

Probing the carbon emissions in 30 regions of China based on symbolic regression and Tapio decoupling

Haiying Liu

India Ministry of Shipping Economics and Management, Dalian Maritime University

zhiqun zhang (✉ 15100272663@163.com)

School of Shipping Economics and Management, Dalian Maritime University

Research Article

Keywords: Carbon emissions, GDP, regions, energy, Clustering, Tapio decoupling

Posted Date: April 1st, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-303069/v1>

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Version of Record: A version of this preprint was published at Environmental Science and Pollution Research on August 10th, 2021. See the published version at <https://doi.org/10.1007/s11356-021-15648-x>.

1 Probing the carbon emissions in 30 regions of China based on 2 symbolic regression and Tapio decoupling

3 Haiying Liu, Zhiqun Zhang

4 *School of Maritime Economics and Management, Dalian Maritime University, Dalian, 116033, China*

5
6 Corresponding Author:

7 Name: Zhiqun Zhang;

8 E-mail: 15100272663@163.com

9 Tel:+86-15100272663

10 11 Abstract

12 Against the background of energy shortages and severe air pollution, countries around the world are
13 aware of the importance of energy conservation and emissions reduction; China is actively achieving
14 emissions reduction targets. In this study, we use a symbolic regression to classify China's regions
15 according to the degree of influencing factors, and calculate and analyze the inherent decoupling
16 relationship between carbon emissions and economic growth in each region. Based on our results, we
17 divided the 30 regions of the country into six categories according to the main influencing factors:
18 GDP (13 regions), energy intensity (EI; 7 regions), industrial structure (IS; 3 regions), urbanization
19 rate (UR; 3 regions), car ownership (CO; 2 regions), and household consumption level (HCL; 2
20 regions). Then, according to the order of the average carbon emissions in each region from high to
21 low, these regions were further categorized as type-EI, type-UR, type-GDP, type-IS, type-CO, or
22 type-HCL regions. The decoupling index of each region showed a downward trend; EI and GDP
23 regions were the most notable contributors to emissions, based on which we provide policy
24 recommendations.

25 **Keywords:** Carbon emissions; GDP; regions; energy; Clustering; Tapio decoupling

26 1. Introduction

27 Since the Industrial Revolution of the 19th century, the emissions of greenhouse gases, such as
28 carbon dioxide, generated by human activities has increased sharply, exceeding the regulatory capacity
29 of nature, which has led to an increase in global average temperatures and global warming (Shuai et al.,
30 2018; Schandl et al., 2016). Carbon emissions are considered the main cause of global warming, such
31 that reducing carbon emissions is a fundamental task for environmental protection and human survival
32 and development (Shi et al., 2017). Various countries have actively been formulating schemes to
33 control carbon dioxide emissions. Freitas and Kaneko (2011) utilized this method to study the
34 occurrence of a decoupling between economic growth and energy-related CO₂ emission from 2004 to
35 2009 in Brazil. Bellocchi al. (2018) evaluate the integration of electric vehicles in the Italian energy
36 scenario and their synergy with electricity generation from renewable energy sources, identifying the
37 impacts in terms of CO₂ emissions, costs, and curtailments on a medium - long-term perspective.

38 Since the reform and opening up of China, the Chinese economy has maintained sustained high

39 growth (Huang et al., 2019; Quan et al., 2020). Since the 1990s, China has accelerated the urbanization
40 process, with remarkable results (Li and Yao, 2009). The GDP rose from 6,133.9 billion yuan in 1995
41 to 74 006.1 billion yuan in 2016, with an average annual increase of 12.59 %. The rapid development
42 of the GDP has caused serious damage to the living environment, accompanied by substantial energy
43 consumption and carbon dioxide emissions. At the same time, environmental security is threatening the
44 normal life of human beings. Therefore, the problem of air pollution has recently garnered significant
45 attention, expounding the urgency for a solution, which is a result of the rapid development of the
46 economy, population growth, and the continuous increase in energy consumption. Countries must find
47 solutions that allow economic development to be dependent on clean energy, thus reducing the use of
48 fossil fuels.

49 To achieve emissions reduction targets, the Chinese government has formulated detailed policy plans.
50 At the 2009 climate change conference in Copenhagen, the Chinese government promised to reduce its
51 carbon intensity by 40 – 45 % by 2020 as compared with 2005. Later, in response to the Paris
52 Agreement, which was enacted in 2015, China committed itself to reducing its carbon intensity by
53 60 – 65 % by 2030 as compared with 2005, where the total carbon emissions are expected to peak by
54 2030 (Wu et al., 2019). To accomplish these plans, the state distributes emissions reduction targets to
55 provinces and cities to fundamentally achieve these emissions reduction plans (Shen et al., 2018).
56 However, there are numerous differences among China's regions in terms of geographical conditions,
57 resource endowments, and customs, and there is no unified emissions reduction plan. Therefore, the
58 government should formulate a corresponding low-carbon economic strategy based on the details of
59 each region (Yang et al., 2018). To reasonably achieve these goals, the relationship between carbon
60 emissions and various influencing factors must be understood in different provinces to develop
61 effective emissions reduction measures.

62 Although previous studies have analyzed the spatial clustering of carbon emissions in various
63 provinces in China, the influencing factors involved were incomplete and did not fully reflect the actual
64 situation in each region. The novelty of this study is based on the actual situation of 30 regions in China
65 and the establishment of carbon emissions models based on symbol regression. We consider previously
66 highlighted influencing factors on carbon emissions, including the GDP, energy intensity, urbanization
67 rate, and industrial structure; introduce new factors, such as the household consumption level and car
68 ownership; and use symbol regression to build a model to analyze the influence of these factors on
69 carbon emissions. Based on the main influencing factors, we classify China' s 30 regions and analyze
70 the internal impact between the economic scale and carbon emissions of each region to ultimately
71 formulate corresponding policies that can be implemented at the national level.

72 The novelty of this study is three-fold. First, there is a lack of literature on the use of symbolic
73 regression to analyze China's carbon emissions. We aim to address these deficiencies. Second, we use
74 the advantages of symbolic regression to analyze the factors influencing China's carbon emissions.
75 Based on previous studies, to more accurately analyze the detailed situation for China's carbon
76 emissions, we introduce factors, such as the urbanization rate, household consumption level, and car
77 ownership. Third, we combine symbolic regression and Tapio decoupling methods to analyze in detail
78 the internal links between China's various regions and economic growth.

79 The remainder of this paper is organized as follows. Section 2 introduces the current research status
80 on carbon emissions, symbol regression, and Tapio decoupling. Section 3 describes the methodological
81 theory and data sources. In section 4, we present the results of using the symbolic regression method to
82 establish a carbon emissions model, classify the Chinese regions according to their main influencing

83 factors, and then use the Tapio model for decoupling analysis. Section 5 presents the conclusions and
84 policy recommendations.

85 **2. Literature Review**

86 Many experts pay close attention to economic growth and the relationship between energy
87 consumption and environmental protection. From 1996 to 2013, China's carbon emissions increased by
88 227.43 %. The impact of economic growth mainly promoted an increase in carbon emissions, such that
89 the key to reducing the energy intensity is to reduce carbon emissions. Changes in the energy structure
90 also have a slight impact on the total emissions growth (Jiang et al., 2017). The development of
91 urbanization has a positive effect on China's energy consumption and carbon dioxide emissions, which
92 differ based on regional characteristics. Urbanization has a greater impact on CO₂ emissions in central
93 China than in eastern China (Liu et al., 2011; Zhang and Lin., 2012; Li and Zhou., 2019). Shahbaz et al.
94 (2016) found that economic growth mainly affected the carbon dioxide emissions in Malaysia and that
95 urbanization can reduce carbon emissions, whereas growth to a certain level promoted carbon dioxide
96 emissions. For China, scholars have found that wealth and population effects are the first two factors in
97 the growth of CO₂ emissions in Xinjiang. The energy intensity effect has become the dominant factor
98 in curbing carbon emissions (Wang et al., 2015). The population, urbanization level, per capita GDP,
99 industrialization level, and service level were the main reasons for the growth of CO₂ emissions in
100 Guangdong Province (Wang et al., 2013). Other studies have found that technological change is the
101 dominant factor in the decoupling of environmental pressures from economic growth in Chongqing
102 from 1999–2000 while economic structural changes had a negative impact on carbon dioxide emissions
103 (Yu et al., 2017).

104 Population growth and the regional per capita GDP contributed to CO₂ emissions in the
105 Beijing-Tianjin-Hebei region while effects on end-use structural changes remained unchanged for the
106 CO₂ emissions in Beijing and Hebei, but has a greater impact on Tianjin's carbon emissions (Fan et al.,
107 2019). Pan et al. (2019) found that the GDP, industrialization, technological innovation, urbanization,
108 population, and foreign direct investments were the most common factors for carbon intensity in 34
109 OECD countries based on the symbolic regression method. Wen et al. (2018) found that the existence
110 of the M-curve model between the per capita CO₂ emissions and per capita GDP, and total energy
111 consumption, was consistent with the traditional model of the EKC curve; the L-curve model between
112 energy intensity and per capita GDP performed well. Lin et al. (2019) conducted a quantile analysis of
113 Shanghai's industrial carbon emissions, finding that urbanization had a significant impact on CO₂
114 emissions, i.e., the leading factor for increasing carbon emissions, followed by the energy structure,
115 industrial structure, economic growth, and energy efficiency. Enhancing the energy efficiency is an
116 effective technique to reduce CO₂ emissions and energy structures. The economic output, R&D
117 intensity, investment intensity, and energy structure of the industrial sector in Henan Province were the
118 driving factors for the increase in CO₂ emissions from 2001 to 2015. In contrast, energy intensity, R&D
119 efficiency, and the internal industrial structure can reduce CO₂ emissions (Liu et al., 2019). Production
120 in the secondary industry has proven to be a major source of carbon emissions, with a relatively high
121 emissions reduction potential (Zhang and Da, 2015). Kihoon and Wankeun (2006) explore the
122 differences in CO₂ emissions in APEC regions. Their main finding was that the per capita GDP and
123 total population growth were the main factors contributing to the promotion of CO₂ emissions.
124 Moreover, the energy efficiency and fuel conversion have been considered the most promising areas by
125 many experts (Kihoon and Wankeun, 2006).

126 Symbolic regression is based on evolutionary computation, also known as function modeling (Dong
 127 and Hao, 2018). All variable values required for a particular objective function are transformed into a
 128 functional relationship using this method. Schmidt and Lipson (2009) found that symbolic regression
 129 can actively search for process data and find the Hamiltonian, Lagrangian, and other laws of geometric
 130 and momentum conservation. In contrast to other fitting methods, symbolic regression can find the
 131 relationships between invisible functions (Khu et al., 2010). Symbol regression sets parameters and
 132 symbols at the same time and can be considered a novel and efficient method. Wen et al. (2017) probed
 133 the major factors affecting carbon emissions via symbolic regression, indicating that Beijing and
 134 Tianjin had different carbon emission targets. Yang et al. (2015) used symbolic regression to probe the
 135 relationship between the GDP and carbon emissions using a straightforward regression function. On
 136 this basis, to more accurately fit the carbon emission formulas of various regions in China, they added
 137 more arithmetic symbols, such as sine, cosine, division, exponential, and power.

138 Decoupling distinguishes the relationship between economic gain and environmental stress, as
 139 defined by the OECD. Decoupling in a low-carbon economy refers to the following relationship: as the
 140 economy grows, carbon emissions begin to increase, but as the economy continues to grow, carbon
 141 emissions will decrease or even disappear. In fact, reducing energy consumption for economic growth
 142 is necessary. Therefore, the decoupling of carbon emissions refers to the economic growth elasticity of
 143 carbon emissions.

144 Luo et al. (2020) used the Tapio model to study the decoupling relationship between economic
 145 growth and resources in the Central Plains urban agglomeration, proposing relevant policies for a
 146 strong decoupling. Xie et al.(2020) used the Tapio decoupling model to analyze the decoupling index
 147 of CO₂ emissions in the power industry, thereby realizing energy conservation and emissions reduction
 148 in this industry. Zhang et al. (2020) analyzed the decoupling relationship between economic output and
 149 carbon emissions in 11 provinces in the Yangtze River Basin (YREB), finding that the energy intensity
 150 (EI) effect was the main driving force for the decoupling of most provinces. Based on previous
 151 research, the Tapio decoupling method can analyze the elasticity state between economic growth and
 152 carbon emissions in detail.

153 3. Materials and methods

154 3.1 Details of carbon emissions calculation

155 The calculations were based on the carbon emission measurements presented by the IPCC
 156 Guidelines for National Greenhouse Gas Inventories (IPCC, 2006). Carbon emissions can be calculated
 157 as follows:

$$158 \quad C = \sum_i C_i = \sum_i E_i e_i f_i, \quad (1)$$

159 where C denotes the total carbon emissions, C_i indicates the carbon emissions of the i th energy
 160 type, E_i is the consumption of the i th energy type (10^4 t, 10^8 m³), e_i denotes the standard coal
 161 coefficient of the i th energy type (10^4 tce/t, 10^4 tce/ 10^8 m³), and f_i is the carbon emissions coefficient
 162 of the i th fuel type (10^4 tcec/ 10^4 tce). Table 1 lists the carbon emission coefficients of the fuels.

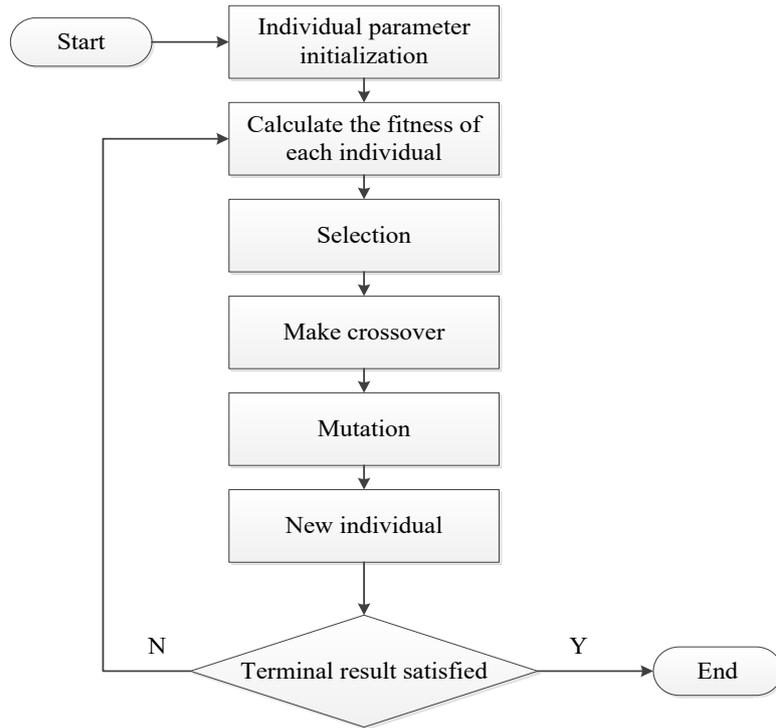
163 Table 1. Carbon emissions coefficient of fuels.

Energy type	Standard coal coefficient	Carbon emissions coefficient
coal	0.714	0.767
coke	0.971	0.856
crude oil	1.428	0.586
gasoline	1.471	0.554
kerosene	1.471	0.571
diesel	1.457	0.593
fuel oil	1.428	0.619
natural gas	1.330	0.448

164 **3.2 Symbolic regression model**

165 Symbolic regression is a functional regression method that improves the genetic algorithm and can
166 automatically search for the functional structure of a particular initial population (O'Reilly Una May,
167 2014; Bartosz and Jarosław, 2016). Compared with the general clustering method, symbol regression
168 has the advantage of avoiding a decrease in the choice range using a pre-hypothesis model and
169 decreases the probability of losing the potential model. Symbol regression can automatically establish
170 relationships based on the internal properties of the data, similar to a robotic scientist. It finds the
171 functional model with the highest fitness to show this relationship and determines the parameters and
172 structure of each regression model (Vladislavleva et al., 2009; Khu et al., 2001). In addition, the eureka
173 software combines the advantages of symbol regression methods with simplicity and intelligence, such
174 that many studies have used it in various application areas (Can and Heavey, 2011; Yang et al., 2016).

175 The core of symbolic regression is based on Darwin's theory of evolution, which selects important
176 factors to gradually form a model and automatically separate non-existing factors from the model. This
177 theory is based on the principle of genetic programming, the process of which is illustrated in **Fig. 1**.
178 This approach helps researchers determine the contribution of each factor to a model. In other words,
179 the presence of factors indicates existence while the frequency of occurrence indicates importance.



180

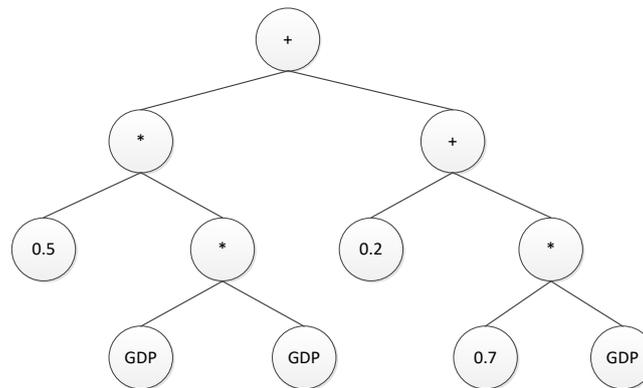
181

Fig. 1 Genetic planning of symbolic regression.

182

The Eureqa formula was used to solve the problem of modeling complex data using symbolic regression. Eureqa is a scientific data mining software package that solves most of the computationally heavy workload inherent in automated scientific processing. Symbolic regression has the advantage of rapidly and effectively finding models and parameters with high accuracy. In general, more complex candidate solutions may be more accurate, but the possibility of overfitting also increases. A simple and effective technique to control overfitting is to limit the complexity of the model. In this study, the complexity statistic (see below) was used to represent the complexity of the candidate solution. In the tree structure, each node expresses the complexity of the model by measuring the total number of nodes in the syntax tree, rendering the model more complex, as shown in Fig. 2.

190



191

Fig. 2 Example of a tree structure in symbolic regression for the expression $0.5 * GDP^2 + 0.7 * GDP + 0.2$.

192

Symbolic regression does not need to make assumptions in advance; rather, it can fit a series of candidate models and their parameters based on input and output. The complexity (C), R-squared (R^2), and fitness function (Fit) are often used to evaluate the advantages and disadvantages of the candidate models. Complexity (C) represents the complexity of the candidate model. In this study, the fitting

196

197 accuracy was measured by the fitness measure, R-squared (R^2), calculated as in Eq. (2); the larger the
 198 R^2 value, the higher the fitting accuracy of the equation:

$$199 \quad R^2 = \frac{\sum_{i=1}^n (y_i - \bar{y})^2 - \sum_{i=1}^n (y_i - \hat{y})^2}{\sum_{i=1}^n (y_i - \bar{y})^2}. \quad (2)$$

200 Fitness was used to evaluate personal strengths, retain high fitness individuals, and remove
 201 low-fitness individuals, calculated as in Eq. (3), where Y_i is the actual value of the dependent variable,
 202 \hat{y} indicates the predictive value, and \bar{y} is the average value of the dependent variable:

$$203 \quad \text{Fit} = \sum_1^n |y_i - \hat{y}_i|. \quad (3)$$

204 3.3 Tapio decoupling model

205 This study adopts the Tapio decoupling model, which further divides the degree of decoupling on the
 206 basis of the OECD model, which is divided into eight categories. After a detailed division, the internal
 207 relationship between the environmental pressure and economic indicators can be evaluated in more
 208 detail.

209 Based on Tapio's decoupling methodology, this study built a decoupling framework between carbon
 210 emissions (C) and economic growth (G), which seeks the relationship between the decoupling
 211 coefficient and decoupling model:

$$212 \quad D^t = \frac{\%C}{\%G} = \frac{(C^t - C^0) / C^0}{(G^t - G^0) / G^0}, \quad (4)$$

213 where D^t is the decoupling exponent, $\%C$ is the percentage of carbon emissions, and $\%G$ is the
 214 percentage of various influencing factors. Hence, on the basis of the definition in Tapio (2005), eight
 215 decoupling states are expressed in Table 2.

216 Table 2. Distribution of the decoupling states in the energy industrial sector.

D^t	$\%C$	$\%G$	Decoupling state
$(-\infty, 0]$	< 0	> 0	Strong decoupling
$(0, 0.8]$	> 0	> 0	Weak decoupling
$(1.2, +\infty]$	< 0	< 0	Recessive decoupling
$(\infty, 0]$	> 0	< 0	Strong negative decoupling

(0,0.8]	< 0	< 0	Weak negative decoupling
(1.2+∞]	> 0	> 0	Expansive negative decoupling
(0.8,1.2]	< 0	< 0	Recessive coupling
(0.8,1.2]	> 0	> 0	Expansive coupling

217 3.4 Data description

218 We selected a variety of variables as indicators that affect environmental pollution and screened the
219 main factors according to the actual situation in each province. The data resources covered in this
220 studies derive from the China Statistical Yearbook (CSY) and the statistical yearbooks of the 30
221 investigated regions from 1995–2016. The energy unit was the standard coal consumption in 10^4 tce.
222 Nine types of energies were employed in this study, including coal, coke, crude oil, gasoline, kerosene,
223 diesel, fuel oil, and natural gas. In addition to the Tibet Autonomous Region, Hong Kong, Macao, and
224 Taiwan were also included.

225 **Table 3.** Variables and units.

Variable nature	Variable description	Unit
Dependent variable	Carbon Emissions (C)	10^4 tce
Independent variables	Gross Domestic Product (GDP)	10^8 yuan
	Energy intensity (EI)	percent
	Urbanization rate (UR)	percent
	Household consumption level (HCL)	yuan
	Industrial structure (IS)	percent
	Car ownership (CO)	10^4 cars

226 For the sake of completeness with respect to the influencing factors on carbon emissions in the
227 country and provinces, we considered six independent variables, i.e., the GDP, EI, UR, HCL, IS, and
228 CO. CO includes the number of civilian passenger cars, the number of civilian trucks and private
229 passenger cars, and the number of private trucks. A detailed description of each variable is provided in
230 Table 3. As China implements the family planning policy, the population factor changes little, and the
231 total population and urbanization have a collinear relationship. Therefore, we did not use population
232 factors.

233 4. Results and discussion

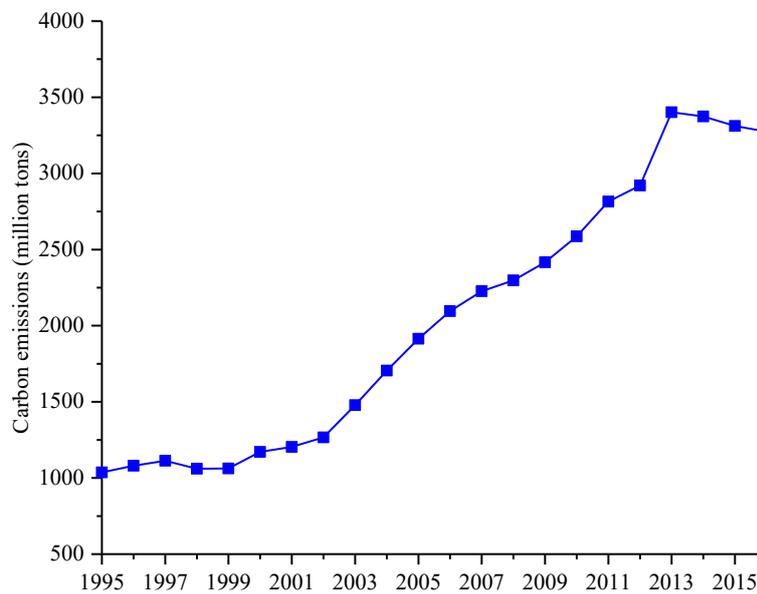
234 4.1 Symbolic regression

235 We used symbolic regression to cluster 30 provinces, cities, and regions in China based on the
236 influencing factors. We calculated the carbon emissions in these 30 regions from 1995 to 2016 using
237 the carbon emissions calculation formula presented above. The research data in Fig. 3 indicate that

238 China's carbon emissions showed an increasing trend, starting with an average annual growth rate of
239 5.63 %. Since 2014, under the influence of the national energy conservation and emissions reduction
240 policy, the nation's carbon emissions have shown an increasing trend, with an average annual reduction
241 rate of 1.28 %. Overall, the trend in China's carbon emissions from 1995 to 2016 was variable. The
242 direct reason for this is the effect of the carbon emissions of various regions. The relationship between
243 the carbon emissions in each region is analyzed below in detail.

244 We used the Eureka software to fit a complex formula for carbon emissions in each province. When
245 using the symbol regression method, common operators were selected in the model: constants, input
246 variables, + (addition), - (subtraction), * (multiplication), / (division), sin (sin), cos (cosine), division,
247 exp (exponential), and power. We further explored the factors that appeared in the best models. We
248 used Chongqing as an example to demonstrate our symbolic regression approach. The search process
249 for factors in the other 30 regions was consistent with that in Chongqing.

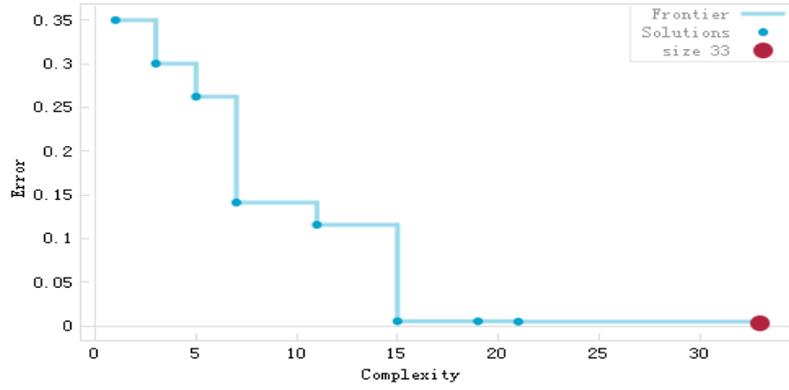
250 Based on a large number of alternative models, we established a Pareto front. The most suitable
251 model is generally assumed to be located at the front of the Pareto, which is suitable for simultaneously
252 balancing the fitting accuracy and model complexity (Jin and Bernhard, 2008). After the Pareto front
253 had been accomplished, we focused on the Pareto front model. For researching pivotal factors and
254 models, symbol regression was repeated. The Pareto front for Chongqing is shown in Fig. 4, and the
255 model that suited the results best was chosen. Moreover, the best model that often appears at the Pareto
256 front may be considered more likely to be associated with real relationships.



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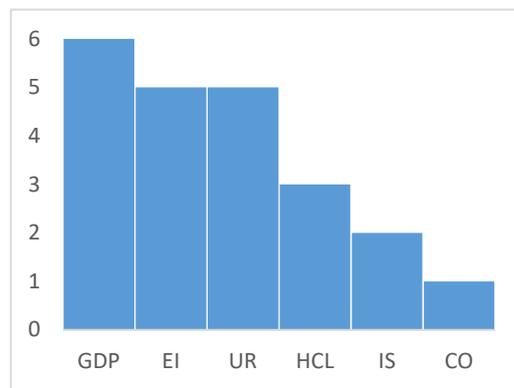
Fig. 3 Changes in the carbon emissions in the 30 regions of China.



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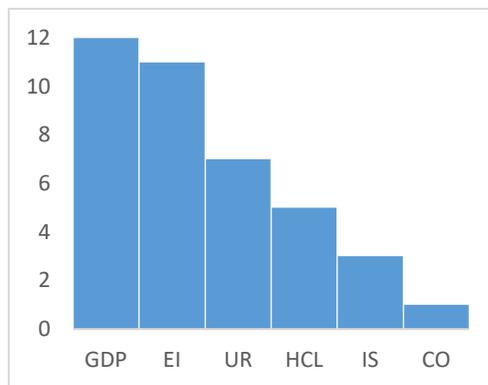
Fig. 4 Pareto front in Chongqing.



261

262

Fig. 5 Number of models each variable appears in for Chongqing.



263

264

Fig. 6 Number of occurrences of each variable in Chongqing (across all models).

265

Table 4 Model collection in Chongqing's symbolic regression.

R ²	Size	Fit	Solution
0.99998	33	0.0083	$C = 500.0402 + 0.7961 * GDP * EI + 0.3017 * UR * HCL + 1.5059e-6 * EI * GDP^2 - 0.0685 * GDP - 0.1376 * HCL - 117.6373 * EI - 676.2056 * UR - 1.4526e-6 * GDP^2$

0.99996	21	0.0136	$C = 204.3704 + 0.7773 * GDP * EI + 0.0479 * UR * HCL - 0.0218 * HCL - 0.0238 * GDP - 56.3436 * EI - 365.7719 * UR$
0.99995	19	0.0148	$C = 19.0089 * EI + 0.0032 * HCL + 0.7595 * GDP * EI - 24.1665 - 0.0134 * GDP$
0.99994	15	0.0149	$C = 19.0089 * EI + 0.7595 * GDP * EI - 21.6080 - 0.0097 * GDP$
0.97631	11	0.3305	$C = 37664.5696 * IS + 18190.4304 * EI + 13051.5396 * UR - 21011.4968 - 39049.1808 * EI * IS$
0.96836	7	0.4033	$C = 11722.7606 * IS + 0.1752 * GDP - 3631.6451$
0.88816	5	0.7496	$C = 1303.2643 + 0.4328 * GDP - 6.7332 * CO$
0.83874	3	0.8577	$C = 8605.6128 * UR - 1035.2152$
0.84929	1	1	$C = 10794.3886 * UR - 2033.2884$

266 Table 4 lists the results of the symbol regression. We listed the R^2 , complexity, and fit values, where
267 lower complexity models typically do not perform well. However, models with higher complexity have
268 the highest R^2 and lowest fit value. Therefore, the best model for considering all indicators was as
269 follows:

270
$$C = 500.0402 + 0.7961 * GDP * EI + 0.3017 * UR * HCL + 1.5059e^{-6} * EI * GDP^2 - 0.0685 * GDP - 0.1376 * HCL - 117.6373 * EI - 676.2056 * UR - 1.4526e^{-6} * GDP^2$$

271 Figure 5 shows the relative importance of the various influencing factors derived from the symbol
272 regression. Figure 6 shows the number of models that appear for each variable. For example, the GDP
273 appeared in six models while CO only appeared in one model on the Pareto border in Chongqing.
274 The most common variable was the GDP while CO was the least common variable. The GDP appeared
275 12 times in all models while CO only appeared once in Chongqing. Therefore, the GDP was the most
276 influential factor for Chongqing's carbon emissions.

277 **Table 5** Regional categories based on factors affecting the carbon emissions and corresponding R^2 .

R^2	Fit	Region	A	B	C	D	E	F	0
Type-GDP									
0.999293	0.021	Beijing	GDP	EI	CO	IS	UR	HCL	—
0.999999	0.004	Inner Mongolia	GDP	EI	IS	CO	UR	—	HCL
0.999908	0.029	Heilongjiang	GDP	EI	UR	IS	CO	HCL	—
0.999993	0.008	Jiangsu	GDP	EI	IS	HCL	CO	UR	—
0.999984	0.009	Henan	GDP	EI	UR	CO	IS	HCL	—

0.999997	0.009	Shaanxi	GDP	EI	IS	HCL	CO	—	UR
0.999982	0.003	Qinghai	GDP	EI	IS	CO	HCL	UR	—
0.999965	0.016	Ningxia	GDP	EI	UR	CO	HCL	—	IS
0.999981	0.008	Chongqing	GDP	EI	UR	HCL	IS	CO	—
0.999944	0.007	Shanghai	GDP	EI	CO	HCL	UR	IS	—
0.999943	0.007	Guangxi	GDP	EI	CO	IS	HCL	—	UR
0.999959	0.007	Yunnan	GDP	EI	CO	IS	HCL	—	UR
0.999984	0.037	Xinjiang	GDP	EI	CO	IS	UR	HCL	—

Type-EI

0.999931	0.019	Liaoning	EI	UR	GDP	IS	HCL	CO	—
0.999992	0.01	Shandong	EI	GDP	HCL	CO	IS	UR	—
0.999957	0.017	Hainan	EI	GDP	CO	UR	IS	—	HCL
0.999994	0.007	Hebei	EI	GDP	CO	UR	IS	HCL	—
0.999979	0.011	Hubei	EI	IS	GDP	CO	HCL	—	UR
0.999945	0.016	Sichuan	EI	GDP	CO	UR	IS	—	HCL
0.999986	0.008	Shanxi	EI	GDP	UR	CO	IS	HCL	—

Type-CO

0.999907	0.03	Tianjin	CO	EI	GDP	UR	IS	—	HCL
0.999962	0.007	Anhui	CO	UR	EI	HCL	GDP	IS	—
0.999971	0.008	Hunan	CO	GDP	IS	UR	EI	HCL	—

Type-IS

0.999195	0.056	Jiangxi	IS	EI	GDP	UR	HCL	CO	—
0.999974	0.019	Zhejiang	IS	GDP	UR	EI	HCL	CO	—
0.999953	0.019	Jilin	IS	EI	GDP	UR	CO	—	HCL

Type-HCL

0.999839	0.027	Fujian	HCL	CO	EI	GDP	UR	IS	—
0.994867	0.112	Guizhou	HCL	GDP	EI	CO	IS	UR	—

Type-UR

0.999960	0.024	Guangdong	UR	HCL	CO	GDP	EI	IS	—
0.999949	0.009	Gansu	UR	GDP	EI	CO	IS	—	HCL

278 Pareto fronts were obtained for every province, and the influential factors on carbon emissions were
 279 probed in each of these provinces. We analyzed the occurrence of each influencing factor in the Pareto
 280 optimal models and classified the influencing factors. The classifications were A, B, C, D, E, F, and 0.
 281 A to F represent the number of occurrences from higher to lower, and 0 is an unrelated factor. “—”
 282 indicates that no influencing factors appear in the respective classification.

283 Table 5 describes the classification of each region according to the number of occurrences of
 284 influencing factors and the R^2 values correspond to the optimal model. To classify the performance of
 285 each influencing factor in classification A, we divided the 30 regions into six categories, classified by
 286 the importance of each influencing factor: Type-GDP, Type-EI, Type-CO, Type-IS, Type-HCL, and
 287 Type-UR. Type-GDP included Beijing, Inner Mongolia, Heilongjiang, Jiangsu, Henan, Shaanxi,
 288 Qinghai, Ningxia, Chongqing, Shanghai, Guangxi, Yunnan, and Xinjiang. Type-EI included Liaoning,
 289 Shandong, Hainan, Hebei, Hubei, Sichuan, and Shanxi. This is consistent with the conclusions of Song
 290 et al. (2020) who stated that in cities in the Bohai Rim Economic Circle, the energy intensity effect had
 291 the greatest impact on the carbon emissions intensity. Type-CO included Tianjin, Anhui, and Hunan.
 292 Type-IS included Jiangxi, Zhejiang, and Jilin. Type-HCL included Fujian and Guizhou, and Type-UR
 293 included Guangdong and Gansu.

294 This classification is illustrated in Fig. 7, where 0 represents the regions not considered (Tibet
 295 Autonomous Region, Hong Kong, Macao and Taiwan), “1” represents Type-GDP regions, “2”
 296 represents Type-EI regions, “3” represents Type-CO regions, “4” represents Type-IS regions, “5”
 297 represents Type-HCL regions, and “6” represents Type-UR regions.



298

299 **Fig. 7** Clustering results of the major influencing factors in 30 regions of China

300 **Table 6.** Number of occurrences of each influencing factor.

factors	A	B	C	D	E	F	0
GDP	13	9	5	2	1	0	0
EI	7	16	4	1	2	0	0
CO	3	1	9	9	4	4	0
IS	3	1	5	6	10	4	1
HCL	2	1	1	5	8	7	6
UR	2	2	6	7	5	4	4

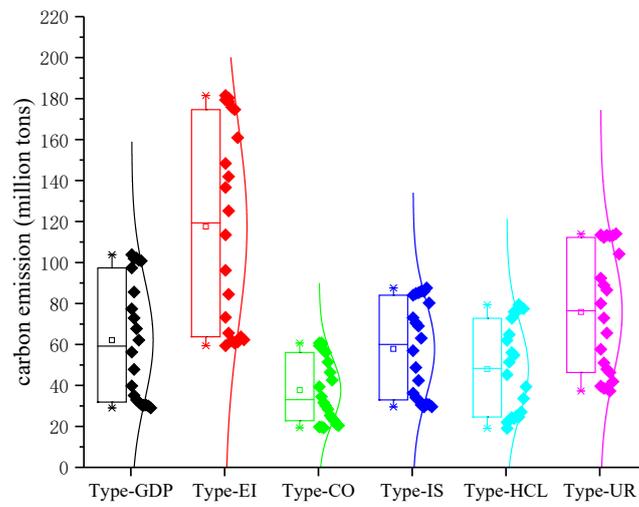
301 Table 6 lists the number of occurrences of each impact factor in the optimal model. The GDP was the
302 most important factor for carbon emissions because it appeared as the primary factor in 13 regions in
303 category A. Liu et al. (2012) reported that among these regions, Xinjiang and Inner Mongolia, with the
304 potential for economic development, are the most important drivers of carbon emissions. The EI was
305 the second most important factor affecting carbon emissions in the seven regions. However, in category
306 B, the EI appeared 16 times while the GDP only appeared nine times, such that the EI is the extent of
307 the impact of carbon emissions in second place. CO and IS together occupied the third position. The
308 HCL and UR played an important role in two regions. Wang et al. (2019) found that economic growth,
309 population growth, urbanization rate, fixed asset investment, and industrialization had a positive impact
310 on CO₂ emissions in Guangdong Province while the impact of the energy consumption structure and
311 technological progress was negative. Finally, the HCL most frequently occurred in category 0,
312 indicating that this was least influential.

313 **Table 7.** Average value of each variable in each category.

Type	C	GDP	EI	CO	IS	HCL	UR
Type-GDP	6214.505	8730.081	1.675	283.867	0.446	9193.003	0.494
Type-EI	11750.820	12209.060	1.989	449.194	0.447	7319.805	0.423
Type-CO	5522.714	9036.451	1.294	264.283	0.455	9005.879	0.478
Type-IS	5780.977	10651.540	1.258	361.940	0.473	8758.818	0.473
Type-HCL	4798.802	7231.813	1.847	214.510	0.430	7418.591	0.446
Type-UR	7583.873	17559.020	1.671	575.868	0.455	4969.045	0.314

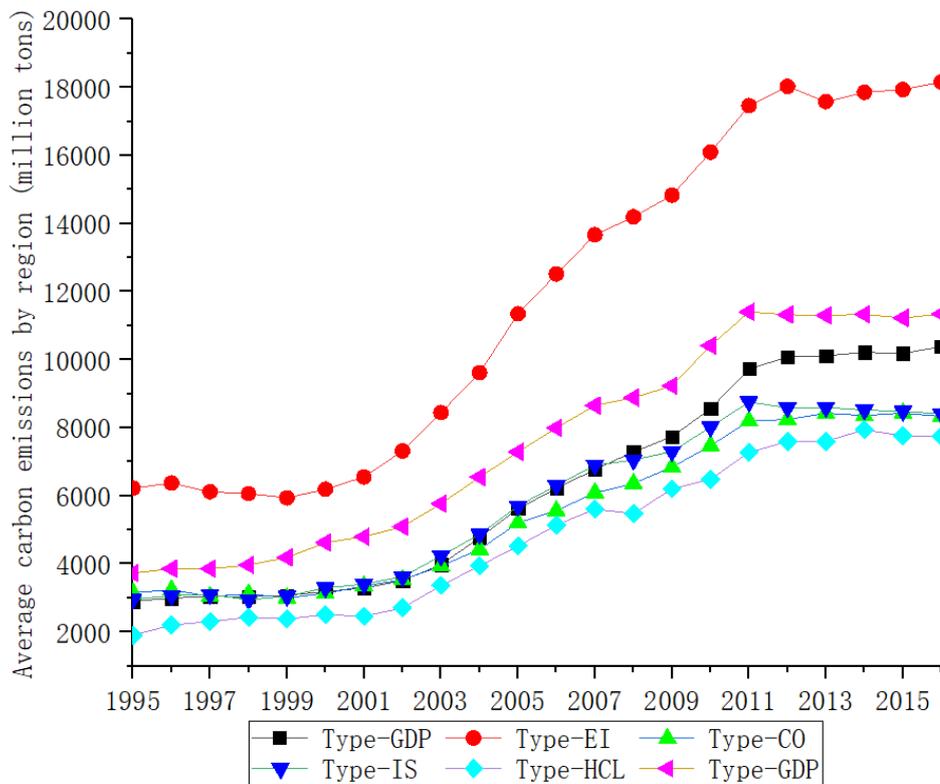
314 The average value of each variable in each category is listed in Table 7. Type-GDP regions had the
315 highest average HCL (9,193.003) and UR (0.494) from 1995 to 2016. Type-EI regions had the highest
316 average carbon emissions and highest EIs of 11 750.820 and 1.989, respectively. We note that such
317 areas should be the focus for management. A high energy consumption generates significant CO₂
318 emissions while an effective reduction in the EI is key to a reduction in the CO₂ emissions. Type-IS
319 regions had the highest percentage of IS. Type-UR had the highest average GDP (17 559.020) and CO
320 (575.868). In these areas, urban development drives residents to buy more cars, which is also the

321 reason for the increasing carbon emissions.



322

323 **Fig. 8** Scatter box plot for Type-GDP, Type-EI, Type-CO, Type-IS, Type-HCL, and Type-UR. Note: the boxes in
324 the figure include four values, i.e., the average value (shown by the small white square), the median value (shown
325 by the white bar), the 75th percentile (shown by the top edge), and the 25th percentile (shown by the bottom edge).



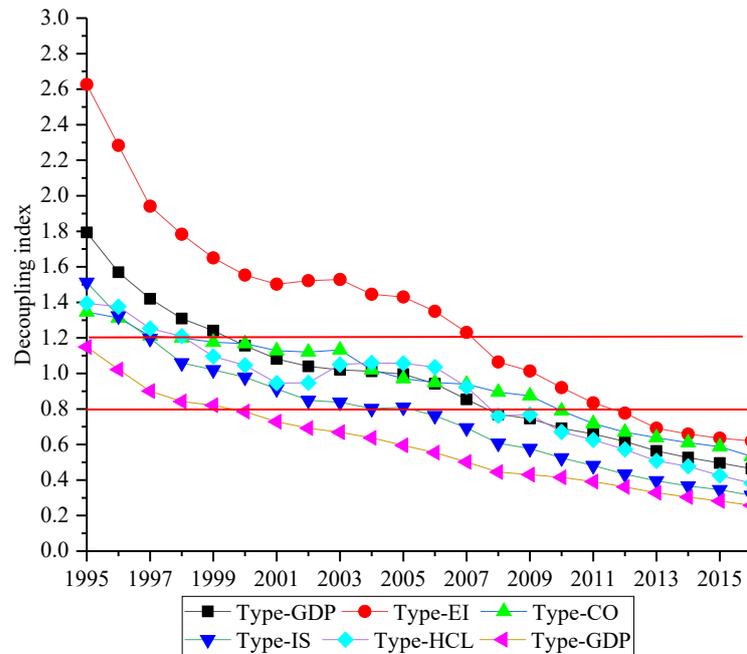
326

327 **Fig. 9.** Average carbon emissions in each category of region.

328 Figure 8 shows the detailed distribution of carbon emissions in the six regions from 1995 to 2016.
 329 Figure 9 depicts the average carbon emissions in each type of region from 1995–2016. The annual
 330 average growth rates of Type-GDP, Type-EI, Type-CO, Type-IS, Type-HCL, and Type-UR were 6.26,
 331 5.23, 4.74, 5.10, 6.91, and 5.44 %, respectively. The average carbon emissions in each region showed a
 332 steady development trend since 2011. The main reason for this phenomenon is likely the government’s
 333 effective implementation of emissions reduction policies. According to the average carbon emissions of
 334 each region, the order of the average carbon emissions from high to low was Type-EI, Type-UR,
 335 Type-GDP, Type-IS, Type-CO, and Type-HCL.

336 4.2 Decoupling analysis

337 Based on the cluster classification results in the previous section, the decoupling index between the
 338 carbon emissions and economic growth in the six regions of the country was built, which analyzed the
 339 internal relationship between the carbon emissions and economic growth, based on which we proposed
 340 appropriate energy-saving and emissions reduction measures for each region.



341

342 Fig. 10 Decoupling index results for the six types of regions.

343 Figure 10 indicates that the decoupling relationship between the carbon emissions and economic
 344 growth in the six types of regions had a downward trend. Except for Type-EI, the other five types of
 345 regions entered an expansive coupling and weak decoupling state since the enactment of the 10th
 346 Five-Year Plan (2001–2005), indicating that since then the country has increased its efforts in energy
 347 conservation and emissions reduction measures. However, while the decoupling index of EI-based
 348 regions decreased, the decoupling index entered a weak decoupling state after 2012. The economic
 349 growth rate was slightly higher than the growth rate of carbon emissions, indicating that the regions
 350 were gradually changing their manners of promoting economic growth at the expense of energy
 351 consumption, gradually achieving the goals of energy conservation and emissions reduction.

352 Moreover, the decoupling coefficient represented by Type-EI had the fastest and largest decline from
353 1995 to 2016 (Fig. 10). This was mainly because energy is the main source of CO₂, which is based on
354 the EI. The main areas belonging to Type-EI were Liaoning, Hebei, Shanxi, Shandong, Hubei, and
355 other high-energy energy areas, which are characterized by high pollution and energy consumption.
356 Emission measures have impacted regions dominated by EI. At the same time, the decoupling index of
357 Type-EI for carbon emissions and economic growth showed the largest decline of 6.65 %; therefore,
358 this is the most effective technique to realize energy savings and emissions reduction by controlling the
359 EI. Overall, the decoupling index of each region showed a relatively flat trend over time, which
360 represents a weak decoupling state. The reason for such a weak decoupling may be that under the
361 country's strong governmental macro-control, each region is actively achieving government calls for
362 energy conservation and emissions reductions by adopting a series of emissions reduction measures
363 and promoting economic growth.

364 Interestingly, the HCL regions were weakly decoupled after 2008. Fujian and Guizhou experienced a
365 decline in volatility. The average annual growth rate of HCL in Fujian before 1995–2001 was 7.92 %;
366 from 2001 to 2004, the average annual growth rate was 8.80 %. From 1995 to 2001, the carbon
367 emissions growth rate of the HCL regions was 4.37 % and the GDP growth rate was 11.35 %, whereas
368 the carbon emissions growth rate from 2001 to 2004 was 16.99 % and the GDP growth rate was
369 12.64 %. Cities in the HCL regions did not strictly implement the relevant national energy-saving and
370 emissions reduction policies during the "Tenth Five-Year Plan" period, resulting in the rapid growth of
371 carbon emissions.

372 In the IS and GDP regions, the decoupling coefficient of carbon emissions and the GDP showed a
373 gradual decrease, indicating that these regions had actively been achieving national energy-saving and
374 emissions reduction targets, resulting in a weak decoupling between carbon emissions and the GDP in
375 2010. In regions where CO was the main driving force, the decoupling index of carbon emissions and
376 economic growth tended to be flat. The government encourages the purchase of new energy vehicles to
377 achieve energy conservation and emissions reduction goals.

378 In UR regions, such as Guangdong and Gansu, the decoupling relationship between carbon
379 emissions and economic growth has been weakly decoupled since 2000. However, the average carbon
380 emissions of the UR region were 75.83873 million tons, ranking second among the six regions, while
381 the economic growth was highest, reaching 17 559.02 ten thousand yuan, representing rapid economic
382 growth at the cost of high energy consumption. Since 1995, the decoupling coefficient of the UR
383 regions has been 1.15, which has decreased annually by 6.87 %, resulting in the smallest carbon
384 emissions and elasticity in the economic growth in these regions.

385 If China's "extensive" development method does not undergo a fundamental change, its
386 development process will not be able to effectively decouple from carbon emissions. Considering the
387 coal industry's declining trend and the continued decrease in coal prices, coal may be the primary
388 choice for energy generation in some industries, such that the energy consumption structure dominated
389 by coal is difficult to change through market choices in the short term.

390 **5. Conclusions and policy proposals**

391 To achieve green economic development, the Chinese government has always coordinated the
392 relationship between economic growth and environmental protection, seeking a pathway to develop a

393 low-carbon economy. We used symbolic regression and automatic identification and search methods to
394 explore the factors affecting carbon emissions, including the GDP, EI, UR, IS, HCL, and CO in 30
395 regions in China. We used 22-year data resources from 1995 to 2016. We conclude that the factors
396 affecting carbon emissions vary by region. Accounting for the factors that have the greatest impact on
397 carbon emissions, we divided the country into six areas: Type-GDP, Type-EI, Type-CO, Type-IS,
398 Type-HCL, and Type-UR.

399 From 1995 to 2016, the growth rate of national carbon emissions was 5.63 %; there were 13 regions
400 with GDP as the main factor, including Beijing, Inner Mongolia, Heilongjiang, Jiangsu, Henan,
401 Shaanxi, Qinghai, Ningxia, Chongqing, Shanghai, Guangxi, Yunnan, and Xinjiang. Seven regions were
402 dominated by the EI, including Liaoning, Shandong, Hainan, Hebei, Hubei, Sichuan, and Shanxi. We
403 further used the Tapio decoupling model to analyze the decoupling coefficient between carbon
404 emissions and economic growth in the six classified areas. The annual average growth rate of carbon
405 emissions for the Type-GDP, Type-EI, Type-CO, Type-IS, Type-HCL, and Type-UR areas were 6.26,
406 5.23, 4.74, 5.10, 6.91, and 5.44 %, respectively. The decoupling index showed a downward trend for
407 each region, among which the EI region had the highest carbon emissions; its decoupling index showed
408 the largest decline.

409 As the influencing factors had a significantly differing impact on the carbon emissions in the
410 different regions, we proposed a combination of the regional characteristics and resource endowments
411 to develop differentiated carbon emissions reduction strategies to promote the development of a
412 low-carbon economy. The following suggestions can be made based on our results and analysis.

413 First, differentiated regional emissions reduction targets based on local conditions should be
414 developed. Therefore, the setting of emissions reduction targets and the formulation of policies should
415 be determined according to the actual conditions of each region and not be unified. The focus should be
416 on areas with high carbon emissions, such as Type-EI areas, while areas with moderate carbon
417 emission levels should be regularly monitored, such as Type-GDP and Type-UR areas. Areas with low
418 carbon emissions can focus on economic development, such as Type-CO and Type-HCL areas.

419 Second, technological innovation should be encouraged, with the promotion of energy-savings and
420 emissions reduction technologies. The government should increase investments in advanced
421 energy-saving technologies, such that new technologies can be applied to production in a timely and
422 effective manner, thereby reducing unit carbon emissions, making full use of high-tech and advanced
423 environmental protection technologies to upgrade and optimize traditional industries. The research and
424 development of new energy sources, such as wind and solar energy, has improved the utilization
425 efficiency of new energy sources and reduced the use of fossil energy, thus encouraging a low-carbon
426 economy. The government should therefore encourage companies to use new energy sources and
427 provide preferential policies.

428 Third, low-carbon industries should actively be developed, industrial structures optimized, and a
429 low-carbon economy developed. Examples include Jiangxi, Zhejiang, and Jilin. First, for traditional
430 high-energy-consuming industries, we must not only eliminate the backward production capacity, but
431 also carry out low-carbon environmental protection transformation and upgrades. For example, through
432 technology upgrades, the use of clean and renewable energy can be encouraged; high-carbon emissions
433 industries should be supervised and managed, energy-saving emissions reduction tasks determined, and

434 low-carbon economic development achieved. Moreover, new industrialization and environmentally
435 friendly developments of advanced manufacturing and high-technology industries through preferential
436 policies from the government should be achieved. Finally, the government should actively promote the
437 development of tertiary industries and the economy, thereby reducing carbon pollution during the
438 urbanization process.

439 Fourth, during urbanization, the consumption levels of residents should be reasonably controlled.
440 High-level consumption leads to an increase in carbon emissions. To raise resident awareness of the
441 benefits of low carbon emissions, we recommend that the government introduces relevant policies to
442 increase low-carbon environmental protection projects and rationally allocate resident spending power,
443 particularly in Fujian and Guizhou. The government should also encourage urban residents to start
444 businesses, continuously improve their independent innovation capabilities, and promote the regional
445 GDP. At the same time, especially in Guangdong and Gansu, the urban population growth rate has
446 significantly increased, which has promoted the large-scale migration of rural populations to cities and
447 reduced regional carbon emissions.

448 Fifth, although CO was not the most important factor, it was still relevant in some regions, indicating
449 that this factor cannot be ignored. The government should encourage the development of
450 environmentally friendly and energy-saving power batteries. For example, the active exploration of
451 new electric vehicles based on hydrogen fuel cell technology is expected to achieve zero emissions. In
452 contrast, the government should vigorously promote the implementation of electric buses to gradually
453 replace traditional fuel buses and encourage residents to buy new electric vehicles to achieve maximum
454 carbon emissions reductions.

455 **Ethical Approval:** Not applicable

456 **Consent to Participate:** Not applicable

457 **Consent to Publish:** Not applicable

458 **Author contributions:** All authors contributed to the study conception and design. Material
459 preparation, data collection and analysis were performed by [Haiying Liu] and [Zhiqun Zhang]. The
460 first draft of the manuscript was written by [Zhiqun Zhang] and all authors commented on previous
461 versions of the manuscript. All authors read and approved the final manuscript.

462 **Funding:** This work was supported by [National Natural Science Foundation of China] (Grant
463 numbers [20BJY102])

464 **Competing Interests:** Not applicable

465 **Availability of data and materials:** The datasets generated and/or analysed during the current
466 study are not publicly available due [Part of the data comes from research] but are available from the
467 corresponding author on reasonable request.

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Figures

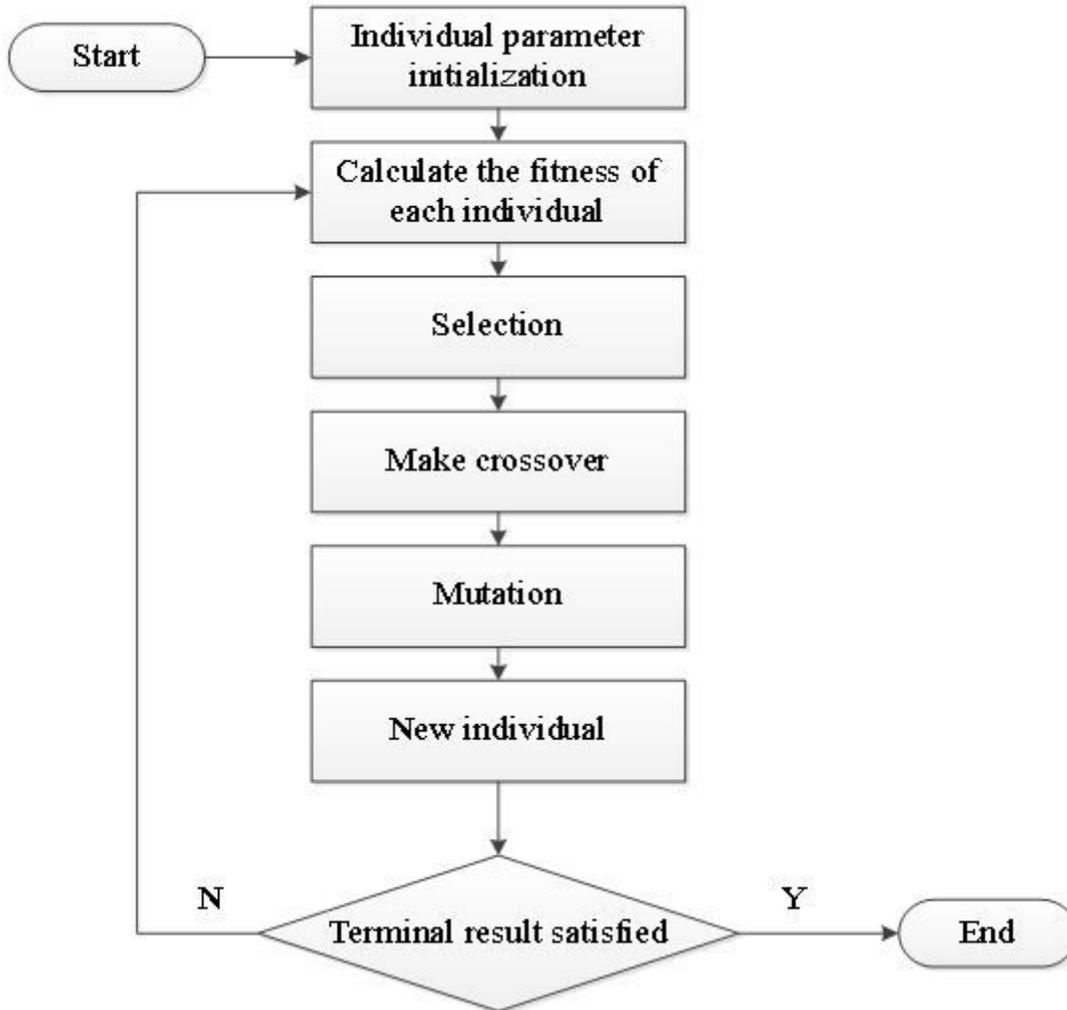


Figure 1

Genetic planning of symbolic regression.

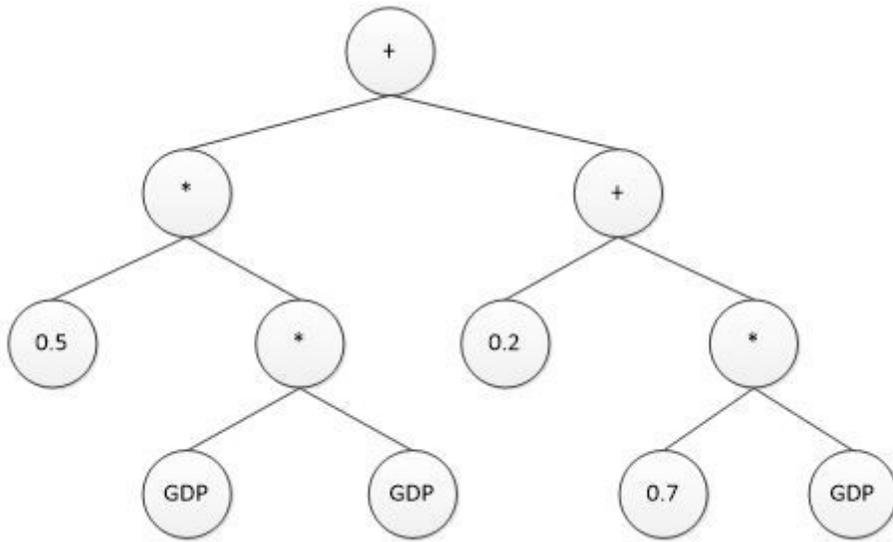


Figure 2

Example of a tree structure in symbolic regression for the expression $0.5 * GDP^2 + 0.7 * GDP + 0.2$.

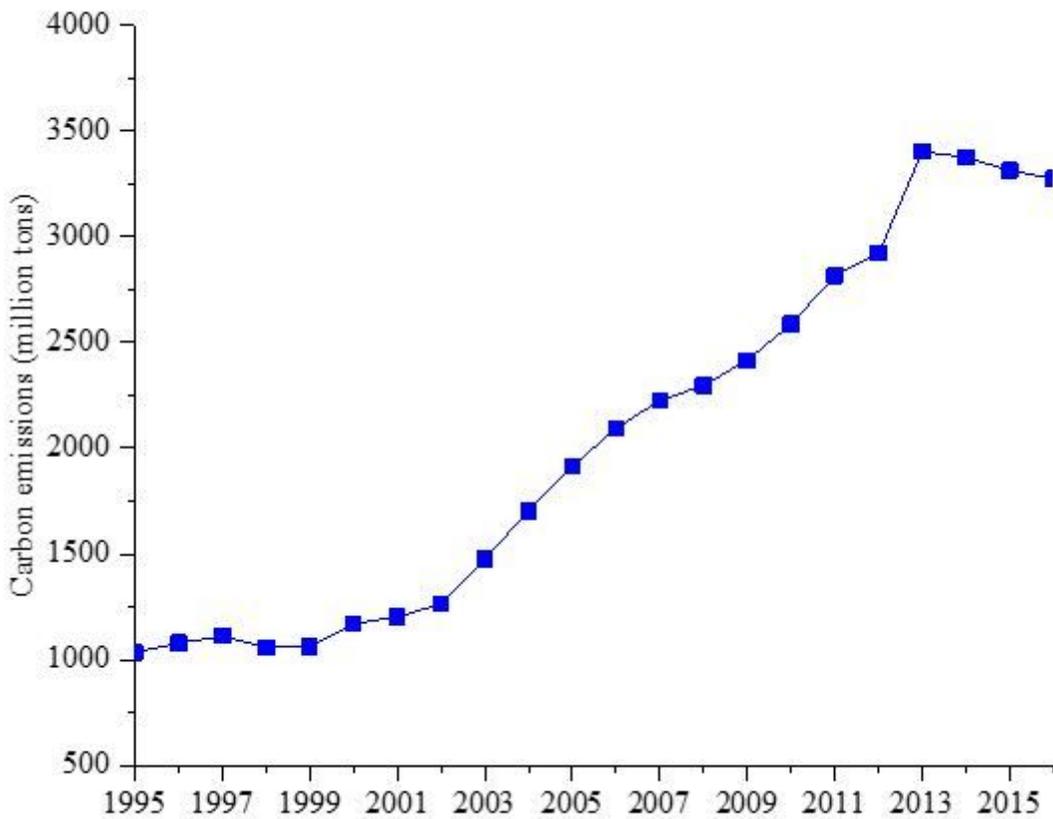


Figure 3

Changes in the carbon emissions in the 30 regions of China.

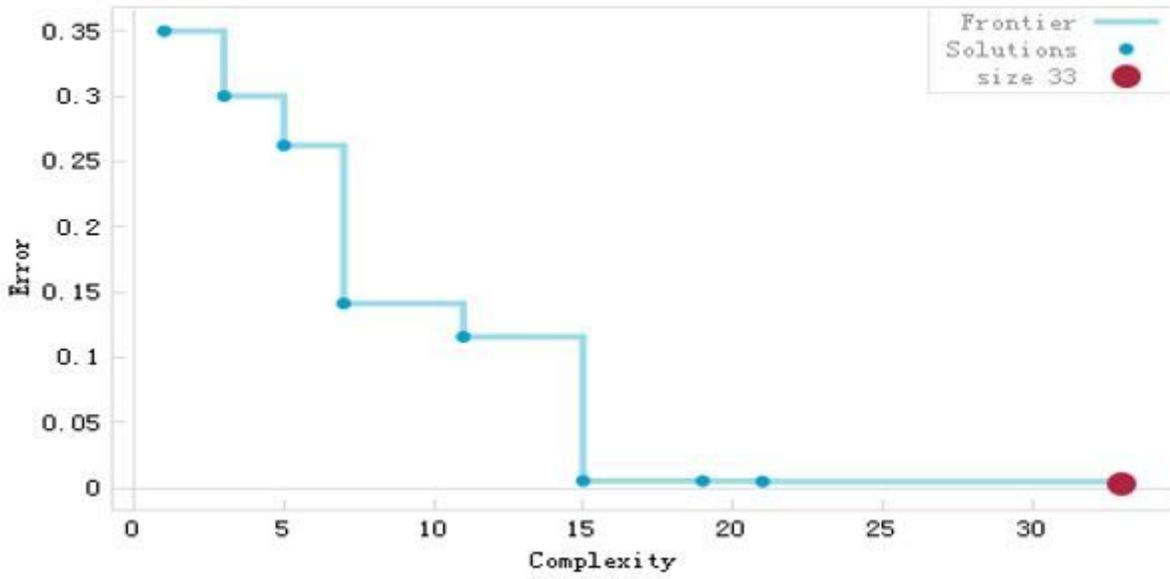


Figure 4

Pareto front in Chongqing.

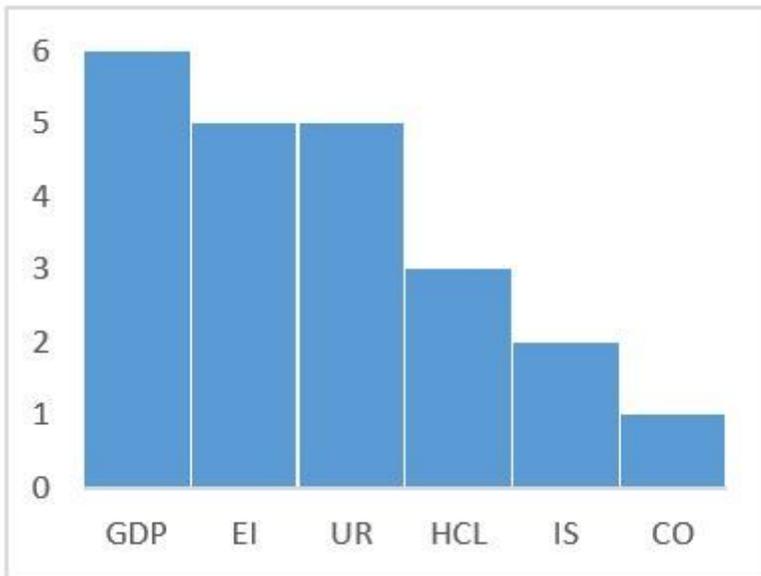


Figure 5

Number of models each variable appears in for Chongqing.

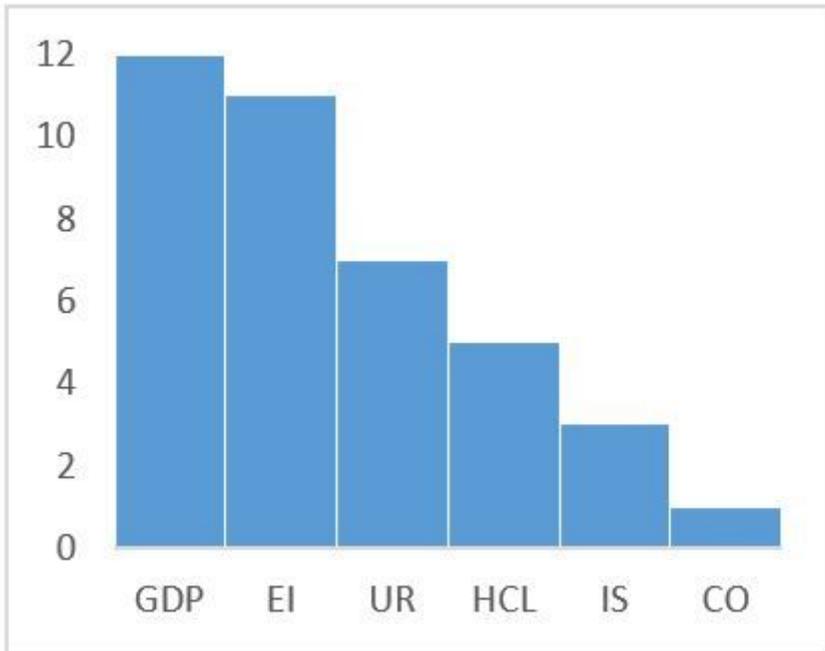


Figure 6

Number of occurrences of each variable in Chongqing (across all models).



Figure 7

Clustering results of the major influencing factors in 30 regions of China. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or

area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

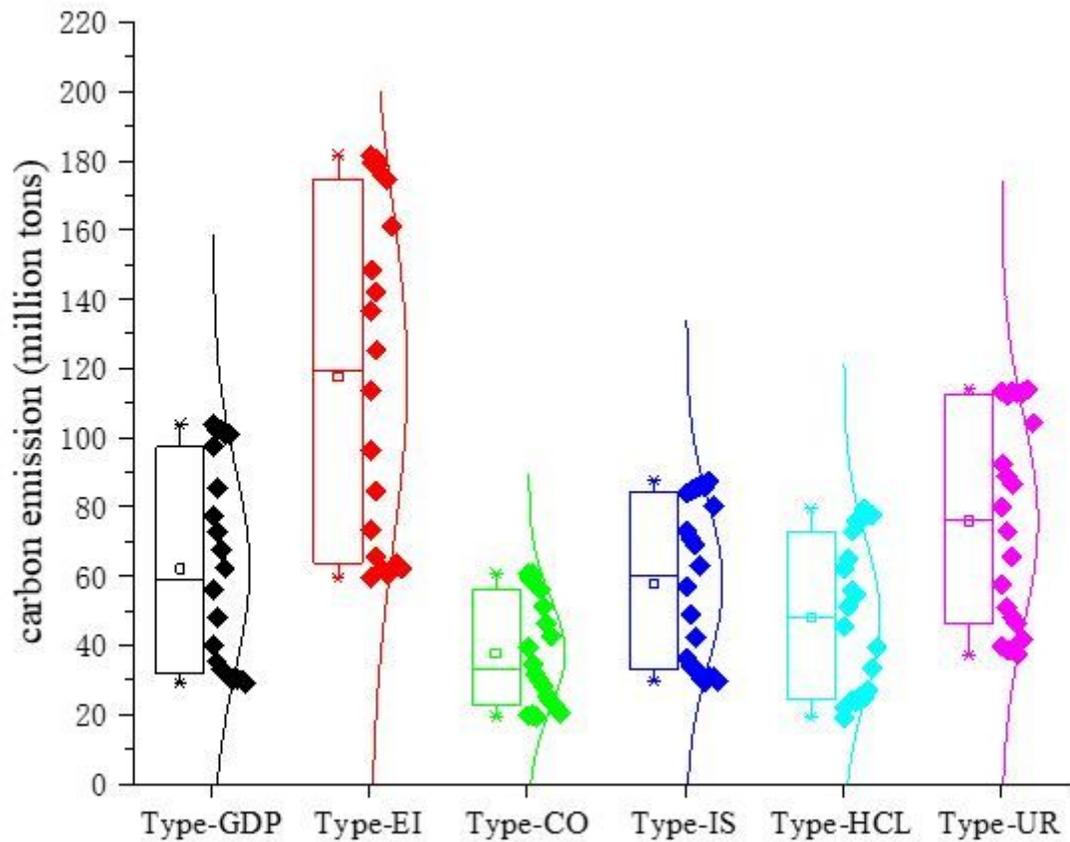


Figure 8

Scatter box plot for Type-GDP, Type-EI, Type-CO, Type-IS, Type-HCL, and Type-UR. Note: the boxes in the figure include four values, i.e., the average value (shown by the small white square), the median value (shown by the white bar), the 75th percentile (shown by the top edge), and the 25th percentile (shown by the bottom edge).

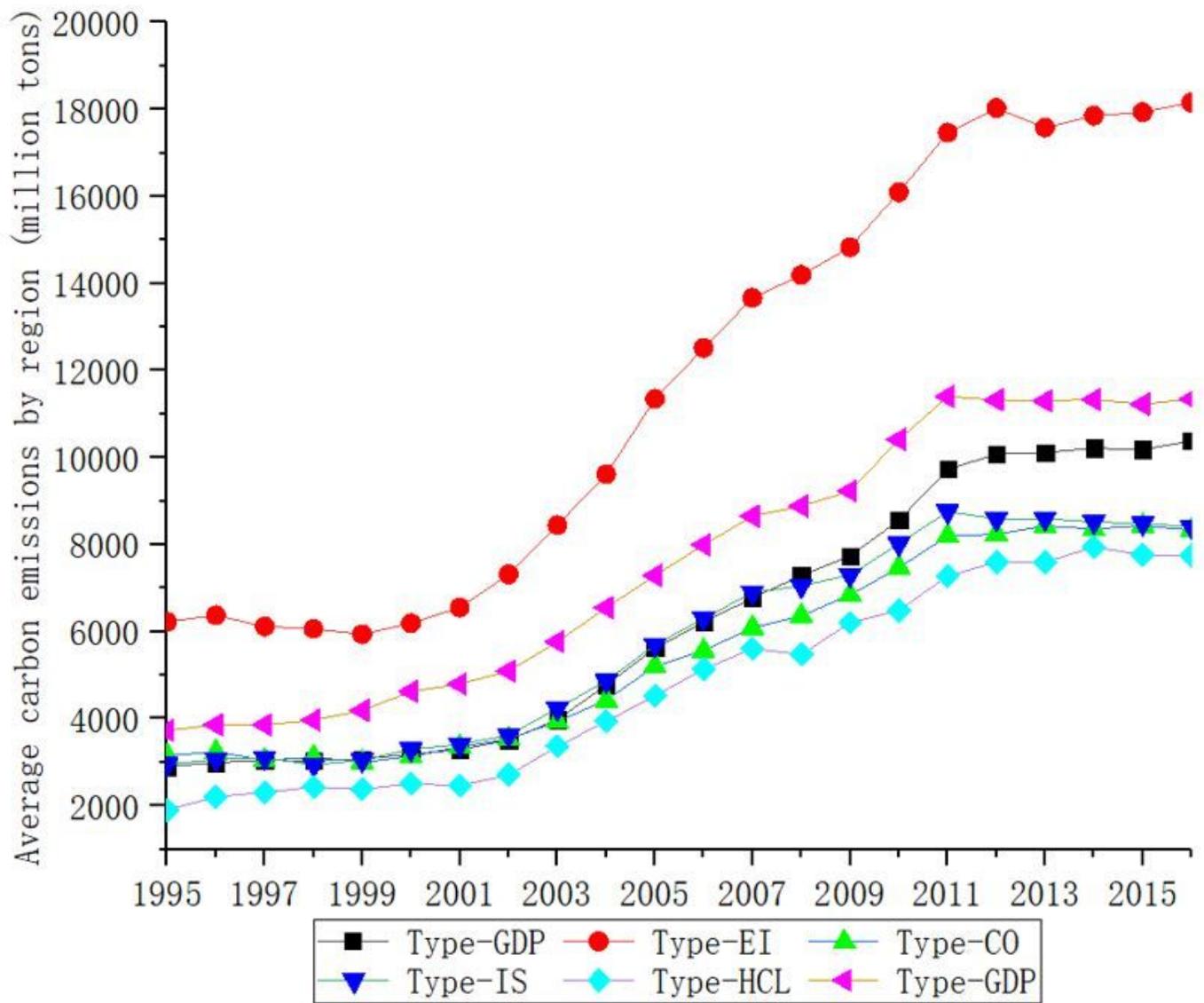


Figure 9

Average carbon emissions in each category of region.

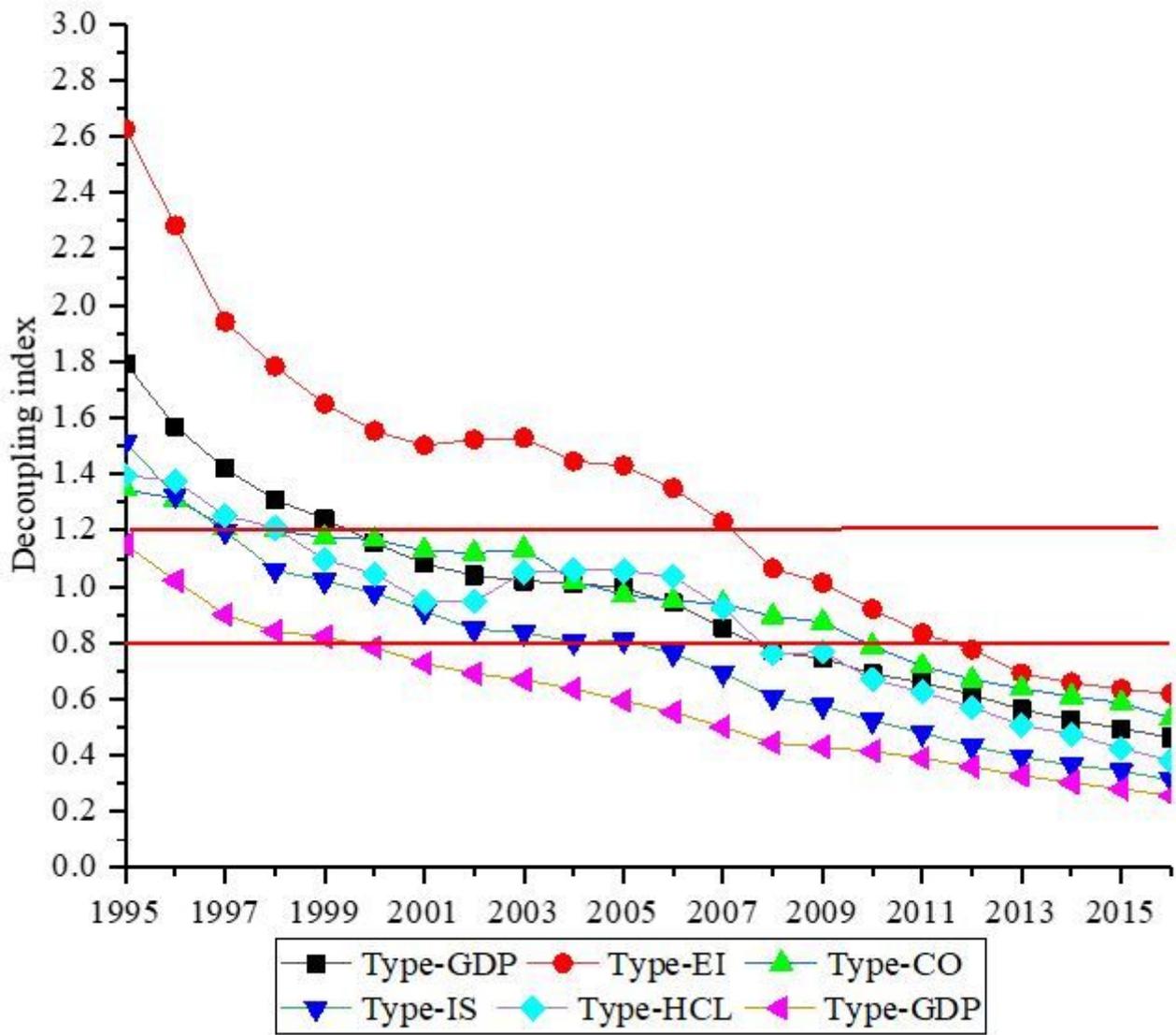


Figure 10

Decoupling index results for the six types of regions.