

# Pulmonary embolism and screening for concomitant proximal deep vein thrombosis in noncritically ill hospitalized patients with coronavirus disease 2019.

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## Short Report

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# Abstract

**Background** The clinical characteristics of noncritically ill patients with coronavirus disease 2019 (COVID-19) who develop pulmonary embolism (PE) and the prevalence of concomitant proximal deep-vein thrombosis (DVT) of the lower limbs have not been evaluated consistently.

**Methods** We identified nonintensive care unit (non-ICU) patients admitted with COVID-19 who were diagnosed with PE at a single center in northwest Spain. Point-of-care compression ultrasonography (CUS) of the lower limbs was performed to screen for concomitant proximal DVT. Clinical data were analyzed retrospectively.

**Results** From April 2 to April 17, 2020, 8 patients with COVID-19 and PE were identified. PE was diagnosed a median of 19 (interquartile range [IQR], 17–23) days after onset of COVID-19 symptoms and a median of 13 (IQR, 8–15) days after admission. All patients received thromboprophylaxis with enoxaparin or biosimilar at a median dose of 40 mg. All tested patients had high levels of D-dimer ( $\geq 2000$  ng/mL), serum ferritin ( $\geq 300$  mg/dL) and IL-6 ( $\geq 5$  pg/mL) at PE diagnosis. Six (75%) and 7 (87.5%) patients had high C-reactive-protein ( $\geq 1$  mg/dL) and lactate dehydrogenase ( $\geq 250$  U/L) levels, respectively. All PE events were segmental or subsegmental, with lobar involvement in only one. None of these patients had concomitant proximal DVT of the lower limbs on CUS.

**Conclusions** Non-ICU hospitalized patients with COVID-19 diagnosed with PE had mainly segmental or subsegmental events without concomitant proximal DVT of the lower limbs. Our findings suggest a predominance of small-vessel thrombosis secondary to inflammatory and immune responses in these patients.

## Introduction

Coagulation abnormalities with elevated D-dimer levels have been identified as predictors of poor prognosis in patients with coronavirus disease 2019 (COVID-19) in China.[1–4] The correlation between D-dimer levels and diagnosis and prognosis of venous thromboembolism (VTE) has been widely described.[5, 6] Thus, many questions regarding the relationship between these entities are emerging.[7]

Recently, a VTE prevalence of 25% has been reported in patients with severe novel coronavirus pneumonia.[8] However, there is limited information about the clinical characteristics of noncritically ill hospitalized patients with COVID-19 who develop pulmonary embolism (PE), or their prevalence of lower limb concomitant deep-vein thrombosis (DVT). Therefore, the purpose of this study was to analyze the clinical data and assess the prevalence of concomitant proximal DVT in COVID-19 nonintensive care unit (non-ICU) patients with PE.

## Methods

This cross-sectional observational study describes non-ICU patients admitted for COVID-19 to a single center in A Coruña (northwest Spain) during April 2020 who developed a PE. Patients met inclusion criteria if they were  $\geq 18$  years old, had a confirmed diagnosis of severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) infection and were diagnosed with PE. Exclusion criteria were ICU admission at enrollment (i.e., a previous ICU stay was allowed), history of VTE within the past 3 months, and presence of a venous malformation or anatomical abnormality which hindered a reliable point-of-care compression ultrasonography (CUS) of the lower limbs. All patients (or their health-care proxies) provided informed consent for their study participation. The research protocol was conducted in accordance with the 2013 Declaration of Helsinki and the local Ethics Committee requirements.

COVID-19 was diagnosed according to World Health Organization interim guidelines[9] and confirmed by RNA detection of the SARS-CoV-2 and/or serological testing. PE diagnosis was established by positive contrast-enhanced, PE-protocol, helical chest computerized tomography (CT).

Point-of-care CUS of the lower limbs was performed to assess the prevalence of concomitant proximal DVT. Prior to this, risk factors for VTE and symptoms of PE and DVT were assessed using a simple questionnaire. Trained clinicians who were caring for these COVID-19 patients conducted lower limb CUS in safe conditions (i.e., with personal protective equipment). Proximal DVT diagnosis was established if a noncompressible vein segment was detected at or above the popliteal vein on CUS. Distal DVT (i.e., below the knee) was not screened using this protocol.

Clinical data (demographics, comorbidities, concurrent medications, laboratory, and imaging tests) were recorded at baseline and during hospitalization until PE diagnosis. VTE was considered secondary to immobilization if it appeared within 2 months of confinement to bed with bathroom privileges for  $\geq 4$  days. Immobilization due to COVID-19 prior to VTE was included in this definition. D-dimer was measured by automated latex-enhanced HemosIL D-Dimer (Instrumentation Laboratory, Bedford, MA, USA) immunoassay in the coagulation laboratory of Complejo Hospitalario Universitario de A Coruña.

Patients were managed according to the hospital's clinical practice, with no standardizations or treatment recommendations. Diagnostic tests for PE were only applied when PE was clinically suspected. Lower-limb CUS examination was performed in all patients regardless of the presence or absence of DVT symptoms. All data were recorded electronically and collected retrospectively using standardized case report forms. Continuous variables are expressed using median and interquartile ranges (IQRs). SPSS software (v. 22.0; IBM SPSS, Armonk, NY, USA) was used for all analyses.

## Results

From April 2 to April 17, 2020, a total of 171 patients were admitted with confirmed COVID-19 to the Internal Medicine Department of the University Hospital of A Coruña. Among these, 8 (4.68%; 95%CI: 2.39–8.96) patients diagnosed with PE participated in the study. The patient characteristics are presented in Table 1. Seven (87.5%) participants had pneumonia with bilateral pulmonary infiltration on imaging

tests performed before PE diagnosis (Table 1). The remaining patient was diagnosed with bilateral pneumonia in the same chest CT scan which demonstrated the PE.

All patients were immobilized due to COVID-19 as the main risk factor for VTE. They received thromboprophylaxis with enoxaparin or biosimilar at a median dose of 40 mg from admission to PE diagnosis (Table 2). The prophylactic dose was increased in 3 (37.5%) patients due to rising D-dimer levels. Contrast-enhanced helical chest CT was ordered because D-dimer levels were increasing (this was the only reason for one patient), while PE symptoms and/or respiratory worsening were also considered in the decisions for the remaining patients.

Six patients (75%) had a Pulmonary Embolism Severity Index (PESI) at or above class III and each of these had a simplified PESI (sPESI)  $\geq 1$  point (Table 2). None of these patients had laboratory or imaging findings suggesting right-ventricle (RV) dysfunction, and none required thrombolysis. Only one patient had  $\geq 4$  points in the sepsis-induced coagulopathy (SIC) score proposed by the International Society of Thrombosis and Haemostasis (ISTH).

Seven (87.5%) patients had only segmental or subsegmental PE events, while one patient also had unilateral lobar involvement (Table 2). Time from onset of coronavirus disease 2019 symptoms to pulmonary embolism diagnosis in each patient is depicted in Figure 1. Laboratory tests at PE diagnosis are summarized in Table 2. One patient did not have serum ferritin determination. None of these patients had concomitant proximal DVT of the lower limbs on CUS and none had DVT symptoms.

## Discussion

Previous studies have reported a prevalence of proximal DVT of the lower limbs around 50% in patients with PE, among whom the presence of DVT symptoms ranges from 42% to 48%[10, 11] However, these studies did not assess the correlation between the PE-involved territory and the occurrence of concomitant DVT. We did not detect any concomitant proximal DVT of the lower limbs in our small sample of noncritically ill patients with COVID-19 and PE. Interestingly, all our patients had segmental or subsegmental events. Only one patient had more proximal involvement represented by a single unilateral lobar clot. Furthermore, most patients showed high levels of D-dimer, serum ferritin, interleukin-6, C-reactive-protein and lactate dehydrogenase in the COVID-19 context. Thus, our findings suggest a predominance of small-vessel thrombosis secondary to inflammatory and immune responses in these patients. Lower-limb CUS in the absence of DVT symptoms could probably be avoided in this patient profile.

One study reported lower mortality in severe COVID-19 patients who were treated with heparin and had an ISTH SIC score  $\geq 4$  or a D dimer  $>3000$  ng/mL.[12] Only two of our patients had a previous ICU stay, and all patients received thromboprophylaxis with enoxaparin or biosimilar continuously from their first day of admission. D-dimer levels were  $>5000$  ng/mL in almost all (87.5%) of these less-severe COVID-19 patients at PE diagnosis. Nevertheless, only one patient met SIC criteria with thrombocytopenia. Hence, mechanisms other than classic SIC or disseminated intravascular coagulopathy could be involved in the

small-vessel thrombosis of the COVID-19 patients with the profile we have described herein. These mechanisms are unknown and must be addressed in further studies.

We discovered that around 5% of non-ICU hospitalized patients with COVID-19 were diagnosed with PE. PE diagnosis was guided by clinical suspicion. A PE prevalence of 8.2% was previously reported in 280 patients hospitalized with COVID-19.[13] However, those investigators included ICU patients whose condition was severe. A PE prevalence of 13.6% among 184 ICU patients has been reported.[14] The previously reported mean time from onset of COVID-19 symptoms to PE diagnosis was 12 days,[13] while the median was 19 days in our sample. There was a median of 13 days from admission to PE diagnosis in our patients, despite that they all had received thromboprophylaxis from their first day of hospitalization.

Although PE severity represented by the PESI and sPESI was high in our sample, we note that some features of these indices (i.e., hypotension, pulse rate  $\geq 110$  beats per minute, respiratory rate  $\geq 30$  breaths per minute, altered mental status and SpO<sub>2</sub> < 90%) would be present in many patients with SARS-CoV-2 pneumonia. In addition, we detected PE with low thrombotic burden, no RV dysfunction, and a poor evolution of coronavirus pneumonia in the same chest CT scan in most of our patients. Consequently, we hypothesize that many of these patients would have respiratory deterioration not explained by the PE. PESI could be insufficiently accurate for assessing PE severity in patients with worsening pneumonia; thus, special attention to changes in their condition might be warranted when PE is suspected in COVID-19 patients.

Our study is not without limitations. First, we have described a relatively small series of patients with COVID-19 and PE at a single center in Spain. Therefore, our findings must be interpreted cautiously and require external validation in larger cohorts. Second, since this was an observational study, randomized control trials will be needed before recommendations can be made on the clinical management of these patients.

In summary, the study results show that a subset of non-ICU hospitalized COVID-19 patients diagnosed with PE have chiefly segmental or subsegmental events without concomitant proximal DVT of the lower limbs. Our findings suggest a predominance of small-vessel thrombosis secondary to inflammatory and immune responses in these patients.

## Declarations

**Author contributions** A. Dubois-Silva contributed to the concept and design of the study, compression ultrasound examination, analysis and acquisition of data, interpretation of the results and drafting of the manuscript. C. Barbagelata-López contributed to the concept and design of the study, compression ultrasound examination and reviewing of the manuscript. A. Mena contributed to the concept and design of the study, statistical analysis and drafting of the manuscript. P. Piñeiro-Parga contributed to the compression ultrasound examination, acquisition of data and reviewing of the manuscript. D. Llinares-

García and S. Freire-Castro contributed to the compression ultrasound examination and reviewing of the manuscript. All authors approved the final version of the manuscript.

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### **Compliance with ethical standards**

**Conflict of interest** The authors declare that they have no conflict of interest.

**Statement of human and animal rights and ethics approval** This study was conducted in accordance with the 1964 Helsinki Declaration and its later amendments and the local Ethics Committee requirements. Ethical approval was waived in view of the retrospective nature of the study and all the procedures being performed were part of the routine care.

**Informed consent** Informed consent was obtained from all individual participants included in the study.

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## Tables

**Table 1** Clinical characteristics of non-intensive care unit hospitalized patients with coronavirus disease 2019 and pulmonary embolism.

	COVID-19 and PE
<i>Patients, N</i>	<i>8</i>
<b>Clinical characteristics,</b>	
Men	5 (62.5%)
Age (median years, IQR)	67 (58–74)
Body weight (median kg, IQR)	75 (67–83)
Body mass index (median kg/m <sup>2</sup> , IQR)	24.6 (23.5–27.2)
Charlson comorbidity index ≤1	7 (87.5%)
<b>Concomitant diseases,</b>	
Hypertension	3 (37.5%)
Dyslipidemia	4 (50%)
Diabetes	1 (12.5%)
Obesity	1 (12.5%)
Current smoking	0
Chronic lung disease	0
Chronic heart failure	0
Chronic kidney disease	0
Recent major bleeding	0
Thrombophilia	0
<b>Concomitant therapies at admission,</b>	
Anticoagulants	0
Antiplatelets	0
Corticosteroids	0
NSAIDs	0
Immunosuppressive drugs	0
ACEI/ARB	3 (37.5%)
<b>COVID-19 characteristics,</b>	
Pneumonia	7 (87.5%)
Bilateral pulmonary infiltration	7 (87.5%)
Basal SpO <sub>2</sub> < 93%	5 (62.5%)
ICU stay prior to PE diagnosis	2 (25%)
<b>COVID-19-related therapies,</b>	
Hydroxichloroquine	7 (87.5%)
Antibiotics	8 (100%)
Lopinavir/ritonavir	7 (87.5%)
Corticosteroids	7 (87.5%)
Tocilizumab	5 (62.5%)
Remdesivir	0
Interferon	2 (25%)

**Abbreviations:** COVID-19, coronavirus disease 2019; PE, pulmonary embolism; IQR, interquartile range; NSAIDs, non-steroidal anti-inflammatory drugs; ACEI, angiotensin-converting enzyme inhibitors; ARB, angiotensin II receptor blockers; SpO<sub>2</sub>, oxygen saturation as measured by pulse oximetry; ICU, intensive care unit.

**Table 2** Venous thromboembolism-related characteristics of non-intensive care unit hospitalized patients with coronavirus disease 2019 and pulmonary embolism.

	COVID-19 and PE
<b>Patients, N</b>	<b>8</b>
<b>Risk factors for VTE,</b>	
Active cancer	0
Surgery	0
Immobility for $\geq 4$ days	8 (100%)
Use of estrogens	0
Pregnancy/postpartum	0
None of the above	0
Leg varicosities	3 (37.5%)
Prior VTE	0
<b>Thromboprophylaxis,</b>	
Enoxaparin or biosimilar	8 (100%)
Median enoxaparin or biosimilar dose (mg/day, IQR)	40 (40-60)
Dose increase during thromboprophylaxis	3 (37.5%)
Other anticoagulants	0
<b>Clinical symptoms and signs at PE presentation,</b>	
Chest pain	1 (12.5%)
Syncope	0
Worsening dyspnea	5 (62.5%)
Hemoptysis	1 (12.5%)
None of the above	3 (37.5%)
Heart rate $> 110$ /min	0
SBP $< 100$ mm Hg	0
PaO <sub>2</sub> /FiO <sub>2</sub> (median mm Hg, IQR)	233 (169-323)
RV dysfunction (by imaging and/or laboratory tests)	0
PESI $\geq$ class III	6 (75%)
sPESI $\geq 1$ point	6 (75%)
SIC score $\geq 4$ points	1 (12.5%)
<b>PE involvement,</b>	
Subsegmental	4 (50%)
Segmental	5 (62.5%)
Lobar	1 (12.5%)
Main	0
Central	0
<b>Time from COVID-19 to PE diagnosis, days</b>	
Median days (IQR) from COVID-19 symptoms onset	19 (17-23)
Median days (IQR) from admission	13 (8-15)
<b>Laboratory tests,</b>	
Anemia	5 (62.5%)
White blood cell count $\geq 10,000/\text{mm}^3$	4 (50%)
Lymphocyte count $< 1000/\text{mm}^3$	4 (50%)
Platelet count $< 150,000/\text{mm}^3$	1 (12.5%)
INR $\geq 1.2$	1 (12.5%)
CrCl $< 60$ mL/min	0
C-reactive protein $\geq 1$ mg/dL	6 (75%)
Serum ferritin $\geq 300$ mg/dL	7 (87.5%)
Lactate dehydrogenase $\geq 250$ U/L	7 (87.5%)
IL-6 $\geq 5$ pg/mL	8 (100%)
D-dimer levels (median ng/mL, IQR)	10000 (5979-34974)
D-dimer levels $\geq 2000$ ng/mL	8 (100%)
D-dimer levels $\geq 5000$ ng/mL	7 (87.5%)
<b>Concomitant DVT</b>	

