

Auricular acupressure for hot flashes in patients with prostate cancer: protocol for a pilot randomized controlled trial

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Study protocol

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1 **Auricular acupressure for hot flashes in patients with prostate cancer:**
2 **protocol for a pilot randomized controlled trial**

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10
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12
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14 Randomized controlled trial

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26 **Abstract**

27 **Background:** Hot flashes, characterized by intense heat sensation and diaphoresis, are
28 common side effects resulted from hormonotherapy in patients with prostate cancer.
29 Cumulated studies have revealed beneficial role of acupuncture as complementary and
30 alternative recipe for the management of hot flashes. However, little is known about the
31 auricular acupressure (AA), a micro-acupuncture technique whose therapeutic purpose is
32 similar with conventional acupuncture. Therefore, this current study aims to explore the
33 effects and determine the feasibility of AA for hot flashes in patients with prostate cancer.

34 **Methods/Design:** This proposed pilot study is a two-arm parallel, single-blinded, randomized
35 sham-controlled trial. A total of 72 participants of prostate cancer suffered with hot flashes
36 will be recruited and randomly allocated into two groups in a 1:1 ratio. Equal randomization
37 is conducted using a computer-generated random allocation sequence. *Sheng Zhi Qi* (TF2),
38 *Nei Fen Mi* (CO18), *Shen Men* (TF4), *Shen* (CO10) and *Pi Zhi Xia* (AT4) are selected as
39 experimental acupressure points, and five helix points (HX 8-12) are used as sham control
40 acupressure points. Participants in the experimental group and control group will receive AA
41 and sham-AA treatment, respectively. The duration of the treatment is 6 weeks with two
42 sessions per week, and the follow-up period is 12 weeks. The primary outcome is Hot Flash
43 Score (HFS). The secondary outcomes include Quality of Life (QoL), Pittsburgh Sleep
44 Quality Index (PSQI) and Hamilton Anxiety Scale (HAS). All outcomes measurement will be
45 conducted before and through treatment period as well as follow-up period. Safety
46 assessment will be carried out through treatment and follow-up period.

47 **Discussion:** This pilot study will for the first time advance our knowledge on feasibility of
48 AA in alleviating hot flashes in patients of prostate cancer and provide preliminary evidence
49 for a further full-scale trial.

50 **Trial registration:** Chinese Clinical Trial Registry, ChiCTR1900026694. Registered on 19
51 October 2019.

52

53 **Background**

54 Prostate cancer is one of the most common cancer types and the second leading cause of
55 cancer related death in men worldwide [1]. Hormonotherapy, carried out by surgical or
56 medical castration combined with antiandrogen, has been standard modality for the treatment
57 of locally advanced and metastatic prostate cancer [2,3]. Although this therapy can be quite
58 efficacious, it, concomitantly, is associated with a range of side effects [4-6]. One of the well-
59 known and uncomfortable side effects is the experience of hot flashes, which occurs in 50%
60 to 80% of patients with advanced prostate cancer receiving hormonotherapy [7-9]. Hot
61 flashes, characterized by subjective sensations of heat or sweating, are often associated with
62 psychosomatic disorders and adversely affect the quality of life [10,11]. Given that the
63 hormonotherapy is usually lifelong for patients of advanced prostate cancer, manipulation of
64 potential hot flashes accompanied is urgently needed.

65 The pathophysiology of hot flashes is quite complex and has not been fully understood,
66 thus management of these symptoms remains challenging [12-14]. A variety of medical
67 options have been evaluated for alleviating hot flushes, including hormonal replacement
68 therapies (e.g., estrogen, progesterone analogs and cyproterone acetate), non-hormonal drug
69 treatments such as gabapentin, clonidine and selective serotonin reuptake inhibitors (e.g.,
70 venlafaxine, paroxetine) [15-17]. Most of these treatments have been assessed mainly in post-
71 menopausal women and particularly breast cancer patients undergoing hormonotherapy [18].
72 There have been far fewer studies in men for patients of prostate cancer treated with
73 hormonotherapy. While moderately effective, both hormonal and non-hormonal therapies are
74 costly and have been associated with a series of unfavorable complications including nausea,

75 dizziness, and anxiety [15-17]. Thus, more effective treatments with fewer side effects
76 remain to be explored for hot flashes.

77 Complementary and alternative therapies have been used successfully to treat a variety
78 of health problems for few or no side effects. Previous studies demonstrated that traditional
79 Chinese medicine formula [19], medicinal herb [20], as well as phytoestrogens [21] were
80 effective in treating hot flashes. Acupuncture, a popular and recognized complementary and
81 alternative therapy, has also shown beneficial effects for the management of hot flashes in
82 post-menopausal women with or without breast cancer [22], and in men with prostate cancer
83 [23]. Auricular acupressure (AA), a micro-acupuncture technique whose therapeutic purpose
84 is similar with conventional acupuncture, has been investigated in improving sleep quality,
85 relieving menopausal anxiety and hot flashes [24-26]. Harding *et al.* reported that auricular
86 acupuncture might be a useful treatment for hot flashes in men with prostate cancer upon
87 medicinal castration treatment [27]. However, little is known about AA for the treatment of
88 hot flashes in patients with prostate cancer.

89 In the current study, we aim to perform a pilot, randomized and controlled trial (RCT) to
90 determine whether AA is effective and safe as compared to a sham-AA control for the
91 management of hot flashes in patients with prostate cancer. The results of this trial will form
92 an informative basis of feasibility and provide preliminary evidence guiding a further full-
93 scale trial.

94

95 **Methods/Design**

96 **Design**

97 This pilot study is a prospective, two-arm parallel, single-blinded, randomized, sham-
98 controlled clinical trial, and the protocol is presented according to items recommendation of
99 SPIRIT 2013 Checklist (Additional file 1). Eligible patients will be randomly divided into the

100 AA experimental group and the sham-AA control group in a 1:1 allocation ratio. Equal
101 randomization will be conducted using a computer-generated random allocation sequence.
102 All participants will be required to sign the informed consent before proceeding into the trial.
103 The schematic flow chart of the study process is shown in Figure 1, and the participant
104 timeline with events schedule is provided in Figure 2.

105

106 **Participants**

107 *Setting and recruitment*

108 This trial will be conducted in The Second Affiliated Hospital of Guangzhou University
109 of Chinese Medicine. Participants of the study is recruited through the outpatient clinic,
110 hospital-based advertising, and posters. The trial protocol is in accordance with the principles
111 of the Declaration of Helsinki and has been approved by Institutional Ethics Committee
112 review board (approval number: B2017-119-01) of Guangdong Provincial Hospital of
113 Chinese Medicine (The Second Affiliated Hospital of Guangzhou University of Chinese
114 Medicine). Written informed consent will be obtained from each participant. This trial was
115 registered at the Chinese Clinical Trial Registry (ChiCTR1900026694).

116

117 **Eligibility criteria**

118 *Inclusion criteria*

- 119 1) Histologic diagnosis of prostate cancer with a history of hormonotherapy use;
- 120 2) Experienced hot flashes at least a month before study entry;
- 121 3) Men 18 years or older with expectative life expectancy more than three months;
- 122 4) Willingness to participate in the study and sign informed consent;
- 123 5) Karnofsky Performance Status \geq 60.

124

125 ***Exclusion criteria***

- 126 1) Patients with a primary malignancy other than prostate cancer;
127 2) Under treatment for hot flashes control by using gabapentin, venlafaxine, etc.;
128 3) Unable to receive AA treatment and known allergy constitution;
129 4) Those who with severe heart, brain, kidney, liver, infectious or mental disease;
130 5) Difficulties in cooperating with the researchers and filling out the study documents.

131

132 **Withdrawal criteria**

133 Participants will be withdrawn from this study in the following situations:

- 134 1) When a participant requests to withdraw from the study, for any reason, at any time;
135 2) When worsening disease or severe adverse events or reactions take place.

136 The data of these participants will be gathered and included in further analysis.

137

138 **Randomization, allocation concealment and blinding**

139 Eligible participants will be randomly assigned to either an experimental group
140 receiving AA intervention, or a control group receiving sham-AA intervention in a 1:1 ratio.
141 Equal randomization will be carried out using a computer-created random allocation
142 sequence through the method of stratified block randomization by the SAS 9.2 software (SAS
143 Institute Inc., Cary, NC, USA). The research coordinator will access for the treatment
144 allocation information for each eligible participant through an online system, which was
145 developed by the Key Unit of Methodology in Clinical Research, The Second Affiliated
146 Hospital of Guangzhou University of Chinese Medicine. The other personnel, including
147 clinical physicians, AA practitioners, and assessors, will not be authorized to apply for
148 randomization numbers. Treatment allocations will be blinded to participants, assessors and
149 statisticians, and will not be revealed until the trial is completed. In order to avoid the

150 influence of the Rosenthal and Hawthorne effects, the AA practitioners will be restricted to
151 communicate with the participants [28,29].

152

153 **Interventions**

154 This trial includes a 6-week treatment period with two sessions per week, and a 12-week
155 post-treatment period with follow-up of every 3 weeks. AA and sham-AA will be conducted
156 in participants of experimental group and control group, respectively. The auricular points in
157 both groups are illustrated in Figure 3, and the locations of these points are listed in Table 1,
158 which are both in accordance with the National Standards of the Nomenclature and Location
159 of Auricular Acupoints published in China [30].

160 AA manipulation will be delivered through pressure stimulation on auricular points
161 using *Semen Vaccaria* seeds (Wang-Bu-Liu-Xing). Briefly, after sterilization with 75%
162 alcohol, a 1.0cm × 1.0cm adhesive plaster with one bead imbedded will be attached and fixed
163 on the specific auricular points. The patients will be asked to press the auricular points by
164 themselves 4-6 times a day for a 3-minutes duration each time. The AA manipulation will be
165 conducted alternatively between the two ears every 2 days. The plaster with seeds will be
166 exchanged for a fresh set once a week

167

168 ***Experimental group***

169 Acup. 1. *Sheng Zhi Qi* (Internal Genitals, TF2)

170 Acup. 2. *Nei Fen Mi* (Endocrine, CO18)

171 Acup. 3. *Shen Men* (Spiritual Gate, TF4)

172 Acup. 4. *Shen* (Kidney, CO10)

173 Acup. 5. *Pi Zhi Xia* (Subcortex, AT4)

174

175 ***Control group***

176 Participants in the control group receive sham-AA treatment on five helix points (HX 8-
177 12), which are clearly remote from the inner ear area. These auricular points lack evidence for
178 hot flashes control. Previous studies indicated that these kinds of auricular points had minor
179 effects on subjective symptoms regulation including improving sleep quality and relieving
180 anxiety situation, which could serve as placebo effect [31,32].

181

182 **Outcome measures**

183 ***Primary outcome***

184 To quantify the hot flashes, the eligible subjects will be required to document the
185 frequency and severity of hot flashes, by using daily hot flash diary described previously [33].
186 Briefly, each participant will record how many hot flashes he experiences each day,
187 meanwhile how many are mild, moderate, severe, or very severe. The Hot Flashes Score
188 (HFS) of each day can be calculated into 1, 2 ,3, or 4, respectively, by multiplying the
189 number of hot flashes recorded as mild, moderate, severe, or very severe with adding distinct
190 values to obtain a composite score.

191

192 ***Secondary outcomes***

193 Secondary outcome measures include changes in Quality of Life (QoL), Pittsburgh
194 Sleep Quality Index (PSQI) and Hamilton Anxiety Scale (HAS) [34]. All the primary and
195 secondary outcome measures will be evaluated at baseline visit and repeated among treatment
196 period as well as follow-up period.

197

198 **Safety assessment**

199 All participants will undergo laboratory tests, including evaluation of hematologic and
200 urinary routine tests, blood biochemical tests (renal and hepatic function) and
201 electrocardiograph, before the start of treatment and after 6 weeks of treatment. The
202 participants will be asked to report information about potential adverse events (AEs) such as
203 local skin irritation and discomfort, light tenderness or pain, and dizziness during AA
204 treatment [35]. In case of severe AEs, AA treatment will be discontinued immediately. All
205 AEs will be fully recorded on the AEs pages of the case report forms (CRFs). The researcher
206 will confirm the occurrence of AEs and record all details including the occurrence date,
207 duration, degree, and causal relationship with the treatment. Emergency medical assistance
208 will be provided if any serious AEs occurs, and all details will be noted.

209

210 **Sample size estimation**

211 This study aims to evaluate clinical trial feasibility and to investigate basic information
212 about the efficacy and safety of AA for the treatment of hot flashes in patients with prostate
213 cancer, rather than hypothesis testing. Therefore, the sample size was decided based on a
214 rationale for feasibility, which were unable to calculate the statistical power formally. In
215 reference of a previous similar study of acupuncture for the treatment of hot flashes in breast
216 cancer patients [36], the present research thus incorporated a sample size of 30 for each group.
217 Considering an estimated 15% dropout rate, a required sample size in each group was
218 estimated to 36. In total, 72 participants will be enrolled in this trial.

219

220 **Data collection and management**

221 All data will be recorded on the hard copy of CRFs. Data regarding the demographic
222 characteristics and the baseline assessment will be collected by the screeners when the
223 participants are recruited. Outcome measurements will be performed by assessors through the

224 treatment period and the follow-up period. Data of prescription and any AEs reported by
225 participants will be collected by clinicians. A research coordinator will perform quality
226 control of data collection and be responsible for data access.

227

228 **Monitoring**

229 The Key Unit of Methodology in Clinical Research of Guangdong Provincial Hospital
230 of Chinese Medicine (The Second Affiliated Hospital of Guangzhou University of Chinese
231 Medicine) is the Monitoring Committee for Medical Data in this trial. All data will be
232 recorded by designated outcome assessors on the hard copy of CRFs, and double-entered into
233 the electronic CRFs, which are established and monitored by the Key Unit of Methodology in
234 Clinical Research. Monitors will audit the data every three months. AA practitioners and
235 statisticians will have no access to these data during the evaluation process.

236

237 **Statistical methods**

238 All data will be presented as means and standard deviations or number (percentage), and
239 all analyses will be based on the intention-to-treat principle. For the description of baseline
240 characteristics, the mean with standard deviation or range with the minimum and maximum
241 values for continuous data and frequency with percentage for dichotomous data will be
242 reported. Homogeneity between the two groups in terms of baseline characteristics will be
243 tested using the two-sample *t* test for continuous data and the chi square (χ^2) test for
244 dichotomous data. Analysis of covariance (ANCOVA) or logistic regression will be used for
245 analysis and adjustment of baseline characteristic that differ significantly between the two
246 groups.

247

248 **Discussion**

249 As far as we know, this will be the first study to evaluate the effectiveness and safety of
250 AA for the treatment of hot flashes in patients of prostate cancer receiving hormonotherapy.
251 Although AA has been practiced as a complementary treatment for anxiety, insomnia, peri-
252 and early postmenopausal symptoms, there is still an important gap of AA for hot flashes
253 control in patients of prostate cancer. The results of this study are expected to offer
254 preliminary evidence regarding for AA in treating hot flashes in patients of prostate cancer.

255 Pilot studies, also known as feasibility studies, are prospective comparative trials
256 commonly designed for providing preliminary evidence towards the clinical efficacy of a
257 specific treatment or intervention [37]. A standardized protocol will be used to ensure the
258 reproducibility of the pilot randomized controlled trial. This study has been designed
259 carefully in accordance with the Consolidated Standards of Reporting Trials (CONSORT)
260 Statement of RCTs and presented the protocol according to the Standard Protocol Items:
261 Recommendations for Interventional Trials (SPIRIT) Statement (Additional file 1).
262 Methodological benchmarks such as randomization, allocation concealment and blinding has
263 been robustly met in the protocol. The chosen primary outcome is change in frequency and
264 severity of hot flashes by HFS, which is known to be valid to treatment effects [33].

265 There are several limitations in this pilot study. First of all, this pilot trial will include a
266 small sample size of participants and hypothesis testing will not be involved. For this reason,
267 the results of this trial are not capable to generate adequate data for assessing the efficacy and
268 safety of AA for the treatment of hot flashes. In addition, the treatment of hot flashes with
269 AA is not based on syndrome differentiation, which is the major concern in traditional
270 Chinese medicine. The selection of auricular points is standardized and put on every
271 participant, this may be convenient for better use of the treatment over different individuals.
272 Moreover, AA practitioner will not be blinded because of the nature of the intervention. In
273 order to avoid the influence of the Hawthorne and Rosenthal effects, the AA practitioners

274 will be restricted to communicate with the participants and will not be involved in assessing
275 outcomes or the data analysis.

276 To summarize, this study protocol describes the first randomized, sham-controlled trial
277 for evaluating feasibility of AA in alleviating hot flashes in patients of prostate cancer. Our
278 results will inform men suffering with hot flashes and both conventional and Traditional
279 Chinese Medicine healthcare professionals on the potential role, if any, of AA in the
280 treatment of hot flashes. The findings of the study will provide evidence for a further full-
281 scale RCT trial.

282

283 **Trial status**

284 This trial is currently in ongoing phase. The protocol version number is PRO1.1. Patient
285 recruitment began in January 2020 and is expected to be completed by December 2022.

286

287 **Additional files**

288 Additional file 1: SPIRIT 2013 Checklist: recommended items to address in a clinical
289 trial protocol and related documents.

290

291 **Abbreviations**

292 AA: auricular acupressure; Sham-AA: Sham auricular acupressure; RCT: Randomized
293 controlled trial; HFS: Hot Flashes Score; QoL: Quality of Life; PSQI: Pittsburgh Sleep
294 Quality Index; HAS: Hamilton Anxiety Scale; AEs: Adverse events; CRFs: Case report
295 forms; CONSORT: Consolidated Standards of Reporting Trials; SPIRIT: Standard Protocol
296 Items: Recommendations for Interventional Trials.

297

298 **Authors' contributions**

299 JZ designed the trial and drafted the manuscript. RL assisted and prepared documents
300 for ethics review. XL, LL coordinated and provided important suggestions. SW and ZC
301 supervised the work with critical revision of the manuscript. JZ, ZW and SX obtained
302 funding for supporting this trial. ZW and SX contributed to the conception and finalized the
303 manuscript. All authors read and approved the final manuscript.

304

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311

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321 or decision to submit the manuscript for publication.

322

323 **Availability of data and materials**

324 Not applicable.

325

326 **Ethics approval and consent to participate**

327 This study protocol had been reviewed and approved by Institutional Ethics Committee
328 review board (approval number: B2017-119-01) of Guangdong Provincial Hospital of
329 Chinese Medicine (The Second Affiliated Hospital of Guangzhou University of Chinese
330 Medicine). Any important modifications will be immediately communicated to the
331 Institutional Ethics Committee and Data Monitoring Committee for amendments. The
332 modifications will also be updated on the Chinese Clinical Trial Registry. Written informed
333 consent will be obtained from all eligible participants before the allocation.

334

335 **Consent for publication**

336 Not applicable.

337

338 **Conflict of interest**

339 The authors declared that no conflict of interest exists.

340

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452 **Figure Legends**

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454 **Figure 1** Schematic flow chart of the study process.

455

456 **Figure 2** Participant timeline with events schedule. AA: auricular acupressure; Sham-AA:
457 Sham auricular acupressure; HFS: Hot Flashes Score; QoL: Quality of Life; PSQI: Pittsburgh
458 Sleep Quality Index; HAS: Hamilton Anxiety Scale.

459

460 **Figure 3** Auricular acupoints. Red circle indicates auricular acupoints used in experimental
461 group receiving auricular acupressure (AA), while green circle regards auricular acupoints
462 selected in control group undergoing sham-auricular acupressure (sham-AA).

463

464 **Table 1** Locations of auricular acupoints used in the trial.

Figures

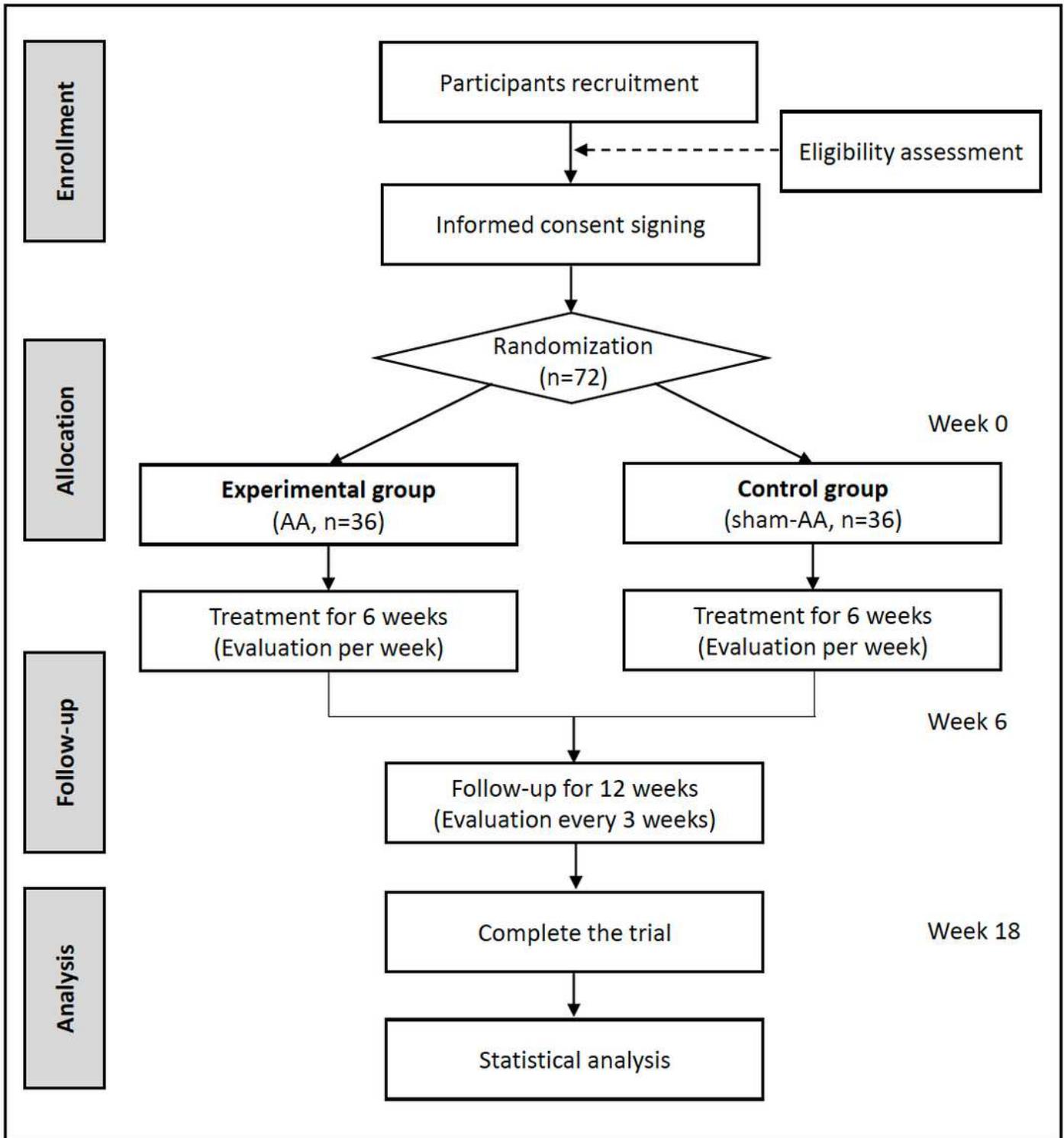


Figure 1

Schematic flow chart of the study process.

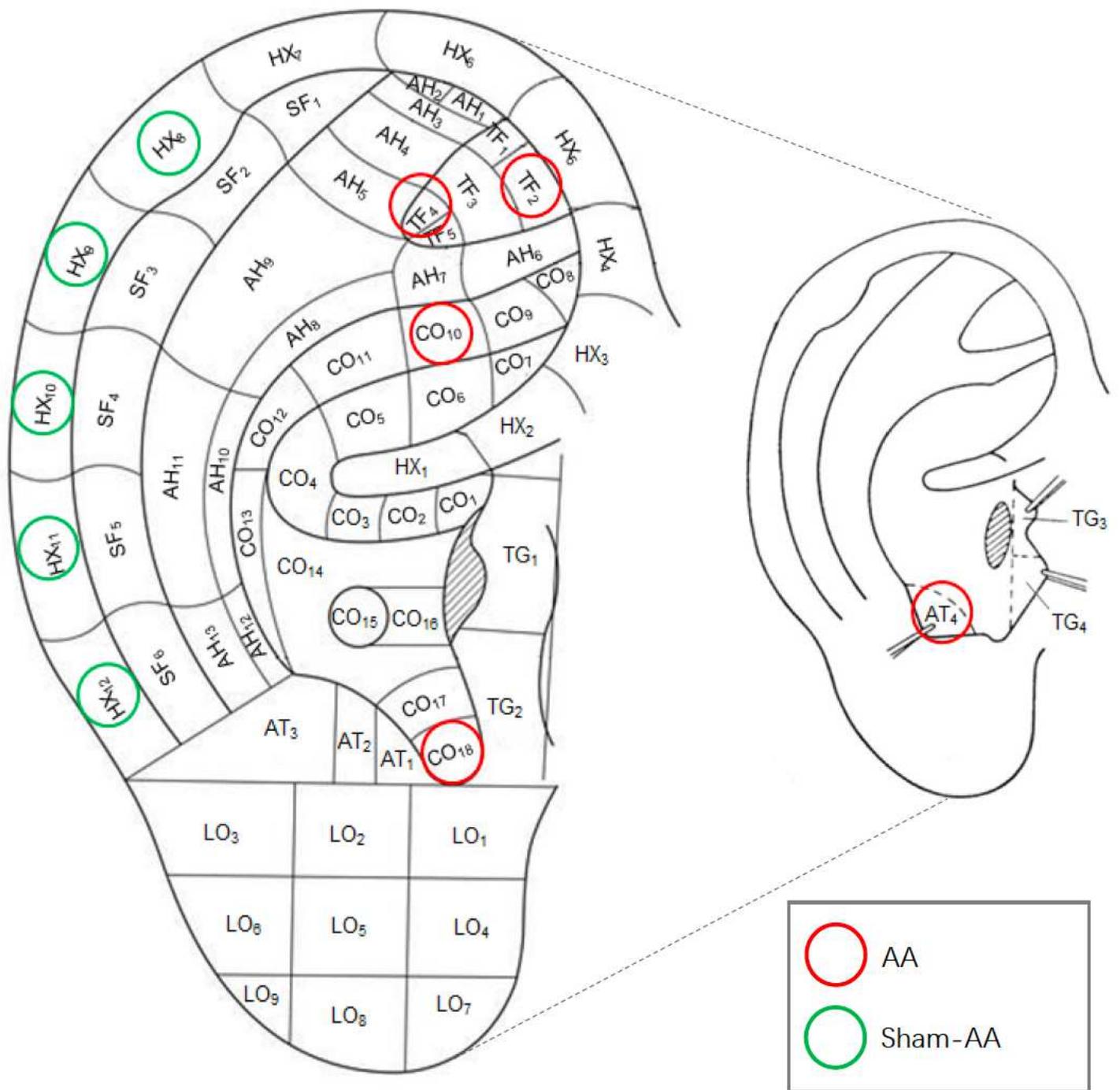


Figure 3

Auricular acupoints. Red circle indicates auricular acupoints used in experimental group receiving auricular acupressure (AA), while green circle regards auricular acupoints selected in control group undergoing sham-auricular acupressure (sham-AA).

Supplementary Files

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- [SPIRIT2013Checklist.docx](#)