

# Radio-Over-Fiber Dual-Parallel Mach-Zehnder Modulator System for Photonic Generation of Millimeter-Wave Signals Through Two Stages

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## Research Article

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# Radio-over-Fiber Dual-Parallel Mach-Zehnder Modulator System for Photonic Generation of Millimeter-Wave Signals Through Two Stages

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## ABSTRACT

In this work, we presented a radio-over-fiber (ROF) access network through two modulation stages for the generation of multiple millimeter wave (mm-wave) signals with frequencies of 20GHz, 40GHz, 60GHz and 80 GHz for the transmission rate of 10 Gbps as a function of the variation of link distance and signal power. The specific purpose of the paper was to design and to investigate a RoF system based on the variation of mm-wave frequencies in order to implement a simple and effective system. In stage 1, there are two modulators in parallel ( $MZM_a$  and  $MZM_b$ ) called dual-parallel Mach-Zehnder modulator (DP-MZM) and in stage 2 there is only one modulator ( $MZM_c$ ), connected to three pulse generators: Non-Return-to-Zero (NRZ), Return-to-Zero (RZ). A single-mode fiber (SMF) and Gaussian and an erbium-doped fiber amplifier (EDFA) were also used to send signals to base stations (BSs). The numerical analyzes of the results of the eye diagrams showed excellent bit error rate (BER) and quality factor (Q-factor) values, which proved the good performance of the proposed ROF DP-MZM system, for the three encoding formats used, which was able to generate 3-tupling mm-wave for multiple wireless accesses.

**Keywords:** Radio-over-Fiber. Dual-Parallel Mach-Zehnder Modulator. NRZ. RZ. Gaussian

## 1. INTRODUCTION

Radio-on-fiber (RoF) technology has been increasingly used to meet the current and future demands of access networks, as it is able to provide low transmission loss, increased bandwidth, immunity to radio frequency (RF) interference, high flexibility and increased coverage, thus being essential to meet the needs of various wireless multimedia services, such as: high-definition television (HDTV), digital multimedia broadcasting (DMB) and three-dimensional TV (3DTV) (Singh and Raghuvanshi 2015; Xu et al. 2014; Wang et al. 2016). Therefore, the RoF type communication system consists of a microwave photonic (MWP) technique, in which the optical signal of a laser is directly or externally modulated in a central station (CS) and is then transmitted through an optical fiber to a photodiode, which produces millimeter waves (mm-Wave) that are sent to a radio with antennas in a base station (BS), with the aim of allowing access to multiple devices on the wireless network (Sousa et al. 2020; Idowu et al. 2017), through the use of different access technologies, such as: 3G, 4G, 5G, WiFi (IEEE 802.11), Bluetooth (IEEE 802.15.1),

45 ZigBee (IEEE 802.15.4), UWB (IEE 802.15.3), WiMAX (IEEE 802.16) simultaneously on  
46 a single antenna (Das and Zahir 2014; Sharma and Rana 2017; Chen et al. 2017).

47 Conventional systems designed for RoF technology consists of several  
48 disadvantages, such as: limited number of users, undesirable frequencies in the signals  
49 (sidebands), non-linearities and poor system quality (Sharma and Rana 2017; Patel and  
50 Dalal 2017). However, when compared to other telecommunications systems, RoF offers  
51 the following advantages: lower loss of attenuation, better coverage, increased capacity,  
52 resistance to RF interference, engineering reduction, lower energy consumption, lower  
53 execution cost and project maintenance (Das and Zahir 2014).

54 Worldwide interoperability for microwave access (WiMAX) technology provides a  
55 more useful solution in resolving the telecommunications bottleneck, but according to  
56 (Chen et al. 2017) the 5th generation (5G) mobile communications network is the most  
57 anticipated, for offering data transmission and reception capacity 1000 times greater than  
58 the current cellular phone system, in addition to having a cost-effective deployment and a  
59 high level of flexibility that can be exploited by design strategies that activate and move  
60 baseband functions on demand according to need (Patel and Dalal 2017; Khorsandi et al  
61 2019), these and other factors have motivated the scientific community to carry out  
62 research in this area.

63 Several approaches to RoF systems for photonic geration of mm-wave have been  
64 reported in the literature over the past 20 years. In this sense, Zhu et al. (2013) proposed  
65 and demonstrated the RoF system with frequency 12-tupling optical mm-wave generation  
66 using an integrated nested MZM. They showed that with the proper adjustment of the direct  
67 current polarization voltages of two sub-MZMs, in which they obtained excellent  
68 performance results with BER values of  $10^{-10}$  and a power penalty of 0.67dB for the signal  
69 transmitted for more than 60Km.

70 Zhu et al. (2015) proposed and demonstrated a new scheme for the generation of  
71 120GHz mm-wave through a local RF oscillator (LO) with an MZM. With the proper  
72 adjustment of the dc bias of the modulator, they achieved frequencies of 12-tupling with an  
73 optical sideband suppression ratio (OSSR) greater than 37dB without the use of a filter.  
74 They found that both the extinction rate and the phase difference between the two arms of  
75 the optical coupler have an influence on the performance of the generated mm-wave.

76 According to Zhu et al. (2016a), a dual-parallel polarization modulation (DPPoIM)  
77 was proposed for the generation of 24GHz frequency sextupled microwave signal without  
78 the use of a filter. The signal performance was also analyzed in terms of the OSSR and the  
79 spurious suppression ratio (RFSSR), through adequate adjustments of the polarization  
80 directions, power and phase differences of the modulated optical signals. The results were  
81 satisfactory, with an OSSR greater than 31dB and an RFSSR greater than 25dB for a 4GHz  
82 microwave signal. Later, in another paper they showed that frequency octupling,  
83 sextupling, or quadrupling microwave signal can also be obtained experimentally, through  
84 the appropriate adjustments of the polarization state, the modulation index of the serial  
85 modulators and the phase of the RF driving signal (Zhu et al. 2016b).

86 In Muthu and Raja (2016) is shown a new low-cost approach to 16-tupling  
87 frequency generation was demonstrated through a 60GHz bidirectional RoF system using

88 two parallel cascading MZMs. This system simultaneously supported two base stations  
89 with bidirectional data transmission between BS and CS through wavelength reuse, so the  
90 connection distance can be extended up to 60km with a power penalty of 0.5dB and BER of  
91  $10^{-9}$  for both the upstream signal and the downstream signal.

92 Gao et al. (2016) experimentally proposed and demonstrated a photonic system  
93 using polarization-division multiplexing Mach–Zehnder modulator (PDM-MZM), which  
94 simultaneously performed frequency downconversion, multichannel phase shifting, and in-  
95 phase (I) and quadrature (Q) demodulation for wideband microwave signals without  
96 changing any configuration. They stated that, this system can be easily extended to  
97 multichannel applications with independent phase change in each channel and continuously  
98 adjusted in the range of 360 degrees. Thus, in the scheme proposed by them, the 40GHz  
99 vector signals with various modulation formats were successfully demodulated, thus they  
100 stated that this system could potentially be used in other applications, including beam  
101 forming, phase detection, phase noise, and Doppler frequency shift Measurement.

102 Zhu et al. (2017) presented states that with a double polarization modulator in a RoF  
103 communication system without a filter, it is possible to generate frequency sextupled  
104 microwave signal and achieve suppression of optical sidebands. Thus, by properly adjusting  
105 the power ratio of the orthogonal polarization directions, it is possible to cancel two first  
106 order sidebands and achieve the pure frequency sextupled signal with an OSSR greater than  
107 38dB and RFSSR greater than 32dB.

108 Zhou et al. (2018) proposed an ROF system based on the four-wave mixing (FWM)  
109 technique, for transmission rate of 2.5Gbps and with RFs of 20GHz, 40GHz and 60GHz,  
110 capable of providing frequency multiplication, through the use of a dual-parallel Mach-  
111 Zehnder modulator (DP-MZM) in CS and a semiconductor optical Amplifier (SOA) after  
112 an SMF with a length of up to 40km. The RoF system presented by them was able to  
113 conduct and support multiple mm-wave wireless accesses with excellent performance  
114 results.

115 Alipoor and Sheikhi (2018) studied the nonlinear effects of FWM in a 16-channel  
116 dense wavelength division multiplexing radio over fiber (16 - DWDM - RoF) system with  
117 direct and external optical double side band (ODSB) modulation. The results of the  
118 simulations of this project showed that the external modulation obtained the best  
119 performance than the direct modulation in the parameters such as optical signal-to-noise  
120 ratio (OSNR), Q-Factor and bit error rate (BER) both in the variation of input power,  
121 spacing between channels, bit rate and length of the SMF. Thus, for a RoF system with  
122 external ODSB modulation with input power of 10dBm, channel spacing of 100GHz, bit  
123 rate of 10Gbps and link of 20km, they obtained Q-factor of about 20 and BER less than  $10^{-8}$ ,  
124 but for the RoF system with direct modulation the Q-factor was 3.5 and the BER was  
125 less than  $10^{-4}$ .

126 In this paper, we propose the simulation of a RoF DP-MZM system based on  
127 nonlinear and dispersive effects through the external modulation technique. Our RoF  
128 system was built according to the techniques proposed in Chen et al. 2011; Li et al. 2015;  
129 Gao et al. 2016; Alipoor and Sheikhi 2018; and Sousa et al. 2018) where it was able to  
130 considerably reduce non-linear and dispersive effects on the link with a distance of up to

131 80km and signal power of up to 15dBm. The article structure was organized as follows: the  
 132 theoretical analysis of the modulation technique, the operating principle and the proposed  
 133 ROF system setup are presented in section 2, the simulations and results analysis are  
 134 presented in section 3. Finally, the conclusion is presented in section 4.  
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## 136 2. MECHANISM OF THE PROPOSED SYSTEM

### 137 2.1 Simulation Setup

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139 Mach-Zehnder modulators (MZM) are opto-electric (OE) converters, which through  
 140 special techniques may be able to compensate for linearization or dispersion individually or  
 141 simultaneously. The phase and amplitude relationship between the optical carrier and the  
 142 new sidebands generated can be tuned according to the phase and amplitude control  
 143 techniques of the optical carrier, this is, using an integrated polarization-division  
 144 multiplexing Mach-Zehnder modulator, radio frequency (RF) signals can be frequency  
 145 downconverted to multichannel intermediate frequency (IF) signals and the phase of each  
 146 IF signal can be independently and arbitrarily tuned (Gao et al. 2016; Kumar et al. 2016).

147 Figure 1 shows the conceptual diagram of a DP-MZM, with two sub-MZMs that act  
 148 on each arm of the main modulator (MZM<sub>c</sub>). In its operating principle, the MZM<sub>a</sub> is driven  
 149 by the RF  $V_E(t)$  signal and the dc-bias polarization  $V_{bias-a}$  and the MZM<sub>b</sub> is driven by the dc-  
 150 bias polarization  $V_{bias-b}$  and finally a dc-bias polarization  $V_{bias-c}$  controls the phase  
 151 relationship of the two sub-MZMs, after DP-MZM. Therefore, with this cascade modulator  
 152 scheme it is possible to achieve the generation of mm-wave of several orders at the signal  
 153 output, after the DP-MZM. In the simulations, we investigated a simple scheme for the  
 154 generation of mm-wave, which operates without an electric phase shifter and with an  
 155 optical filter.

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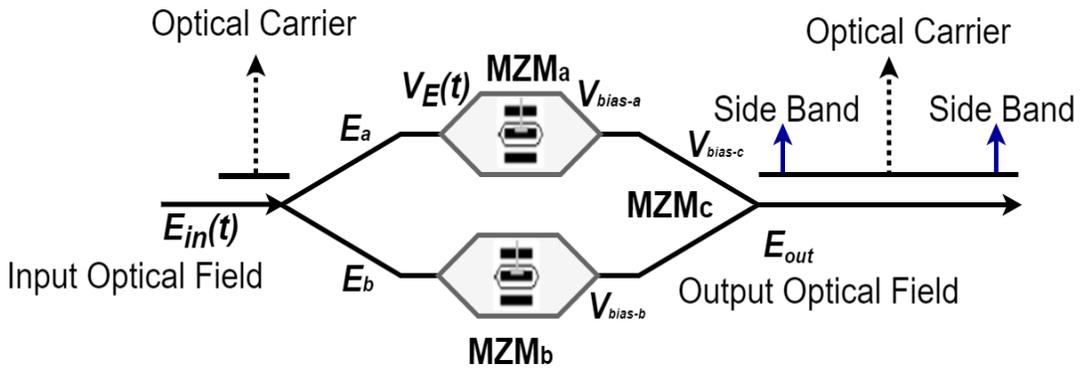
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Figure 1 – Conceptual diagram of a Dual Parallel Mach-Zehnder Modulator (DP-MZM).

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The used simulation configuration for the verification of the proposed system is shown in Figure 2. An optical carrier given by a continuous wave (CW) laser source  $E_{in}(t) = E_0 \exp\{j[\omega_c t + \phi(t)]\}$  was divided equally into the two modulators in parallel (MZM<sub>a</sub> and MZM<sub>b</sub>) that produced fields such as (Tao et al., 2020):

$$E_a = \sqrt{\gamma} \sum_{n=-\infty}^{\infty} (-1)^n J_{2n}(\beta) \exp[j(\omega_c + 2n\omega)t] + \sqrt{\gamma}(1-2\gamma) \sum_{n=-\infty}^{\infty} (-1)^n J_{2n-1}(\beta) \exp\{j[\omega_c + (2n-1)\omega_m]t + j\frac{\pi}{2}\} \quad (1)$$

and

$$E_b = \left[ \sqrt{1-\gamma} \cos\left(\pi \frac{V_{bias-b}}{2V_\pi}\right) \right] \exp(j\omega_c t) + \sqrt{1-\gamma} \cdot (2\gamma-1) \sin\left(\pi \frac{V_{bias-b}}{2V_\pi}\right) \exp\left[\left(\omega_c t + \frac{\pi}{2}\right)j\right] \quad (2)$$

170 MZM<sub>c</sub> is DC biased at V<sub>bias-c</sub> to introduce a phase difference into the output  
 171 electrical fields of the MZM<sub>a</sub> and the MZM<sub>b</sub>. The output of the MZM<sub>c</sub> is given by equation  
 172 3, disconsidering the side band terms (Tao et al., 2020):

$$\begin{aligned} E_{out} = E_a + E_b \approx & \\ & \left[ \gamma J_0(\beta) + (1-\gamma) \cos\left(\pi \frac{V_{bias-b}}{2V_\pi}\right) \exp\left(j\pi \frac{V_{bias-c}}{V_\pi}\right) \right] \cdot \\ & \exp(j\omega_c t) + \left[ (1-\gamma)(2\gamma-1) \sin\left(\pi \frac{V_{bias-b}}{2V_\pi}\right) \cdot \exp\left(j\pi \frac{V_{bias-c}}{V_\pi}\right) \right] \cdot \\ & \exp\left[\left(\omega_c t + \frac{\pi}{2}\right)j\right] - (1-2\gamma)\gamma J_1(\beta) \cdot \left\{ \exp\left[j(\omega_c + \omega_m)t + j\frac{\pi}{2}\right] + \right. \\ & \left. \exp\left[j(\omega_c - \omega_m)t + j\frac{\pi}{2}\right] \right\} - \gamma J_2(\beta) \left\{ \exp[j(\omega_c + 2\omega_m)t] + \right. \\ & \left. [j(\omega_c - 2\omega_m)t] \right\} + (1-2\gamma)\gamma J_3(\beta) \cdot \left\{ \exp\left[j(\omega_c + 3\omega_m)t + j\frac{\pi}{2}\right] + \right. \\ & \left. \exp\left[j(\omega_c - 3\omega_m)t + j\frac{\pi}{2}\right] \right\} + \gamma J_4(\beta) \left\{ \exp[j(\omega_c + 4\omega_m)t] + \exp[j(\omega_c - 4\omega_m)t] \right\} \end{aligned} \quad (3)$$

173 where E<sub>0</sub> is the input field,  $\omega_c = 2\pi f_c$  is the angular frequency of the optical carrier,  $\phi(t)$  is  
 174 the phase fluctuation of the optical field,  $\beta = \pi V_m / 2V_\pi$  is the modulation index (MI),  
 175  $V_m = V_{RF} \cos(\omega_m t)$ ,  $\omega_m$  are the amplitude and the angular frequency of the RF driving signal,  
 176  $V_\pi$  is the half-switch voltage,  $\gamma$  is the power splitting ratio of the DP-MZM,  $J_n(\cdot)$  is the nth-  
 177 order Bessel function of the first kind.

178 Figure 2 presents stage 1 of the proposed RoF DP-MZM system. This system is  
 179 composed of two sub-Mach-Zehnder Modulators (sub-MZMs) external and they act on  
 180 each arm of the main modulator, which is called of dual-parallel Mach-Zehnder modulator  
 181 (DP-MZM). Both sub-MZMs are biased in their maximum transmission point (MATP).  
 182 The sub-MZMs are triggered by the RF signal sent by the sine generator with frequencies  
 183 from 20GHz to 80GHz. A Continuous Wave (CW) laser was used as an optical carrier with  
 184 frequency for the wavelength of 1552.5nm and power from -15dBm to 15dBm at the DP-  
 185 MZM input. According to this scheme was possible to achieve the generation of 3-tupling  
 186 mm-wave at the signal output, which are side bands of  $\pm 3$ rd order symmetrically spaced in  
 187 the frequency domain, below and above the optical carrier.

188 The output signal of the DP-MZM was coupled by a WDM interleaver Demux and  
 189 was later sent to stage 2, which is a Mach-Zehnder interferometer (MZI) with another  
 190 Mach-zehnder modulator (MZM<sub>c</sub>) in its upper arm. The WDM interleaver Demux had the  
 191 function of dividing the stage 1 signal for each of the MZI arms. In the upper arm of the  
 192 MZI, the baseband data with three encoding formats (NRZ, RZ or Gaussian) was added to

the signal for the transmission rate of 10Gbps, which were later modulated by the MZM<sub>c</sub>, and then, the optical mm-wave signal and the optical carrier were coupled at the output of the pump coupler co-propagating and sent to a SMF of up to 80km in length.

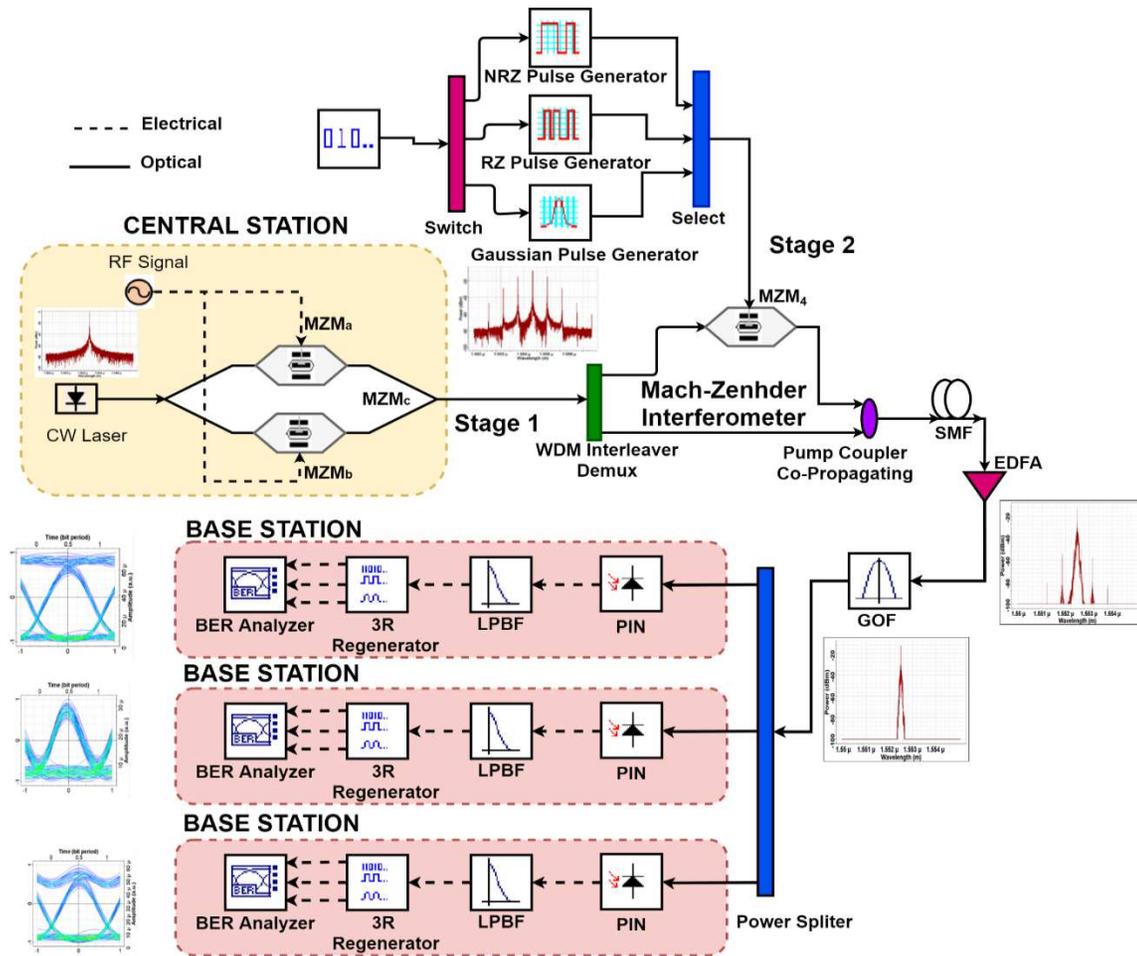


Figure 2 - Schematic of the RoF-DP-MZM system proposed with three coding formats (NRZ, RZ and Gaussian).

The signal composed of the sidebands and the carrier after being transmitted by several kilometers of SMF was amplified by an erbium doped fiber amplified (EDFA) and later a gaussian optical filter (GOF), it was used to reduce the amplified spontaneous emission (ASE) noise emitted by EDFA and, also, to remove the sidebands of the signal and allow the propagation of the optical carrier only.

Then, the optical carrier signal was distributed through a power splitter for each of the BSs. As shown in Fig. 2, the BSs are composed of a Positive Intrinsic Negative (PIN) photodetector that was responsible for converting the optical signal into an electrical signal and a low pass Bessel filter that was used to remove noise in the electrical signal. The performance analysis of the RoF DP-MZM system was performed according of the eye diagram which provides BER and Q-factor values through the use of BER analyzer at the signal output in each of the BSs.

239 **3. NUMERICAL SIMULATION RESULTS AND DISCUSSION**

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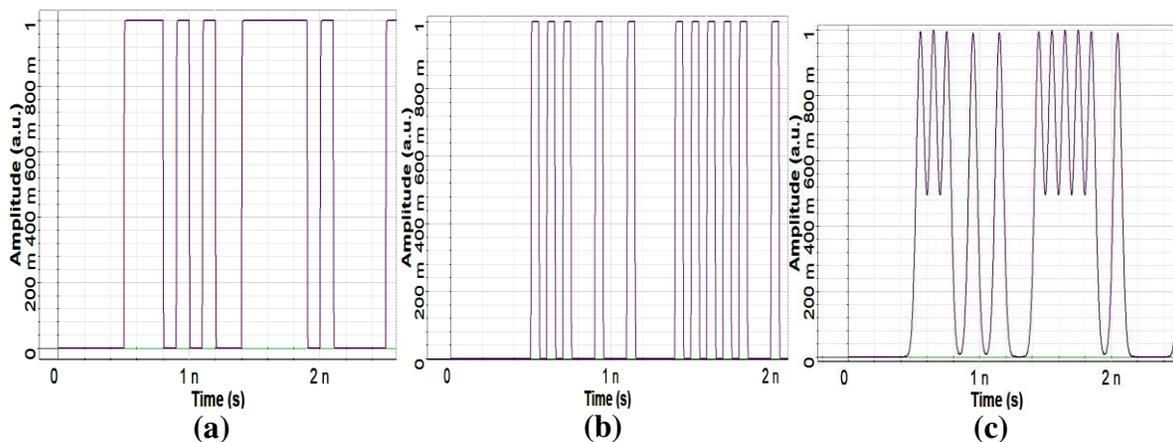


Figure 3 - (a) NRZ pulse, (b) RZ pulse and (c) Gaussian Pulse.

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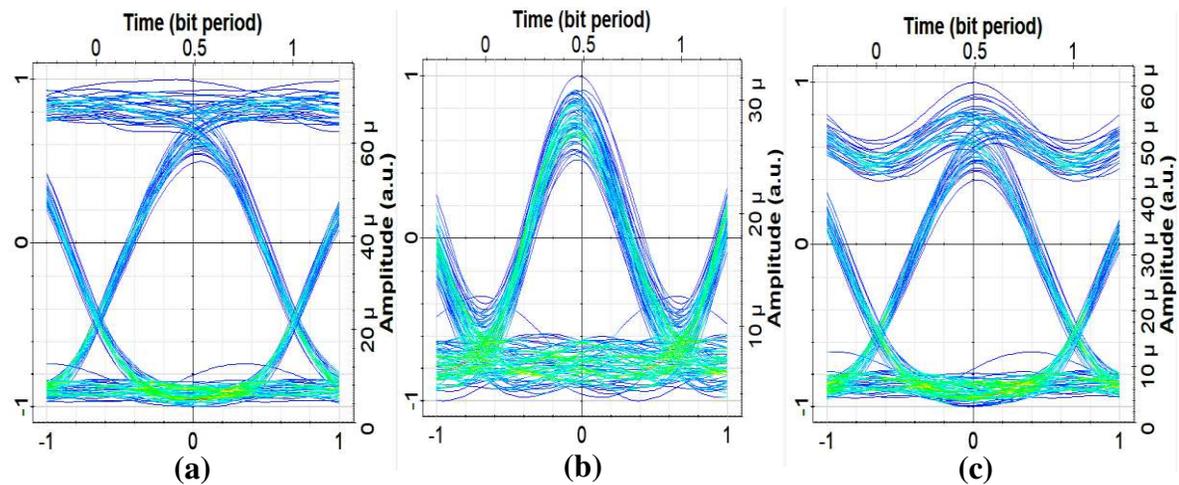


Figure 4 - Eye diagram of the received signal for 20GHz mm-wave and for pulse formats NRZ (a), RZ (b) and Gaussian (c).

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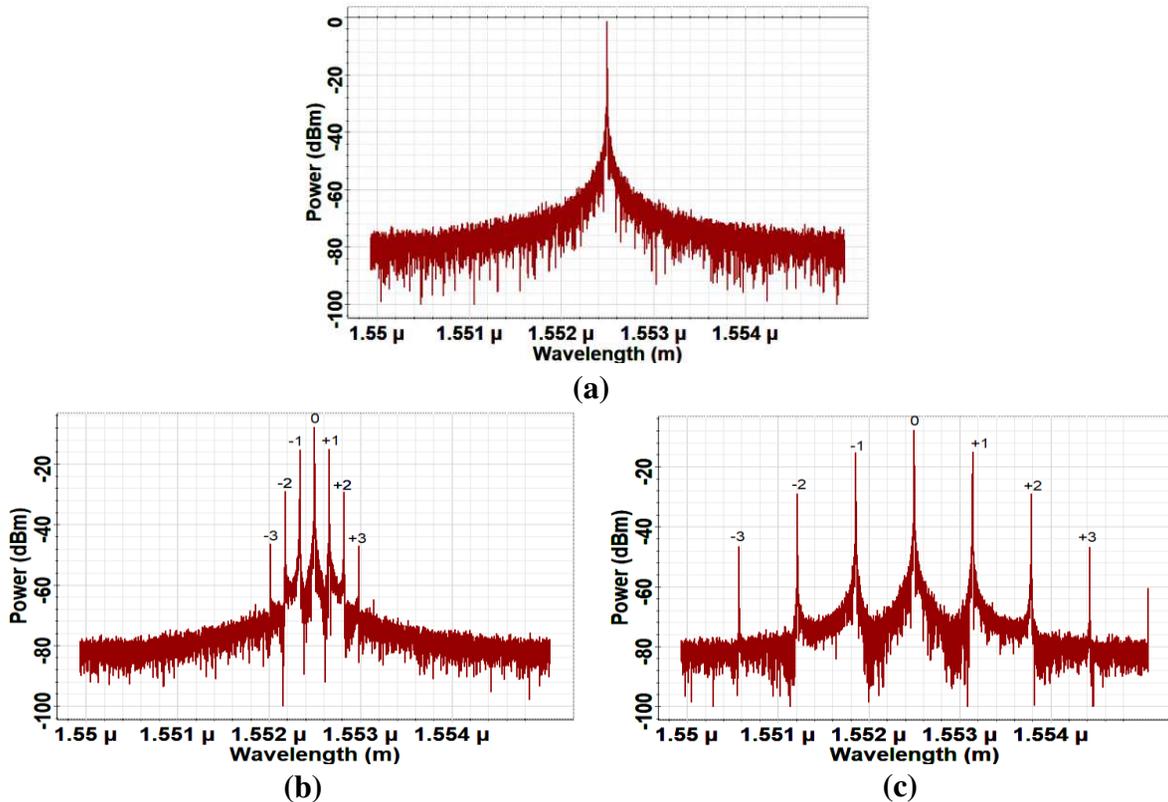
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The performance analysis of digital transmission systems through the eye diagram has been considered a simple and powerful technique (Agrawal 2012), which is also convenient for the study of the effects of intersymbol interference (ISI) and losses in signal quality due to dispersion and non-linearities. Thus, through the study of the eye diagram it

279 is possible to visualize distortions in the shape of the received signal and extract various  
 280 useful information, such as: height of eye opening, timing jitter, noise level, bit error rate  
 281 (BER) and quality factor (Q-Factor). The results of these parameters have been widely used  
 282 for the analysis of fiber optic communication systems in several related studies (Singh and  
 283 Raghuwanshi 2015; Xu et al 2014; Sousa et al 2018; de Sousa et al. 2020; Venkatal and  
 284 Prashanth 2018), but the proposed RoF system analysis was performed only through the Q-  
 285 factor and BER.

286 Figure 5(a) shows the optical spectrum graph of the CW laser output (optical  
 287 carrier) with a central frequency of 1552.5nm and an input power of 0dBm. In Figures 5(b)  
 288 and 5(c), the graphs of the spectra of the signal output after the DP-MZM are shown for the  
 289 mm-wave frequencies of 20GHz and 80GHz, respectively. We highlight that the data were  
 290 collected for the variation of mm-wave frequencies of 20GHz, 40GHz, 60GHz and 80GHz,  
 291 but for reasons of organization of this paper, we prefer to present initially the optical  
 292 spectra only for the cases with mm-wave frequencies of 20GHz and 80 GHz, which are  
 293 sufficient to show the effects that occur in the signal depending to the variation of the mm-  
 294 wave frequency.



312 Figure 5 - Transmitted signal at BS: Output Spectrum of the CW Laser (carrier) (a) and Spectra periodic  
 313 optical sidebands at the DP-MZM output for the mm-wave frequency equal to 20 GHz (b) and 80 GHz (c),  
 314 respectively.

314 In the spectra of Figures 5(b) and 5(c) can be observed the presence of symmetrical  
 315 side bands downstream (1552nm, 1552.2nm, 1552.3nm, 1552.7nm, 1552.8nm and  
 316 1553nm) with the optical carrier (1552.5nm). These symmetrical side bands are harmonic  
 317 up to  $\pm 3$ rd order, with wavelengths and powers that are presented neatly in table II, where it

318 is possible to observe an increase in the spacing between the side bands and the optical  
 319 carrier, when the frequency of mm-wave was increased of 20GHz to 80GHz.

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Table II – Wavelengths and Powers of Downstream Sidebands.

N°	RF Frequencies							
	20GHz		40GHz		60GHz		80GHz	
	Wavelength (nm)	Power (dBm)						
-3	1552	-46.5	1551.6	-46.4	1551	-46.5	1550.6	-46.7
-2	1552.2	-28.9	1551.8	-29	1551.5	-28.9	1551.2	-28.9
-1	1552.3	-15.2	1552.2	-15.5	1552	-15.6	1551.9	-15.2
0	1552.5	-7.9	1552.5	7.5	1552.5	-8	1552.5	-7.9
1	1552.7	-15.2	1552.8	-15.5	1553	-15.6	1553.1	-15.2
2	1552.8	-28.9	1553.2	-29	1553.5	-28.9	1553.8	-28.9
3	1553	-46.5	1553.4	-46.4	1554	-46.5	1554.4	-46.7

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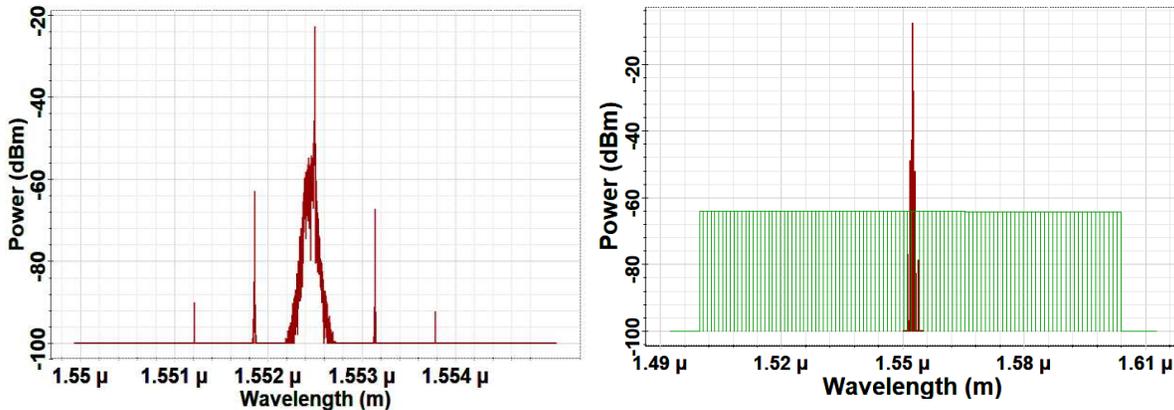
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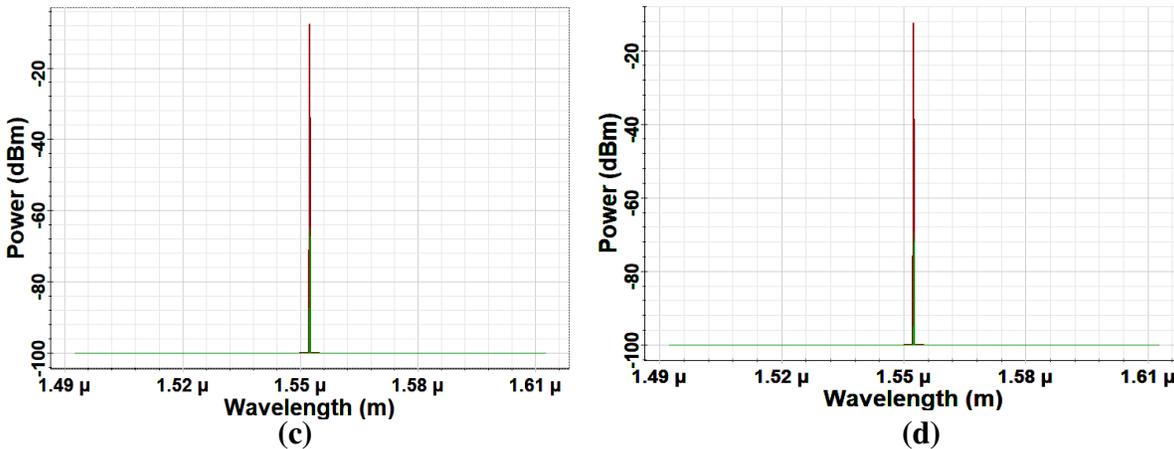
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Figure 6 – Optical Signal Spectrum at the WDM interleaver Demux (a), EDFA (b) GOF (c) and of BSs (d) outputs.

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After the signal transmission through the WDM interleaver Demux, part of the side bands was suppressed, leaving only the optical carrier and the side bands, which were later sent to the SMF. As shown by the results of the optical signal spectrum after WDM interleaver Demux in Figure 6(a), a power value of -22.9dBm was obtained for the optical

345 carrier and for the sidebands of -3rd order (1552nm) and +3rd order (1553nm), powers  
346 equal to -63dBm and -67.3dBm, respectively.

347 Figure 6(b) shows the optical spectrum amplified by EDFA, which performed a  
348 process of amplification and gain of optical signal power. In this optical spectrum there is  
349 still the presence of the side bands together with the optical carrier and ASE noise.  
350 Subsequently, the side bands were suppressed by GOF as shown by the optical spectrum of  
351 the signal in Figure 6(c). As was observed in the numerical simulations, the suppression of  
352 the side bands of  $\pm 3$ rd order occurred regardless of the SMF length and the mm-wave  
353 frequency, in the three pulse formats proposed. Therefore, the signal arrived at the base  
354 stations (BS<sub>1</sub>, BS<sub>2</sub> and BS<sub>3</sub>) without the presence of side bands and with power of  
355 approximately -17dBm, as shown in Figure 6(d).

### 356 **3.1 Fiber Length Effects**

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358  
359 The performance analysis of the communication link for the transmission rate of  
360 10Gbps and input power of 0dBm, was carried out through the eye diagrams of the  
361 downstream data of 20GHz, 40GHz, 60GHz and 80GHz, after the SMF with 0km (B-T-B),  
362 20km, 40km, 60km and 80km, for the power of the 0dBm CW laser with RZ, NRZ and  
363 Gaussian encoding, which are shown in Figures 7(a), 7(b), 7(c) and 7(d), respectively.  
364 Through the results obtained, it was possible to observe that due to the increase of the non-  
365 linear effects, dispersion and attenuation in the signal transmission, the performance of the  
366 proposed RoF system was reduced, because the quality factor decreased and consequently,  
367 the bit error rate increased as the length of the SMF increased. Therefore, for all cases, the  
368 best performance occurred for the 20km fiber and the worst performance was found for the  
369 80km fiber, this can also be seen through the eye diagrams in Figures 8, 9 and 10.

370 In the obtained results was possible to note that in most cases the RoF DP-MZM  
371 scheme with NRZ encoding obtained better tolerance to dispersion, to nonlinear effects and  
372 to the noise manifested with the increase in SMF length, consequently the best transmission  
373 performance results were obtained, that is, higher quality factor values and lower bit error  
374 rate values, when compared to the RoF DP-MZM schemes with RZ and Gaussian  
375 encoding, according to the Q-Factor graphs versus transmission distance for the signal  
376 received in the BSs, shown in Figures 7(a), 7(b), 7(c) and 7(d).

377 In the eye diagrams of Figures 8, 9 and 10, the red color graph represents the BER  
378 value as a function of the SMF length for each encoding format used, both for 80GHz  
379 downstream data. Thus, for the RoF DP-MZM system with NRZ encoding format the Q-  
380 Factor values obtained for 20km, 60km and 80km were: 15.5957, 12.7422 and 7.42646,  
381 respectively. Similarly, the BER values obtained for 20km, 60km and 80km were:  
382  $3.83014 \times 10^{-55}$ ,  $1.72215 \times 10^{-37}$ ,  $5.52838 \times 10^{-14}$ , respectively (Figure 8). For the RoF DP-  
383 MZM system with RZ encoding format, the Q-Factor values obtained for 20km, 60km and  
384 80km were: 12.9256, 3.46564 and 2.63623, respectively. Similarly, the BER values  
385 obtained for 20km, 60km and 80km were:  $1.3792 \times 10^{-38}$ , 0.000264215, 0.00364023,  
386 respectively (Figure 9). And for the RoF DP-MZM system with Gaussian encoding format  
387 the Q-Factor values obtained for 20km, 60km and 80km were: 11.2757, 9.39981 and  
388 5.56815, respectively. Similarly, the BER values obtained for 20km, 60km and 80km  
389 were:  $8.47293 \times 10^{-30}$ ,  $2.7279 \times 10^{-21}$ ,  $1.28668 \times 10^{-8}$ , respectively (Figure 10).

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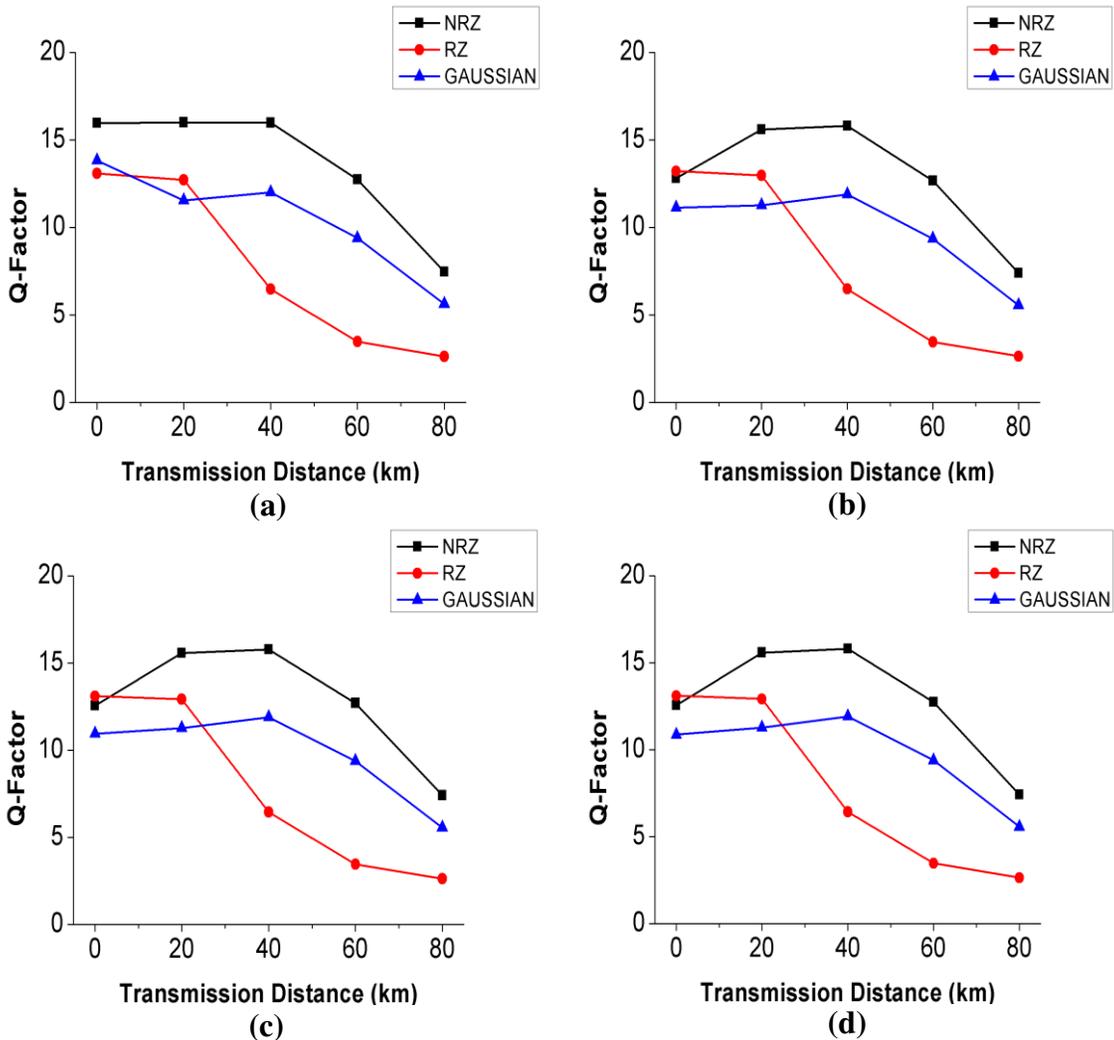


Figure 7 – Q-Factor versus transmission distance for Downstream data of 20GHz (a), 40GHz (b), 60GHz (c) and 80GHz (d) for baseband signals with NRZ, RZ and Gaussian encoding.

Thus, the eye diagrams of Figures 8, 9 and 10 show that for the three encoding formats used in the proposed RoF DP-MZM system, that there was also a reduction in the height and amplitude of the eye diagram as the length of the SMF increased, mainly for the RoF system with 80km SMF, where it was possible to clearly observe that the eye diagrams are stressed, mainly for the RoF DP-MZM system with RZ encoding format. In this sense, the RoF DP-MZM system with RZ encoding format had a greater performance reduction from 60km to 80km of SMF, as shown by the eye diagrams of Figures 9(b) and 9(c), when compared with the RoF DP-MZM systems with NRZ coding in Figures 8(b) and 8(c) and with Gaussian coding, presented in Figures 10(b) and 10(c).

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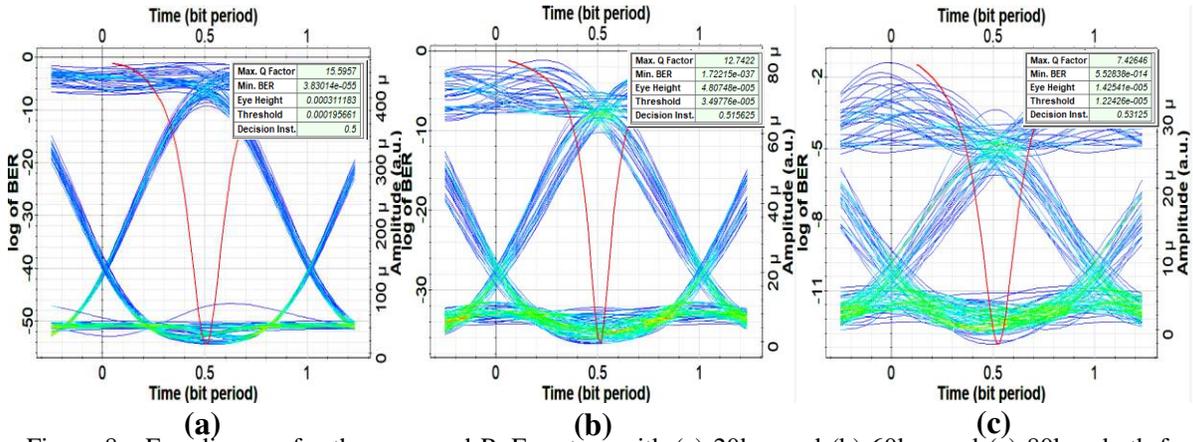


Figure 8 - Eye diagram for the proposed RoF system with (a) 20km and (b) 60km and (c) 80km, both for 80GHz downstream data of NRZ encoding.

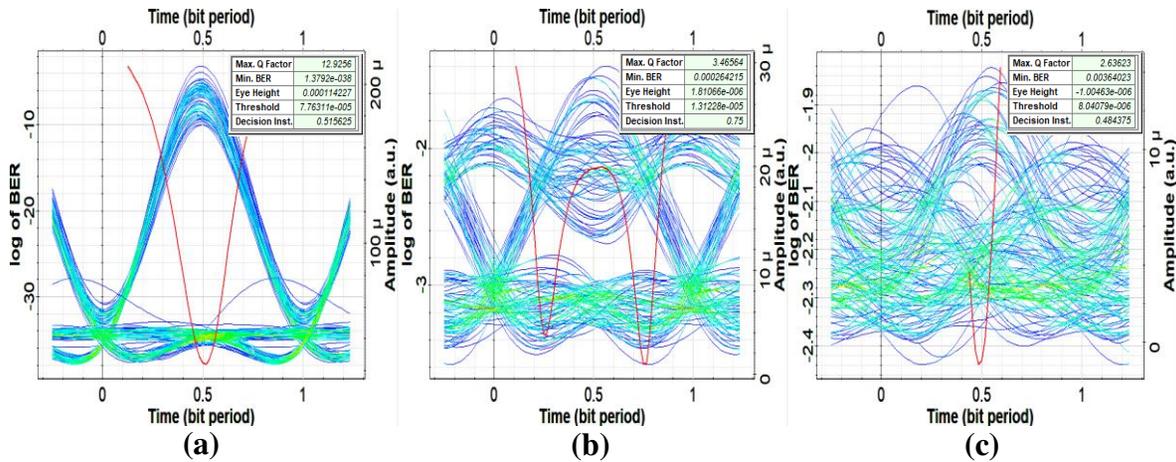


Figure 9 - Eye diagram for the proposed RoF system with (a) 20km, (b) 60km and (c) 80km, both for RZ encoding 80GHz downstream data.

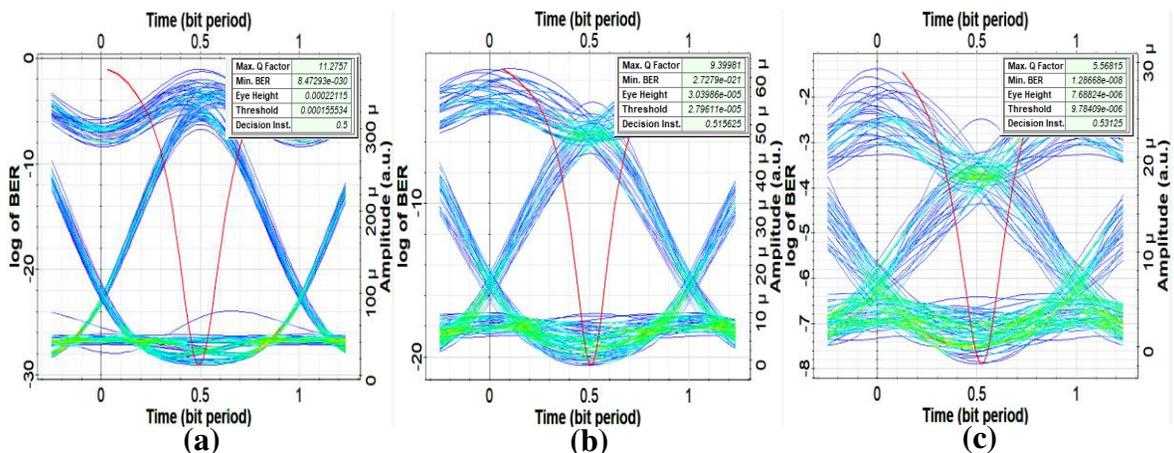


Figure 10 - Eye diagram for the proposed RoF system with (a) 20km, (b) 60 km and (b) 80km, both for 80GHz downstream gaussian encoding data.

482 **3.2 Input Power Effects**

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484 Figure 11 shows the log BER versus Input Power graphs, where the signal power  
 485 was varied from -15dBm to 15dBm for the mm-wave frequencies varied from 20GHz to  
 486 80GHz and also for each of the baseband signals with Non-Return-to-Zero (NRZ), Return-  
 487 to-Zero (RZ) and Gaussian encodings. In the simulations it was noticed that with the  
 488 increase of the input signal power the non-linear and dispersive effects increased resulting  
 489 in undesirable distortions in the signal, which reduced the quality factor and increased the  
 490 bit error rate, therefore, consequently the proposed RoF schemes had reduced performance  
 491 for the three types of encoding signals used.

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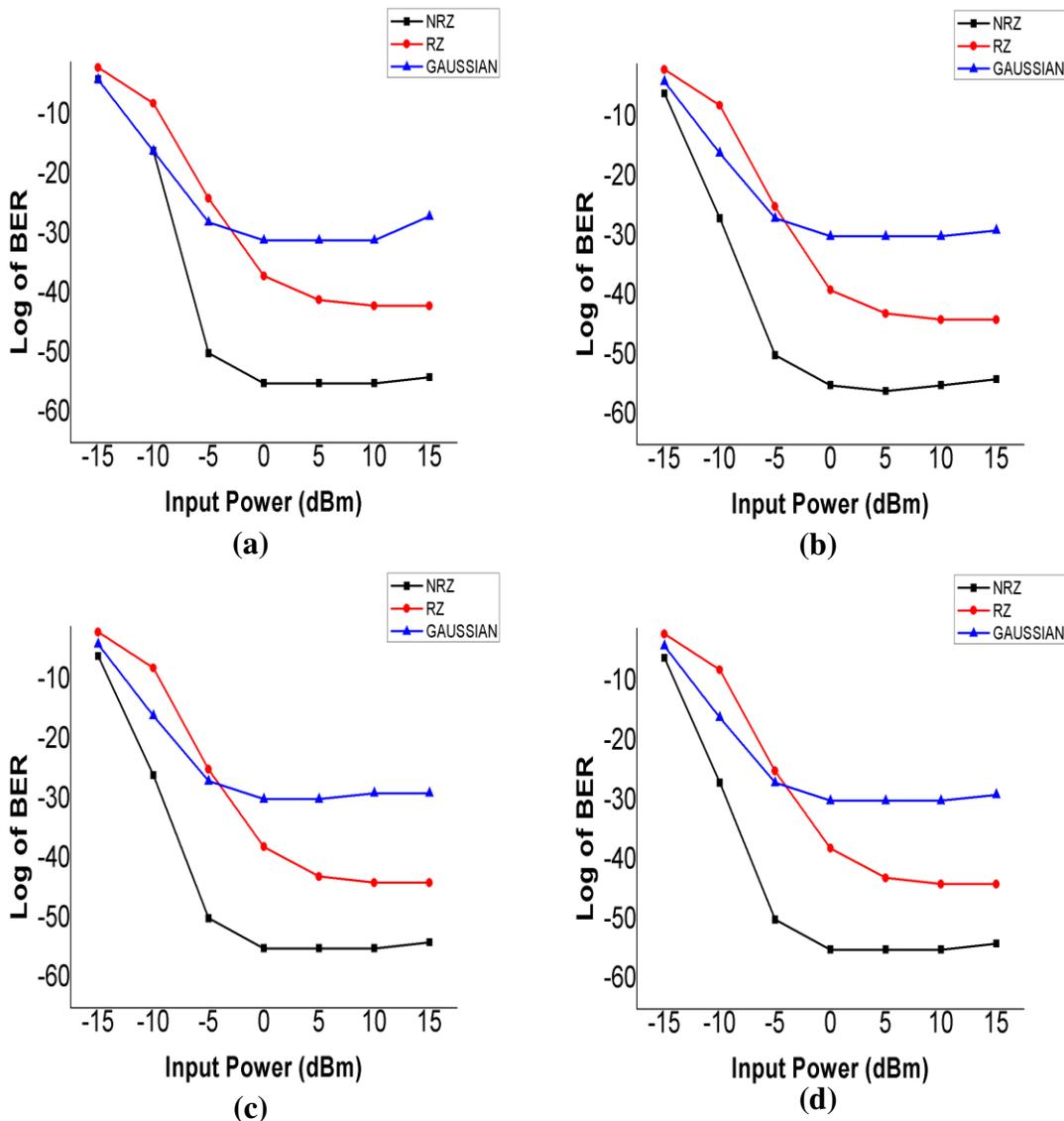


Figure 11 - Log BER versus Input Power for Downstream data of 20GHz (a), 40GHz (b), 60GHz (c) and 80GHz (d) for baseband signals with NRZ, RZ and Gaussian coding.

521 The Log BER behavior with Input Power (Figure 11) is due to in any fiber optic  
522 communication system the Q-factor value should be as high as possible up to a certain  
523 threshold for an optimal power value of the transmitted signal. In this sense, for low power  
524 levels the performance of the system is limited by undesirable noises, while for high power  
525 levels the system performance is reduced by non-linear effects. Another fact is that during  
526 the process of data transmission in SMF, various nonlinear and dispersive effects can  
527 induce power variations, which also affect system performance according to transmission  
528 time (Agrawal, 2012).

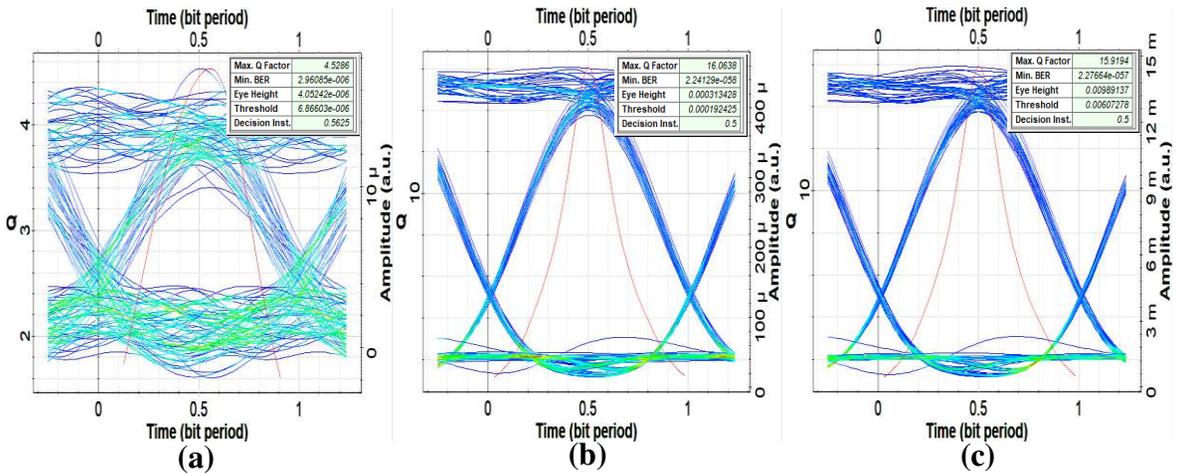
529 According to the graphs of log BER versus the input power signal, which are shown  
530 in Figures 11(a), 11(b), 11(c) and 11(d), it was possible to observe that in most cases, again  
531 the RoF scheme with NRZ encoding obtained better tolerance to dispersion, non-linear  
532 effects and noises, which were manifested with the increase in signal power. Thus, the best  
533 results of signal transmission performance were obtained, that is, higher values of the  
534 quality factor and lower bit error rate values were obtained, when compared to RoF  
535 schemes with RZ and Gaussian encoding. This can also be seen through the eye diagrams  
536 shown in Figures 12, 13 and 14, in which the red color graphs represent the Q-factors  
537 values as a function of the variation of the input signal power of -15dBm, 0dBm and  
538 15dBm for each encoding format used, both for 80GHz downstream data.

539 Thus, for the RoF system with NRZ encoding format, the Q-Factor values obtained  
540 for -15dbm, 0dBm and 15dBm were: 4.5286, 16.0638 and 15.9194, respectively. Similarly,  
541 the values obtained from BER were:  $2.96085 \times 10^{-6}$ ,  $2.24129 \times 10^{-58}$ ,  $2.27664 \times 10^{-57}$  (Figure  
542 12). For the RoF system with RZ encoding format, the Q-Factor values obtained for -  
543 15dbm, 0dBm and 15dBm were: 2.02644, 12.7328 and 13.531, respectively. Similarly, the  
544 values obtained from the BER were: 0.0213597,  $1.64137 \times 10^{-37}$ ,  $4.24391 \times 10^{-42}$  (Figure 13).  
545 And for the RoF system with Gaussian encoding format, the values obtained from the Q-  
546 Factor for the input power -15dBm, 0dBm and 15dBm were: 3.46999, 11.5584 and  
547 11.3665, respectively. Similarly, the values obtained from BER were: 0.000260192,  
548  $3.26753 \times 10^{-31}$ ,  $2.9895 \times 10^{-30}$  (Figure 14).

549 Therefore, the eye diagrams of Figures 12, 13 and 14 show that for the three  
550 encoding formats used in the proposed RoF system, there was an increase in the Q-factor  
551 and a reduction in the BER up to a certain threshold as the input signal power increased.  
552 However, from the power limit of each of the proposed RoF systems, there was a reduction  
553 in the height and amplitude of the eye diagram as the input power of the signal was  
554 increased up to 15dBm, this can be seen clearly in the eye diagrams in the Figures 12(c),  
555 13(c) and 14(c). It is also noteworthy that for RoF systems with input power of -15dBm, the  
556 eye diagrams are considerably stressed, this was due to the limitation of the systems to the  
557 noises that manifested during the signal transmission process, which resulted in low  
558 performance for the three types of encoding used for 80GHz downstream data, however  
559 this effect also occurred with the other mm-Wave frequencies used as shown in the graphs  
560 of Figure 11.

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576 Figure 12 - Eye diagram at, (a) -15dBm input power, (b) 0dBm input power and (c) 15dBm input power for  
577 the Non-Return-to-Zero (NRZ) coding signals.

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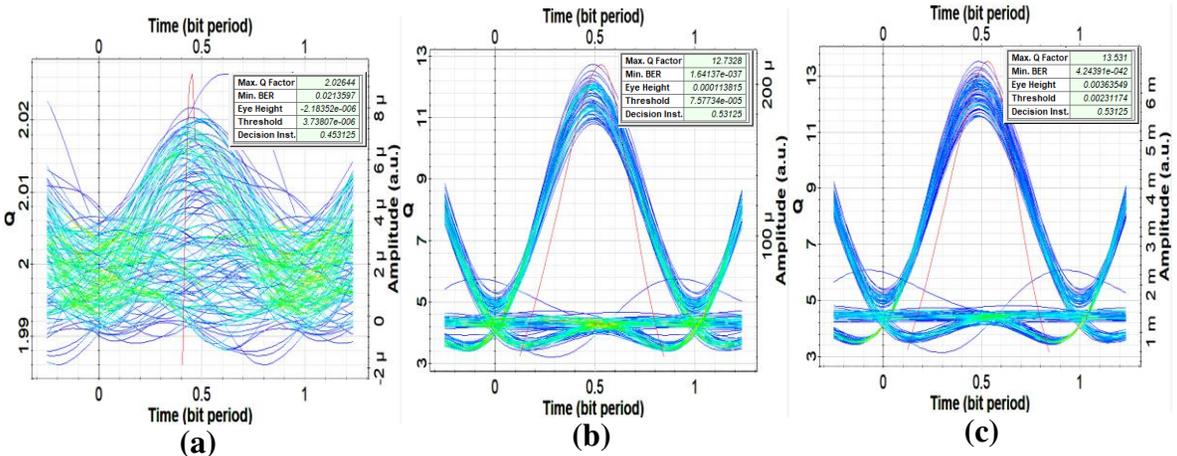
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586 Figure 13 - Eye diagram at, (a) -15dBm input power, (b) 0dBm input power and (c) 15dBm input power for  
587 the Return-to-Zero (RZ) coding signals.

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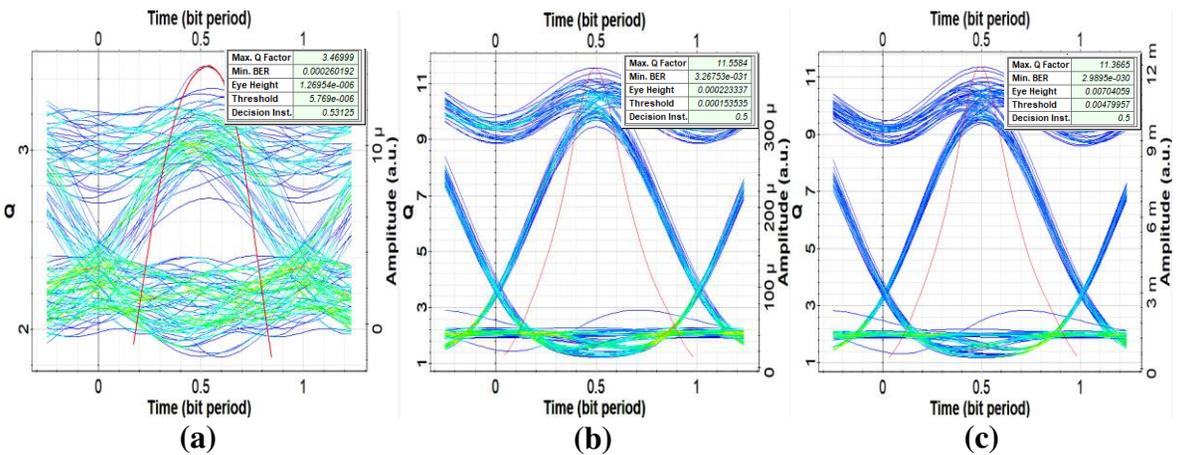


Figure 14 - Eye diagram at, (a) -15dBm input power, (b) 0dBm input power and (c) 15dBm input power for  
the Gaussian encoding signals.

597 **4. CONCLUSION**

598 The proposed RoF DP-MZM system was numerically demonstrated, which was able  
599 to satisfactorily generate  $\pm 3$ rd order mm-wave for the purpose of providing multiple  
600 multiband wireless accesses in the various BSs, at a transmission rate of 10Gbit/s and RF  
601 frequencies of 20GHz, 40GHz, 60GHz and 80GHz. The system performance was  
602 investigated through signal power and SMF length. The results showed the Q-factor value  
603 and the eye diagram were excellent for the three coding formats used, especially the NRZ,  
604 which obtained better performance values both for the variation of the SMF length and in  
605 the variation of the signal power. Therefore, the proposed RoF system can be considered of  
606 simple structure and suitable for the implementation of modern wireless communication  
607 systems in the presence of non-linear and dispersive effects.

608  
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624  
625 **5. APPENDIX**

626 Table I shows the used components parameters in the simulation of the proposed  
627 RoF-DP-MZM system, which they were indispensable for the construction of this optical  
628 network project.

629 Table I - Used simulation parameters in the proposed RoF system.

<b>Transmitter Parameters</b>	<b>Value</b>
Sine Generator frequency	20GHz to 80GHz
Sine Generator phase	90 deg
CW laser power	-15dBm to 15dBm
CW laser frequency	1552.5nm
CW laser line width	10MHz
MZM extinction ratio	20dB
MZM Symmetrical factor	-1
Power Combiner Number of input ports	2
Power Combiner Loss	0dB

<b>Mach-Zehnder Interferometer Parameters</b>	<b>Value</b>
WDM Interleaver Demux Frequency	1552.5nm
WDM Interleaver Demux Frequency spacing	10GHz
WDM Interleaver Demux Bandwidth	0.06nm
WDM Interleaver Demux Insertion loss	1dB
MZM extinction ratio	20dB
MZM Symetrical factor	-1
Pump Coupler Co-Propagating Signal attenuation	3dB
Pump Coupler Co-Propagating Pump attenuation	0dB
<b>Stage 2 Parameters</b>	<b>Value</b>
Pseudo-Random Bit Sequence Generator Bit rate	10e9Bits/s
Pseudo-Random Bit Sequence Generator Operation mode	Order
Pseudo-Random Bit Sequence Generator Operation Order	$\log(\text{Sequence length})/\log(2)$
Pseudo-Random Bit Sequence Generator Number of leading zeros	$(\text{Time window} * 3 / 100) * \text{Bit rate}$
Pseudo-Random Bit Sequence Generator Number of trailing zeros	$(\text{Time window} * 3 / 100) * \text{Bit rate}$
NRZ and RZ Pulse Generators Rectangle shape	Exponential
NRZ and RZ Pulse Generators Amplitude	1 a.u.
NRZ and RZ Pulse Generators Bias	0 a.u.
NRZ and RZ Pulse Generators	0 bit
NRZ and RZ Pulse Generators Rise time	0.05 bit
NRZ and RZ Pulse Generators Fall time	0.05
Gaussian Pulse Generators Amplitude	1 a.u.
Gaussian Pulse Generators Bias	0 a.u.
Gaussian Pulse Generators Width	0.5 bit
Gaussian Pulse Generators Position	0 bit
Gaussian Pulse Generators Order	1
<b>Link Parameters</b>	<b>Value</b>
SMF Attenuation	0.17dB/km
SMF Dispersion	17.8ps/nm/km
SMF Dispersion slope	0.08ps/nm <sup>2</sup> /km
SMF Effective area	80 $\mu\text{m}^2$
Length	0 to 80km
EDFA Gain	12dB
EDFA Noise Figure	4dB
GOF Frequency	1552.5nm
GOF Bandwidth	13GHz
GOF Insertion loss	0dB
<b>Receiver Parameters</b>	<b>Value</b>
PIN responsitivity	1A/W
PIN Dark current	10Na
PIN Thermal noise	10 <sup>-21</sup> W/Hz
Low Pass Bessel Filter Cut off frequency	0.75 * Bit rate Hz
Low Pass Bessel Filter Insertion loss	0dB
Low Pass Bessel Filter Order	4
Bit Rate	10Gbits/s
Sweep Iteration	4

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# Figures

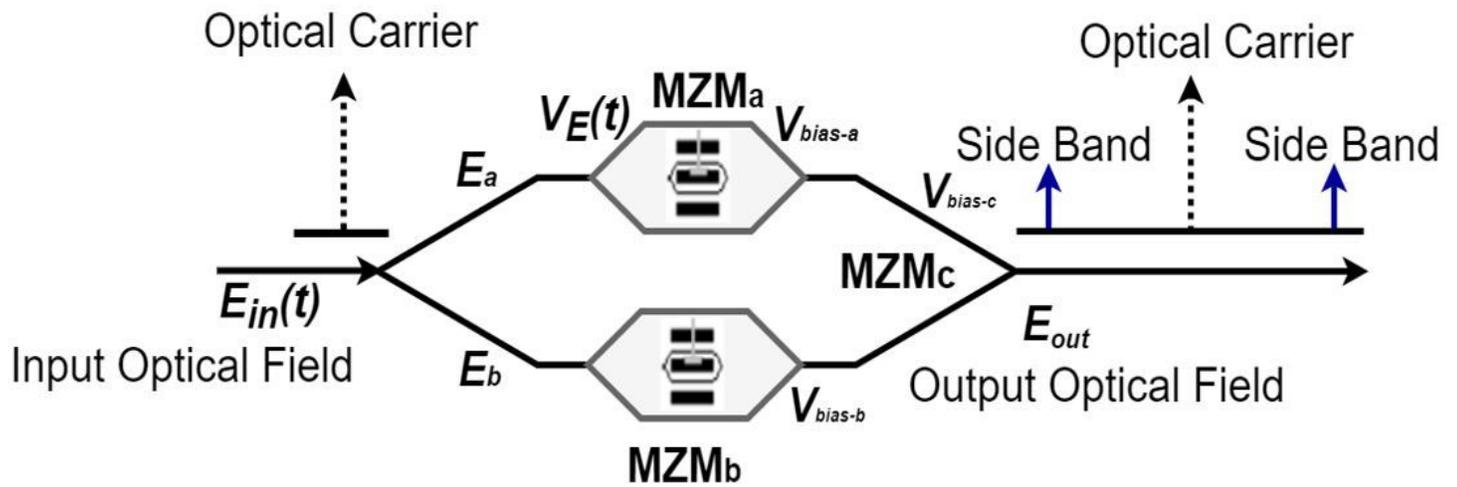


Figure 1

Conceptual diagram of a Dual Parallel Mach-Zehnder Modulator (DP-MZM).

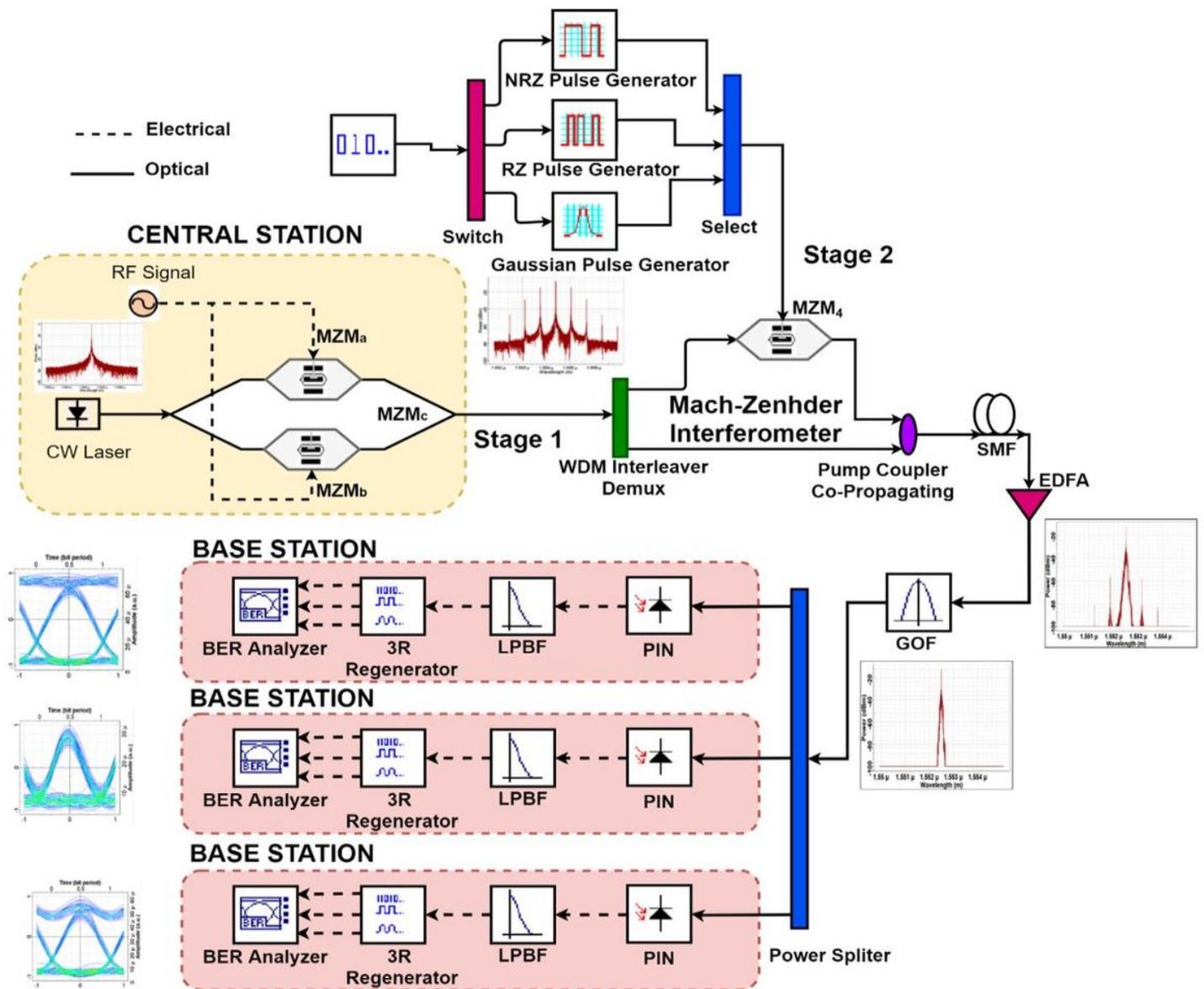


Figure 2

Schematic of the RoF-DP-MZM system proposed with three coding formats (NRZ, RZ and Gaussian).

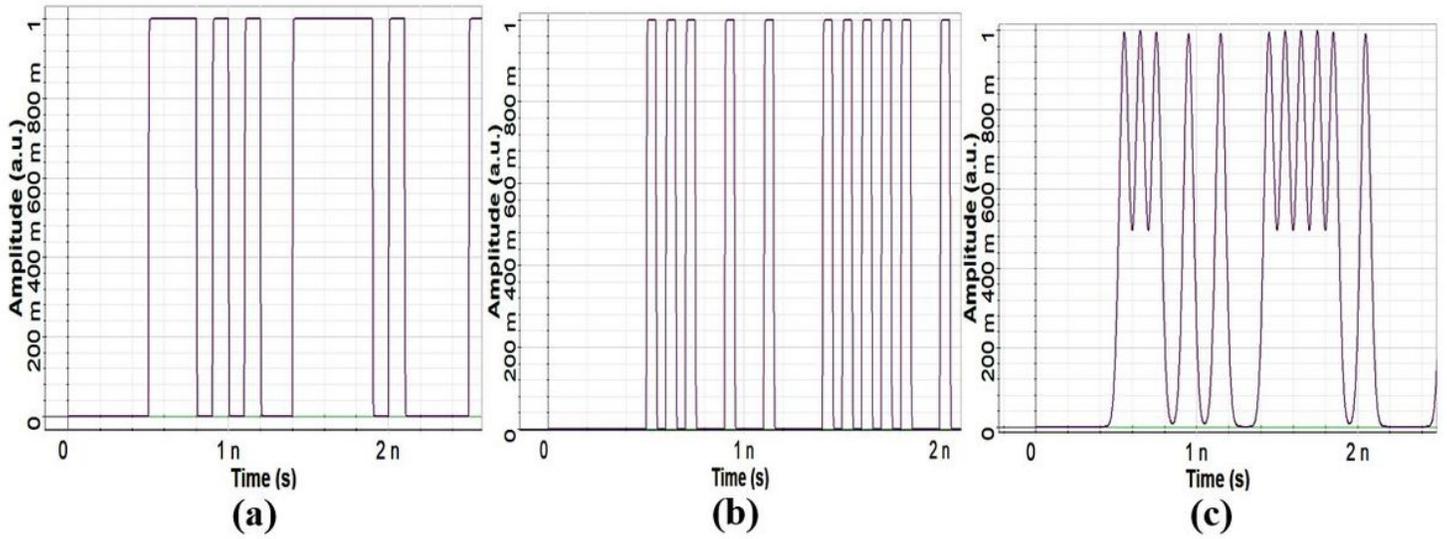


Figure 3

(a) NRZ pulse, (b) RZ pulse and (c) Gaussian Pulse.

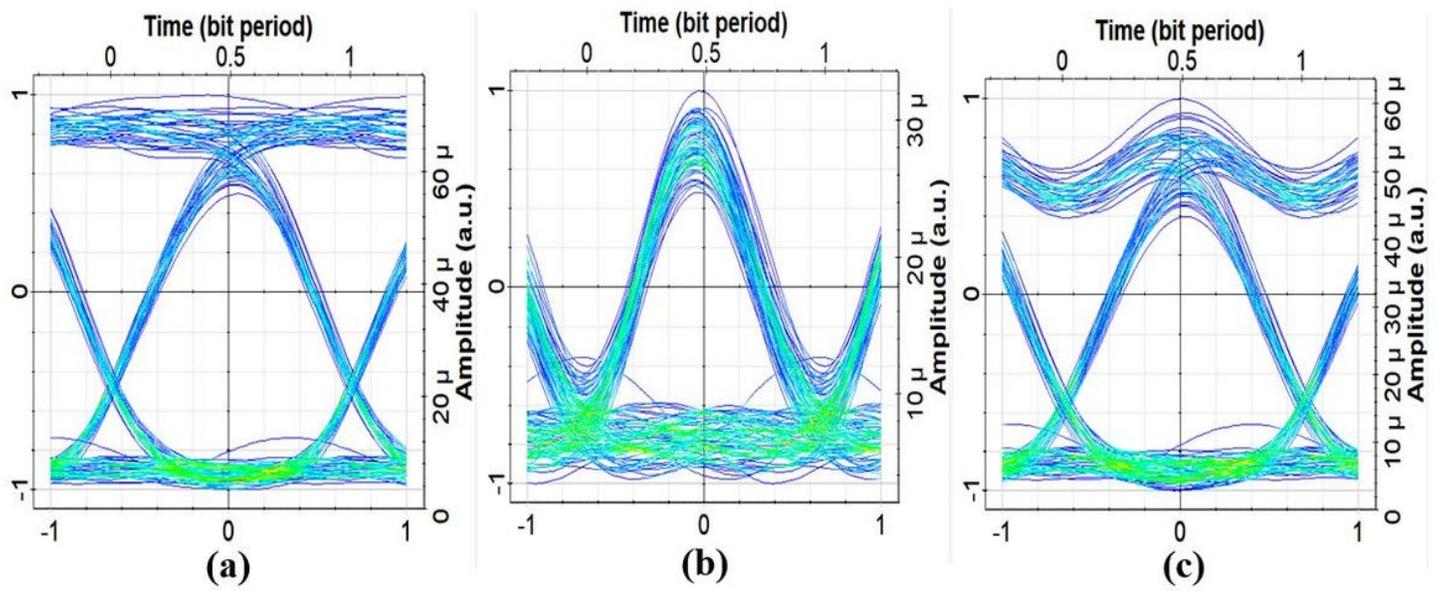
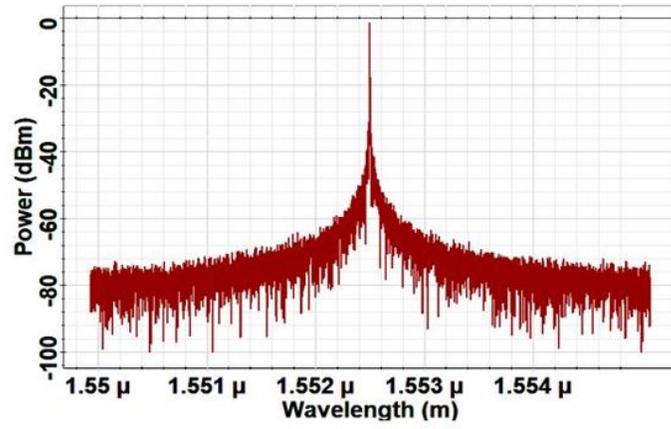
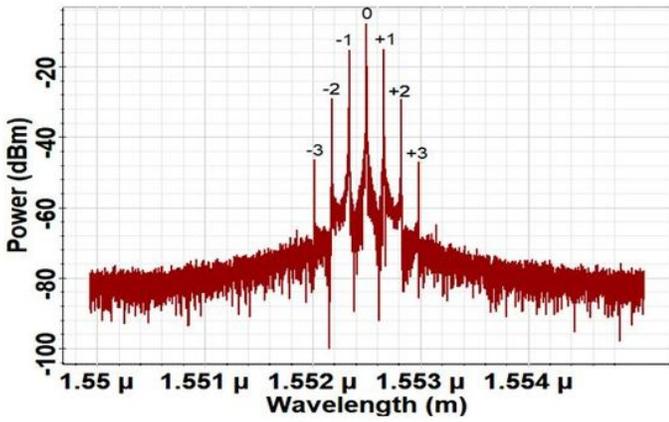


Figure 4

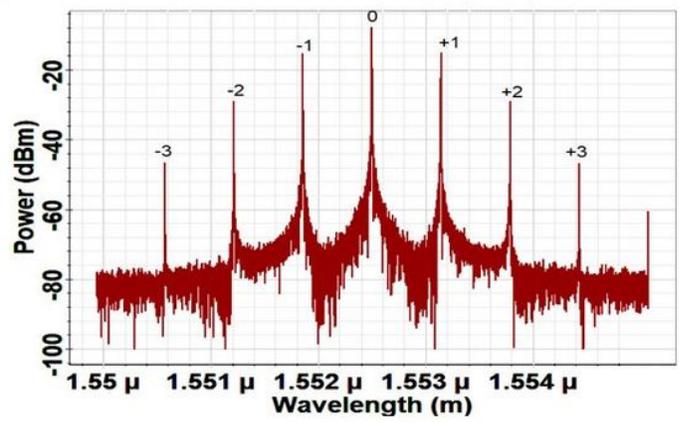
Eye diagram of the received signal for 20GHz mm-wave and for pulse formats NRZ (a), RZ (b) and Gaussian (c).



(a)



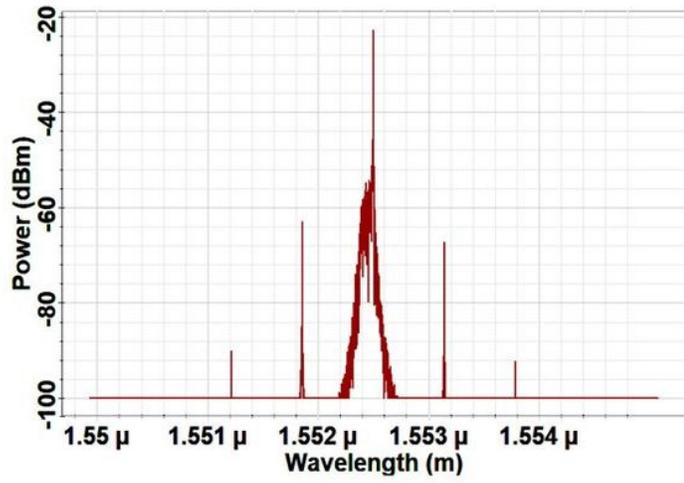
(b)



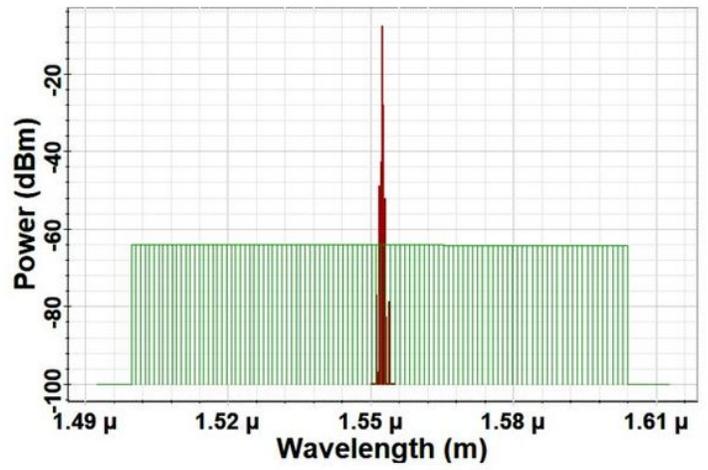
(c)

Figure 5

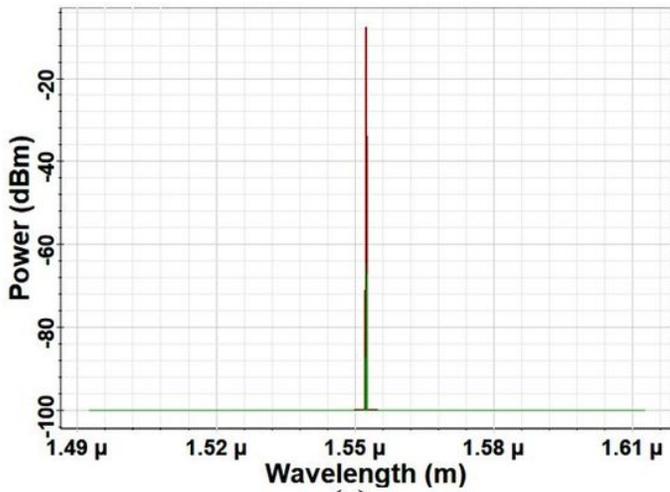
Transmitted signal at BS: Output Spectrum of the CW Laser (carrier) (a) and Spectra periodic optical sidebands at the DP-MZM output for the mm-wave frequency equal to 20 GHz (b) and 80 GHz (c), respectively.



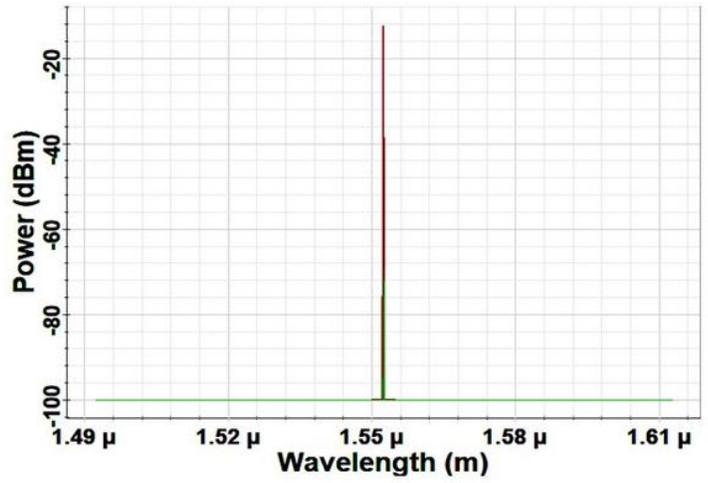
(a)



(b)



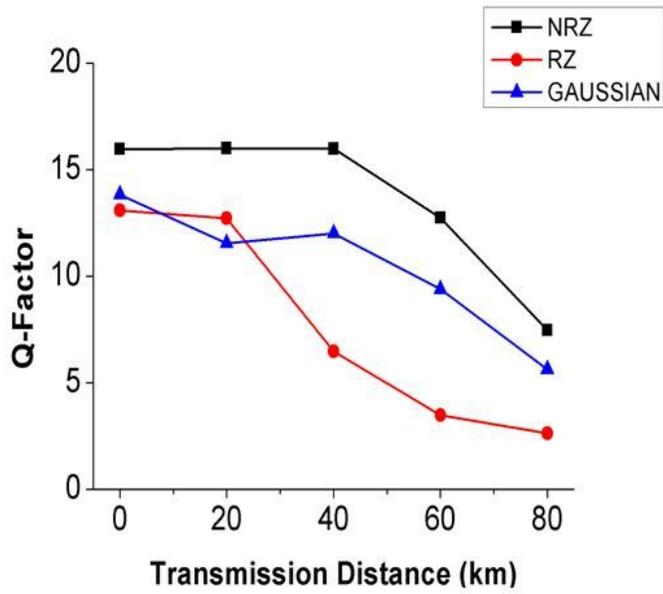
(c)



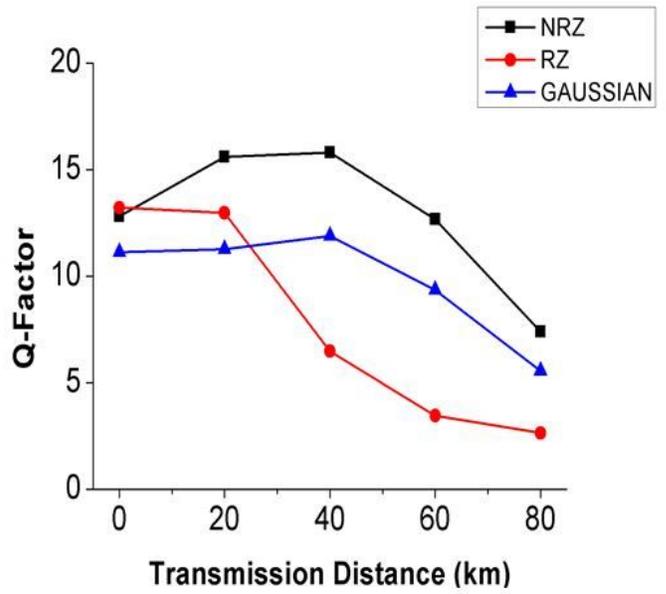
(d)

Figure 6

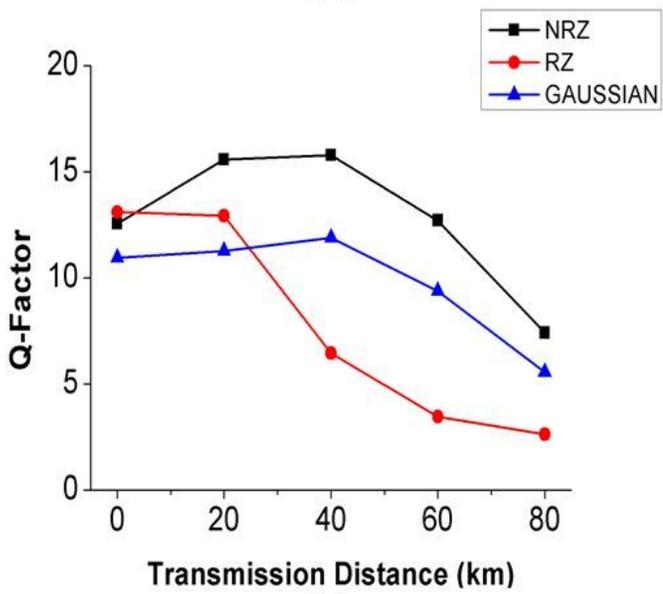
Optical Signal Spectrum at the WDM interleaver Demux (a), EDFA (b) GOF (c) and of BSs (d) outputs.



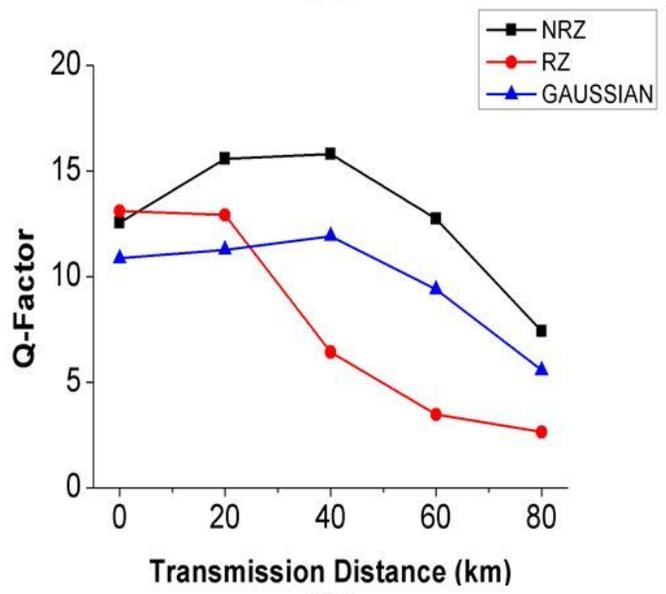
(a)



(b)



(c)



(d)

Figure 7

Q-Factor versus transmission distance for Downstream data of 20GHz (a), 40GHz (b), 60GHz (c) and 80GHz (d) for baseband signals with NRZ, RZ and Gaussian encoding.

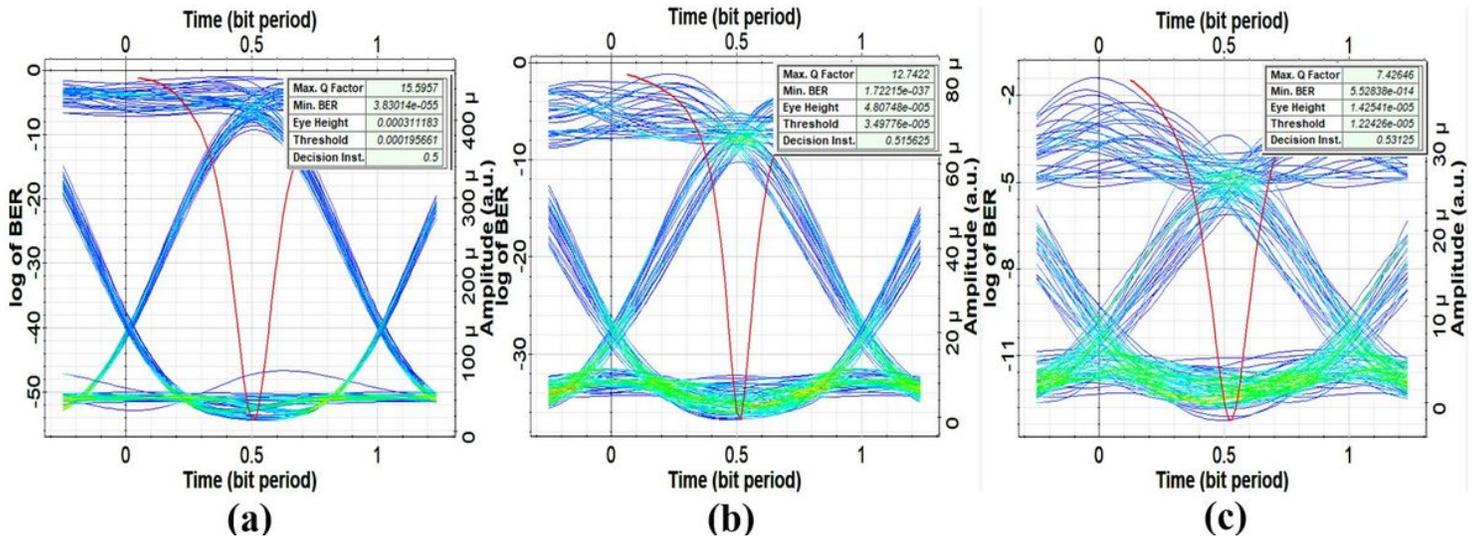


Figure 8

Eye diagram for the proposed RoF system with (a) 20km and (b) 60km and (c) 80km, both for 80GHz downstream data of NRZ encoding.

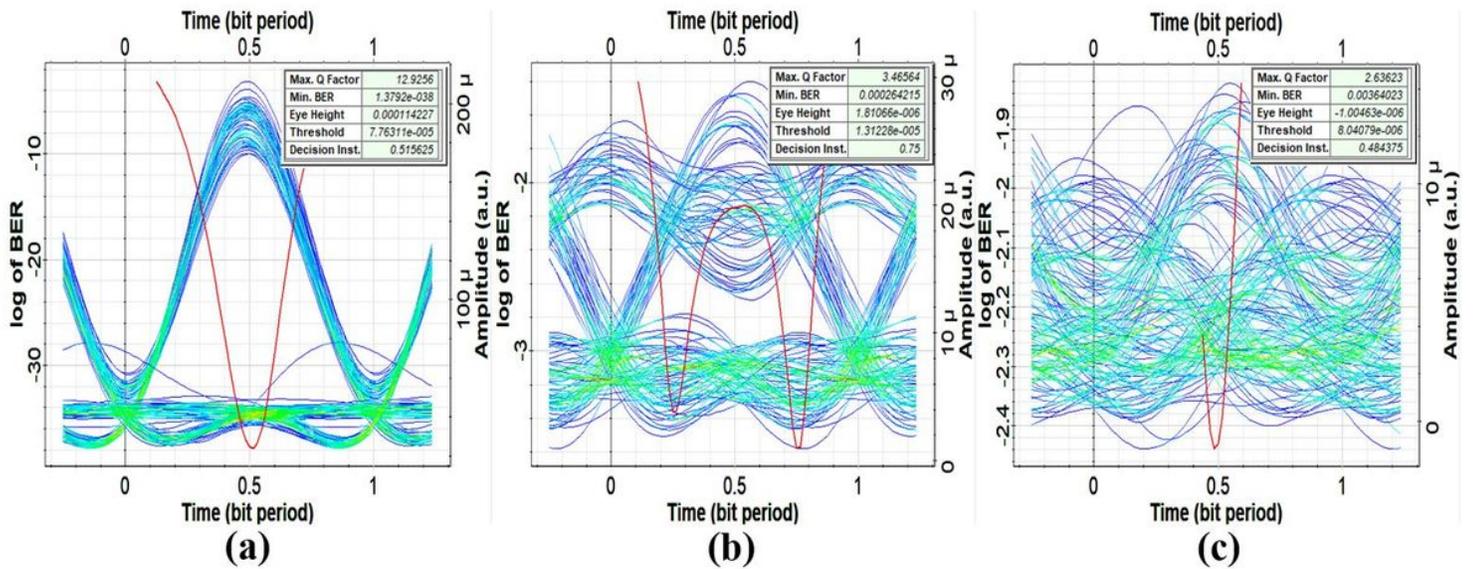


Figure 9

Eye diagram for the proposed RoF system with (a) 20km, (b) 60km and (c) 80km, both for RZ encoding 80GHz downstream data.

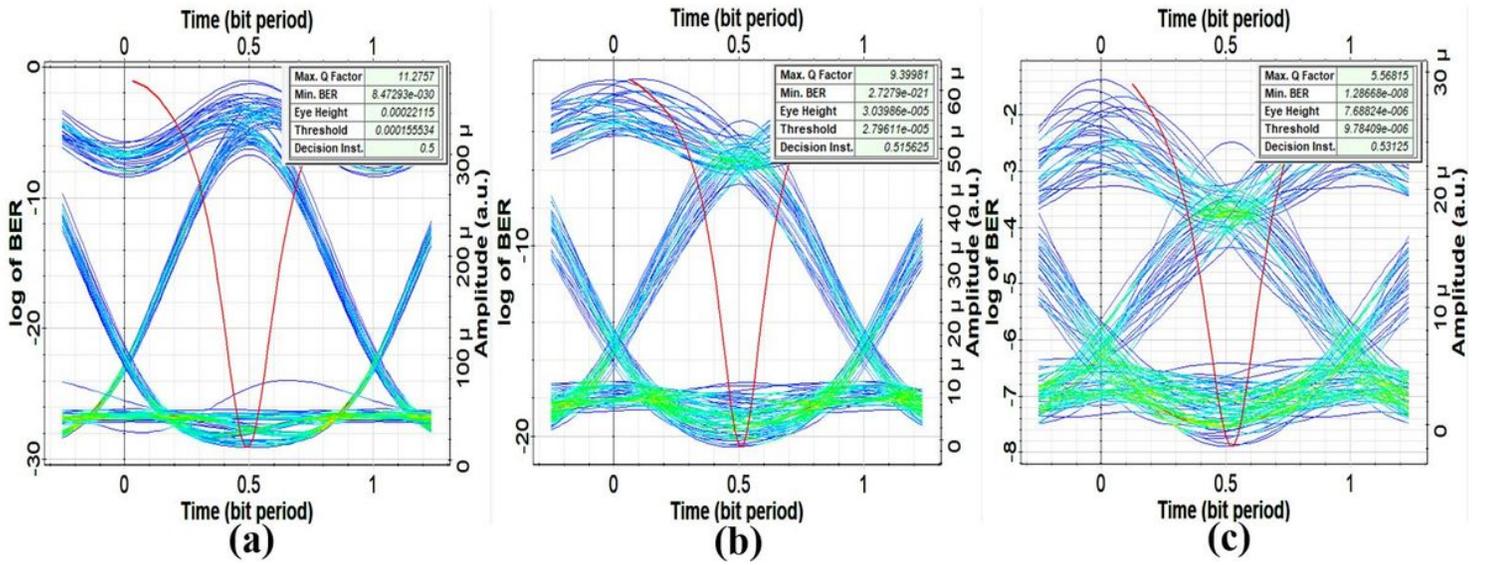
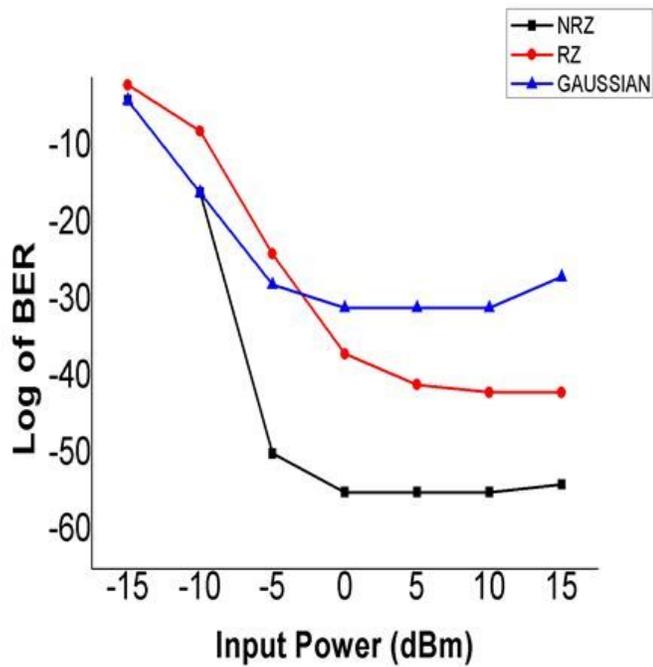
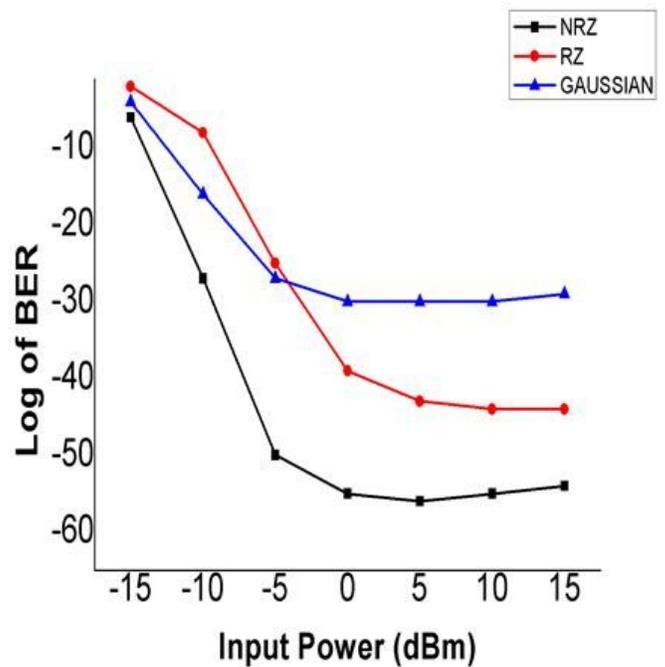


Figure 10

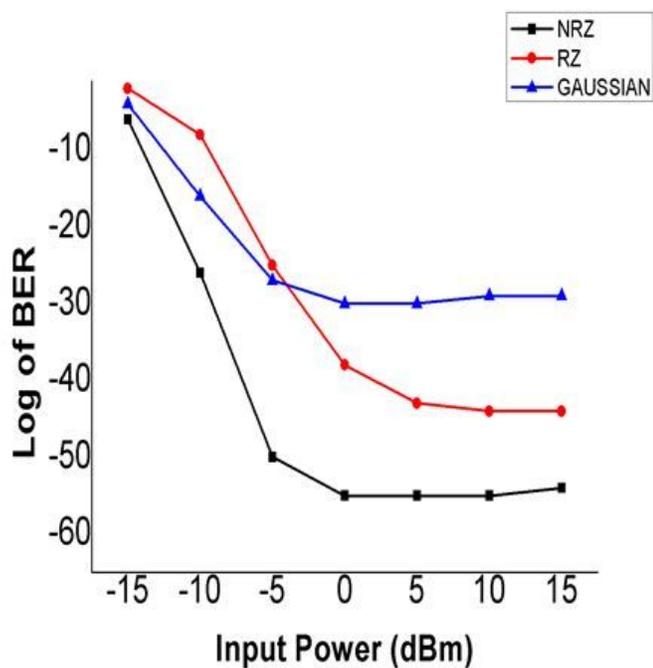
Eye diagram for the proposed RoF system with (a) 20km, (b) 60 km and (b) 80km, both for 80GHz downstream gaussian encoding data.



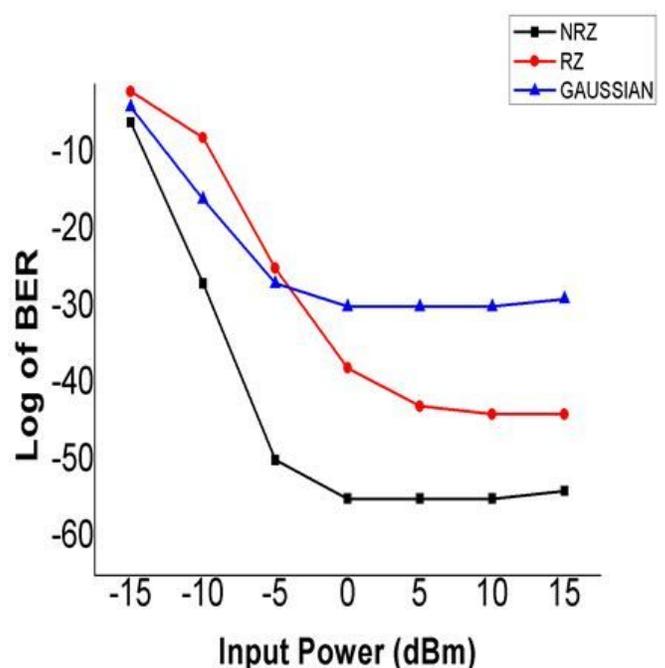
(a)



(b)



(c)



(d)

Figure 11

Log BER versus Input Power for Downstream data of 20GHz (a), 40GHz (b), 60GHz (c) and 80GHz (d) for baseband signals with NRZ, RZ and Gaussian coding.

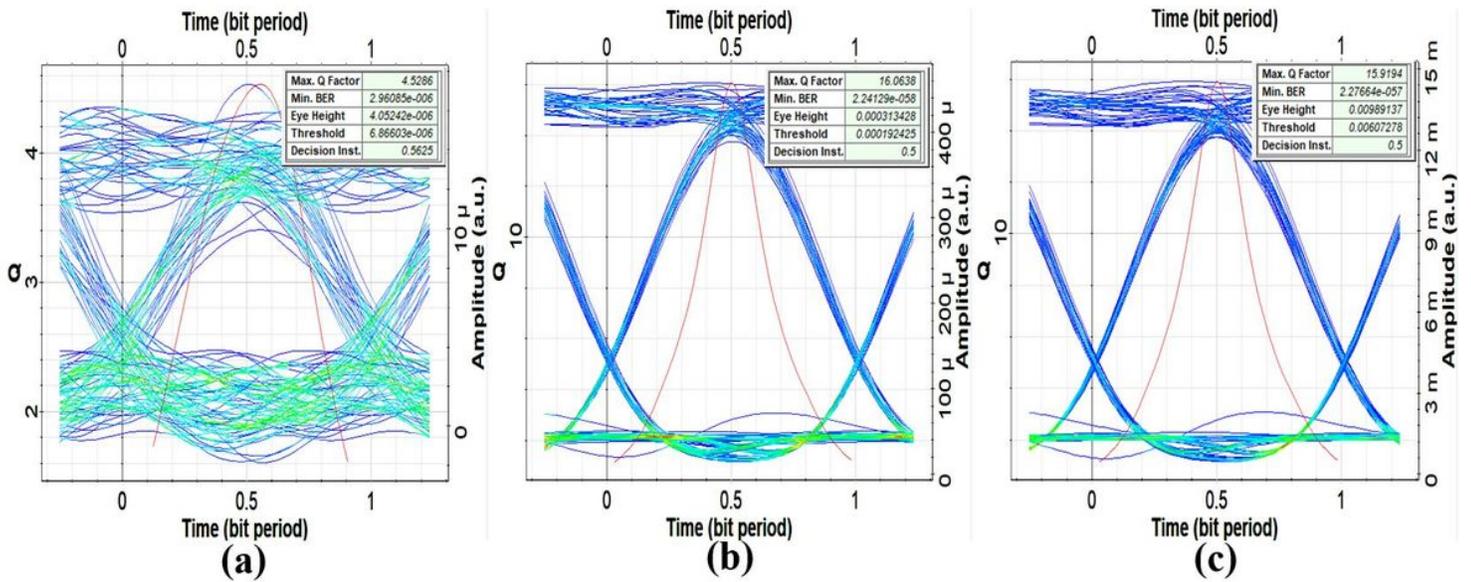


Figure 12

Eye diagram at, (a) -15dBm input power, (b) 0dBm input power and (c) 15dBm input power for the Non-Return-to-Zero (NRZ) coding signals.

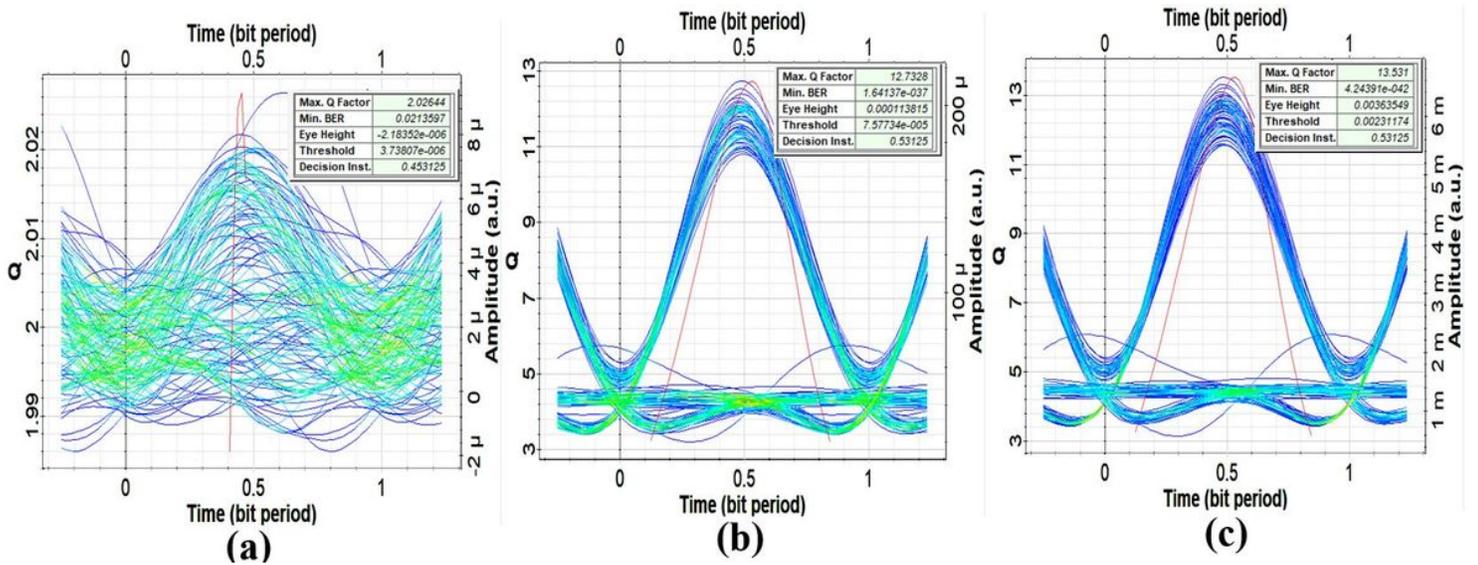


Figure 13

Eye diagram at, (a) -15dBm input power, (b) 0dBm input power and (c) 15dBm input power for the Return-to-Zero (RZ) coding signals.

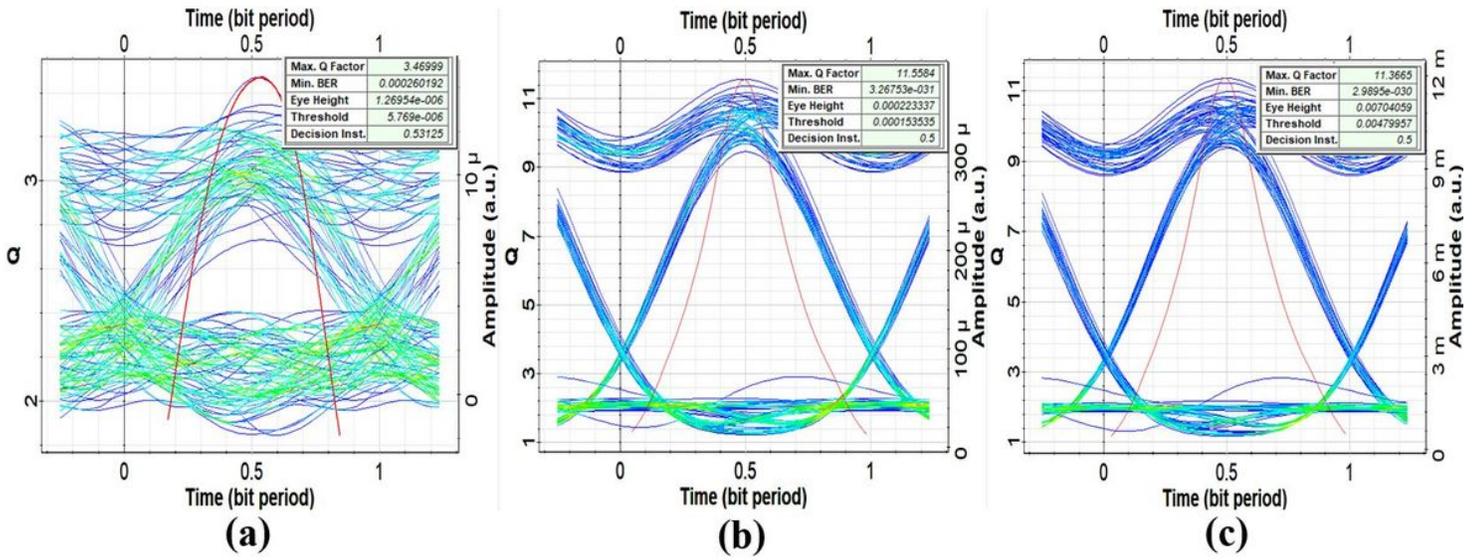


Figure 14

Eye diagram at, (a) -15dBm input power, (b) 0dBm input power and (c) 15dBm input power for the Gaussian encoding signals.