

Higher PEEP versus Lower PEEP Strategies for Patients in ICU without Acute Respiratory Distress Syndrome: A Systematic Review and Meta-Analysis

Hongyu Yi

Chinese PLA General Hospital

Xiaoming Li

PLAGH: Chinese PLA General Hospital

Zhi Mao

PLAGH: Chinese PLA General Hospital

Chao Liu

PLAGH: Chinese PLA General Hospital

Xin Hu

PLAGH: Chinese PLA General Hospital

Rengjie Song

PLAGH: Chinese PLA General Hospital

Shuang Qi

PLAGH: Chinese PLA General Hospital

Feihu Zhou (✉ feihuzhou301@126.com)

Department of Critical Care Medicine, The First Medical Centre, Chinese PLA General Hospital

<https://orcid.org/0000-0001-6154-013X>

Research

Keywords: Positive end-expiratory pressure, Mechanical ventilation, Hypoxaemia, Acute respiratory distress syndrome, Intensive care unit, Systematic review, Meta-analysis

Posted Date: March 17th, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-306348/v1>

License:  This work is licensed under a Creative Commons Attribution 4.0 International License. [Read Full License](#)

Version of Record: A version of this preprint was published at Journal of Critical Care on February 1st, 2022. See the published version at <https://doi.org/10.1016/j.jcrc.2021.09.026>.

Abstract

Background: The application of high PEEP remains to be a controversial issue when it comes to ICU patients underwent ventilation. There are studies supporting the usage of high PEEP in patients with ARDS, while for those without ARDS, the conclusion is of great ambiguity. We performed this systematic review and meta-analysis to compare the effects of high and low level of PEEP on ICU patients without ARDS.

Methods: We searched public databases (including PubMed, EMBASE, Cochrane Library and Clinicaltrial.gov) to find eligible randomized controlled trials (RCTs). The primary outcomes included in this meta-analysis were in-hospital mortality, 28-day mortality and the duration of ventilation, ICU stay, and hospital stay. We used the Cochrane risk of bias assessment tool to evaluate risk of bias. Trial Sequential Analysis (TSA) was conducted.

Results: We included 2307 patients from 24 trials using high and low PEEP. Although no significant difference was found between high and low PEEP applications in in-hospital mortality (risk ratio[RR] 0.98, 95% confidence interval[CI] [0.81, 1.19], $P=0.87$), 28-day mortality (RR 0.68, 95% CI [0.33, 1.40], $P=0.30$) and the duration of ventilation (mean difference[MD] -0.30, 95% CI [-0.64, 0.04], $P=0.09$), ICU stay (MD -0.38, 95% CI [-1.03, 0.27], $P=0.25$), and hospital stay (MD -0.56, 95% CI [-1.44, 0.32], $P=0.22$), high PEEP indeed increased the level of $\text{PaO}_2/\text{FIO}_2$ (MD 32.39, 95% CI [13.06, 51.72], $P=0.001$), and therefore decreased the incidences of ARDS (RR 0.57, 95% CI [0.37, 0.89], $P=0.01$) and hypoxaemia (RR 0.60, 95% CI [0.41, 0.88], $P=0.009$). In addition, although total results did not reveal the advantage of high PEEP on other secondary outcomes regarding atelectasis, barotrauma, ventilator associated pneumonia (VAP), hypotension, mean arterial pressure (MAP), SaO_2 and lactate, subgroup analysis seemed to obtain different results. The TSA results suggested more RCTs were needed.

Conclusion: Ventilation with high PEEP in ICU patients without ARDS may improve the level of oxygenation ($\text{PaO}_2/\text{FIO}_2$) resulting in low incidences of ARDS and hypoxaemia. Nevertheless, other clinical outcomes including in-hospital and 28-day mortality, duration of ventilation, ICU stay and hospital stay, pulmonary complications, hemodynamics and post-operative fluid balance did not show any significant difference.

1 Introduction

The wide application of high positive end-expiratory pressure (PEEP) in acute respiratory distress syndrome (ARDS) has been thought to be one of the best ventilation strategies for patients to reduce mortality and improve other clinical outcomes^[1]. However, there is no definitive conclusion regarding the benefits of PEEP usage in patients without ARDS. Post-operative patients admitted to ICU often receive mechanical ventilation to prevent insufficient oxygenation and improve the gas exchange^[2], especially those underwent open heart surgery^[3]. According to several studies, coronary artery bypass grafting (CABG) is closely associated with impaired lung function, resulting in high risk of postoperative pulmonary complications such as atelectasis, pneumonia, ARDS, pneumothorax and so forth^[4]. Similarly, for patients suffering from acute respiratory failure (ARF), hypoxaemia and even brain diseases^[5], adequate respiratory support therapy is also required.

To prevent or dispose of pulmonary complications and hypoxic conditions of ICU patients, various ventilation strategies have been performed, including intermittent positive pressure breathing (IPPB), continuous positive
Loading [MathJax]/jax/output/CommonHTML/fonts/TeX/fontdata.js^[6]. But none of them produced obvious effectiveness.

High level of PEEP, combined with the low tidal volume ($V_T \leq 6$ ml/kg and adjusted according to body weight), plateau pressure ≤ 30 cm H₂O and permissive hypercapnia, was called the lung-protective ventilation strategy and may lead to the improvement in oxygenation^[7, 8]. However, in one meta-analysis incorporating eight RCTs (randomized controlled trials), patients with ARDS did not obtain benefits from high PEEP in regard to 28-day mortality, barotrauma, and organ failure^[9]. In addition, RCTs focused on patients without ARDS using high PEEP versus low PEEP drew different conclusions. Dyhr et al.^[8] and Celebi et al.^[10] reported that the usage of high PEEP might decreased the incidence of atelectasis while eased the hypoxaemia via increasing the level of PaO₂. Similarly, Borges et al.^[11] found high PEEP could increase the level of PaO₂/FIO₂ resulting in decreased hypoxaemia in post-operative patients underwent cardiac surgery. However, several studies did not verify the possible effect of high PEEP on pulmonary complications and even reported the increased risk of ARDS in trauma patients received high PEEP^[12]. In terms of hemodynamics, ventilation with high PEEP might reduce the level of mean arterial pressure (MAP) and raised the development of hypotension in patients without ARDS^[13].

Furthermore, a recently RCT did not find evidence to support the application of high PEEP in ICU patients without ARDS^[14]. Although these is already a systematic review published in 2016 comparing the effectiveness between high and low PEEP in non-ARDS patients^[15], the conclusions were insufficient and did not include some recent studies. For these reasons, we conducted this systemic review and meta-analysis to update the existing evidence and find more factors to evaluate the efficacy of high and low level of PEEP performed in ICU patients without ARDS.

2 Methods

We followed the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA statement) guidelines to perform this systematic review and meta-analysis^[16]. We have prospectively registered this meta-analysis on PROSPERO database (Registration number: CRD42021229077).

2.1 Search strategy and selection of studies

We applied an electronic search of PubMed (1955 till December 2020), CENTRAL (the Cochrane Library till December 2020), Clinicaltrial.gov (till December 2020), and EMBASE(till December 2020)with no language restrictions. The search strategy combined with keywords and Medical Subject Headings (MeSH) is as follows: (“Positive End-Expiratory Pressure” OR “positive-end expiratory pressure” OR “PEEP” OR “positive end-expiratory pressure”) AND (“randomized controlled trial” OR “RCT”). To find out additional studies, we also checked the reference of studies included and other systematic reviews.

Two investigators (Hongyu Yi and Xiaoming Li) independently and blindly screened the possible studies identified by above databases. Any discrepancies were solved by discussion. Studies were selected if they met the following criteria: 1) Participants: adult patients who were not diagnosed with ARDS upon their admission to ICU; 2) Intervention and comparison intervention: patients randomized into two groups receiving different level of PEEP respectively, intervention group received higher level of PEEP, while comparison group received lower level of PEEP; 3) Outcomes: at least one of these following outcomes can be extracted: primary outcomes (including in-hospital mortality, 28-day mortality and the duration of ventilation, ICU stay and hospital stay) and

Loading [MathJax]/jax/output/CommonHTML/fonts/TeX/fontdata.js hemodynamics index, gas exchange and oxygenation

index); 4) Study design: randomized controlled trial. The exclusive criteria are as follows: 1) Observational and retrospective studies; 2) the differences of other ventilator parameters except for PEEP were enough to affect the outcomes; 3) patients not in ICU; 4) self-controlled studies that different levels of PEEP were applied within a single patient.

2.2 Data extraction and quality assessment of studies

The following items of data were extracted from studies by two authors (Hongyu Yi and Xiaoming Li) with further reviewed by a third author (Zhi Mao): first author, publication year, country, study design, sample size, male percentage, median age of each group, tidal volume, ventilation strategies, hemodynamics index, gas exchange and oxygenation index and clinical outcomes.

The Cochrane Risk of Bias Assessment tool was used to evaluate the quality of studies included. The items included: the random sequence generation, allocation concealment, blinding of participants and personnel, blinding of outcome assessment, incomplete outcome data, selective reporting, and other bias.

The primary outcomes were in-hospital mortality, 28-day mortality and the duration of ventilation, ICU stay, and hospital stay. The secondary outcomes included 1) pulmonary complications such as ARDS, atelectasis, barotrauma and ventilator associated pneumonia (VAP); 2) hypotension and mean arterial pressure (MAP); 3) hypoxaemia, $\text{PaO}_2/\text{FIO}_2$, oxygen saturation (SaO_2) and lactate; 4) chest-tube drainage and red blood cell (RBC) usage after cardiac surgery.

2.3 Trial sequential analysis

We performed the trial sequential analysis to assess whether the studies in our meta-analysis were convincing and authentic. The cumulative Z curve was conducted using a random effect model. Parameters were set as follows: 1) a two-side α of 0.05; 2) power of 80% and 3) the anticipated relative risk reduction (RRR) of 20% with the adjustment based on the incidence of intervention and control group of our selected studies. Detailed process and assessment of TSA were carried out according to a previous study^[17].

2.3 Statistical analysis

For dichotomous variables, we calculated the relative risks (RRs) with their 95% confidence intervals (CIs) using random effects model via Mantel and Haenszel method. In addition, we applied pooled mean differences (MDs) with a 95% CI for continuous outcomes. We graphically presented these pooled variables using forest plot graphs. Statistical heterogeneity of selected studies was assessed by I^2 that estimates the percentage of total variation across the studies due to heterogeneity rather than chance. I^2 was calculated as $I^2 = 100\% \times (Q-df)/Q$, where Q is the Cochran's heterogeneity statistic. I^2 values above 50% indicate considerable statistical heterogeneity. Publication bias was displayed using a funnel plot and if the value of P is less than 0.1, publication bias may exist. We also conducted subgroup analysis regarding the level of low PEEP (using zero end-expiratory pressure (ZEEP) or not), publication year (before or after 2000) and type of patients (postoperative or medical ones) of selected studies to further evaluate the potential impacts of these confounders.

All parametric data were presented as the mean \pm standard deviation (SD) except for nonparametric ones expressed as the median (interquartile range). Under such condition, mean and SD were calculated via the

BoxCox approach^[18]. Review Manager 5.3 (The Cochrane Collaboration, Oxford, UK) and TSA 0.9.5.10 (Copenhagen Trial Unit, Copenhagen, Denmark) were used to perform statistical analyses. $P < 0.05$ were defined as statistically significant.

3 Results

3.1 Characteristics of selected studies

Our search strategies returned 16358 potential articles followed with the exclusion of 3696 duplicate studies (Fig. 1). According to inclusion and exclusion criteria mentioned before, we precluded 12599 results after screening titles and abstracts in detail. After carefully reading the full text of the remaining 63 articles, we found 39 of them inappropriate for the following reasons: 1) not ICU patients ($n = 3$); 2) disequilibrium of other ventilation parameters ($n = 7$); 3) not RCT ($n = 3$); 4) animal trials ($n = 2$); 5) receiving different PEEP within single patients ($n = 15$); 6) including patients with ARDS or age under 18 ($n = 2$); 7) retrospective studies ($n = 4$); 8) intra-operative studies ($n = 2$) and 9) full article unavailable. Therefore, we finally analyzed 24 studies^[5, 8, 10–14, 19–35].

The main characteristics of selected studies are shown in Table 1 and Additional file 1. These RCTs were conducted among different countries in the world and most of them were published in English except one in Chinese^[5]. There was a wide range of publication year among studies and 10 of them published 20 years ago. Among studies presented in Table 1, high PEEP groups applied PEEP ranging from 5 to 30 cm H₂O, while the range of PEEP in low PEEP groups was from 0 to 10 cm H₂O. Ten studies used ZEEP (defined as 0 cm H₂O PEEP) as comparative group and most of them published earlier^[8, 12, 19, 21–26, 31]. Patients in ICU requiring PEEP were either medical ones (including ARF, trauma, NPE, etc.), postoperative ones (most of them underwent cardiac surgery) or mixed ones (containing medical and postoperative patients), and the number of participants ranged from 15 to 969. Although the strategy and the duration of ventilation varied among studies, the levels of tidal volumes of each study were quite similar.

Table 1
Characteristics of selected studies

| Studies | Patient type | Total Number | High PEEP | | | Low PEEP | | | Main conclusions |
|------------------------|---|-----------------|-----------|------|----|----------|------|----|--|
| | | | N | PEEP | RM | N | PEEP | RM | |
| Medical ICU patients | | | | | | | | | |
| Feeley et al. 1975 | ARF | 25 | 12 | 5 | NO | 13 | 0 | NO | High PEEP increased inspiratory force and vital capacity in patients during weaning |
| Weigelt et al. 1979 | At risk of ARDS | 79 | 45 | 5 | NO | 34 | 0 | NO | High PEEP reduced pulmonary mortality, ARDS, and the duration of ventilation and ICU stay while increased pulmonary dysfunction. No differences in in-hospital mortality |
| Pepe et al. 1984 | At risk of ARDS | 92 | 44 | 8 | NO | 48 | 0 | NO | No differences in in-hospital mortality, ARDS, atelectasis, and pneumonia |
| Nelson et al. 1987 | Hypoxaemia (PaO ₂ /FIO ₂ < 250, not ARDS) | 38 | 20 | 15 | NO | 18 | 8 | NO | No differences in in-hospital and ICU mortality, pulmonary barotrauma and the duration of ventilation, ICU stay, and hospital stay |

| Studies | Patient type | Total Number | High PEEP | | | Low PEEP | | | Main conclusions |
|----------------------------|----------------------|-----------------|-----------|-------|----|----------|------|----|--|
| | | | N | PEEP | RM | N | PEEP | RM | |
| Vigil et al. 1996 | Trauma | 39 | 20 | 5 | NO | 19 | 0 | NO | High PEEP increased ARDS and the duration of hospital stay. No differences in in-hospital mortality, the duration of ventilation, the physiologic shunt, V_D/V_T and PaO_2/FiO_2 |
| Koutsoukou et al. 2006 | Brain-damaged | 21 | 11 | 8 | NO | 10 | 0 | NO | High PEEP kept static elastance and minimal resistance stable which may prevented low lung volume injury |
| Lesur et al. 2010 | ARF | 63 | 30 | 5 | NO | 33 | 0 | NO | No differences in in-hospital and 28-day mortality, the duration of ventilation, hypotension, and MAP |
| Ma et al. 2014 | NPE | 120 | 60 | 11–30 | NO | 60 | 3–10 | NO | High PEEP increased PaO_2/FiO_2 while decreased MAP, lactate and 28-day mortality |
| Postoperative ICU patients | | | | | | | | | |
| Good Jr et al. 1979 | Post-cardiac surgery | 24 | 10 | 8 | NO | 14 | 0 | NO | No differences in alveolar-arterial oxygen ratio, PaO_2/FiO_2 and atelectasis |

| Studies | Patient type | Total Number | High PEEP | | | Low PEEP | | | Main conclusions |
|-----------------------------|--|-----------------|-----------|------|----|----------|------|----|---|
| | | | N | PEEP | RM | N | PEEP | RM | |
| Zurick et al. 1982 | Post-cardiac surgery | 83 | 41 | 10 | NO | 42 | 0 | NO | No differences in the amount of chest-tube drainage and blood administered, and the level of hematocrit |
| Marvel et al. 1986 | Post-cardiac surgery | 44 ^a | 12 | 10 | NO | 15 | 5 | NO | No differences in alveolar- arterial oxygen ratio, atelectasis and the duration of ICU stay |
| Michalopoulos et al.1998 | Post-cardiac surgery | 67 ^b | 21 | 10 | NO | 24 | 5 | NO | No differences in in-hospital mortality, pneumothorax, atelectasis, the duration of ventilation, the amount of transfusion requirements (RBC, crystalloid and albumin), PaO ₂ /FIO ₂ , and SvO ₂ |
| Carroll et al. 1988 | Post-cardiac surgery (PaO ₂ /FIO ₂ < 200) | 50 | 22 | 15 | NO | 28 | 4 | NO | High PEEP increased hypotension, pulmonary complications, the duration of ventilation and in-hospital mortality |

| Studies | Patient type | Total Number | High PEEP | | | Low PEEP | | | Main conclusions |
|------------------------|-------------------------|------------------|-----------|------|-----|----------|------|-----|---|
| | | | N | PEEP | RM | N | PEEP | RM | |
| Collier et al. 2002 | Post-cardiac surgery | 84 | 40 | 10 | NO | 44 | 5 | NO | No differences in in-hospital mortality, the duration of ventilation and hospital stay, and the amount of chest-tube drainage and transfusion requirements (RBC, crystalloid and albumin) |
| Dyhr et al. 2002 | Post-cardiac surgery | 15 | 7 | 15 | YES | 8 | 0 | YES | High PEEP increased PaO ₂ and EELV while decreased atelectasis. No differences in hemodynamics |
| Celebi et al. 2007 | Post-cardiac surgery | 60 | 20 | 10 | YES | 20 | 5 | YES | High PEEP increased PaO ₂ while decreased atelectasis. No differences in the duration of ventilation, ICU stay and hospital stay |
| Holland et al. 2007 | Post-cardiac surgery | 28 | 14 | 10 | NO | 14 | 5 | NO | No differences in liver function, gastric mucosal perfusion, and hemodynamics |
| Borges et al. 2013 | Post-cardiac surgery | 136 ^d | 45 | 10 | NO | 44 | 5 | NO | High PEEP increased PaO ₂ /FI _{O2} resulting in decreased hypoxaemia. No differences in hemodynamics |

| Studies | Patient type | Total Number | High PEEP | | | Low PEEP | | | Main conclusions |
|-----------------------------------|---|------------------|-----------|------------------|----|----------|------|----|--|
| | | | N | PEEP | RM | N | PEEP | RM | |
| Lima et al. 2015 | Post-cardiac surgery | 78 ^e | 20 | 10 | NO | 32 | 5 | NO | No differences in PaO ₂ /FIO ₂ and SaO ₂ in the first 6 h after extubation |
| Cordeiro et al. 2017 | Post-cardiac surgery | 30 ^f | 10 | 15 | NO | 10 | 10 | NO | No differences in PaO ₂ /FIO ₂ , SaO ₂ and hemodynamics during the NIV in the first day after surgery |
| Setak-Berenjestan-aki et al. 2017 | Post-cardiac surgery | 180 ^g | 60 | 10 | NO | 60 | 5 | NO | High PEEP decreased atelectasis, the duration of ventilation, ICU stay, and hospital stay |
| Cordeiro et al. 2019 | Post-cardiac surgery | 60 ^h | 18 | 15 | NO | 19 | 10 | NO | High PEEP increased PaO ₂ /FIO ₂ . No differences in hemodynamics and SaO ₂ |
| Mixed ICU patients | | | | | | | | | |
| Manzano et al. 2008 | Not hypoxaemia (PaO ₂ /FIO ₂ > 200) | 127 | 64 | 5/8 ^c | NO | 63 | 0 | NO | High PEEP decreased hypoxaemia and VAP. No differences in PaO ₂ /FIO ₂ , in-hospital mortality, pulmonary barotrauma, ARDS atelectasis and the duration of ventilation, ICU stay and hospital stay |

| Studies | Patient type | Total Number | High PEEP | | | Low PEEP | | | Main conclusions |
|--|--------------|-----------------|-----------|------|------------|----------|------|------------|--|
| | | | N | PEEP | RM | N | PEEP | RM | |
| Algera et al. 2020 | Mixed | 969 | 493 | 8 | 39/ 493 | 476 | 5 | 62/ 476 | No differences in in-hospital, ICU and 28-day mortality, ARDS, atelectasis, hypoxaemia, pneumothorax, VAP and the duration of ventilation, ICU stay, and hospital stay |
| Definition of abbreviations: V_T : tidal volume; CV: controlled ventilation; IPPV: intermittent positive-pressure ventilation; Q_{sp}/Q_t : physiologic shunt; SIMV: synchronous intermittent mandatory ventilation; Q_{VA}/Q_T : calculated venoarterial admixture. A/C: assist-control(A/C) ventilation; PSV: pressure support ventilation; VCV: volume-control ventilation; PSM: pressure support mode; V_D/V_T : represents ventilatory dead space; EELV: end-expiratory lung volume; MAP: mean arterial pressure; VAP: ventilator associated pneumonia. | | | | | | | | | |
| a. minus 17 patients using 0 cmH2O PEEP, the calculated number is 27 | | | | | | | | | |
| b. minus 22 patients using 0 cmH2O PEEP, the calculated number is 45 | | | | | | | | | |
| c. 5 cm H2O PEEP was applied when abdomen pressure below chest pressure, otherwise 8 cm H2O applied | | | | | | | | | |
| d. minus 47 patients using intermediate 8 cm H2O PEEP, the calculated number is 89 | | | | | | | | | |
| e. minus 26 patients using intermediate 8 cm H2O PEEP, the calculated number is 52 | | | | | | | | | |
| f. minus 10 patients using intermediate 12 cm H2O PEEP, the calculated number is 20 | | | | | | | | | |
| g. minus 60 patients using intermediate 8 cm H2O PEEP, the calculated number is 120 | | | | | | | | | |
| h. minus 23 patients using intermediate 12 cm H2O PEEP, the calculated number is 37 | | | | | | | | | |

The quality and publication bias of selected studies were shown in Additional file 4. For the majority of RCTs, the risk of bias was unclear or high due to the incompleteness of information, especially those published before 2000. The high risk of bias was mainly the blinding of participants and personnel as well as outcome evaluation.

3.2 Primary outcomes

Nine studies reported in-hospital mortality. Two hundred and eight of patients (36.1%) received high PEEP (n = 775) and 260 of patients (34.0%) received low PEEP (n = 764) died during hospitalization. The pooled RR was 0.98 (95% CI 0.81–1.19; $P = 0.87$; Fig. 2) and the heterogeneity was minimal ($I^2 = 14\%$). The TSA analysis found

Loading [MathJax]/jax/output/CommonHTML/fonts/TeX/fontdata.js each the required information size (RIS, n = 3026), the

cumulative Z-curve indeed crossed the futility boundary, which means that the cumulative evidence of in-hospital mortality was conclusive and sufficient.

Three studies reported 28-day mortality and the pooled RR was 0.68 (95% CI 0.33–1.40, $P = 0.30$, Fig. 2). The heterogeneity may be considerable ($I^2 = 89\%$). However, TSA results suggested further evidence was needed to get firm conclusions (Fig. 3A,B).

In terms of the duration of ventilation, ICU stay and hospital stay, there are seven, six and six studies reported respectively (Fig. 2) and their heterogeneity seemed quite high (81%, 76% and 70%, respectively). None of these outcomes acquired statistically significant differences ($P = 0.09$, 0.25 and 0.22, respectively). In addition, the publication bias evaluated by funnel plots indicated the risk may exist (Additional file 7).

Subgroup analysis regarding the level of low PEEP (ZEEP or not), publication year (before or after 2000) and patient type (medical or postoperative ones) were presented in Additional file 2. Subgroup results did not affect the pooled RR or MD of in-hospital mortality, the duration of ventilation, ICU stay, and hospital stay.

3.3 Secondary outcomes

Only four articles reported the incidence of ARDS within non-ARDS patients received PEEP treatment and found the potential preventive effects of high PEEP application (Additional file 5). Similarly, the incidence of hypoxaemia was also decreased in high PEEP group (RR 0.60, 95% CI 0.41–0.88, Additional file 5). TSA analysis regarding hypoxaemia confirmed benefits of high PEEP application while the evidence of ARDS was still insufficient (Fig. 3C,D). Moreover, the usage of high PEEP did not obtain benefits regarding the incidences of other pulmonary complications such as atelectasis, barotrauma and VAP ($P = 0.28$, 0.76 and 0.17, respectively, Additional file 5).

The level of $\text{PaO}_2/\text{FIO}_2$ was higher in high PEEP group (MD = 32.39; 95% CI 13.1–51.7; Additional file 6), while the level of SaO_2 and lactate did not show the difference (Additional file 6). In terms of hemodynamics index, neither of the incidence of hypotension and MAP exhibited statistically significant differences between high and low PEEP arms (Additional file 6). For patients underwent cardiac surgery, the pooled MD of postoperative chest-tube drainage and RBC usage were 32.1 (95% CI -97.8-162.1) and 0.02 (95% CI -0.48-0.53), respectively (Additional file 6). The application of high PEEP did not affect the postoperative fluid balance of patients. Moreover, funnel plots indicated that the bias of publication may exist (Additional file 7).

Subgroup analysis concerning the incidence of ARDS, atelectasis and hypoxaemia confirmed the benefits of high PEEP application in studies published after 2000 (Additional file 3). In addition, subtype analysis regarding the level of low PEEP (ZEEP or not) also obtained different results from the overall analysis, including $\text{PaO}_2/\text{FIO}_2$, the incidence of atelectasis, hypotension and VAP (Additional file 3). Similarly, $\text{PaO}_2/\text{FIO}_2$ and the incidence of hypoxaemia and hypotension seemed to be different between medical and postoperative patients (Additional file 3). However, some subgroups only contained one trial, indicating the insufficiency of studies.

4 Discussion

In this systemic review and meta-analysis, twenty-four RCTs were selected and different level of PEEP were compared in total 2307 ICU patients without ARDS. We indeed found the advantages of high PEEP usage in

Loading [MathJax]/jax/output/CommonHTML/fonts/TeX/fontdata.js

respect to the improvement of pulmonary oxygenation ($\text{PaO}_2/\text{FIO}_2$) and the prevention of ARDS and hypoxaemia. Nonetheless, in terms of primary outcomes, including in-hospital and 28-day mortality and the duration of ventilation, ICU stay and hospital stay, and secondary outcomes such as 1) atelectasis, barotrauma and VAP; 2) hypotension and MAP; 3) SaO_2 and lactate; 4) chest-tube drainage and RBC usage in post-operative patients, no significant differences were detected between two arms of PEEP.

Heterogeneity may exist because of the differences in publication year (before or after 2000), level of low PEEP (ZEEP or not) and patients type (medical or postoperative ones). We conducted subgroup analysis but did not identify differences from overall analysis, except for several secondary outcomes including $\text{PaO}_2/\text{FIO}_2$ and the incidence of atelectasis, hypotension, hypoxaemia and VAP. Unfortunately, some discrepancies between subgroup and total derived from only one single study, indicating that more evidence are needed in the future.

Although there was one meta-analysis published in 2016 investigating the possible effect of high PEEP, the quality of evidence was low and insufficient^[15]. In our present meta-analysis, we added four recent RCTs^[33-35] including one largest RCT concerning high PEEP usage in non-ARDS patients^[14]. Moreover, given that most of the selected patients underwent operations, especially cardiac ones, we also assessed the impact of high PEEP on postoperative chest-tube drainage and RBC usage, with no significant difference found.

The application of high PEEP in ICU patients has long been controversial. According to one meta-analysis, ARDS patients received high PEEP therapy acquired more benefits with respect to hospital survival compared with low PEEP group^[36]. A study investigated both ARDS patients and animal models depicted that high PEEP may remit lung injuries via lowering the level of spontaneous efforts as well as uniformly distributing negative pleural pressure (Ppl)^[37]. In our meta-analysis, high PEEP did not affect the mortality of patients without ARDS but reduced the incidence of hypoxaemia resulting in the prevention of ARDS. Another meta-analysis in animals without injured lung found that the advantages of high PEEP were uncertain and may even cause adverse impacts on hemodynamics^[38].

Increased inspiratory efforts among postoperative patients and medical ones, especially patients with ARF, may impair the oxygenation and hemodynamics, resulting in hypoxaemia, atelectasis and pneumonia. As depicted by recent studies, high PEEP was thought to increase end-expiratory lung volume, as well as the diaphragm radius of curvature, and therefore lower the efforts of inspiration. Besides, high PEEP resulted in decreased tidal recruitment and pulmonary barotrauma compared with low PEEP^[39, 40]. On the contrary, animal studies also showed that ventilation with high PEEP may even adversely cause lung injuries among healthy animals^[41, 42]. These findings indicated that high PEEP may result in different clinical outcomes based on the state of lung function.

The advantage of high PEEP in the improvement of oxygenation did not mean that we could set PEEP in a higher level without concerning the state of patients. The undue level of high PEEP may also be harmful to patients in ICU. However, the best level of PEEP is quite difficult to determine^[43]. Four RCTs in our present meta-analysis titrated PEEP according to the level of PaCO_2 , PaO_2 or pulse oximetry-measure oxygen saturation (SpO_2) to prevent from hypocapnia and hypoxaemia^[10, 13, 14, 28], while PEEP level in the rest of studies were set arbitrarily. Usually, PEEP was set 2 cm H_2O above the lower inflection point of the volume-pressure curve (PV)^[7],

Loading [MathJax]/jax/output/CommonHTML/fonts/TeX/fontdata.js] ced including the recruitment : inflation (R:I) ratio^[44],

electrical impedance tomography (EIT)^[45], PEEP/FIO₂ table^[46] and so forth. However, more RCTs still needed to further demonstrate the advantage of these methods.

The strength of our meta-analysis lies in following aspects. Firstly, we added four recent RCTs including a largest trial compared with the former meta-analysis. Secondly, we divided patients of selected studies into three parts: postoperative, medical, and mixed ones (including postoperative and medical), and therefore performed subgroup analysis. Thirdly, TSA was conducted to further evaluate the quality and reliability of evidence. Finally, we applied a rigorous BoxCox method to estimate mean and SD of studies that only reported median and interquartile range. Nonetheless, these also exist several limitations. Firstly, ten RCTs of selected studies were published before 2000, resulting in the bias of study design and incompleteness of information. Secondly, the level of high and low PEEP varied among selected studies. Although most of studies defined PEEP under 5 cm H₂O as lower ones, some of them used a higher level of PEEP as comparison group. Thirdly, the baseline of ventilation strategies of including studies were quite different. Moreover, TSA results suggested that the power of several evidence was limited.

5 Conclusions

The present meta-analysis revealed that high PEEP improved lung oxygenation and therefore reduced the incidence of ARDS and hypoxaemia among non-ARDS patients received ventilation. However, there was no difference in mortality, duration of ventilation, ICU stay and hospital stay, pulmonary complications, hemodynamics, and postoperative fluid equilibrium. The quality of most selected RCTs was low. TSA results showed that more RCTs of high quality were needed to confirm these conclusions.

Declarations

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Availability of data and materials

All data generated or analyzed during this study are included in this article [and its supplementary information files].

Competing interests

The authors declare no conflict of interests in this article.

Funding

Not applicable.

Author's contributions

HYY and XML contributed equally to this work. HYY and XML conceived the idea, conducted the collection of data and study design, performed statistical analyses, and wrote the manuscript. ZM and CL examined the data collection and offered advice of statistics. XH, RJS and SQ revised the manuscript. FHZ revised the whole manuscript and offered clinical recommendations. All authors approved the final manuscript.

Acknowledgements

We thank all researchers and clinicians involved in the individual trials.

References

1. Sud S, Friedrich JO, Adhikari NK, et al. Comparative effectiveness of protective ventilation strategies for moderate and severe ARDS: Network meta-analysis. *Am J Respir Crit Care Med.* (2021).
2. Karalpillai D, Weinberg L, Peyton P, et al. Effect of intraoperative low tidal volume vs conventional tidal volume on postoperative pulmonary complications in patients undergoing major surgery: A randomized clinical trial. *Jama.* (2020) 324(9):848-858.
3. Grønlykke L, Korshin A, Gustafsson F, et al. The effect of common interventions in the intensive care unit on right ventricular function after cardiac surgery-an intervention study. *J Cardiothorac Vasc Anesth.* (2020) 34(5):1211-1219.
4. Ji Q, Mei Y, Wang X, et al. Risk factors for pulmonary complications following cardiac surgery with cardiopulmonary bypass. *Int J Med Sci.* (2013) 10(11):1578-1583.
5. Ma C, Liang D, Zheng F. Effect of high positive end-expiratory pressure for mechanical ventilation in the treatment of neurological pulmonary edema. *Zhonghua Wei Zhong Bing Ji Jiu Yi Xue.* (2014) 26(5):339-342.
6. Renault JA, Costa-Val R, Rossetti MB. Respiratory physiotherapy in the pulmonary dysfunction after cardiac surgery. *Rev Bras Cir Cardiovasc.* (2008) 23(4):562-569.
7. Amato MB, Barbas CS, Medeiros DM, et al. Effect of a protective-ventilation strategy on mortality in the acute respiratory distress syndrome. *N Engl J Med.* (1998) 338(6):347-354.
8. Dyhr T, Laursen N, Larsson A. Effects of lung recruitment maneuver and positive end-expiratory pressure on lung volume, respiratory mechanics and alveolar gas mixing in patients ventilated after cardiac surgery. *Acta Anaesthesiologica Scandinavica.* (2002) 46(6):717-725.
9. Walkey AJ, Del Sorbo L, Hodgson CL, et al. Higher PEEP versus lower PEEP strategies for patients with acute respiratory distress syndrome. A systematic review and meta-analysis. *Ann Am Thorac Soc.* (2017) 14(Supplement_4):S297-s303.
10. Celebi S, Koner O, Menda F, et al. The pulmonary and hemodynamic effects of two different recruitment maneuvers after cardiac surgery. *Anesth Analg.* (2007) 104(2):384-390.
11. Borges DL, Nina VJ, Costa Mde A, et al. Effects of different PEEP levels on respiratory mechanics and oxygenation after coronary artery bypass grafting. *Rev Bras Cir Cardiovasc.* (2013) 28(3):380-385.
12. Vigil AR, Clevenger FW. The effects of positive end-expiratory pressure of intrapulmonary shunt and

13. Carroll GC, Tuman KJ, Braverman B, et al. Minimal positive end-expiratory pressure (peep) may be "best peep". *Chest*. (1988) 93(5):1020-1025.
14. Algera AG, Pisani L, Serpa Neto A, et al. Effect of a lower vs higher positive end-expiratory pressure strategy on ventilator-free days in icu patients without ards: A randomized clinical trial. *JAMA - Journal of the American Medical Association*. (2020).
15. Serpa Neto A, Filho RR, Cherpanath T, et al. Associations between positive end-expiratory pressure and outcome of patients without ards at onset of ventilation: A systematic review and meta-analysis of randomized controlled trials. *Ann Intensive Care*. (2016) 6(1):109.
16. Moher D, Liberati A, Tetzlaff J, et al. Preferred reporting items for systematic reviews and meta-analyses: The prisma statement. *Int J Surg*. (2010) 8(5):336-341.
17. Wetterslev J, Jakobsen JC, Gluud C. Trial sequential analysis in systematic reviews with meta-analysis. *BMC Med Res Methodol*. (2017) 17(1):39.
18. McGrath S, Zhao X, Steele R, et al. Estimating the sample mean and standard deviation from commonly reported quantiles in meta-analysis. *Stat Methods Med Res*. (2020):962280219889080.
19. Zurick AM, Urzua J, Ghattas M. Failure of positive end-expiratory pressure to decrease postoperative bleeding after cardiac surgery. *Annals of Thoracic Surgery*. (1982) 34(6):608-611.
20. Michalopoulos A, Anthi A, Rellos K, et al. Effects of positive end-expiratory pressure (peep) in cardiac surgery patients. *Respiratory Medicine*. (1998) 92(6):858-862.
21. Koutsoukou A, Perraki H, Raftopoulou A, et al. Respiratory mechanics in brain-damaged patients. *Intensive Care Medicine*. (2006) 32(12):1947-1954.
22. Manzano F, Fernández-Mondéjar E, Colmenero M, et al. Positive-end expiratory pressure reduces incidence of ventilator-associated pneumonia in nonhypoxemic patients. *Critical Care Medicine*. (2008) 36(8):2225-2231.
23. Feeley TW, Saumarez R, Klick JM, et al. Positive end-expiratory pressure in weaning patients from controlled ventilation. A prospective randomised trial. *Lancet*. (1975) 2(7938):725-729.
24. Good Jr JT, Wolz JF, Anderson JT. The routine use of positive end-expiratory pressure after open heart surgery. *Chest*. (1979) 76(4):397-400.
25. Weigelt JA, Mitchell RA, Snyder WH, 3rd. Early positive end-expiratory pressure in the adult respiratory distress syndrome. *Arch Surg*. (1979) 114(4):497-501.
26. Pepe PE, Hudson LD, Carrico CJ. Early application of positive end-expiratory pressure in patients at risk for the adult respiratory-distress syndrome. *N Engl J Med*. (1984) 311(5):281-286.
27. Marvel SL, Elliott CG, Tocino I, et al. Positive end-expiratory pressure following coronary artery bypass grafting. *Chest*. (1986) 90(4):537-541.
28. Nelson LD, Civetta JM, Hudson-Civetta J. Titrating positive end-expiratory pressure therapy in patients with early, moderate arterial hypoxemia. *Crit Care Med*. (1987) 15(1):14-19.
29. Collier B, Kolff J, Devineni R, et al. Prophylactic positive end-expiratory pressure and reduction of postoperative blood loss in open-heart surgery. *Ann Thorac Surg*. (2002) 74(4):1191-1194.

30. Holland A, Thuemer O, Schelenz C, et al. Positive end-expiratory pressure does not affect indocyanine green plasma disappearance rate or gastric mucosal perfusion after cardiac surgery. *Eur J Anaesthesiol.* (2007) 24(2):141-147.
31. Lesur O, Remillard MA, St-Pierre C, et al. Prophylactic positive end-expiratory pressure and postintubation hemodynamics: An interventional, randomized study. *Can Respir J.* (2010) 17(3):e45-50.
32. Lima RO, Borges DL, Costa Mde A, et al. Relationship between pre-extubation positive end-expiratory pressure and oxygenation after coronary artery bypass grafting. *Rev Bras Cir Cardiovasc.* (2015) 30(4):443-448.
33. Cordeiro ALL, Gruska CA, Ysla P, et al. Effect of different levels of peep on oxygenation during non-invasive ventilation in patients submitted to cabg surgery: Randomized clinical trial. *Braz J Cardiovasc Surg.* (2017) 32(4):295-300.
34. Setak-Berenjestanaki M, Bagheri-Nesami M, Gholipour Baradari A, et al. The prophylactic effect of different levels of positive endexpiratory pressure on the incidence rate of atelectasis after cardiac surgery: A randomized controlled trial. *Med J Islam Repub Iran.* (2018) 32:20.
35. Cordeiro ALL, Carvalho S, Leite MC, et al. Impact of lung expansion therapy using positive end-expiratory pressure in mechanically ventilated patients submitted to coronary artery bypass grafting. *Braz J Cardiovasc Surg.* (2019) 34(6):699-703.
36. Briel M, Meade M, Mercat A, et al. Higher vs lower positive end-expiratory pressure in patients with acute lung injury and acute respiratory distress syndrome: Systematic review and meta-analysis. *Jama.* (2010) 303(9):865-873.
37. Morais CCA, Koyama Y, Yoshida T, et al. High positive end-expiratory pressure renders spontaneous effort noninjurious. *Am J Respir Crit Care Med.* (2018) 197(10):1285-1296.
38. Algera AG, Pisani L, Chaves RCF, et al. Effects of peep on lung injury, pulmonary function, systemic circulation and mortality in animals with uninjured lungs-a systematic review. *Ann Transl Med.* (2018) 6(2):25.
39. Borges JB, Okamoto VN, Matos GF, et al. Reversibility of lung collapse and hypoxemia in early acute respiratory distress syndrome. *Am J Respir Crit Care Med.* (2006) 174(3):268-278.
40. Yoshida T, Roldan R, Beraldo MA, et al. Spontaneous effort during mechanical ventilation: Maximal injury with less positive end-expiratory pressure. *Crit Care Med.* (2016) 44(8):e678-688.
41. Carvalho AR, Jandre FC, Pino AV, et al. Effects of descending positive end-expiratory pressure on lung mechanics and aeration in healthy anaesthetized piglets. *Crit Care.* (2006) 10(4):R122.
42. Collino F, Rapetti F, Vasques F, et al. Positive end-expiratory pressure and mechanical power. *Anesthesiology.* (2019) 130(1):119-130.
43. Menk M, Estenssoro E, Sahetya SK, et al. Current and evolving standards of care for patients with ards. *Intensive Care Med.* (2020) 46(12):2157-2167.
44. Chen L, Del Sorbo L, Grieco DL, et al. Potential for lung recruitment estimated by the recruitment-to-inflation ratio in acute respiratory distress syndrome. A clinical trial. *Am J Respir Crit Care Med.* (2020) 201(2):178-187.
45. Perier F, Tuffet S, Maraffi T, et al. Electrical impedance tomography to titrate positive end-expiratory pressure in patients with acute respiratory distress syndrome. *Crit Care.* (2020) 24(1):678.

46. Sahetya SK, Hager DN, Stephens RS, et al. Peep titration to minimize driving pressure in subjects with ards: A prospective physiological study. *Respir Care*. (2020) 65(5):583-589.

Figures

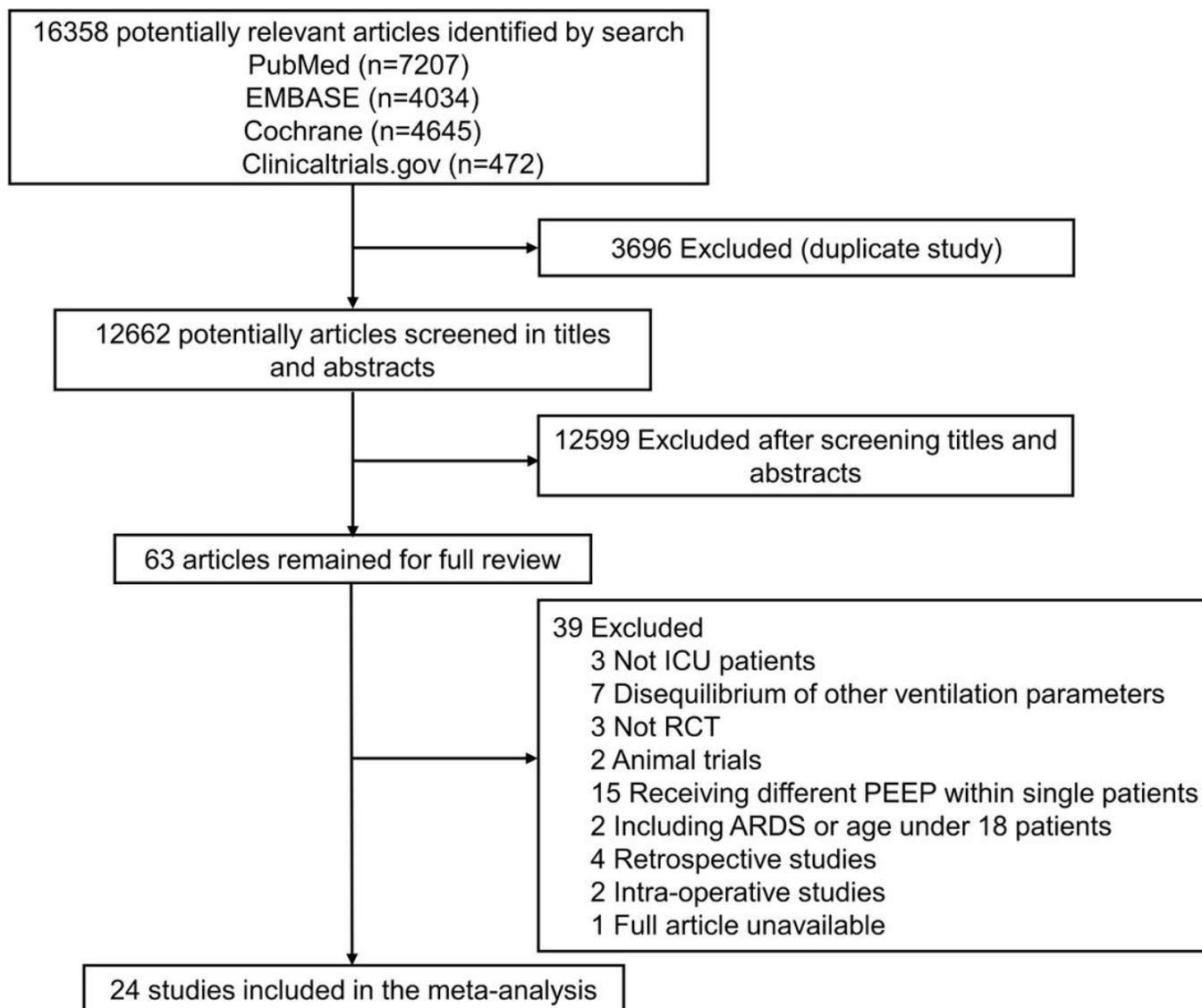


Figure 1

Flow diagram for the identification of selected studies

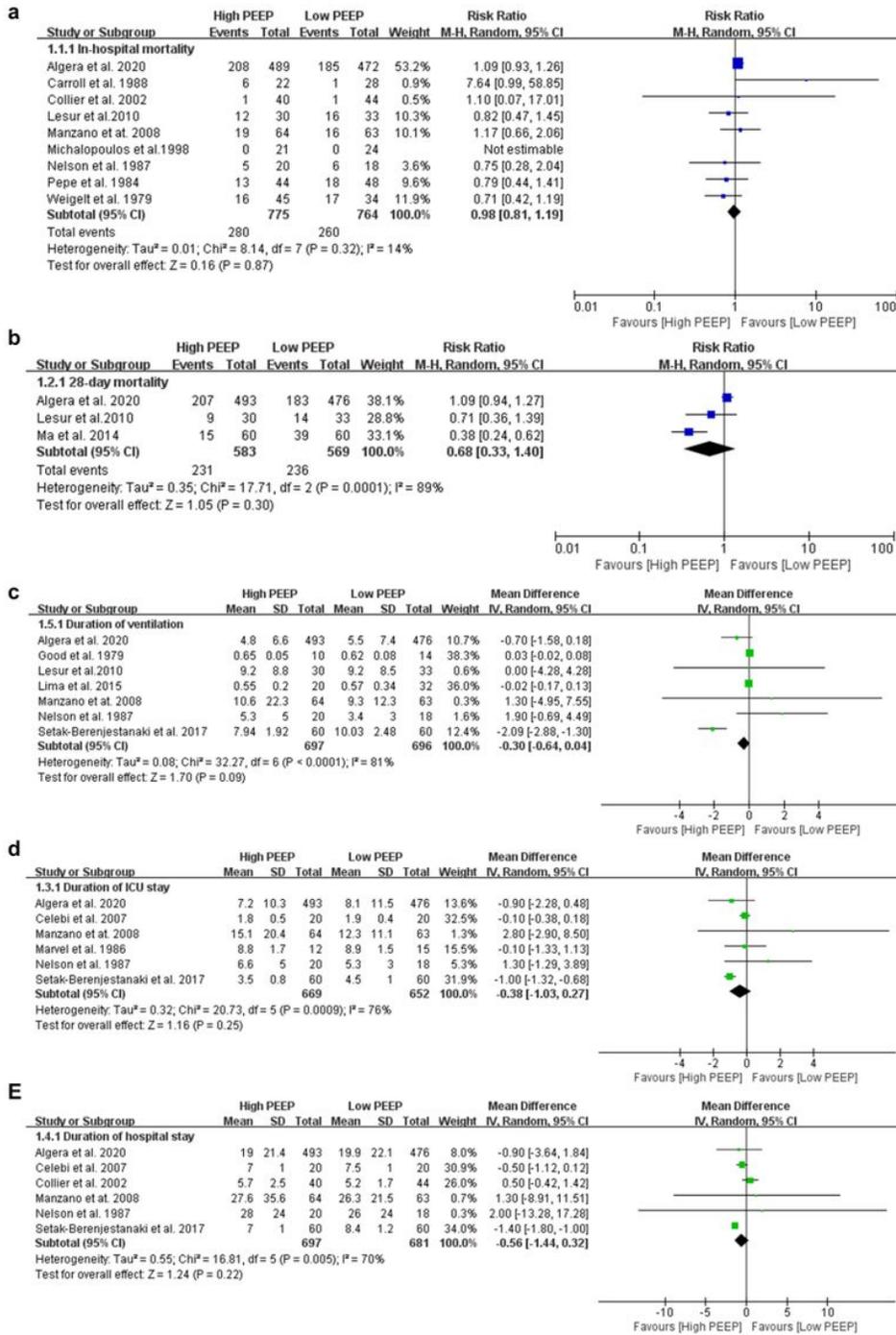


Figure 2

Forest plot of secondary outcomes: In-hospital mortality(a), 28-day mortality(b), Duration of ventilation(c), Duration of ICU stay(d) and Duration of hospital stay(e).

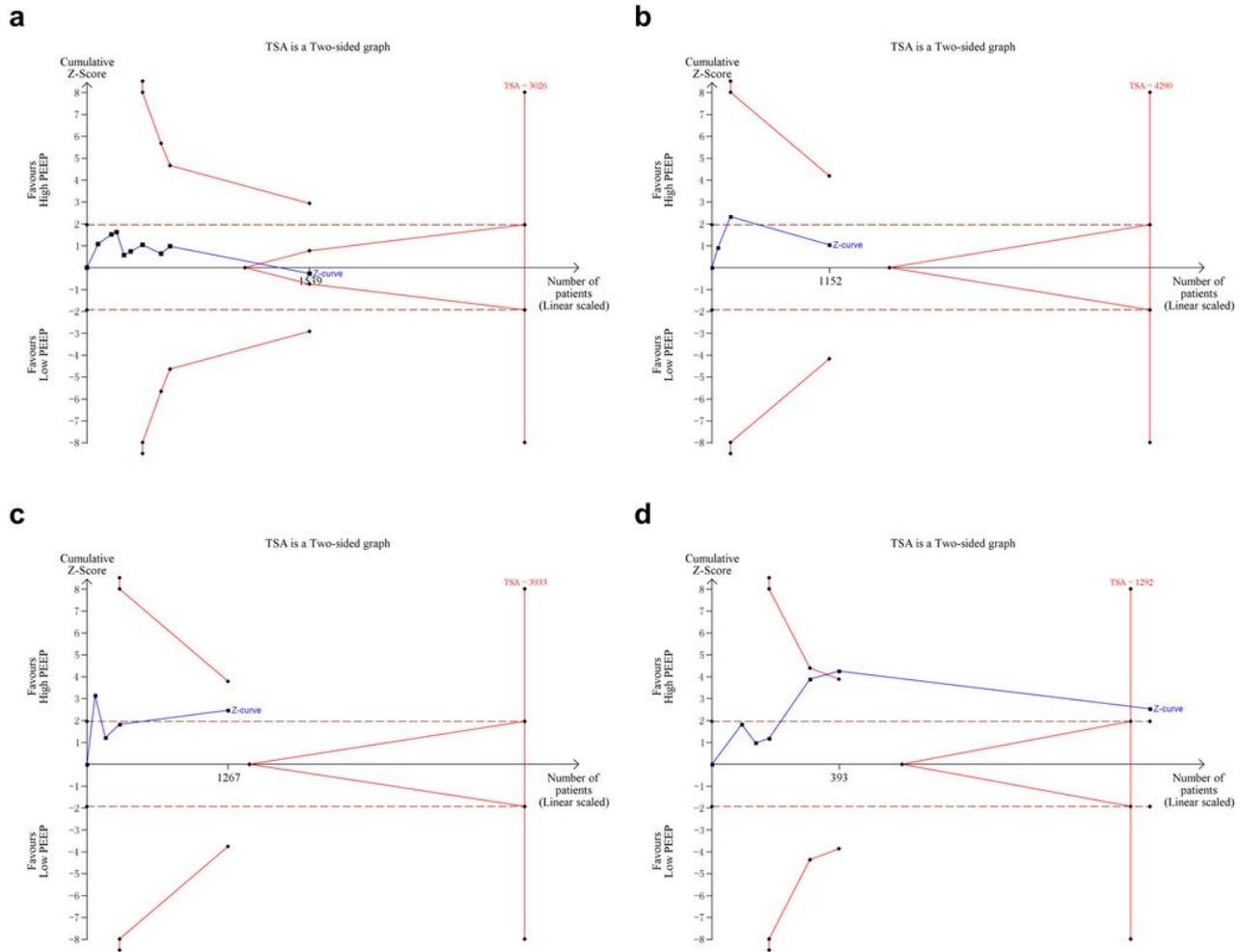


Figure 3

Trial sequential analysis. The cumulative Z curve (blue line) was conducted using a random effect model. Etched red line shows conventional test boundary. Complete red line represents the trial sequential monitoring boundary. a. TSA for in-hospital mortality. A diversity-adjusted information size of 3026 patients was calculated on the basis of using $\alpha = 0.05$ (two sided), $\beta = 0.20$ (power 80%), an anticipated relative risk reduction (RRR) of 15.0%, and a control event rate of 34.0%. The cumulative Z curve does not cross the conventional boundary as well as the trial sequential monitoring boundary, but reaches the futility boundary. b. TSA for 28-day mortality. A diversity-adjusted information size of 4290 patients was calculated on the basis of using $\alpha = 0.05$ (two sided), $\beta = 0.20$ (power 80%), an anticipated relative risk reduction (RRR) of 30.0%, and a control event rate of 41.0%. The cumulative Z curve does not cross the conventional boundary, the trial sequential monitoring boundary, and the futility boundary. c. TSA for ARDS. A diversity-adjusted information size of 3933 patients was calculated on the basis of using $\alpha = 0.05$ (two sided), $\beta = 0.20$ (power 80%), an anticipated relative risk reduction (RRR) of 30.0%, and a control event rate of 10.0%. The cumulative Z curve crosses the conventional boundary but not the trial sequential monitoring boundary. d. TSA for hypoxaemia. A diversity-adjusted information size of 1292 patients was calculated on the basis of using $\alpha = 0.05$ (two sided), $\beta = 0.20$ (power 80%), an anticipated relative risk

reduction (RRR) of 35.0%, and a control event rate of 25.0%. The cumulative Z curve crosses the conventional boundary and the trial sequential monitoring boundary.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Additionalfile1.docx](#)
- [Additionalfile2.docx](#)
- [Additionalfile3.docx](#)
- [Additionalfile4.tif](#)
- [Additionalfile5.tif](#)
- [Additionalfile61.tif](#)
- [Additionalfile62.tif](#)
- [Additionalfile7.tif](#)