

# Integrated Palliative Care: Triggers for referral to palliative care in ICU patients

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## Research Article

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# Abstract

## Introduction

Palliative care within Intensive Care Units (ICU) benefits decision making, symptom control and end-of-life care. It has shown to reduce length of ICU stay and the use of non-beneficial and unwanted life-sustaining therapies. However, it is often initiated late or not at all. There is increasing evidence behind screening ICU patients using certain criteria or “triggers”.

The aim of the project was to assess the need for palliative care referral during ICU admission using “Trigger” tools.

## Methods

Electronic patient record review of cancer patients who died in or within 30 days of discharge from oncology ICU 2016-18.

Patients referred to palliative care prior to or during ICU admission were identified. Three sets of palliative care referral “triggers” were applied: one that is being tested locally and two internationally derived tools. The proportion of patients who met any of these triggers during their final ICU admission was calculated.

## Results

Patients included:149. Median age: 65 years (range 20-83).

Unplanned ICU admissions: 89%.

Most common diagnoses: Haemato-oncology (32%); gastrointestinal cancer (22%).

Patients not known to palliative care team before ICU admission: 73%

Patients referred to palliative care from ICU admission to death: 44%

The overall median time (range) between palliative care referral and death: 0 (0-19) days.

97% to 99% patients warranted referral to palliative care on admission to ICU, using the internationally and locally derived trigger tools.

## Conclusion

All “Triggers” tools identified a high proportion of patients who may have benefitted from palliative care referral on admission to ICU.

## Background

In recent years data has shown that there has been an increasing number of cancer patients benefiting from intensive care support (1). Initiation of a 'trial of intensive care unit (ICU) therapy' in patients with advanced cancer is becoming more common (1). It is estimated that 18–30% of cancer patients use intensive care services (2, 3). ICU mortality for cancer patients admitted to ICU are approximately 27–43% (3, 4, 5), not dissimilar to general ICU patients however and there are a proportion of cancer patients who survive their ICU stay only die several months later from their underlying cancer (6). Being able to identify those cancer patients who may benefit from palliative care input at an early stage is key (7).

Early involvement of palliative care for patients with cancer has been proven in studies to improve patient experience, reduce symptom burden, support communication, and promote patient choice (8, 9, 10, 11, 12). Furthermore, early palliative care has been shown to reduce the use of acute care services including inpatient hospital admissions and emergency department attendances (13, 14, 15). Within the ICU setting the delivery of palliative care has shown to benefit decision making and symptom control, as well as reducing the length of ICU stay and the use of non-beneficial and unwanted life sustaining therapies (7, 13, 16, 17).

Prominent organisations such as The World Health Organisation, the European Society for Medical Oncology (18) and the American Society of Clinical Oncology (19) recommend that palliative care should be delivered early on, alongside standard oncology care, thereby ensuring patients with or at risk of unmet palliative care needs are identified proactively (20, 21).

However, there are several barriers to palliative care referral, and it is often initiated late in the course of a critical illness (13, 14, 22). Identification of patients who may benefit from palliative care review in the ICU and the best time to refer them to the speciality has been the subject of several studies and reviews. (23, 24). There is increasing evidence behind screening ICU patients using referral criteria or "triggers" (13, 24, 25, 26, 27, 28); as this may help to identify those patients who would benefit from a formal assessment of palliative care needs and offer a pragmatic approach to integrating palliative care.

The aims of this study were to

1. examine how many patients, who died on a cancer-specific ICU or within a month of ICU discharge, were referred to palliative care prior to death
2. Identify the proportion of patients who may have been referred to palliative care if a palliative care referral "Trigger" too had been used, either within 6 months prior to ICU admission or during ICU admission.

The project was split into two parts – in part one the referrals to palliative care in the 6 months prior to ICU admission were examined. Part two focused on the palliative care referrals made in ICU. In both parts of the project a number of trigger tools were retrospectively applied to explore the potential impact of each individual tool on palliative care referrals.

## Methods

# Setting and cohort

This study was approved as a service evaluation by the Royal Marsden Committee for Clinical Research. This study was carried out in a 269-bedded specialist adult cancer hospital located across two sites in London. The hospital is a tertiary referral centre for patients with cancer from across the United Kingdom and abroad. There is a 16-bedded mixed medical and surgical ICU, which admits approximately 1,400 patients per year and has capacity for both level 2 (single organ support, or extensively post-operative care) and 3 (two or more organ support, or advanced respiratory support) care.

The study included all patients who had died either in ICU or within 30 days of an ICU admission during a two-year period, between 01/04/2016 and 31/03/2018. There were no exclusion criteria.

## Study design

This was a two-part retrospective study with the first part relating to palliative care referral prior to ICU admission and the second relating to palliative care referral during ICU admission.

An initial literature review was carried out to identify palliative care referral trigger tools for inclusion in this study. A thorough literature search was conducted to find these tools, using the keywords “trigger tools” and “intensive care”. To date there is no evidence as to the most effective trigger tool in this setting. We adopted a pragmatic approach to deciding on the tools for inclusion in this study i.e. those with which we had clinical experience, those which had been devised with international input and those which had been evaluated in this particular clinical setting (Table 1). For the first part of the study, we included a palliative care referral “Trigger” tool that was developed at our centre and against which we have previously tested against acute oncology admissions (29). We also included a set of palliative care referral criteria for outpatient specialty palliative care which was devised through a process of international Delphi consensus (30). For the second part of the study we included two international trigger tools; BMJ Triggers (7) – evaluates a new 7-point screening tool, which screened over 600 patients at 4 different ICUs and found only 5 of the criteria to be relevant. Hua et al Triggers (16) is the other international screening tool, which evaluates the use of a 5-point screening tool for palliative care referral on ICU admissions.

An initial review was carried out to evaluate patients’ demographics, cancer type and stage, date and cause of death and the number of days between the last palliative care referral and death. For part one of the study we reviewed the patient records for the six months prior to ICU admission. We identified patients who had been referred to palliative care during this time and recorded the reason for this referral and the number of days between this referral and ICU admission. The two trigger tools, described above, were then applied to the data to assess which patients would have been identified for a palliative care referral, based on whether they were positive for any of the palliative care referral “triggers”.

In part two of the study, we investigated: the reason for ICU admission (20); when, if at all, patients had been referred to palliative care during their ICU admission and the reason for this. Three different trigger

tools, described above, were then used to assess which patients would have been identified for a palliative care referral, and the earliest they would have become positive for them. Throughout the study patients were considered positive for a “trigger” tool if they met one of “triggers”.

Patient records were examined using the Electronic Patient Record (EPR), IntelliView Clinical Information Portfolio (ICIP), and written notes. Data were collected by two investigators. All data were entered onto a database. Data were pseudo-anonymised and dealt with Good Clinical Practice guidelines and General.

## **Data analysis**

Data were described using descriptive methods. Median and range were used to describe continuous non-parametric clinical data, with counts and percentages used for discrete variables.

Table 1  
Trigger Tools used in study

Palliative Care Referral "Trigger" Tool	Hui Trigger Tool (30)	Royal Marsden Trigger (locally derived tool) (29)	Zalenski Trigger tool (7)	Hua et al Triggers (16)
Description	12-point tool used for outpatient speciality palliative care	Developed from expert consensus at Royal Marsden Hospital, 7-point palliative care referral trigger tool used in the oncology outpatients	5-point trigger tool used to determine which ICU patients require a palliative care referral	5-point trigger tool used to screen patients admitted to ICU
Palliative Care referral criteria within each "Trigger" Tool	Severe physical symptom	Metastatic cancer progressing after first line of treatment	Advanced Cancer	ICU admission after hospital stay of 10days or more
	Severe emotional symptom	Performance status ECOG 2 and deteriorating	ICU stay > 5days or readmission within 30days	Diagnosis of stage IV malignancy
	Hastened Death	Acute oncology or unplanned admission	Admission post arrest	Post cardiac arrest
	Spiritual and existential crisis	Severe or overwhelming symptoms	Team perceived need for palliative care	Intracerebral haemorrhage requiring ventilation
	Assistance with decision making	Anorexia, hypercalcaemia or any effusion	Admitted from nursing facility	
	Patient request	Moderate or severe psychological or existential distress		
	Delirium	Complex social issues		
	Brain metastases			
	Spinal cord compression			

Palliative Care Referral "Trigger" Tool	Hui Trigger Tool (30)	Royal Marsden Trigger (locally derived tool) (29)	Zalenski Trigger tool (7)	Hua et al Triggers (16)
	Within 3 months of diagnosis of advanced with median survival of 1 year			
	Progression on second line systemic therapy			
<p><b>A patient is considered to meet the requirements for a palliative care referral based on the "Trigger" tool if he/she is positive for any one of the criteria within the individual "Trigger" tool.</b></p>				

## Results

149 patients were included in this analysis. The median age of patients was 65 years (range 20–83 years). The most common diagnoses were haematological malignancies (31%, n = 46), followed by gastrointestinal malignancies (16%, n = 24). Evaluation of the data revealed that 89% (n = 134) of the ICU admissions, were unplanned. The most common reasons for ICU admission were other, which was mostly post-operative complications, respiratory failure and sepsis. The median length of ICU admission before death was 5 days, with a range of 0 to 70 days.

Sixty two percent of patients (n = 92) were referred to palliative care prior to death, 37 of these patients were referred to palliative care prior to ICU admission. That is only 25% of patients were referred to palliative care prior to ICU admission. The median time between the first palliative care referral and death was 11 days, with the range being between 0 and 1145 days.

During ICU admission only 56% of patients (n = 84) were referred to palliative care. Out of these 84 patients 35% (n = 29), were already known to palliative care prior to admission to ICU. There were 8 patients who had previously been referred to and were known to palliative care but were not referred during their ICU admission.

Of the patients who were referred to palliative care, the referrals were not only done quite late into their ICU admission but also close to death. The median number of days between ICU admission and referral was 7, with the range being between 2 and 24. The median number of days between palliative care referral in ICU and death was 0 with a range of 0 to 19; showing that the majority of patients who did get a palliative care referral, were only referred to palliative care on the day of their death.

It was found that 38% of patients (n = 57) were not referred to palliative care at all before death.

After applying the locally derived “Royal Marsden Trigger tool” to the data set from part 1, results showed that 71% (n = 106) of the patients met the criteria that suggested a palliative care referral would have been appropriate, prior to ICU admission. Application of the internationally derived “Delphi” trigger tool suggested that 59% (n = 88) of the patients would have warranted a palliative care referral in the 6 months prior to their admission to ICU.

Analysis of the data from part 2 of the study showed that a high percentage of patients would have met the criteria for a palliative care referral on admission to ICU. The locally derived “Royal Marsden Trigger tool”, recommended that 97% of the patients (n = 146) met the criteria for a palliative care referral on admission to ICU. The ICU specific international trigger tools, BMJ Triggers and Hua et al Triggers recommended that 99% (n = 149) and 97% (n = 146) of patients would have warranted a palliative care referral on admission to ICU, respectively.

Further evaluation of the data revealed that the use of the international ICU specific tools would have also resulted in a palliative care referral being made much earlier before death, allowing the patients and their families to have the full support of palliative care for longer. All patients who were positive for BMJ Trigger tools and Hua et al Triggers, met any one of the criteria for the tool on the day of their ICU admission. The median number of days between becoming positive for the trigger tool and death was 8 (range 0 to 70 days).

Table 2  
Retrospective application of Trigger tools to the data

<b>Part 1 prior to ICU admission</b>			
	<b>RM Triggers</b>	<b>Delphi</b>	
Number (%) of patients meeting any one of the Trigger criteria during the six months prior to ICU admission	106 (71)	88 (59)	
<b>Part 2 during ICU admission</b>			
	<b>RM Triggers</b>	<b>BMJ</b>	<b>HUA</b>
Number (%) of patients meeting any one of the Trigger criteria during ICU admission	143 (96)	146 (98)	143 (96)

## Discussion

In this retrospective study we found that most patients were not referred to palliative care both in the months leading up to their final ICU admission or even during it. In the small proportion of patients who were referred to palliative care, the referral was done very late when patients were days away from death. The study showed that the use of a palliative care referral “trigger” tools would have identified a high proportion of patients who may have benefitted from palliative care referral, consistent with findings from other studies. (7, 25, 26, 27, 28, 29)

Our results indicate that 75% of patients were unknown to palliative care in the lead up to their ICU admission. (13, 17)

Furthermore, results showed that only 56% (n = 84) patients were referred to palliative care during their ICU admission and out of these 29 patients were previously known to palliative care. This means that whilst in ICU 55 patients were referred to palliative care for the first time. We can also see that all the referrals done in ICU were made quite late into the admission. Out of the 84 patients that were referred 52, 62% were referred to palliative care on the day of their death.

The results from the retrospective application of trigger tools to the data suggests that there is a large proportion of patients who could have been identified for a palliative care referral and all the benefits that come with it, both before ICU admission and during it. The use of trigger tools in an outpatient setting suggests that between 59–71% of patients should have been seen by palliative care prior to their ICU admission. These patients may have benefitted from advance care planning and shared decision making which may have impacted on the decision for ICU. (13, 17) Additionally this data shows us that the vast proportion of cancer patients warrant palliative care reviews many months before becoming acutely unwell and requiring admission to ICU. This is also reflected in a trigger tools study conducted by Gemmell et al, which found a high percentage of cancer patients warranted a palliative care assessment earlier on in their disease trajectory (29). Looking at the use of trigger tools during ICU admission, we can see that 140 to 148 patients met the criteria for a palliative care referral whilst in ICU, which is more than double the number of patients that were referred in this cohort.

Whilst all the trigger tools picked up higher numbers of patients to refer to palliative care, they also identified patients for referral at an earlier stage. All the patients that were identified by the three trigger tools during ICU admission, were positive from the day of ICU admission. This meant that the median number of days between becoming positive for BMJ and Hua et al trigger tools and death was 8, compared to actual practice where most patients were referred to palliative care on the day of death. This would have allowed more time for patients and their families to benefit from a palliative care review and could have had a positive effect on patient care. (13, 14, 17)

The selected trigger tools for this study were found through extensive literature searches, as described above. The tools were selected as they not only appeared to be most relevant to our cohort but were also backed by the most robust evidence (7, 16, 28, 29, 30). The Hua et al and BMJ trigger tools, were used on more general ICU populations and hence have an evidence base in the group. However, as this study has investigated ICU patients who have cancer, there are a few triggers that are less relevant and others which are more likely to be positive due to the patient demographic. For example, we found that no patients were positive for “Admitted from a nursing facility”, whilst triggers relating to advanced or metastatic cancer were positive in 93–94% of the patients. Future research is needed to refine palliative care referral criteria which are specific for a cancer population. The ICU specific tools (Hua Tool and Zalenski Tool) identified a similar proportion of patients for referral as the non-ICU specific Royal Marsden Tool which has been previously tested in the outpatient setting. This may suggest that it is the use of a targeted

approach to the identification of patients for referral that matters most, rather than differences between the actual items included in the tools.

One of the main limitations of this study was that the data were collected retrospectively therefore is very reliant on the accuracy, completeness and quality of documentation. Also, regarding the trigger tools themselves, although most of the “triggers” were objective, there were also some subjective “triggers”. Therefore, the results may include some bias on part of the data collector and may have varied if purely objective “triggers” had been used, such as “Team perceived need for palliative care”. For example, one of the triggers included in both the Hua and Zolenski Tools used in part 2 was around having advanced/metastatic cancer. Although this is quite clear cut in solid organ tumours, it is more difficult to define in haematological malignancies so was down to the authors’ discretion on a case by case basis. It could be also argued that the findings are limited to the results of a single, tertiary centre. However, the authors believe that the findings have wider applicability and add to the growing evidence which supports the use of palliative care referral trigger tools.

## **Conclusion**

This study has demonstrated that the use of specific sets of “trigger” tools may help to highlight the patients in need of a referral to palliative care. The findings demonstrate that use of a trigger tool would identify most patients during their ICU admission, and many patients in the preceding six months prior. This supports the shift in the perception of palliative care; so that it is not only considered at the end of life but is being thought of much earlier in patients’ disease course. The use of these trigger tools and early palliative care referral we hope will streamline referral pathways and help with decision making about appropriate treatment and patient centred care.

Our findings lend support to the plausibility of using trigger tools to deliver palliative care to critically ill cancer patients in clinical practice. Although the results are from a small sample size in a single tertiary centre, we believe they have wide applicability, and demonstrate that there is a clear need for the validation of such trigger tools in general and cancer specific populations.

## **Declarations**

### Funding

The authors did not receive support from any organization for the submitted work.

### Conflicts of interest/Competing interests

The authors have no conflicts of interest to declare that are relevant to the content of this article.

### Availability of data and material

The data came from a private repository, only accessible by members of Royal Marsden NHS Foundation Trust. The data can be made available upon reasonable request from the corresponding author.

#### Ethics approval

Ethical approval was granted by the Royal Marsden NHS Foundation Trust Service Evaluation Department.

#### Consent to participate

Not applicable

#### Consent for publication

Not applicable

#### Authors' contributions

Joanne Droney, Pascale Gruber and Shaman Jhanji conceived the idea and planned the study.

Yashna Nadkarni and Ivana Kukec collected the data, with supervision from Joanne Droney, Pascale Gruber and Shaman Jhanji.

Joanne Droney, Ivana Kukec and Yashna Nadkarni analysed the data.

All authors reviewed, discussed and contributed to the interpretation of the results and writing of the manuscript.

All authors agree on the final version of the manuscript.

#### Funding

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## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Appendix.docx](#)