

Development and Validation of a Diagnostic Model for AFP- Negative Hepatocellular Carcinoma

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Abstract

Purpose AFP appears to be negative about 30% of overall hepatocellular carcinoma (HCC). Our study aimed to develop a nomogram model to diagnose AFP negative HCC (AFPN-HCC).

Patients and methods: The training set and the external validation set consisted of 516 and 456 objects. LASSO, univariate and multivariable logistic regression were performed to construct the model and then transformed into a visualized nomogram. We further used the receiver operating characteristic (ROC) curves, the calibration curve, decision curve analysis (DCA) and clinical impact curve (CIC) for validation.

Results: Four variables included age, PIVKA-II, platelet (PLT) counts and prothrombin time (PT) were selected to establish the nomogram. The area under the curve (AUC) of the ROC to distinguish AFPN-HCC patients was 0.937 (95% CI, 0.892-0.938) in training set and 0.942 (95% CI, 0.921-0.963) using the validation set and indicated satisfactory discriminative ability of the model. The calibration plots showed favorable consistency between the prediction of the nomogram and actual observations. DCA and CIC showed that the nomogram was clinically useful.

Conclusions: Our model was effective for discrimination of AFPN-HCC from control subsets, and might be helpful for the diagnosis for AFPN-HCC.

1 Introduction

Hepatocellular carcinoma (HCC) is the dominant histological type of liver cancer, and accounts for 75–85% of all cases. According to the global cancer statistics 2022, HCC is the sixth most common malignancy and the third leading cause of cancer-related death in the world^[1]. Surgical resection is still the preferred method for the treatment of HCC but is not suitable for HCC patients with advanced stage^[2]. Unfortunately, due to the occult onset of HCC and the lack of specifically early markers, most patients often diagnosed at an advanced stage, which associated with a high recurrence rate, metastasis rate and a poor prognosis^[3]. Accurate surveillance and differential diagnosis of HCC can significantly improve patient survival.

As the prognosis of HCC depends largely on the stage at which the tumor is detected, early detection of HCC is critical to improve the survival of affected patients. Professional society guidelines from the American Association for the Study of Liver Diseases (AASLD) and European Association for the Study of the Liver (EASL) both suggest a liver ultrasonography (US) for patients with high-risk to develop into HCC as the first level of surveillance^[4, 5]. However, the effectiveness of US mainly depends on the experience of operators and it is difficult to distinguish tumors from liver cirrhosis nodules which closely correlated with HCC^[6]. In a meta-analysis detect that the sensitivity of ultrasound was only 47% (95% CI, 33–61%) for detection of early-stage HCC^[7]. Magnetic resonance imaging (MRI), computed Tomography (CT), and other cross-sectional imaging techniques have a higher accuracy, but they are too expensive for widespread screening^[8].

Biomarker assessments are more objective, easily accessible, and noninvasive tools for HCC diagnosis. Of all biomarkers, alpha-fetoprotein (AFP) is the most widely used serological indicator for HCC worldwide^[9]. However, about 30% of overall HCC patients cannot be observed with elevated serum AFP and AFP can also elevate in other benign liver diseases such as chronic hepatitis B(CHB) and liver cirrhosis(LC)^[10]. These facts spark controversy about the use of AFP and the AASLD no longer recommend the use of AFP during HCC surveillance^[11]. A delayed diagnosis of AFP-negative HCC (AFPN-HCC) is frequently lead to delays in treatment and subsequently to a serious consequence.

The detection of circulating biomarkers associated with AFPN-HCC can improve diagnostic accuracy and overcome the disadvantages of current diagnostic strategies^[12]. Prothrombin induced by vitamin K absence II (PIVKA-II), also known as Des- γ -carboxyprothrombin (DCP), is an abnormal prothrombin molecule and has been used as a biological marker which is increased in HCC^[13]. Evidence has been presented that PIVKA-II can improve the positive rate of diagnosis for AFPN-HCC patients^[14]. However, serum PIVKA-II levels are also increased without HCC because of a shortage of vitamin K or usage of vitamin K antagonists^[15]. This makes its clinical applications as a marker of HCC limited. Lens culinary agglutinin-reactive fraction of fetoprotein (AFP-L3), is the glycosylated subfraction of AFP and is a more specific indicator than total AFP for HCC^[16]. However, previous studies have indicated that AFP-L3 presented low diagnostic sensitivity in cases where AFP is not markedly elevated^[17, 18]. Moreover, the strategy of combined multiple biomarkers has been shown to significantly enhance diagnostic performance^[19, 20]. GALAD is the most extensively studied which includes gender, age, AFP-L3%, AFP, and DCP. In an international cohort of 6834 patients (2430 with HCC and 4404 with chronic liver disease), GALAD achieved sensitivities ranging from 60–80% for early HCC detection^[21]. But numerous studies tended to omit or ignore the application on AFPN-HCC^[22, 23]. Liu. et al developed a serum-based GAAP which was based on gender, age, AFP and PIVKA-II for surveillance of HCC and the model had an AUC value of 0.888 for discriminating AFPN-HCC from the entire control^[24]. Although data are promising, confirmation of the clinical effectiveness in larger studies is needed.

The purpose of this study was to develop a diagnostic model with combined multiple biomarkers or serological examinations for AFPN-HCC via LASSO regression analysis, univariate logistic regression analysis and multivariable logistic regression. Then, the diagnostic model was transformed into a visualized nomogram and its discrimination, calibration and the net benefits were further validated. External validation was made on validation sets. We hope that the diagnostic model could be applied for the diagnosis of AFPN-HCC.

2 Methods

2.1 Study Population

All HCC patients confirmed by postoperative pathology from January 2019 to May 2022 were included in this study. After screening according to inclusion and exclusion criteria, 294 AFPN-HCC patients from the

First Affiliated Hospital of Fujian Medical University were selected as training set and 227 patients from Fujian Provincial Hospital were enrolled as validation set for this retrospective study. Training set also included a cohort of 159 healthy objects, 63 patients with CHB and 64 patients with LC as controls. The validation set from Fujian Provincial Hospital consisted of 137 healthy controls objects, 47 CHB patients and 45 patients with LC. A written informed consent was obtained from all subjects. All procedures followed were in accordance with Helsinki declaration. The development of the study followed the criteria of the TRIPOD statement^[25]. The Ethics Committee of the First Affiliated Hospital of Fujian Medical University reviewed and approved this study (2018[048]).

2.2 Inclusion Criteria and Exclusion Criteria

The HCC inclusion criteria were listed as follows: (1) postoperative pathological diagnosis of HCC; (2) preoperative AFP negative (< 20 ng/mL); (3) first onset without any anticancer treatment before hepatectomy; (4) complete preoperative clinical data.

The HCC exclusion criteria was listed as follows: (1) preoperative AFP positive (≥ 20 ng/mL); (2) received anticancer treatment before hepatectomy; (3) recrudescence HCC; (4) miss data.

The healthy control group was defined as: (1) no family history of cancer and liver-related disease; (2) routine tests including full blood count, electrolytes, liver and kidney function tests, coagulation function, AFP, CEA, PIVKA and other laboratory results within normal range.

The CHB patients were selected according to the guidelines of prevention and treatment for chronic hepatitis B^[26, 27]. The LC patients were enrolled according to guidelines on the management of liver cirrhosis^[28, 29].

2.3 Laboratory Examination and Data Collection

Demographic data (age and gender) was obtained from the electronic medical records. Laboratory examination data including complete blood routine, electrolytes, liver and kidney function, coagulation function, AFP, CEA, PIVKA-II were obtained within one week before surgery from the Laboratory Information System (LIS). The laboratory methods were detailed briefly below. The serum AFP and CEA were measured by the electrochemiluminescence detection system (Roche, Basel, Switzerland); PIVKA-II was measured by the chemiluminescent microparticle immunoassay (Abbott Laboratories, IL, USA). The blood routine was performed using an ADVIA 2120 automatic blood analyzer (Siemens, Erlangen, Germany). The biochemical indexes were detected via the Cobas-8000 automatic biochemical analyzer (Roche Diagnostics, Basel, Switzerland). Automated coagulation tests were performed using CS5100 coagulometric auto analyzers (Sysmex, Kobe, Japan).

2.4 Statistical Analysis

All statistical analysis were performed by SPSS 26.0 (IBM Corporation, 2020, USA) and R (version 4.2.0, R Foundation for Statistical Computing, Vienna, Austria. URL <https://www.R-project.org/>). Continuously distributed variables were reported as mean \pm standard deviation (SD) for data with normal distribution,

or median and interquartile range for nonnormally distributed data. Categorical covariates were expressed as percentage. LASSO regression analysis and univariate logistic regression were used to identify individual factors of AFPN-HCC. Then the significant variables with significance $P < 0.05$ were selected for multivariate analyses. A visualized nomogram was conducted based on the results of the multivariate model. The predictive performance of the algorithm models was measured by the receiver operating characteristic (ROC) curves analysis. DeLong's test was used to compared the validity between the AUCs of each model. A calibration curve was generated for evaluating the calibration. Decision curve analysis (DCA) and clinical impact curves (CIC) was conducted to determine the clinical benefit of the model. Summary of the study design was presented in Fig. 1.

3 Results

3.1 Characteristics of patients

A total of 521 patients (mean age, 59.2 ± 11 years; male to female ratio, 4.1:1) were enrolled in the present study. Demographics, baseline patient characteristics, main laboratory data of AFPN-HCC patients for both training (294 patients) and validation sets (227 patients) were shown in Table 1. There was no significant difference between training set and validation set for demographic and clinical laboratory characteristics.

Table 1
Demographic and Clinical Laboratory Characteristics of the Training and Validation set

		Training set	Validation set	P value
Number of cases		294	227	
Gender, Male/female	n (%)	250/44	196/31	0.568
Age, years	Mean (SD)	59.3 (11.2)	59.1 (11.0)	0.819
AFP, ng/mL	Median [Q1; Q3]	5.14 (4.68)	4.84 (4.16)	0.449
CA199, ng/mL	Median [Q1; Q3]	14.8 [8.36;25.8]	14.5 [8.46;23.0]	0.087
CEA, ng/mL	Median [Q1; Q3]	2.60 [1.73;3.72]	2.57 [1.77;3.66]	0.381
PIVKA-II, mAU/mL	Median [Q1; Q3]	100 [38.0;634]	146 [44.5;1137]	0.142
Basophil counts, 10⁹/L	Median [Q1; Q3]	0.03 [0.02;0.04]	0.03 [0.02;0.04]	0.933
Eosinophils counts, 10⁹/L	Median [Q1; Q3]	0.11 [0.07;0.17]	0.11 [0.06;0.18]	0.61
Lymphocyte counts, 10⁹/L	Mean (SD)	1.72 (0.98)	1.69 (0.62)	0.675
Monocyte counts, 10⁹/L	Mean (SD)	0.42 (0.21)	0.41 (0.20)	0.612
Neutrophil counts, 10⁹/L	Mean (SD)	3.99 (3.66)	3.84 (2.58)	0.59
WBC counts, 10⁹/L	Mean (SD)	6.06 (2.25)	6.17 (2.53)	0.599
PLT counts, 10⁹/L	Mean (SD)	180 (70.4)	181 (71.9)	0.81
RBC counts, 10¹²/L	Median [Q1; Q3]	4.58 [4.22;4.90]	4.56 [4.18;4.89]	0.232
HCT, L/L	Median [Q1; Q3]	0.42 [0.39;0.46]	0.42 [0.40;0.45]	0.759
HGB, g/L	Median [Q1; Q3]	144 [131;153]	142 [133;151]	0.369
MCH, pg	Median [Q1; Q3]	31.3 [30.3;32.4]	31.2 [30.0;32.3]	0.286

Abbreviations:

AFP, -fetoprotein; CEA, carcinoembryonic antigen; CA199, carbohydrate antigen199; PIVKA-II, protein induced by Vitamin K absence or antagonist-II; PLT, platelet; WBC, white blood cell ; RBC, red blood cell; HCT, red blood cell specific volume; HGB, hemoglobin; MCV, mean corpuscular volume; MCH, mean corpuscular hemoglobin; MCHC, mean corpuscular hemoglobin concentration; RDW, red blood cell distribution width; ALB, albumin; ALP, alkaline phosphatase; ALT, alanine aminotransferase; ApoB, apolipoprotein B; ApoA1, apolipoprotein A1; CA, calcium; AST, aspartate aminotransferase; CK, creatine kinase; CL, chlorine; CREA, creatinine; DBIL, direct bilirubin; GGT, -glutamyl transpeptidase; GLO, globin; GLU, glucose; HDLC, high-density lipoprotein cholesterol; IBIL, indirect bilirubin; K kalium; LDH, lactate dehydrogenase; LDLC, low-density lipoprotein cholesterol; MG, magnesium; NA, natrium; P, phosphorus; TBIL, total bilirubin; TCHO, total cholesterol; TG, total triglycerides; TP, total protein; UA, uric acid; UREA, urea nitrogen; APTT, activated partial thromboplastin time; Fg, fibrinogen; PT, prothrombin time; INR, international normalized ratio; TT, thrombin time

		Training set	Validation set	<i>P</i> value
MCHC, g/L	Median [Q1; Q3]	337 [330;345]	335 [328;342]	0.215
MCV, fL	Median [Q1; Q3]	92.9 [90.4;95.8]	92.8 [89.6;96.1]	0.688
RDW, %	Median [Q1; Q3]	13.4 [12.6;14.2]	13.2 [12.5;14.1]	0.226
ALB, g/L	Median [Q1; Q3]	42.8 [38.5;46.0]	41.8 [38.0;44.8]	0.153
ALP, U/L	Mean (SD)	92.7 (54.0)	101 (76.5)	0.135
ALT, U/L	Median [Q1; Q3]	27.0 [19.0;40.5]	27.0 [19.2;41.8]	0.184
AST, U/L	Median [Q1; Q3]	28.0 [21.5;36.0]	28.0 [21.0;40.5]	0.247
CA, mmol/L	Median [Q1; Q3]	2.32 [2.25;2.40]	2.25 [2.17;2.33]	0.334
CK, U/L	Median [Q1; Q3]	89.0 [61.5;112]	83.0 [66.0;114]	0.658
CKMB, U/L	Median [Q1; Q3]	14.0 [11.0;18.0]	14.0 [11.0;19.0]	0.689
CL, mmol/L	Mean (SD)	103 (8.88)	101 (14.2)	0.151
CREA, umol/L	Median [Q1; Q3]	70.1 [59.0;80.0]	69.0 [60.8;79.0]	0.779
DBIL, umol/L	Median [Q1; Q3]	4.90 [3.80;6.65]	4.80 [3.70;6.55]	0.611
GGT, U/L	Median [Q1; Q3]	44.0 [26.5;85.0]	41.0 [27.0;71.5]	0.518
GLO, g/L	Median [Q1; Q3]	27.8 [25.0;30.5]	27.4 [24.8;30.6]	0.593
GLU, mmol/L	Median [Q1; Q3]	5.12 [4.56;6.13]	5.13 [4.58;6.37]	0.642
IBIL, umol/L	Median [Q1; Q3]	7.70 [5.30;10.8]	7.70 [5.35;11.1]	0.881
K, mmol/L	Median [Q1; Q3]	4.10 [3.90;4.40]	4.09 [3.90;4.30]	0.279
LDH, U/L	Median [Q1; Q3]	190 [166;216]	189 [166;216]	0.882
MG, mmol/L	Median [Q1; Q3]	0.88 [0.83;0.94]	0.87 [0.82;0.92]	0.175

Abbreviations:

AFP, -fetoprotein; CEA, carcinoembryonic antigen; CA199, carbohydrate antigen199; PIVKA-II, protein induced by Vitamin K absence or antagonist-II; PLT, platelet; WBC, white blood cell ; RBC, red blood cell; HCT, red blood cell specific volume; HGB, hemoglobin; MCV, mean corpuscular volume; MCH, mean corpuscular hemoglobin; MCHC, mean corpuscular hemoglobin concentration; RDW, red blood cell distribution width; ALB, albumin; ALP, alkaline phosphatase; ALT, alanine aminotransferase; ApoB, apolipoprotein B; ApoA1, apolipoprotein A1; CA, calcium; AST, aspartate aminotransferase; CK, creatine kinase; CL, chlorine; CREA, creatinine,; DBIL, direct bilirubin; GGT, -glutamyl transpeptidase; GLO, globin; GLU, glucose; HDLC, high-density lipoprotein cholesterol; IBIL, indirect bilirubin; K kalium; LDH, lactate dehydrogenase; LDLC, low-density lipoprotein cholesterol; MG, magnesium; NA, natrium; P, phosphorus; TBIL, total bilirubin; TCHO, total cholesterol; TG, total triglycerides; TP, total protein; UA, uric acid; UREA, urea nitrogen; APTT, activated partial thromboplastin time; Fg, fibrinogen; PT, prothrombin time; INR, international normalized ratio; TT, thrombin time

		Training set	Validation set	<i>P</i> value
NA, mmol/L	Median [Q1; Q3]	141 [140;142]	141 [139;142]	0.722
P, mmol/L	Median [Q1; Q3]	1.04 [0.94;1.16]	1.0·7 [0.97;1.19]	0.103
TBIL, umol/L	Median [Q1; Q3]	12.7 [9.40;17.6]	12.2 [9.40;17.1]	0.788
TP, g/L	Median [Q1; Q3]	70.8 [64.7;74.4]	70.0 [64.8;74.4]	0.554
UA, umol/L	Mean (SD)	342 (97.8)	336 (97.1)	0.491
UREA, mmol/L	Median [Q1; Q3]	5.10 [4.40;6.11]	5.20 [4.50;6.40]	0.273
APTT, s	Median [Q1; Q3]	28.6 [25.8;35.0]	27.4 [25.5;33.6]	0.052
Fg, g/L	Median [Q1; Q3]	2.79 [2.30;3.37]	2.70 [2.26;3.33]	0.373
PT, s	Median [Q1; Q3]	12.0 [11.2;13.2]	12.0 [11.1;13.0]	0.242
INR	Median [Q1; Q3]	1.03 [0.97;1.10]	1.03 [0.97;1.08]	0.478
TT, s	Median [Q1; Q3]	17.3 [16.4;18.0]	17.2 [16.3;18.1]	0.616
Abbreviations:				
AFP, -fetoprotein; CEA, carcinoembryonic antigen; CA199, carbohydrate antigen199; PIVKA-II, protein induced by Vitamin K absence or antagonist-II; PLT, platelet; WBC, white blood cell ; RBC, red blood cell; HCT, red blood cell specific volume; HGB, hemoglobin; MCV, mean corpuscular volume; MCH, mean corpuscular hemoglobin; MCHC, mean corpuscular hemoglobin concentration; RDW, red blood cell distribution width; ALB, albumin; ALP, alkaline phosphatase; ALT, alanine aminotransferase; ApoB, apolipoprotein B; ApoA1, apolipoprotein A1; CA, calcium; AST, aspartate aminotransferase; CK, creatine kinase; CL, chlorine; CREA, creatinine,; DBIL, direct bilirubin; GGT, -glutamyl transpeptidase; GLO, globin; GLU, glucose; HDLC, high-density lipoprotein cholesterol; IBIL, indirect bilirubin; K kalium; LDH, lactate dehydrogenase; LDLC, low-density lipoprotein cholesterol; MG, magnesium; NA, natrium; P, phosphorus; TBIL, total bilirubin; TCHO, total cholesterol; TG, total triglycerides; TP, total protein; UA, uric acid; UREA, urea nitrogen; APTT, activated partial thromboplastin time; Fg, fibrinogen; PT, prothrombin time; INR, international normalized ratio; TT, thrombin time				

3.2 Identify Independent Variables Significantly Associated with AFPN-HCC

To identify the impact of individual factors associated with AFPN-HCC, univariate analysis was performed in training set. The results showed that 17 variables including age, gender, CEA, PIVKA-II, monocyte counts, neutrophil counts, PLT counts, WBC counts, MCHC, RDW, ALP, CK, CREA, GLU, APTT, Fg, PT with statistical significance ($P < 0.05$) were brought into the next analysis (Table 2). Summary statistics for all independent variables were presented in Supplementary Table 1. Given the large number of variables, LASSO regression was used for dimensionality reduction analysis to further screen AFPN-HCC-related factors from the univariate analysis result. Figure 2A showed the path of all candidate variable coefficients included in the model according to the level of logarithmic transformation λ , and as the optimal penalization coefficient λ increased, the number of independent coefficients tended toward zero. Identification of the λ in the LASSO model used tenfold cross-validation and minimum criterion. The

confidence interval (CI) under each λ was shown in Fig. 3D. The non-zero coefficients were considered to have strong prognostic potential in the LASSO penalized regression model. As a result, a total of 17 significant factors from the result of the univariate regression were used for the LASSO regression, and 8 key variables including age, gender, PIVKA-II, monocyte counts, PLT counts, ALP, PT, MCHC were left (Table 3). All 12 variables were compared between the HCC, LC, CHB and HC groups. (Fig. 2).

Table 2
Significant Variables of Univariate Logistic Regression Analysis(n = 17)

Variables	β	OR	95%CI		P-value
			Lower	Upper	
Age	0.085	1.088	1.07	1.107	< 0.001
Gender	-0.843	0.430	0.286	0.648	< 0.001
CEA	0.147	1.158	1.059	1.267	0.001
PIVKA-II	0.002	1.002	1.001	1.003	< 0.001
Monocyte counts	2.576	13.147	4.318	40.028	< 0.001
Neutrophil counts	0.189	1.209	1.084	1.347	0.001
PLT counts	-0.003	0.978	0.964	0.991	0.001
WBC counts	0.114	0.997	0.994	0.999	0.002
MCHC	-0.015	1.121	1.032	1.217	0.007
RDW	0.022	0.888	0.795	0.991	0.034
ALP	0.008	1.008	1.004	1.013	< 0.001
CK	-0.003	0.997	0.995	1.000	0.030
CREA	0.013	1.013	1.004	1.023	0.006
GLU	0.223	1.249	1.122	1.391	< 0.001
APTT	-0.053	0.948	0.921	0.976	< 0.001
Fg	0.320	1.377	1.135	1.671	0.001
PT	-0.369	0.691	0.608	0.786	< 0.001

Note: Data were presented as the odds ratio with the confidence interval

Abbreviations: OR, odds ratio; CI, confidence interval. CEA, carcinoembryonic antigen; PIVKA-II, protein induced by Vitamin K absence or antagonist-II; PLT, platelet; WBC, white blood cell ; MCHC, mean corpuscular hemoglobin concentration; RDW, red blood cell distribution width; ALP, alkaline phosphatase; CK, creatine kinase; CREA, creatinine; GLU, glucose; APTT, activated partial thromboplastin time; Fg, fibrinogen; PT, prothrombin time

Table 3
The Result of LASSO Regression Analysis(n = 8)

Variables	LASSO regression coefficient
Gender	4.08E-02
Age	1.29E-02
PIVKA-II	3.23E-06
Monocyte counts	1.82E-01
PLT counts	-3.68E-04
ALP	2.59E-04
PT	-5.03E-02
MCHC	-6.59E-11

2.2 Developing and Visualized a Multivariate Logistic Regression Model

A total of 8 variables were included in the multivariate logistic regression analysis to evaluate combination effects of multiple factors. To facilitate clinical usefulness and practicality, the PIVKA-II was transformed into four levels(1, 2, 3, 4) according to quartile. At last, four significant predictors (age, PLT counts, PT, PIVKA-II) were included in the final model. The OR, 95% CI, and its statistical significance for each variable were presented in Table 4. Univariate logistic regression analysis showed that age (OR = 1.082, $P < 0.001$), PIVKA-II (OR = 6.318, $P = < 0.001$), PLT (OR=-0.006, $P = 0.01$), PT (OR=-0.588, $P = 0.003$) could construct a diagnosis model of AFPN-HCC.

Table 4
Significant Variables of Multivariate Logistic Regression Analysis(n = 4)

Variables	β	OR	95%CI		P-value
			Lower	Upper	
Age	0.079	1.082	1.057	1.108	< 0.001
PIVKA-II	1.843	6.318	4.644	8.595	< 0.001
PT	-0.588	0.555	0.458	0.673	< 0.001
PLT counts	-0.006	0.994	0.991	0.998	0.001

We then established a nomogram for AFPN-HCC diagnosis including these four independent factors based on the multivariate logistic regression analysis (Figure.4D). Use of the nomogram is simple. First

the points corresponding to each variable were marked, and then the sum of the points was calculated as the total points, at last we can get the predicted probability value corresponded the total point.

2.3 Validation prediction models

The AUC of ROC curve to distinguish HCC patients from controls in training set was 0.937(95% CI, 0.917–0.956) and in the validation set was 0.942(95% CI, 0.9096–0.964) (Fig. 4A,4E). In addition, with a cutoff point maximizing the sum of sensitivity and specificity, the model achieved sensitivity values of 0.902 and 0.921, and corresponding specificity values of 0.854, 0.882 in training and validation set respectively. The control population comprised of three parts: CHB patients, LC patients, and healthy controls. In order to further confirm the discriminatory power of the model, ROC curve was performed to compare AFPN-HCC with each of the three other groups in training (Fig. 4B-D) and validation sets (Fig. 4F-H). In training set, the model had an AUC of 0.958(95% CI, 0.941–0.975), 0.885(95% CI, 0.845–0.927), and 0.936(95% CI, 0.909–0.964) for distinguish HCC from the HC subsets, the CHB subset, and the LC subset. In validation set, the AUC was 0.956(95% CI, 0.937–0.975), 0.941(95% CI, 0.918–0.963), and 0.911(95% CI, 0.863–0.957).

To evaluate the agreement between predicted probability and the fraction of true observed outcome, the calibration curve was plotted. The calibration curve (Fig. 5A,5B) demonstrated that there was a good agreement between the actual observations and predicted probabilities of AFPN-HCC, and the nomogram model appeared to be well-calibrated in training set (mean absolute error = 0.016) and validation set (mean absolute error = 0.010).

To assess the clinical practicality and usefulness of our model, the DCA and CIC was conducted. DCA was conducted to determine the clinical utility of the model by quantifying the net benefits at different threshold probabilities. With the extension of the model curve, the net benefit increases, the results showed that the model yielded net benefits both in training set (Fig. 5C) and validation set (Fig. 5D). CIC of the model in the training set (Fig. 5E) and validation set (Fig. 5F) showed that the predicted number of high-risk patients was always greater than with outcomes of HCC when the risk threshold was in the range of 0–0.3, and the cost–benefit ratios would be acceptable in the same range.

2.4 Comparison of the diagnostic efficacy of PIVKA-II and GAAP model

In order to investigate the different indicators' accuracies, ROC curves were drawn and the AUC comparison was performed using DeLong's test (Fig. 6, Table 5). As demonstrated previously, the level of PIVKA-II in the HCC group was significantly higher than that in other subsets ($P < 0.001$, Fig. 2C). The AUC value of PIVKA-II alone to diagnose HCC in the training set was 0.851, which was lower than our model($P < 0.001$). The GAAP model provided an AUC value of 0.892 and it was lower than the AUC of our model($P = 0.012$).

Table 5
Comparison between the model and PIVKA-II and GAAP model

	Model/ Biomarker	AUC (95% CI)	P value	Cut-Off	Sensitivity	Specificity
Trainset set	Model	0.937(0.892–0.938)	–	0.591	0.902	0.854
	PIVKA-II	0.884(0.857–0.911)	< 0.001	0.518	0.846	0.837
	GAAP	0.763(0.682–0.844)	< 0.001	0.424	0.864	0.830
Validation set	Model	0.942(0.921–0.963)	–	0.510	0.917	0.886
	PIVKA-II	0.882(0.852–0.913)	< 0.001	0.525	0.851	0.838
	GAAP	0.882(0.851–0.9135)	< 0.001	0.450	0.829	0.794

Discussion

In this study, we constructed a nomogram prediction model including age, PIVKA-II, PLT and PT through LASSO, univariate and multivariate logistic regression analysis. An additional series of analysis was performed for model validation using training set and external validation set. It's well known that the AUC represents the diagnostic efficacy: AUC values of 0.5–0.7 indicate that the diagnostic value is limited, AUC values between 0.7 and 0.9 indicate a perfect diagnostic value, and AUC values greater than 0.9 indicate high accuracy. To further verify the diagnostic power of the model, we compared the discrimination of different control population (HC, CHB and LC). Thus, our data indicated that the model was deemed fit. Overall, the model presents excellent value in diagnose AFPN- HCC patients from healthy controls and benign liver disease (CHB, LC).

In this study, we included age in the final result and the trend matched the clinical and epidemiological observations that increased age are regarded as an independent HCC risk factor^[30]. HCC patients often have chronic hepatitis and liver cirrhosis background, so the liver function and liver reserve have been altered and damaged. Among the four key factors, PT and PLT are part of the biomarker referred to liver function. PT is a very important index reflecting liver synthesis function, reserve function and increased PT depends on the decreased synthesis of liver-derived coagulation factors^[31, 32]. The nomogram shows that high level of PT represents a low point. One possible reason may be that the CHB hospitalized patients always occur with severe liver dysfunction which may leads to a high level of PT (Fig. 2G). Another reason may be due to that the data of HCC patients were collected before surgery with well-preserved hepatic function, so the PT tests were mostly within normal ranges. Numerous predictive models based on PLT counts have been built to identify HCC risk and the result showed that low numbers of platelets were associated with increased risk of HCC^[33]. Due to the liver cirrhosis background which could ultimately lead to portal hypertension and hypersplenism, HCC patients showed a subsequent lower platelet count than healthy individuals (Fig. 2E).

For a long time, there has been considerable effort devoted to searching for new biomarkers for diagnosis of HCC. In clinical practice, AFP is the most commonly used serum marker to screen for and diagnose HCC, but AFP-based methods are unsuitable for patients with AFPN-HCC. Several studies have discovered novel potential biomarkers such as PIVKA-II, AFP-L3, Golgi protein 73 (GP73), squamous cell carcinoma antigen (SCCA), centromere protein F autoantibody (anti-CENPF), glypican 3 and a number of DNA biomarkers, RNA biomarkers, protein biomarkers, but the clinical applications await future large-scale validation studies^[34–39]. Among these, PIVKA-II has been detected to be elevated in HCC patients and has high sensitivity and specificity for differentiating HCC from patients with cirrhosis or chronic hepatitis^[40]. Previous studies have indicated that the AUC of PIVKA-II for diagnosing HCC ranged from 0.701 to 0.854, sensitivity ranged from 0.51 to 0.77 and specificity from 0.678 to 0.912^[41, 42]. In our study, the AUC for using PIVKA-II alone was 0.884 (95%CI, 0.857–0.911) with sensitivity of 0.46 and specificity of 0.837 using training set data, which was consistent with the previous studies. However, warfarin usage increased alongside the worldwide ageing population, the value of PIVKA-II in HCC diagnostic will gradually decrease. A number of lines of evidence have indicated that PIVKA-II had no sufficient sensitivity as single markers for routine use in HCC surveillance^[43, 44].

Currently, the combination of multiple indicators has been investigated as candidate HCC biomarker. However, most of these models often missed the application value on AFPN-HCC which was composed of AFP and other biomarkers. For the detection of early stage HCC, the GALAD models has shown a specificity of 81.6%-93.3% and sensitivity of 80.2%-85.6% in different populations.²⁰ In addition, there was a consistent view that the combination of biomarkers is superior to the use of a single biomarker. The GAAP model had a 0.888 AUC in distinguish AFPN-HCC from chronic hepatitis liver disease and performed similarly to that of the GALAD score.^[24] But in this study, the control groups concluded CLD only and required a multicenter large-scale validation to verify the results. In our study, we compared with GAAP model using training and validation set, and the results showed that the AUC of GAAP model was 0.763(95%CI,0.682–0.844) and 0.882((95%CI, 0.851–0.9135) which was lower than our model. Additionally, among the comparison of the diagnostic efficacy of PIVKA-II and the GAAP model, our model showed the best combined sensitivity and specificity.

Another advantage of our model is easy to compute without the use of complicated formula, after visualization as a nomogram. The nomogram provided easy-to-understand clinical tools which higher total points had a greater probability of being diagnosed as AFPN-HCC. For example, a 65-years-old (79 points), his laboratory examination result found that PIVKA-II is 455mAU/ml (assignment is 4, 100 points), PLT is 185×10⁹/L (67 points), PT is 11.8s (78 points), then a total point value of 324points is given, which corresponds to a 0.989 probability being diagnostic as HCC.

There remain insufficiencies in this research. Firstly, we concede that our study was only a retrospective analysis, but this analysis will serve as a basis for a prospective trial. Then, further larger multi-centric studies are still required to ensure generalizability.

In conclusion, we assessed age, gender and 50 commonly used laboratory index and identified a combination of biomarkers that may be of use in the diagnosis of AFPN-HCC. The model partially filled the diagnostic blind area of AFPN-HCC and showed potential for further improving detection rates for AFPN- HCC.

Declarations

Disclosure

The authors declare no conflict of interest.

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Figures

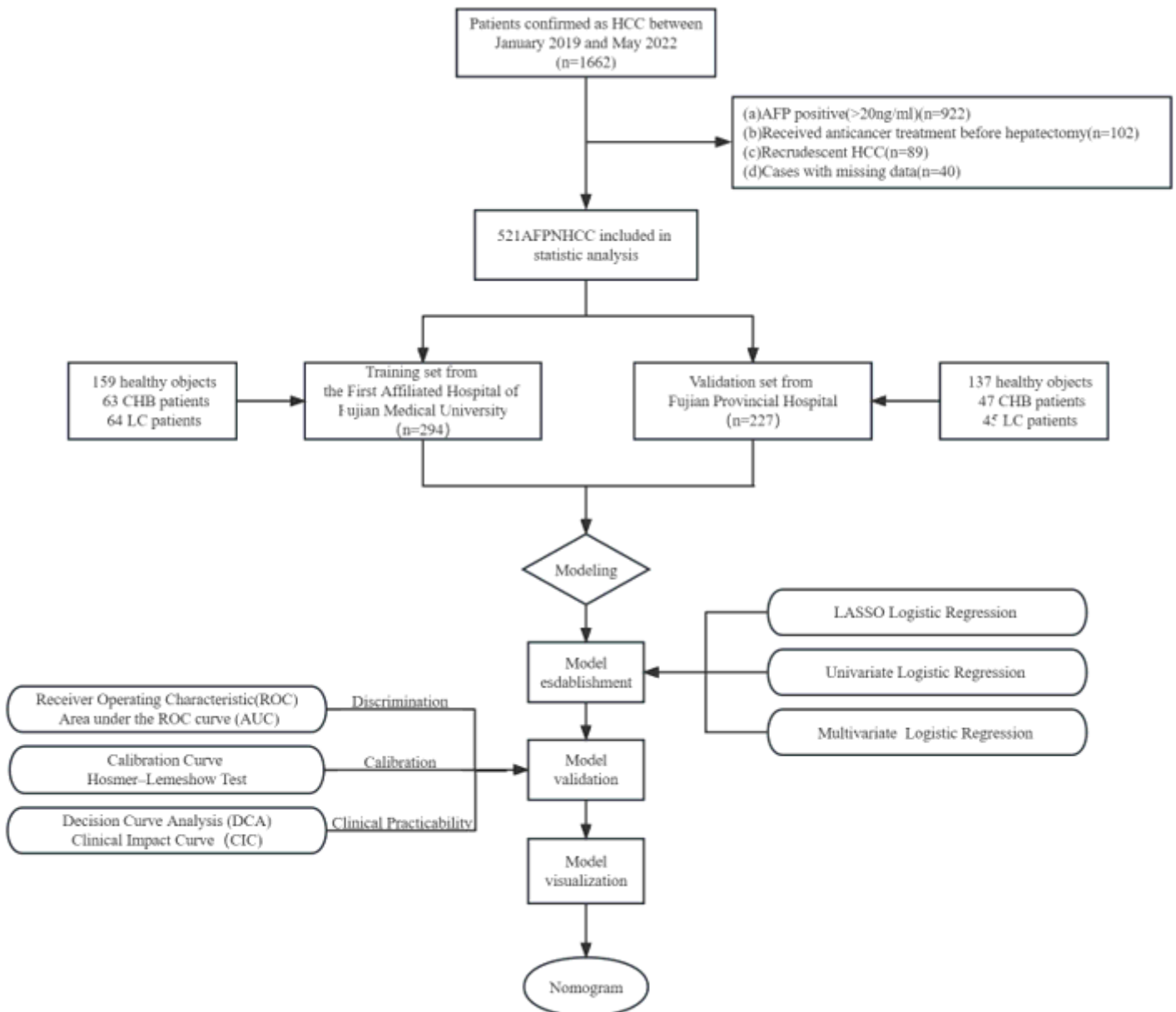


Figure 1

Procedure of study flowchart

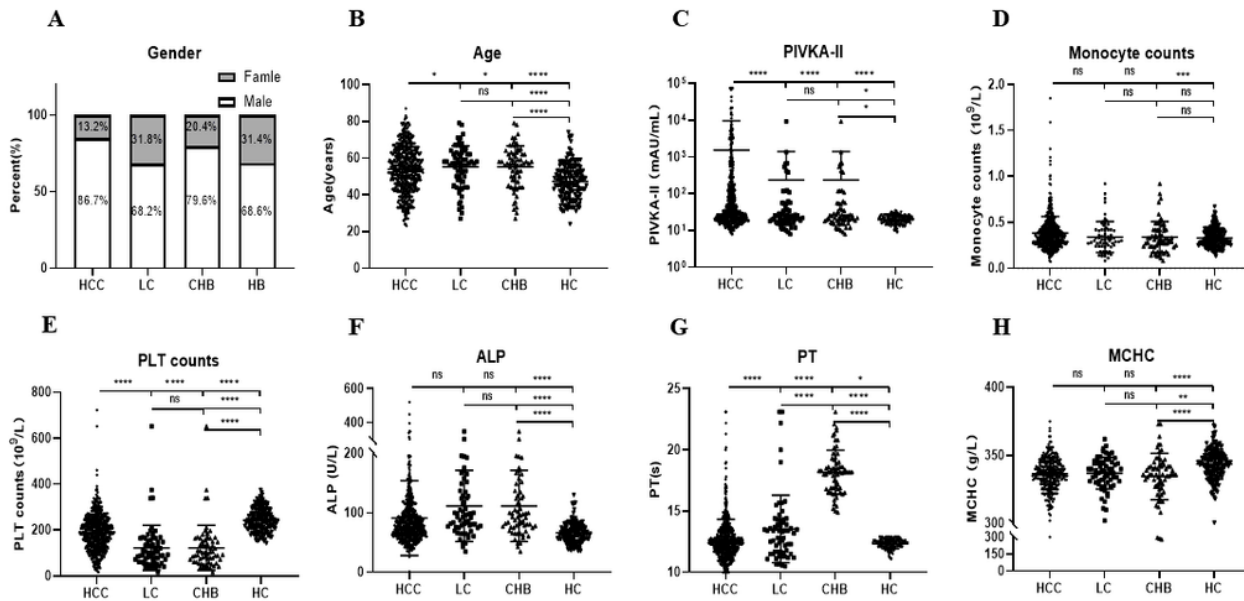


Figure 2

Selected candidate indicators in HCC and non-HCC groups

For gender(A), Chi-square tests were performed. For age(B), monocyte counts(D) , PLT counts(E) and ALP(F), the multiple pairwise comparisons were made using Tukey's Method. For PIVKA-II(C), PT(G) and MCHC(H), Kruskal–Wallis tests were used for comparisons among groups. *** $P < 0.001$, ** $P < 0.01$, * $P < 0.05$, ns $P > 0.05$, ns, no significance.

Abbreviations: HCC, hepatocellular carcinoma; LC, liver cirrhosis; HC, healthy controls; CHB, chronic hepatitis B; PIVKA-II, protein induced by Vitamin K absence or antagonist-II; PLT, platelet; ALP, alkaline phosphatase; PT, prothrombin time; MCHC, mean corpuscular hemoglobin concentration

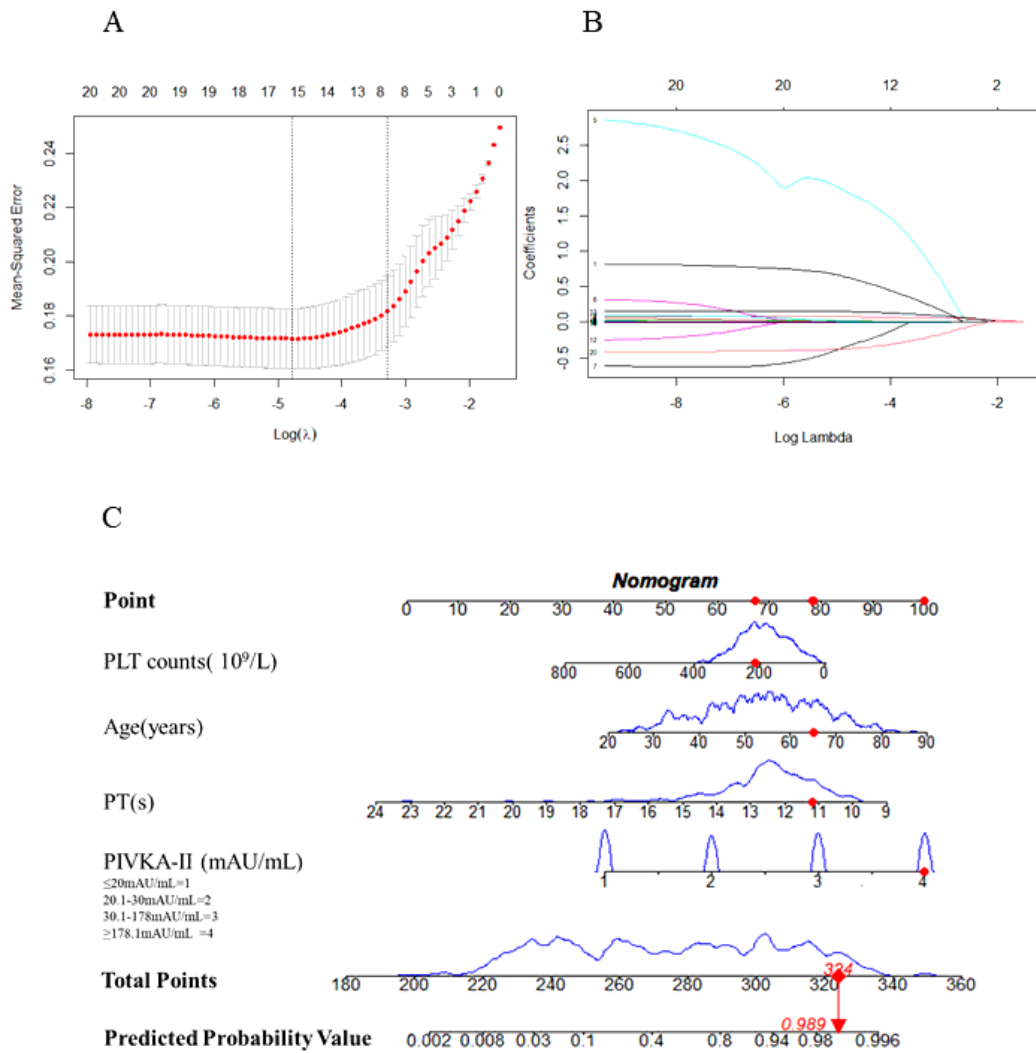


Figure 3

Developing and visualized of diagnosis model of AFPN-HCC

(A) Tuning parameter (λ) selection in the LASSO model used 10-fold cross-validation via minimum criteria. Dotted vertical lines were drawn at the optimal values using the minimum criteria and the 1 standard error of the minimum criteria. (B) LASSO coefficient profiles of the 17 factors. A coefficient

profile plot was generated against the log (Lambda) sequence. Vertical line represents the values selected where optimal lambda resulted in 8 nonzero coefficients. (C) The nomogram of the model. The red dot represents the patient's characteristics on each variable axis and they are projected to the top line to get the corresponded point. Each summation point corresponds to a predicted probability value in the horizontal line on the bottom of the nomogram.

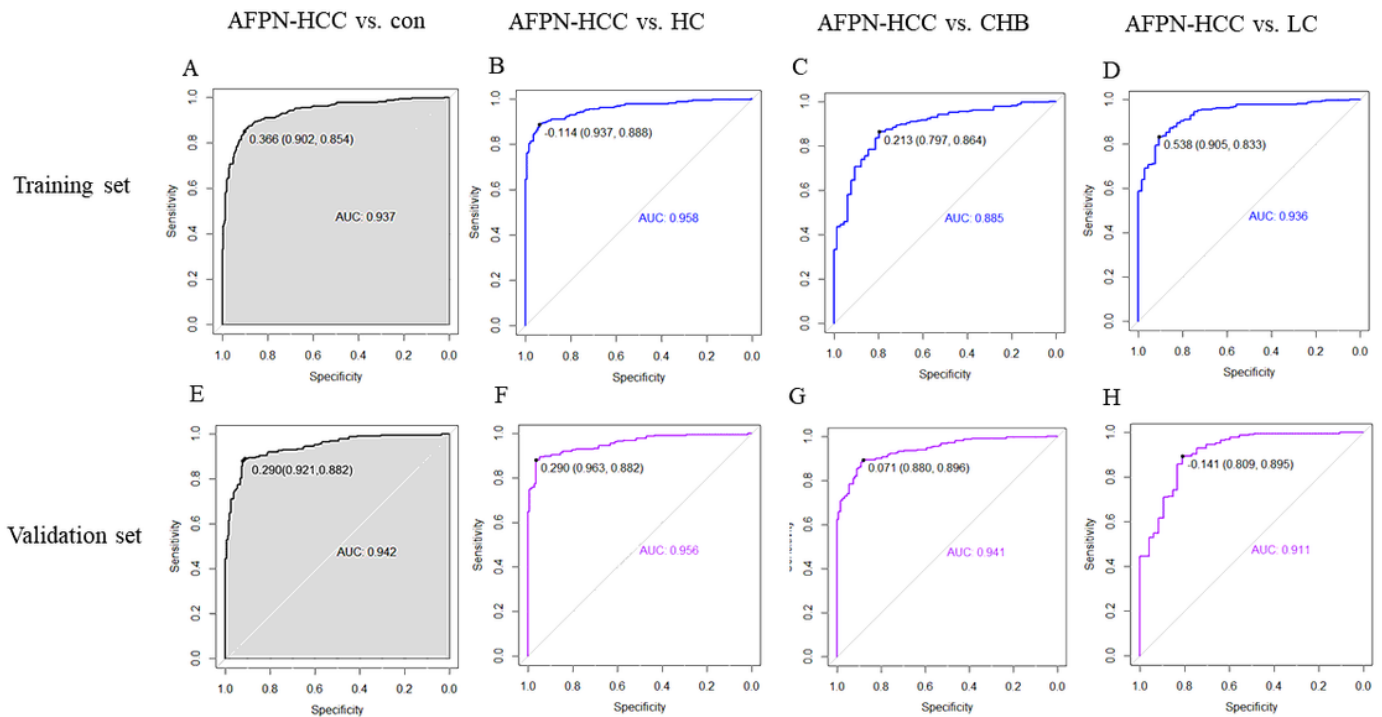


Figure 4

The ROC of the nomogram

The ROCs represent the performance of established nomogram model for discriminating AFPN-HCC from whole controls, HC, CHB and LC in training set. (A-D) and validation set (E-H). The AUC of each ROC has been marked in the figure. The number under the curve represents cut-off (Specificity, Sensitivity).

Abbreviations AFPN-HCC, AFP-negative hepatocellular carcinoma; HC, healthy control; LC, liver cirrhosis; CHB, chronic hepatitis B; AUC, area under the curve.

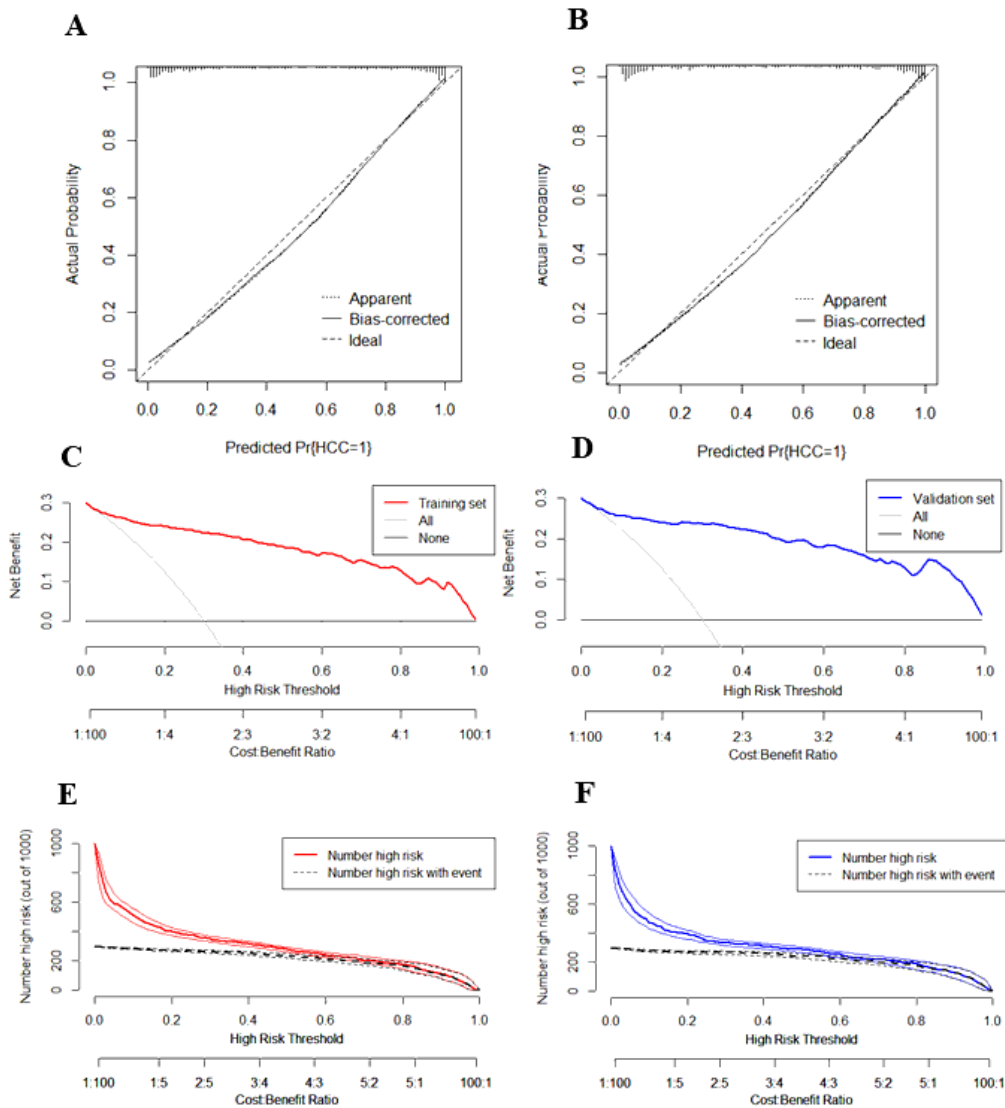


Figure 5

Calibration plot, DCA and CIC in training sets(A) and validation sets(B).

The dotted line represents a perfect prediction by an ideal model, and the solid black line shows the performance of the model. DCA in training set (C) and validation set (D). The x-axis represents the threshold probability and the y-axis shows the net benefit. The dark horizontal line means one extreme

situation that all samples are negative and not treated, with a net benefit of zero. The gray-dotted line indicates the other extreme situation that all patients suffered AFPN-HCC. Clinical impact curve (CIC) in training set training set(D) and validation set(E). The number of high-risk patients and the number of high-risk patients with event were plotted by different threshold probability in a population.

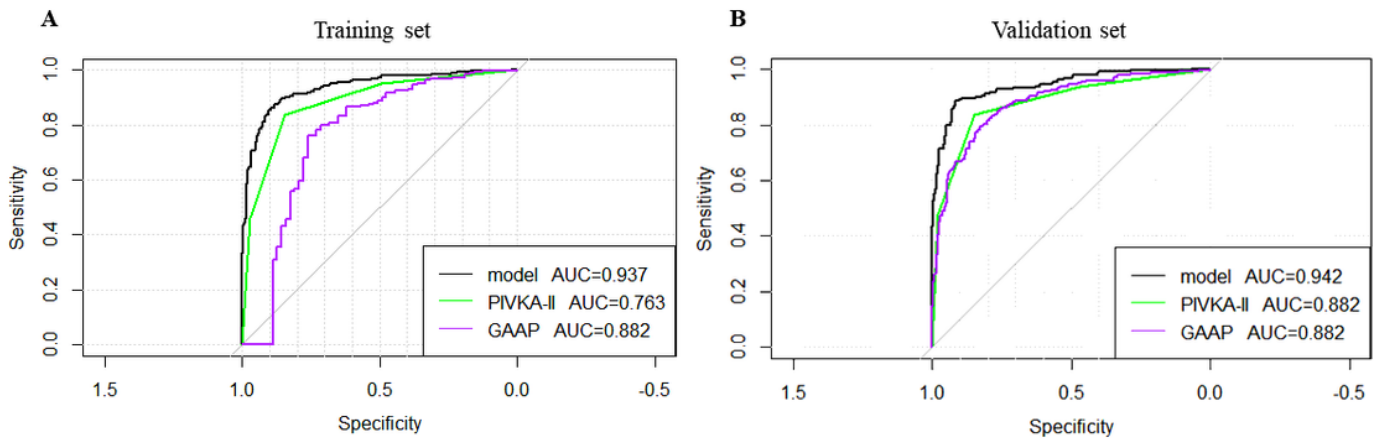


Figure 6

The ROC of the model, PIVKA-II and GAAP model in training sets(A) and validation sets(B).

Supplementary Files

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- [SupplementaryTable1.docx](#)