

# Using Ecosystem Service Bundles to Evaluate Spatial and Temporal Impacts of Large-scale Landscape Restoration on Ecosystem Services on the Chinese Loess Plateau

Hao Chen (✉ [hao.chen@wur.nl](mailto:hao.chen@wur.nl))

Wageningen UR: Wageningen University & Research <https://orcid.org/0000-0002-5662-1194>

**Luuk Fleskens**

Wageningen University & Research

**Johanna Schild**

Wageningen University & Research

**Simon Moolenaar**

Commonland Foundation

**Fei Wang**

Institute of Soil and Water Conservation Northwest A & F University; Institute of Soil and Water Conservation Chinese Academy of Sciences and Ministry of Water Resources

**Coen Ritsema**

Wageningen University & Research

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## Research Article

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1 **Using ecosystem service bundles to evaluate spatial and temporal impacts of**  
2 **large-scale landscape restoration on ecosystem services on the Chinese Loess**  
3 **Plateau**

4 Hao Chen <sup>a\*</sup>, Luuk Fleskens <sup>a</sup>, Johanna Schild <sup>a</sup>, Simon Moolenaar <sup>c</sup>, Fei Wang <sup>b</sup> and Coen Ritsema <sup>a</sup>

5 <sup>a</sup> Soil Physics and Land Management, Wageningen University, P.O. Box 47, 6700 AA Wageningen, The  
6 Netherlands

7 <sup>b</sup> Institute of Soil and Water Conservation, Chinese Academy of Sciences and Ministry of Water Resources,  
8 712100 Yangling, China

9 <sup>c</sup> Commonland Foundation, Kraanspoor 26, 1033 SE Amsterdam, the Netherlands

10 \* Correspondence: [hao.chen9527@outlook.com](mailto:hao.chen9527@outlook.com)

11

## 12 **Abstract**

### 13 Context

14 From 1999 onwards, China has initiated a large-scale landscape restoration project on the Chinese  
15 Loess Plateau, which has had profound but variable impacts on the local ecosystem services supply.  
16 There was less understood of land restoration impacts on the ecosystem services and dynamics of  
17 ecosystem services throughout the restoration process.

### 18 Objectives

19 To to analyse the spatial and temporal dynamics in ecosystem services before and after the  
20 implementation of the land restoration project, and to understand trade-offs and synergies between  
21 multiple ecosystem services.

### 22 Methods

23 We used InVEST model and statistical yearbook data to quantify the ecosystem, the concept of  
24 ecosystem service bundles was applied to understand the dynamics of ecosystem services.

### 25 Results

26 A significant increase of fruit production, sediment retention, habitat quality, aesthetic landscape  
27 value, learning and inspiration value was found overtime in Yan'an area, while a decrease of timber  
28 production and water yield was also observed. The majority of ecosystem services bundles area were  
29 transformed from having a focus on timber production to aesthetic landscape value. The dynamics  
30 of ecosystem services change by land restoration was discovered, to start with increasing regulating  
31 services at expense of provisioning services, cultural services exceeding regulating services and  
32 occupied the main proportion subsequently.

### 33 Conclusion

34 Both trade-offs and synergies were found between provisioning, regulating and cultural services,  
35 implementation of the large-scale restoration project is recognized as a key driving force inducing  
36 change of ecosystem services.

37 **Keywords:** land restoration, InVEST model, trade-offs and synergies, ecosystem service bundles.

## 38 1. Introduction

39 Over 40% of the world's land surface are arid and semi-arid areas, which are ecologically vulnerable,  
40 sensitive to erosion and facing deterioration risks (Allan et al. 2013). In order to manage and address  
41 land degradation and ecological deterioration issues, a number of large-scale land restoration  
42 programs have been implemented worldwide, which have significantly altered land use and  
43 ecosystem services (Benayas et al. 2009). China is no exception: especially the Chinese Loess Plateau,  
44 one of the most severely eroded regions in the world, has been given a lot of attention in land  
45 restoration policies (Sun et al. 2014). Starting from the 1970s, the Chinese government has  
46 implemented several small-scale land restoration programs on the Chinese Loess Plateau to  
47 rehabilitate vegetation cover, combat desertification and reduce soil and water loss (Chen et al. 2015).  
48 In 1998, Wuqi county in Yan'an area on the Chinese Loess Plateau started a pioneer land restoration  
49 program to reverse the ecological degradation by stopping cultivation of steep slopes and converting  
50 cropland and bare land to forest and grassland. One year later, based on the experiences in 1999, one  
51 of the world's largest-scale land restoration projects, the Grain for Green project (GGP), was initiated  
52 nationally covering more than 20 million hectares of cropland and bare land (Persson et al. 2013). One  
53 of the main purposes of the GGP is to maintain soil fertility and combat soil and water losses (Deng  
54 et al. 2019). GGP brought a dramatic alteration of land use and a transformation in ecosystem services  
55 delivery (Chen et al. 2015).

56 Ecosystem services are defined as flows of materials, energy and information which are directly or  
57 indirectly provided by ecosystems to human society, including provisioning, regulating, cultural and  
58 supporting services (Costanza et al. 1997). Provisioning services include goods and products that we  
59 physically obtain from ecosystems, for example, food, water, raw materials etc; Regulating services  
60 are necessary services to maintain the ecosystem functions, for instance, erosion control, sediment  
61 retention, habitat quality etc; Cultural services like aesthetic landscape value provide spiritual  
62 pleasures to human beings (MEA 2005). Multiple ecosystem services can be provided by an  
63 ecosystem at the same time, but some ecosystem services cannot be supplied to society  
64 simultaneously (Peng et al. 2019). Any of these ecosystem services is associated with other services  
65 as either "trade-offs" or "synergies" (Bennett and Balvanera 2007). Trade-offs between ecosystem

66 services can be comprehended as an increase of a (set of) specific ecosystem service(s) at the expense  
67 of other ecosystem services (Raudsepp-Hearne et al. 2010). Synergies, which are the opposite to trade-  
68 offs, are characterized as ecosystem services that either increase or decrease jointly (Bennett et al.  
69 2009). Meanwhile trade-offs and synergies may appear diversely in one ecosystem at different  
70 temporal and spatial scales (Power 2010). Understanding the dynamics of ecosystem services is thus  
71 essential to comprehend the possible formation of trade-offs and synergies (Dade et al. 2019).  
72 Ignoring dynamics may increase the risk of unexpected changes in ecosystem services (Gordon et al.  
73 2008). Human activity is a major factor affecting ecosystem service trade-offs and synergies through  
74 changing land use , by scale, type and intensity (Tolessa et al. 2017; Chen et al. 2019). For example,  
75 urbanization, ecological engineering and landscape restoration are often accompanied by a shift in  
76 land use for the purpose of (re-)generating a single or multiple ecosystem services. The  
77 implementation of these land use changing activities could cause ecological degradation if the trade-  
78 offs among other ecosystem services are ignored (Groot et al. 2011). Many previous studies were  
79 focused on simple trade-off and synergy relations between ecosystem services and ignore exploration  
80 of drivers and mechanisms. The application of the ecosystem services bundles concept is helpful to  
81 understand the provisioning mechanisms of ES and the dynamics among multiple ecosystem  
82 services. Ecosystem service bundles are defined as a mix of correlated ES provided at the same  
83 location and at the same time, though they may not have any direct causal relationships (Renard et  
84 al. 2015).

85 Impacts of the GGP on trade-offs and synergies between multiple ecosystem services has been  
86 investigated in multiple scientific studies. Many previous studies have analyzed ecosystem services  
87 supply on the Chinese Loess Plateau, and several address the dynamics and relations between  
88 different ecosystem services. For example, Lü et al. (2012) discovered that the entire Chinese Loess  
89 Plateau was transformed from a carbon source to a carbon sink by mapping carbon sequestration  
90 dynamics from 2000 to 2008. Feng et al. (2017) found out that vegetation coverage and types are the  
91 main factors that affect soil erosion control, soil moisture conservation and carbon sequestration  
92 based on field experiments in 2014. However, the majority of previous studies mainly put emphasis  
93 on single trade-off and synergy relations between regulating services, such as soil retention, water

94 retention and water purification, ignoring the changes of other ecosystem services and the driving  
95 forces behind such changes. Meanwhile, some researchers focus on comparing ecosystem services  
96 after a certain time period against a baseline, but neglect the dynamics during that time span (Y. Liu  
97 et al. 2019). For example, Li et al. (2019) mapped changes of ecosystem services in the entire Loess  
98 Plateau from year 2000 to 2015, without describing the fluctuation of ecosystem services within these  
99 15 years. Besides, cultural services, which are defined as the nonmaterial benefits people obtained  
100 from the ecosystem, was not taken into account in the studies on the Loess Plateau. Furthermore, due  
101 to vegetation growth and continuance of GGP, there is a lack of research considering the most recent  
102 impacts of GGP on the ecosystem services on the Loess Plateau. Thus, in order to monitor the  
103 dynamic impacts of the GGP across various categories of ecosystem services, we considered the time  
104 period 1990-2018 (including before and implementing phases of the GGP project) and selected 11  
105 ecosystem services covering four provisioning, four regulating and three cultural services.

106 The implementation of the GGP is expected to have affected a range of ecosystem services on the  
107 Loess Plateau. GGP proposed a reduction in cultivated area in return for an increase in forest and  
108 grassland area. Provisioning services, such as grain, livestock, fruit and timber were assessed in order  
109 to quantify the impacts from GGP land restoration measures. The main goal of the GGP is to prevent  
110 soil and water loss and maintain soil quality, thus, we included sediment retention and carbon  
111 sequestration as ecosystem indicators in our analysis. Additionally, it has been found that land  
112 restoration plays an important role in the reduction of surface streamflow on the Chinese Loess  
113 Plateau (Chen et al. 2020). Therefore, seasonal water yield, as an indicator for water supply, was also  
114 considered in this study. Furthermore, a primary goal of restoration is the protection of biodiversity,  
115 including genes, species, populations, habitats and ecosystems (Hector and Bagchi 2007), therefore,  
116 habitat quality is also quantified. Here, we define habitat as “the resources and conditions present in  
117 an area that produce occupancy – including survival and reproduction – by a given organism” (Hall  
118 et al. 1997, p. 175). Cultural services, like all other ecosystem services, must demonstrate unique  
119 relations between ecosystem structures and meeting the satisfaction of human needs (Daniel et al.  
120 2012 ). Cultural services, including outdoor recreation, aesthetic value of the landscape and learning  
121 and inspiration values were considered.

122 The main objectives of this study are a) to analyse the spatial and temporal dynamics in ecosystem  
123 services before and after the implementation of the GGP using ecosystem service bundles; and b) to  
124 understand trade-offs and synergies between multiple ecosystem services.

## 125 2. Methods

### 126 2.1 Study area

127 The study area of Yan'an is located in the northern Shaanxi province on the south-central part of the  
128 Chinese Loess Plateau at latitude 35°21'-37°31' N and longitude 107°41'-110°31' E. Yan'an is a  
129 prefectural-level municipality covering an area of 37,030 km<sup>2</sup>. It is a typical hilly area on the Loess  
130 Plateau that consist of multiple deeply incised valleys. The main soil type is *Calcareous Cinnamon Soil*  
131 (Xu et al. 2020). The terrain of Yan'an is higher in the northwest (highest point: 1795 m) and lower in  
132 the south east (lowest point: 353 m), having an average elevation of around 1200 m (Fig. 1). Yan'an  
133 belongs to a semi-humid, warm temperate climate zone with continental monsoon circulation. The  
134 average annual temperature is 9.9 °C and annual precipitation is 510.7 mm. In 1998, Yan'an area was  
135 selected as the first experimental site to start the GGP land restoration project in its northwestern  
136 Wuqi county. Up to now, Yan'an has implemented vegetation restoration for nearly 20 years and  
137 restored around 7200 km<sup>2</sup> of degraded land (Guo and Gong 2016).

### 138 2.2 Data sources

139 Land use and land cover (LULC) data of Yan'an area at a 30 m resolution for the years 1990, 1995,  
140 2000, 2005, 2010, 2015 and 2018 was provided by the Data Center for Resources and Environmental  
141 Sciences of the Chinese Academy of Science (<http://www.resdc.cn>). This data was extracted from  
142 remote sensing data of Landsat-TM/ETM and Landsat 8. LULC data was classified into six classes:  
143 cropland, forest, grassland, water body, urban land and bare land (Fig. 2). Meteorological data from  
144 1990-2018, including precipitation, solar radiation, temperature, humidity and evapotranspiration,  
145 was obtained from the National Meteorological Administration of China (<http://data.cma.cn>) for  
146 meteorological stations (see Fig. 1). A 30 m resolution DEM of Yan'an was obtained from the ASTER  
147 Global Digital Elevation Model (ASTER GDEM) from the Geospatial Data Cloud site of the Computer  
148 Network Center of the Chinese Academy of Science (<http://www.gscloud.com>). A soil erodibility

149 map of Shaanxi province was obtained from the National Earth System Science Data Center  
150 (<http://geodata.cn>) and a world rainfall erosivity index map was acquired from the European Soil  
151 Data Center (ESDAC); <http://esdac.jr.ec.europa.eu>). Additionally, world soil group data was  
152 provided by EARTHDATA from NASA (<http://earthdata.nasa.gov>). Statistical data of the 13 counties  
153 in Yan'an was derived from the Statistical Yearbook of Yan'an from the Yan'an Statistical Bureau  
154 (<http://tjj.yanan.gov.cn/>).

## 155 **2.3 Quantification of ecosystem services**

156 Eleven ecosystem services were selected to monitor the impacts of the GGP land restoration project  
157 in the 13 counties of Yan'an area (Table 1). Each ES was quantified in a biophysical way for the 13  
158 individual counties of Yan'an area over a time period of 28 years split into 7 time intervals from 1990  
159 up to 2018.

160 Indicators for the four provisioning services were derived from the statistical yearbook. As an  
161 indicator for grain production, the average yield of wheat and corn of each county (in t/km<sup>2</sup>) was  
162 used. Apple yield (t/km<sup>2</sup>) was used as an indicator for fruit production. Livestock production was  
163 indicated by pork, beef and mutton meat productivity (t/km<sup>2</sup>). Timber production was indicated by  
164 the weight of timber produced per hectare (t/km<sup>2</sup>). Four regulating services, including carbon  
165 sequestration (Mg/ha), sediment retention (t/ha), seasonal water yield (mm of base flow) and habitat  
166 quality (index from 0-1), were assessed by the Integrated Valuation of Ecosystem Services and Trade-  
167 offs (InVEST) model (Nelson et al. 2018), which is explained in detail in sections 2.3.1-2.3.4. Indicators  
168 for the three cultural services were obtained from the statistical yearbook and the LULC map,  
169 respectively. Terrace area (%) was used as an indicator for the aesthetic value of the landscape, forest  
170 area (%) offered an underpinning for outdoor recreation and the number of local cultural institutes  
171 (n/1000 km<sup>2</sup>) for entertainment and cultural education as an indicator for learning and inspiration.  
172 Additionally, the gross value of agriculture, industry and forestry (in USD/km<sup>2</sup>) as well as population  
173 density (in person/km<sup>2</sup>) were calculated from the statistical yearbook as covariables.

### 174 **2.3.1 Carbon sequestration**

175 Carbon sequestration (CAS) was calculated based on the carbon storage and sequestration model  
176 from InVEST (version 3.7.0). This model is composed of three parts to calculate the carbon storage  
177 (eq. 1): 1) carbon from plants including aboveground biomass and belowground biomass; 2) carbon  
178 from soil; 3) carbon from dead litter. Based on this calculation, land use and land cover change  
179 contribute mostly to changes in carbon storage due to changes in vegetation types.

$$C_{carbon} = C_{above} + C_{below} + C_{soil} + C_{dead} \quad (1)$$

180 To run this model, land use maps and carbon pools which indicate carbon storage values of different  
181 land use types are required. In this study, carbon sink data is based on experimental field data  
182 collected in Yan'an area: aboveground biomass data was obtained from Xiao et al. (2016),  
183 belowground biomass from Feng et al. (2017), soil carbon content from Zhang et al. (2019) and dead  
184 litter from Zhang et al. (2001).

### 185 2.3.2 Seasonal water yield

186 Because Yan'an has a typical seasonal climate where precipitation is usually concentrated between  
187 July and September (Yang et al. 2018), the seasonal water yield model from InVEST was used to  
188 estimate water yield of the 13 counties in Yan'an. This model represents seasonal water yield (SWY)  
189 using two indices: quick flow and base flow. Quick flow indicates the generation of streamflow of  
190 hours to days, whereas base flow is defined as the generation of streamflow of months to years  
191 (Nelson et al. 2018). In order to monitor yearly water yield and reducing the climate variability  
192 impacts from fluctuating precipitations, base flow (in mm) was used while quick flow was excluded  
193 in this study.

194 The SWY model requires a series of monthly evapotranspiration ( $ET_1$ - $ET_{12}$ ) maps, monthly  
195 precipitation ( $P_1$ - $P_{12}$ ) maps, DEM, LULC maps, soil groups and integer Curve Numbers (CN).  
196 Monthly evapotranspiration was calculated with the R-package *evapotranspiration* (version 3.6.2)  
197 using meteorological data. Raster maps for monthly evapotranspiration and precipitation were  
198 created using the *kriging* tool in ArcGIS (version 10.5), based on the locations of the meteorological  
199 stations within and surrounding the Yan'an area. CN data was obtained from the Hydrology Nation

200 Engineering Handbook of United States Department of Agricultural  
 201 (<https://directives.sc.egov.usda.gov/17758.wba>).

### 202 2.3.3 Sediment retention

203 Sediment retention (SDR) in Yan'an area was calculated using the sediment delivery ratio model from  
 204 InVEST. This model is a spatially explicit model based on the spatial resolution of the input DEM  
 205 raster map. The calculation of the sediment delivery ratio consists of two parts (Nelson et al. 2018).  
 206 The first part computes the annual soil loss from each pixel in the raster map based on the Revised  
 207 Universal Soil Loss Equation model (RUSLE; Renard 1997). The second part generates the portion of  
 208 soil loss that eventually reaches the stream and accounted for the final water yield results (Bhattarai  
 209 and Dutta 2007), the RUSLE model is explained as below:

$$usle_i = R_i * K_i * LS_i * C_i * P_i \quad (2)$$

210 where  $usle_i$  is the amount of annual soil loss in one pixel,  $R_i$  is the rainfall erosivity which is derived  
 211 from the world erosivity map,  $K_i$  is derived from the soil erodibility map,  $LS_i$  is the length-gradient  
 212 factor (calculated from the DEM), and  $C_i$  and  $P_i$  are the crop management and support practice  
 213 factors, respectively, which were obtained from Fu et al. (2005).

### 214 2.3.4 Habitat quality

215 Habitat quality (HBQ) was quantified using the InVEST habitat quality model. This model combines  
 216 information from the LULC map and disturbances to biodiversity to generate a habitat quality map  
 217 (on an indexed scale between 0-1, 1 indicates a perfect habitat to live). Both the impacts from  
 218 biodiversity disturbances and the distance between the habitat and the threat sources are considered  
 219 in the model. Biodiversity disturbances of both negative and positive induced sources were  
 220 accounted, negative sources include mining areas, roads, railways, urban areas and other populated  
 221 areas, positive sources contain natural reserves and national parks. The dynamics in biodiversity  
 222 threats over the 1990-2018 time period were presented by threats maps that varied over time. The  
 223 location of the threats' maps were obtained from the Worldmap dataset of Harvard University  
 224 (<https://worldmap.harvard.edu/>).

## 225 2.4 Statistical methods

226 Based on the above models and statistical data, we quantified 11 ES, including four provisioning  
227 services, three regulating services and four cultural services. In order to understand the spatial and  
228 temporal dynamics of each ES, we used the Space-Time Interaction (STI) method from Legendre,  
229 Cáceres, and Borcard (2010). This method tests space-time interactions in repeated ecological data,  
230 where there are no replications at the level of individual sampling units. In STI, variables of time and  
231 space were coded by principle coordinates of neighbor matrices into a two-way analysis of variance  
232 (ANOVA) model (Renard et al. 2015). A significant result of STI ( $p < 0.01$ ) indicates that the spatial  
233 distribution of an ES has changed over time. In our study, STI was processed using the package  
234 *adespatial* in R (version 3.6.3), setting each STI test at 999 permutations. Each ES was calculated based  
235 on its mean  $\pm$  SD across all 13 counties and taking the average value based on county area. Synergies  
236 and trade-offs between various ecosystem services were analyzed using Pearson correlation analysis  
237 in R (version 3.6.1). For every research year, the average value of each ecosystem service was defined  
238 at county level and each ecosystem service was standardized to a comparable unit scale from -1 to 1.  
239 Correlations between different ES were determined for the study period 1990 to 2018. Ecosystem  
240 service bundles were subsequently defined to assess the dynamics of multiple ecosystem services  
241 jointly. Ecosystem service bundles were analyzed using k-means cluster analysis from the package  
242 *cluster* in R (version 3.6.3). Maps with ecosystem service bundles were visualized using ArcGIS  
243 (version 10.5). Additionally, in order to understand the dominant patterns of ecosystem services  
244 values among different temporal and spatial scales, principle component analysis (PCA) was applied  
245 through R package *ggplot* (Wold et al. 1987).

## 246 3. Results

### 247 3.1 Spatial and temporal dynamics in ecosystem services

248 In Figure 2, the spatial and temporal dynamics of the 11 ES are presented that resulted from the STI  
249 analysis. For provisioning services, an obvious increase in fruit production was observed from 2005  
250 to 2015. Results from the STI analysis ( $p < 0.001$ ) indicate that this increase only happened in a few  
251 specific counties. Livestock production almost doubled from 1990 to 2018 and this increase occurred

252 across all counties. Grain production fluctuated in all counties during the research period. A drop in  
253 grain production was observed in 2000, followed by an increase. In contrast, timber production  
254 showed a clear drop starting from 1995 up until 2005. During this time period, timber production  
255 decreased with almost 80%. After 2005, the production level tended to stabilize.

256 For regulating services, a gradual increase was generally observed in sediment retention, carbon  
257 sequestration and habitat quality. This gradual increase was not covering all counties, but took place  
258 in several specific counties (see Figure. A1-A3); a significant  $p < 0.001^*$  from STI test results was found  
259 for three regulating services (CAS, SDR and HBQ). Meanwhile the highest increase was determined  
260 in 2005 in both regulating services CAS and HBQ. Trends for water yield were fluctuating. Water  
261 yield dropped in 1995 and increased again in 2010 and 2018. These fluctuations in seasonal water  
262 yield occurred in all counties from 1990 to 2018 as was illustrated by the STI test results ( $p$  value =  
263 0.052; see Figure. A4).

264 Cultural services, such as habitat quality and outdoor recreation, showed similar increasing trends  
265 as the three regulating services. The values for outdoor recreation, aesthetic landscape value, and  
266 learning and inspiration all increased from 1990 to 2018. Results from the STI test ( $p$  value  $< 0.001^*$ )  
267 indicate that changes in outdoor recreation and aesthetic value of the landscape only occurred in  
268 specific counties of Yan'an area (see Figure. A5 for outdoor recreation), while learning and inspiration  
269 improved in all 13 counties. Overall, the spatial and temporal dynamics of the 11 ecosystem services  
270 indicated that the majority of the selected services showed an increasing trend. Only trends for timber  
271 production decreased clearly, while water yield decreased from 1990 to 1995 and increased after 2005  
272 onwards.

### 273 3.2.1 Trade-offs and synergies between ecosystem services

274 In the trade-offs and synergies analysis of the ecosystem services, we found that the majority of  
275 ecosystem services showed synergistic relations. We used the average value of 11 ecosystem services  
276 in each research year at county scale. In Figure 3, linear correlations between all ecosystem services  
277 are displayed, ordered by size of the Pearson correlation coefficient ( $r$ ). Positive correlations indicate  
278 a synergy between services ( $0 < r < 1$ ; displayed in blue color in Fig. 3), while negative correlations

279 indicate a trade-off between services ( $-1 < r < 0$ ; displayed in red color in Fig. 3). In general, the figure  
280 shows that the majority of the correlations are positive, indicating synergies between those ecosystem  
281 services. For instance, there are strong synergies between aesthetic landscape value, learning and  
282 inspiration, livestock production, carbon sequestration, outdoor recreation, fruit production,  
283 sediment retention and habitat quality.

284 For provisioning services, fruit production showed a strong synergy with the majority of other  
285 ecosystem services, except for a trade-off with timber production. Also, livestock production had a  
286 trade-off with timber production. Timber production had trade-offs with the majority of the other  
287 services, except for a synergy with grain production. Grain production showed no significant  
288 correlation with majority of other services, besides a slight synergy with timber production.  
289 Regulating services, including carbon sequestration, habitat quality and sediment retention had  
290 synergies between each other. Water yield showed trade-off correlations with the aesthetic landscape  
291 value and livestock production. As for cultural services, outdoor recreation showed synergies with  
292 the majority of the regulating services, while trade-offs with provisioning services were observed.  
293 Learning and inspiration and aesthetic landscape value had similar correlations with other ecosystem  
294 services. Additionally, the aesthetic value of the landscape had trade-offs with water yield and timber  
295 production, while learning and inspiration only showed a significant trade-off with timber  
296 production. The highest synergy was found between carbon sequestration and outdoor recreation ( $r$   
297 = 0.99), and between sediment retention and habitat quality ( $r$  = 0.98). Additionally, carbon  
298 sequestration showed very strong synergies with sediment retention and habitat quality ( $r$  = 0.98 and  
299  $r$  = 0.97, respectively). Highest trade-offs were found between timber production and outdoor  
300 recreation ( $r$  = -0.89), followed by timber production and carbon sequestration ( $r$  = -0.85).

### 301 3.2.2 Principle component analysis between ecosystem services

302 By a combined analysis of a PCA and the Pearson correlations, the internal structure and explained  
303 variance of the trade-offs and synergies between different ecosystem services was investigated. The  
304 result of the PCA can be found in Figure 4 component 1 (PC1) explained 70.1% of the total variance  
305 while component 2 (PC2) explained 11.4%, apparently the summed variance of PC1 and PC2 had met  
306 the 60% threshold. PC1 occupied a major portion of the PCA test. Within PC1, besides timber  
307 production, gross forestry value shows negative correlation to other ecosystem services as well.

308 Additionally, in PC2, we found a negative correlation between water yield and grain production,  
309 which was not observed in the Pearson correlation test.

### 310 3.3 Ecosystem services bundles

311 In the results of ecosystem services bundles, seven time intervals in 13 counties of Yan'an area were  
312 considered in the calculation. Based on k-means clusters results with standardized ecosystem services  
313 values, the 11 ecosystem services from one specific year and county was considered as an entity, 7  
314 time intervals and 13 counties (91 in total) of the ecosystem services group were categorized into 5  
315 clusters of ecosystem service bundles. In Figure 5, the specific components of five ecosystem service  
316 bundles are displayed. For each bundle, the dominant ecosystem services were used to name the  
317 bundles. The five bundles are identified as food production, sediment retention, forest habitat,  
318 landscape value and timber production. These five ecosystem service bundles indicate the value  
319 distribution of 11 ecosystem services specifically at county-level and in a certain research year.  
320 According to the value of ecosystem services in each bundle, bundle 1 Food production was  
321 dominated by provisioning services, led by fruit production, followed by grain and livestock  
322 production. Bundle 2 Sediment retention had the highest sediment retention value while the  
323 remaining 10 ecosystem services were fluctuated amongst each other. Carbon sequestration, habitat  
324 quality and outdoor recreation were the focal ecosystem services in bundle 3. The cultural services  
325 aesthetic landscape value and learning and inspiration were well represented in bundle 4 labeled as  
326 landscape value. Bundle 5 was led by timber production, followed by water yield and grain  
327 production.

328 In Figure 6 the spatial and temporal distribution of the five ecosystem service bundles in 13 counties  
329 across 7 time intervals is displayed. In general, we can observe a change of overall color from 1990 to  
330 2018: in 1990 the dominant color was yellow while in 2010 this color turned to be red and changed to  
331 green in 2018 eventually. These color changes indicate that the dominant ecosystem service bundles  
332 of Yan'an area altered from Timber Production to Sediment Retention from 1990 to 2010, and moved  
333 towards Landscape Value in 2018. Starting from 1990, in the Northern part of Yan'an area, Timber  
334 production was the major ecosystem service bundle. In 1995, the distribution of the ecosystem service  
335 bundles almost remained the same. However, from 2000 we observe a transformation from Timber  
336 production to Sediment retention in Wuqi, Baota and Yichuan, and to Landscape value in Zhidan  
337 county. The distribution of ecosystem service bundles remained the same in the years 2005 and 2010,  
338 but starting in 2015 there are 7 Landscape value bundles covering the Yan'an area. Luochuan was the  
339 only remaining county with a Food production bundle, while Huangling and Huanlong kept a

340 Habitat quality bundle during the whole research period. A summary of changes in ecosystem service  
341 bundles numbers can be found in Table 2.

#### 342 **4 Discussion**

343 Eleven ecosystem services in Yan'an area were quantified and their spatial and temporal changes  
344 were estimated. The trade-offs and synergies between these ESs were analysed, and ecosystem  
345 service bundles were assessed. Base on the results, we observed increases in the majority of the  
346 ecosystem services from 1990 to 2018, and particularly dramatic increases of fruit production, habitat  
347 quality, carbon sequestration, learning and inspiration and outdoor recreation that occurred since  
348 2000. Correlation analysis revealed relations between specific ecosystem services, both trade-offs and  
349 synergies were observed. Synergies were found between sediment retention, carbon sequestration,  
350 outdoor recreation, fruit production, habitat quality, learning and inspiration, livestock production  
351 and aesthetic value of landscape, while a trade-off was found between timber production and water  
352 yield. The ecosystem services bundles results showed an obvious change since 2000, as the majority  
353 of the ecosystem bundles changed from timber production to landscape value.

354 The results of ES quantification were similar to previous studies on the Loess Plateau, and confirm  
355 that there were increasing trends of sediment retention and carbon sequestration during the  
356 implementation of the GGP (Yang et al. 2018). In the results of sediment retention and carbon  
357 sequestration in Figure 2, we observe a drop from 1990 to 1995. This indicates an ordinary trend in  
358 the Yan'an area before restoration implementation, representing a general degradation trend on the  
359 Loess Plateau. Shortly after the implementation of the GGP started and since 2000 both of these  
360 regulating services slightly increased. From the collected 4 carbon input indices (carbon in above-  
361 ground biomass, below-ground biomass, litter biomass and carbon in soil), we observe huge  
362 differences in aboveground and below ground biomass between cropland and forest: forest contains  
363 10 times higher biomass values than cropland on the Loess Plateau (Xiao et al. 2016; Feng et al. 2017).  
364 Due to the traditional practice of removing crop residues after harvest, in the Carbon model the  
365 carbon content of the litter layer of cropland was set at 0. Hence the introduction of the GGP, through  
366 an increase of forest and reduction of cropland, has increased the local carbon storage of the Yan'an  
367 area. We observed a dramatic drop of water yield in 1995, and the value kept being consistently low  
368 compared to 1990 until the end of the assessment period in 2018. According to the observational  
369 evidence from many regions in the world, land use and climate change are recognized to be two  
370 majors drivers affecting baseflow (Price 2011). On the Chinese Loess Plateau, the newly planted forest  
371 and grassland have caused an increase of both evapotranspiration and net primary productivity  
372 (Feng et al. 2016). Additionally, in recent decades a significant increase of extreme warm surface

373 temperature and a decrease of average daily precipitation were observed on the Chinese Loess  
374 Plateau (Sun et al. 2016). Wang et al. (2015) initiated a research of human activity impacts on runoff  
375 and sediment transportation in Yan river, which is the main river in the Yan'an area, and concluded  
376 that human activity is a main reason caused runoff decline by changing the land cover. Meanwhile,  
377 according to the algorithm of Seasonal water yield model, precipitation, temperature and potential  
378 evapotranspiration are the main input indicators which affecting the results of water yield, decline  
379 of precipitation and increase of temperature and evapotranspiration could be a main reason to cause  
380 water yield decrease. HBQ increased around 7% from 2000 to 2010 and showed a slight decreasing  
381 trend between 2010 to 2018. Habitat quality from the InVEST model is calculated based on distance  
382 and the area of disturbances from the habitat, as well as sensitivity of land cover type to threats. In  
383 comparison, forest is less sensitive to threats than cropland and grassland (Nelson et al. 2018). From  
384 the land use change table in supplementary Table A6 we found that the urban area expanded more  
385 than two times by 2018 compared to 1990, while forest land continually increased from 1995 to 2010  
386 and maintained almost the same value after 2010. This trend could be explained as land restoration  
387 leading to an expansion of forest area and increase of HBQ from 2000 to 2010; after 2010, reforestation  
388 stagnated while urban area expansion caused a slight decrease of HBQ.

389 Cultural services often related to spiritual significance and landscape aesthetics (Daniel et al. 2012).  
390 It's hard to quantify and monitor the cultural services especially when crossing a huge time span,  
391 due to the difficulties of understanding human emotions from the past. However, in this study,  
392 despite of data deficiency of cultural services, we quantified the amount and monitored the dynamics  
393 of cultural services in terms of outdoor recreation, aesthetic landscape value and learning and  
394 inspiration on the Loess Plateau. In previous publications researching ecosystem services' dynamics  
395 on the Loess Plateau region, cultural services were frequently neglected (Q. Feng et al. 2017; Yang et  
396 al. 2018). Only a few studies have investigated dynamics of cultural services during the  
397 implementation of GGP. Hou et al. (2017) only recorded a slight increase of recreation capacity from  
398 2000 till 2010 in Baota district in Yan'an area. Similar results of outdoor recreation have been found  
399 in our study while, additionally, a decrease in 1995 had been observed. Tourism is one essential  
400 indicator of cultural services indicating the attractiveness of a landscape, which has been studied by  
401 many ecosystem researches (Raudsepp-Hearne et al. 2010; Remme et al. 2015). In this study, there is  
402 insufficient tourism data when tracing back to 1990; however, it is believed that in Yan'an area  
403 tourism coincides with outdoor recreation. According to the tourist numbers in the recent five years,  
404 Huanglong and Huangling county received the most tourists among other counties and has the  
405 highest forest cover, while outdoor recreation is indicating the forest cover rate. An increase of  
406 aesthetic landscape value was observed from 1990 till 2018 indicating an expansion of terrace area.

407 Terraces not only brings unique scenery to the local landscape, but also stimulate crop yield. A field  
408 experiment on the Loess Plateau found that the yield of a 3-year-old terraced land was 27% higher  
409 than slope farmlands (X. Liu et al. 2011). Based on the dramatic increase of learning and inspiration  
410 value from 1990 to 2015, we can speculate local people had paid more attention to their indigenous  
411 cultural learning and entertainment.

412 Results of ecosystem service bundles displayed the temporal and spatial dynamics of ecosystem  
413 services before and after GGP implementation. From Figure 6, it can be observed that starting from  
414 2000, there was a transformation of ecosystem service bundles from Timber production to Sediment  
415 retention and landscape value in northern Yan'an (particularly in Wuqi, Zhidan, Ansai, Baota,  
416 Zichang, Guanquan and Yanchang counties). After 2015, since GGP policy in Yan'an area had altered  
417 from mainly reforesting land to maintain the reforested land, change of land use types was  
418 minimized. From the results of ecosystem service bundles map, we discovered the general process  
419 of ecosystem services components change by land restoration in the Loess Plateau. In the start 10  
420 years of GGP from 2000 to 2010, there was an increase of regulating services at expense of  
421 provisioning services. Following by the cultural services surpassing regulating services and occupied  
422 majority of the ecosystem services bundles. While during 2010 till 2018, base on Figure 2, regulating  
423 services was not decreasing, it was the propotion of cultural services in ecosystem services bundle  
424 increased. Meanwhile we observe that the ecosystem service bundles became stable after 2015 since  
425 there was no ecosystem service bundles change between 2015 and 2018.

426 According to the land use change map from Figure A7, it can be observed that the majority of the  
427 land use change occurred in the northern part of Yan'an while Huanglong and Huangling counties  
428 feature much less land use changes. During the GGP implementation, there was a decrease in  
429 cultivated land and an increase in grassland and forest area in return (Figure A7 and Table A6).  
430 Therefore, it could be expected that grain production would be reduced due to the shrinkage of  
431 cropland. However, according to the results in Figure 3 there was an increase of average grain  
432 production from 2000 to 2010. One explanation is that there has been an increase of grain productivity  
433 due to the improvement of agricultural technology as well as the terrace expansion; for instance, there  
434 has been an increased utilization of fertilizer on the Loess Plateau (Fan et al. 2005), and from 2000 till  
435 2008, grain yields increased from 3000 t/ha to 3900 t/ha on the Chinese Loess Plateau (Lü et al. 2012).

436 Change of economic factors in terms of gross agricultural value (GAV), gross industrial value (GIV)  
437 and gross forestry value (GFV) as well as population density (POD) were also included in the STI test  
438 (Fig A8). Timber production plummeted after 1995 and was almost 5 times lower in 2005. This change  
439 may due to the introduction of GGP policy that banned all tree felling activities, thereupon triggering

440 a decrease of GFV from 1990 to 2005. Meanwhile, the fruit industry has blossomed from 2000 in parts  
441 of Yan'an area, especially in Luochuan county, which is famous for its high quality and quantity of  
442 apple production (Ma et al. 2015). Additionally, according to the GGP strategy there were two types  
443 of forests restored from cropland and bare land: economic forest and ecological forest. Economic  
444 forest contains various species of fruit trees, nut trees and paper trees which support local farmers'  
445 income, for instance, apple, pear, red dates and walnuts, whereas for ecological forest restoration  
446 usually drought-enduring trees and shrubs are selected, such as *Robinia pseudoacacia*, *Hippophae*  
447 *rhamnoides* and *Platyclusus orientalis* (Deng et al. 2014). Therefore, an increasing area of restored  
448 economic forest expanded the fruit tree area simultaneously and improved fruit production as a  
449 result.

450 To sum up, implementation of the Chinese land restoration project GGP not only improved the  
451 majority of ecosystem services on the Chinese Loess Plateau, but also lead to local economic growth  
452 through subsidies and agricultural products. Results of this study are coherent with the "4 returns  
453 approach", since landscape restoration is expected to enhance and restore ecosystem functions which  
454 leads to improved delivery of ecosystem services and the returns of natural capital, social capital,  
455 financial capital and the return of inspiration (Moolenaar 2016). According to the guidelines of the  
456 ESP (Ecosystem Services Partnership), impact assessment and integrated cost-benefit analysis of land  
457 restoration are essential procedures to achieve sustainable landscape management and support land  
458 use planning (Groot et al. 2018). For instance, Groot et al. (2020) undertook an integrated cost-benefit  
459 analysis of large-scale landscape restoration in Spain. It is therefore suggested to apply an integrated  
460 cost-benefit analysis to GGP to unravel the social-economical and environmental impacts of land  
461 restoration on the Chinese Loess Plateau in a structured and coherent way.

462 This research covered an area of 37,000 km<sup>2</sup> and considered an assessment period of almost 30 years.  
463 Therefore, there are many factors that could change ecosystem services, for instance, population  
464 increase, urban expansion, climate change, etc. However, according to the discussion above, the GGP  
465 is understood as a major driver that changed the land cover and ecosystem services simultaneously.  
466 Overall, the GGP implementation has had positive impacts on enhancing a majority of provisioning,  
467 regulating and cultural services, while the GGP shows negative impacts on timber production and  
468 water yield. Decrease of timber production was mainly due to land management policy but may not  
469 be a severe issue, as it could be managed by timber import from other provinces. Another concern is  
470 the decrease of water yield, although due to the shrinkage of cropland area by GGP project, the  
471 demand for agricultural water use had decreased at the same time. Liang et al. (2018) reported a  
472 decline of soil moisture after GGP implementation, forest has lower moisture content among  
473 cropland and grassland, while revegetation on the Loess Plateau is considered as a main driver for

474 the moisture decrease. Clearly, forest expansion had brought more pressure on water supply than  
475 grassland on the Loess Plateau with an average annual precipitation from 250 mm ~ 600 mm. In order  
476 to maintain local water supply, it is recommended that further landscape restoration plans balance  
477 the revegetation area of forest and grassland.

## 478 5 Conclusion

479 In this study, the dynamics of 11 ecosystem services in 13 counties from Yan'an area were quantified  
480 within a time range from 1990 to 2018. An increasing trend was found in the majority of the  
481 provisioning, regulating and cultural services including fruit production, livestock production,  
482 sediment retention, carbon sequestration, habitat quality, aesthetic landscape value, learning and  
483 inspiration and outdoor recreation while seasonal water yield and timber production showed  
484 decreasing trends. We observed synergies between regulating and cultural services, including SDR,  
485 CAS, HBQ and OR, while both trade-offs and synergies were found in provisioning services. TBP  
486 was negatively correlated with CAS, SDR, OR, HBQ and LAI whereas GAP showed synergies.  
487 Ecosystem service bundles revealed temporal differences from 2000 until 2015 as well as spatial  
488 differences between northern and southern Yan'an. The process of ecosystem services components  
489 change by GGP was discovered, to start with increasing regulating services at expense of  
490 provisioning services, followed by cultural services exceeding regulating services and occupied the  
491 main proportion. Implementation of the GGP is recognized as a key factor changing the land use and  
492 affecting ecosystem service bundles. To conclude, GGP implementation had improved the majority  
493 of regulating and cultural services whereas it constrained timber production and local water yields.  
494 This study reveals the dynamics of ecosystem services while land restoration occurred; this  
495 knowledge supports future land use planning and helps to maintain a balance between different  
496 ecosystem services. From this study, it is suggested for the Yan'an government to pay attention to  
497 local timber products balance, as well as balancing forest and grassland area to maintain sustainable  
498 water supply.

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## 501 Declaration of Competing Interest

502 The authors declare that they have no known competing financial interests or personal relationships  
503 that could have appeared to influence the work reported in this paper.

504

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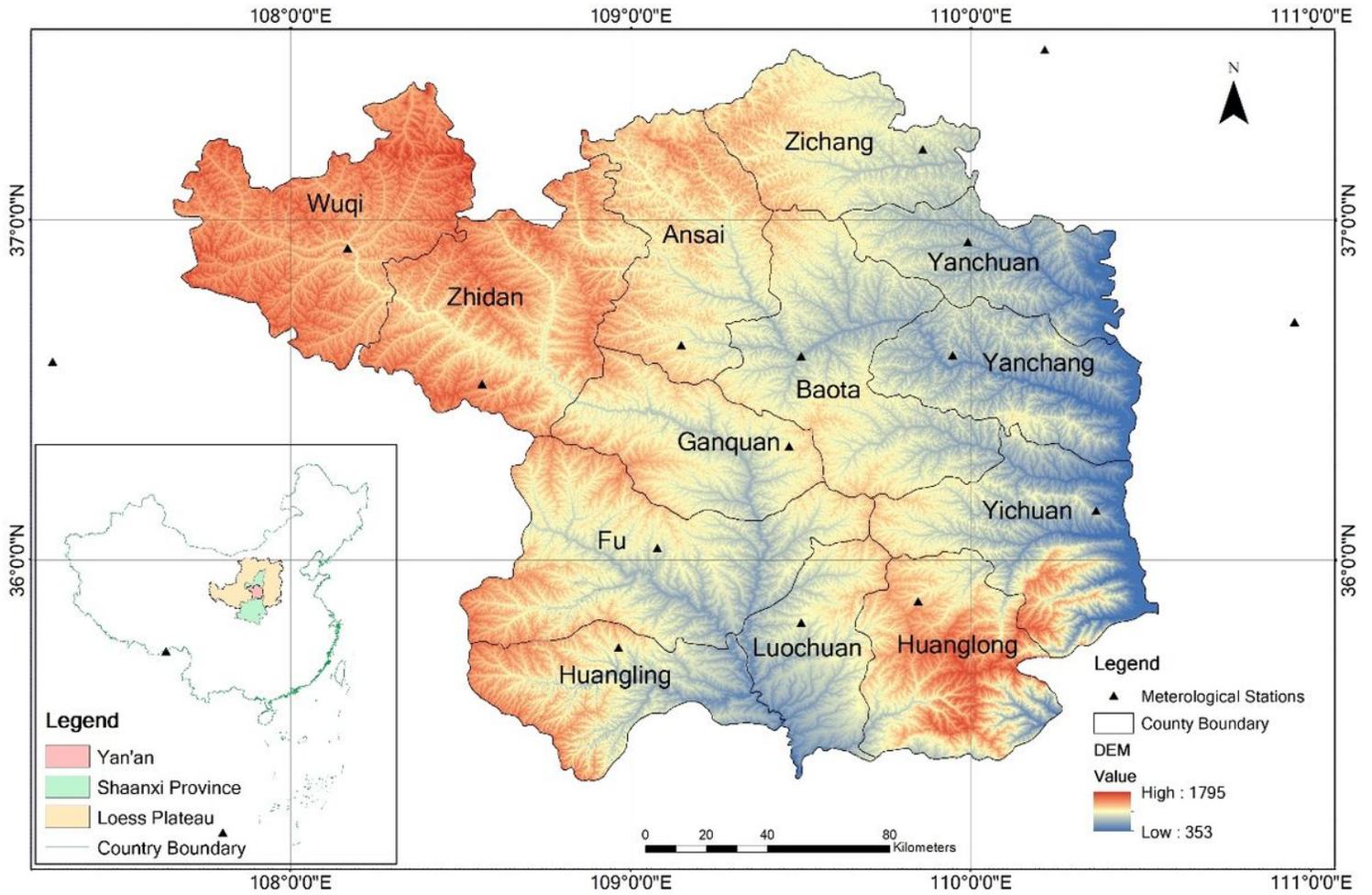
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# Figures



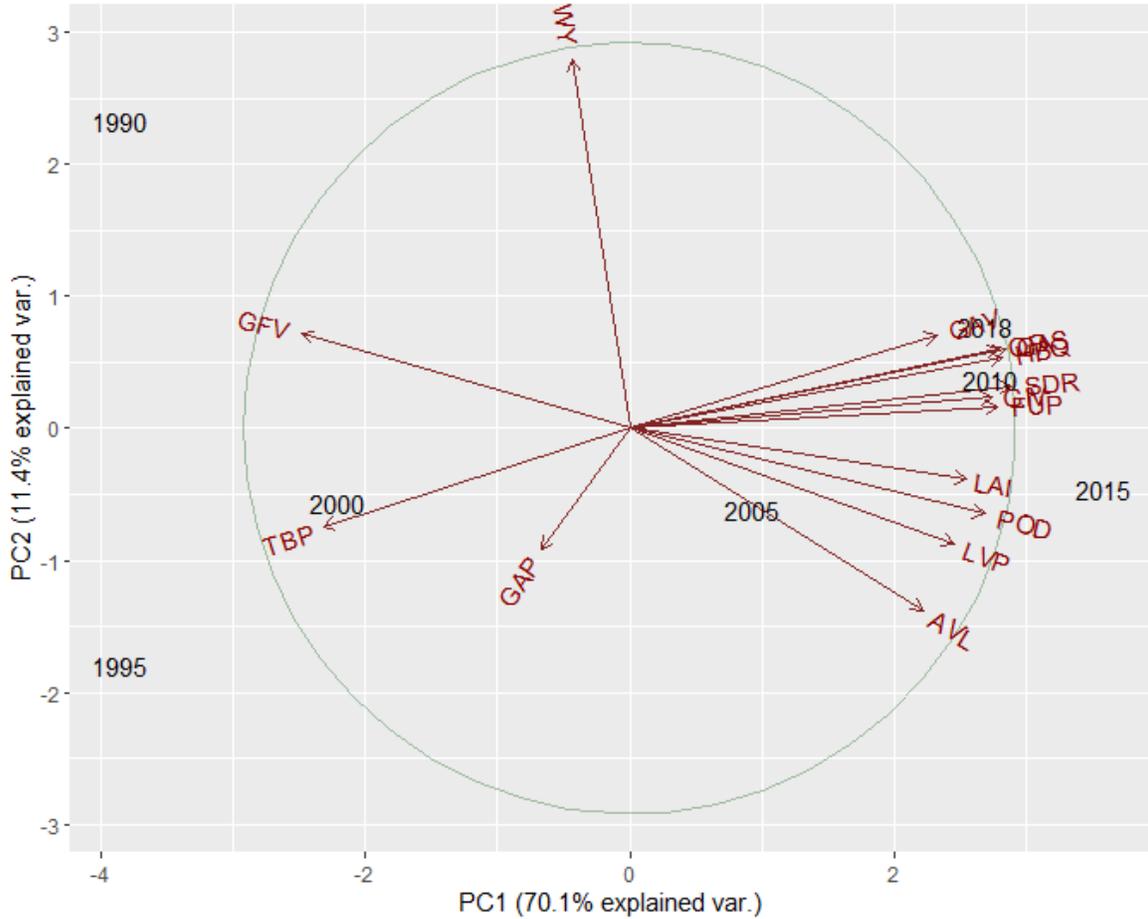
**Figure 1**

Location of the study area Yan'an including county boundary, meteorological stations and elevation. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



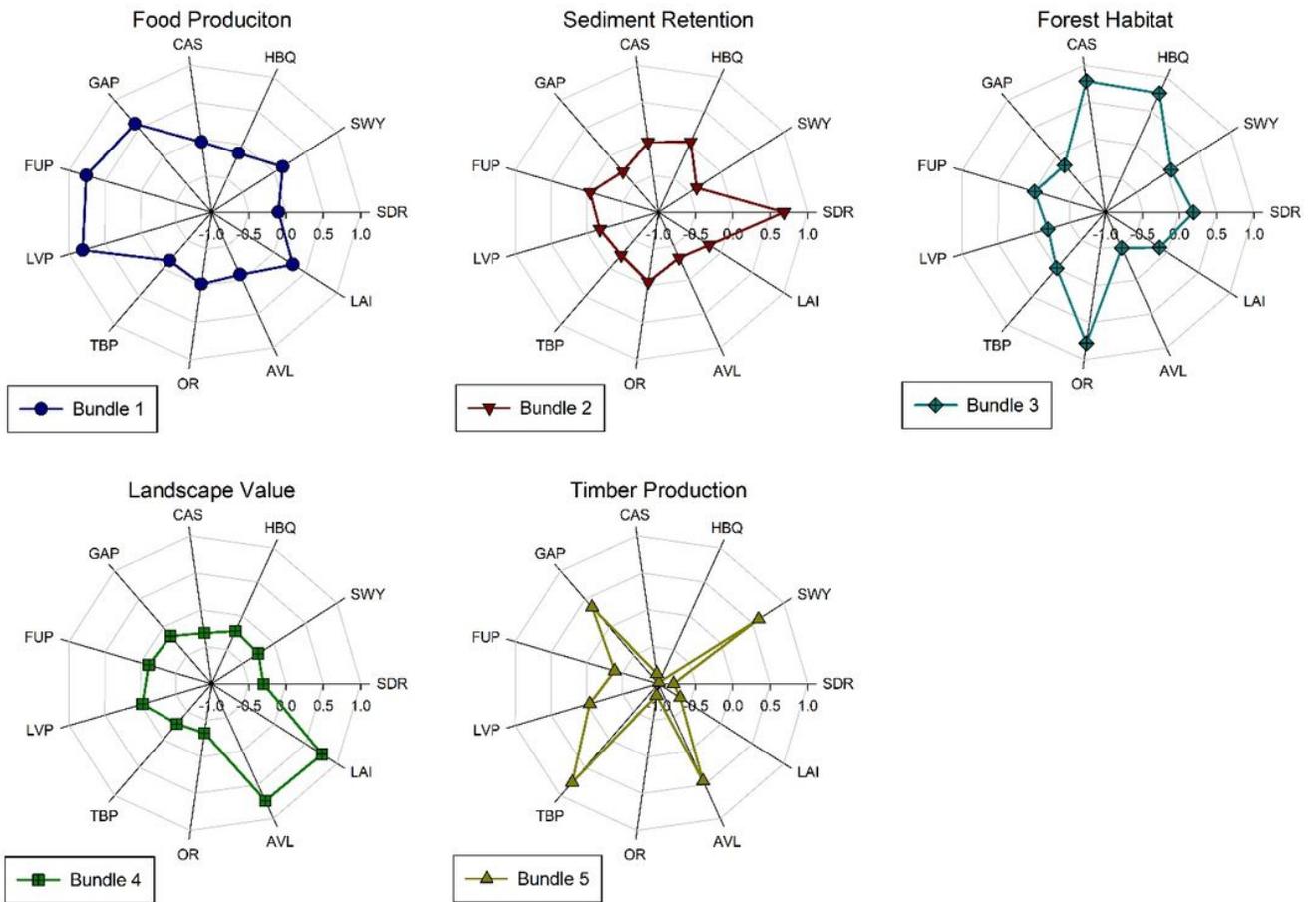
**Figure 3**

Correlations between different ecosystem services. Numbers illustrate the Pearson correlation coefficient ( $r$ ) of linear correlations. Blue dots indicate a synergy, while red dots indicate a trade-off. The color depth indicates the strength of the correlation. Crosses indicate an insignificant result ( $p > 0.05$ ). Abbreviations can be found in Figure 2.



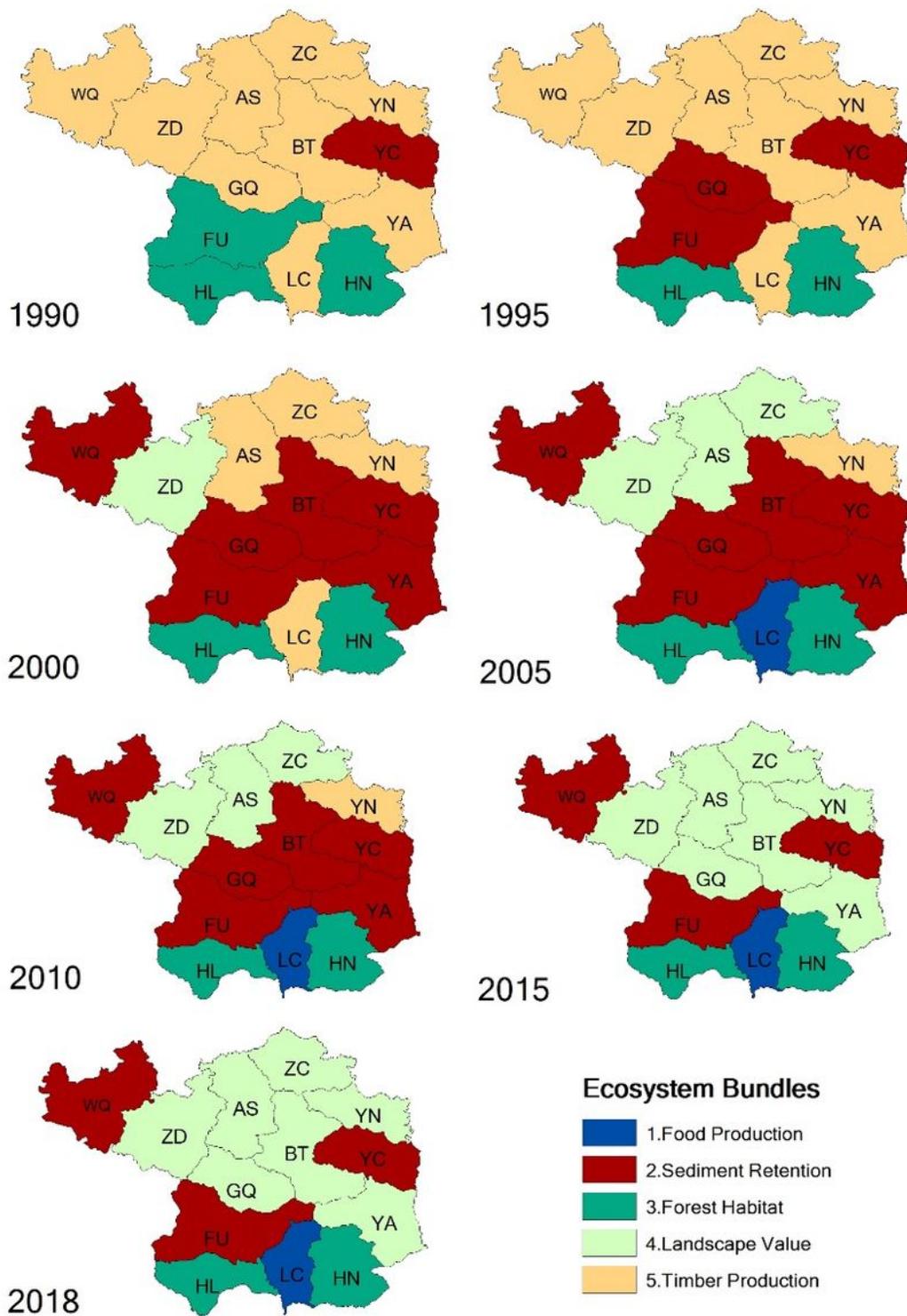
**Figure 4**

Principle Component Analysis of ecosystem services.



**Figure 5**

Ecosystem service bundles. Note: 1. Food production, 2. Sediment retention, 3. Forest and Habitat, 4. Landscape value, 5. Timber production.



**Figure 6**

Spatial and temporal distribution of five ecosystem service bundles in Yan'an area. Note: WQ: Wuqing; ZD: Zhidan; AS: Ansai; ZC: Zichang; YN: Yanchuan; YC: Yanchang; BT: Baota; GQ: Ganquan; YA: Yichuan; HL: Huangling; LC: Luochuan; HN: Huanglong. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research

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