Title

Process evaluation of an evidence-based weight loss program for low-income, mid-life women implemented in local health departments: Application of the RE-AIM framework

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Abstract

Background: Fundamental to successfully translating evidence-based interventions to real life settings with diverse populations is achieving fidelity to the intervention within the given public health setting. Health departments may be key in addressing the obesity epidemic given their unique position to deliver obesity prevention services in community settings. We developed the Weight-Wise II Program from four evidenced-based interventions for implementation in local health departments. For this study, we conducted a process evaluation of the implementation of the Weight-Wise II Program, an intensive evidence-based behavioral weight loss program for low-income, mid-life women.

Methods: The Weight-Wise II Program, a 16-week group-based weight loss program, was implemented in six local health departments. The RE-AIM framework (reach, effectiveness, adoption, implementation, and maintenance) served as a guide to conduct a comprehensive process evaluation. Process data were collected and analyzed using quantitative and qualitative methods.

Results: The Weight-Wise II Program reached low-income and mid-life women, a high-risk population, and was effective in achieving modest weight loss. Attendance and self-monitoring were positively associated with weight loss. Interventionists delivered the program as intended and it was well received by participants. Planned adaptations enhanced program implementation by being responsive to participant and health department needs. Despite implementation by health department staff, the program was not routinely maintained three years post-intervention.

Conclusion: Evidence-based weight loss interventions can be successfully implemented in local health departments. RE-AIM is a useful framework for systematically evaluating the process of implementation and impact of a behavioral weight loss intervention offered in public health settings. The addition of complementary implementation frameworks may help in identification of contextual factors influencing subsequent maintenance of evidence-based interventions in public health settings.

Trial registration: ClinicalTrials.gov Identifier: NCT01141348. Registered 9 June 2010 – Retrospectively registered, <https://clinicaltrials.gov/ct2/show/NCT01141348>

Key words: process evaluation, weight loss, middle-aged, female, health departments

Words: 294/350

Contributions to the literature

- The Weight-Wise II Program is an evidence-based weight loss intervention translated for implementation in local health departments serving a diverse low-income population.
- This study provides an approach and tools for comprehensively monitoring and evaluating evidenced-based programs implemented in public health settings.
- The study findings address gaps in the literature by providing guidance on program adaptations while maintaining fidelity including organizational contexts, participant engagement, and sustainability.

Background

Intensive behavioral weight management interventions have been successful in changing diet and physical activity behaviors and achieving clinically significant weight loss (i.e., weight loss $\geq$ 5% of body weight) [1-4], and improving cardiovascular risk factors including prevention of type 2 diabetes [3, 5-7]. Successful adaptation and effective implementation of lifestyle interventions in practical settings serving low-income and minority populations are necessary to decrease obesity and reduce disease burden [8]. Health departments may play a vital role in addressing the obesity epidemic [9, 10] as they are uniquely positioned to deliver obesity prevention and control services through coordination of training and technical assistance, and engagement of stakeholders [11, 12]. At the local level, health departments not only understand health issues confronting the community but also engage the community to address health issues and provide timely, evidence-based health programs and interventions implemented by trained staff [9, 13]. Moreover, some evidence suggests that individuals residing in counties with local health departments that offer population-based obesity prevention services (e.g. individual, group, or peer nutrition counseling and weight loss programs, advocacy and policy change activities supporting healthy eating and active living environments) have smaller increases in obesity risk relative to those living in areas where such services are not offered [9].

The flexibility to adapt evidence-based interventions translated for practice helps integration of the intervention by tailoring to participant characteristics and local resources [14, 15]. Yet fidelity, the extent to which an intervention is delivered as planned, must be maintained to avoid type III errors (erroneously concluding that the

outcomes are a result of the intervention when the intervention is not implemented as planned), ensure reliability and validity, and document deviations and variations to program implementation [16-19]. Thus, a comprehensive evaluation plan that clearly identifies core components prior to the start of intervention and includes a systematic approach to ongoing monitoring of adaptations and program implementation [14] with routine feedback to program staff is vital to thoroughly assess fidelity.

We developed the Weight-Wise II intervention for implementation in local health departments from four evidence-based weight loss interventions: 1) the Diabetes Prevention Program (DPP) [20]; 2) the Dietary Approaches to Stop Hypertension (DASH) intervention tested in the PREMIER trial [21]; 3) the weight loss program of the Weight Loss Maintenance (WLM) trial [2], and 4) the original Weight-Wise Program [22]. For this study, we conducted a comprehensive process evaluation of the Weight-Wise II Program using the RE-AIM (reach, effectiveness, adoption, implementation, and maintenance) framework because it is useful in evaluating translational research since it includes components for reporting on internal and external validity [23] and our research team had particular expertise in using this framework to evaluate the public health impact of research translated to real world settings. In this paper, we describe the monitoring procedures and evaluation measures and present findings of the process evaluation.

Methods

Weight-Wise II Program – Details about the Weight Wise II Program (rationale, design, staff training, and sample characteristics) and the randomized controlled trial conducted to test its effectiveness (including sampling of study sites and intervention

effectiveness) are published elsewhere [24-26]. The Weight-Wise II Program, a behavioral weight management intervention for low-income, midlife women, was designed for implementation in local health departments by trained interventionists from the health departments' current staff. Health departments were recruited at a meeting of North Carolina local health department directors, by mail, or through email lists to health directors, nursing directors, health educators, and health departments participating in the North Carolina Breast and Cervical Cancer Control Program (BCCCP) and WISEWOMAN (Well-Integrated Screening and Evaluation for Women Across the Nation) [24, 26].

Study Sites – Each participating health department selected staff persons (i.e., registered dietitian, registered nurse, or health educator) to serve as the program interventionist. Selection of the assistant to the interventionist was at the discretion of the health department as there were no skill-based or education requirements specified in the research protocol. Assistants helped interventionists with activities including preparing for group sessions, shopping for foods, making reminder and follow-up phone calls, and collecting and entering data. Training for interventionists and assistants was conducted by research staff before the intervention, during six scheduled interventionists' conference calls, and via feedback as a part of intervention monitoring activities (site observation visits and audio-recorded session reviews). Pre-intervention in-person training comprised of 4 weekly group-based sessions lasting 5 hours each for a total of 20 hours, homework assignments (2 hours per weekly training session for a total of 8 hours), and online instruction (4 - 6 hours). During these training sessions, interventionists were provided with an overview of the study and study materials,

training in research ethics, weight management, motivational interviewing principles, group counseling and facilitation strategies, and program implementation planning. A 4-hour protocol training session for interventionists and assistants was conducted at each health department immediately prior to implementation of the Weight-Wise II Program. Interventionists received a Weight Wise II Program Protocols Manual and training on confidentiality, participant recruitment and enrollment, data collection, measuring participant's height, weight, and blood pressure, and general study protocols.

Study Participants – The target participants were mid-life, low-income women with overweight/obesity. Participant recruitment is described in detail elsewhere [26]. Briefly, participant recruitment consisted of study advertisement to health department clients and pre-screening interviews with interested women. Five templates consisting of a study brochure, flyer or poster, newspaper ad, public service announcement (PSA) and a letter for health department clients were developed for study sites to use in participant recruitment. Interested women who called the health department were interviewed to assess eligibility, motivation to lose weight, readiness to start an intensive weight loss program, potential barriers to participation, and available support persons.

The overall goal of the Weight-Wise II program was to promote weight loss through decreased calorie intake and increased physical activity. To this end, participants were randomly assigned to one of two groups: special intervention or delayed intervention. During the trial, the special intervention group received an intensive 16-week group-based program with weekly sessions lasting 1.5 to 2 hours. Each group session consisted of five segments: *Check-In*, during which each participant's weight was measured and previous session content reviewed; *Try It*, where a variety of topics and

activities were covered including planning ahead for meals and identifying environmental triggers for physical activity and other support systems; *Do It*, which included physical activity demonstration segments; and *Taste It*, food tasting and demonstration segments which were offered on alternate group sessions; and *Next Steps*, 15 to 20 minutes of goal-setting and action planning for the next week.

The delayed intervention group received two newsletters with general health information and Weight-Wise II program updates during months 2 and 4 of the 16-week waiting period. After the special intervention group was completed, the delayed intervention group received a shorter 10-week version of the program.

RE-AIM Measures – **Table 1** outlines our operational definitions of the RE-AIM dimensions, process evaluation measures, and data sources used to measure each dimension. Details of the assessment of each RE-AIM dimension are described below. *Reach* – To assess reach, interventionists screened potential participants to determine eligibility for the Weight-Wise II Program. Prescreening logs were used to determine the total number of women eligible to participate, representativeness of participants to non-participants, and reasons for non-participation. Individual participants included women, ages 40 to 64, who met the following inclusion criteria: 1) BMI between 27.5 and 45 kg/m² inclusive; 2) English speaking; 3) willing to lose 5% or more of body weight and follow recommendations for healthy dietary and physical activity patterns; and 4) family income ≤ 250% of federal poverty guidelines [26].

Effectiveness – To assess effectiveness, we measured the impact of the intervention on primary and secondary outcomes. Weight change, our primary outcome, was calculated as the 5-month follow up weight (measured during the week of the 16th session) minus

181 baseline (first session) weight. Participants were weighed at baseline and during each
182 weekly group session; final weights were assessed by trained staff not involved in
183 delivering the intervention and masked to participants' group assignment. Weight was
184 measured in duplicate or triplicate with a SECA 770 digital scale (SECA Corporation,
185 Hanover, MD). The average of the 2 or 3 weights (3 weights used if the difference
186 between the first 2 measures was greater than 1.0 pound) was recorded to the nearest
187 0.1 pound.

188 *Enactment Fidelity* – Participant behaviors, secondary outcomes, included attendance
189 at weekly group sessions and self- monitoring of food intake and physical activity (PA).
190 Participant attendance was recorded at each weekly group session by interventionists
191 or assistants. Food and fitness diaries were distributed to participants each week to self-
192 monitoring of dietary intake (food amount, calories, and fruits and vegetable servings),
193 type of physical activity, and daily and weekly minutes of moderate intensity PA. Food
194 and fitness diaries were reviewed weekly by the interventionist. We assessed the
195 number of days per week dietary intake was recorded within the diary and the number
196 of minutes of weekly PA.

197 *Acceptability* – At the end of the intervention, participants were asked to complete a 9
198 item questionnaire to rate their satisfaction with program duration (1=too many
199 sessions, 2=just about right, 3=not enough sessions), session materials, activities, and
200 interaction with other participants (1=not very good to 5=very good) and overall
201 satisfaction with the Weight Wise II Program (1=not very satisfied to 5=very satisfied).

202 *Adoption* – Adoption was calculated as the number of sites eligible and interested in
203 participating. Eligibility for participation in the Weight-Wise II Program and

characteristics of interested and enrolled health departments were captured during recruitment on the Health Department Survey. Local health departments were selected using an optimized probability sampling protocol to ensure 1) the six participating health departments were representative of small, medium, and large counties; 2) no more than one health department was from the same health district; 3) a 30% racial/ethnic minority population in at least half of the health departments; 4) no more than one site with a bachelor's level health educator; and 5) no more than one health department more than 200 miles from Chapel Hill, North Carolina [24].

Implementation – Multiple measures were used to assess implementation, including training fidelity, adherence to program protocols; delivery fidelity (i.e. quality, comprehensiveness, and accuracy of program delivery); and adaptations to the Weight-Wise II Program intervention.

Training Fidelity – At the beginning and completion of the in-person training session, interventionists and assistants completed an 11-item questionnaire assessing their level of confidence in: 1) knowledge of nutrition and physical activity for weight loss and cardiovascular disease reduction; and 2) ability to implement behavioral weight loss and weight management programs (1=low to 10=high) and indicated the estimated time spent completing online training and homework.

Adherence to program protocols – Adherence was assessed by determining the number of group sessions held by each interventionist, the session duration, and whether the interventionist followed-up with participants who had unplanned absences. The Group Session Attendance form was used to determine the number of unique group sessions held at each site; as well as to document participant attendance. Session duration

(calculated from start and end times) was recorded using a Monitoring Checklist during direct observations of group sessions or using the Content Accuracy Checklist during a review of audio recordings of group sessions.

Delivery fidelity – We assessed delivery fidelity by determining the quality, comprehensiveness and accuracy of delivery of program materials. Quality of delivery indicated how well the program was delivered overall. To determine quality of program delivery, 5 of the 16 group sessions per health department were assessed using the Monitoring Checklist. Two of these group sessions were directly observed by study personnel, while 3 group sessions were audio-recorded for review. Research staff were trained to complete the Monitoring Checklist that was organized into sections corresponding to the format of group sessions (*Check In*, *Try It*, *Next Steps*, and *Taste It or Do It*). Checklists were scored on Core Segments (*Check In*, *Do It*, and *Next Steps*) and Core Plus Segments (*Check In*, *Do It*, *Taste It or Try It*, and *Next Steps*). Each section of the Monitoring Checklist consisted of 3 questions rated on a 5-point scale with responses ranging from 1 = not at all to 5 = completely. The three section questions were averaged to determine the section score. Subsequently, section scores were averaged to calculate the overall session score. Additionally, three open-ended questions allowed the rater to provide session summary notes and comments.

Comprehensiveness and accuracy of the program material delivered was assessed using the Content Accuracy Checklist organized into sections corresponding to the group session format. Two trained research staff reviewed the three group sessions that were audio-taped at each health department (i.e., 18 sessions totaling 27-36 hours). Comprehensiveness was defined as the degree to which the interventionist covered

program materials. Accuracy was defined as the extent to which the interventionist provided correct information on program session topics.

Adaptations – We captured adaptations to the Weight-Wise II Program intervention using various methods prior to, during, and post-intervention implementation. The Adaptation Rationale Form was provided to each interventionist to document adaptations after review of program materials but prior to implementation.

Interventionists discussed unplanned adaptations (e.g., changes in planned physical activity due to weather conditions) during weekly interventionist conference calls. The Staff Survey was administered post-intervention to identify adaptations to the program and to assess facilitators and barriers to program maintenance [25].

Maintenance – We assessed maintenance at two time points to determine the extent to which the Weight-Wise II Program was integrated into the programs and services offered at participating health departments. First, we administered a Staff Survey at the conclusion of the study which included 2 items assessing intent to implement the Weight-Wise II Program again. Subsequently, three years after program implementation, we asked local health directors of North Carolina's 85 health departments to complete an online Post-Intervention Health Department Capacity Survey assessing capacity to implement intensive behavioral weight loss programs. Three items on this survey assessed whether the Weight-Wise II Program was continued after the study, identified the sustained program components, and assessed the duration for continuous implementation (i.e., less than 6 months, 6 to 12 months, or more than 12 months).

Statistical Analysis

Statistical analyses were performed using SAS version 9.3 (SAS Institute Inc., Cary, NC) and the IBM SPSS Statistics for Windows, Version 20.0 (Armonk, NY:IBM Corp.). Each RE-AIM construct was tabulated and reported. Descriptive statistics were calculated for measures for primary and secondary outcomes and for implementation fidelity. We used a chi-square test to assess whether follow-up contact with participants who missed a group session varied by site. We used *t* test to test the association between weight loss and participant acceptability, fidelity measures and interventionist's characteristics, and fidelity measures and weight loss. A comparison of the reliability of measurements from the two research staff members who rated the Content Accuracy Checklist was conducted using the intraclass correlation coefficient (ICC) [27] on a sample of 18 audio-recorded sessions because content accuracy ratings were measured on a continuous scale. ICC scores of 0.81 to 0.90 indicate a high level of agreement between the raters.

Results

The results for reach include the total study sample, special intervention group and delayed control group. Effectiveness, Adoption, Implementation, and Maintenance results are limited to the special intervention group as the purpose of this research was to conduct a process evaluation of the 16 week-long intervention.

Reach – There were a total of 432 interested respondents, of which 213 (49%) were eligible and interested in study participation. Of these, 189 completed baseline measures and took part in the study (reach was 89%). *Unavailable during group*

session time was the most common reason respondents (n=11) gave for not participating in the study. Of those who were eligible and interested but did not enroll in the study (n=24), they either missed their scheduled appointment or did not complete follow-up. Of the 189 women enrolled in the study, 126 were randomly assigned to the special intervention group and the remaining 63 to the delayed intervention control group. Participant characteristics are detailed elsewhere [26]. In short, at baseline a little more than half (53%) of study participants were African American. Participants had a mean age of 50.8 years (SD: 0.7) with an average of 13 years of education (SD: 0.2). Fewer than half (42%) were married or living with a partner.

Effectiveness – Details of Weight Wise II study effectiveness are detailed elsewhere [25]. The goals of the Weight-Wise II Program intervention were to achieve a weight loss of 10 lbs. through caloric restrictions (no less than 1200 calories per day) and at least 150 minutes of moderate intensity physical activity each week [25, 26].

Table 2 summarizes weight change and measures of participant attendance and self-monitored behaviors by site. Weight change, our primary outcome, was calculated as the 5-month follow-up weight minus the weight at the start of the intervention. Of the 101 intervention participants with baseline and follow-up weights, the mean weight loss was 3.8 kg (SD = 4.9); when missing follow-up weight data was substituted with the last weight measured (last observation carried forward), the mean weight loss among all intervention participants was 3.1 kg [26]. Participants without follow-up weight assessments more often were African American, younger, took blood pressure medication, and lived with fewer adults [25].

Enactment Fidelity – Measures of participant behaviors, secondary outcomes, included attendance and self-monitoring using food and fitness diaries to track dietary intake (by the number of days the diary was completed), type of PA, and minutes of weekly physical activity. Participants attended a mean of 11 (SD = 4.1) weekly group sessions and monitored dietary intake for a mean of 6.3 (SD = 0.8) days per week, for a mean of 8.8 (SD = 5.3) weeks. Participants averaged 189 (SD = 131) minutes of physical activity each week. Group session attendance ($p < .0001$) and frequency of maintaining food and fitness diaries ($p < .0001$) were associated with weight loss.

Acceptability – Of 104 participants, 58% ($n=60$) thought 16 sessions were about the right number, 32% ($n=33$) believed 16 sessions were not enough, 5% ($n=5$) thought 16 sessions were too many, and 5% ($n=5$) did not respond. In rating the overall program, 87% ($n=91$) of participants were very satisfied or satisfied, 6% ($n=6$) remained neutral, 1% ($n=1$) not satisfied, and 6% ($n=6$) did not respond. Higher rating of program satisfaction was associated with weight loss ($p=.05$).

Adoption – At the organization level, 81 county health departments and regional health districts in North Carolina within 200 miles of the research center were invited to participate in the study by completing the Health Department Survey [24-26]. Of the 81 potential health departments, 68 (84%) returned the survey with 25 (37%) health departments indicating they were not eligible to apply. From the remaining 43 (63%) health departments that were eligible for study participation, 30 (70%) indicated interest in participating. Eligible and interested health departments had larger county populations than those that were ineligible or eligible but not interested. Health

departments that did not return the survey had smaller staff and were in smaller counties with smaller racial/ ethnic minority populations.

At the staff level, all 6 interventionists had bachelor's degrees or higher with a mean of 10 years public health work experience [24, 26]. While four interventionists had previously developed, implemented or evaluated a weight management intervention, only one had received training specific to adult weight management. Demographic data was not collected for intervention assistants because they were selected by the health department and not assigned a role in delivery of intervention content.

Implementation - Training Fidelity: Training for Weight-Wise intervention staff included homework assignments and online instruction. The mean time to complete the two online modules was 5.3 hours, while homework assigned as part of the weekly group sessions was completed in 1.9 hours. Interventionists' and assistants' self-assessment of their confidence in knowledge of nutrition and physical activity for weight management and cardiovascular disease reduction and ability to implement behavioral weight loss and weight management programs is presented in Table 3. Thirteen pre-training and 12 post-training surveys were returned and included in the analysis. The increase in self-reported levels of confidence post-training was not statistically significant.

Adherence to program protocols: The extent to which the intervention was implemented at each site and overall is presented in Table 4. All 6 sites held 16 weekly group sessions 2 times per week as required by the intervention protocol. However, one site held one extra weekly group session (i.e., the same session 2 times in one week) to collect blinded participant weights during the final group session. Another site held 3

extra sessions (i.e., 3 different sessions) to work one-on-one with a participant due to the participant's work schedule. Of 526 unplanned absences, interventionists attempted a follow-up contact for 334 (63%). There was a significant difference in follow-up contact rates for the unplanned absences among the interventionists ($\chi^2(5) = 124.6$; $p < .001$).

Session duration for Core Segments only (*Check-In*, *Try It*, and *Next Steps*) were expected to last from 80-100 minutes. Site 4 averaged 78 (SD=17.7) minutes while site 5 had the lowest average at 62.2 (SD=19.8) minutes. Session duration including Core Plus Segments (*Check-In*, *Try It*, *Next Steps*, and *Taste It* or *Do It*) were planned for 100-120 minutes. Site 6 averaged 109 (SD=1.4) minutes while site 3 averaged 84 (SD=7.1) minutes of Core Plus Segments.

To further explore interventionists' differences in implementation, we assessed associations between selected interventionist characteristics (i.e., years worked in public health, years employed at participating health department, and previous experience developing, implementing, and evaluating a weight management program) and implementation fidelity measures of quality, accuracy, and comprehensiveness of delivering Core and Core Plus Segments. Interventionists with previous weight management experience delivered the Core Segments (*Check In*, *Do It*, and *Next Steps*) with lower quality ($p=0.05$) compared to interventionists with no previous weight management experience. Additionally, the duration for Core Segments was significantly longer ($p=0.01$) for interventionists without previous weight management experience.

Delivery fidelity: The quality, accuracy, and comprehensiveness with which interventionists delivered the Weight-Wise II Program at their sites are detailed in Table 4. The quality of program delivery averaged 3.1 (SD = 0.6) out of 5 for Core Segments

(*Check-In, Try It, and Next Steps*) and 3.3 (SD = 0.6) out of 5 for Core Plus Segments (*Check-In, Try It, Next Steps, and Taste It or Do It*). Interventionists delivered the Core Segments and the Core Plus Segments with similar accuracy, 98% and 97%, respectively. Core Segment (78%) and Core Plus Segments (72%) were less comprehensively delivered.

Adaptations: Of the 45 adaptations reported by interventionists, 62.2% were reported during the interventionists' weekly phone calls, 33.3% were reported on the Adaptation Rationale Form before the intervention started. Most adaptations (40%) were related to physical activity that typically resulted in an alternate activity to what was outlined in the Weight-Wise II Program Leader's Guide. Nutrition-related adaptations (26.6%) included modification of recipes, limitations of samples due to food costs, and changes in recipes/foods due to lack of kitchen facilities on site. Few adaptations (8.8%) were made to incentives (e.g. pedometers, measuring cups) given to participants at weekly sessions, for meeting behavioral goals or a combination of both [25, 26]. Adaptations not specific to nutrition, physical activity, or incentives (22.2%) included setting ground rules for group sessions and having participants identify their food allergies and list favorite physical activities and foods.

Maintenance: Immediately after implementation of the study intervention, all but one of the six interventionists was uncertain about the intent of the health department to continue implementing the Weight-Wise II Program. Three years post-intervention, health directors of participating health departments were asked to indicate if the Weight-Wise II Program was continued after the end of the intervention on the Post-Intervention Health Department Capacity Survey. Sixty-seven percent (n=4) of the health directors

indicated that their health department did not continue implementing the program after the intervention ended. One health department continued the full 16-week program for less than 6 months after intervention completion. Another health department continued to implement components of the Weight-Wise II Program for more than 12 months post intervention. We did not elicit additional information from sites about facilitators and barriers to continued program delivery. However, one health director reported that funding was the main factor for not maintaining the program.

Discussion

We used the RE-AIM framework as a guide to conduct a comprehensive process evaluation of the Weight-Wise II Program, an intensive behavioral weight loss program for mid-life low-income women implemented in local health departments. Overall, we reached low-income, mid-life women, the Weight-Wise II Program was well received by interventionists and participants alike, was successfully implemented by health department sites, and resulted in participant weight loss. However, long-term maintenance at the setting level was low.

The successful implementation of the Weight-Wise II Program may be attributed to the flexibility to adapt the program. Adaptability may make the program more acceptable but fidelity, the extent to which the intervention is delivered as intended [16], is more difficult to achieve when changes are made to essential components of the intervention [28]. In our case, we determined that adaptations, captured prior to and during program implementation, did not alter core elements of the program but enhanced implementation by being responsive to the needs of participants (e.g. food and physical activity preferences) and site-specific circumstances (e.g. inclement weather, lack of

cooking facilities). Similar adaptations have been made when translating evidenced-based interventions [15, 29].

We had the opportunity to report maintenance 3 years post study completion. An online survey sent to health directors or a designee showed that only two sites continued offering at least some components of the intervention. The lack of program maintenance is somewhat disappointing given that we trained health department staff who might implement such programs as a part of their job duties; and, evidence of staff interest in continuing program implementation was noted on the post-intervention staff survey. Zhang and colleagues conducted an assessment of characteristics of local health department capacity associated with providing obesity prevention services [12] and found staffing levels, proportion of state funding, employment of health educators, chronic disease and risk behavior surveillance, and development of a health improvement plan or health assessment were positively associated with obesity prevention programs. However, even when staff are available it cannot be assumed that these services are provided. In a profile of public health educators in North Carolina, Glascoff and colleagues [30] noted that health educators with administrative roles may lack the time to deliver programs. Similarly, we found that interventionists without assistants may have lacked the time to conduct follow-up calls to participants with unplanned absences. Moreover, obesity prevention services are often not mandated thus depend on funds through sources such as private foundations and grants. These funding mechanisms are often given with short notice and limited duration [11].

It has been suggested that public health decision-makers may be more accepting of implementing interventions that have been effectively tested in similar settings and with

comparable populations [31]. Based on our experience and consistent with other studies [32, 33], evidence-based interventions implemented in community settings were not maintained. It appears that even in light of evidence showing intervention effectiveness, other factors at the setting level may help explain aspects of adoption and maintenance needed for program sustainability. The Consolidated Framework for Implementation Research (CFIR), comprised of constructs from various implementation theories, was developed to help researchers determine what works where and why [34]. The 5 domains (the intervention, inner setting, outer setting, individuals involved in implementation, and the implementation process) can be used to help guide assessments and explain findings. Using a complementary framework such as CFIR, may help researchers improve the identification of contextual factors, capacity, and needs to implement, and subsequently maintain interventions in real-world settings.

Our study has a number of noteworthy strengths and limitations. Strengths of this study include: a rigorous sampling strategy to address limits to generalizability; a comprehensive process evaluation guided by RE-AIM framework; our use of multiple evaluation methods including audio-recording of group sessions, on-site observations, and surveys as part of a comprehensive evaluation. Other strengths include the training of program staff using a standardized on-going process; and adaptations to program materials, captured at multiple time points, which allowed for tailoring to fit participant and health department characteristics. Despite these strengths, there were some study limitations. At the organizational level, there were a small number of health departments which limits our ability to generalize to NC local health departments. By randomly selecting sites using an optimized probability sampling protocol [24], we were able to

478 meaningfully address this limitation. As with all weight loss interventions, self-reported
479 measures based on data from food and fitness diaries are subject to participant
480 reporting biases. Furthermore, if participants retrospectively complete food and fitness
481 diaries, information may be inaccurate or omitted. Additionally, site visits for monitoring
482 delivery of group sessions were scheduled with each interventionist in advance;
483 therefore, interventionists may have been more adherent to intervention protocols when
484 directly observed because site visits were planned.

485 **Conclusion**

486 The outcomes of this process evaluation may serve as a guide on how to balance
487 adaptations to fit local settings while maintaining fidelity to core components. Still, there
488 is more to learn regarding health departments' capacity to deliver and maintain such
489 programs. The capacity of health departments to offer weight loss programs and
490 services like the Weight-Wise II Program may reflect modifications to budgets, staffing,
491 or programmatic priorities. Moreover, existing policies related to who can deliver
492 reimbursable adult weight management services also impacts service delivery. Thus,
493 identification of funding sources and the subsequent allocation to weight management
494 programs and services warrant further investigation.

List of Abbreviations

CFIR= Consolidated Framework for Implementation Research

PSA= Public Service Announcement

PA= physical activity

REAIM = Reach, Effectiveness, Adoption, Implementation, Maintenance

Declarations

Ethics Approval and Consent to Participate – The UNC Non-Biomedical Institutional

Review Board (IRB) approved and monitored the study. All participants provided

written informed consent. [IRB # 07-3578]

Consent for Publication – *Not Applicable*

Availability of Data and Materials – The deidentified datasets analyzed in the study

reported are available from the corresponding author on reasonable request.

Competing Interest – The authors declare that they have no competing interests.

Funding – This study was supported through funding by the Centers for Disease Control

and Prevention (CDC) grant no. R18DP001144. Other support was provided by the

University of North Carolina Prevention Research Center (Center for Health

Promotion and Disease Prevention) through funding by CDC cooperative agreement

no. U48/DP000059. This article does not necessarily reflect the opinions of the CDC.

Authors Contributions – Author contributions are as follows: acquisition of funding

(CDSH); conception and design of the study (AFN, CDSH); data acquisition (AFN,

CDSH); data analysis and interpretation (AFN, JC); drafting or substantively

revising

text (AFN, CDSH, LL, AA, DW, All authors read and approved the final
JC). manuscript.

Acknowledgements – We are grateful to the six county health departments in North
Carolina that participated in the study: Davidson, Forsyth, Lincoln, Nash, Pasquotank,
and Warren. We also acknowledge the assistance of Gwen Davis in reviewing audio-
files for measurement of delivery fidelity and Ziya Gizlice in analyzing and interpreting
data.

Authors' Information

This manuscript is based on the doctoral dissertation of AFN while a doctoral student at
the Gillings School of Global Public Health, University of North Carolina at Chapel Hill.

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Table 1. Program Specific Description of RE-AIM Dimensions, Measures, and Sources of Data

Dimension	Description	Measure(s)	Data Source(s)
Reach	Participation by target population	1. Percentage of eligible and participating women	Prescreening Log
Effectiveness	Impact of the Weight-Wise II Program on primary and secondary outcomes	1. Weight change 2. Minutes physical activity per week 3. Number of days FFD kept 4. Number of group sessions attended	1,4. Group Session Attendance Form 2-4. Food and Fitness Diary
Adoption	Participation by health departments and interventionists	1. Percentage of eligible and participating health departments	1. Health Department Survey 2. Health Department Capacity Survey (baseline)
Implementation	Extent to which the Weight-Wise II Program was implemented as intended	1. Adherence a. Number of group sessions b. Session duration c. Participant follow-up 2. Training fidelity 3. Delivery fidelity a. Quality b. Accuracy c. Comprehensiveness 4. Adaptations 5. Acceptability	1a, 3c. Group Session Attendance Form 1b, 3b-3c. Content Accuracy Checklist 1b, 3a. Monitoring Checklist 2. Coming in Pre-training Survey 2. Training Session Evaluation Forms (Sessions 1-4) 2. Going Out Post-Training Survey 4. Adaptation Rationale Form 4. Interventionist Conference Call Minutes 5. Acceptability Survey
Maintenance	Extent to which participating health departments continued implementing the Weight-Wise II Program	1. Number of health departments maintaining program implementation during the following 36-month period.	1. Health Department Post-Study Survey

Table 2. Weight Change and Measures of Participant Behavioral Strategies: Attendance, Days and Weeks Food and Fitness Diary Kept, and Weekly Minutes of Moderate Intensity Physical Activity, by Site

		Weight Change (kg)	Attendance^a	Food and Fitness Diary		PA (min)
				Weeks^a	Days/week^b	Mean
	n	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)	(SD)
Site 1	20	-4.7 (3.9)	11.9 (4.0)	10.5 (4.7)	6.1 (.9)	209 (136)
Site 2	19	-3.1 (5.2)	12.1 (2.9)	9.8 (4.5)	6.5 (.7)	176 (405)
Site 3	17	-1.0 (4.4)	8.1 (.95)	4.4 (3.9)	6.2 (.9)	133 (105)
Site 4	21	-3.9 (3.1)	11.6 (4.1)	8.3 (5.7)	6.5 (.6)	182 (82)
Site 5	13	-6.1 (6.6)	10.5 (14.7)	10.3 (4.8)	6.7 (.6)	273 (230)
Site 6	11	-4.5 (2.0)	11.0 (1.5)	10.1 (2.0)	6.0 (.3)	174 (62)
Overall	101	-3.8 (4.9)	11.0 (4.1)	8.8 (5.3)	6.3 (.8)	189 (131)

Abbreviations: PA, Physical Activity; min, minutes

^aMean out of 16 weekly group sessions

^bDays dietary intake recorded

Table 3. Interventionists and Assistants Self-reported Level of Confidence Pre- and Post-Training

	Coming In ^a (CI) (n=13) Mean (SD)	Going Out ^a (GO) (n=12) Mean (SD)	Difference (GO – CI)	
<i>How confident...</i>			Mean	P
Are you in your ability to work with clients to change behaviors?	6.5 (1.1)	7.3 (2.3)	0.79	0.2857
Do you feel about your knowledge of nutrition for cardiovascular disease risk reduction?	6.6 (2.3)	7.2 (2.7)	0.63	0.5345
Do you feel about your knowledge of physical activity for cardiovascular disease reduction	6.6 (1.9)	7.3 (2.7)	0.64	0.4998
Do you feel about your knowledge of nutrition for weight management?	6.8 (2.5)	7.3 (2.6)	0.48	0.6408
Do you feel about your knowledge of physical activity for weight management?	6.8 (2.1)	7.3 (2.6)	0.48	0.6141
Do you feel about using motivational interviewing principles with your Weight-Wise Program participants?	5.8 (1.5)	6.5 (2.0)	0.75	0.3292
Do you feel about your ability to lead your participants through the initial ‘checking-in’ component of each group session?	6.7 (1.5)	7.4 (2.3)	0.64	0.4251
Do you feel about your ability to lead your participants through the goal-setting with action-planning component of each group session?	6.6 (1.9)	7.3 (2.4)	0.71	0.4242
Are you in your ability to use the Weight-Wise Leader’s Guides in conducting a weight loss program?	5.5 (2.2)	7.7 (2.5)	2.2	0.0336
Are you in your ability to use the New Leaf educational materials as part of the Weight-Wise weight loss program?	5.6 (2.2)	7.6 (2.5)	1.9	0.0522
Are you that you have what it takes to fulfill your role as a Weight-Wise Program leader?	7.3 (2.0)	7.3 (2.3)	0.05	0.9546

^aConfidence scored on a 10 point scale with 1=low to 10=high

Table 4. Measures of Implementation Fidelity, by Site

	Group Sessions ^a , n	Follow-up Contacts ^b , n (%)	Session Duration, min mean (SD)		Accuracy, % (n=18) ^c		Comprehensiveness, % (n=18) ^c		Quality, mean (SD) (n=29) ^d	
			Core ^e	Core Plus ^f	Core ^e	Core Plus ^f	Core ^e	Core Plus ^f	Core ^e	Core Plus ^f
Site 1	32	99 (99)	70.4 (11.4)	95.7 (12.9)	99	99	75	75	3.2 (.8)	3.5 (1.0)
Site 2	34	38 (80.5)	73.5 (9.3)	98.0 (16.8)	99	96	72	67	2.8 (.6)	3.0 (.5)
Site 3	35	64 (38.8)	69.5 (.7)	84.0 (7.1)	98	95	75	68	2.9 (.4)	3.2 (.2)
Site 4	32	36 (52.9)	78.0 (17.7)	103.7 (5.7)	99	99	71	62	3.7 (.5)	3.8 (.7)
Site 5	32	63 (85.1)	62.2 (19.8)	89.5 (13.4)	97	97	93	84	2.6 (.5)	2.7 (.6)
Site 6	32	34 (60.7)	75.1 (24.1)	109.0 (1.4)	98	97	82	75	3.2 (.6)	3.3 (.6)
Site Average	32.8	55.7 (69.5)	71.5 (13.8)	96.7 (9.6)	98.3	97.2	78	71.8	3.1 (.6)	3.3 (.6)

Abbreviation: min, Minutes

^a16 weekly groups session on two separate days each week^b Number of telephone calls to participants with an unplanned absence; $\chi^2(5)=124.6$; $p<.0001$ ^cNumber of group sessions audiotaped for monitoring, 3 per health department; mean assessed using 5-point scale with 1=not at all to 5=completely^dNumber of group sessions audiotaped or observed for monitoring, 5 per health department except site 3 with 4 monitoring sessions; mean assessed using 5-point scale with 1=not at all to 5=completely^eCore segments of group session comprised of *Check In*, *Try It*, and *Next Steps*; mean assessed using 5 point scale with 1=not at all to 5=completely^fCore Plus segments of group sessions comprised of *Check In*, *Try It*, *Next Steps* and *Taste It* or *Do It*; mean assessed using 5 point scale with 1=not at all to 5=completely