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## Research Article

**Keywords:** caries, geography, saliva microbiota, mixed dentition, diagnosis models

**Posted Date:** March 18th, 2021

**DOI:** <https://doi.org/10.21203/rs.3.rs-290144/v1>

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# Caries-associated Salivary Microbiota of Children at Mixed Dentition from Different Geographic Locations

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## Abstract

The microbial composition of dental caries may depend on age, diet, and geography, yet the effect of geography on these microbiomes is largely underexplored. Here, we profiled and compared saliva microbiota from 130 individuals aged 6 to 8 years old, representing both healthy children (H) and children with severe caries (C) from two geographical regions of China: Qingdao and Guangzhou. First, the saliva microbiota exhibited profound differences in diversity and composition between the C and H groups. The caries microbiota featured a lower alpha diversity and more variable community structure than the healthy microbiota. Furthermore, the relative abundance of several genera (e.g., *Lactobacillus*, *Gemella* and *Cryptobacterium*) was significantly higher in the C group than in the H group. Next, geography dominated over disease status in shaping salivary microbiota, and a wide array of salivary bacteria was highly predictive of the individuals' city of origin. Finally, we built a universal diagnostic model based on 14 bacterial species, which can diagnose caries with 87% and 85% accuracy within each city and 83% accuracy across cities. These findings demonstrated that despite the large effect size of geography, a universal model based on salivary microbiota has the potential to diagnose caries across human populations.

**Key words:** caries, geography, saliva microbiota, mixed dentition, diagnosis models

## Introduction

Dental caries is one of the most prevalent chronic infectious diseases, affecting approximately half of children worldwide<sup>1,2</sup>. Once started, the damage to teeth is irreversible<sup>3</sup>. Severe caries, an aggressive form of dental caries, can lead to acute pain, sepsis, and potential tooth loss and even interfere with children's quality of life, nutrition, and school participation<sup>4</sup>. Therefore, preventive measures against caries, as well as improved tools for prognosis early diagnosis, are of particular clinical significance.

Human oral microbiome dysbiosis is increasingly implicated in various local and systemic human diseases, such as dental caries<sup>5</sup>, gingivitis<sup>6</sup>, and obesity<sup>7</sup>. The oral microbial composition depends on many factors, including age, diet, and geography. Accumulating evidence supports that changes in oral microbiota continue throughout human life<sup>8-11</sup>, especially among three dentitions (i.e., deciduous/primary, mixed, and permanent dentition)<sup>12,13</sup>. Wim et al. found that *Prevotella* increased from deciduous, mixed, to permanent dentitions in healthy individuals, and there was a higher proportion of Proteobacteria in deciduous dentition than in mixed and permanent dentition<sup>13</sup>. Another study showed that *Lactobacillus spp.* and *Propionibacterium FMA5* were enriched in primary teeth from caries samples, while *Atopobium genomospecies CI* was enriched in permanent teeth<sup>12</sup>. The mixed dentition stage is a crucial transitional period during which deciduous teeth exfoliate successively and new permanent teeth erupt<sup>14</sup>. It is not only the main growth and development period of children's maxillofacial and dental arches but also subject to tremendous changes in host hormones and the immune system<sup>15</sup>, which may promote maturation of oral microbiota<sup>16</sup>. Notably, most of the previous microbial studies were focused on early childhood or adult caries<sup>5,8,17,18</sup>, and there are rare reports on the association of the oral microbiome with health and caries in mixed dentition<sup>14,19,20</sup>.

Regarding geographical factors, former studies reported that adult populations from different continental regions or even countries had microbial variations in saliva<sup>21,22</sup>, and supragingival microbiota differed among ethnic groups (i.e., African American, Burmese, Caucasian, and Hispanic) in children from the same geographic location (i.e., Burma)<sup>23</sup>.

54 Early microbiota development has a significant impact on oral health and diseases of adulthood<sup>24</sup>. Understanding the  
55 oral microbiota differences in children in different geographic locations will shed light on the factors that might drive  
56 oral health disparities. However, the influence of geographic factors, such as city-scale differences, on the oral  
57 microbiome of healthy and diseased children is largely underexplored.

58 In this study, we address three general questions: (i) During the mixed dentition period, do oral communities  
59 assemble differently at different host states (i.e., healthy and caries)? (ii) How is bacterial diversity partitioned across  
60 biogeography, host states and biological gender? (iii) Should the geographic factor be taken into account when building  
61 classifiers to distinguish children with caries from healthy controls? Here, we conducted a comparison of the saliva  
62 microbiome from severe caries and healthy child cohorts between 6 and 8 years old from two cities in China (Qingdao  
63 and Guangzhou) by 16S rRNA gene sequencing (Figure 1). Ecological modeling techniques were further employed to  
64 dissect the role of saliva microbiota in caries and geography and probe the predictive value of the microbiome for  
65 diagnosing caries by identifying both biogeography- and disease-associated taxa.

## 66 Results

### 67 Dental Caries Altered Saliva Microbiota in the Mixed Dentition

68 To investigate whether and how caries affects oral microbiota in the mixed dentition stage, we first compared beta diversity  
69 within and between disease status (i.e., health and severe caries) and gender based on the Jensen-Shannon distances. We found  
70 that disease status exhibited a remarkable effect on shaping salivary microbiota ( $p < 0.01$ ,  $F = 3.20$ ) rather than gender ( $p > 0.05$ ;  
71 Figure 2A). Furthermore, the C group exhibited significant variability, while the H group was relatively conserved in microbial  
72 community structure ( $p < 0.05$ ; Figure 2B). Next, we assessed the impact of the disease status on the alpha diversity represented  
73 by Shannon, Simpson, and Pielou's evenness indices. The results showed that the alpha diversity was significantly lower in the  
74 C group than in the H group (all  $p < 0.01$ ; Figure 2C). Finally, we quantitatively profiled the bacterial taxa from the phylum to  
75 species level to characterize the mixed-dentition microbial composition (Figure S1A) and then tested whether there were any  
76 caries-enriched and caries-depleted taxa. All sequences were distributed in 13 bacterial phyla that included six predominant  
77 phyla (accounting for  $> 99\%$  of the microbial diversity; Figure S1A), namely, *Firmicutes* (78.0%), *Actinobacteria* (11.9%),  
78 *Bacteroidetes* (5.0%), *TM7* (2.0%), *Proteobacteria* (1.6%) and *Fusobacteria* (1.4%). At the genus level, a total of 124 genera  
79 were identified, among which the most frequently detected genera (the four most abundant genera that each represented at least  
80 5% in the average relative abundance) were *Streptococcus* (51.4%), *Gemella* (11.2%), *Actinomyces* (8.7%) and *Granulicatella*  
81 (5.8%; Figure S1A). Moreover, no 'caries-specific' taxon (present in one status but absent in the other) was detected between  
82 the two groups. At the genus level, *Lactobacillus*, *Gemella*, *Cryptobacterium* and *Mitsuokella* were found to have significantly  
83 higher relative abundances in the C group, while *Leptotrichia*, *Porphyromonas*, *Peptococcus*, *TM7*, and *Tannerella* were  
84 higher in the H group (all  $p < 0.05$ , Figure S1B). At the species level, *Actinomyces IP073*, *Lactobacillus gasseri*, *Prevotella*  
85 *denticola*, *Propionibacterium FMA5*, *Streptococcus anginosus*, *Streptococcus mutans*, *Streptococcus sobrinus* and *Actinomyces*  
86 *gerenceriae* were found to have significantly higher relative abundances in the C group, while *Porphyromonas catoniae*,  
87 *Porphyromonas CW034*, *Propionibacterium propionicum*, *Tannerella oral taxon 808*, *TM7 oral taxon 352* and *uncultured*  
88 *Lachnospiraceae oral taxon 100* were higher in the H group (all  $p < 0.05$ , Figure S1C).

### 89 Geography Affected the Saliva Microbiota More than Caries Status

90 To elucidate the impact of geography on the oral microbiota, we included a second group of 34 age-matched children (17 with  
91 severe caries and 17 healthy subjects) from the southern city in China (Guangzhou group), approximately 1900 kilometers  
92 southwest of the northern city (Qingdao group; Materials and Methods). The analyses over the two cities showed that  
93 geography exhibited a higher effect on defining microbiota composition ( $p = 0.001$ ) than did caries status ( $p < 0.05$ ), and the two  
94 factors jointly explained up to 54% of the variation in microbiota, suggesting that they were the major factors shaping oral  
95 microbiota (Figure 3A). Furthermore, the Qingdao microbiota communities were more similar to each other than the  
96 Guangzhou microbiota: Guangzhou city samples showed higher within-group variability in Jensen-Shannon metrics (Figure  
97 3B;  $p < 0.05$ ), and Qingdao city samples were significantly more diverse in Shannon indices than that in Guangzhou (Figure 3C;  
98  $p < 0.05$ ).

99 To identify geography-specific markers contributing to predicting city origins, we first built classification models via the  
100 random forest (RF) machine learning algorithm using healthy samples as the training set. The city origin was predicted from  
101 healthy samples with 78.88% accuracy (area under the concentration curve [AUC]: 97.30%; CI: 93.80%-100.00%, Figure 4A).  
102 The probability of Guangzhou city was significantly higher in Guangzhou city samples than in Qingdao city samples from the  
103 H group (Wilcoxon test,  $p < 0.05$ , Figure 4B). Next, the RF model ranked the contribution of each predictor based on the  
104 variable importance, where we can identify the most discriminatory bacteria between two cities. Performance improvement  
105 was minimal when the top eight most discriminatory species were included (Figure 4C). Eight geography-specific marker  
106 species underlying the power of the healthy model were identified, namely, *Veillonella atypica/dispar/parvula*, *Granulicatella*  
107 *elegans*, *Corynebacterium durum*, *Rothia aeria*, *Bergeyella 602D02*, *Granulicatella adiacen*, *Peptostreptococcus stomatis* and  
108 *Streptococcus parasanguinis oralis* (Figure 4D). Among them, the relative abundance of the former five species was higher in  
109 Qingdao city samples than in the Guangzhou city samples (Wilcoxon test, adjusted  $p < 0.05$ ), while that of the latter three taxa

110 significantly increased in Qingdao city samples (Wilcoxon test, adjusted  $p < 0.05$ , Figure S2A). Moreover, these taxa were  
111 shared in caries samples, representing 12.88% and 13.13% abundance for healthy and caries samples, respectively. Finally,  
112 application of the eight-marker-based model on the caries samples resulted in 92.31% accuracy (AUC: 95.00%; Figure S2B),  
113 and the probability of Guangzhou city was significantly higher in the Guangzhou samples than in the Qingdao samples from  
114 the C group (Wilcoxon test,  $p < 0.05$ ; Figure S2C). Thus, geography-specific differences in the salivary microbiome were  
115 consistent, irrespective of health status.

## 116 A universal disease diagnosis model for all samples across geographic locations

117 Consistent with the results for the Qingdao city samples, a reduction in alpha diversity was associated with caries ( $p < 0.05$ ;  
118 Shannon index; Figure S3A) in all samples from both cities, and the beta diversity was distinct between caries and healthy  
119 microbiota ( $p < 0.05$ ,  $F = 1.00$ ; Figure S3B). These results suggested the feasibility of caries diagnosis based on oral microbiota in  
120 different geographic locations.

121 There were three strategies to construct and optimize the caries diagnosis model. First, to test the effect of taxonomic level  
122 on the discriminatory power of the RF model, the models were constructed based on taxa at the phylum, genus, and species  
123 levels to discriminate between healthy and caries samples using two city datasets. We found that the use of species-level taxa  
124 maximized (AUC: 88.56%, CI: 83.56%–94.61%) compared with that of the others at the phylum (AUC: 64.11%, CI:  
125 54.54%–73.67%) and genus (AUC: 77.61%, CI: 69.48%–85.74%) levels (Figure S4). Second, to test whether differences in  
126 oral microbiota in caries were consistent by city, we built RF models in each city (i.e., Qingdao and Guangzhou) and achieved  
127 diagnosis accuracies of 84.38% and 76.47%. Furthermore, training a diagnosis model in one dataset and applying it to another  
128 led to lower yet still decent and meaningful performance (Figure S5). Specifically, application of the Qingdao model (i.e., the  
129 Qingdao cohort as training data) on the Guangzhou dataset led to a reduction in the AUC from 91.10% to 83.00%, and  
130 similarly, application of the Guangzhou model (i.e., the Guangzhou cohort as training data) on the Qingdao dataset led to a  
131 reduction in the AUC from 85.81% to 80.00% (Figure S5). Third, we built RF models using all caries and healthy samples  
132 from the two geographic locations. Unexpectedly, excluding eight geography-specific signatures from the species profile rarely  
133 affected the classification performance, with AUCs from 88.56% to 88.99% (Figure 5A). Moreover, intriguingly, these most  
134 discriminatory taxa associated with caries state did not show correlation with geography in the healthy samples (Figure 5C) and  
135 vice versa (Figure S6) in either the geographic or caries diagnosis model. Underlying the power of the model using the species-  
136 level profile that ruled out these geographic signatures, fourteen bacterial species markers were identified based on both the  
137 rank order of important scores (Figure 5B and 5C) and the Wilcoxon test results (adjusted  $p < 0.05$ ; Figure S1C). Among them,  
138 eight taxa (i.e., *Streptococcus mutans*, *Actinomyces gerencseriae*, *Propionibacterium FMA5*, *Actinomyces IP073*,  
139 *Streptococcus anginosus*, *Lactobacillus gasseri*, *Streptococcus sobrinus*, and *Prevotella denticola*) were caries-enriched, while  
140 the other six taxa (i.e., *Tannerella oral taxon 808*, *Propionibacterium propionicum*, *Uncultured Lachnospiraceae oral taxon*  
141 *100*, *Porphyromonas CW034*, *Porphyromonas catoniae* and *TM7 oral taxon 352*) were caries-depleted (adjusted  $p < 0.05$ ;  
142 Figure S1C). Consequently, we constructed the final caries diagnosis model based on the fourteen species selected, which led  
143 to an increase in predictive performance in Qingdao city (AUC: 91.02%; CI: 85.27%–96.76%; Figure 6A), Guangzhou city  
144 (AUC: 86.16%; CI: 71.57%–100.00%; Figure 6A) and across two cities (AUC: 92.17%; CI: 87.45%–96.88%; Figure 6B).  
145 Notably, *Streptococcus mutans* (*S. mutans*) with the top importance score in the model (Wilcoxon test, adjusted  $p < 0.05$ , Figure  
146 5C and Figure S1C) has previously been documented to play a critical role in caries pathogenesis. Using only *S. mutans* as a  
147 predictor, the simplified random forest model led to a lower yet decent performance (AUC=81.62%, CI: 74.40%–88.84%,  
148 Figure S7). However, *S. mutans* was not detected in any of the samples (the occurrence rate in the caries sample=78.5%, the  
149 occurrence rate in the healthy sample=30.8), as well as the others (Figure S8), suggesting that dental caries is not associated  
150 with a single taxon but in fact with a complex community.

## 151 Discussion

152 It has been well documented that in dental caries, environmental perturbation alters the balance of the oral microbiota  
153 and eventually leads to a predominance of cariogenic bacteria, resulting in sustained demineralization of tooth hard tissue  
154 <sup>25</sup>. Evidence has recently emerged that the oral microbiome may depend on age, oral dentition, diet and geography <sup>8,13,26-</sup>  
155 <sup>28</sup>. Effectively reducing dental caries burden requires a better understanding of its determinants. To address this issue, we  
156 profiled and compared saliva microbiota from 130 individuals aged 6 to 8 years old, representing both severe caries and  
157 healthy control children from two geographical regions of China: a northern city (Qingdao) and a southern one  
158 (Guangzhou).

159 First, we characterized the dysbiotic saliva microbiome in caries in human populations in terms of alpha diversity,  
160 beta diversity and bacterial composition. Similar to our observations, previous studies of various age stages of  
161 individuals have shown that caries status favored reduced microbial diversity <sup>8,29,30</sup>. Such a reduction in alpha diversity is  
162 likely caused by increased carbohydrate consumption and fermentation, leading to acid production and secretion. The  
163 low-pH environment probably selects acidogenic and aciduric taxa, which could thrive under the condition <sup>31</sup>. The beta-  
164 diversity analysis showed that saliva microbial communities significantly differed between diseased and healthy children.  
165 Moreover, caries children also have higher Jensen-Shannon distances than healthy children. This is likely because caries

166 microbiomes have higher intro-group variation and more personalized microbiomes than healthy microbiomes, which are  
167 more similar to each other<sup>5</sup>. Moreover, our data substantiate existing evidence that organisms other than *Streptococcus*  
168 *mutans* and *Lactobacilli* play a role in the development and progression of dental caries. At the genus level, the caries  
169 microbiome harbored a higher abundance of *Lactobacillus*, *Gemella* and *Cryptobacterium* than healthy controls, which is  
170 in line with previous studies<sup>32-34</sup>. At the species level, the increase in *non-mutans streptococci* (i.e., *S. anginosus* and *S.*  
171 *sobrinus*) and *Actinomyces gerencseriae* in the C group was not surprising. They were recognized as acidogenic and  
172 aciduric bacteria, which have been reported to produce weaker acid resulting in caries initiation and thrive during caries  
173 progression in low pH conditions (e.g., pH=5.0;<sup>19,35,36</sup>. Similarly, according to our and other studies<sup>5</sup>, *Prevotella*  
174 *denticola* was significantly enriched in caries and was identified as the main predictor of caries, which potentially have  
175 proteolytic/amino acid-degrading activities. *Propionibacterium FMA5* was implicated in dental caries from young  
176 permanent teeth<sup>18</sup> and root caries from elderly individuals<sup>37</sup>. In addition, *S. mutans* was identified in relatively low  
177 abundance, and the detection rate was relatively low (AUC=81.62%). Consistently, previous studies found that despite a  
178 significant enrichment of *S. mutans* with caries development, several bacteria were far more abundant in the carious  
179 lesions<sup>38</sup>. Our findings illustrated that dental caries in the mixed dentition resulted from widespread shifts in the oral  
180 microbial community instead of any particular taxa from healthy to diseased status, supporting the “ecological plaque  
181 hypothesis”<sup>35,39</sup>.

182 Next, our data included children from two cities of China: Qingdao (N group) and Guangzhou (S group), between  
183 which the distance was approximately 1900 kilometers. We found that alpha and beta diversity in background oral  
184 microbiomes are radically distinct across geographic locations. Thus, geography accounted for the highest variance in the  
185 salivary bacterial profiles compared to other confounding factors, such as caries state or host gender. In previous studies,  
186 saliva microbial profiles can vary greatly across large-scale geographic locations (e.g., the continental region<sup>21</sup> or  
187 country<sup>22</sup>) or by ethnicity within one nation<sup>40</sup>. However, few studies to date have systematically investigated the oral  
188 microbiome from the mixed dentition of Chinese subjects residing in different cities. This makes it challenging to  
189 directly compare caries microbiomes across studies and test the generalizability of microbiome-based diagnosis models  
190 across geography. Geography is a considerable yet complex factor influencing the development of microbiome-based  
191 diagnostic models. First, diet can contribute greatly to geographic differences across populations. The diet in Qingdao  
192 city typically encompasses a wider variety of carbohydrates than that in Guangzhou city, and fatty foodstuffs may supply  
193 a more complex array of substrates and allow more diverse bacterial species to thrive in the oral cavity.<sup>22</sup> Additionally,  
194 these unique food nutrients have an indispensable effect on the microbial ecology of dental caries<sup>41</sup>. Second, the  
195 population composition in cities may largely determine intraindividual microbiome variation. With the rapid economic  
196 development in Guangzhou, an increasing number of citizens from other parts of China have migrated to well-developed  
197 southern cities to seek job opportunities, which has resulted in higher population-level diversity in Guangzhou city. In  
198 contrast, those in Qingdao city reflected a more homogenous group from a relatively restricted area. This might be a  
199 plausible explanation why we observed a higher interindividual microbiome diversity in Guangzhou’s population.  
200 Although the mechanism for the city-dependent microbiome remains obscure, host genetics, climate, dietary patterns,  
201 built environments<sup>42</sup> and other epidemiological factors should be further considered in developing a microbiome-based  
202 diagnostic model of ECC<sup>43</sup>.

203 Finally, we built a universal classification to diagnose caries using oral bacterial species by appropriately  
204 detrending the geographic effect in microbiome data. Despite the considerable differences between the two cohorts, a  
205 caries diagnosis model built from a single city can still be applied across the two cities with decent accuracy. Moreover,  
206 although geographic factors showed a larger effect size in defining oral microbiome data than caries state, city-specific  
207 markers had little impact on the prediction performance of caries classification models. Intriguingly, disease-specific  
208 biomarkers showed no correlation with geography. These results suggested the feasibility of universal caries diagnosis  
209 independent of geographic distances among populations. As a result, the caries diagnosis model consisting of the top 14  
210 bacterial oral species can reliably diagnose caries with 83.08% accuracy (AUC=92.17%) across cities. Our previous and  
211 other studies have verified the diagnostic and predictive efficacy of oral microbiota using random forest classification  
212 models in deciduous and permanent dentition<sup>6,8,44,45</sup>. Together, these results suggested that caries diagnosis models were  
213 biogeography-independent using saliva microbial profiles.

214 There were several limitations in the current study, and different factors might affect the results. For example, (i)  
215 the sample size of Guangzhou city here is relatively small, which should be increased to allow a better statistical  
216 comparison of the microbial diversity with Qingdao (n=96) samples. (ii) Cross-sectional data to examine the link  
217 between disease status and geography with dental caries are relatively limited. To further test the causal relationship, a  
218 longitudinal design should be conducted. (iii) Whether universal diagnosis models are generally applicable in other cities  
219 is not yet clear, and more environments and geographic regions must be observed. Future efforts tackling these questions  
220 are key to more precise dental caries therapies.

## 221 **Conclusions**

222 To our knowledge, this is the first study to use current molecular techniques to the differences between the bacterial  
223 composition of the saliva microbiota in mixed dentitions of severe caries and healthy children living in different  
224 geographic locations: either Qingdao or Guangzhou of China. Using machine learning approaches, we also revealed that  
225 although geography has the most remarkable effect size on salivary microbiota (the saliva microbiome can predict the  
226 originated city with near 100% accuracy), a universal model based on fourteen bacterial species can diagnose caries with  
227 83.08% accuracy across cities (area under the concentration-time curve [AUC], 92.17%). Our study underscores the  
228 possibility of employing saliva microbiota for a universal diagnosis method, which can be probed for other dentition  
229 stages of oral caries and for caries in other geographic locations.

## 230 **Materials and Methods**

### 231 **Study Design and Sample Collection**

232 This study was reviewed and approved by the Ethical Committee of Qingdao University (Qingdao, China), and followed  
233 the Declaration of Helsinki. Written informed consent was obtained from the legal parents or other guardians of all  
234 participants prior to enrollment. All experiments were performed following relevant guidelines and regulations. The  
235 children employed in this study were from two primary schools in the northern city (Qingdao, Shandong Province) and  
236 the southern city (Guangzhou, Guangdong Province) in mainland China. They were all unrelated students of both  
237 genders, aged between 6 and 8 years old and shared a relatively homogeneous primary school campus living  
238 environment. After oral clinical examination, 96 (Qingdao) and 34 (Guangzhou) children were chosen for saliva sample  
239 collection. Among these, the Qingdao-originated samples were from 48 severe caries (DMFT $\geq$ 6) and 48 healthy  
240 (DMFT=0) subjects, while the Guangzhou-originated samples were from 17 severe caries (DMFT $\geq$ 6) and 17 healthy  
241 (DMFT=0) children. All reported no antibiotic intake for at least the preceding 6 months and were asked to avoid eating  
242 or drinking for 1 h before oral sampling. Each sample was collected by expectorating approximately 3 ml saliva into  
243 sterile plastic 50 ml tubes. Then, we individually numbered and sealed the samples, placed them in a 4°C sample storage  
244 tank, and stored them in a freezer at -80°C for long-term storage.

### 245 **DNA Extraction, PCR Amplification, and Sequencing of the Oral Microbiome**

246 Microbial genomic DNA was isolated using lysozyme-containing enzymatic lysis buffer and zirconia-silica beads  
247 (BioSpec, Bartlesville, OK) and a DNeasy® Blood and Tissue Kit (Qiagen Valencia, CA). The V1-V3 hypervariable  
248 regions of the 16S rRNA gene were subjected to high-throughput sequencing at Beijing Auwigene Tech, Ltd. (Beijing,  
249 China) using the Illumina MiSeq PE300 sequencing platform (Illumina, Inc., CA, USA). PCR amplification of the V3-  
250 V4 region of the bacterial 16S rRNA gene was performed using universal primers 5'-  
251 TGGAGAGTTTGATCCTGGCTCAG-3' (forward) and 5'-TACCGCGGCTGCTGGCAC-3' (reverse) incorporating a  
252 sample barcode sequence. The PCR conditions were as follows: 2 min initial denaturation at 95°C; 25 cycles of  
253 denaturation at 94°C (30 s), annealing at 56°C (25 s), and elongation at 72°C (25 s); and final extension at 72°C for 5  
254 min. The PCR products were separated by 1.2% agarose gel electrophoresis, and the approximately 500 bp fragments  
255 were purified using Agencourt AMPure XP (Beckman Coulter, Inc., CA, USA). Sequencing was performed using Roche  
256 454 FLX Titanium chemistry.

257 Raw sequencing data were processed by Beijing Auwigene Tech, Ltd. (Beijing, China) using the pipeline tools  
258 MOTHUR<sup>46</sup> and QIIME<sup>47</sup>, and pyrosequencing data were analyzed using customized R scripts. Noise reduction was  
259 carried out using MOTHUR. The sequences were binned into operational taxonomic units (OTUs) with 97% similarity.  
260 OTUs are groups of sequences that are clustered based on similarity, allowing taxonomic assignment.

### 261 **Statistical Analysis**

262 Overall, the saliva microbiota was compared in two dimensions: (i) between severe caries samples and healthy controls  
263 to discover the potential microbial factors associated with caries and (ii) between samples from Qingdao and Guangzhou  
264 cities to identify the effect size of geography on saliva microbiota. The Jensen-Shannon distance metric (JSD) was used  
265 to visualize the differential distribution of the between-microbiome difference between sampling groups (e.g., diseased  
266 states, city of origin). PERMANOVA analyses were further applied to determine the significance (p-value) and strength  
267 (F values) of a given confounding factor in explaining the variation in the oral microbiome. The pairwise p-values from  
268 Adonis were corrected for multiple comparisons. To compare the quantitative data in the alpha and beta diversity  
269 analysis and biomarker selection, the Kruskal-Wallis rank-sum test was used, and p-values were corrected via false  
270 discovery rate (FDR) for multiple pairwise comparisons.

### 271 **Building the Diagnostic Models of Caries**

272 Random forest (RF) was applied to identify features that are differentially abundant (i.e., present in different abundances)  
273 across sample groups and diagnosis models. The N top-ranking caries-discriminatory taxa and geography-discriminatory  
274 taxa that led to reasonably good fit were identified based on the 'rfcv' function in the random forest package

275 ([https://cran.rproject.org/web/packages/randomForest/](https://cran.rproject.org/web/packages/randomForest/index.html) index.html). RF models were trained to identify disease status in  
276 the training set, which included samples from the healthy and severe caries groups using the taxonomy profiles. The  
277 results were evaluated with a 10-fold cross-validation approach, and model performance was evaluated by receiver  
278 operating characteristic (ROC) curves. Using the species profiles, the performance of the models based on microbiota  
279 was evaluated with a 10-fold cross-validation approach where the original samples were randomly partitioned into 10  
280 groups with a similar distribution of healthy and caries samples. In each cross-validation iteration, nine groups of  
281 samples were used as training data and tested samples in the remaining group. The cross-validation process was then  
282 repeated 10 times, and per-sample prediction was reported as ones in the test fold. Based on the optimization step that  
283 selects the taxonomic level that maximizes model performance, the final RF models were based on the taxonomic  
284 profiles at the species level. ROC analysis was then used to evaluate the diagnostic performance of the RF models  
285 ([https://cran.r-project.org/web/packages/pROC/](https://cran.r-project.org/web/packages/pROC/index.html) index.html). In the ROC plots, the x axis represents the true-positive rate  
286 (TPR, or sensitivity), and the y axis presents the false-positive rate (FPR, or specificity). The area under the ROC curve  
287 (AUC) was calculated to quantify the performance of the RF model.

## 288 **Conflict of Interest**

289 The authors declare that they have no competing interests.

## 290 **Author contributions**

291 S.L., S.H., F.Y., F.T., Z.C., Q.G. and Y.T. designed the study; L.Z., F.L. and Y.Z. performed clinical examination and  
292 sample collection; K.T. and J.L. performed sample processing and 16S rDNA pyrosequencing; S.H., F.T. contributed to  
293 statistical analysis methods; S.L., F.Y., Y. G. and F.T. performed bioinformatics analysis; S.L., F.Y., F.T. wrote the paper.  
294 All authors have reviewed and approved the final version of the manuscript.

## 295 **Acknowledgments**

296 Our work was supported in part by grants 81670979 and 31600099 from the Natural Science Foundation of China. The  
297 funders had no role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript.

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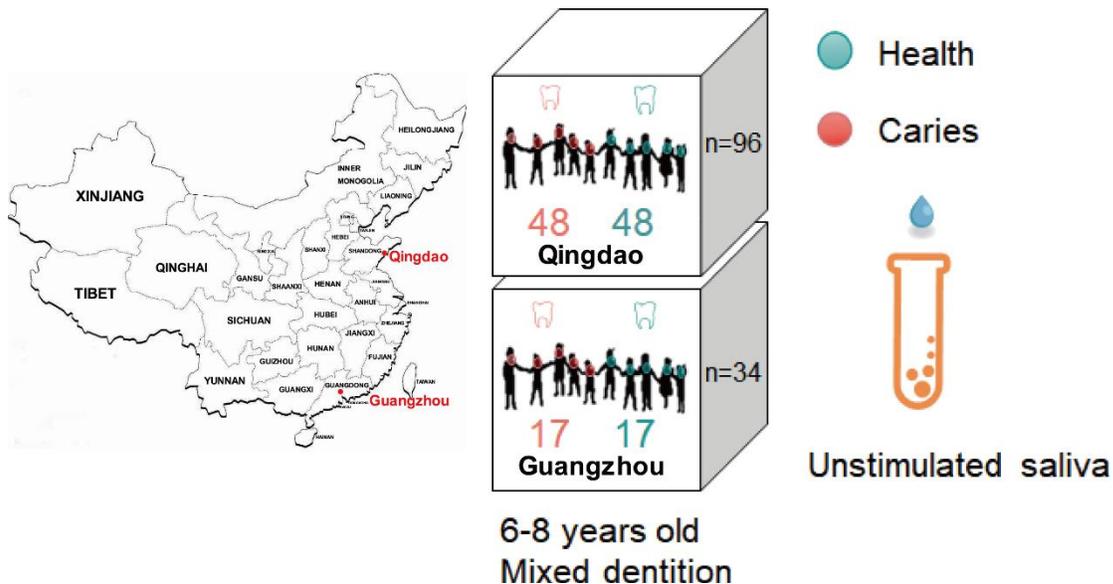
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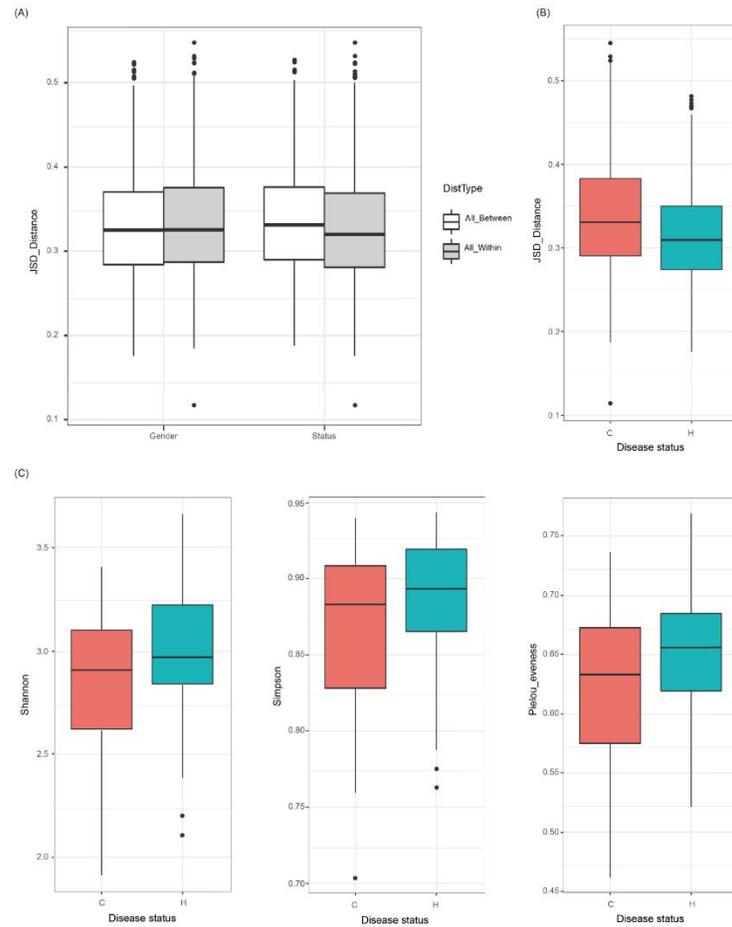
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399 **Figures**

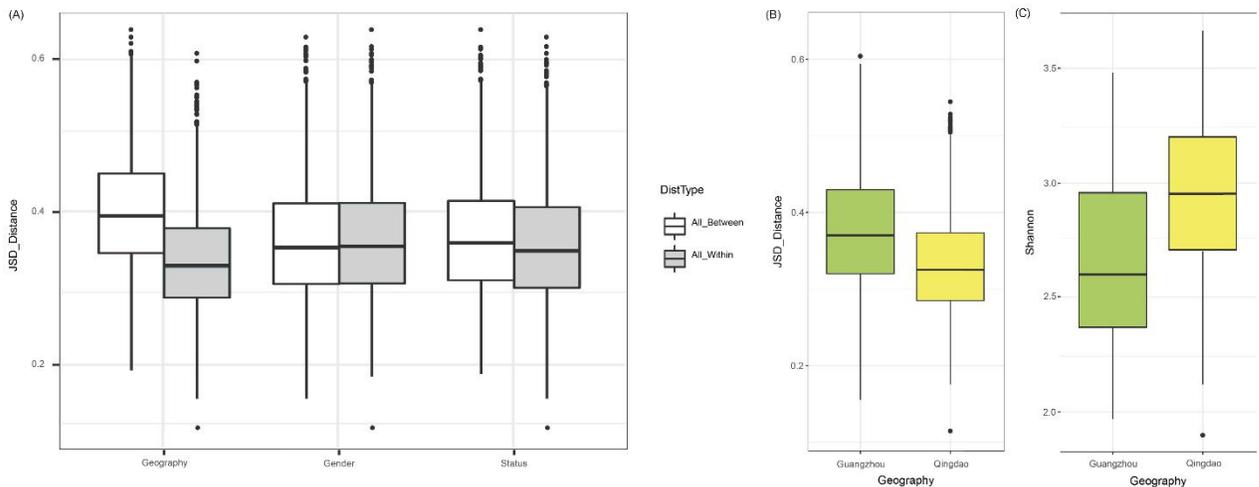


400

401 **Figure 1. Experimental design that sampled saliva microbiome from caries-active children and healthy controls in**  
 402 **the two Chinese cities of Qingdao and Guangzhou. Unstimulated saliva microbiota from 130 individuals (Qingdao,**  
 403 **n=96; Guangzhou, n=34) were compared.**

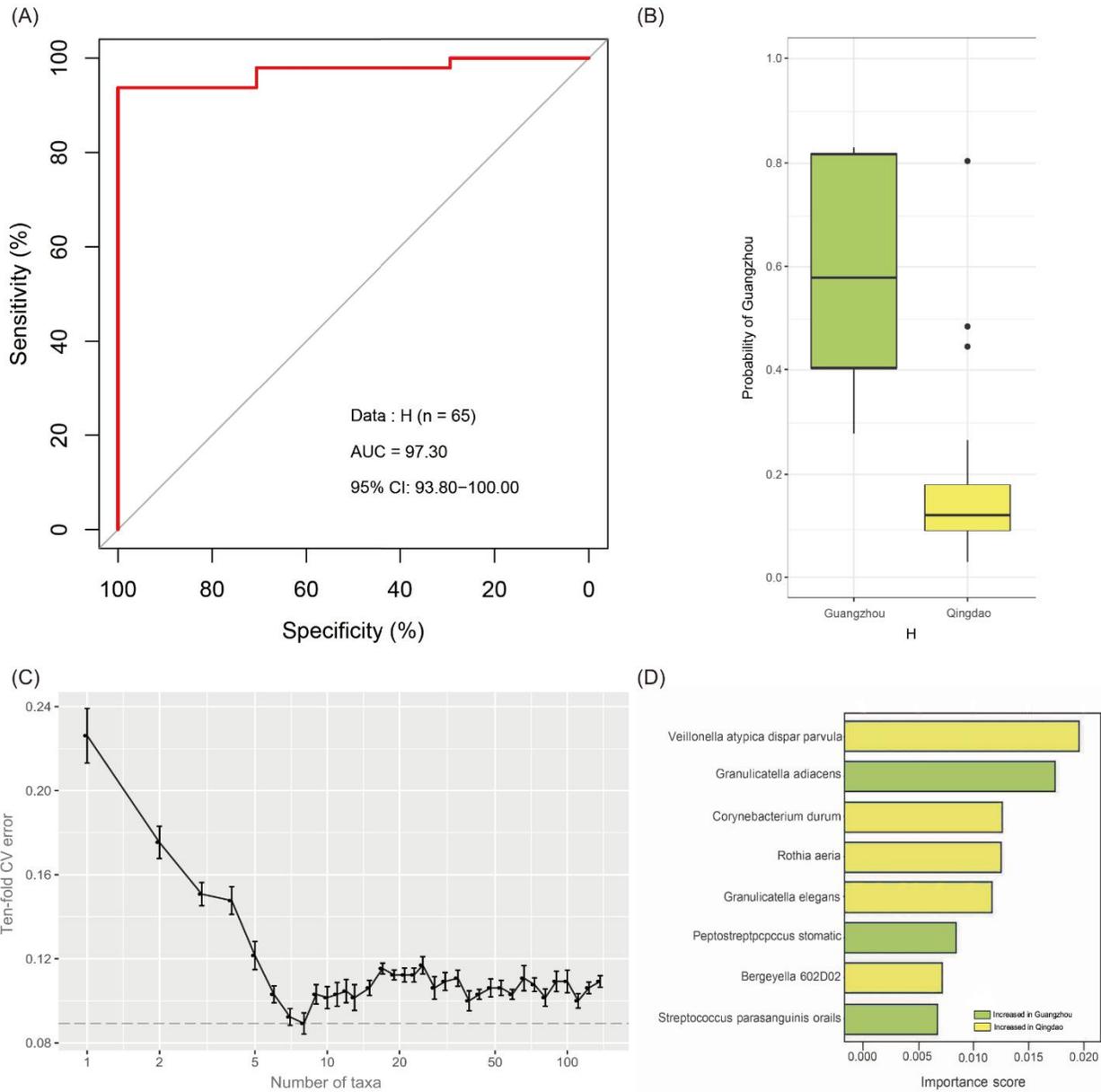


404 **Figure 2. Oral microbial diversity comparisons between caries and healthy children in Qingdao cohort. (A)**  
 405 **Salivary microbiota variation was compared within and between disease status (i.e., H or C), or gender based on the**  
 406 **Jensen-Shannon distances. (B) Caries-free children have more conservative microbiota than do children with caries**  
 407 **(\*\* $p < 0.01$ ).** (C) Alpha diversity comparisons between the C and H groups using on Shannon, Simpson, and Pielou's  
 408 **evenness index.**  
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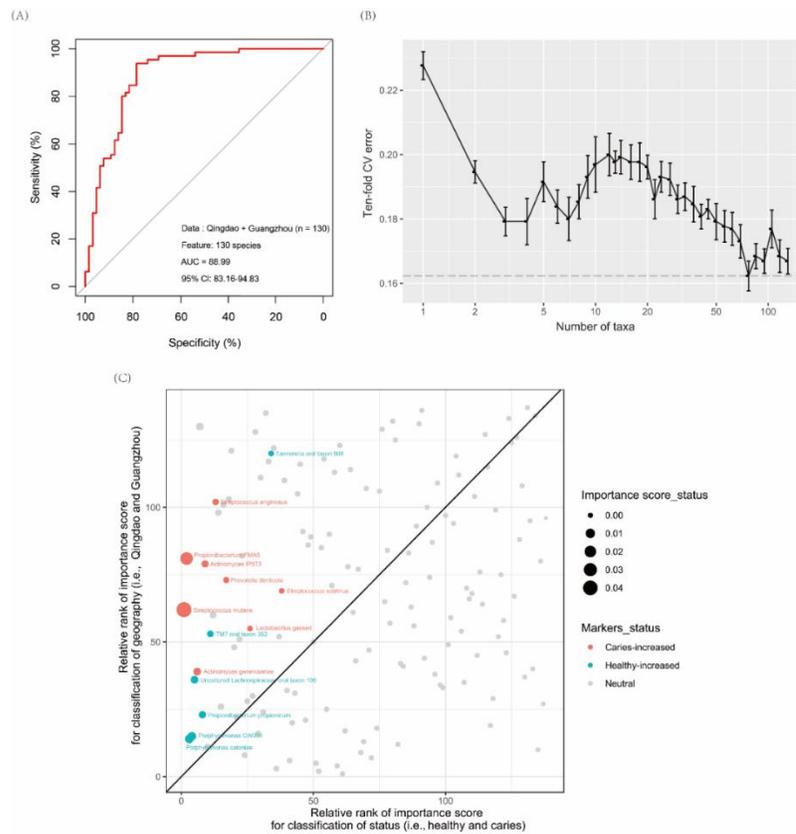
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411 **Figure 3. The remarkable impact of city of origin on oral microbiomes.** (A) The effect size of geography, gender and  
 412 host's disease status on saliva microbiota based on Jensen-Shannon distance. The city of origin exhibited the strongest  
 413 effect on bacterial composition of the saliva microbiome, followed by host status and gender factor. (B) Beta-diversity  
 414 difference between Qingdao and Guangzhou groups measured by JSD distances. (C) Alpha diversity difference between  
 415 Qingdao and Guangzhou groups measured by Shannon index.



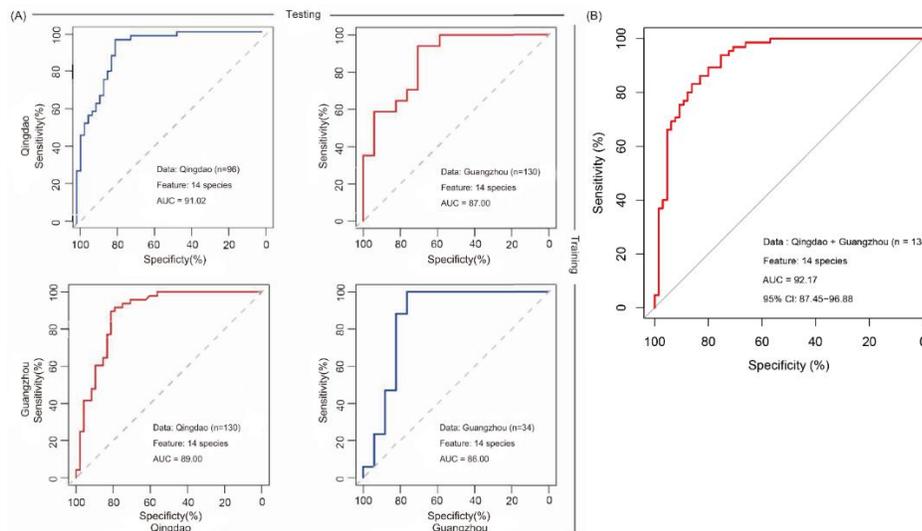
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417 **Figure 4. The strong geographical background of the healthy oral microbiota and key drivers.** (A) Microbiome can  
 418 classify the city of origin of healthy samples with a high accuracy. (B) Box plot indicates the prediction probability of  
 419 Guangzhou city in healthy samples. (C) Relationship between the numbers of variables used in the reduced models and  
 420 the corresponding predictive performance (the error bar denotes SD). (D) The importance score of eight the most  
 421 discriminating species in the diagnosis model to predict city origin. The bar length at each row indicates relative  
 422 contribution of the species to the RF model.



423

424 **Figure 5. Caries diagnostic models based on oral microbiome detrended for geography.** (A) Saliva microbiota can  
 425 predict caries status with a remarkably high accuracy (AUC=92.17%). (B) The relationship between the numbers of  
 426 variables used in the reduced Random Forest model and the corresponding predictive performance (the error bar denotes  
 427 SD). (C) The most caries-discriminatory taxa (N=14) do not correlate with geography. The scatterplot shows the relative  
 428 rank of microbial markers in both Random Forest models for classifying disease status and geographic locations. Any  
 429 dots on the reference line which slope=1 suggests a taxon is equally important to both disease states and geography.



430

431 **Figure 6. Cross-applications of caries diagnosis models based on microbiomes from Qingdao and Guangzhou**  
 432 **cohorts.** (A) The prediction performance of models in the Qingdao (AUC=91.02%, Guangzhou (AUC=86.16%)) and  
 433 model application from one city to another. A classification model trained in Qingdao data and tested in Guangzhou Data  
 434 resulting in a AUC=87.00; A classification model trained in Guangzhou data and tested in Qingdao Data resulting in a  
 435 AUC=89.00%. (B) The predictive performance using data from two cities (AUC=92.17%).

# Figures

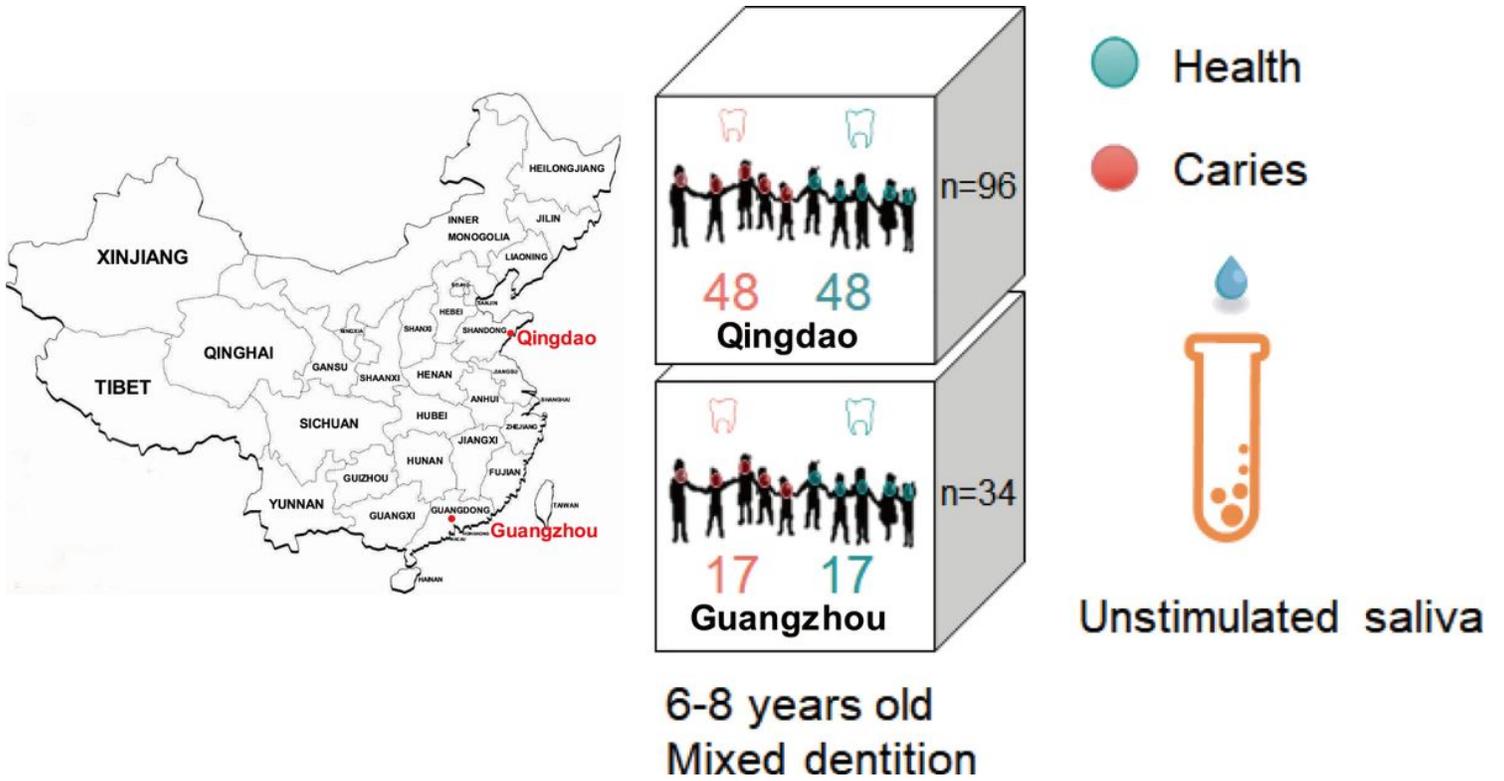
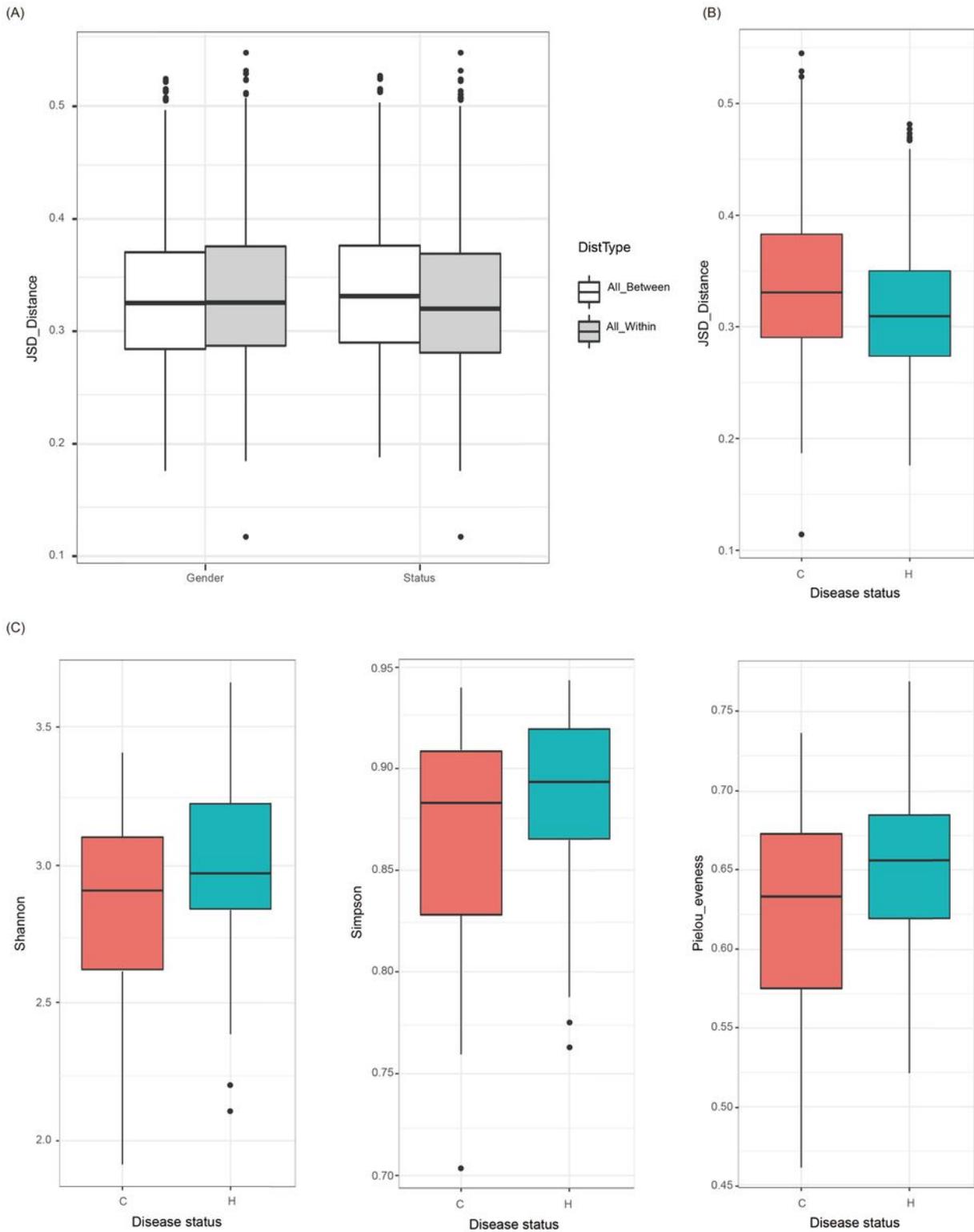


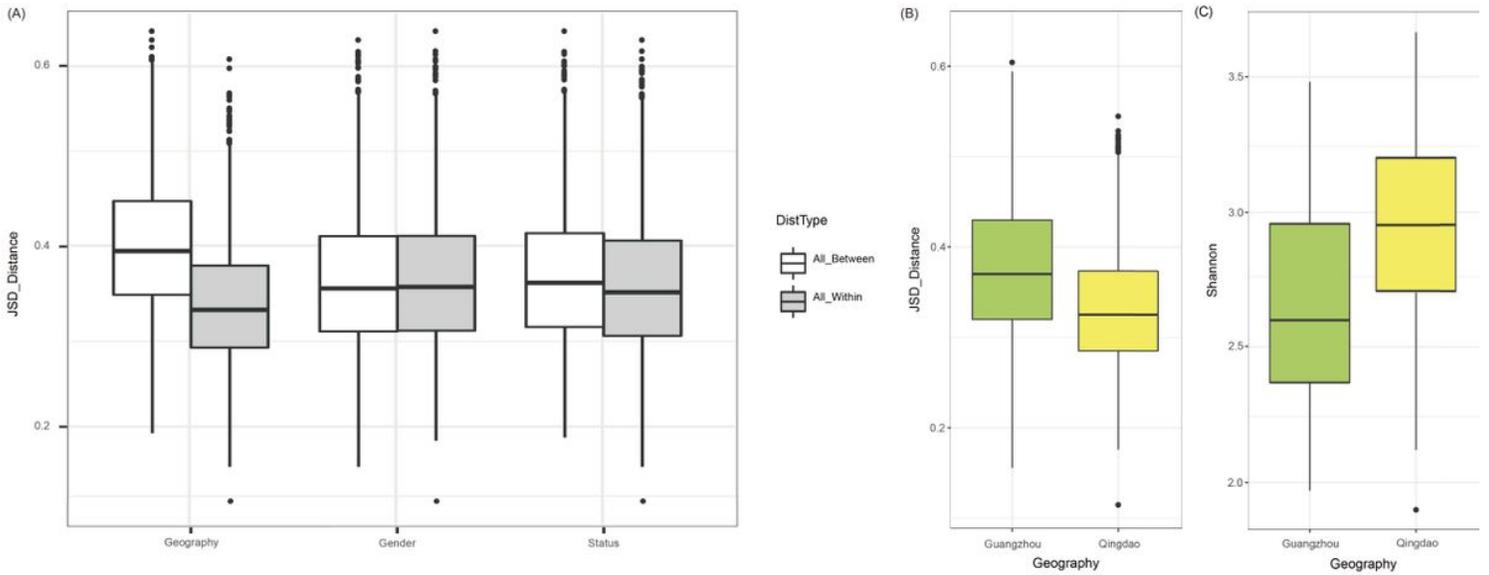
Figure 1

Experimental design that sampled saliva microbiome from caries-active children and healthy controls in the two Chinese cities of Qingdao and Guangzhou. Unstimulated saliva microbiota from 130 individuals (Qingdao, n=96; Guangzhou, n=34) were compared.



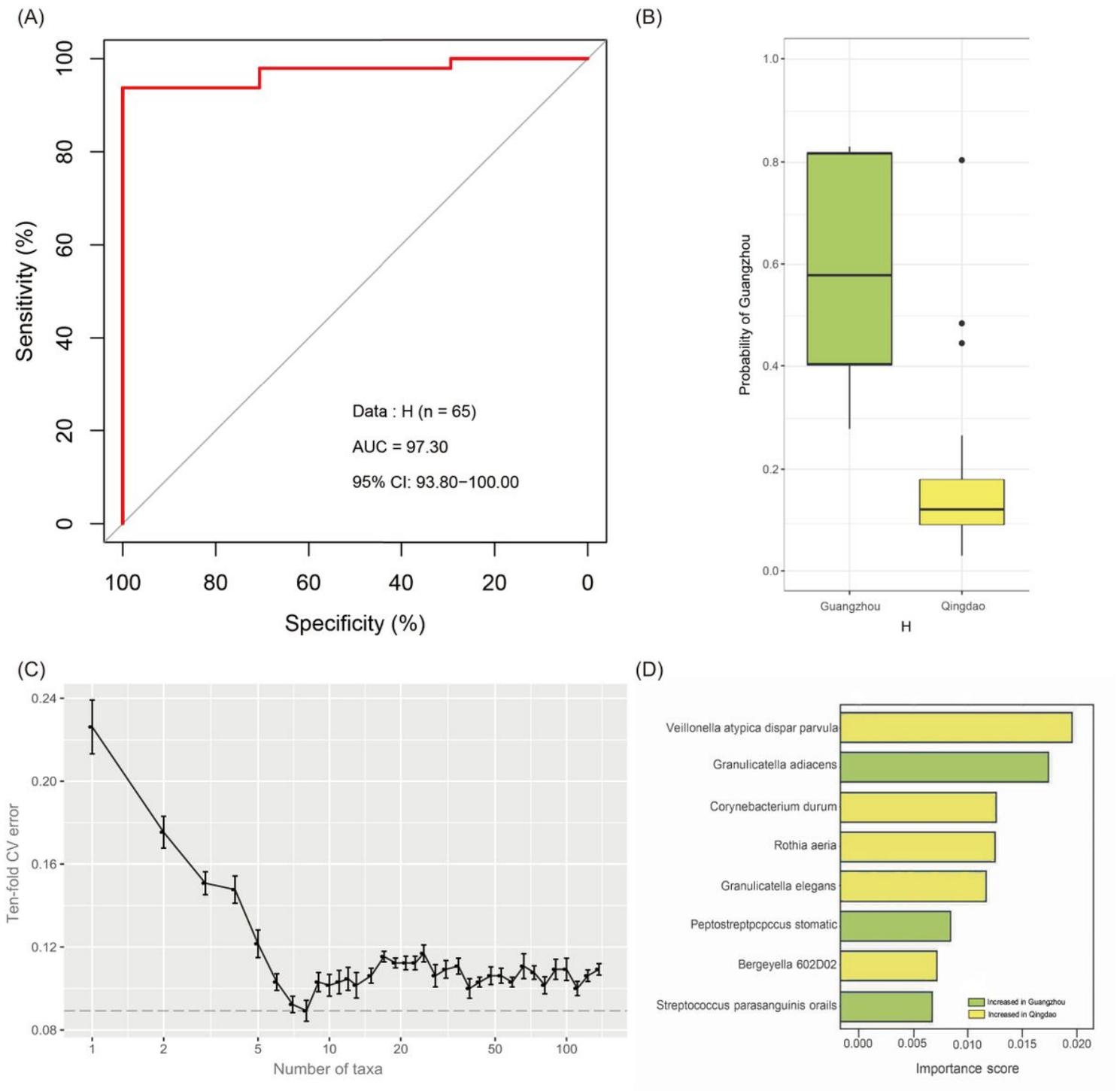
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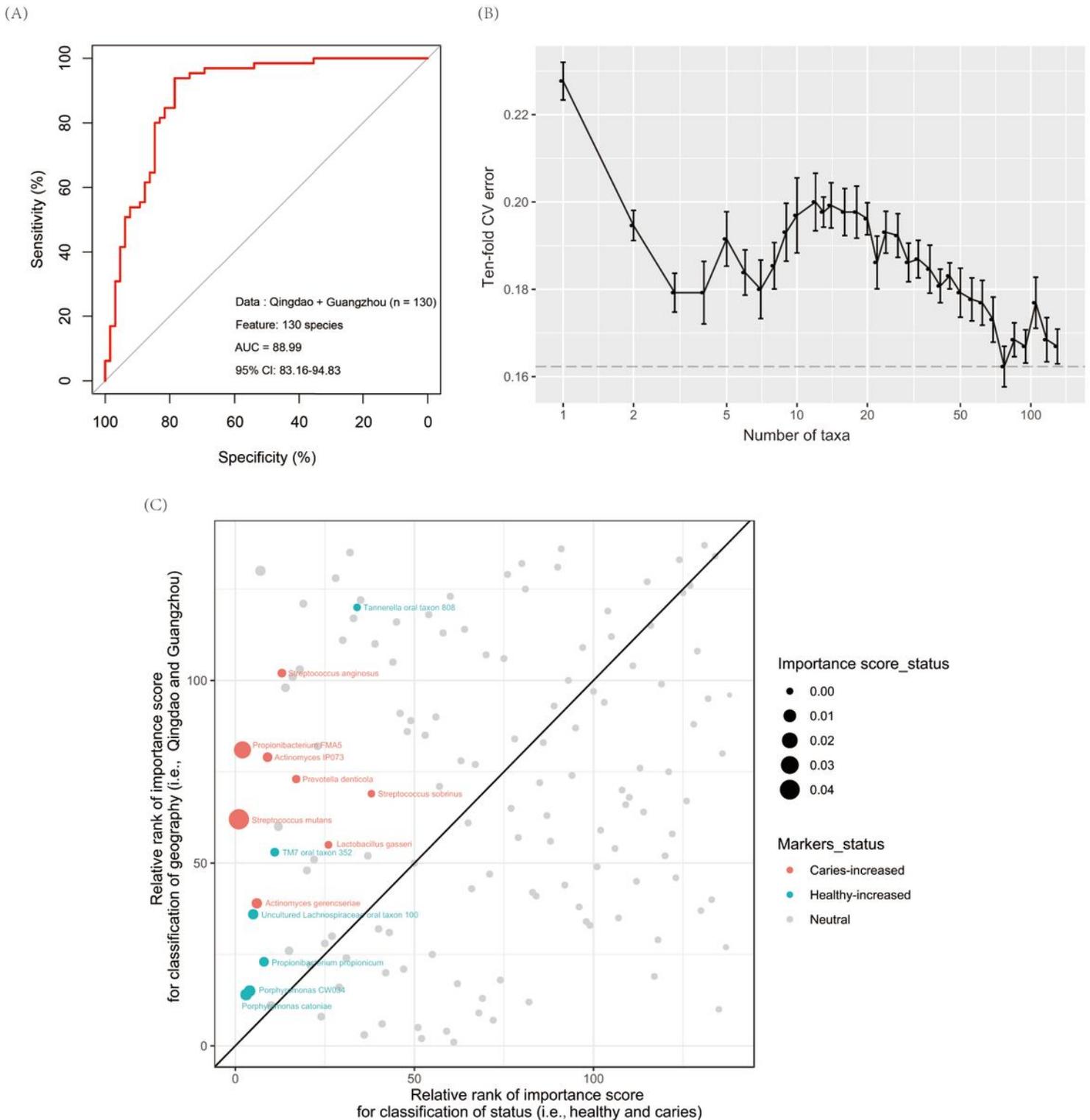
**Figure 3**

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**Figure 4**

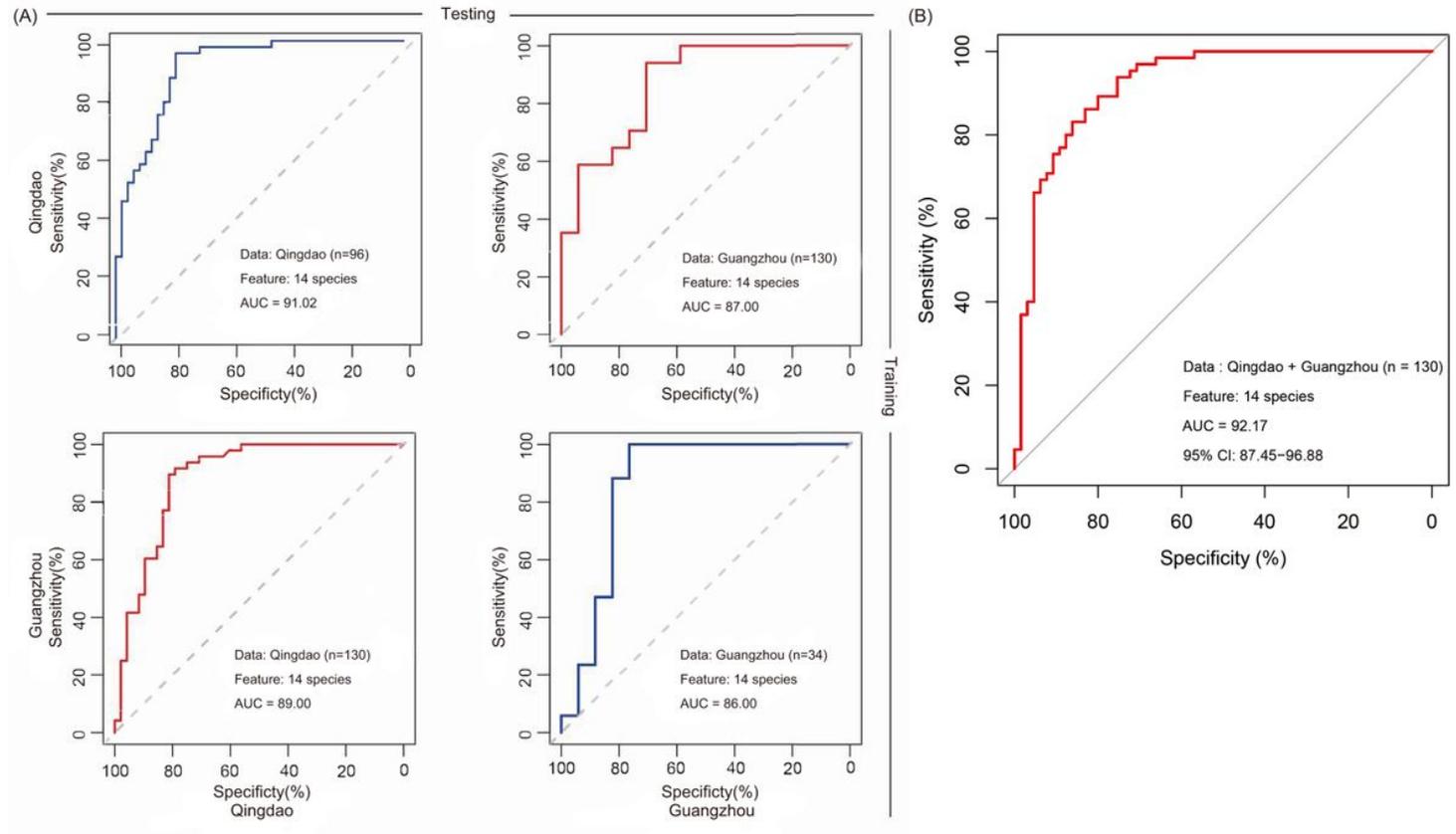
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**Figure 5**

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**Figure 6**

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