

A blood-based comprehensive and systems-level analysis of disease stages, immune regulation and symptoms in COVID-19 patients

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Keywords: COVID-19, coronavirus, systems biology, immunology, disease stages, symptoms, genes, pathways, networks, immune cells, SARS

Posted Date: May 21st, 2020

DOI: <https://doi.org/10.21203/rs.3.rs-30473/v1>

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Version of Record: A version of this preprint was published at Cell Death Discovery on May 21st, 2020. See the published version at <https://doi.org/10.1038/s41420-020-00376-x>.

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2 **regulation and symptoms in COVID-19 patients**

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44 **Short title:** Systems-level analysis for COVID-19 PBMC

45

46 **Keywords:** COVID-19, SARS, coronavirus, PBMC, blood gene signatures, cytokine storm,
47 immune suppression, disease aggression, disease stages, personalized medicine, machine-
48 learning, inferential statistics, systems biology

49 **Abstract**

50

51 COVID-19 patients show significant clinical heterogeneity in presentation and outcomes that
52 makes pandemic control and strategy difficult; optimising management requires a systems
53 biology approach of understanding the disease. Here we sought to understand and infer
54 complex system-wide changes in patients infected with coronaviruses (SARS-CoV and SARS-
55 CoV-2; n=38 and 57 samples) at two different disease stages compared with healthy
56 individuals (n=16) and patients with other infections (n=144). We applied inferential
57 statistics/machine-learning approaches (the COVID-engine platform) to RNA profiles derived
58 from peripheral blood mononuclear cells (PBMCs). Compared to healthy individuals, an
59 integrated blood-based gene signatures distinguished acute-like (mimicking coronavirus-
60 infected patients with prolonged hospitalisation) from recovering-like patients. These
61 signatures also hierarchically represented systems-level parameters associated with PBMC
62 including dysregulated cytokines, genes, pathways, networks of pathways/concepts, immune
63 status, and cell types. Proof-of-principle confirmatory observations included PBMC-associated
64 increases in *ACE2*, cytokine storm-associated *IL6*, enhanced innate immunity (macrophages
65 and neutrophils), and lower adaptive T and B cell immunity in patients with acute-like disease
66 compared to those with recovery-like disease. Patients in the recovery-like stage had
67 significantly enhanced *TNF*, *IFN- γ* , anti-viral, *HLA-DQA1*, and *HLA-F* gene expression and
68 cytolytic activity, and reduced pro-viral gene expression compared to those in the acute-like
69 stage in PBMC. Besides, PBMC-derived surrogate-based approach revealed overlapping
70 genes associated with comorbidities (associated diabetes), and disease-like symptoms
71 (associated with thromboembolism, pneumonia, lung disease and septicaemia). Overall, our
72 study involving PBMC-based RNA profiling may further help understand complex and variable
73 systems-wide responses displayed by coronavirus-infected patients.

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76

77

78 Introduction

79

80 The spread of COVID-19, a disease caused by Severe Acute Respiratory Syndrome
81 CoronaVirus 2 (SARS-CoV-2), has led to the current global pandemic with already more than
82 4 million people with confirmed infection and nearly 300,000 deaths within a few months(1).
83 According to the World Health Organization (WHO), the mode of infection for COVID-19 is
84 predominantly through respiratory droplets, aerosol transmission due to pathogen-laden viral
85 particles in the air, or close contact with infected people with increased viral loads, especially
86 in the early stages of disease(2). The mechanism of human pathogenesis, to a great extent,
87 may simulate that of SARS-CoV (associated with SARS) and Middle East Respiratory
88 Syndrome CoronaVirus (MERS-CoV; MERS) viral infections, including the prolonged
89 persistence of the virus worsening the host immune response(3-5). Clinical manifestation of
90 COVID-19 ranges from mild respiratory symptoms to severe disease and death(6). However,
91 there are now reports suggesting heterogeneous manifestation of the disease affecting
92 multiple organs, including kidney, liver, and brain(7). Although age and compromised health
93 history are considered critical prognostic signatures, certain patients of younger age and good
94 health have shown severe progression of the disease(8).

95

96 Patients with COVID-19 may be asymptomatic(9, 10), but can still transmit infection(11). Viral
97 shedding from an infected person may occur, although resolution of symptoms(12), and
98 relapse has been reported despite consecutive negative testing(13). Currently, there is no
99 standard of care to treat COVID-19 respiratory symptoms(14, 15), screen for potential organ
100 failures, disease aggression, and systemic changes in patients.

101

102 In this study, we sought to understand and infer changes in coronavirus-infected patients at
103 multiple levels through their peripheral blood mononuclear cells (PBMC) by performing
104 comparisons with healthy volunteers by applying inferential statistics (IS) and machine-
105 learning (ML). The inferences at the systems level in patients provide an efficient way of
106 understanding the heterogeneity and mechanism(s) of disease manifestation as a whole.
107 These inferences can be derived systematically in a hierarchical fashion from the level of gene
108 signatures to the whole organism, to study the pathophysiology of COVID-19 patients.
109 Moreover, the patients' response to insults from the virus along with other associated
110 symptoms can be studied. Nevertheless, we carefully interpreted all these inferences to limit
111 to mononuclear cells in PBMC and its relevance to the disease.

112

113

114

115 **Methods**

116

117 COVID-engine refers to a pipeline of different IS/ML methods described below. This platform
118 applies gene signatures from PBMC of patients infected with coronavirus to query multiple
119 databases for meta-signatures such as, pathways, mechanistic processes and their
120 associated networks that are connected with different disease manifestations. These
121 signatures and meta-signatures are further modeled systematically to wire the
122 pathophysiology in patients, again, in a hierarchical fashion, from cells to whole organism level
123 (Figure 1A). SARS, COVID-19 and other samples were obtained from published studies(16,
124 17). COVID-engine includes the following methods. PBMC-based differential gene signatures
125 between healthy volunteers and patients were selected by performing supervised Statistical
126 Analysis of Microarrays (SAM)(18) using R-based *siggenes* package (19). Various gene
127 scores were derived using single sample Gene Set Enrichment Analysis (ssGSEA)(20).
128 Hypergeometric gene enrichment analysis were performed using hyperR R-based tool(21).
129 Nearest Template Prediction(22) was used to derive distance between two signatures – acute-
130 like vs. recovering-like patients. Different gene set databases were from EnrichR(23) and
131 MSigDB(20). Immune gene sets were from Rooney *et al*(24). The references to the used gene
132 sets and databases are provided in the respective figures.

133

134

135 **Results**

136

137 **PBMC-based differential gene expression signatures between coronavirus-infected** 138 **patients and healthy volunteers**

139

140 To perform an integrative and systematic analysis of heterogeneous patients' responses to
141 the coronavirus infection, we used RNA transcriptome data from PBMC of SARS-CoV patients
142 (n=10) and healthy volunteers (n=4; from a published study(16); training data). We identified
143 PBMC gene signatures differentially expressed between patients and healthy volunteers by
144 applying our in-house developed pipeline of IS/ML platform, referred to as "COVID-engine"
145 (see *Methods*). We identified 290 gene signatures that were differentially expressed in patients
146 and healthy volunteers using a supervised SAM approach (Figure 1B and Supplementary
147 Figure 1). Among these 290 genes, 169 (dubbed as CoV-Up-gene signatures) were highly
148 expressed, and 121 highly reduced in patient samples compared to healthy volunteers
149 (dubbed CoV-Down-gene signatures; Figure 1B).

150

151 Using COVID-19 patients' PBMC (n=3) and healthy volunteers (n=3; from another published
152 study(25)), we further validated our gene signatures. We observed that our CoV-Up-gene
153 signatures from SARS-CoV was higher in COVID-19 patients' PBMC than in the healthy
154 volunteers (see *Methods*). In contrast, CoV-Down-gene signatures was higher in healthy
155 volunteers and lower in COVID-19 patients. This result establishes that our gene signatures
156 from SARS-CoV are applicable for COVID-19 patients (Figure 1C-D).

157

158 When our CoV-Up-gene signatures was analysed using PBMC samples (17, 26) (n=213) from
159 patients infected with bacteria and influenza, we observe a broadly similar pattern (Figure 1E
160 and Supplementary Figure 2A). The reciprocal analyses with CoV-Down-gene signatures was
161 higher in PBMC from healthy volunteers than from SARS-CoV, COVID-19, and other microbe-
162 infected patients (Figure 1F and Supplementary Figure 2B). Again, we performed enrichment
163 analysis (hypergeometric test) using MSigDB's C7 immune signature and found that 43% (60
164 out of 138) of the signatures that were derived from PBMC (mostly associated with specific
165 diseases) were significantly (FDR < 0.2) enriched for our CoV-Up-gene signatures
166 (Supplementary Figure 3). Overall, the results suggest that CoV-Up-gene signatures
167 represent primarily diseased PBMC as initially derived.

168

169 **PBMC gene signatures distinguish disease stages – acute-like vs. recovering-like** 170 **coronavirus-infected patients**

171

172 Next, we sought to assess the potential of our CoV-Up-gene signatures to stratify patients into
173 those at different stages of the disease (aggression): acute vs. recovering. For this, we used
174 PBMC transcriptome data from 44 samples from the longitudinal collection over the disease
175 course from a published study (validation data; Lee *et al.*) (17). Lee *et al.* defined acute
176 samples (n=25) as those that tested positive (using blood) for SARS-CoV during
177 hospitalization or within 10 days of onset of the disease in patients. These acute samples were
178 also correlated with disease severity including an increased clinical pulmonary infection score
179 (CPIS) (17, 27). The remaining samples were labeled as recovering samples (n=19). Hence,
180 acute vs. recovering samples refer to different stages of the disease that can occur in the
181 same patient as specific samples were collected from the same individual during
182 hospitalization.

183

184 Interestingly, disease phase appeared to be associated with our identified CoV expression
185 signatures in samples from Lee *et al.*(17) with recovering patients showing an intermediate
186 signature between acute phase and healthy donors (Figure 1E). We then applied gene
187 signature (39 genes with known gene symbols) for distinguishing acute from recovering

188 patients identified by Lee *et al*(17) back to our training data (Regunathan *et al*(16)). This
189 resulted in 7 of the 10 patient samples showed maximal similarity to acute phase (termed
190 acute-like patients), while 3 scored similar to recovering patients (termed recovering-like
191 patients; measured as signature-based cosine distance; see *Methods*; Figure 1G). These
192 results suggest that the gene signatures predominantly contain patients at the acute-like stage
193 and healthy individual-based gene indicators; however, it may distinguish patients at the
194 recovering-like stage.

195

196 **Gene expression of *ACE2* and key cytokines in PBMCs in acute-like vs. recovering-like** 197 **coronavirus patients**

198

199 We next analyzed the expression patterns of genes associated with coronavirus infection in
200 PBMCs in acute-like and recovering-like using SARS-CoV-infected patients and healthy
201 volunteers (from training data). We compared the expression of *ACE2*, *IL6*, and *TNF* genes in
202 PBMC from infected patients and healthy individuals, which are known to be expressed in
203 circulating monocytes and macrophages after viral infection (28, 29)(30). Although there was
204 increased expression of *ACE2* and *IL6* in acute-like patients compared to healthy individuals,
205 there was no significant differences between acute-like and recovering-like patients (Figure
206 2A-B). Interestingly, *TNF* was highly expressed in acute-like patients compared to recovering-
207 like patients and healthy individuals (Figure 2C). Besides, analysis of subunits of lactate
208 dehydrogenase (*LDHA* and *LDHB*; associated with hypoxia) genes showed *LDHB* was highly
209 expressed in healthy individuals compared to coronavirus-infected patients, and inverse
210 trends were observed for *LDHA* (Figure 2D-E). Among these five genes, *TNF* was the only
211 gene that showed differential expression between acute-like and recovering-like patients.
212 These results show increased expression of these key COVID-19/SARS genes in patient
213 PBMC samples.

214

215 We further examined multiple other candidate genes that act as chemo-attractants to
216 monocytes and macrophages, specifically those that interfere with innate and adaptive
217 immunity and viral replication (29). Among those genes, we observed *CXCL8* (*IL8*) and
218 *CCL13*, which are associated with chemoattraction of neutrophils/macrophages (innate
219 immunity), to be highly expressed in acute-like patients, compared to recovering-like patients
220 and healthy individuals (Figure 3A). On the other hand, *OAS2* and *IL16* associated with T cells
221 (adaptive immunity) and inhibition of viral replication were highly expressed in recovering-like
222 patients and healthy individuals (Figure 3B). These results suggest that PBMC from acute-like
223 patients may be associated with the activity of innate immunity, whereas PBMC from
224 recovering-like patients may be associated with an adaptive immune profile.

225 **Enrichment of TNF-alpha, IL6 and hypoxia-related pathways in PBMC of coronavirus**
226 **patients**

227

228 Based on the expression of key genes, including *TNF*, we next set out to investigate the
229 functional implications of the CoV-Up-signature. To do so, we performed enrichment analysis
230 using the CoV-Up-genes and MSigDB's Hallmarks database (Figure 3C). This revealed
231 multiple highly ranked pathways involved in cytokine storm and acute infection including TNF
232 signalling, IL6 (IL6-JAK-STAT3) and IL2 (IL2-STAT5) signaling, inflammatory response, and
233 KRAS/MTOR and late responses to estrogen pathways (Figure 3C). This is consistent with
234 clinical manifestations including observations of high IL6 levels in COVID-19 patients(31).

235

236 Interestingly, outside of the inflammatory response, multiple pathways related to hypoxia,
237 angiogenesis and oxygen transport (heme/iron) were also implicated, consistent with the
238 oxygen limitation(32) experienced during coronavirus infection (Figure 3C). Of particular
239 interest was the enrichment of complement and coagulation pathways which may explain the
240 high frequency of embolisms observed in COVID-19 patients(33) and may represent one of
241 the key pathological mechanisms of the virus. Enrichment of the apoptotic pathway may have
242 relevance to cell death of lymphocytes as suggested elsewhere(25). These enriched pathways
243 and processes may be linked together and associated with COVID-19 infection in these
244 patients.

245

246 **Potential role for a network of related pathways representing cytokine storm and innate**
247 **immune changes in PBMC of coronavirus-infected patients**

248

249 Given that different pathways were enriched in infected patients, next, we interrogated how
250 these pathways are linked together to convey a network of processes or changes at the
251 cellular level. Hence, we used the REACTOME pathway database(34) to connect different but
252 related pathways that were enriched in infected patients using network analysis. Two evident
253 and distinct networks were: a) interleukins and cytokine signaling (potentially representing
254 cytokine storm), and b) neutrophils and innate immunity showed significant enrichment using
255 CoV-Up-gene signatures (Figure 4A). Nevertheless, we observed an increased enrichment of
256 a unique network linking granulopoiesis, megakaryocyte differentiation, and platelet activation
257 (Figure 4A). This may be linked to coagulation system that can activate the innate immune
258 system (e.g. monocytes/macrophages) to produce TNF (35). Nevertheless, this requires
259 further understanding. These clearly show innate immune system activation with potential
260 cytokine storm in coronavirus patients.

261

262 Network analysis using Kyoto encyclopedia of genes and genomes (KEGG)-based revealed
263 an extensive network of pathways of different infections, including *Helicobacter pylori* and
264 leishmania (Figure 4B). Interestingly, there was an enrichment of systemic lupus
265 erythematosus (SLE), which is a chronic disease associated with inflammation in connective
266 tissue and affects multiple organs, including the blood-forming system. While SLE patients
267 have been reported to be more vulnerable to COVID-19, clinical validation, and understanding
268 at the systemic level is needed to know whether COVID-19 (36) symptoms may be associated
269 with SLE. A more relevant and well-known individual pathway associated with this disease is
270 the renin-angiotensin system associated with *ACE2* function (Figure 4B). There is also an
271 enrichment of RIG-I-like receptor signaling pathway representing potential anti-viral event
272 through pathogen-associated molecular patterns (37). Multiple chemokine/cytokine and
273 metabolism pathways were enriched as a part of the CoV-Up-gene signatures in the KEGG
274 database (Figure 4B).

275

276 **Significant changes in subcellular regulatory networks associated with PBMC of** 277 **coronavirus-infected patients**

278

279 While these genes to network processes provide information related to coronavirus infection,
280 we were interested in investigating the next level in the hierarchy and the potential subcellular
281 interaction networks that may inform viral interaction within host cells. Interestingly, cell-cell
282 adhesion processes, secretory granules, vesicles, and exosomes spanning plasma
283 membrane and lipid complexes and cytoplasm were enriched in CoV-Up-gene signatures
284 (Figure 4C), suggesting that this may indicate the viral infection of monocytes that may be
285 associated with increased *ACE2* expression (Figure 2A). Furthermore, these were associated
286 with enrichment of fatty acid synthase complex that is known to be involved in the plasma
287 membrane and vesicle formation (38) (Figure 4C). The data also suggests potential interaction
288 of the virus with host immune cells through cell-cell adhesion processes, which requires further
289 investigation.

290

291 Nonetheless, the host-specific subcellular changes in PBMC are also evident from this
292 analysis. An increased replication and proliferation of potential host cells, mainly involving the
293 innate immune system, may be evident based on the enrichment of genes associated with
294 DNA polymerase processivity factor and proliferating cell nuclear antigen (PCNA) complex.
295 Also, the production of immunoglobulin (IgG) complexes along with NFkB complex is higher in
296 these patient gene signatures, again, representing increased immune responses. At the same
297 time, the host's potential responses to death signals associated with BCL2 complex are also
298 enriched in this analysis. Again, this potentially represents lymphocyte apoptosis in connection

299 with an enriched apoptotic pathway in Figure 3C. Neutrophil specific S100A8/A9 complexes
300 are also enriched (Figure 4C). Overall, these results suggest PBMC-based subcellular level
301 changes associated with the viral integration in immune cells and associated pathophysiology.
302

303 **Recovery from coronavirus infection is associated with increased cytolytic activity and** 304 **IFN- γ but not increased B-cell levels**

305

306 In order to gain insight into the cellular dynamics of the SARS-CoV-2 immune response, we
307 calculated immune signature scores from Rooney *et al.*(24) (see *Methods*). In this case, we
308 separated the coronavirus patient samples into those with acute-like or recovering-like disease
309 and compared these with healthy control samples. As expected, we observed that the innate
310 immune system involving macrophages and neutrophils was highly active in the acute-like
311 patients, suggesting that they were the first to encounter the coronavirus. with these
312 decreasing in recovering patients (Figure 5A).

313

314 Perhaps most interestingly, there was a significant increase in NK cells, cytolytic activity, and
315 plasmacytoid dendritic cells (pDCs) in recovering-like patients compared to acute-like patients
316 (Figure 5A). It is noteworthy that the absolute levels of $CD8^+$ T cells and co-stimulating helper
317 T cells are not different between recovering-like and acute-like patients (Figure 5A). This result
318 suggests that the $CD8^+$ T cells are potentially activated (cytolytic) in the recovering patients.
319 These results from SARS patients were confirmed using PBMC from COVID-19 samples
320 (Figure 5B). There were no differences in B cells between both types of patients and healthy
321 individuals. Intriguingly, there was an increase in interferon (IFN)- γ type-II genes only in the
322 recovering-like patients. These genes were low in both acute-like patients and healthy
323 volunteers (Figure 5A). These results suggest that T-cell responses may be pivotal in
324 successful response to SARS-CoV-2 infection, consistent with the recent study by Grifoni *et al.*
325 *al.* which found SARS-CoV-2 reactive T cells in 70% of convalescent COVID-19 patients.

326

327 Next, we examined the differential expression of major histocompatibility complex (MHC)
328 class-I and class-II HLA that may reflect antigen presentation to and/or activation of
329 $CD4^+/CD8^+$ T cells, and whose levels are increased by IFN- γ (Figure 5C). Among the MHC
330 class-II HLA genes, there were four of them that were lower in acute-like patients compared
331 to recovering-like and/or healthy individuals (borderline significance with nominal $p < 0.1$ due
332 to low sample size). While most of the MHC class-II HLA genes showed no difference in
333 expression between the acute-like and recovering-like patients, *HLA-DQA1* showed an
334 increasing trend in the recovering-like patients towards the healthy individuals and low level

335 in acute-like patients. Similarly, *HLA-F* is the only MHC class I HLA gene that showed a trend
336 akin to *HLA-DQA1* (Figure 5C). On the other hand, there was no change in the antigen
337 processing machinery (data not shown). However, all these speculations from limited data
338 warrant further validation, and their functional immunological significance is currently unclear.

339

340 To confirm these analyses of immunologic composition, we performed two additional
341 independent analyses. Hypergeometric test enrichment analysis of MSigDB's C7 immune
342 signatures showed similar conclusions that innate immunity and myeloid (neutrophils and
343 macrophages) cells are upregulated in acute-like patients, whereas adaptive immunity is
344 downregulated in these patients (Figure 5D). Similarly, analysis using the BioGPS database
345 – gene sets(39) demonstrated an increased enrichment of $CD33^+$ myeloid and $CD14^+$
346 monocytes associated with upregulated genes in our CoV expression signature while $CD8^+$
347 and $CD4^+$ T cells showed up along with enrichment of $CD56^+$ NK cells and $CD19^+$ B in the
348 downregulated fraction (Figure 6A-B). Compared to healthy individuals, these cells are under-
349 represented in CoV-Up-genes or lower in coronavirus-infected patients (Figure 6B).

350

351

352 **Coronavirus-infected patients' PBMC reveals genes that overlap with known disease** 353 **symptoms**

354

355 Based on Supplementary Figure 3, we reasoned that the disease symptoms from coronavirus
356 infection may be similar to other diseases. To study this, we applied enrichment analysis to
357 study the overlap of genes between CoV-Up-gene signature and other disease symptoms.
358 We found that CoV-Up-gene signatures was enriched for various diseases, including
359 septicaemia, pneumonia, lung disease, arthritis, cystic fibrosis, thalassemia, pre-eclampsia,
360 bacterial infections, asthma, acute coronary syndrome and others (Figure 7A). The overlap of
361 CoV-Up-gene signatures and those genes from selected diseases - septicaemia, pneumonia,
362 lung diseases, arthritis, and cystic fibrosis are shown in Figure 7B. These results suggest that
363 the disease symptoms due to coronavirus may be complex and highly variable and may affect
364 patients with pre-existing disease conditions as recently reported (7). Further refinement of
365 COVID-engine may help to identify hidden/silent pre-existing symptoms and develop an
366 effective personalized COVID-19 treatment strategy using PBMC.

367

368

369

370

371

372 Discussion

373

374 The clinical course of COVID-19 patients remains enigmatic, and no treatment options exist
375 with proven efficacy(40). The variety of clinical presentations of this disease has alarmed
376 healthcare providers across the globe. The rampant spread of COVID-19 during asymptomatic
377 stages is attributed to the high SARS-CoV-2 shedding in the upper respiratory tract(41). We
378 reasoned that the blood, in real-time, provides changes occurring in immune and other cells
379 and potentially infected tissues in PBMC, thereby acting as a potential remote biosensor of
380 highly complex system-wide changes. There is no systematic study performed to our
381 knowledge that attempts to use PBMC samples to understand the system-wide changes along
382 with the disease symptoms in COVID-19 patients. This type of study will have the strength to
383 distinguish systemic changes during acute and recovering stages of the patient's infection.
384 This, in the future with further refinement and validation, may support the development of
385 personalized prognostic biomarkers and may provide the opportunity to save patients who are
386 most likely to die of the disease.

387

388 In this study, we performed a comprehensive analysis using publicly available blood cell RNA
389 profiles from SARS and COVID-19 patients and cross-validated with patients with other
390 infections or healthy individuals. Using IS/ML approaches in our COVID-engine, we were able
391 to develop a blood cell RNA profile-based gene signatures that are differentially expressed at
392 different stages of infection (acute vs. recovering). In addition, our COVID-engine provided
393 end-to-end hierarchical and comprehensive analysis describing infection-associated changes
394 in genes, pathways, networks, subcellular, and cellular, covering almost the whole system.
395 This 'integrated' analysis can help understand which other disease-related symptoms could
396 manifest in COVID-19 patients.

397

398 Our results represent that the innate immune system associated with increased neutrophils,
399 macrophages, and monocytes with potential cytokine storm (including the expression of *IL6*,
400 *IL8* (*CXCL8*) and *CCL13*) is high in CoV-Up-gene signatures and specifically in acute-like
401 patients. Macrophages and monocytes are known to serve as factories for viral replication in
402 other disease conditions (29). These changes in immune cells may also be connected to
403 increased neutrophil counts in these patients (42). The cytokine storm-related to innate
404 immune changes may be linked to changes in angiogenesis and coagulation, suggesting a
405 potential relationship between inflammation, thromboembolism(43), and coagulation(44).
406 While there is no change in overall *CD8⁺* T cell population between patients in their acute-like
407 vs. recovering-like stages, the change in cytolytic activity, pDCs, and NK cells suggests that
408 the adaptive immunity is a late event represented in patients recuperating from this disease

409 as represented in multiple reports(45). Congruently, this is associated with lower innate
410 immunity in recovering-like patients than acute-like patients and associated with increased
411 expression of anti-viral genes *OAS2* and *IL16*.

412

413 Similarly, the high *HLA-F* gene, which is known to be associated with the interaction between
414 CD4 T cells and NK cells to inactivate human immunodeficiency virus (HIV)(46), in recovering-
415 like patients suggests the anti-viral effect in these individuals. Remarkably, this supposedly
416 anti-viral CD4 T and NK cells along with B cells, are low in COVID-19 patients and are
417 associated with low HLA-DR monocytes in these patients with severe respiratory failure (31,
418 47, 48). This report corroborates with our results that *HLA-DRA* gene and all the above three
419 cell types are low in acute-like patients. Specifically, B-cell-based adaptive immunity seems
420 to vary among patients and mostly low in COVID-19 patients with severe respiratory failure
421 (31, 49). Our data suggest that this may impact the development of effective vaccines for this
422 infection. In addition, CoV-Up-gene signatures was high in methicillin-resistant
423 *Staphylococcus aureus* (Supplementary Figure 2), which, similar to COVID-19, colonizes
424 upper respiratory track and causes pneumonia. It is interesting to note that there is no vaccine
425 for *S. aureus* infection (50). While there are more changes in T cells than B cells, it may be
426 interesting to consider T cell therapy for COVID-19 patients (51). In our study, there are
427 disease links and potential comorbidities (Figure 7) that has evidence from recent reports (7).

428

429 There are a number of questions that arose from this study that could be of relevance in
430 tackling the current pandemic. How does coronavirus infection downregulate the adaptive
431 immune system? Is the dysregulation of the immune system described causally linked with
432 clinical outcomes? Does the dysregulated immune system alert the body to respond, and
433 how? The dysregulated immune system could alert the host response to produce an active
434 adaptive immune response, which typically takes 10-14 days. Our findings may suggest that
435 individuals with activated, appropriate immune responses, especially with increased INF- γ
436 type-II responses and cytolytic activity, which may also serve as biomarkers with further
437 validation, maybe on their way to recovery from symptoms. Moreover, those patients'
438 incapable of such progression may have multiorgan failures that maybe represented in our
439 data.

440

441 Although our study may be timely for the current pandemic, there are limitations. We have
442 performed analysis using publicly available small number of coronavirus-based training (n=10)
443 and test (n=47) samples from less annotated datasets and limited clinical data, which may be
444 appreciated provided the current global lock-down scenario. Also, the acute-like and
445 recovering-like patients may overlap with the symptomatic and asymptomatic patients,

446 respectively, described in the original publication from where the training dataset was
447 derived(16). Further validation was curtailed due to lack of associated clinical data, which is
448 difficult to obtain in the current scenario.

449

450 In conclusion, PBMC has information related to infection status, immune states, disease
451 aggression, severity, and disease symptoms that are likely going to be manifested due to
452 coronavirus infection and COVID-19 disease (Figure 8).

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461 **Contributions**

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463 AS conceived the idea, developed the concept, collected the data, developed the IS/ML
464 pipeline, interpreted the results, performed the experiments, supervised the project and wrote
465 the manuscript. NK co-developed the concept, interpreted the results, co-supervised the
466 project and wrote the manuscript. SD and CPE interpreted certain analysis. TB and KR
467 assisted to further develop the immune analysis and interpreting the data. AM and DK critically
468 interpreted the results and assisted in writing the manuscript.

469

470 **Conflict of Interest**

471

472 None of the authors have any conflict of interests with respect to the current study. AS serves
473 as a private consultant to develop biomarkers for a different immune-disorder related disease
474 for a company.

475

476 **Acknowledgements**

477 We thank Dr. Krishna Desai and Ms. Aasia Hussain for critically reading the manuscript.

478

479 **Funding**

480 There is no funding to declare.

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482

483 Figure legends

484 **Figure 1. COVID-engine and PBMC-based gene signatures show association with SARS**
485 **and COVID-19.** Schematic showing the identification of PBMC RNA gene signatures genes
486 associated with disease staging, and hierarchical modeling of genes, pathways, networks,
487 sub-cellular contents, cells, and disease symptoms using COVID-engine (A). This figure was
488 prepared using Servier Medical Art (<https://smart.servier.com>) under a Creative Commons
489 Attribution 3.0 Unported License (<http://creativecommons.org/licenses/by/3.0/>). Heatmap
490 showing 290 gene signatures indicator genes in 10 SARS patients and 4 healthy individuals.
491 Both CoV-Up-gene signatures (169 genes) and CoV-Down-gene signatures (121 genes) are
492 shown (B). CoV-Up-gene signatures scores (C) and CoV-Down-gene signatures scores (D)
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502

503 **Figure 2. Significantly represented key genes at different stages of coronavirus**
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505 related *LDHA* (D) and *LDHB* (E) in acute-like and recovering-like SARS patients and healthy
506 individuals. Kruskal-Wallis statistical with nominal p values reported. ns represents not
507 significant. Multiple testing was not done due to low sample size.

508

509 **Figure 3. Highly represented signalling pathways/processes in coronavirus-infected**
510 **patients.** Expression levels of genes related to immune cell chemoattractants *CXCL8* and
511 *CCL13* (A), genes involved in T cells and suppression of viral replication *OAS2* and *IL16* (B)
512 in acute-like and recovering-like SARS patients and healthy individuals. Kruskal-Wallis
513 statistical with nominal p values reported. Multiple testing was not done due to low sample
514 size. Enrichment statistical analysis using CoV-Up-gene signatures and pathways/processes
515 based on genesets from MSigDB's Hallmarks database (C).

516

517 **Figure 4. Highly represented related networks of molecular, cellular and development**
518 **pathways show cytokine (storm) network and innate immunity in coronavirus-infected**
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521 network showing enrichment of different diseases and infection related pathways (B).
522 Enrichment statistical analysis using CoV-Up-gene signatures and pathways/processes
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524

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533

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538

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Figure 1

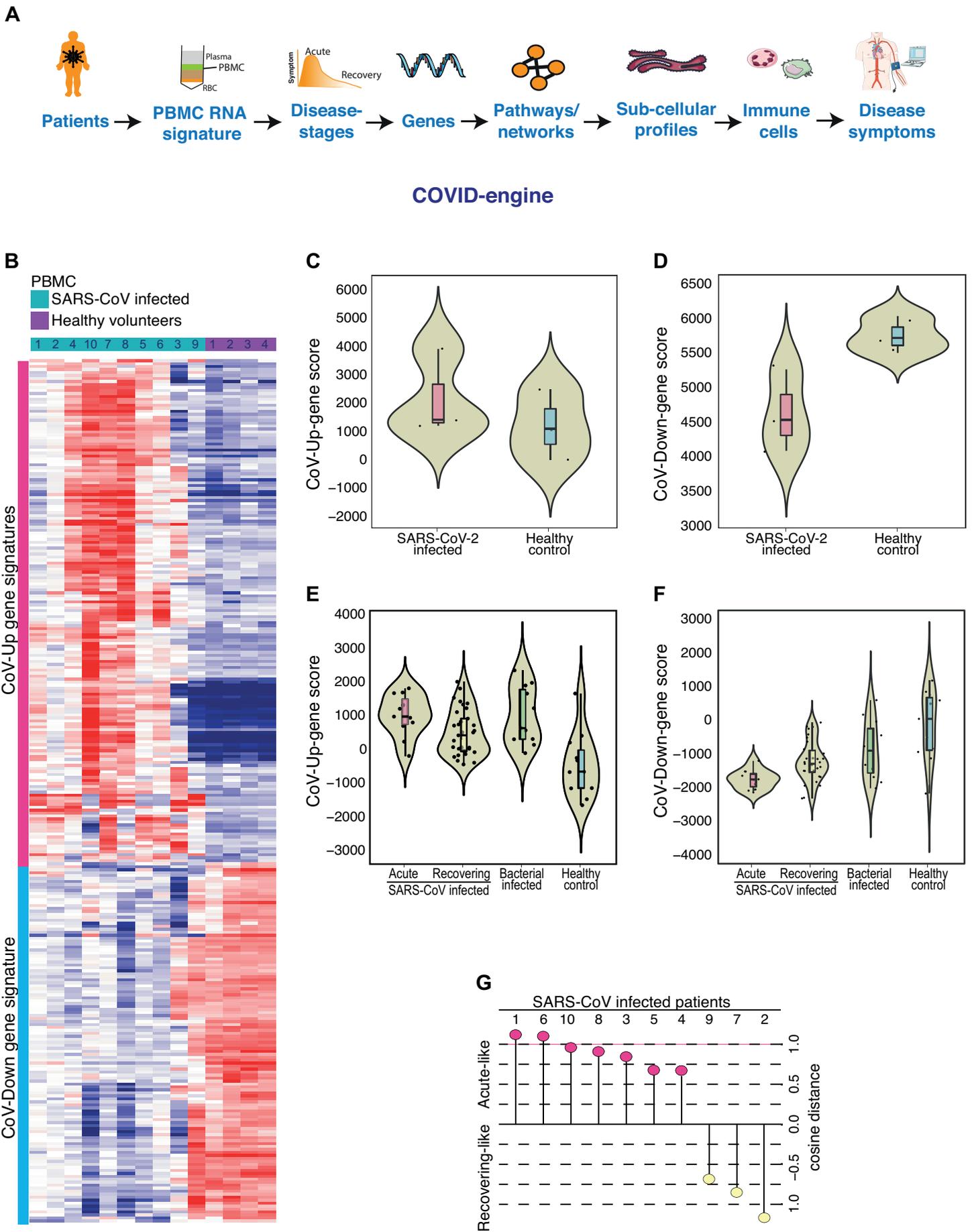


Figure 2

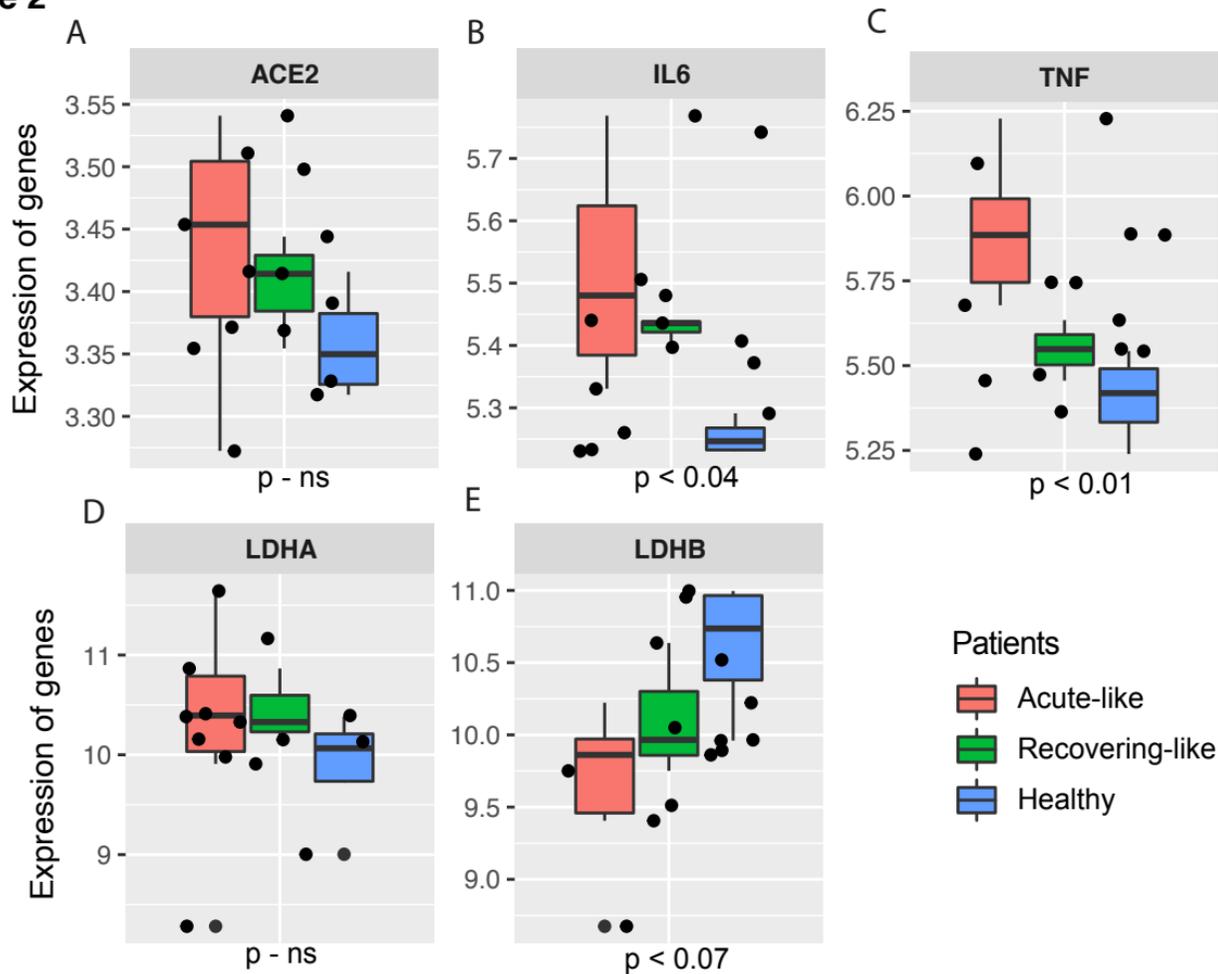


Figure 3

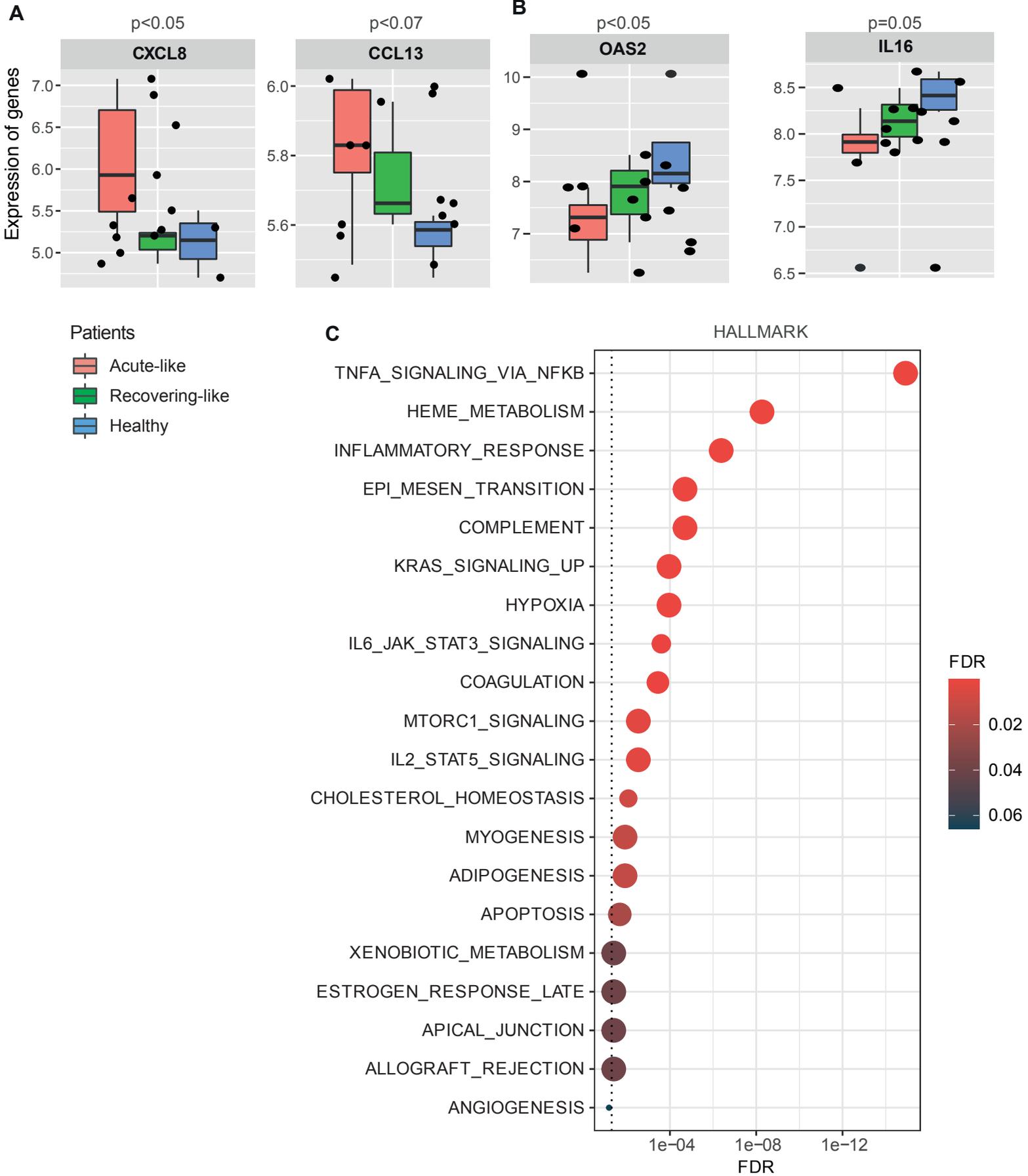
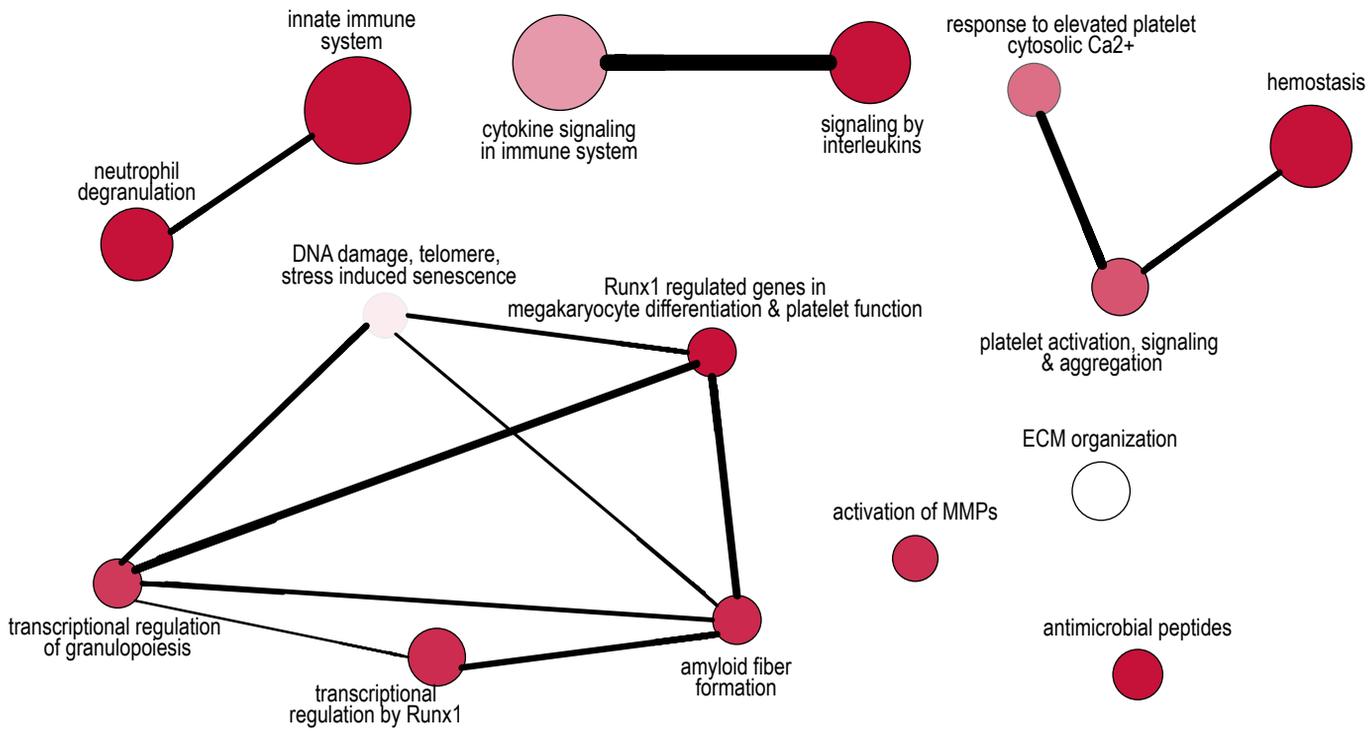
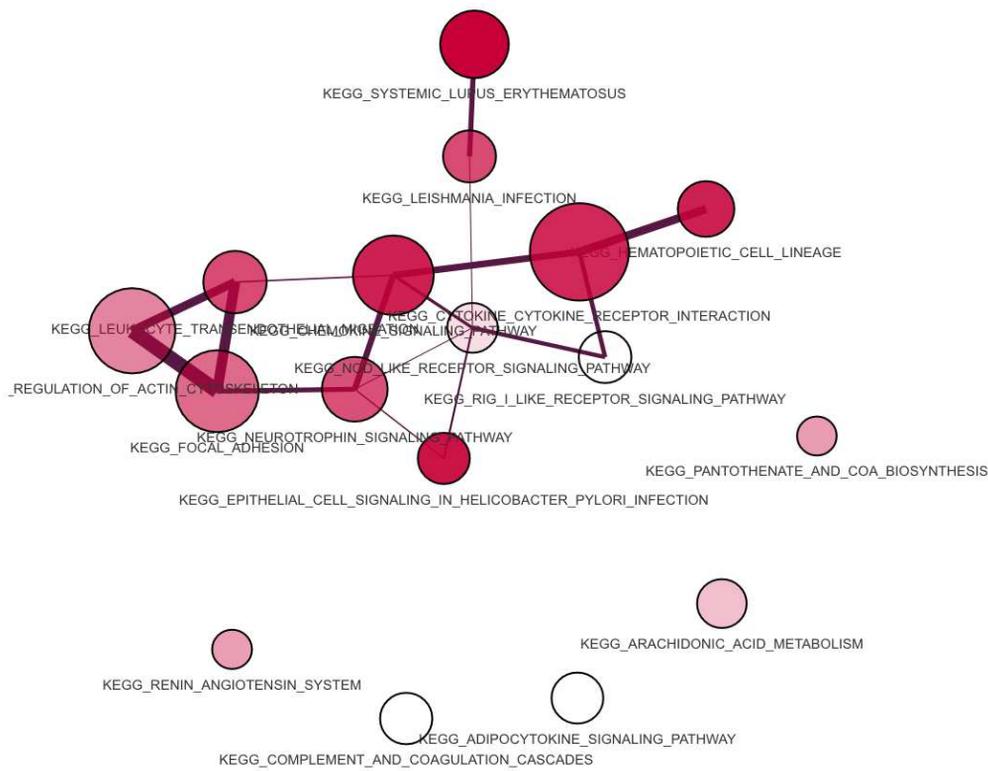


Figure 4

A



B



C

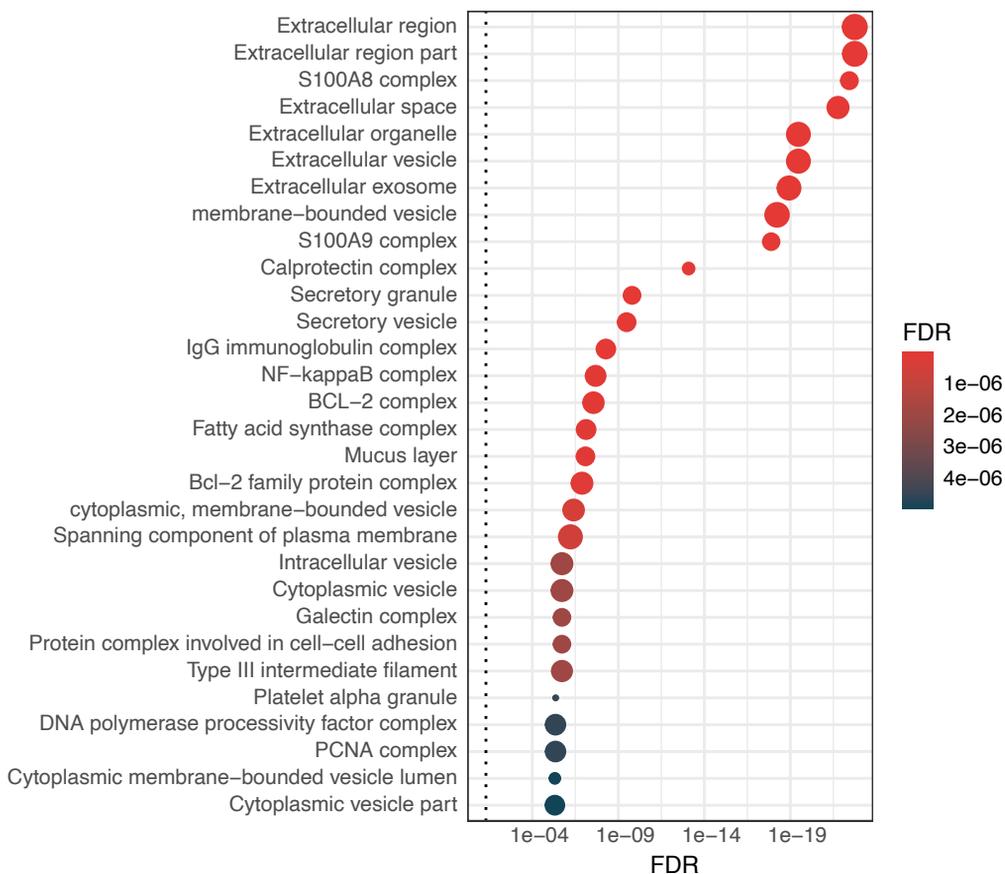


Figure 5

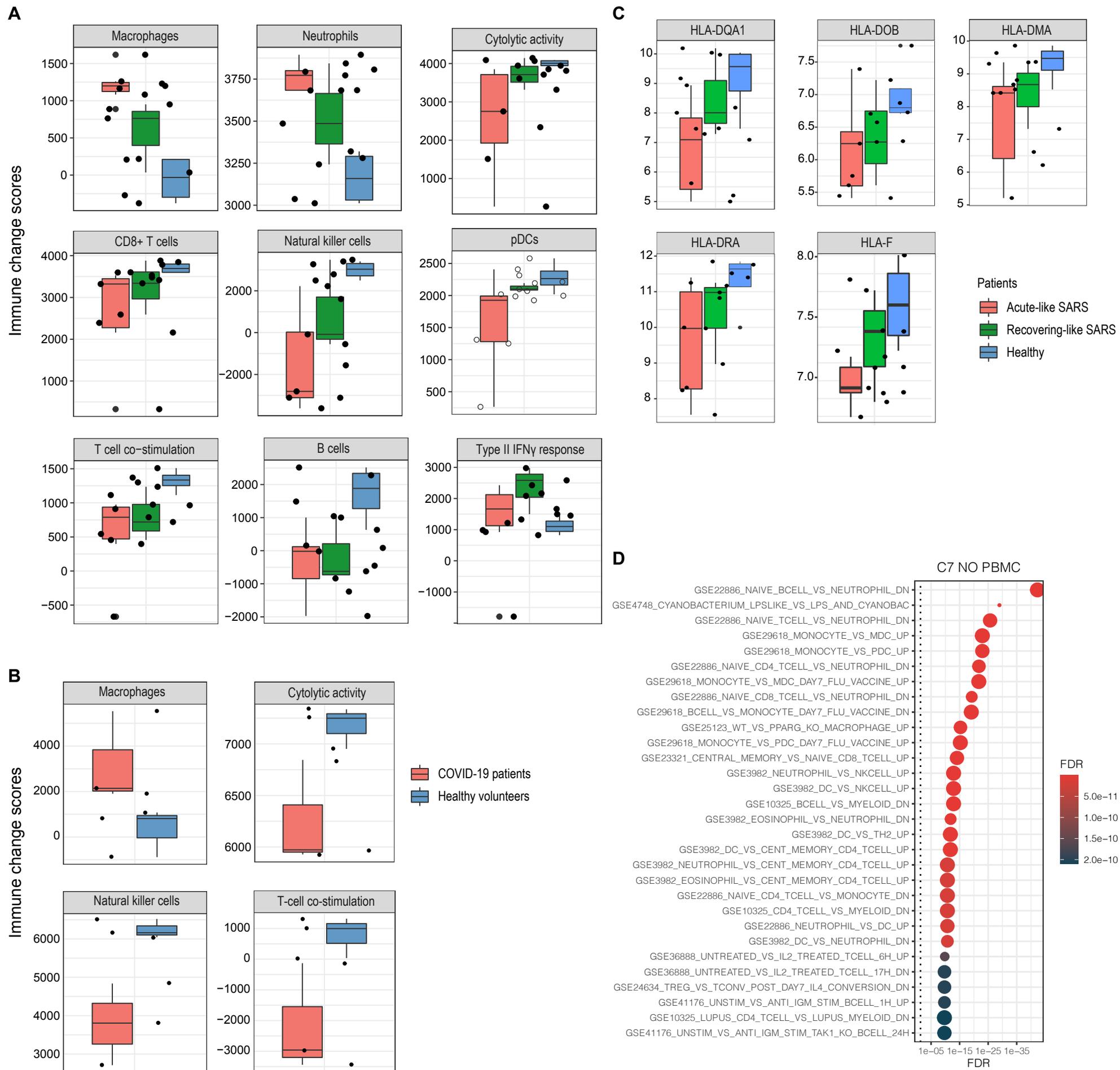
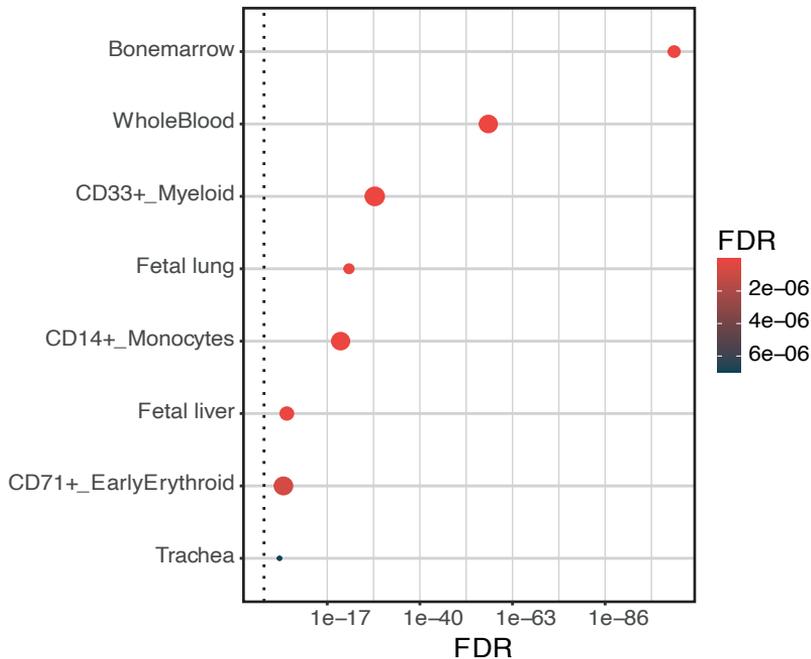


Figure 6

A



B

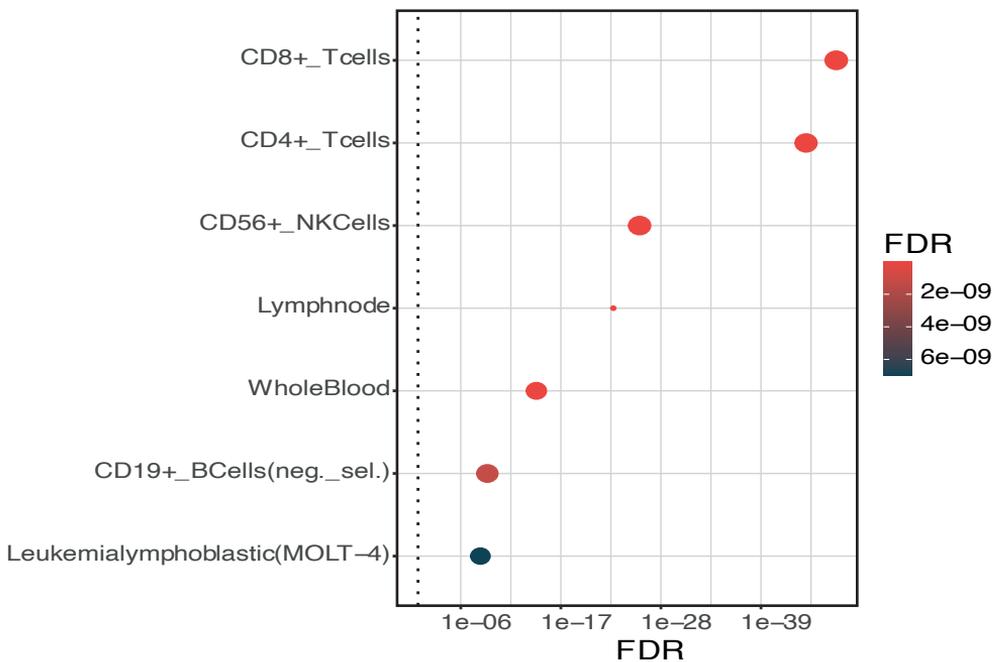
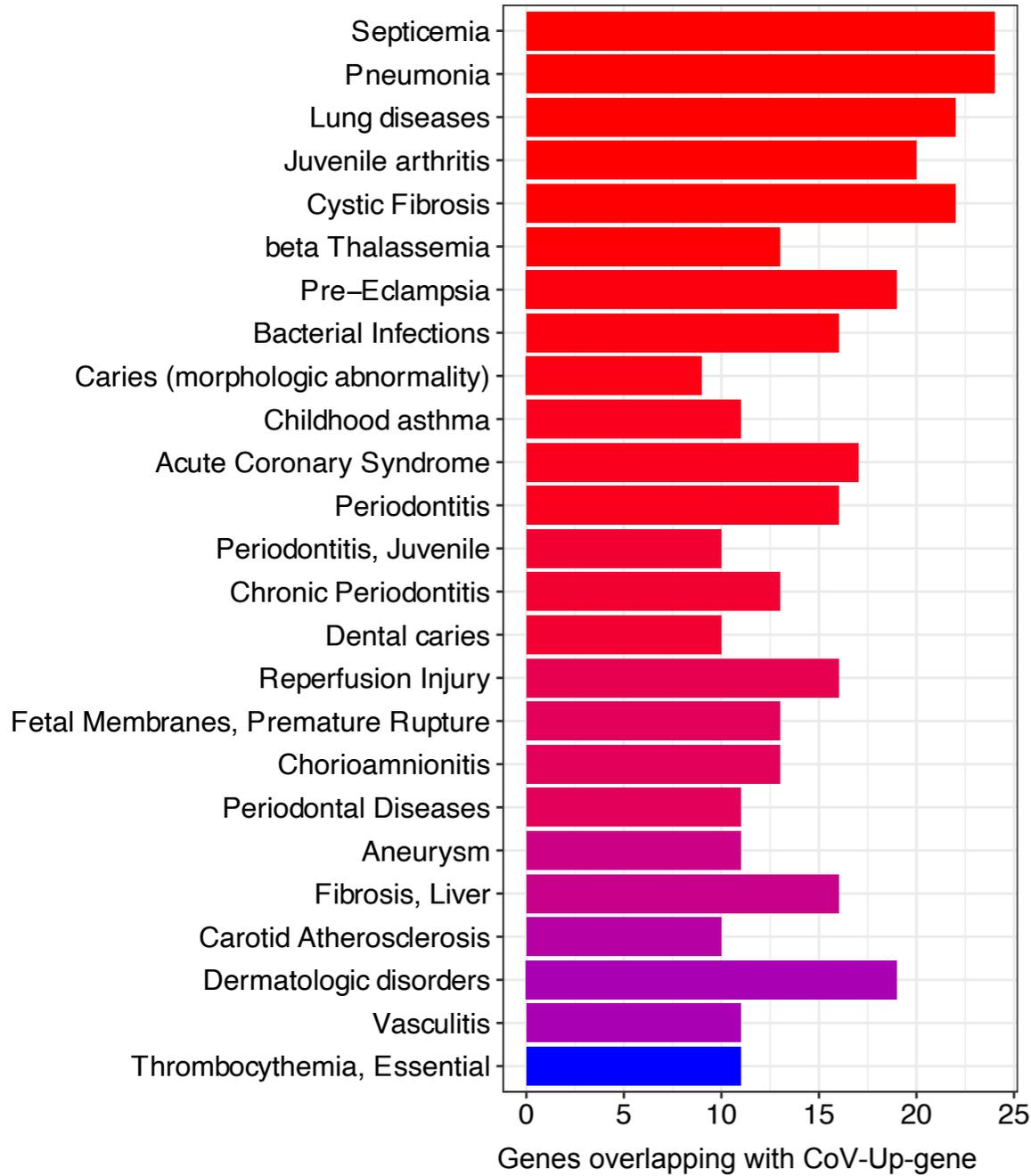


Figure 7

A



B

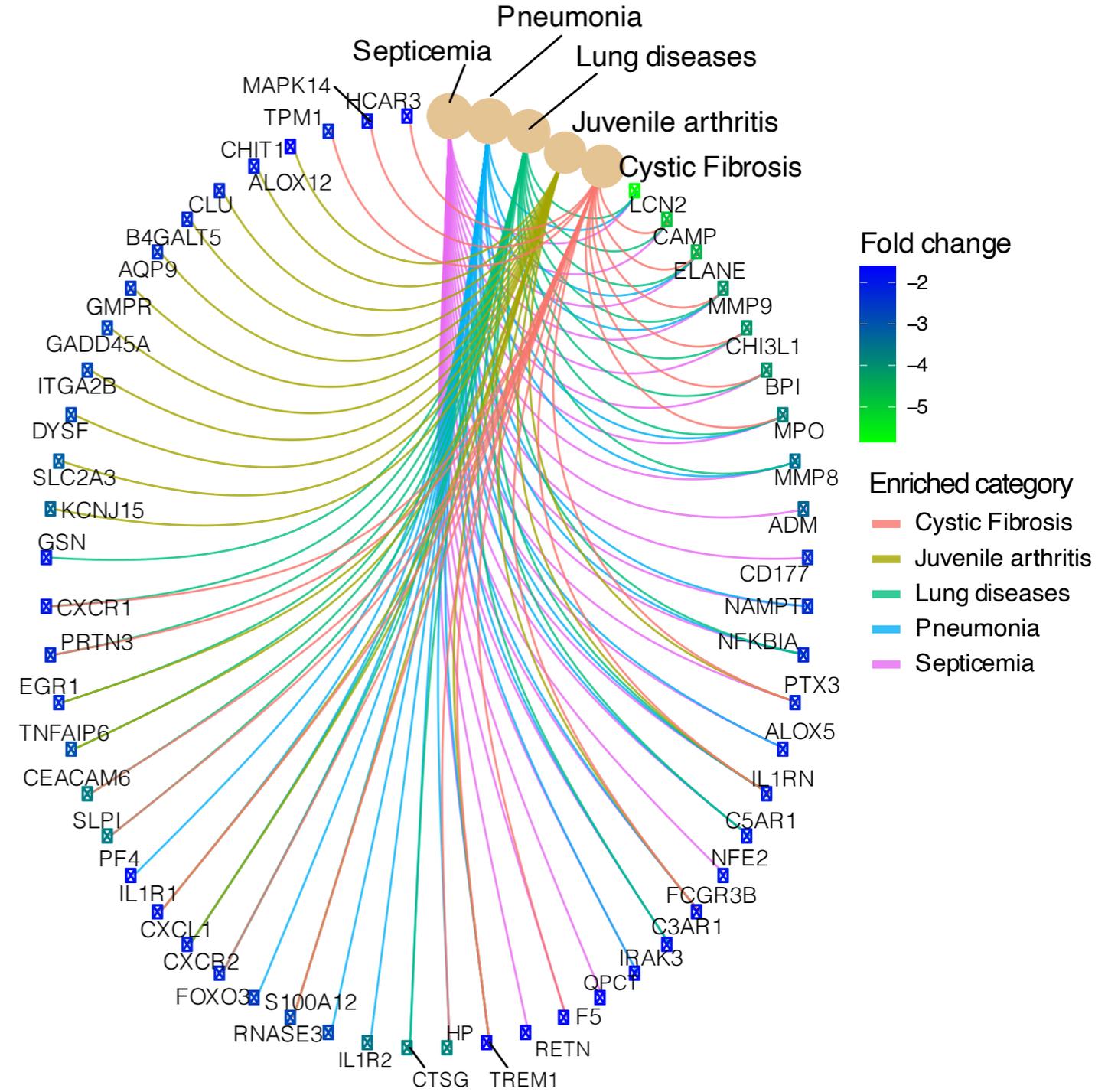
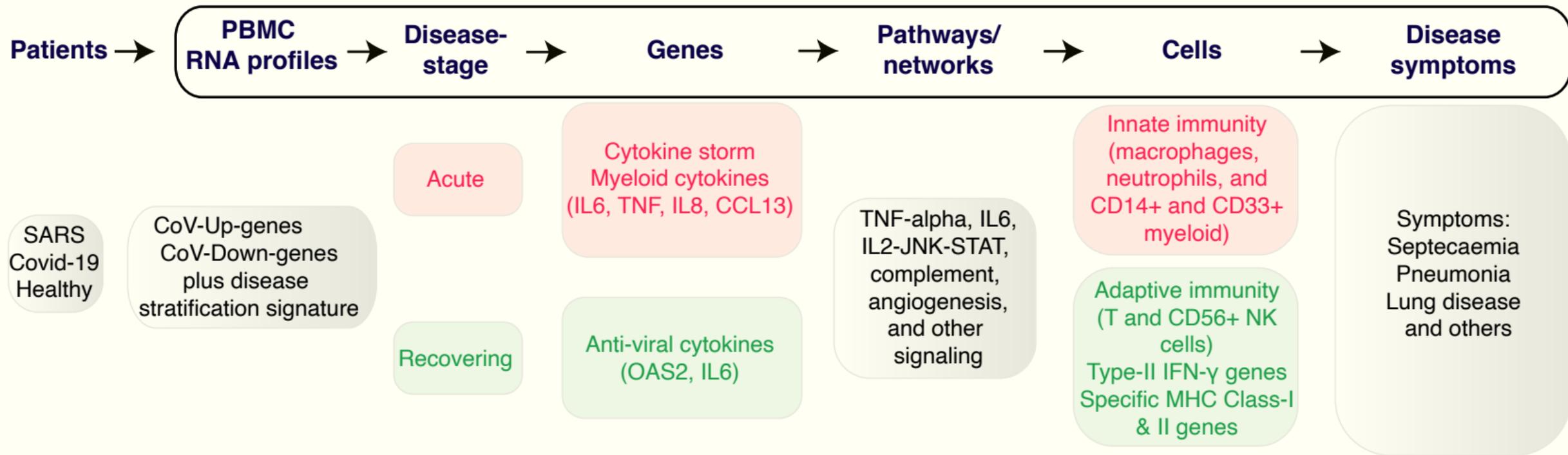


Figure 8

COVID-engine



A blood-based comprehensive and systems-level analysis of disease aggression, immune suppression and symptoms in COVID-19 patients

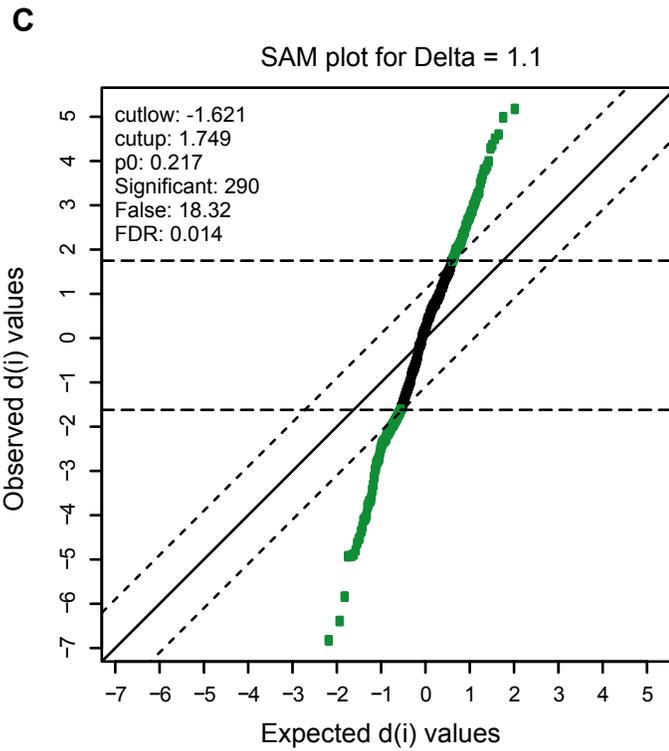
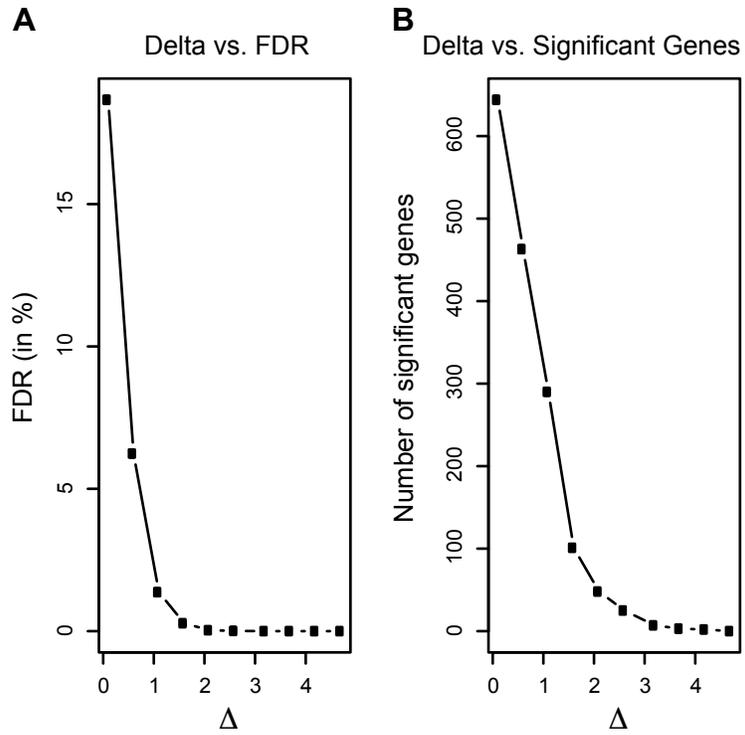
Supplementary Information

Supplementary Figure 1. SAM analysis output for CoV gene signature identification. A) Delta vs. FDR plot. B) Delta vs. Significant genes. C) SAM plot showing significant differentially expressed genes.

Supplementary Figure 2. PBMC show association with bacterial and other viral infections. CoV-Up-gene scores (A) and CoV-Down-gene scores (B) and their association with patients affected by different bacterial and viral infections and healthy individuals. Transcriptome data for 144 samples for this analysis was from Ramilo *et al*²². Kruskal-Wallis statistical with nominal $p < 0.0001$.

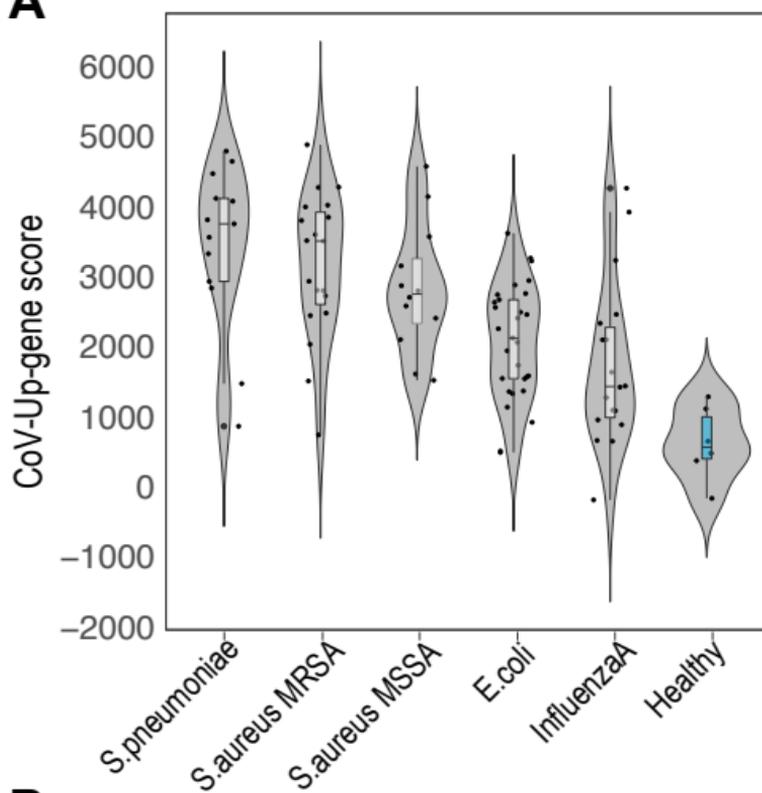
Supplementary Figure 3. Enrichment of PBMC from other diseases. A) Enrichment analysis of CoV-Up-gene signatures using MSigDB's C7 immune genesets.

Supplementary Figure-1

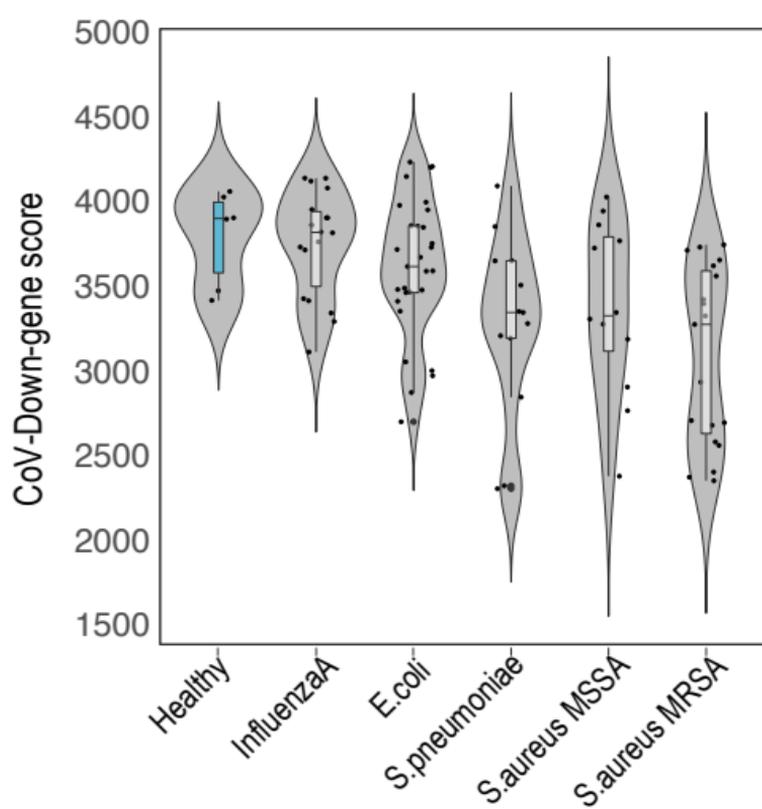


Supplementary Figure-2

A

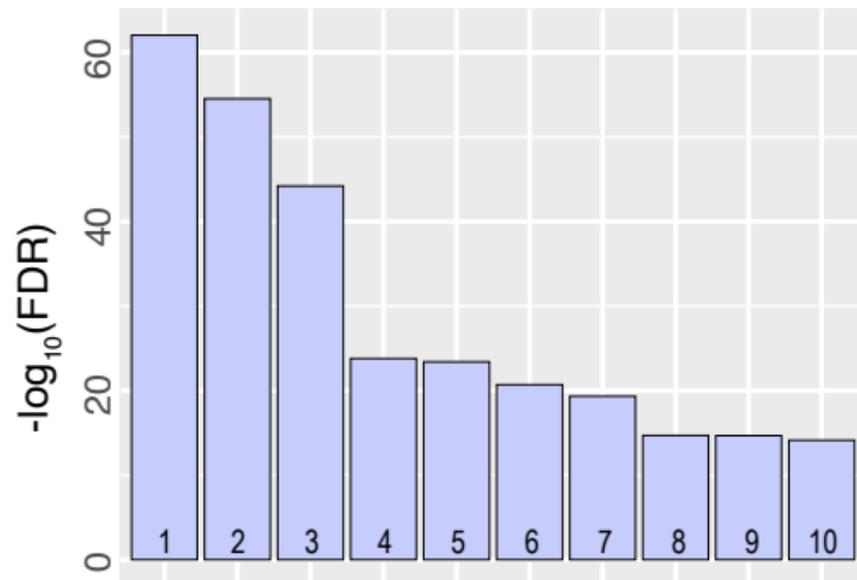


B



Supplementary Figure-3

A



- 1 GSE6269 E. coli vs Strep. pneumo infected PBMC (DOWN)
- 2 GSE34205 Healthy vs RSV infected PBMC (DOWN)
- 3 GSE6269 Healthy vs Staph. pneumo infected PBMC (DOWN)
- 4 GSE6269 Flu vs Staph. aureus infected PBMC (DOWN)
- 5 GSE9006 1month vs 4month Post-Type-1 Diabetes Dx PBMC (UP)
- 6 GSE9006 Healthy vs Type-1 Diabetes Dx PBMC (DOWN)
- 7 GSE9006 Type-1 Diabetes at Dx vs 4month post-Dx PBMC (UP)
- 8 GSE29615 Ctrl vs LAIV Flu Vaccine PBMC (UP)
- 9 GSE29615 Ctrl vs Day7 Laiv Flu Vaccine PBMC (UP)
- 10 GSE6269 E. coli vs S. aureus infected PBMC (DOWN)

Figures

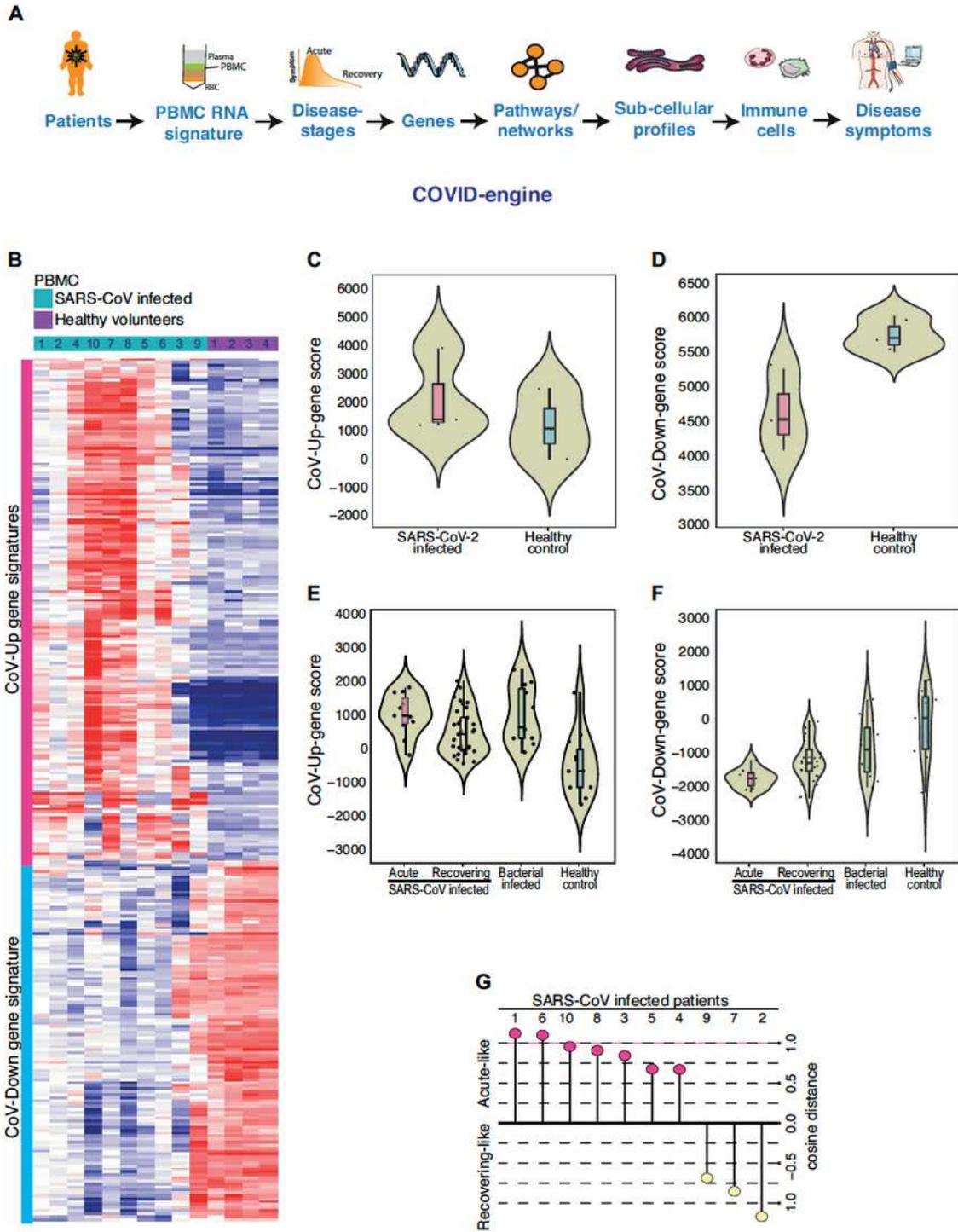


Figure 1

COVID-engine and PBMC-based gene signatures show association with SARS and COVID-19. Schematic showing the identification of PBMC RNA gene signatures genes associated with disease staging, and hierarchical modeling of genes, pathways, networks, sub-cellular contents, cells, and disease symptoms

using COVID-engine (A). This figure was prepared using Servier Medical Art (<https://smart.servier.com>) under a Creative Commons Attribution 3.0 Unported License (<http://creativecommons.org/licenses/by/3.0/>). Heatmap showing 290 gene signatures indicator genes in 10 SARS patients and 4 healthy individuals. Both CoV-Up-gene signatures (169 genes) and CoV-Down-gene signatures (121 genes) are shown (B). CoV-Up-gene signatures scores (C) and CoV-Down-gene signatures scores (D) and their association with SARS-CoV-2-infected (COVID-19; n=3) patients and healthy individuals (n=3; datasets from Raghunathan et al(16)). For C) and D) statistical significance was not considered due to low sample size. CoV-Up-gene signatures scores (E) and CoV-Down-gene signatures scores (F) and their association with acute and recovering SARS-CoV-infected patients (n=25; 44 samples), bacteria-infected patients (n=16) and healthy individuals (n=9; datasets from Lee et al(17)). Kruskal-Wallis statistical with $p < 0.001$ for E) and F). Acute vs. recovering gene signatures from Lee et al(17) predicted acute-like and recovering-like SARS-CoV-infected patients (n=10) from Raghunathan et al(16) using NTP method and cosine distance measure (G).

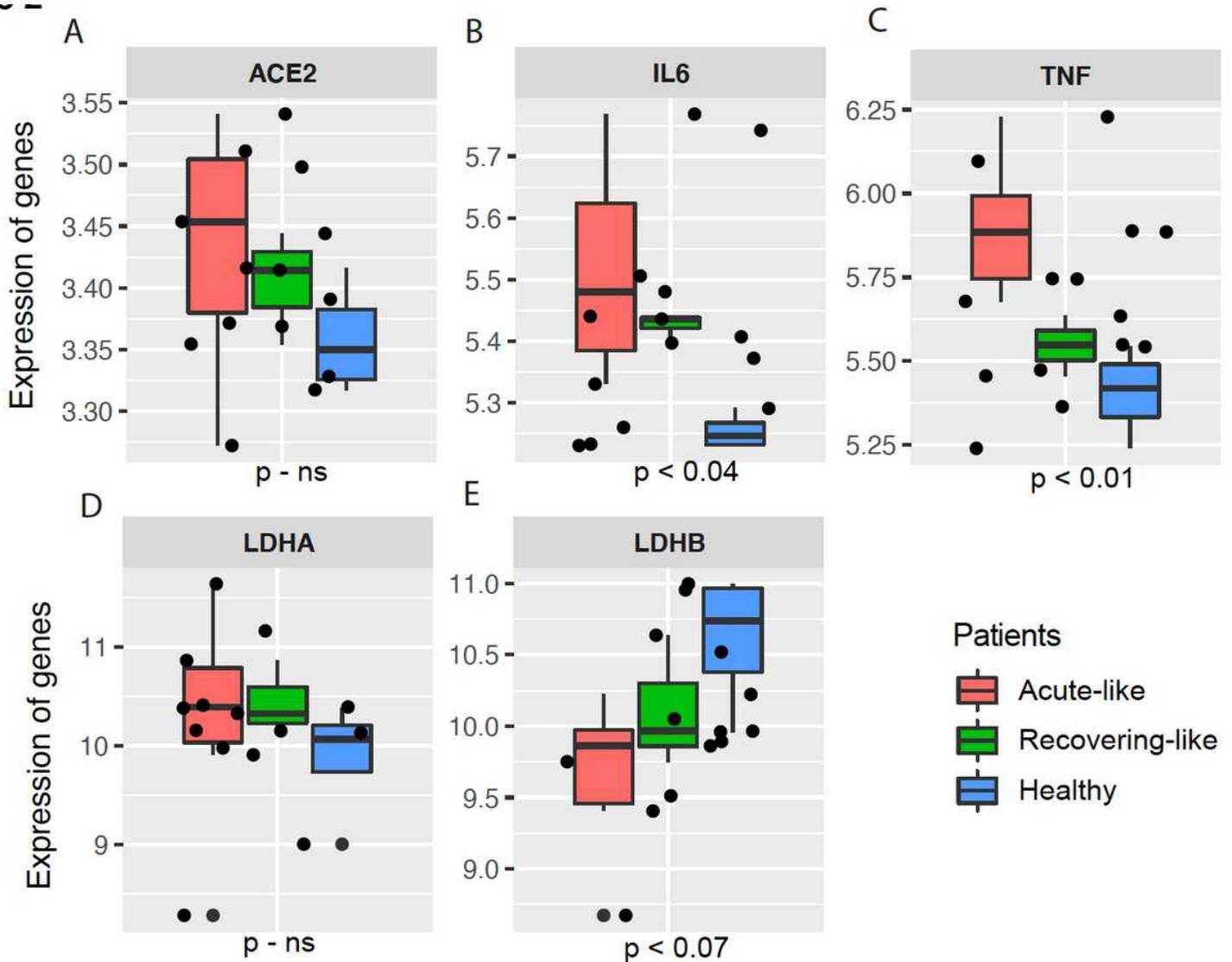


Figure 2

Significantly represented key genes at different stages of coronavirus infection. Expression levels of genes: ACE2 (A) cytokines IL6 (B), TNF (C), and hypoxia related LDHA (D) and LDHB (E) in acute-like and recovering-like SARS patients and healthy individuals. Kruskal-Wallis statistical with nominal p values reported. ns represents not significant. Multiple testing was not done due to low sample size.

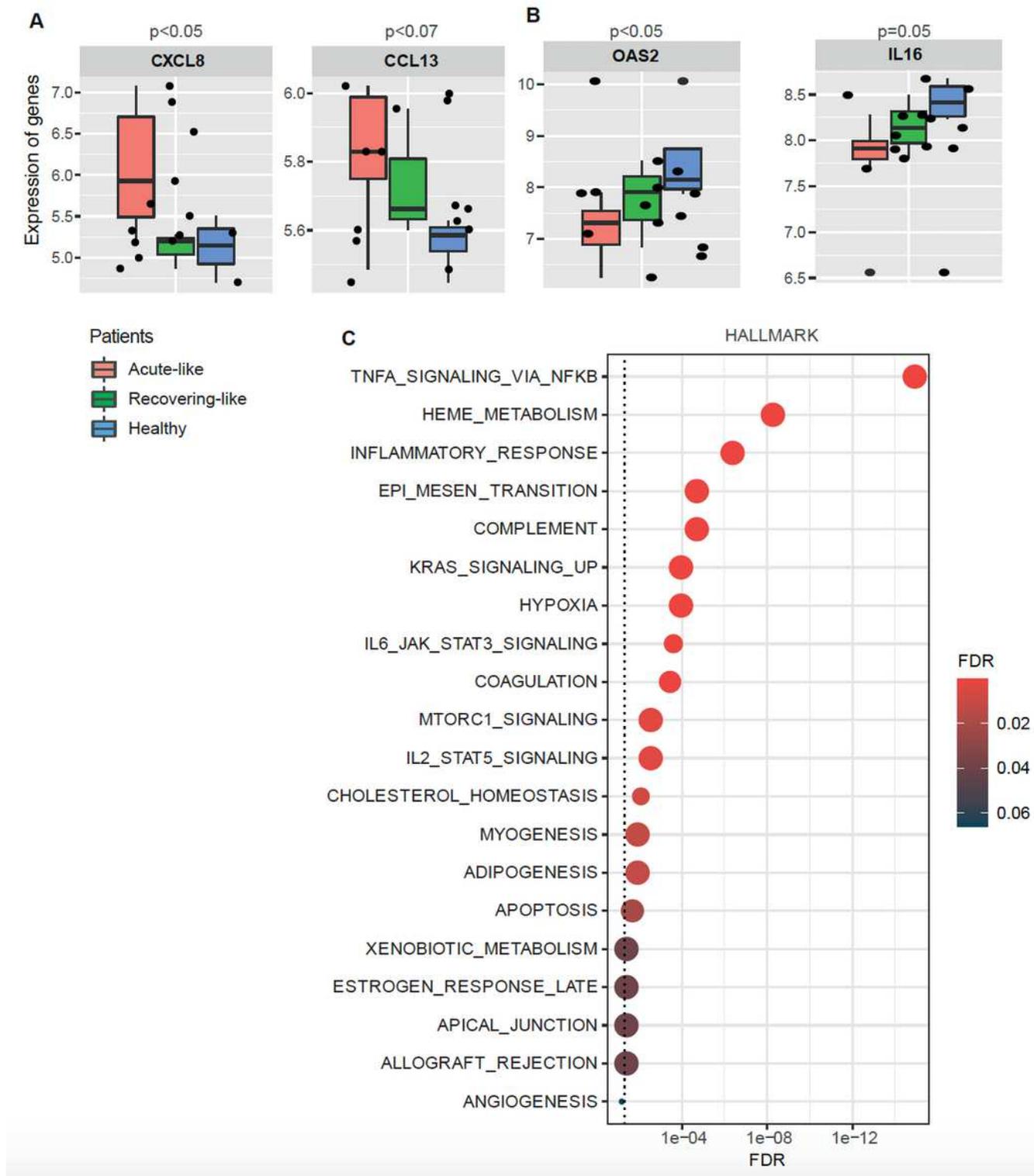


Figure 3

Highly represented related networks of molecular, cellular and development pathways show cytokine (storm) network and innate immunity in coronavirus-infected patients. REACTOME(34) database-based connection of different but related pathways that were enriched in infected patients using network plots (A). Similarly, KEGG(52) pathways network showing enrichment of different diseases and infection related pathways (B). Enrichment statistical analysis using CoV-Up-gene signatures and pathways/processes based on genesets from COMPARTMENTS database(53) (C).

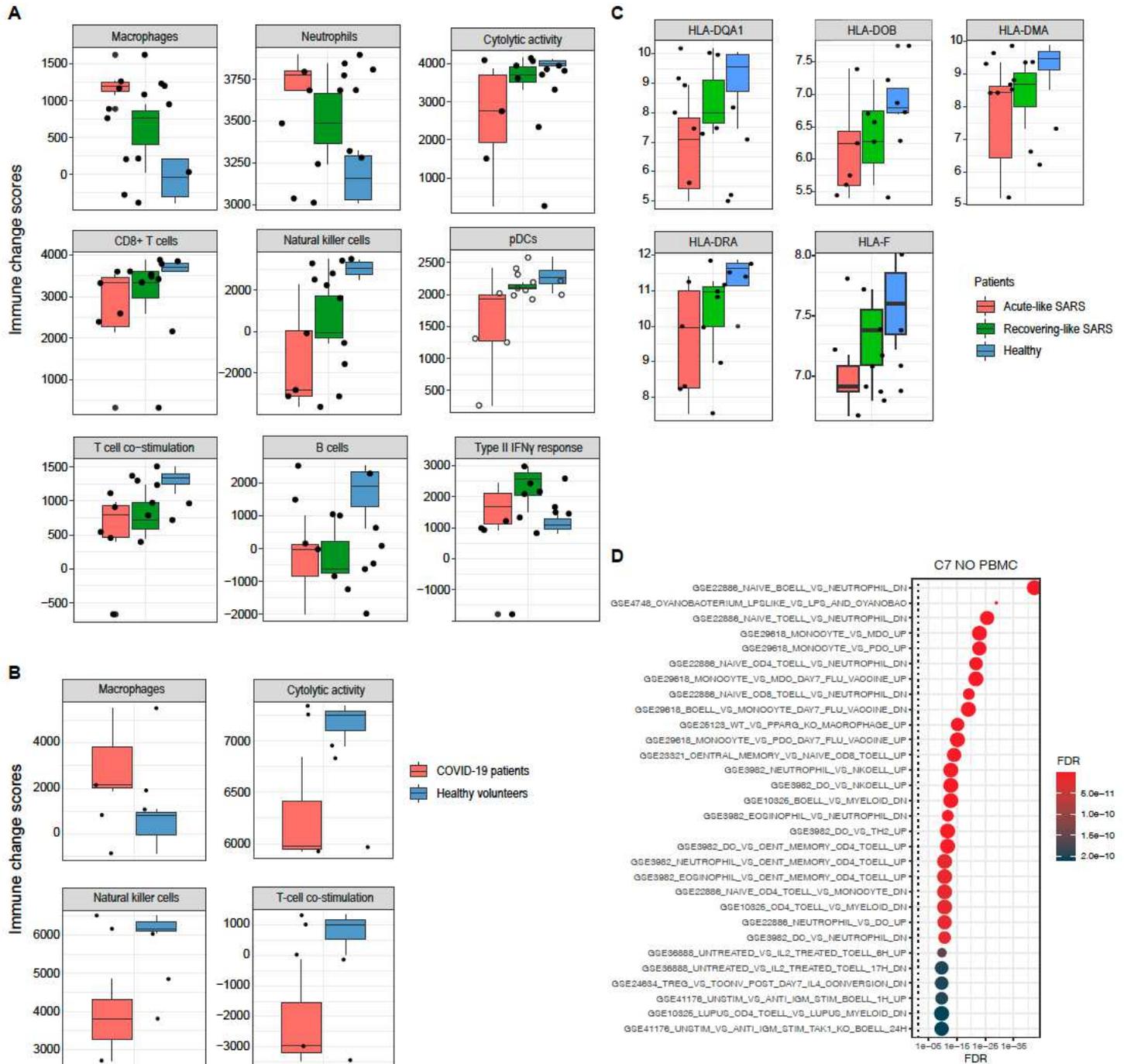
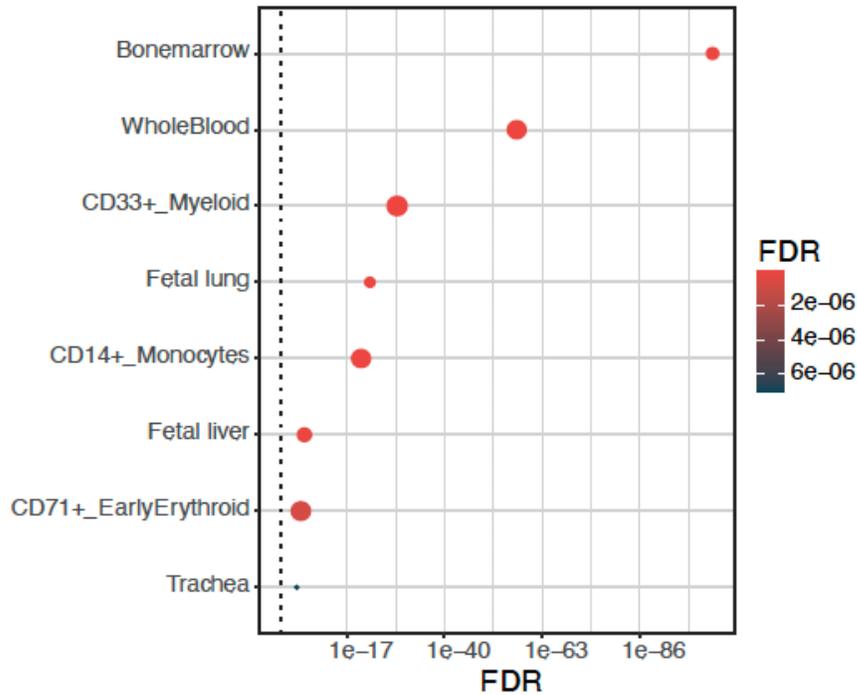


Figure 5

Immune cells, their activities and HLA types in stage-specific coronavirus-infected patients. Enrichment of immune cell scores using ssGSEA analysis and specific immune signatures from Rooney et al. representing specific immune cells and their activities in acute-like (n=7), recovering-like (n=3) SARS-CoV patients and control healthy individuals (=4) (A), and COVID-19 patients and control healthy individuals (B). HLA profiles in acute-like, recovering-like SARS patients and control healthy individuals (C). Kruskal-Wallis statistical nominal p values were significant for all reported plots. Similar enrichment analysis of CoV-Up-gene signatures signatures using MSigDB's C7 immune gensets (D).

A



B

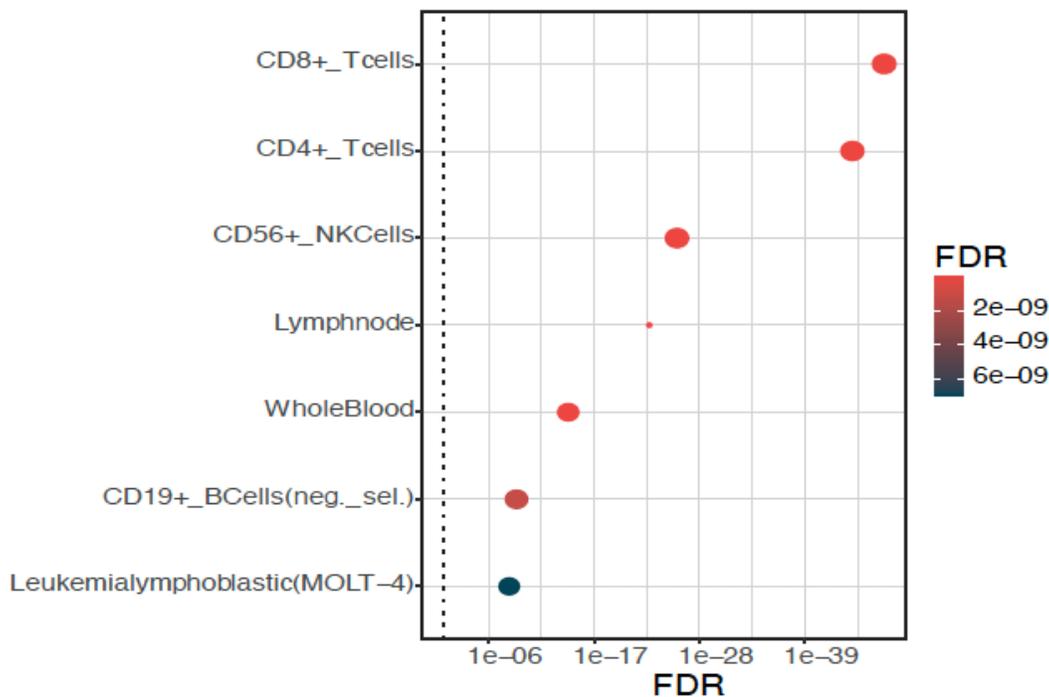


Figure 6

PBMC-based gene signatures show association with subset of immune cells in coronavirus-infected patients. Enrichment of subsets of immune cell genes in multiple BioGPS – gene portal system(39) using CoV-Up-gene signatures (A) and CoV-Down-gene signatures (B).

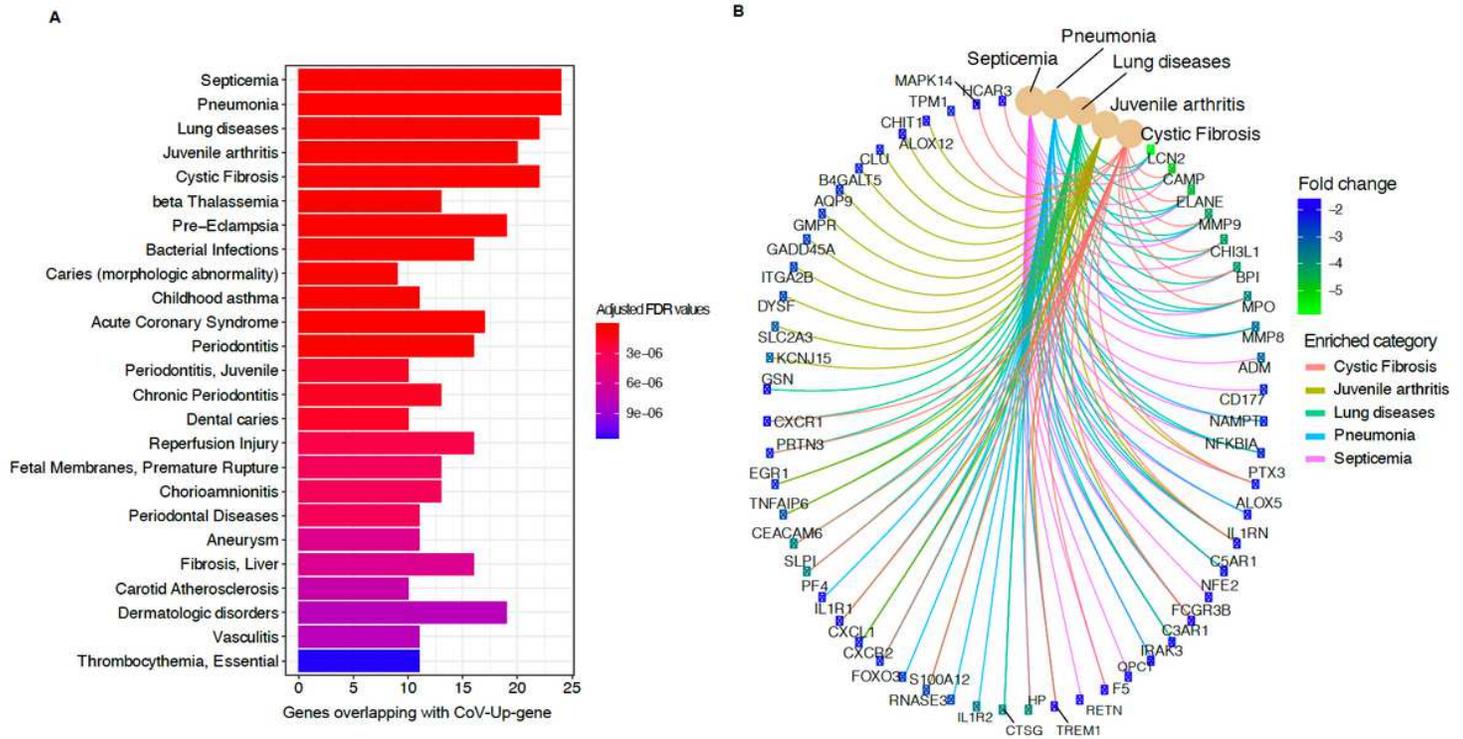


Figure 7

PBMC-based gene signatures identify known and novel disease symptoms in coronavirus-infected patients. Enrichment analysis of disease-based genesets from DisGeNET(54) (A). Top 5 diseases and associated PBMC genes (B).

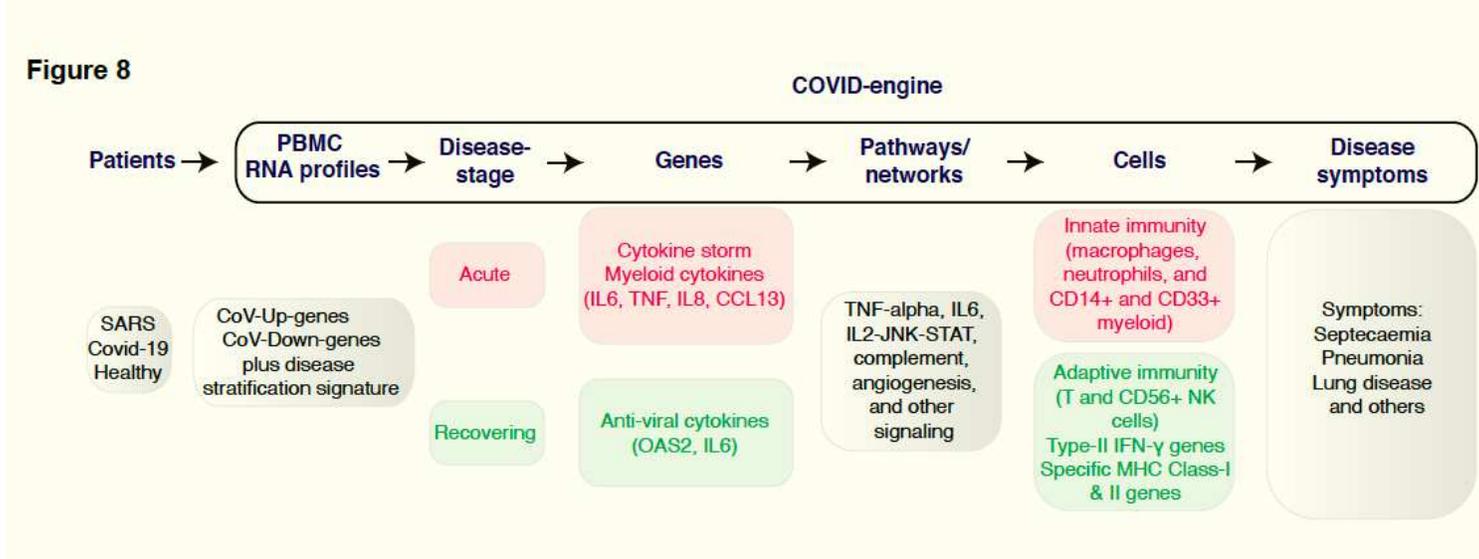


Figure 8

Schematic summarizing the gene signatures and their relevance at systems-level to disease stages, and symptoms for personalized COVID-19 medicine.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [SupplementalData.pdf](#)