lowest CF in high-fertility
87 plots due to low inputs of fertilizer, labor, and diesel. Therefore, appropriate improvements in cropping
88 patterns and farming practices can reduce the CFs of crop production (Wang et al. 2020). STR also has
89 an important influence on CF. Lal et al. (2019) demonstrated that STR increased CFs by approximately
90 10%. Li et al. (2020) further pointed out that CF is strongly affected by the amount of straw used, and
91 when compared with no STR treatments, CF did not increase until field application of one-third of the
92 STR, then increased as straw application was further increased. Bai et al. (2021), under the same natural
93 conditions in semiarid areas of Northwest China, showed that STR increased greenhouse gas emissions,
94 but due to the strong acceleration of SOC accumulation, CF decreased by 44.5-55.4%. Therefore, the
95 effects of STR on CF observed by different researchers in different regions are inconsistent. These studies
96 have systematically elucidated the impact of changes in cropping systems on CFs as well as the response

97 of soil carbon emissions and CF to farming practices, including STR. However, little has been reported
98 on the impact of the combined effects of cropping system changes and STR on CF.

99 The Songnen Plain in Northeast China is a major grain-producing area. This plains region is located
100 in Heilongjiang and Jilin Provinces. Rainfed cropland in this region is mainly planted with corn and
101 soybean. The cropping system involves one harvest per year, and the major cropping patterns are
102 continuous corn (CC) and corn-soybean rotation (CS). In recent years, the Chinese government has
103 completely prohibited burning crop straw in the field and has vigorously promoted straw return
104 technology. The area of crop straw return has increased year by year in the Songnen Plain. However,
105 there is no systematic study of the effects of STR on CF under two cropping patterns (CC and CS) on the
106 Songnen Plain. We hypothesized that CFs are jointly influenced by changes in cropping patterns (CC
107 and CS) and straw use patterns (STR and STM). Our objective was to evaluate the impact of STR on
108 CFs using life cycle assessment (LCA) under two cropping patterns (CC and CS) on the Songnen Plain
109 through direct measurement of soil carbon emissions and indirect emission inventories.

110 2 Materials and methods

111 2.1 Experimental site

112 The field experiment was conducted at the Xiangfang Experimental Practice Base of Northeast
113 Agricultural University. During the experimental period, the total annual rainfall was 485 mm (2013)
114 and 454 mm (2014). This study began in 2012, and data were collected from 2013 to 2014. The cropping
115 patterns at the experimental site were mainly CC and CS. The basic fertility of the experimental soil was
116 as follows: organic matter: 30.71 g kg⁻¹; total nitrogen: 1.48 g kg⁻¹; total phosphorus: 0.40 g kg⁻¹; total
117 potassium: 16.28 g kg⁻¹; NO₃⁻-N: 78.79 mg kg⁻¹; NH₄⁺-N: 26.04 mg kg⁻¹; available potassium: 187.00
118 mg kg⁻¹; available phosphorus: 23.63 mg kg⁻¹.

119 2.2 Experimental design

120 A two-factor split-plot design was used in this study. The main plot factor was cropping pattern
121 (continuous corn cropping vs. corn-soybean rotation), and the sub-plot factor was straw management
122 (straw retention vs. straw removal). There were four treatments: continuous corn cropping with straw
123 retention (CC-STR), continuous corn cropping with straw removal (CC-STM), corn-soybean rotation
124 with straw retention (CS-STR), and corn-soybean rotation with straw removal (CS-STM). Each treatment
125 had three replicates for a total of 12 plots, with 780 m² per plot. For the CC-STR treatment, after the
126 previous corn crop was harvested mechanically, the crop straw was pulverized using a straw return
127 machine producing a straw length of ≤ 10 cm, with deep loosening and stubble removal from the ridge
128 body, a 25 cm loosening depth, and a 32 cm wide ridge top, with corn sown the following spring. For
129 the CC-STM treatment, residual straw was removed after harvest. The field was plowed in autumn
130 (depth=25 cm), and a rotary cultivation ridger was used to break the upturned soil and simultaneously
131 build the ridges, with corn sown the following spring. For the CS-STR treatment, straw and soil
132 preparation were the same as for the CC-STR treatment, with soybean sown the following spring. For
133 the CS-STM treatment, residual straw was removed after harvest. The field was plowed in autumn
134 (depth=25 cm), and a rotary tillage ridger was used to break the upturned soil and simultaneously build
135 the ridges, with soybean sown the following spring. In all four treatments, the ridge spacing was 70 cm.
136 During the crop seedling stage, the soil was cultivated with medium tillage. During the two-year
137 experimental period, the same crop cultivar, fertilization, and weeding schemes were used. The
138 Dongnong 253 corn (*Zea mays* L.) cultivar was sown mechanically on May 2 and harvested on October
139 6, with a mean density of 65,000 plants kg ha⁻¹. The specific rates of fertilizer application for corn were
140 as follows: urea (46% N), 300 kg ha⁻¹ (75 kg ha⁻¹ sowing and 225 kg ha⁻¹ topdressing); diammonium

141 hydrogen phosphate (18% N and 46% P₂O₅), 150 kg ha⁻¹; and potassium sulfate (30% K₂O), 75 kg ha⁻¹.
142 The Kenfeng 16 soybean (*Glycine max*) cultivar was mechanically sown on May 2 and harvested on
143 September 28 with a seeding rate of 43.66 kg ha⁻¹ and a mean density of 269,500 plants kg ha⁻¹. The rates
144 of fertilizer application for soybean were as follows: diammonium hydrogen phosphate (18% N and 46%
145 P₂O₅), 150 kg ha⁻¹, and potassium sulfate (30% K₂O), 75 kg ha⁻¹. For chemical weeding, 96%
146 emulsifiable concentrate of Dual Gold mixed with 72% emulsifiable concentrate of 2,4-D butyl ester was
147 applied for closed weed control a week after sowing of corn and soybean, with a dosage of 975 ml ha⁻¹
148 and 1125 ml ha⁻¹, respectively. In addition, 55% Gengjie was sprayed at the four-to-five leaf stage of
149 corn with a dosage of 1575 ml ha⁻¹, and 36% fomesafen-quizalofop-p-ethyl-clomazone was sprayed on
150 soybean plants after development of one to three compound leaves, with a dosage of 1650 ml ha⁻¹.

151 2.3 Calculation of cropland CF

152 The boundary of cropland CF was determined following the principles of LCA (Mohammadi et al.
153 2013) from soil preparation after harvest to the harvest of the current crop. The carbon flux changes of
154 the elements in the carbon cycle of the system were determined and calculated according to the CF
155 equation proposed by Liu et al. (2013); She et al. (2017); Feng et al. (2020). The CF was calculated as
156 follows:

$$157 \quad CF = GWP_{N_2O} + GWP_{input} \quad (1)$$

158 where CF is the total carbon emissions of crop production, GWP_{N₂O} is the total emissions produced
159 by synthetic nitrogen fertilizer and crop residual nitrogen (kg CO₂ ha⁻¹ yr⁻¹), and GWP_{input} is the indirect
160 GHG emissions from the production, storage, transportation, and use of agricultural inputs.

161 GWP_{N₂O} emissions was estimated based on the rates of synthetic nitrogen fertilizer and crop
162 residual nitrogen by the method determined by the IPCC (2019). The GWP_{N₂O} emissions were calculated

163 as follows:

$$164 \quad GWP_{N_2O} = GWP_{N_2O_{SNF}} + GWP_{N_2O_{CRN}} \quad (2)$$

$$165 \quad GWP_{N_2O_{SNF}} = Q_{SNF} \times [EF + (F_{volatilization} \times E_{volatilization}) + (F_{leach} \times E_{leach})] \times 44/28 \times 298 \quad (3)$$

$$166 \quad GWP_{N_2O} = Q_{CRN} \times [EF + (F_{leach} \times E_{leach})] \times 44/28 \times 298 \quad (4)$$

167 where $GWP_{N_2O_{SNF}}$ represents N₂O emissions from farmland resulting from synthetic nitrogen
168 fertilizer application (kg CO₂ ha⁻¹ yr⁻¹), $GWP_{N_2O_{CRN}}$ represents N₂O emissions from crop residual
169 nitrogen (kg CO₂ ha⁻¹ yr⁻¹), Q_{SNF} represents the amount of synthetic nitrogen fertilizer (kg N ha⁻¹ yr⁻¹),
170 Q_{CRN} represents the crop residue nitrogen (kg N ha⁻¹yr⁻¹), EF is the direct emission factor (kg N₂O-
171 N/kg N, 0.01), $F_{volatilization}$ is the volatilization rate of synthetic nitrogen fertilizer as NH₃-N and NO_x-N,
172 (15%), $E_{volatilization}$ is the emission factor for N₂O volatilized as NH₃-N and NO_x-N (0.014), F_{leach} is the
173 percent nitrogen loss via nitrate leaching and runoff in the total nitrogen input (24%), E_{leach} is the emission
174 factor for N₂O from nitrate leaching (0.011), 44/28 is the conversion factor for N₂O-N to N₂O, and 298
175 is the global warming potential of N₂O over a 100-year period (Yang et al. 2014; IPCC 2019; Wang et
176 al. 2020).

177 GWP_{input} is the CO₂ emissions from agricultural inputs during agricultural production, calculated as
178 follows:

$$179 \quad GWP_{input} = \sum_{i=1}^n AL_i \times EF_i \quad (5)$$

180 where AL_i is the *i*th input variable and EF_i is the emission factor for the *i*th input variable. The
181 emission factors were mainly derived from Liu et al. (2013) and Yang et al. (2014) (Table 1). Specifically,
182 diesel input was determined by measuring diesel fuel consumption during soil preparation, seeding,
183 intertillage, and harvesting, using a multifunction fuel consumption meter (Shuangshuo Electronics Co.,
184 Ltd., Zibo, Shandong Province, China). The measurement was performed on a row length of 100 m and

185 repeated three times. Agricultural chemical inputs were calculated as the amounts of chemical elements
186 according to the inputs recorded in Subsection 2.2, and the agricultural inputs are listed in Table 2.

187 2.4 Calculation of cropland carbon balance

188 Net biome productivity (NBP) is the change in net carbon storage of the cropland ecosystem,
189 calculated as follows (Huang et al. 2013; She et al. 2017):

$$190 \quad NBP = NPP - CR - R_s \quad (6)$$

191 where NPP is the net primary productivity, CR is the grain and straw removed with crop harvest,
192 and R_s is the heterotrophic soil microbial respiration. NPP includes carbon sequestered by crop grains,
193 straw, and roots. NPP was calculated by measuring the grain yield at harvest combined with the dry
194 weight ratio and carbon content measured in various parts of the plants. CR includes crop grains, stalks,
195 and cobs removed from the field after harvest. Under the STR treatment, only the corn and soybean
196 grains were harvested from the field, while under STM, corn grains, cobs, and stalks and soybean grains,
197 pods, and stalks were all harvested from the field. R_s was estimated from the actual field measurement
198 of total soil *in situ* respiration according to the ratio of heterotrophic respiration to total *in situ* respiration
199 for the same area as reported by (Zhu 2015) (64.9% for corn and 75.7% for soybean).

200 The total soil *in situ* respiration was measured using the static box-infrared gas analyzer method.
201 Gas samples were collected every 7 to 10 days from April 5 to November 8. Sampling boxes were made
202 of stainless steel, 50 cm long, 25 cm wide and 50 cm high. Gas samples were collected between 8:30-
203 10:30 am on sunny days. Five sampling sites were randomly selected in the treatment plots. Sampling
204 boxes were inserted between two ridges and sealed with approximately 5 cm of soil, and gas was then
205 transferred into 500 ml aluminum foil bags using a 100 ml glass syringe. The CO₂ concentration was
206 determined using a GXH-3010E1 infrared analyzer (Institute of Beijing HUAYUN Analytical

207 Instrument Co., Ltd.).

208 The CB of cropland was used to indicate the difference between CF and NBP as follows:

$$209 \quad CB = NBP - CF \quad (7)$$

210 2.5 Statistical analysis

211 The data were analyzed using descriptive statistics in Microsoft Excel 2016 (Microsoft Corp.,
212 Redmond, WA, USA) and IBM SPSS 19.0 (SPSS Inc., Chicago, IL, USA). The results included mean
213 standard deviations (SD) for three replicates. A Duncan test was used for comparison between
214 treatments ($\alpha=0.05$).

215 3 Results and analysis

216 3.1 CF of cropland under different cropping patterns

217 The CO₂ emissions estimated based on N₂O produced by nitrogen fertilizer and straw application
218 were the greatest contributor to the CF (Fig. 1). The proportion of direct N₂O emissions to total emissions:
219 CC-STR 58.2%, CC-STM 50.8%, CS-STR 54.5%, CS-STM 48.2%. STR resulted in higher N₂O
220 emissions from both CC and CS systems. The second greatest contributor was indirect CO₂ emissions
221 from the production, storage, and transportation of nitrogen fertilizer, accounting for 30.2% and 33.6%
222 of total emissions from CC and 27.7% and 29.2% of total emissions from CS. In addition, diesel
223 consumption by agricultural machinery operations from sowing to harvesting produced considerable
224 carbon emissions. In both CC and CS, carbon emissions from diesel consumption were higher under
225 STM (226.4–246.3 kg CO₂ ha⁻¹ yr⁻¹) compared with STR (164.9180.4–180.4 kg CO₂ ha⁻¹ yr⁻¹).

226 The CF of CC was higher than that of CS (Table 4). Due to the large amount of nitrogen in crop
227 straw, the CF of CC with STR (2706.9 kg CO₂ ha⁻¹ yr⁻¹) was 11.2% higher than that of CC with STM

228 (2434.0 kg CO₂ ha⁻¹ yr⁻¹) and 5.6% higher under CS.

229 3.2 Soil heterotrophic respiration under different cropping patterns

230 The total soil heterotrophic respiration of CC was similar to that of CS(Fig. 2). Total emissions
231 ranged from 5139.4 to 7492.9 kg CO₂ eq ha⁻¹ yr⁻¹ under CC and from 5072.2 to 6902.3 kg CO₂ eq ha⁻¹
232 yr⁻¹ under CS. STR significantly increased total heterotrophic respiration by 45.79% under CC and 36.08%
233 under CS compared with STM.

234 3.3 NPP under different treatments

235 Corn with higher grain yield produced more biomass and NPP than soybean, leading to differences
236 in yield, biomass, and NPP under different cropping patterns. CC produced significantly higher crop
237 yields than CS. STR significantly reduced soybean yield, while its effect on corn yield was not significant
238 compared with STM. Overall, STR resulted in a decrease in the yield, biomass, and NPP of the CS system
239 (Table 3).

240 3.4 CB of cropland under different cropping patterns

241 The NBP of the CC and CS systems with STR were 12,339.3 and 4436.4 kg CO₂ ha⁻¹ yr⁻¹,
242 respectively (Table 4). The CB of cropland was also positive, with annual carbon sequestrations of
243 9632.5 and 2715.9 kg CO₂ ha⁻¹ yr⁻¹, respectively. In contrast, the NBP of the CC and CS systems with
244 STM was negative, with values of -1155.2 and -1376.2 kg CO₂ ha⁻¹ yr⁻¹, respectively. For CO₂ produced
245 by soil N₂O and agricultural inputs, there was a strong carbon source effect, with an annual carbon release
246 of -3589.2 and -3006.2 kg CO₂ ha⁻¹ yr⁻¹, respectively. These results indicate that straw retention plays a
247 significant role in carbon sequestration under both the CC and CS systems.

248 4. Discussion

249 4.1 Variations in CF under different cropping patterns

250 Different cropping patterns alter the inputs and outputs of agricultural ecosystems, leading to
251 variations in CF (Gan et al. 2012; Yang et al. 2014; Wang et al. 2020). In our study, the CF of CC was
252 significantly greater than that of CS due to greater agricultural inputs as well as straw and nitrogen
253 fertilizer inputs in corn cultivation compared with soybean cultivation, in turn increasing carbon
254 emissions from the continuous cropping system. Similar results were reported by Yadav et al. (2018) and
255 Lal et al. (2019).

256 N₂O emissions were the largest contributor to total CF, followed by indirect N₂O emissions from
257 nitrogen fertilizer production, storage, and transportation. This result agrees with the findings of Yadav
258 et al. (2018). However, Jat et al. (2019) and Lal et al. (2019) reported that fertilizer application makes the
259 greatest contribution, followed by N₂O emissions and diesel emissions, not fully consistent with these
260 results. These contradictory results may be explained by noting that Jat et al. (2019) and Lal et al. (2019)
261 did not consider N₂O volatilization and leaching.

262 Despite differences in these studies, they all demonstrate that indirect N₂O emissions from the
263 production, storage, and transportation of nitrogen fertilizer as well as direct N₂O emissions from the
264 application of nitrogen fertilizer are the most important components of total GHG emissions from crop
265 production (Hillier et al. 2009a; Cheng et al. 2011; West et al. 2014; Wang et al. 2020). Therefore,
266 reducing nitrogen fertilizer input and adopting a sustainable application method are crucial practices to
267 mitigate agricultural GHG emissions from fertilizer application (Bacchetti et al. 2016; Feng et al. 2020).
268 It should be noted that reducing nitrogen fertilizer may affect yield and that the amount of nitrogen
269 fertilizer should be adjusted by comprehensively considering CF changes per unit of yield. In this study,

270 diesel input was the third highest contributor to the CF (6.7–13.9%). During soil preparation, minimal
271 tillage and no-tillage with a reduced number of agricultural machinery operations can reduce GHG
272 emissions (Yadav et al. 2018).

273 4.2 Carbon balance of cropland under different cropping patterns

274 Carbon sequestration and carbon emissions are two processes that coexist in agricultural
275 production. GHGs such as CO₂ and N₂O are directly or indirectly emitted into the atmosphere, while
276 plants absorb atmospheric CO₂ through photosynthesis (Soussana et al. 2007; Smith et al. 2010; Liu et
277 al. 2018; Feng et al. 2020). The CB of cropland can directly characterize changes in net carbon flow in
278 cropland systems (Feng et al. 2020). Generally, if all crop straw is returned to the farmland, it is
279 equivalent to the amount of GHG released after the straw is decomposed. Therefore, neither straw carbon
280 sequestration nor straw carbon emission is considered in general (Feng et al. 2020). However, our study
281 aimed to assess the effects of STR and STM on the CB of cropland under two different cropping patterns;
282 thus, crop straw inputs were considered. Although this approach may exaggerate the carbon sequestration
283 effect of STR, the carbon sequestration trend was clear. Huang et al. (2019) obtained CFs based on
284 changes in soil organic carbon storage in Jilin Province, showing that net carbon sequestration was
285 744.96 kg CO₂ ha⁻¹ yr⁻¹ under CC with minimal tillage and STR. In our study, following straw retention,
286 the carbon sequestered by CC was 9632.5 kg CO₂ ha⁻¹ yr⁻¹, and the carbon sequestered by CS was 2715.9
287 kg CO₂ ha⁻¹ yr⁻¹. The carbon sequestration of CC reported here is higher than that reported by Huang et
288 al. (2019), but this result may reflect the carbon sequestration effect of straw return. Due to differences
289 in study methods and boundaries, discrepancies exist in results obtained from the same region by
290 different researchers, but the data all reflect the advantage of straw retention in carbon sequestration.
291 Lemke et al. (2010) and Huang et al. (2019) reported that if there is not enough crop straw to return,

292 cropland soil will become a CO₂ source. Our study reaches a similar conclusion. Both cropping patterns
293 were a source of atmospheric CO₂ under STM.

294 4.3 Limitations and implications of this study

295 This study ignores GHG emissions from agricultural labor and agricultural machinery
296 manufacturing, transportation, maintenance, and management. From the life cycle perspective, these
297 GHG emissions are not negligible (Liu et al. 2013). If these factors are considered in CF calculations,
298 the absolute value of CF may change. This study compared the effects of planting pattern changes and
299 straw utilization on CF to determine the best planting pattern, rather than obtaining an absolute value for
300 the CFs of planting patterns. Although the calculation method employed in this paper requires
301 improvement, it can provide a basis for further research and guide low-carbon agricultural production
302 and is relevant to national carbon emission and environmental impact assessments.

303 5. Conclusions

304 When considering carbon sequestered during crop growth, the CB under two different cropping
305 patterns with STR was positive compared with STM due to enhanced soil carbon sequestration under
306 STR. Fertilizer application was the most important factor contributing to carbon emissions. Higher CF
307 was observed under CC compared with CS due to lower fertilization of soybean, regardless of straw
308 management. Therefore, STR under both CC and CS patterns is an environmentally friendly agricultural
309 practice that can achieve high yields and low carbon production in the rainfed cropland of the Songnen
310 Plain, Northeast China, and support the sustainable development of food production. These results can
311 help identify a suitable cropping pattern for corn and soybean production on the Songnen Plain with
312 improved energy use efficiency and reduced CF and production costs without compromising system

313 productivity.

314 References

- 315 Adewale C, Reganold JP, Higgins S, Evans RD, Carpenter-Boggs L (2019) Agricultural carbon
316 footprint is farm specific: Case study of two organic farms. *Journal of Cleaner Production*
317 229:795-805. <https://doi:10.1016/j.jclepro.2019.04.253>
- 318 Bacenetti J, Lovarelli D, Fiala M (2016) Mechanisation of organic fertiliser spreading, choice of
319 fertiliser and crop residue management as solutions for maize environmental impact
320 mitigation. *European Journal of Agronomy* 79:107-118. <https://doi:10.1016/j.eja.2016.05.015>
- 321 Bai J et al. (2021) Straw returning and one-time application of a mixture of controlled release and solid
322 granular urea to reduce carbon footprint of plastic film mulching spring maize. *Journal of Cleaner*
323 *Production* 280. <https://doi:10.1016/j.jclepro.2020.124478>
- 324 Cheng K et al. (2011) Carbon footprint of China's crop production—An estimation using agro-statistics
325 data over 1993–2007. *Agriculture, Ecosystems & Environment* 142:231-237.
326 <https://doi:10.1016/j.agee.2011.05.012>
- 327 Clay DE et al. (2012) Corn Yields and No-Tillage Affects Carbon Sequestration and Carbon
328 Footprints. *Agronomy Journal* 104:763-770. <https://doi:doi:10.2134/agronj2011.0353>
- 329 Duan H, Yue Z, Jianbo Z, Xinmin B (2011) Carbon Footprint Analysis of Farmland Ecosystem in
330 China. *Journal of Soil and Water Conservation* 25:203-208 (In Chinese)
- 331 Duxbury JM (1994) The significance of agricultural sources of greenhouse gases. *Fertilizer Research*
332 38:151-163
- 333 Feng Y, Zhang Y, Li S, Wang C, Yin X, Chu Q, Chen F (2020) Sustainable options for reducing carbon
334 inputs and improving the eco-efficiency of smallholder wheat-maize cropping systems in the
335 Huanghuaihai Farming Region of China. *Journal of Cleaner Production* 244:118887.
336 <https://doi:doi.org/10.1016/j.jclepro.2019.118887>
- 337 Gan Y, Liang C, Campbell CA, Zentner RP, Lemke RL, Wang H, Yang C (2012) Carbon footprint of
338 spring wheat in response to fallow frequency and soil carbon changes over 25 years on the
339 semiarid Canadian prairie. *European Journal of Agronomy* 43:175-184.
340 <https://doi:10.1016/j.eja.2012.07.004>
- 341 Gan Y, Liang C, Chai Q, Lemke RL, Campbell CA, Zentner RP (2014) Improving farming practices
342 reduces the carbon footprint of spring wheat production. *Nature Communications* 5:1-13.
343 <https://doi:10.1038/ncomms6012>
- 344 Günther J, Thevs N, Gusovius H-J, Sigmund I, Brückner T, Beckmann V, Abdusalik N (2017) Carbon
345 and phosphorus footprint of the cotton production in Xinjiang, China, in comparison to an
346 alternative fibre (*Apocynum*) from Central Asia. *Journal of Cleaner Production* 148:490-497.
347 <https://doi:10.1016/j.jclepro.2017.01.153>
- 348 Heusala H, Sinkko T, Sözer N, Hytönen E, Mogensen L, Knudsen MT (2020) Carbon footprint and
349 land use of oat and faba bean protein concentrates using a life cycle assessment approach. *Journal*
350 *of Cleaner Production* 242:118376. <https://doi:10.1016/j.jclepro.2019.118376>
- 351 Hillier J, Hawes C, Squire G, Hilton A, Wale S, Smith P (2009a) The carbon footprints of food crop
352 production. *International Journal of Agricultural Sustainability* 7:107-118.
353 <https://doi:10.3763/ijas.2009.0419>
- 354 Hillier J et al. (2009b) Greenhouse gas emissions from four bioenergy crops in England and Wales:

355 Integrating spatial estimates of yield and soil carbon balance in life cycle analyses. *Global*
356 *Change Biology Bioenergy* 1:267-281. <https://doi:10.1111/j.1757-1707.2009.01021.x>

357 Houshyar E, Grundmann P (2017) Environmental impacts of energy use in wheat tillage systems: A
358 comparative life cycle assessment (LCA) study in Iran. *Energy* 122:11-24.
359 <https://doi:10.1016/j.energy.2017.01.069>

360 Huang J et al. (2019) Carbon footprint of different agricultural systems in China estimated by different
361 evaluation metrics. *Journal of Cleaner Production* 225:939-948.
362 <https://doi:10.1016/j.jclepro.2019.04.044>

363 Huang J, Chen Y, Sui P, Gao W (2013) Estimation of net greenhouse gas balance using crop-and soil-
364 based approaches: Two case studies. *Science of the total environment* 456:299-306.
365 <https://doi:10.1016/j.scitotenv.2013.03.035>

366 IPCC (2013) Summary for Policymakers. In: *Climate Change 2013: The Physical Science Basis.*
367 *Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel*
368 *on Climate Change.* In: Stocker TF, D. Qin, G.-K. Plattner, M. Tignor, S.K. Allen, J. Boschung,
369 A. Nauels, Y. Xia, V. Bex and P.M. Midgley (ed). Cambridge University Press, Cambridge,
370 United Kingdom and New York, NY, USA,

371 IPCC (2019) 2019 Refinement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories.
372 In: Kristell Hergoualc'h HA, Martial Bernoux, Ngonidzashe Chirinda, Agustin del Prado, Åsa
373 Kasimir, James Douglas MacDonald, Stephen Michael Ogle, Kristiina Regina, Tony John van
374 der Weerden (ed) *Agriculture, Forestry and Other Land Use.* IPCC, Switzerland,

375 Jat SL et al. (2019) Energy auditing and carbon footprint under long-term conservation agriculture-
376 based intensive maize systems with diverse inorganic nitrogen management options. *Sci Total*
377 *Environ* 664:659-668. <https://doi:10.1016/j.scitotenv.2019.01.425>

378 Lal B et al. (2019) Energy and carbon budgeting of tillage for environmentally clean and resilient soil
379 health of rice-maize cropping system. *Journal of Cleaner Production* 226:815-830.
380 <https://doi:10.1016/j.jclepro.2019.04.041>

381 Lal R (2004) Carbon emission from farm operations. *Environment international* 30:981-990.
382 <https://doi:10.1016/j.envint.2004.03.005>

383 Larsen HN, Hertwich EG (2011) Analyzing the carbon footprint from public services provided by
384 counties. *Journal of Cleaner Production* 19:1975–1981. <https://doi:10.1016/j.jclepro.2011.06.014>

385 Lemke RL, VandenBygaart AJ, Campbell CA, Lafond GP, Grant B (2010) Crop residue removal and
386 fertilizer N: Effects on soil organic carbon in a long-term crop rotation experiment on a Udic
387 Boroll. *Agriculture, Ecosystems & Environment* 135:42-51.
388 <https://doi:10.1016/j.agee.2009.08.010>

389 Li L et al. (2002) Correlations between plant biomass and soil respiration in a *Leymus chinensis*
390 community in the Xilin River Basin of Inner Mongolia. *Acta botanica sinica* 44:593-597

391 Li SH, Guo LJ, Cao CG, Li CF (2020) Effects of straw returning levels on carbon footprint and net
392 ecosystem economic benefits from rice-wheat rotation in central China. *Environ Sci Pollut Res*
393 *Int.* <https://doi:10.1007/s11356-020-10914-w>

394 Linquist B, Van Groenigen KJ, Adviento-Borbe MA, Pittelkow C, Van Kessel C (2012) An agronomic
395 assessment of greenhouse gas emissions from major cereal crops. *Global Change Biology*
396 18:194-209. <https://doi:10.1111/j.1365-2486.2011.02502.x>

397 Liu C, Cutforth H, Chai Q, Gan Y (2016) Farming tactics to reduce the carbon footprint of crop
398 cultivation in semiarid areas. A review. *Agronomy for Sustainable Development* 36:2-16.

399 <https://doi:10.1007/s13593-016-0404-8>

400 Liu W, Zhang G, Wang X, Lu F, Ouyang Z (2018) Carbon footprint of main crop production in China:
401 Magnitude, spatial-temporal pattern and attribution. *Sci Total Environ* 645:1296-1308.
402 <https://doi:10.1016/j.scitotenv.2018.07.104>

403 Liu X, Xu W, Li Z, Chu Q, Yang X, Chen F (2013) The missteps, improvement and application of
404 carbon footprint methodology in farmland ecosystems with the case study of analyzing the
405 carbon efficiency of China's Intensive farming. *Chinese Journal of Agricultural Resources and
406 Regional Planning* 34:1-11(In Chinese)

407 Meier EA, Thorburn PJ, Bell LW, Harrison MT, Biggs JS (2020) Greenhouse Gas Emissions From
408 Cropping and Grazed Pastures Are Similar: A Simulation Analysis in Australia. *Frontiers in
409 Sustainable Food Systems* 3:1-18. <https://doi:10.3389/fsufs.2019.00121>

410 Mohammadi A et al. (2013) Potential greenhouse gas emission reductions in soybean farming:
411 acombined use of Life Cycle Assessment and Data Envelopment Analysis. *Journal of Cleaner
412 Production* 54:89-100. <https://doi:10.1111/j.1365-2486.2011.02502.x>

413 Nelson RG, Hellwinckel CM, Brandt CC, West TO, Dg DLTU, Marland G (2009) Energy use and
414 carbon dioxide emissions from cropland production in the United States, 1990-2004. *Journal of
415 Environmental Quality* 38:418-425. <https://doi:10.2134/jeq2008.0262>

416 Peters GP (2010) Carbon footprints and embodied carbon at multiple scales. *Current Opinion in
417 Environmental Sustainability* 2:245-250. <https://doi:10.2134/jeq2008.0262>

418 Pishgar-Komleh S, Akram A, Keyhani A, Raei M, Elshout P, Huijbregts M, Van Zelm R (2017)
419 Variability in the carbon footprint of open-field tomato production in Iran-A case study of Alborz
420 and East-Azerbaijan provinces. *Journal of Cleaner Production* 142:1510-1517.
421 <https://doi:10.1016/j.jclepro.2016.11.154>

422 Rööß E, Sundberg C, Hansson PA (2010) Uncertainties in the carbon footprint of food products: a case
423 study on table potatoes. *International Journal of Life Cycle Assessment* 15:478-488.
424 <https://doi:10.1007/s11367-010-0171-8>

425 She W et al. (2017) Integrative analysis of carbon structure and carbon sink function for major crop
426 production in China's typical agriculture regions. *Journal of Cleaner Production* 162:702-708.
427 <https://doi:10.1016/j.jclepro.2017.05.108>

428 Smith P et al. (2010) Measurements necessary for assessing the net ecosystem carbon budget of
429 croplands. *Agriculture, Ecosystems & Environment* 139:302-315.
430 <https://doi:10.1016/j.agee.2010.04.004>

431 Soussana JF et al. (2007) Full accounting of the greenhouse gas (CO₂, N₂O, CH₄) budget of nine
432 European grassland sites. *Agriculture, Ecosystems & Environment* 121:121-134.
433 <https://doi:10.1016/j.agee.2006.12.022>

434 Wang H, Yang Y, Zhang X, Tian G (2015a) Carbon Footprint Analysis for Mechanization of Maize
435 Production Based on Life Cycle Assessment: A Case Study in Jilin Province,
436 China. *Sustainability* 7:15772-15784. <https://doi:10.3390/su71115772>

437 Wang Z et al. (2020) Improving cropping systems reduces the carbon footprints of wheat-cotton
438 production under different soil fertility levels. *Archives of Agronomy and Soil Science*:1-16.
439 <https://doi:10.1080/03650340.2020.1720912>

440 Wang Z, Wang M, Chen F (2015b) Carbon Footprint Analysis of Crop Production in North China
441 Plain. *Scientia Agricultura Sinica* 48:83-92(In Chinese). [https://doi:10.3864/j.issn.0578-
442 1752.2015.01.09](https://doi:10.3864/j.issn.0578-1752.2015.01.09)

- 443 Wang Z, Zhang H, Lu X, Wang M, Chu Q, Wen X, Chen F (2016) Lowering carbon footprint of winter
444 wheat by improving management practices in North China Plain. *Journal of Cleaner Production*
445 112:149-157. <https://doi:10.1016/j.jclepro.2015.06.084>
- 446 West TO, Marland G (2002) A synthesis of carbon sequestration, carbon emissions, and net carbon flux
447 in agriculture: comparing tillage practices in the United States. *Agriculture Ecosystems &*
448 *Environment* 91:217-232.
- 449 West PC et al. (2014) Leverage points for improving global food security and the environment. *Science*
450 345:325-328. <https://doi:10.1126/science.1246067>
- 451 Xue J-F et al. (2018) Carbon footprint of dryland winter wheat under film mulching during summer-
452 fallow season and sowing method on the Loess Plateau. *Ecological Indicators* 95:12-20.
453 <https://doi:10.1016/j.ecolind.2018.07.024>
- 454 Yadav GS et al. (2018) Energy budget and carbon footprint in a no-till and mulch based rice–mustard
455 cropping system. *Journal of Cleaner Production* 191:144-157.
456 <https://doi:10.1016/j.jclepro.2018.04.173>
- 457 Yadav GS et al. (2017) Energy budgeting for designing sustainable and environmentally clean/safer
458 cropping systems for rainfed rice fallow lands in India 158:29-37.
459 <https://doi:10.1016/j.jclepro.2017.04.170>
- 460 Yang X, Gao W, Zhang M, Chen Y, Sui P (2014) Reducing agricultural carbon footprint through
461 diversified crop rotation systems in the North China Plain. *Journal of cleaner production* 76:131-
462 139. <https://doi:10.1016/j.jclepro.2014.03.063>
- 463 Zhang H, Changrong Y, Yanqing Z, Jianbo W, Wenqing H, baoqing C, Enke L (2015) Effect of no
464 tillage on carbon sequestration and carbon balance in farming ecosystem in dryland area of
465 northern China. *Transactions of the Chinese Society of Agricultural Engineering* 31:240-247(In
466 Chinese)
- 467 Zhang X et al. (2016) Tillage effects on carbon footprint and ecosystem services of climate regulation
468 in a winter wheat–summer maize cropping system of the North China Plain. *Ecological indicators*
469 67:821-829. <https://doi:10.1016/j.ecolind.2016.03.046>
- 470 Zhu J (2015) Studies on CO₂ emission and Carbon footprint of farmland in Song-nen Plain. Master
471 Degree, Northeast Agricultural University(In Chinese)

Figures

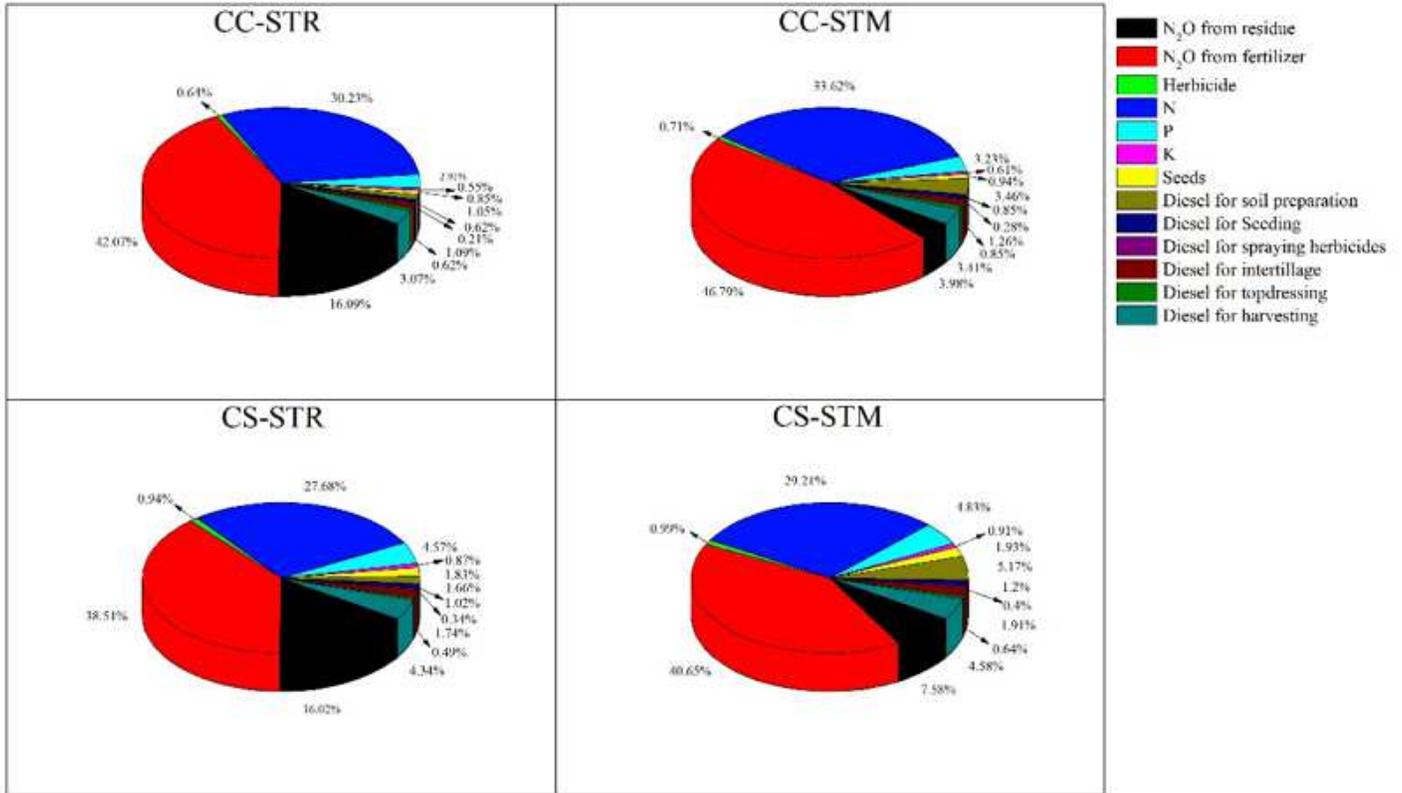


Figure 1

Share of different inputs in the carbon footprints of continuous corn cropping and corn-soybean rotation cropping systems (two-season average)

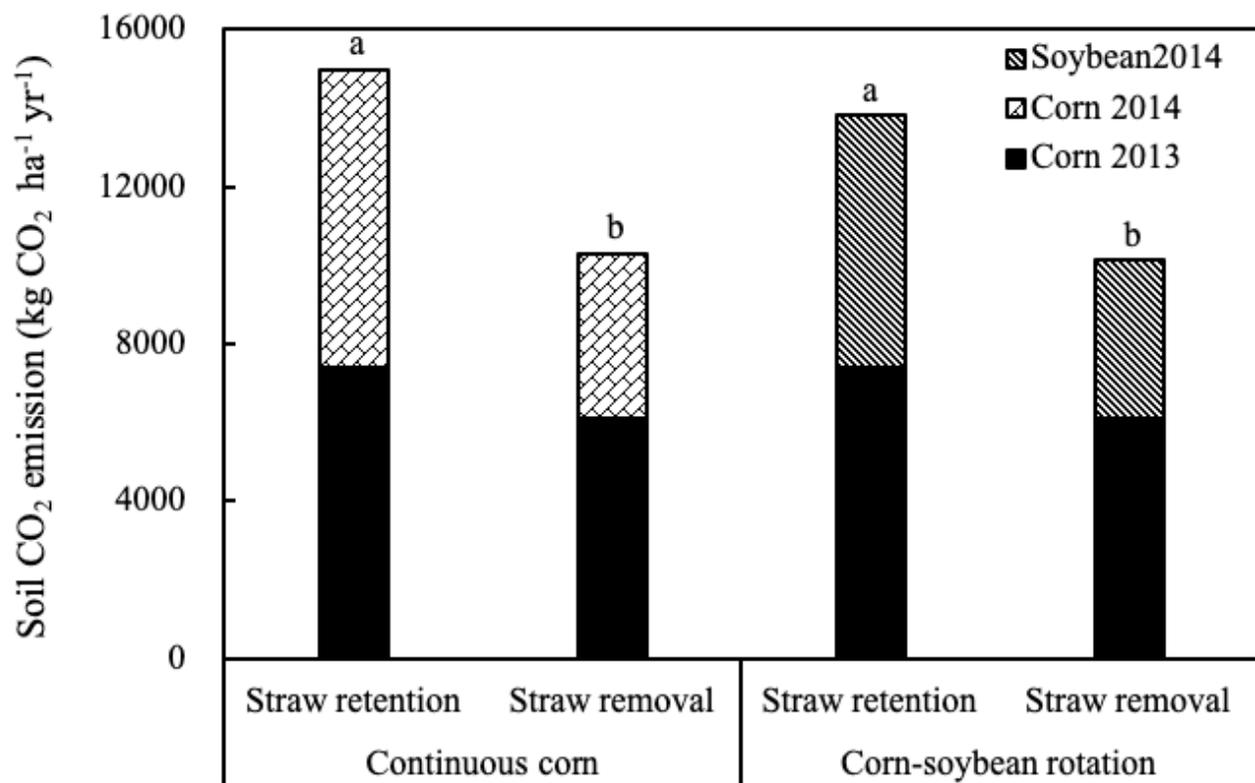


Figure 2

Soil heterotrophic respiration under different cropping patterns