

# Polyhydroxyalkanoates (PHAs) from dairy wastewater effluent: bacterial accumulation, structural characterization and physical properties

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## Research

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12

13 **Abstract**

14 **Background:** to establish bioplastics the real alternative to conventional plastics, high production costs  
15 have to be constrained by using different kind of wastewater streams as organic substrate and novel  
16 microbial strains as accumulating bacteria with high performance. Volatile fatty acids (VFAs) from  
17 effluent of dairy wastewater biodigestion represent a new and cheap feedstock used in this study for  
18 biopolymers production through microbial processes.

19 **Results:** *Cupriavidus necator* DSM 13513 was particularly able to accumulate PHAs operating in fed-  
20 batch mode by limiting oxygen level together with intermittent feeding of carbon source with the  
21 maximum PHB accumulated in 48 h without compromising the microbial growth. The complex VFA  
22 mixture from digestate did not influence the PHA homopolymer accumulation. In fact, structural  
23 characterization by NMR analysis revealed the 3-hydroxybutyrate synthesis (PHB) by *C. necator* DSM  
24 13513 grown with these different VFAs mixtures. Moreover, the bioplastic disk obtained *C. necator*

25 DSM 13513 cells grown on VFA from digested dairy waste effluent, presented good thermic properties  
26 and low affinity to water.

27 **Conclusions:** overall results making the digested dairy waste effluent suitable for PHB production for  
28 specific bio-based industrial applications.

29

30 **Keywords:** biodigestate, volatile fatty acids, *Cupriavidus necator*, poly- $\beta$ -hydroxybutyrate, biopolymer  
31 properties

32

### 33 **Background**

34 One of the key challenges of this century in the environmental field is to replace, progressively, plastics  
35 deriving from the fossil fuels with bio-based, biodegradable and compostable plastics deriving from no-  
36 food renewable sources [1]. The European Commission is promoting “Circular Economy Action Plan”  
37 to replace conventional plastic generally utilized for single-use products, with a bioplastic by 2021 (EUR-  
38 Lex-52018PC0340). In order to establish bioplastics as a real alternative to conventional plastics, high  
39 production costs have to be constrained by using different kind of wastewater streams as organic substrate  
40 and novel microbial strains as accumulating bacteria with high performance. This aim could be achieved  
41 by eco-designing sustainable biopolymers production through microbial bio-based process [2,3]. Among  
42 biopolymers, microbial polyesters known as polyhydroxyalkanoates (PHAs) are biodegradable plastics  
43 synthesized by different bacteria from a range of substrates including sugars and fatty acids [4]. Volatile  
44 fatty acids (VFAs) such as acetic, propionic, butyric, etc., are potentially renewable carbon sources [5]  
45 that could be used for biogas production [6] generation of electricity [7] and synthesis of PHAs [8].  
46 However, the larger quantity of VFAs is in the effluents of the acidogenic bio- $H_2$  that represents an  
47 interesting feedstock for PHA production process and an opportunity to enhance the treatment of those  
48 effluents [9]. In this context, in the last decades, many studies focused the attention on energy source

49 such as hydrogen produced from dairy wastes [10,6] showing the options to valorize this effluent in the  
50 chemicals industry or by other biological system for energy recovery (e.g. methane). In fact, an  
51 interesting integrated system can be designed to combine energy source (mainly hydrogen) and  
52 biopolymers production (PHAs) utilizing the acid rich wastewater stream [4]. For these reasons, also the  
53 effluent from acidogenic digestion of dairy wastes is an inexpensive acid – rich wastewater stream for  
54 PHA production [11]. In particular, whey and buttermilk are suitable by-products that can be submitted  
55 to hydrolysis-acidification step under anaerobic condition to produce hydrogen and VFAs. In fact, this  
56 wastewater stream mainly includes VFAs, lactic acid, alcohols and the residues which are un-hydrolyzed  
57 [9]. The resulted VFAs with lower carbons are the main precursors for the PHAs production by many  
58 microbial species.

59 A well-known PHA accumulating microbial species is *Cupriavidus (C.) necator*, synonym *Wautersia*  
60 *eutropha* and *Alcaligenes eutrophus*, formerly classified as *Ralstonia eutropha* [12]. Gram-negative  
61 bacterial strains belonging to this species are able to accumulate higher yield of PHAs from VFA as  
62 intracellular carbon and energy reserve granules, depending on the strain and operating mode [13].  
63 Indeed, PHAs and their copolymers are classified based on the length of alkyl side chain present in the  
64 PHAs that is correlated to the substrate specificity of PHAs synthases. Typically, *C. necator* produce  
65 short chain (C3–C5) hydroxyalkanoic acids (PHA<sub>SCL</sub>) which has an alkyl side chain such as P(3HB),  
66 P(3HV), P(4HB) and co-polymer of P(3HB-co-3HV) [14]. Therefore, it is essential to determine the  
67 chemical composition to evaluate their potential use for industrial purpose. Gas Chromatography-Mass  
68 Spectrometry (GC-MS) as well Nuclear Magnetic Resonance (NMR) are among the most widely used  
69 analysis for the chemical characterization of PHA structure [15] since their coupled use allow to obtain  
70 a careful and unambiguous molecular description.

71 PHAs represents a heterogeneous family of bio-based (co-)polyesters constituted by more than 150  
72 different monomers to give materials with extremely different properties not always fully competitive

73 compared to conventional thermoplastics [16]. Although PHAs can show poor physical properties due  
74 to secondary crystallization and slow nucleation rate, they have physical properties comparable to  
75 petroleum-based thermoplastics. In particular, poli- $\beta$ -idrossibutirato (PHB) exhibits remarkable  
76 physical properties, comparable to polypropylene (PP) and polyethylene (PE). The stereo-chemical  
77 regularity of their structure leads to a highly crystallized homopolymer (crystallinity up to 70%)  
78 contributing to its excellent physical properties [17]. Kinetic data obtained from thermogravimetric  
79 (TGA) measurements are very useful for understanding thermal degradation processes. Many studies  
80 have revealed that the degradation occurs rapidly near the melting point mainly through a random chain  
81 scission process based on typical structures of pyrolysis products, i.e. crotonic acid and oligomers with  
82 a crotonate end group [18,19]. On the other hand, they have also shown that the parameters of melt  
83 processing must be optimized in order to avoid or restrict this phenomenon that reduces the processing  
84 window.

85 Hence, the PHA production chain through biological process involves high biotechnological  
86 performance of bacterial strains, low cost feedstock selection, fermentation technology as well as  
87 downstream technologies [20]. The present study analyzed many aspects of the sustainable production  
88 of bio-based plastic film composed by PHAs using organic acids obtained from the first step of  
89 biodigestion of dairy waste. Different strains belonging to *C. necator* species were assayed to study their  
90 ability to accumulate PHAs growing on organic acids from dairy waste biodigestion effluent as well as  
91 synthetic mixture of pure acids as growth media. Their biotechnological performances were compared  
92 by detecting and monitoring cell growth, PHAs formation and quantification. In addition, the PHA  
93 monomeric composition was qualitatively analyzed by GC/MS and structurally characterized by NMR.  
94 The thermal degradation behaviors of PHA samples have been characterized under isothermal conditions.  
95 Moreover, to understand the interaction of PHA with water, the water absorption kinetic has been studied  
96 at 40°C.

97

## 98 **Results and Discussion**

### 99 **Ability of *C. necator* strains to growth in medium containing VFAs**

100 A preliminary screening of the strains *C. necator* DSM 13513, DSM 531 and DSM 428 was performed  
101 on the basis of their capacity to grow in presence of a mixture of VFAs. In the Figure 1 were reported  
102 the growth curves of the three strains in LB with VFA<sub>synthetic</sub> (Figure 1a), in LB with VFA<sub>extracted</sub> (Figure  
103 1b) and LB (control; Figure 1c). All *C. necator* strains showed an enhanced growth by an excess of  
104 carbon source due to the organic acid addition (VFA<sub>synthetic</sub>) in the culture medium. In particular, *C.*  
105 *necator* DSM 13513 showed the highest growth, achieving 1.97 O.D.<sub>600nm</sub> at 40 h in the presence of  
106 VFA<sub>synthetic</sub> (Figure 1a) compared to the control assay (0.90 O.D.<sub>600nm</sub>; Figure 1c). These results  
107 demonstrated that these bacterial strains were well adapted to the acidogenic nutritional conditions due  
108 to the VFA mixture addition. The strain *C. necator* DSM 13513 showed the best growth (0.97 O.D.<sub>600nm</sub>;  
109 Figure 2b) also in presence of VFA<sub>extracted</sub> with a trend similar to that detected in LB broth (control tests  
110 without VFA; Figure 1c). Based on the chemical characterization of the VFA<sub>extracted</sub> mixture, the main  
111 difference with the VFA<sub>synthetic</sub> was the presence of the ethanol (20.24±0.52 g L<sup>-1</sup> of ethanol) that did not  
112 affect the growth of the bacterial strains as showed by comparison with control test without VFA (Figure  
113 1b and 1c). In fact, according to Obruca et al. [21] the exposition of *C. necator* to ethanol can enhance  
114 the PHB production without inhibit the microbial growth. Indeed, at low concentration organic acid  
115 mixture can be effectively utilized by *C. necator* as substrate for bacterial growth, although organic acid  
116 mixture could be toxic to cells [22].

117 This preliminary screening allowed to select the strain *C. necator* DSM 13513 for further investigations  
118 on PHA producing from VFA.

119

### 120 **Batch culture experiments in media containing VFA**

121 On the basis of the previous results, batch culture experiments (600 mL) with *C. necator* DSM 13513  
122 and media containing VFA<sub>synthetic</sub> or VFA<sub>extracted</sub> were conducted to evaluate the PHAs synthesis. After  
123 24 h of incubation at 30 °C at the up Log phase of growth, the PHB achieved 0.31% (Figure 2a) in the  
124 medium containing VFA<sub>synthetic</sub>, 12 folds higher than that accumulated in the test control (optimal medium  
125 without VFA<sub>synthetic</sub>) at same time (0.025%) (Figure 2c). In fact, various bacteria are able to utilize acetic,  
126 propionic and formic acid as substrate for PHA synthesis [23]. Among them, *C. necator* species is known  
127 to be one of the best PHB accumulating bacteria [24], even if the biotechnological performances are  
128 strain dependent. This was also demonstrated in this research since *C. necator* DSM 13513 was selected  
129 as the best strain to accumulate PHB from fatty acids effluent of anaerobic process fed with dairy wastes.  
130 After 24 h of growth also the cellular concentration of *C. necator* DSM 13513 was higher in the batch  
131 cultures with VFA<sub>synthetic</sub> (9.38 log CFU mL<sup>-1</sup>; Figure 2a) with respect to the control medium (8.70 log  
132 CFU mL<sup>-1</sup>; Figure 2c). The VFA<sub>synthetic</sub> addition prolonged the exponential growth phase by delaying the  
133 start of the stationary phase where a decrease in the percentage of PHB was observed. This result was  
134 probably due to a consumption of PHB accumulated in the cells as energy reserve for lack of carbon  
135 source. Moreover, several microbial strains show PHB accumulation until the stationary phase as  
136 reported by Bhatia et al. [25] that studied recombinant *Escherichia coli* strain SKB99.

137 In presence of VFA<sub>extracted</sub>, the strain *C. necator* DSM 13513 showed a particular trend prolonging the  
138 Log phase after 24 h of incubation ranging a microbial count from 7.37 log CFU mL<sup>-1</sup> at 24 h to 9.03 log  
139 CFU mL<sup>-1</sup> at 48h (Figure 2b). This behavior was related to an increase in the PHB production achieving  
140 a value 0.2% of PHB after 24 h of incubation with a significant increment of PHB until 0.48% at 48h  
141 (Figure 2b). Even if, *C. necator* DSM 13513 showed a slower growth rate, PHB synthesis was stimulated  
142 by the presence of VFA<sub>extracted</sub> in the medium. Interestingly, the trend of the curve of PHB did not reach  
143 the plateau after 48 hours demonstrating the opportunity of extending accumulation time in the cells.  
144 However, at 48 h the same microbial concentration was observed in all experimental conditions.

145 As previous described, the presence of ethanol in the  $VFA_{\text{extracted}}$  did not influence the microbial growth  
146 but Obruca et al. [21] demonstrated that it could increase the PHB yield about 30%. Ethanol is considered  
147 a precursor of PHA, in particular of HV [26] or HB [27], but there is no clear indication of the microbial  
148 pathway.

149 PHAs accumulation in the media with and without VFAs mixtures was observed under fluorescence  
150 microscope as shown in the Figure 3. An increase of fluorescence was observed after 24 or 48 h of  
151 incubation, in correspondence with the maximum of PHB accumulation in the media containing  
152  $VFA_{\text{synthetic}}$  or  $VFA_{\text{extracted}}$ , respectively.

153

## 154 **PHA production fed-batch fermentation experiments**

### 155 *Set up of fermentation conditions*

156 On the basis of the batch culture results, to analyze the relationship between carbon source, oxygen  
157 availability, and polymer accumulation, fed-batch fermentation experiments in LB supplemented with  
158  $VFA_{\text{synthetic}}$  were carried out testing three different aeration conditions. In no aeration condition, the PHB  
159 production reached a value of 0.81% after 48 h (Table 1). Jackson et al. [28] reported that oxygen  
160 limitation increases the NADH/NAD ratio and high concentration of NADH inhibits citrate synthase and  
161 isocitrate dehydrogenase, blocking the TCA cycle. The accumulation of acetyl-CoA triggers the PHB  
162 synthesis, during which the PHB assumes the role of an alternative electron acceptor. Under the steady  
163 aeration condition, the PHB production was lower (0.60% at 48 h, Table 1) than that produced without  
164 aeration. It was probably due to a PHB consumption related to the fermentative condition operating in  
165 fed-batch mode. When the fermentation was performed applying air sparging for 12 h followed by no  
166 aeration was recorded the highest PHB accumulation at 48 h (1.34%; Table 1), even if the microbial  
167 growth was lower ( $8.75 \log \text{CFU mL}^{-1}$ ) than that recovered in the previous fermentation with no aeration  
168 or with steady aeration ( $8.87$  and  $9.58 \log \text{CFU mL}^{-1}$ , respectively). Variations in oxygen availability can

169 lead to significant changes in the metabolism of *C. necator* cultures. These changes vary when different  
170 acid mixture and substrate are used affecting the synthesis of PHB in several ways [29]. The strategy for  
171 efficient production of PHB by limiting oxygen level together with intermittent feeding of carbon source  
172 was investigated by Nath et al. [30] in a fed batch culture of *Methylobacterium* sp. They reported an  
173 increase in PHB production by 0.8-fold by limiting the oxygen levels in the fermenter. Instead, in this  
174 study, a PHB production two-fold higher than aeration condition was achieved by limiting aeration cycle  
175 at 12 h in fed batch mode. Although there are many studies focused on the behavior of many microbial  
176 strains under different aeration condition [30,31,32], the role of oxygen is not already clear, since in some  
177 case allows an enhancement in PHA synthesis, in other cases a negative influence was observed  
178 [30,33,34]. On the other hands results obtained demonstrate that the biotechnological performances of  
179 the bacterial strains in terms of rate of production, was strongly influenced by composition of the growth  
180 medium as well as by the specific scale up conditions of the bio-based process.

181

### 182 ***PHA production in fed-batch fermentation with VFA<sub>extracted</sub>***

183 On the basis of results obtained in the fermentation experiments with VFA<sub>synthetic</sub>, air sparging for 12 h  
184 followed by no aeration was chosen to evaluate the accumulation of PHB with VFA<sub>extracted</sub>. In this case,  
185 the culture accumulated a PHB percentage (0.52% at 48 h) lower than previous experiment operating  
186 under the same aerating condition (Table 1). The main difference among these experiments is the carbon  
187 source (VFA<sub>synthetic</sub> and VFA<sub>extracted</sub>). In fact, the use of organic acid extracted from digestate could  
188 promote the PHB accumulation instead of microbial growth as also reported by Passanha et al. [35]. On  
189 the contrary, the VFA<sub>extracted</sub> mixture used in this study stimulated the microbial growth achieving 9.59  
190 Log CFU mL<sup>-1</sup>, value higher than previous fermentation tests. Moreover, the complex nature of VFA  
191 mixture extracted from digestate may have several nutrients and compounds uptaken to the system in

192 every feeding pulse creating an in balance between nutrient and carbon sources. In this way, the  
193 nutritional stress conditions necessary for the PHB accumulation were lacking.

194

#### 195 ***Monomer unit of the PHAs polymer from VFA<sub>synthetic</sub> and VFA<sub>extracted</sub>***

196 In the Figure 4a is shown the highest peak from the GC analysis of PHAs synthesized by *C. necator* DSM  
197 13513 grown in presence of VFA<sub>synthetic</sub> mixture. The mass spectrum of the chromatographic peak with  
198 retention time about 4.1 min corresponding to the main monomer unit of polymer, revealed the presence  
199 of 3-hydroxybutyrate according to the mass spectral library from the NIST database. The other peaks  
200 depend from the solvents used for methanolysis. Similar result was obtained in the presence of  
201 VFA<sub>extracted</sub> (Figure 4b). In fact, it has been shown that *C. necator* species predominantly accumulates the  
202 homopolymer PHB by  $\beta$ -oxidation pathway [36] by using butyric acid, present in both VFA mixtures,  
203 that it is well known as the main PHB precursor [37].

204 The mass spectra of both samples are dominated by the fragment at  $m/z = 74$ , due to the Mc Lafferty  
205 rearrangement of the methyl ester; whereas, the fragment at  $m/z = 103$  is due to the break between Carbon  
206 3 and Carbon 4 of the molecule. The loss of the  $\text{CH}_3\text{OH}$  group from the fragment at  $m/z = 103$  could  
207 instead explain the presence of the intense signal at  $m/z = 71$ . The signal of the molecular ion shows low  
208 abundance probably due to the high energy not absorbed by the molecule following the electronic impact.

209

#### 210 ***Structural characterization by NMR analysis***

211 The Figure 5 showed  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra of PHAs synthesized by *C. necator* DSM 13513 grown  
212 in presence of VFA<sub>synthetic</sub> mixture (A), and VFA<sub>extracted</sub> (B) compared with the Poly(R)-3-hydroxybutyric  
213 acid standard. In the  $^1\text{H}$  spectra signals (Figure 5a) were detected at a chemical shift of  $\delta = 5.2, 2.5, 1.2$ ,  
214 which corresponded to a  $-\text{CH}$  multiplet,  $-\text{CH}_2$  multiplet,  $-\text{CH}_3$  doublet, respectively. The doublet at  $\delta =$   
215  $1.2$  was attributed to the methyl protons (side chain of 3-hydroxybutyric acid). The multiplet at  $\delta = 2.5$

216 ppm was due to the diastereotopic protons at position 2 of the chemical structure, finally the quartet at  $\delta$   
217 = 5.2 ppm was attributed to the proton close to the carboxyl oxygen (-CH). In the  $^{13}\text{C}$  NMR spectra  
218 (Figure 5b) were evidenced four signals at a chemical shift of  $\delta$ = 19.7 ppm, 40.7 ppm, 67.6 ppm e 169.1  
219 ppm, which were attributed, respectively, to methyl carbon (side chain of 3- hydroxybutyrate), methylene  
220 carbon (backbone of 3-hydroxybutyrate), methane carbon (chiral center of 3-hydroxybutyrate) and  
221 carbonyl carbon. At last, the NMR spectra indicate that the analyzed samples contained poly(R)-3-  
222 hydroxybutyric acid.

223

## 224 **Characteristics of the bioplastic film**

### 225 *Thermal stability*

226 The Figure 6 shows the bioplastic disk obtained from the microbial cells of *C. necator* DSM 13513 grew  
227 on VFA extracted from digested dairy wastes effluent. The TGA mass loss curve and the corresponding  
228 derivate curve (DTGA) obtained for the studied PHB is shown in Figure 7. The decomposition of PHAs  
229 showed mainly weight loss from 200°C to 250°C followed by a moderated weight loss up to 499 °C [38].  
230 The extrapolated onset Temperature is 230.13 °C. The DTG curve shows three well-defined degradation  
231 stages at 247 °C, and two minor picks at 376 °C and 414 °C, being the first one the main transition as it  
232 comprises ca. 60 wt%. First peak indicates the point of greatest rate of change on the weight loss curve.  
233 As reported in literature, the PHAs degradation occurs according to a random chain scission reaction of  
234 ester linkage just above their melting temperature, resulting in a TG curve characterized by a one-step  
235 process [38,39]. Moreover, Herrera-Kao et al. [40] reported that although the degradation of PHB occurs  
236 in two stages, only in the former, carboxylic acids and ester moiety were detected being possible suggest  
237 that a random chain scission reaction takes place during thermal decomposition of this polymer. In the  
238 following degradation the crotonic acid and a variety of oligomers may be further deconstructed into  
239 propylene, CO<sub>2</sub>, acetaldehyde and ketene [41]. The additional thermal degradation occurred at values of

240 376 and 414 °C has also been reported by Follain et al. [42] for PHBV commercial pallet. They reported  
241 that this additional degradation peak could be related to organic additives present in the commercial  
242 samples. The degradation temperatures of the polymer were quite consistent with values reported in  
243 literature for PHAs obtained by fermented sugar cane molasse (251 °C) [26], and slight lower than PHBV  
244 at 4% HV (265 °C), and PHB at 0% HV (275 °C) [39].

245

#### 246 *Water vapor sorption isotherm of the PHAs film*

247 The isotherm curves, representing the water concentration at the sorption equilibrium state as a function  
248 of  $a_w$  (%) of the PHAs film are plotted in Figure 8. The maximum mass gain from film was below 20%,  
249 reflecting a medium affinity of biopolymer to water. The hydrophilic ester groups could be responsible  
250 of water immobilization. A lower affinity to water was reported for PHAs, PLA or PCL film [42,43,44].  
251 The adsorption isotherm showed a sigmoidal curve that is typical for this type of biopolymer material.  
252 Nevertheless, the sigmoidal shape of isotherms, as exhibited by the PHAs films, is obviously maintained  
253 and corresponds to a type II isotherm in reference to Rogers' classification. Generally, in the literature,  
254 a sigmoid profile conforms to multi-mode sorption divided into three contributions. In the region of  $a_w$   
255 between 0.3 and 0.7 the water is absorbed at the multilayer; whereas at a  $> 0.75$  the water absorbed  
256 corresponds to the condensation of water in the pores of the film. The casting method obviously favors  
257 the entrance of water molecules into the films. During solvent evaporation in the casting method, the  
258 polymer chains have retained enough motion levels (such as translation and rotation motions) to create  
259 additional free volume, making the films less dense and more permeable.

260 The polymer structure is thus more easily opened and plasticized by water molecules, which behave as  
261 mobility enhancers during water sorption. This causes the greater water sorption at high water activities  
262 ( $a_w > 0.75$ ). A gradation of sorption isotherm profiles can be correlated to the degree of crystallization.

263 The BET molecular model of adsorption (fitted for  $a_w$  0.3-0.5) [45], the GAB model and the Peleg model

264 were used to describe the water adsorption of films (Table 2). BET equation constants, which have a  
265 thermodynamic base, has been used to analyze sample behavior and the interaction between the  
266 components and water molecules. The monolayer moisture content ( $x_m$ ) of the PHAs was 0.022 (mg  
267  $\text{mgd}_m^{-1}$ ), the C constant and RMSE were respectively 0.723 and 0.0001 (Table 2). According to the GAB  
268 model, the  $x_m$  value is 0.052 (mg  $\text{mgd}_m^{-1}$ ). This parameter was directly related to the number of  
269 adsorption sites. The greater degree of intermolecular interaction probably decreased the number of free  
270 sites for water molecules in the PHAs polymeric matrix [46]. The parameter C and K are related to the  
271 quantity of water at the multilayer and to the energy needed to cut the interaction. For PHAs film are  
272 respectively 0.003 and 0.79 (Table 2). The root mean squared error (RMSE) are 0.0036. For the peleg  
273 model,  $k_1$  was 0.087 and  $k_2$  was 0.214 (mg  $\text{mgd}_m^{-1}$ ). The constant value  $k_1$  is related to mass transfer rate,  
274 e.g., the lower the  $k_1$ , the higher the initial water absorption rate [47]. The maximum water absorption  
275 capacity is reported by  $k_2$  constant, the lower the  $k_2$ , the higher the water absorption capacity. The RMSE  
276 of Peleg model for PHA film are 0.0010. The Peleg and Bet equation gave similar good fits better than  
277 GAB model, comparing RMSE value. Although the Bet equation gave marginally better than the Peleg  
278 and GAB equation, it was felt that this was more than onset for using a limited  $a_w$  range (0.3-0.5).

279

## 280 **Conclusions**

281 *Cupravidus necator* DSM 13513 was able to synthesize PHAs using as carbon source the complex  
282 organic acids mixture extracted from a dairy wastewater biodigestion effluent. Structural characterization  
283 revealed the accumulation of 3-hydroxybutyrate by *C. necator* DSM 13513 cells used to prepare  
284 bioplastic disk that showed good thermic properties and poor affinity to water which are physical  
285 properties assimilating to conventional plastics. These results making the PHB obtained from digested  
286 dairy waste effluent suitable for specific bio-based industrial applications.

287

## 288 **Material and Methods**

### 289 **Bacterial strains and culture condition**

290 The microorganisms used in this study were *C. necator* DSM 13513, *C. necator* DSM 428 and *C. necator*  
291 DSM 531 (Leibniz Institute DSMZ-Germany).

292 *C. necator* strains were routinely grown on Luria-Bertani (LB) medium containing 10 g L<sup>-1</sup> tryptone, 5  
293 g L<sup>-1</sup> yeast extract, 10 g L<sup>-1</sup> NaCl (pH 7.0). For solid medium, bacteriological agar (Oxoid, Milan, Italy)  
294 was added at a concentration of 15 g L<sup>-1</sup> and was dissolved by heating the medium. Strains were grown  
295 at 30 °C for 48-72 h.

296 For PHA production, LB medium was supplemented with 2% (v/v) of a mixture of synthetic VFA  
297 (VFA<sub>synthetic</sub>) or extracted VFA (VFA<sub>extracted</sub>). VFA<sub>synthetic</sub> is a synthetic mixture of pure organic acids  
298 (1:1:2 v/v of acetic, propionic and butyric acid, respectively) used to simulate, in terms of VFAs, a real  
299 digestate effluent obtained in previous study [11]. VFA<sub>extracted</sub> derived from the digestate obtained by the  
300 anaerobic process of a mixture of dairy waste from a mozzarella cheese factory. In this experiment,  
301 biodigesters (5 L) were filled with a mixture of cheese whey and buttermilk (ratio 2:1 v/v, respectively)  
302 and inoculated with 5% (w/v) of industrial animal manure pellets (Stalfert N<sub>2</sub> – Organazoto Fertilizzanti  
303 s.p.a, Pistoia, Italy) [11]. After 30 days, the digestate was collected and centrifuged at 4010 xg for 10  
304 min. The liquid fraction, containing the VFAs, was filtered (Minisart RC-25, 0.2 µL, Sartorius Stedim  
305 Biotech, Goettingen, Germany) and characterized by high-performance liquid chromatography (HPLC,  
306 refractive index detector 133; Gilson system; pump 307, column Metacarb 67 h from Varian with 0.4  
307 mL min<sup>-1</sup> flow of 0.01 N H<sub>2</sub>SO<sub>4</sub>) to determine its organic acids composition.

308

### 309 **Screening by bacterial growth with VFAs**

310 The bacterial strains were pre-inoculated dissolving a single colony into 9 mL of LB and incubated  
311 overnight at 30 °C. One mL of each culture (O.D.<sub>600nm</sub> 4.30; 1% v/v) was inoculated in test tubes

312 containing 10 mL of LB medium with 2% (v/v) of VFA<sub>synthetic</sub> or VFA<sub>extracted</sub>. From each tube, 220 µL of  
313 culture were dispensed in triplicate into a microtiter plate and growth curves were obtained by monitoring  
314 the O.D.<sub>600nm</sub> in a Microplate Reader (BioTek ELx808) every 30 minutes for 72 h at 30 °C. LB without  
315 VFA was used as control. All tests were performed in triplicate.

316

### 317 **PHA production in batch culture with synthetic and extracted VFA**

318 The preliminary screening for the evaluation of bacterial growth ability in LB supplemented with VFAs  
319 allowed for the selection of the strain *C. necator* DSM 13513.

320 PHA production of the selected bacterial strain was assessed in batch culture with LB liquid medium  
321 (600 mL) containing 2% (v/v) of VFA<sub>synthetic</sub> or VFA<sub>extracted</sub>. The flasks were inoculated with 1% (v/v) of  
322 bacterial cultures as above described and incubated at 30 °C for 48 h under continuously shaken at 200  
323 rpm. Flasks without VFA mixture were used as control. At initial time and after 3, 6, 9, 24, 30 and 48 h,  
324 samples were withdrawn to detect the accumulation of PHAs in the cells by staining 1 mL of cell  
325 suspension with 1 drop of Nile Blue A [48]. Briefly, after incubation at 55 °C for 10 min and  
326 centrifugation at 1920 xg for 5 min, the pellet was washed with 0.9% NaCl solution and centrifuged  
327 again (1920 xg for 5 min). The excess of stain solution was removed using 8% acetic acid for 1 min and,  
328 the pellet recovered by a new centrifugation was suspended in 0.9% NaCl [48]. The presence of PHAs  
329 in the cells was detected by fluorescence microscope (Axiovert 200M, Zeiss, Göttingen, Germany). The  
330 microbial growth was also considered by measuring O.D.<sub>600nm</sub> (BioSpectrometer basic, Eppendorf, Milan,  
331 Italy) and enumerating ten-fold diluted cultures by spread plate method on LB solid medium (CFU mL<sup>-1</sup>).  
332

333 For each sampling time, bacterial cultures growth in LB with VFA<sub>synthetic</sub> or VFA<sub>extracted</sub> were freeze-dried  
334 (Lyoquest -55, Telstar, Terrassa, Spain) for the PHAs extraction and quantification.

335

### 336 **PHA extraction and quantification**

337 For the PHAs extraction from *C. necator* DSM 13513 cells, a modification of the method proposed by  
338 Strazzullo et al. [49], was used. In detail, 100 mL of distilled water were added to dry pellet (1 up to 2 g)  
339 in order to obtain a complete dispersion of the cells by ultrasonication (Ultrasonic sonicator cleaning bath  
340 HK3300, Falc, Treviglio, Italy) for 20 min. SDS solution (10%; Serva, Heidelberg, Germany) was added  
341 to digest the dispersed cells (wet cells weight:SDS ratio 1:1). After incubation for 1 h at 50 °C in a heater  
342 (Mixing block MD-102, Bioer, Hangzhou, China), the mixture was autoclaved for 20 min at 121°C,  
343 cooled, transferred to tubes and centrifuged at 9500 xg for 30 min at 4 °C. The pellet was recovered and  
344 dried at room temperature.

345 PHB quantification was performed by using K-HDBA kit (Megazyme International, Ireland) to detect  
346 D-3-hydroxybutyric acid concentration in the samples. The data were reported as PHB % considered as  
347 g PHB 100 g<sup>-1</sup> of weighted samples.

348

### 349 **PHAs production fed-batch fermentation experiments**

350 The fed-batch fermentation experiments were performed in a New Brunswick BioFlo 115 benchtop  
351 fermentor (Eppendorf, Milan, Italy) with a working volume of 4 L employing a pair of 6-blade Rushton  
352 impeller. Fermentor was filled with LB medium supplemented with 2% (v/v) of VFA<sub>synthetic</sub> OR VFA<sub>extracted</sub>  
353 and inoculated with 2% (v/v) *C. necator* DSM 13513 overnight culture as above reported. The pH was  
354 automatically maintained at 6.5 by adding a solution of 4M NaOH setting the deadband for the pH control  
355 at 0.10. A solution of 3% (v/v) Antifoam 204 (Sigma-Aldrich, Milan, Italy) was added after 1 h of  
356 fermentation process to break a foam already formed. The experiments were performed at 30 °C for 48  
357 h with an agitation speed set to 200 rpm using three different aeration conditions: 1, air sparging at 1  
358 vvm; 2, no aeration; 3, air sparging at 1 vvm for 12 h followed by no aeration. VFA<sub>synthetic</sub> or VFA<sub>extracted</sub>  
359 feeding at 2% (v/v) was added at 3, 6, 9 and 12 h of the process to improve the maximum accumulation

360 capability of the culture. Samples were withdrawn at 0, 3, 6, 9, 12, 24, 30 and 48 h to measure the optical  
361 density (O.D.<sub>600nm</sub>) and to enumerate bacterial cells (CFU mL<sup>-1</sup>). For each sample, a sub-sample was  
362 freeze-dried (Lyoquest-55, Telstar) and used for PHB quantification as above reported.

363

#### 364 **Determination of monomer composition by gas chromatography–mass spectrometry (GC–MS)**

365 The PHA monomeric composition was qualitatively analyzed by gas chromatography/mass spectrometry  
366 (GC/MS), freeze-dried PHAs extracted were first subjected to methanolysis according to the method of  
367 Sathiyarayanan et al. [50]. In detail, about 8 mg of PHAs was dissolved in 0.8 mL of chloroform, and  
368 then 0.8 mL of methanol/sulfuric acid (85:15 v/v) mixture was added into the vials. After incubation at  
369 a 105°C for 210 min under shaking every 20 min, the solution was cooled at room temperature. Then  
370 0.4 mL of milli-Q water was added and submitted slightly vortexed for 1 min. The samples were  
371 centrifuged (Thermofisher scientific, USA) at 9500 xg for 2 min, and 200 µL of precipitated organic  
372 phase was mixed with crystalline sodium sulphate. 1 µl of sample was injected into GC/MS PerkinElmer  
373 Autosystem XL (PerkinElmer, USA) Turbomass-Gold PerkinElmer, equipped with a Restek RTX-5MS  
374 WCOT, 30 m×0.25 mm column. The injector temperature was set at 250 °C, initial isothermal  
375 temperature was 40 °C for 1 min then increase to 120 °C at 15 °C min<sup>-1</sup>, hold for 2 min, and increase to  
376 300 °C at 10 °C min<sup>-1</sup>. Helium was used as carrier gas at 1 mL min<sup>-1</sup>. Mass spectra were obtained using  
377 electron impact ionization (EI) with energy of 70 eV at a frequency of 0.2 scan s<sup>-1</sup> within the 50–600 m/z  
378 range. Identification of mass signal was carried out by comparing either with standard compounds or  
379 molecular libraries such as Nist 05.

380

#### 381 **NMR characterization**

382 2 mg of freeze-dried PHA were dissolved in 1mL of deuterated chloroform (CDCl<sub>3</sub>) containing 1% (v/v)  
383 of tetramethylsilane. The mixture was stirred in a vortex, sonicated for 5 minutes, placed at 40 °C for 15

384 minutes to facilitate dissolution and transferred into a stoppered 5 mm NMR tube. NMR spectra were  
385 obtained with a magnet 400 MHz Avance (Bruker Biospin, Rheinstetten, Germany), equipped with a 5  
386 mm Bruker Broadband Inverse (BBI) probe, working at the  $^1\text{H}$  and  $^{13}\text{C}$  frequencies of 400.13 and 100.62  
387 MHz, respectively, and at a temperature of  $25 \pm 1$  °C ( $298 \pm 1$  K). The one-dimensional  $^1\text{H}$  spectra were  
388 acquired with 2 s of thermal equilibrium delay, a pulse length of  $90^\circ$  between 7.82 and 7.9  $\mu\text{s}$  ( $-2$  dB of  
389 attenuation), 128 transients, 67584 points in the domain of the time and 16 ppm (6410.3 Hz) as spectral  
390 width. The one-dimensional  $^{13}\text{C}$  spectra were acquired by the reverse  $^1\text{H}$ - $^{13}\text{C}$  decoupling technique. The  
391 spectra were acquired with a pulse length of  $90^\circ$  between 22 and 22.4  $\mu\text{s}$ , 1200 transients, 32768 points  
392 in the domain of the time and 250 ppm (25125.629 Hz) as spectral width. Spectra were processed by  
393 using Bruker TopSpin Software (v. 4.0.2). The free induction decays (FID<sub>s</sub>) were Fourier transformed  
394 applying an apodization of 0.3 Hz for  $^1\text{H}$  and 1 Hz for  $^{13}\text{C}$  experiments respectively and phase and basic  
395 corrections were applied to all spectra.

396

## 397 **Formation and characterization of plastic film**

### 398 *Biopolymer recovery*

399 Cells of *C. necator* DSM 13513 were recovered by centrifugation (6080 xg for 10 min) after 48 h of  
400 incubation at 30 °C in LB medium supplemented with VFA<sub>extracted</sub>. Microbial cells were freeze-dried and  
401 then suspended in chloroform (40 mL  $\text{CHCl}_3$  g<sup>-1</sup> dried cells). After incubation at 37 °C for 3-5 days, the  
402 solution was filtered (Minisart RC-25, 0.2  $\mu\text{m}$ , Sartorius Stedim Biotech) to remove all undissolved  
403 material, and the filtrate was used to fill glass microplates. Finally, chloroform was evaporated, allowing  
404 polymer recovery in the form of a thin bioplastic film [26].

405

### 406 *Thermogravimetric Analysis (TGA)*

407 TGA was performed using Thermogravimetric Analyzer, TGA 7/DZ, Perkin Elmer (Japan) equipment.  
408 Samples ( $3.0 \pm 0.01$  mg) were placed in aluminum pans inside the thermogravimetric balance and then  
409 heated under dry nitrogen atmosphere (gas flow =20 mL/min) in the range of 25 - 500 °C at a heating  
410 rate of 10 °C min. Two characteristic temperatures were collected, corresponding to the onset degradation  
411 temperature ( $T_o$ ) and to the temperature of the extremum of the first derivate weight versus temperature  
412 peak ( $T_{dp}$ ), respectively.

413

#### 414 *Dynamic vapor sorption analysis (DVS)*

415 The absorption isotherms were measured using DVS (dynamic vaporization Q500SA, TA Instrument,  
416 New Castle, USA). The main component was a microbalance with an accuracy of 0.1 g. The sample (5  
417 to 9 mg) was placed in an aluminum capsule, an empty aluminum capsule was used as a reference.  
418 Measurements were conducted at 40 ° C using the following procedure:

419 1. Conditioning: the samples were conditioned at 0% RH for a time necessary to reach a constant  
420 weight.

421 2. Absorption: the relative humidity has been increased and brought to 30%, 50%, 60%, 65%, 70%,  
422 75%, 80%, 85%, 90% and 95%. The sample remained in each step until the constant weight was  
423 reached.

424 From the curves relating to the percentage weight increase as a function of time, the absolute humidity  
425 (m) was calculated for the equilibrium of each water activity, expressed as milligrams of water  
426 absorbed at equilibrium on milligrams of dry substance.

427 Brunauer, Enmett and Teller (BET), (Eq. (1), Guggenheim-Anderson-deBoer (GAB) (Eq. (2), Micha  
428 Peleg (Peleg) (Eq. (3), equations were used to describe the experimental data. These models were  
429 explained and rearranged as given below:

430

431 
$$X = \frac{x_m a_w}{(1-a_w)(1+C-1)*a_w} \quad (1)$$

432

433 
$$X = \frac{x_m C K_{aw}}{(1-K_{aw})(1-K_{aw}+CK_{aw})} \quad (2)$$

434

435 
$$X = K_1 a_w^{n_1} + K_2 a_w^{n_2} \quad (3)$$

436

437 where X was the equilibrium moisture content (mg mgd<sub>m</sub><sup>-1</sup>); x<sub>m</sub> was the monolayer moisture content (mg  
 438 mgd<sub>m</sub><sup>-1</sup>); a<sub>w</sub> was the water activity; C and K, are GAB constant, k<sub>1</sub>, k<sub>2</sub>, n<sub>1</sub> and n<sub>2</sub> are the Peleg model  
 439 constants.

440 The goodness of fitting of the mathematical models Eqs. (1), (2), (3), were evaluated by means of the  
 441 root mean squared error (RMSE):

442 
$$RMSE = \sqrt{\frac{\sum (M_e - M_p)^2}{n}} \quad (4)$$

444

445 where M<sub>e</sub> was the experimental value, M<sub>p</sub> was the predicted value, and n was the number of data points.

446

#### 447 **Statistical analysis**

448 One-way ANOVA followed by Tukey's HSD post hoc for pairwise comparison of means (at P < 0.05)  
 449 was used to assess the difference in the PHB percentage. Statistical analyses were performed using SPSS  
 450 21.0 statistical software package (SPSS Inc., Cary, NC, USA).

451

#### 452 **Abbreviations**

453 PHAs: polyhydroxyalkanoates; VFAs: volatile fatty acids; PHB: polyhydroxybutyrate; SCL: short-  
 454 chain-length; P(3HB): poly(3-hydroxybutyrate); P(3HV): poly(3-hydroxyvalerate); P(4HB): poly(4-

455 hydroxybutyrate); P(3HB-co-3HV): poly(3-hydroxybutyrate-co-3-hydroxyvalerate); GC-MS: Gas  
456 Chromatography-Mass Spectrometry; NMR: Nuclear Magnetic Resonance; PP: polypropylene; PE:  
457 polyethylene; TGA: thermogravimetric analysis; VFA<sub>synthetic</sub>: synthetic volatile fatty acids; VFA<sub>extracted</sub>:  
458 extracted volatile fatty acids; HPLC: high-performance liquid chromatography; DVS: dynamic vapor  
459 sorption analysis; RMSE: root mean squared error; GAB: Guggenheim-Anderson-deBoer; BET:  
460 Brunauer, Enmett and Teller; Peleg: Micha Peleg.

461

462 **Declarations**

463 **Ethics approval and consent to participate**

464 Not applicable

465

466 **Consent for publication**

467 Not applicable

468

469 **Availability of data and material**

470 Not applicable

471

472 **Competing interests**

473 The authors declare that they have no competing interests.

474

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477

478 **Author contributions**

479 GP and WG performed microbiological experiments, analyzed data and drafted the manuscript. ET and  
480 FAG performed TGA and DVS analyses and drafted the manuscript for this part. AP and SC performed  
481 GC-MS and NMR analyses and drafted the manuscript for this part. AR performed fed-batch  
482 fermentation experiments. VF revised the manuscript. OP conceived the study, participated in its design  
483 and revised the manuscript. VV contributed to coordinate the study and revised the manuscript.

484

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487

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618 **Figure Legends**

619

620 **Figure 1.** Microbial growth of *Cupriavidus necator* strains in LB medium supplemented with VFA<sub>synthetic</sub>  
621 (a), VFA<sub>extracted</sub> mixture (b) and LB medium (c, control) during 72 h of incubation at 30 °C .

622

623 **Figure 2.** PHB production (%) and microbial counts (log CFU mL<sup>-1</sup>) of *C. necator* DSM 13513 in batch  
624 cultures grown in LB medium supplemented with VFA<sub>synthetic</sub> (a), VFA<sub>extracted</sub> mixture (b) and LB medium  
625 (c, control) during 48 h of incubation at 30 °C.

626

627 **Figure 3.** PHAs accumulation in *C. necator* DSM 13513 cells grown in LB medium supplemented with  
628 VFA<sub>synthetic</sub> (a; 24h) or VFA<sub>extracted</sub> mixture (b) observed by fluorescence microscope (Axiovert 200M,  
629 Zeiss, Göttingen, Germany) after 48 h of incubation at 30 °C, corresponding to the maximum production  
630 in the experimental conditions.

631

632 **Figure 4.** GC-MS total-ion chromatogram and spectrum of PHA monomers recovered by *C. necator*  
633 DSM 13513 grown with VFA<sub>synthetic</sub> (a), and VFA<sub>extracted</sub> mixture (b).

634

635 **Figure 5.** <sup>1</sup>H NMR (a) and <sup>13</sup>C NMR (b) proton spectrum of PHA from substrates with VFA<sub>synthetic</sub> (A),  
636 VFA<sub>extracted</sub> mixture (B) and standard Poly (R) -3-hydroxybutyric acid.

637

638 **Figure 6.** Bioplastic disk obtained from the microbial cells of *C. necator* DSM 13513 grown with  
639 VFA<sub>extracted</sub> mixture from digested dairy wastes effluent.

640

641 **Figure 7.** Thermogravimetric (TGA) and dynamic vapor sorption (DTG) analysis of PHA films at a  
642 heating rate of 10 °C / min.

643

644 **Figure 8.** Equilibrium moisture sorption (black circle) and desorption (grey circle) isotherm of PHA.  
645 Line represent the fitting of the Guggenheim-Anderson-deBoer (GAB) model to the experimental data  
646 of sorption isotherm.

647

648 **Table 1.** Maximum PHB (%) accumulated by *C. necator* DSM13513 grown in LB supplemented with  
 649 mixture of VFA<sub>synthetic</sub>, VFA<sub>extracted</sub> in different cultivation conditions.

Operating mode	Carbon source and aeration conditions		PHB (%)	Time (h)
<b>*Batch culture</b>	VFA <sub>synthetic</sub>	under shaken	0.31±0.001 <sup>E</sup>	24
	VFA <sub>extracted</sub>	under shaken	0.48±0.006 <sup>D</sup>	48
	without VFA	under shaken	0.025±0.002 <sup>F</sup>	24
<b>§Fed-batch fermentation</b>		no aeration	0.81±0.07 <sup>B</sup>	48
	VFA <sub>synthetic</sub>	aeration	0.60±0.01 <sup>C</sup>	48
		12h of aeration	1.34±0.02 <sup>A</sup>	48
	VFA <sub>extracted</sub>	12h of aeration	0.52±0.03 <sup>CD</sup>	48

650  
 651 \*LB medium (600 mL) with 2% (v/v) of VFA<sub>synthetic</sub>, VFA<sub>extracted</sub> or without VFA (control). Incubation at 30 °C for 48 h under  
 652 shaken at 200 rpm.

653 §LB medium (4 L) with 2% (v/v) of VFA<sub>synthetic</sub> or VFA<sub>extracted</sub>. pH at 6.5. Incubation at 30 °C for 48 h with agitation speed  
 654 set at 200 rpm. Feeding at 2% (v/v) added at 3, 6, 9 and 12 h. Aeration: air sparging at 1 vvm; 12h of aeration: air sparging  
 655 at 1 vvm for 12 h followed by no aeration; no aeration.

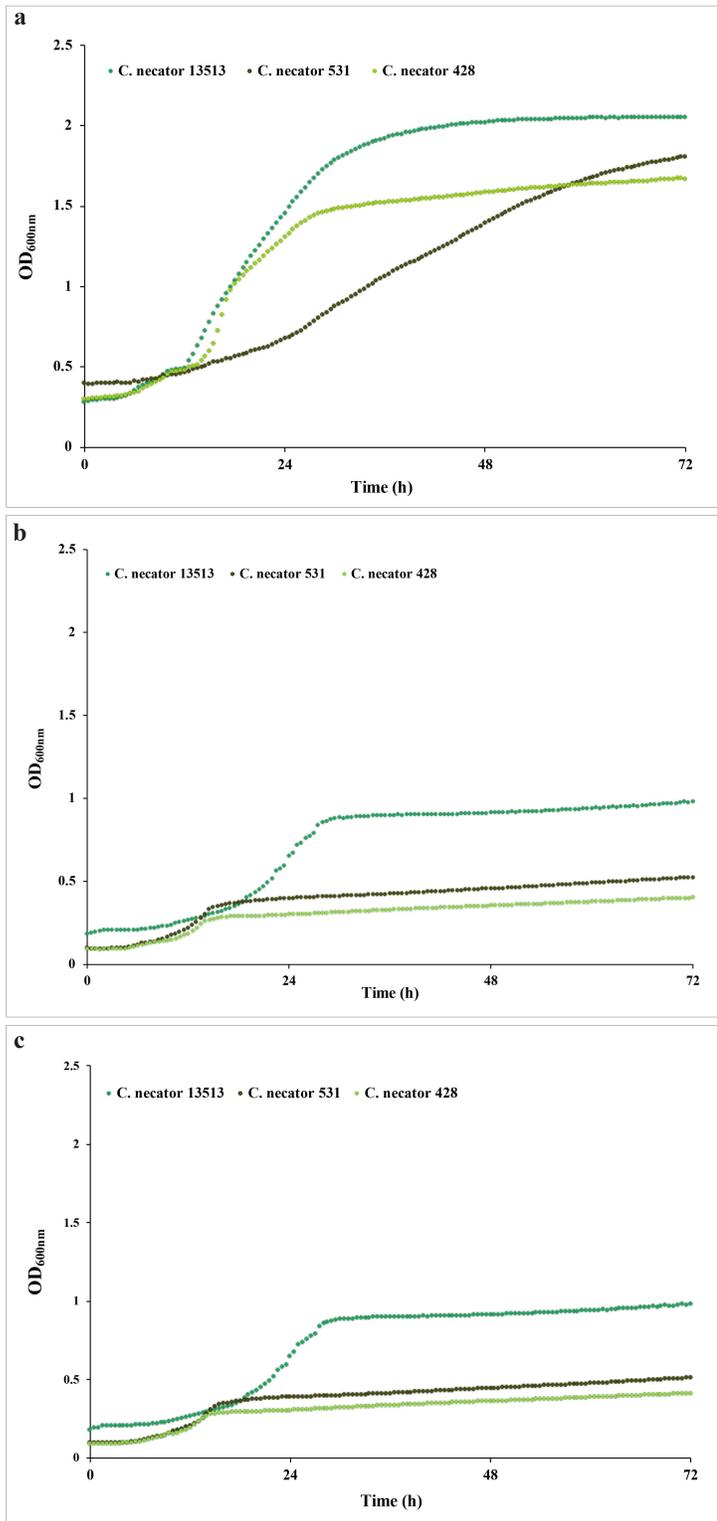
656 The values represent the means ± SD of three replicates. Different letters indicate significant differences (P < 0.05).  
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**Table 2.** BET, GAB and Peleg parameters obtained from isotherms of PHA film at 40°C.

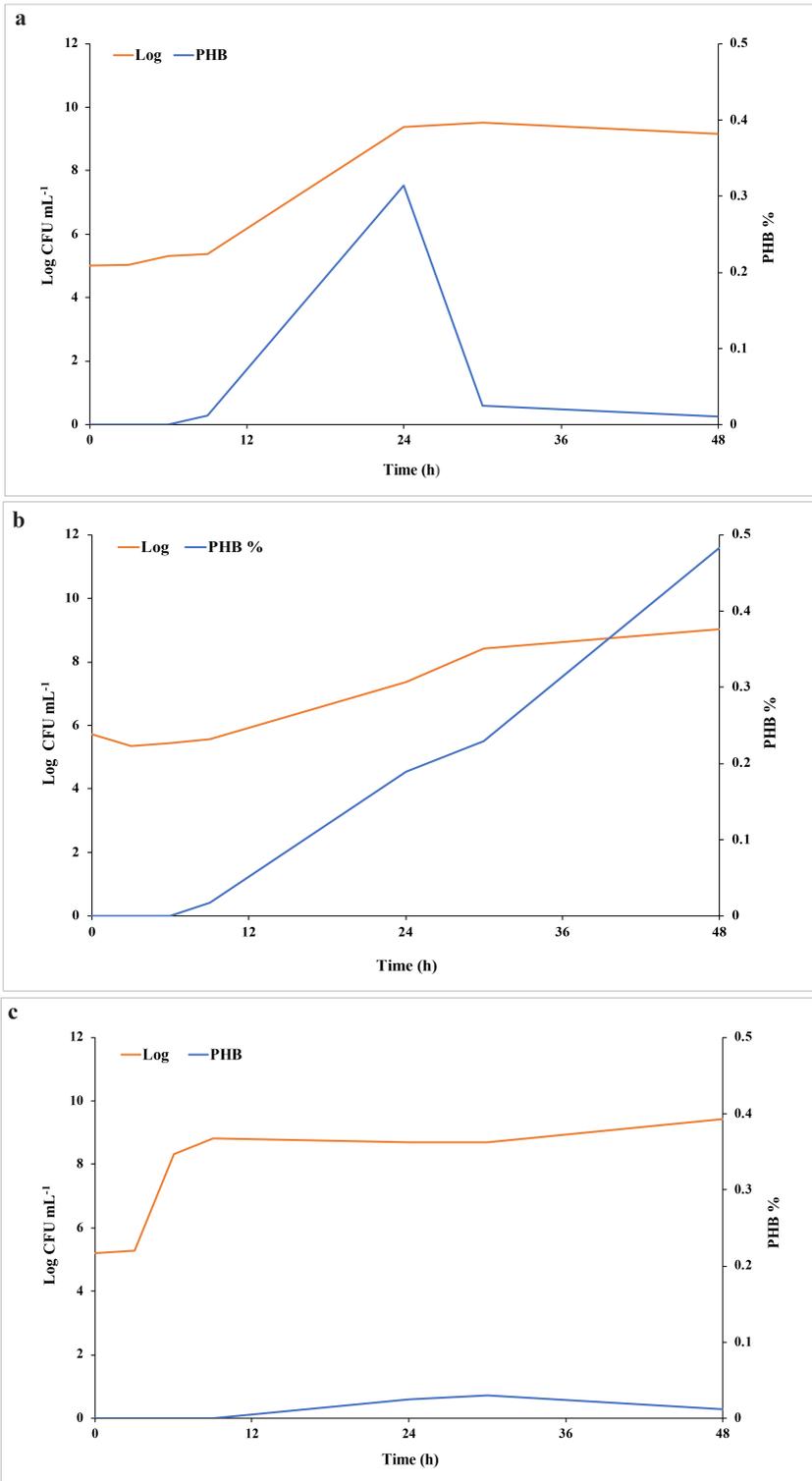
<b>Model</b>	<b>Model parameters</b>	<b>Value</b>
BET	m <sub>0</sub>	0.022
	C	0.723
	RMSE	0.0001
	R <sup>2</sup> (%)	97.63
GAB	x <sub>m</sub> (g g <sub>dm</sub> <sup>-1</sup> %)	0.052
	C	0.003
	K	0.791
	RMSE	0.0036
	R <sup>2</sup> (%)	99.7
Peleg	k <sub>1</sub> (g g <sub>dm</sub> <sup>-1</sup> %h <sup>-1</sup> )	0.087
	k <sub>2</sub>	0.214
	n <sub>1</sub>	2.176
	n <sub>2</sub>	14.929
	RMSE	0.0010
	R <sup>2</sup> (%)	99.97

# Figures



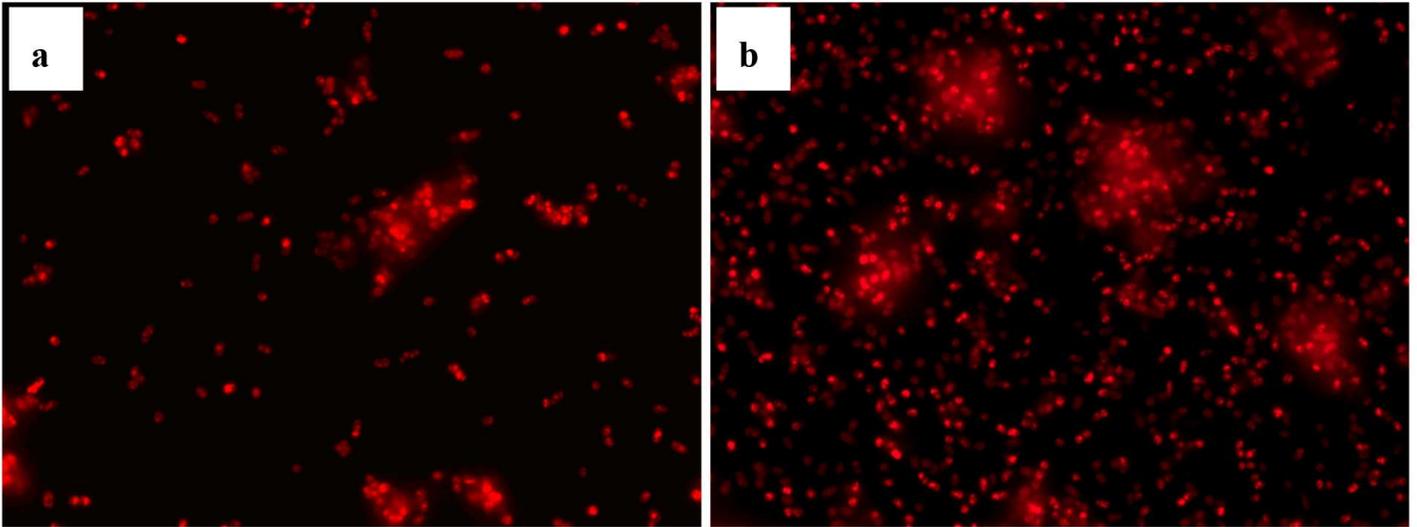
**Figure 1**

Microbial growth of *Cupriavidus necator* strains in LB medium supplemented with VFAsynthetic (a), VFAextracted mixture (b) and LB medium (c, control) during 72 h of incubation at 30 °C .



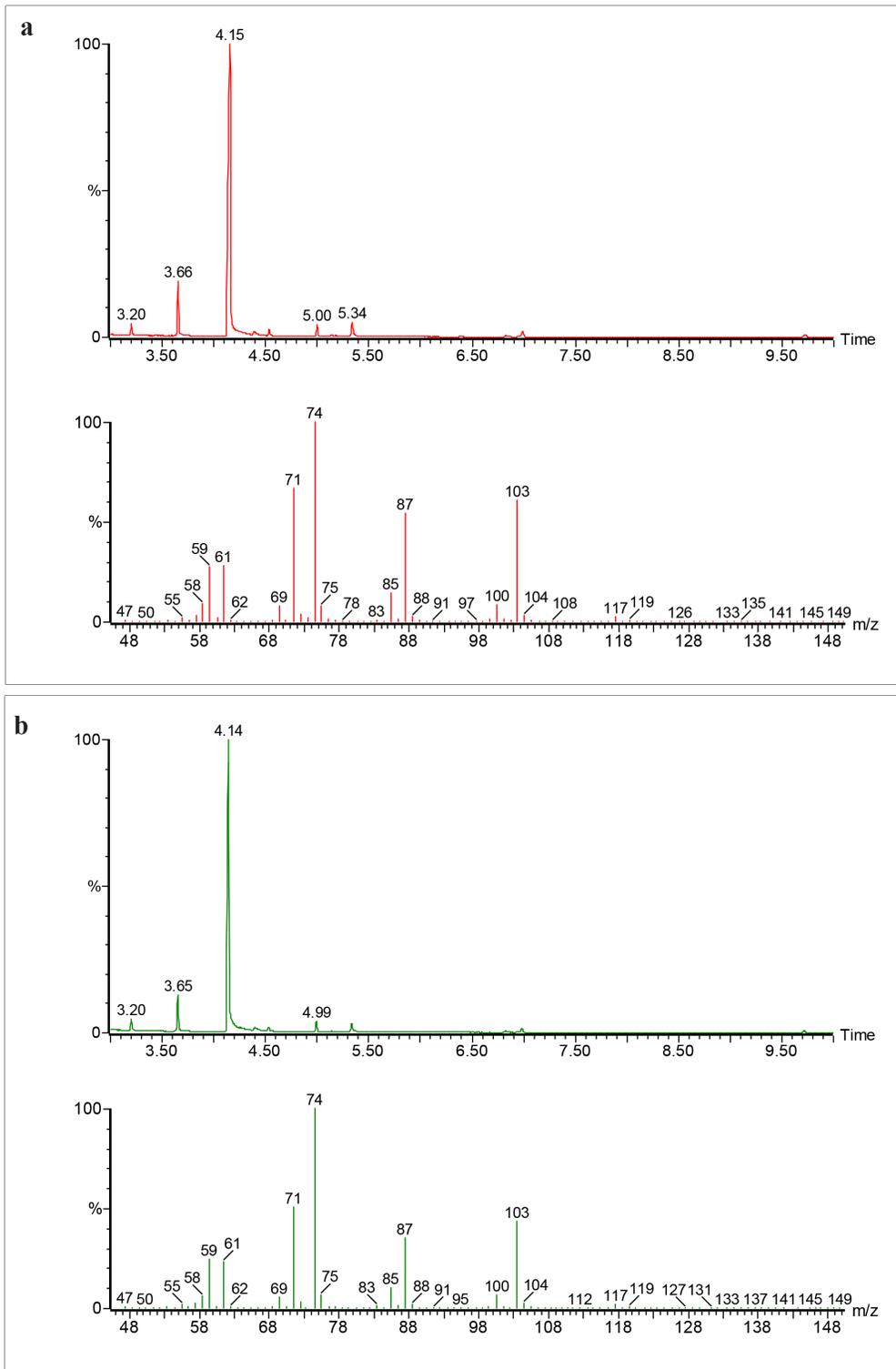
**Figure 2**

PHB production (%) and microbial counts (log CFU mL<sup>-1</sup>) of *C. necator* DSM 13513 in batch cultures grown in LB medium supplemented with VFAsynthetic (a), VFAextracted mixture (b) and LB medium (c, control) during 48 h of incubation at 30 °C.



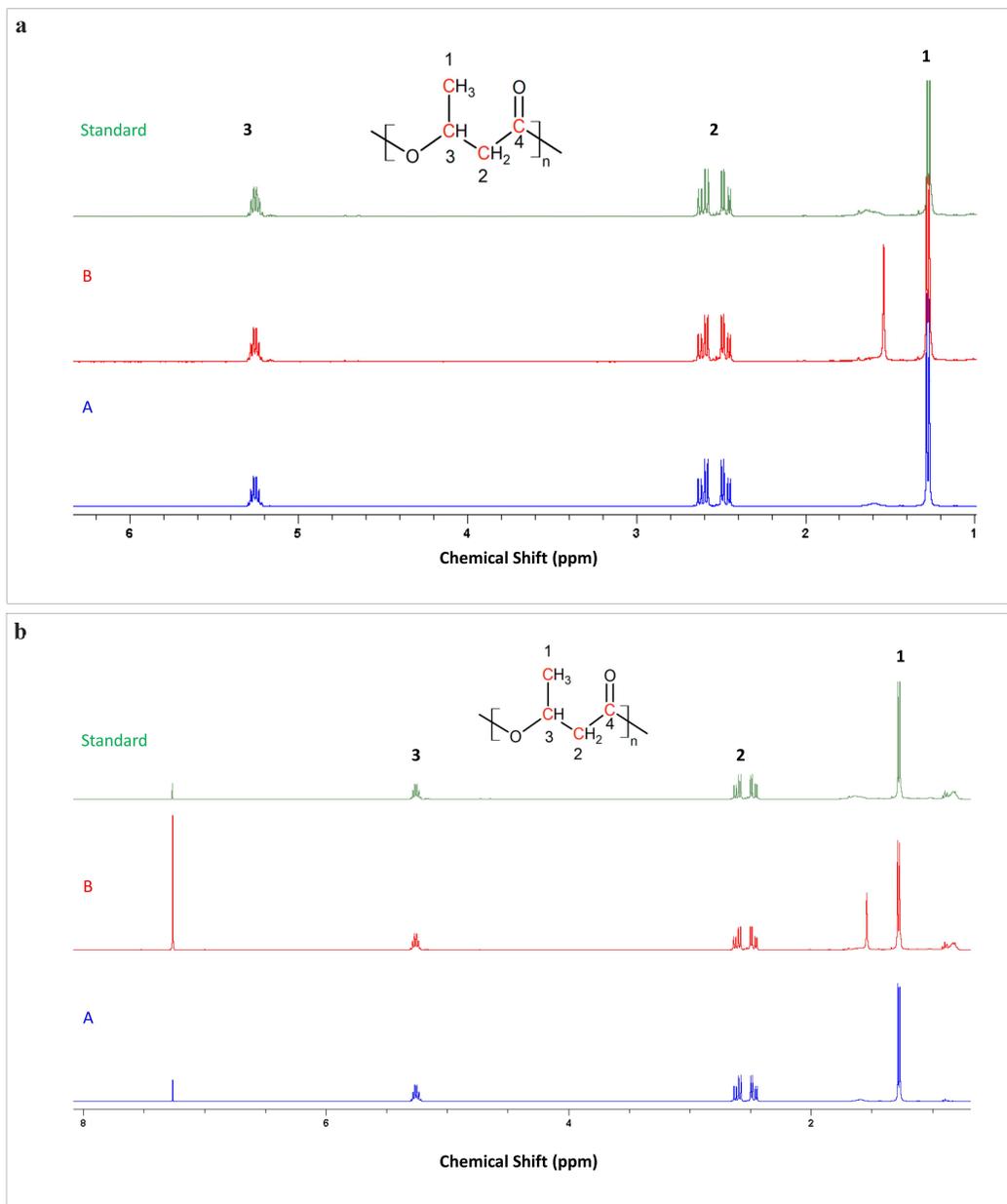
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PHAs accumulation in *C. necator* DSM 13513 cells grown in LB medium supplemented with VFAsynthetic (a; 24h) or VFAextracted mixture (b) observed by fluorescence microscope (Axiovert 200M, Zeiss, Göttingen, Germany) after 48 h of incubation at 30 °C, corresponding to the maximum production in the experimental conditions.



**Figure 4**

GC-MS total-ion chromatogram and spectrum of PHA monomers recovered by *C. necator* DSM 13513 grown with VFAsynthetic (a), and VFAsextracted mixture (b).



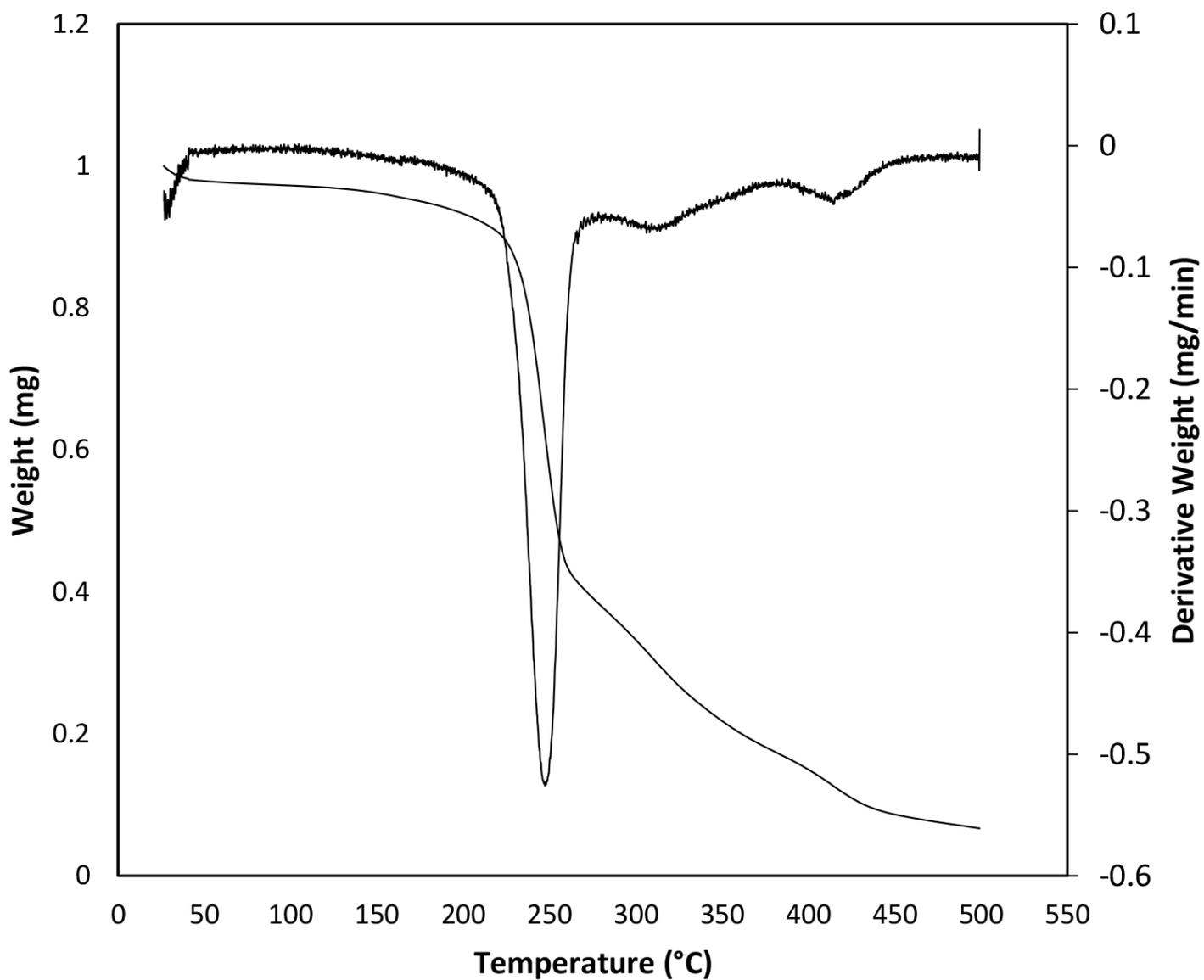
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$^1\text{H}$  NMR (a) and  $^{13}\text{C}$  NMR (b) proton spectrum of PHA from substrates with VFAsynthetic (A), VFAextracted mixture (B) and standard Poly (R) -3-hydroxybutyric acid.



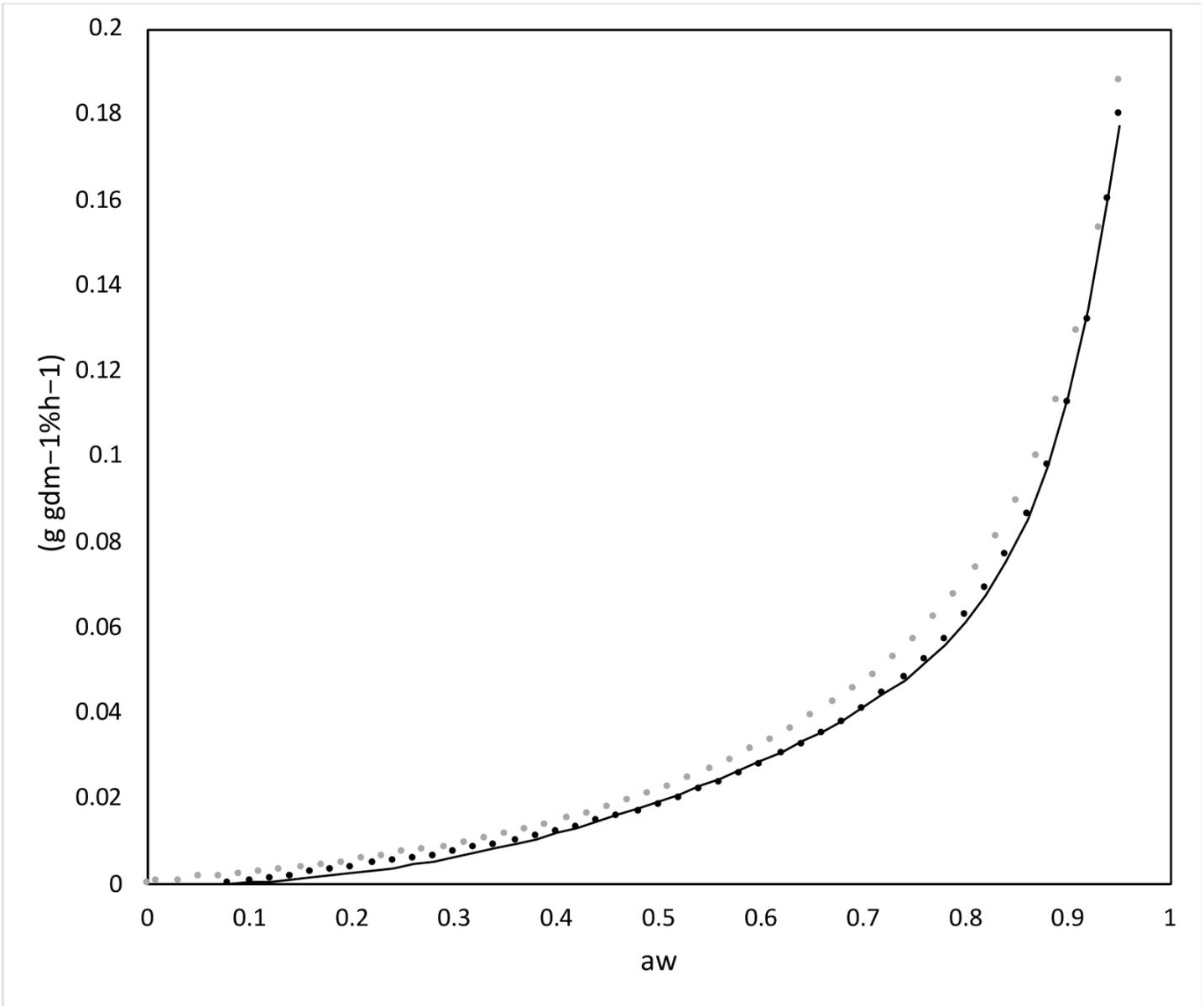
**Figure 6**

Bioplastic disk obtained from the microbial cells of *C. necator* DSM 13513 grown with VFA extracted mixture from digested dairy wastes effluent.



**Figure 7**

Thermogravimetric (TGA) and dynamic vapor sorption (DTG) analysis of PHA films at a heating rate of 10 °C / min.



**Figure 8**

Equilibrium moisture sorption (black circle) and desorption (grey circle) isotherm of PHA. Line represent the fitting of the Guggenheim-Anderson-deBoer (GAB) model to the experimental data of sorption isotherm.