

Analysis of Current Status and Simulations of Future Spread of COVID-19 in the United States

Xiaoping Liu (✉ xiaoping.liu@hsc.wvu.edu)

West Virginia University Health Science Center <https://orcid.org/0000-0002-7516-382X>

A DeVries

West Virginia University

Article

Keywords: COVID-19, time-dependent transmission coefficient, social distancing, coronavirus 66 vaccination, simulations and forecast

Posted Date: March 9th, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-310822/v1>

License: © ⓘ This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

1 **Analysis of Current Status and Simulations of Future Spread of COVID-19 in the United States**

2
3
4 **Xiaoping Liu and A. Courtney DeVries**

5
6 **Department of Medicine, Department of Neuroscience, Rockefeller Neuroscience Institute,**

7
8 **West Virginia University Health Science Center, Morgantown, WV 26506 USA**

9
10
11
12 Xiaoping.liu@hsc.wvu.edu

49 **ABSTRACT**

50 COVID-19 has killed more than 500,000 people in the United States, as of mid-February 2021.
51 Forecasting of COVID-19 spread is helpful for key policy discussions. The transmission coefficient k_n of
52 COVID-19 spread varies across time. Accurately forecasting COVID-19 spread is difficult because of the
53 time-dependent k_n and becomes more complicated when coronavirus vaccination needs to be
54 considered. In this study, the *I-i AIR* model was further developed for analyzing COVID-19 spread
55 accompanied by coronavirus vaccinations in the United States. We determined all values of k_n prior to
56 January 13, 2021 and calculated the actual number of cumulative infections (I_n) including asymptomatic
57 infected individuals. We observed 4 plateaus of k_n , which corresponded to four national social events.
58 This suggests that events that reduce social distancing and/or percentage of mask wearing played an
59 important role in the acceleration of COVID-19 transmission. Our simulations show that if the American
60 people return to their normal life before 100 million of people are vaccinated, there is likely to be at
61 least one large surge of daily COVID-19 cases. However, if the American people partially return ($k_n \leq 0.4$)
62 to normal life after 100 million vaccinations, and completely return ($k_n = 1$) to normal life after two thirds
63 of the US population are vaccinated in addition to those who have gained some immunity through
64 coronavirus infections, the US may avoid any additional major surge of COVID-19 cases.

65

66 Keywords: COVID-19; time-dependent transmission coefficient; social distancing; coronavirus
67 vaccination; simulations and forecast

68

69

70

71

72

73

74

75

76

77 **INTRODUCTION**

78 The first coronavirus disease 2019 (COVID-19) case was identified in the United States on January 19,
79 2020¹. The patient had a history of travel to Wuhan, the epicenter of COVID-19 in China at that time,
80 and returned to US on January 15, 2020. The first non-travel-related U.S. case was confirmed on
81 February 26, 2020². Lines of evidence suggest that limited community transmission in the U.S. likely
82 began in late January or early February 2020, after a single importation from China and then multiple
83 importations from Europe³. As of mid-February 2021, the U.S. has more than 28 million confirmed
84 COVID-19 cases with more than 500,000 deaths⁴. In the early period of COVID-19 outbreak in the US, the
85 number of daily new COVID-19 cases increased exponentially. To slow down the outbreak of COVID-19,
86 the State of California became the first to mandate a state-wide order on March 19, 2020 which was
87 followed by the vast majority of states as of early April 2020⁵. These interventions of increasing social
88 distance and/or percentage of mask wearing slowed down the COVID-19 spread in the United States;
89 the reported number (centered 7-day moving average) of the daily new cases of COVID-19 (\bar{y}_n) gradually
90 decreased from its peak around 32,800 in early April 2020 down to ~21,500 in early June 2020⁴. Starting
91 from early June, \bar{y}_n surged again to start the second wave of COVID-19 spread, and peaked in late July
92 2020 (~70,000), and then it gradually decreased until mid-September (~35900 on September 9, 2020).
93 After mid-September 2020, \bar{y}_n surged again to start the third wave of COVID-19 spread, and it was
94 higher than 255,000 in January 8, 2021. The three waves of COVID-19 spread in the U.S. imply that the
95 transmission coefficient of COVID-19 varies with time. This non-constant transmission coefficient makes
96 it difficult to accurately forecast COVID-19 spread. In a recent study, we developed a new individual-
97 based *l-i AIR* epidemic model⁶, which is especially suitable for analyzing epidemic curves with time-
98 dependent transmission coefficient. In this study, the *l-i AIR* model is further developed for considering
99 the effect of virus vaccination on the spread of infectious diseases. We will use this new epidemical
100 model to determine the time-dependent transmission coefficient since COVID-19 outbreak in the U.S.

101 from March 2020. Then, we will forecast trends in COVID-19's transmission under different scenarios
102 with or without COVID-19 vaccinations.

103

104 **METHOD**

105 **Brief description of *l-i AIR* model**

106 The *l-i AIR* model was developed and reported in our recent paper⁶. In this model, *l* and *i* are two
107 parameters: *l* represents the latent period and *i* represents the infectious period. *A*, *I*, and *R* are
108 variables: *A* stands for the number of active infectious individuals or individuals in the infectious period,
109 *I* for the number of cumulative infected individuals, and *R* for the number of recovered individuals. It is
110 assumed that (a) the length of latent period is *l* days or *l* time units (1 time unit can be 1 day or
111 less/more than 1 day); (b) the length of infectious period is *i* days or *i* time units; and (c) the infectious
112 individual infects one person per day or per time unit in the infectious period. From this model, we
113 obtained the following recursive formulas to calculate the *n*th term (A_n, I_n, R_n) after its previous terms
114 are determined:

$$115 \quad A_n = A_{n-1} + (I_{n-l} - I_{n-l-1}) - (I_{n-c} - I_{n-c-1}) \quad (1a)$$

$$116 \quad I_n = I_{n-1} + k_n[(N - I_{n-1})/N]A_n \quad (1b)$$

$$117 \quad R_n = R_{n-1} + I_{n-c} - I_{n-c-1} \quad (1c)$$

118 In the above equations, $c=l+i$, and *N* is the initial number of total susceptible individuals. To use the
119 above formulas, we assume that the first term $(A_1, I_1, R_1)=(0,1,0)$, all previous terms as $n<1$ are $(0,0,0)$,
120 and $k_n=1$ until a certain intervention affecting the transmission process of the infectious disease is
121 effective. In order to use the calculated epidemic curve from Eqn. (1) to fit the reported number of daily
122 new COVID-19 ceases, we need to induce a second parameter α , the transient incidence of the disease
123 among the infected people, and assume:

$$124 \quad y_n = \alpha A_n \quad (1d)$$

125 where y_n is the reported number of daily new cases of the infectious disease at day n ⁶.

126 **Determinations of parameters (l and i) and coefficients (α and k_n) in the l - i AIR model.** The values of l ,
127 i and α were determined from the reported numbers (y_n) of daily new COVID-19 cases in the early
128 period of the COVID-19 outbreak in the United States before any major social interventions were
129 applied for reducing COVID-19 spread. Plot of logarithm of y_n , $\log(y_n)$, in the early period vs date or n
130 formed a straight line. The slope (S_0) of this plotted straight line was obtained from the best fitting line
131 of the plotted data. Then, we calculated A_n and I_n from Eqn. (1) for different pairs of parameters l and i
132 assuming $k_n=1$ under the condition $I_n \ll N$. Plot of logarithm of A_n , $\log(A_n)$, vs n for a given pair of l and i
133 would also form a straight line, and thus we could obtain the slope $S(l,i)$ of the straight line for any given
134 pair of l and i . When a pair of l and i makes the slope $S(l,i)$ to be closest to S_0 , this pair of l and i was
135 chosen to be used in this study for simulating an epidemic curve of COVID-19 in the United States. Using
136 the chosen pair of l and i to calculate A_n from Eqn. (1), the slope $S(l,i)$ that is obtained from the linear
137 plot of $\log(A_n)$ vs n is closest to the slope S_0 that is obtained from the linear plot of $\log(\bar{y}_n)$ vs n ; however,
138 the intercepts of the two straight lines may have large difference. By regulating the value of α , we could
139 change the intercept of the plot of $\log(\alpha A_n)$ vs n . In this way, we could find a value of α , which minimizes
140 the difference between the two straight lines ($\log(\alpha A_n) \sim n$ and $\log(\bar{y}_n) \sim n$), by the method of least squares.
141 The values of k_n will decrease after major social interventions are started. The varied k_n are determined
142 by fitting the calculated αA_n to \bar{y}_n based on Eqn. (1d). All COVID-19 data in this studied were obtained
143 from Worldometer website (<https://www.worldometers.info/coronavirus/country/us/>).

144 **Simulations of COVID-19 transmission in the United States with/without coronavirus vaccinations.**

145 Methods for simulations of US COVID-19 transmission without coronavirus vaccinations are similar to
146 what we have reported in our recent paper⁶. In the simulations with coronavirus vaccinations, we set
147 the starting day of coronavirus vaccinations to mid-December 2020⁷. Because 16.525 million doses of
148 vaccines were administered between December 14, 2020 and January 20, 2021, and 29.865 million

149 doses of vaccines were administered between January 20, 2021 and February 12, 2021⁸, we assumed
 150 that 434,200 doses were injected per day on average from 12/14/2020 to 1/20/2021, and 1.3 million
 151 doses per day from 1/20/2021 to 2/11/2021. Starting from February 12, 2021, the per-day doses were
 152 set at 1.7 million⁹ until the whole US population is vaccinated. On March 3, 2021, about 52.9 million
 153 people have received at least one dose of a COVID-19 vaccine, including about 27 million people who
 154 have been fully vaccinated (received both doses)¹⁰. Thus, a total of 80 million doses, 52.9+27≈80, have
 155 been injected by March 3, 2021. Because the number of people who have received at least one dose is
 156 52.9 million on March 3, 2021, this number is nearly 2/3 of the total injected doses (80 million) by March
 157 3, 2021. Using the ratio 2/3, we can convert the number of total doses injected to the number of people
 158 who have received at least one dose of vaccine.

159 To count in the effect of vaccination on the epidemic curve, Eqn. (1b) needs to be revised as below:

$$160 \quad I_n = I_{n-1} + k_n[(N - I_{n-1} - W_{n-14})/N]A_n \quad (1b')$$

161 where W_n represents the number of cumulative individuals who have not been infected by coronavirus
 162 before the vaccination on day n . The subscript $n-14$ in W_{n-14} means that the vaccination day is 14 days
 163 prior to day n . The 14-day waiting period is required, because the vaccine is highly efficacious from 2
 164 weeks after the first dose¹¹. It needs to be noted that W_n is not the number of the cumulative individuals
 165 who have been vaccinated. To calculate I_n from Eqn. (1b'), we need to calculate W_n first. For this
 166 purpose, we introduce two more variables U_n and V_n . Here, U_n stands for the number of cumulative
 167 individuals who have been vaccinated on day n , and V_n stands for the number of cumulative individuals
 168 who have been infected by coronavirus before the vaccination on day n . Based on these definitions, we
 169 have the relation $U_n = V_n + W_n$. The values of U_n , V_n and W_n are determined by the following 3 formulas:

$$170 \quad U_n = \sum_{i=s}^n Z_i \quad (2a)$$

$$171 \quad V_n = V_{n-1} + Z_n(I_{n-1} - V_{n-1})/(N - U_{n-1}) \quad (2b)$$

$$172 \quad W_n = W_{n-1} + Z_n(N - U_{n-1} - (I_{n-1} - V_{n-1}))/ (N - U_{n-1}) \quad (2c)$$

173 In Equation (2a), Z_i is the number of individuals who are given the vaccine on day i ; and s stands for the
174 starting date of vaccination. N in Eqn. (2b) is the US population or the initial number of total susceptible
175 individuals in the United States. No vaccinations are given to any people before day s , so all values of Z_{s-1} ,
176 U_{s-1} , V_{s-1} , W_{s-1} are 0. Because V_n represent those who have been infected by coronavirus before
177 vaccinations, they (V_n) should be subtracted from I_n in Eqns. (2b) and (2c).

178 RESULTS

179 **Parameters l , i , and coefficient α for simulating epidemic curve of COVID-19 transmission in the U.S.** In
180 the early period of COVID-19 outbreak, both the number of total COVID-19 cases and the number of
181 daily new COVID-19 cases increase exponentially. A portion of data, between February 24, 2020 and
182 March 22, 2020, about COVID-19 cases in the United States is listed in Table 1. Column 1 in Table 1 is
183 date. The data of the total COVID-19 cases (t_n), which are listed in column 2, were read from the curve of
184 total COVID-19 cases in the United States on the Worldometer website⁴. The US daily new cases (y_n),
185 which are listed in column 3, were obtained from the differences of t_n in column 2 between two adjacent
186 days. The centered 7-day moving averages of US daily new cases (\bar{y}_n), which are listed in column 4, were
187 calculated from the US daily new cases in column 3. The logarithms of 7-day averages of the US daily
188 new cases, $\log(\bar{y}_n)$, are listed in column 5. In Figure 1, we demonstrated the linear plot of $\log(\bar{y}_n)$ vs date
189 (red open rings) and the best fitting line (black solid line) of the linear plot. The slope of the best fitting
190 line is 0.1368. Based on Eqn. (1), we calculated A_n for different pairs of parameters l and i , assuming that
191 $k_n=1$ under conditions $I_n \ll N$. Using the calculated A_n , we plotted $\log(A_n)$ vs n , which formed a straight line
192 for each pair of parameters l and i . Slopes of these straight lines for different pairs of l and i are listed in
193 Table 2. It can be seen that when $l=4$ and $i=10$, the slope is 0.1372, which is closest to the slope 0.1368
194 of the plot of $\log(\bar{y}_n)$ vs date. Therefore, this pair of parameters $l=4$ and $i=10$ is chosen to use in this
195 study to further determine α . It was found that when $\alpha=0.01453$ and the first non-travel-related U.S.

196 case was assumed to begin on February 6, 2020, the difference between $\log(\alpha A_n)$ and $\log(\bar{y}_n)$ has the
197 smallest sum of squared residuals. Using these determined parameters $l=4$, $i=10$ and $\alpha=0.01453$, we
198 calculated αA_n and $\log(\alpha A_n)$ and compared them with the reported \bar{y}_n and $\log(\bar{y}_n)$ as shown in Figure 2.

199 **Calculation of the total number (I_n) of individuals infected by coronavirus in the United States.** It has
200 been known that there are many asymptomatic and mildly symptomatic infected individuals during
201 coronavirus transmission¹². Using the *l-i AIR* model, we calculated the total number (I_n) of individuals
202 infected by coronavirus, including asymptomatic and mildly symptomatic individuals that were not
203 reported, in New York City (NYC)⁶ and New York State (NYS)¹³. The calculated total numbers of infected
204 individuals (I_n) are very close to the reported results measured by antibody tests¹⁴⁻¹⁶. To calculate I_n
205 from Eqn. (1), we need to know k_n . Using the determined parameters $l=4$, $i=10$ and $\alpha=0.01453$, we can
206 calculate I_n , A_n and αA_n from Eqn. (1) assuming $k_n=1$ prior to March 19, 2020. Starting from March 19,
207 2020, k_n was reduced due to social interventions. In this situation, the value of k_n on each day as of
208 January 13, 2021 was determined by fitting αA_n to the centered 7-day moving average of US daily new
209 cases (\bar{y}_n). On January 13, 2021, k_n was determined as 0.18. By assuming that k_n remains unchanged at
210 0.18 after January 13, 2021, we simulated US daily cases αA_n , which fit well with the reported US daily
211 cases \bar{y}_n from January 13, 2021 to late February 2021 (Figure 3A). Both I_n and A_n varied with k_n . In Figure
212 3B, we demonstrated the calculated total number (I_n) of individuals infected by coronavirus in the U.S.
213 and also showed the reported number of US total COVID-19 cases (t_n). The ratio of I_n to t_n varied with
214 time. In the end of March 2020, the ratio was around 20, but it decreased to 7.8 on May 12, 2020. The
215 calculated I_n was 52.5 million on September 30, 2020. In comparison, the reported number of total US
216 COVID-19 cases was only about 7.5 million. The calculated I_n reached 203 million on February 18, 2021,
217 while the reported number of total US COVID-19 cases on the same day was 28.6 million. The plot of k_n
218 against date is shown in Figure 3C.

219 **Simulations of future trend of COVID-19 transmission in the United States with/without coronavirus**
220 **vaccinations.** Accurate forecasting of COVID-19 spread is difficult because of the transmission coefficient
221 k_n varies with time. Multiple factors, such as social distancing interventions, total number of vaccinations,
222 percentage of people wearing face mask, and infectivity of new variants of coronavirus¹⁷ may change k_n
223 to affect the future course of COVID-19 transmission. The predicted future trend (solid green line) of
224 COVID-19 transmission in the United States shown in Fig. 3A was simulated by assuming that k_n is a
225 constant of 0.18 on and after January 13, 2021. However, people hope to go back to normal life, which
226 means $k_n = 1$. Therefore, we simulated the possible future trends of COVID-19 transmission in the U.S.
227 when people return to normal life with or without vaccinations (Figs. 4A & 4C). The red open squares in
228 the figures represent the 7-day average of reported US daily new cases (\bar{y}_n) before late February 2021;
229 the green line represents the calculated US daily cases without vaccinations; and the purple line
230 represents the calculated US daily cases with vaccinations. Before and on January 13, 2021, k_n was
231 determined by fitting the simulated αA_n to the reported data \bar{y}_n . The value of k_n (0.18) on January 13,
232 2021 was assumed to remain unchanged until April 12, 2021 in the simulations. We forecasted US daily
233 new cases with/without vaccinations under two different scenarios. The first scenario is that k_n is a
234 constant at 0.18 until April 12, 2021, and increases to 1 on April 12, 2021 (Figs. 4A & 4B). In the
235 simulation with vaccinations (the purple line in Fig. 4A), 100 million of people in the US will have been
236 administered coronavirus vaccines as of April 12, 2021. The second scenario is the same as the first one
237 until April 12, 2021; however, k_n only increases to 0.4 on April 12, 2021, and to 1 on September 1, 2021
238 (Figs. 4C & 4D). In the simulation with vaccination (purple line), by September 1, 2021, more than 260
239 million (two thirds of the US population) people in the United States will be vaccinated.

240 **DISCUSSION**

241 In this study, we first demonstrated a linear relationship between the logarithm of US daily new cases
242 $\log(\bar{y}_n)$ and the date in the early stage of COVID-19 outbreak (Fig. 1 and Tab. 1). Using this linear
243 relationship, we determined parameters l and i , and further determined coefficient α (Fig. 2 and Tab. 2)
244 The two parameters l and i determined for COVID-19 transmission in the U.S. are the same as what we
245 determined for those in NYC⁶ and NYS¹³, but the determined value of α (0.0145) in the U.S. is slightly
246 greater than the one (0.0118) in NYC and NYS. Therefore, the characteristics of COVID-19 transmission in
247 the U.S. are very similar to those in NYC and NYS.

248 The determined parameters l and i imply that the average latent period and the average infectious
249 period are 4 days and 10 days, respectively. Because the transient incidence rate α of COVID-19 for
250 infected people in the infectious period is 0.0145, this implies that if the number of the daily new cases
251 with COVID-19 symptoms in a large community is 1 per day, the community may have had around
252 $1/0.0145$ or 69 people in the infectious period already. Because the infectious period is 10 days, more
253 infected people among the 69 people will show the COVID-19 symptoms each day during the infectious
254 period. However, many infected individuals will not show symptoms or will only have mild symptoms,
255 recovering without being tested. Our simulations show that the calculated total number of people
256 infected by coronavirus (I_n) in the US is ~ 20 to ~ 8 times (decreasing with time) the total COVID-19 cases
257 (i_n) reported in the US in the period from the end of March 2020 to May 12, 2020 (Fig. 3B). In
258 comparison, tests of antibody to coronavirus in 10 US sites between March 23, 2020 to May 12, 2020
259 show that 6 to 24 times more infections than the total COVID-19 cases reported¹⁸. This indicates that
260 these changes in the ratio simulated from our l - i AIR model are close to the range of the reported data
261 from antibody tests in the same period. The calculated I_n on September 30, 2020 is 52.5 million, which is
262 very close to 52.9 million that was estimated in a recent paper¹⁹.

263 As of mid-February 2021, the US has experienced three surges of COVID-19 transmissions. The first
264 surge of daily new COVID-19 cases (\bar{y}_n , the centered 7-day moving average) in the U.S. peaked around

265 April 7, 2020, and then \bar{y}_n slowly decreased until early June 2020 due to the “stay at home” order²⁰.
266 During this period, k_n decreased from 1 on March 18, 2020 down to 0.095 gradually until early June 2020.
267 The second surge started from early June 2020 and peaked around July 22, 2020. The third surge started
268 from the end of August 2020, consisting of three peaks around November 22 and December 15, 2020
269 and January 8, 2021. Corresponding to the four peaks of the last two surges, k_n reached four plateaus or
270 local maximums: the first plateau of k_n is 0.155 (in the 2nd surge) from June 8 to 24, 2020; the second
271 plateau is 0.18 (in the 3rd surge) from October 17 to Nov. 4, 2020; the third plateau is 0.17 (in the 3rd
272 surge) from November 23 to 29 of 2020; and the fourth plateau is 0.19 (in the 3rd surge) from December
273 20, 2020 to January 5, 2021. The time ranges of the four plateaus of k_n overlap with the following four
274 large social events, which reduce social distance and percentage of mask wearing, respectively: 1)
275 reopening of business after “Stay at Home” orders^{20,21}, 2) election related activities, 3) Thanksgiving
276 week, and 4) Christmas and New Year holidays. This overlap in time ranges between plateaus of k_n and
277 social events indicates that events (reducing physical distance and/or percentage of mask wearing) play
278 an important role in accelerating COVID-19 spread in the US by increasing k_n , the transmission
279 coefficient of COVID-19. Notably, $k_n=0.18$ from October 17 to November 4, 2020 caused large increases
280 in US daily new cases. However, nearly 3 months later or starting from January 13, 2021, when k_n
281 remains at 0.18, the number of daily new cases in the United States is rapidly decreasing. Based on our
282 simulations, the reason for this situation is that a large portion of people have been infected by
283 coronavirus during the 3 months ($I_n=174$ million on January 13, 2021). This large increase in infections is
284 slowing down COVID-19 spread by reducing the percentage of uninfected susceptible individuals who
285 appear around an infectious individual. Furthermore, more than 40 million people have received at least
286 one dose of a COVID-19 vaccine from mid-December to February 21, 2021¹⁰. The vaccination will have a
287 bigger and bigger effect on slowing down COVID-19 spread in the United States with the increase in the
288 number of vaccinated people. Although the number of US daily new cases steadily decreases currently

289 in February, 2021 and coronavirus vaccines are being given to more and more people, can we avoid
290 another surge of COVID-19 cases in the US if people return to their normal life? In the view of our *l-i AIR*
291 model, normal life means $k_n=1$. In Figs. 4A, we demonstrated that if we increase k_n to 1 on April 12, 2021
292 and let k_n remain at 1 after this day (Fig. 4B), we will see a very large surge of daily COVID-19 cases, and
293 the maximal number of US daily cases will pass 800,000 if no coronavirus vaccines are given to people
294 (green line in Fig. 4A). In comparison, under the same conditions but assuming that 100 million of
295 people will be vaccinated by April 12, 2021, we will see a much smaller, but still a rather large surge of
296 daily COVID-19 cases, and the maximal number of US daily cases will pass 220,000 (purple line in Fig. 4A).
297 This result indicates that regardless of whether coronavirus vaccines (less than 100 million) are given to
298 people, we will still see a big surge of daily COVID-19 cases when returning to normal life too early. In
299 contrast, if we set $k_n = 0.4$ on April 12, 2021 and $k_n = 1$ on September 1, 2021 as shown in Fig. 4D, we will
300 see 2 big surges of daily COVID-19 cases if no people are vaccinated (green line in Fig. 4C). However,
301 under the same conditions but assuming that 100 million of people will be vaccinated by April 12, 2021
302 and 260 million of people will be vaccinated by September 1, 2021, we will not see any big surges of
303 COVID-19 in the United States (purple line in Fig. 4C). This result indicates that it is possible to avoid a
304 big surge of COVID-19 if k_n is limited to less than 0.4 after 100 million people have received coronavirus
305 vaccines, and k_n is increased to 1 after 260 million people have received coronavirus vaccines in the
306 United States.

307 In summary, in this study, we determined parameters l , i , and coefficient α of *l-i AIR* epidemic model
308 from early data of COVID-19 transmission in the United States by using the least-squares method. Based
309 on the determined parameters, we further determined the time dependent k_n from the beginning of the
310 COVID-19 outbreak to January 13, 2021 by fitting the calculated US daily new cases to the reported US
311 daily new cases (centered 7-day moving average). It was observed that, during the second and third
312 wave of COVID-19 spreads from early June 2020 to early January 2021, the determined k_n reached four

313 plateaus. In terms of their time ranges, the four k_n plateaus correspond to the four social events
314 respectively: 1) reopening of business after “Stay at Home” orders, 2) election related activities, 3)
315 Thanksgiving week, and 4) Christmas and New Year holidays. If assuming $k_n=0.18$ after January 13, 2021,
316 then the simulated number of US daily new cases concurs with the reported number of US daily new
317 cases so far (February 21, 2021). Simulations show that we will still see at least one large surge of daily
318 COVID-19 cases in the future if people return to their normal life too early. However, if people partially
319 return to their normal life after 100 million people have been vaccinated, and completely return to their
320 normal life after more than 260 million people have received coronavirus vaccines in addition to those
321 who have gained some immunity through coronavirus infections, the US population may avoid another
322 big surge of daily COVID-19 cases in the future, assuming that the coronavirus vaccines are highly
323 effective to current coronaviruses and their new variants, and those who were infected by coronavirus
324 will not be re-infected.

325 **ACKNOWLEDGEMENTS**

326 We thank Dr. Randy Nelson for reading and commenting on drafts of this manuscript

- 328 1. Holshue, M.L., *et al.* First Case of 2019 Novel Coronavirus in the United States. *The New England*
329 *journal of medicine* **382**, 929-936 (2020).
- 330 2. Heinzerling, A., *et al.* Transmission of COVID-19 to Health Care Personnel During Exposures to a
331 Hospitalized Patient - Solano County, California, February 2020. *MMWR. Morbidity and*
332 *mortality weekly report* **69**, 472-476 (2020).
- 333 3. Team, C.C.-R., *et al.* Evidence for Limited Early Spread of COVID-19 Within the United States,
334 January-February 2020. *MMWR. Morbidity and mortality weekly report* **69**, 680-684 (2020).
- 335 4. Worldometer. United States- Coronavirus Cases and Deaths.
336 <https://www.worldometers.info/coronavirus/country/us/> (2020).
- 337 5. Kates, J., Michaud, J. & Tolbert, J. Stay-At-Home Orders to Fight COVID-19 in the United States:
338 The Risks of a Scattershot Approach. *Kaiser Family Foundation*,
339 <https://www.kff.org/coronavirus-policy-watch/stay-at-home-orders-to-fight-covid19/> (2020).
- 340 6. Liu, X. A simple, SIR-like but individual-based epidemic model: Application in comparison of
341 COVID-19 in New York City and Wuhan. *Results Phys* **20**, 103712 (2021).
- 342 7. Guarino, B., Cha, A.E., Wood, J. & Witte, G. 'The weapon that will end the war': First coronavirus
343 vaccine shots given outside trials in U.S. *The Washington Post*,
344 <https://www.washingtonpost.com/nation/2020/2012/2014/first-covid-vaccines-new-york/>
345 (2020).
- 346 8. Wilson, C. The U.S. COVID-19 Vaccine Rollout Is Getting Faster. But Is It Enough? *Time*.
347 <https://time.com/5938128/covid-19-vaccine-rollout-biden/> (2021).
- 348 9. Rummler, O. U.S. administering average of 1.7 million vaccine doses per day. *AXIOS*.
349 [https://www.axios.com/coronavirus-vaccine-doses-average-biden-d17dc13e-ec8c-491a-89d4-](https://www.axios.com/coronavirus-vaccine-doses-average-biden-d17dc13e-ec8c-491a-89d4-c1ac651310ba.html)
350 [c1ac651310ba.html](https://www.axios.com/coronavirus-vaccine-doses-average-biden-d17dc13e-ec8c-491a-89d4-c1ac651310ba.html) (2021).
- 351 10. Ivory, D., Smith, M., Lee, J.C., Walker, A.S. & Gamio, L. See How the Vaccine Rollout Is Going in
352 Your State. *The New York Times*, [https://www.nytimes.com/interactive/2020/us/covid-19-](https://www.nytimes.com/interactive/2020/us/covid-19-vaccine-doses.html)
353 [vaccine-doses.html](https://www.nytimes.com/interactive/2020/us/covid-19-vaccine-doses.html) (2021).
- 354 11. Skowronski, D.M. & De Serres, G. Safety and Efficacy of the BNT162b2 mRNA Covid-19 Vaccine.
355 *N Engl J Med* **384**(2021).
- 356 12. Camero, K. COVID-19 infections in US likely 8 times higher than reported, CDC says. Here's why.
357 <https://www.miamiherald.com/news/coronavirus/article247457275.html> **November 27**(2020).
- 358 13. Liu, X. Excel generated New York State's COVID-19 epidemic curve based on the I-i AIR model.
359 *Mendeley Data* doi: **10.17632/w6zjz63bmf.1**,
360 <https://data.mendeley.com/datasets/w6zjz63bmf/61> (2020).
- 361 14. Worldometer. Coronavirus (COVID-19) Mortality Rate.
362 [https://www.worldometers.info/coronavirus/coronavirus-death-](https://www.worldometers.info/coronavirus/coronavirus-death-rate/?fbclid=IwAR26hCMKGKHO8_OVqgF7ySvzcGxzmVIEcxLVaxwLPAdjwy6hXtRZlvjrdTw)
363 [rate/?fbclid=IwAR26hCMKGKHO8_OVqgF7ySvzcGxzmVIEcxLVaxwLPAdjwy6hXtRZlvjrdTw](https://www.worldometers.info/coronavirus/coronavirus-death-rate/?fbclid=IwAR26hCMKGKHO8_OVqgF7ySvzcGxzmVIEcxLVaxwLPAdjwy6hXtRZlvjrdTw) **May**
364 **14**(2020).
- 365 15. Governor Andrew M. Cuomo announced the results of the state's completed antibody testing
366 study on May 2, 2020. [https://www.governor.ny.gov/news/amid-ongoing-covid-19-pandemic-](https://www.governor.ny.gov/news/amid-ongoing-covid-19-pandemic-governor-cuomo-announces-results-completed-antibody-testing)
367 [governor-cuomo-announces-results-completed-antibody-testing](https://www.governor.ny.gov/news/amid-ongoing-covid-19-pandemic-governor-cuomo-announces-results-completed-antibody-testing).
- 368 16. LaVito, A., Brown, K.V. & Clukey, K. New York Finds Virus Marker in 13.9%, Suggesting Wide
369 Spread. *Bloomberg*. [https://www.bloomberg.com/news/articles/2020-04-23/new-york-finds-](https://www.bloomberg.com/news/articles/2020-04-23/new-york-finds-virus-marker-in-13-9-suggesting-wide-spread)
370 [virus-marker-in-13-9-suggesting-wide-spread](https://www.bloomberg.com/news/articles/2020-04-23/new-york-finds-virus-marker-in-13-9-suggesting-wide-spread) (2020).
- 371 17. Lauring, A.S. & Hodcroft, E.B. Genetic Variants of SARS-CoV-2-What Do They Mean? *JAMA* **325**,
372 529-531 (2021).

- 373 18. Havers, F.P., *et al.* Seroprevalence of Antibodies to SARS-CoV-2 in 10 Sites in the United States,
374 March 23-May 12, 2020. *JAMA Intern Med* (2020).
- 375 19. Reese, H., *et al.* Estimated incidence of COVID-19 illness and hospitalization - United States,
376 February-September, 2020. *Clin Infect Dis* (2020).
- 377 20. Moreland, A., *et al.* Timing of State and Territorial COVID-19 Stay-at-Home Orders and Changes
378 in Population Movement — United States, March 1–May 31, 2020. *Morbidity and Mortality*
379 *Weekly Report (MMWR)* **69**, 1198–1203,
380 <https://www.cdc.gov/mmwr/volumes/1169/wr/mm6935a1192.htm#suggestedcitation> (2020).
- 381 21. Washington Post Staff. Where states reopened and cases spiked after the U.S. shutdown. *The*
382 *Washington Post*, [https://www.washingtonpost.com/graphics/2020/national/states-reopening-](https://www.washingtonpost.com/graphics/2020/national/states-reopening-coronavirus-map/)
383 [coronavirus-map/](https://www.washingtonpost.com/graphics/2020/national/states-reopening-coronavirus-map/) (2020).

384
385

386

387

388

389

390

391

392

393

394

395

396

397

Table 1. Reported US total COVID-19 cases (t_n), daily new cases (y_n), centered 7-day moving average of daily new cases (\bar{y}_n), and $\log(\bar{y}_n)$

Date	t_n	y_n	\bar{y}_n	$\log(\bar{y}_n)$
2/24/2020	53			
2/25/2020	57	4		
2/26/2020	60	3		
2/27/2020	60	0		
2/28/2020	63	3	7	0.827
2/29/2020	68	5	10	0.981
3/1/2020	75	7	14	1.146
3/2/2020	100	25	23	1.362
3/3/2020	124	24	37	1.563
3/4/2020	158	34	52	1.720
3/5/2020	221	63	67	1.823
3/6/2020	319	98	86	1.936
3/7/2020	435	116	124	2.094
3/8/2020	541	106	163	2.213
3/9/2020	704	163	210	2.322
3/10/2020	994	290	282	2.450
3/11/2020	1301	307	358	2.554
3/12/2020	1690	389	470	2.672
3/13/2020	2290	600	606	2.783
3/14/2020	2942	652	848	2.928
3/15/2020	3830	888	1262	3.101
3/16/2020	4948	1118	1898	3.278
3/17/2020	6928	1980	2672	3.427
3/18/2020	10134	3206	3315	3.520
3/19/2020	14978	4844	4566	3.660
3/20/2020	20997	6019		
3/21/2020	26145	5148		
3/22/2020	35790	9645		

Table 2. Slope of linear plot of $\log(A_n)$ vs n for different pairs of parameters l and i

$i=$	2	3	4	5	6	7	8	9	10	11	12
$l=1$	0.209	0.2646									
$l=2$	0.1221	0.166	0.1859								
$l=3$		0.1222	0.1400	0.1499	0.1557						
$l=4$			0.1128	0.1221	0.128	0.1317	0.1342	0.1359	0.1372	0.138	0.1385
$l=5$				0.1031	0.1089	0.1129	0.1155	0.1173	0.1185	0.1194	0.1201

405

406

407

408

409

410

411

412

413

414

415

416

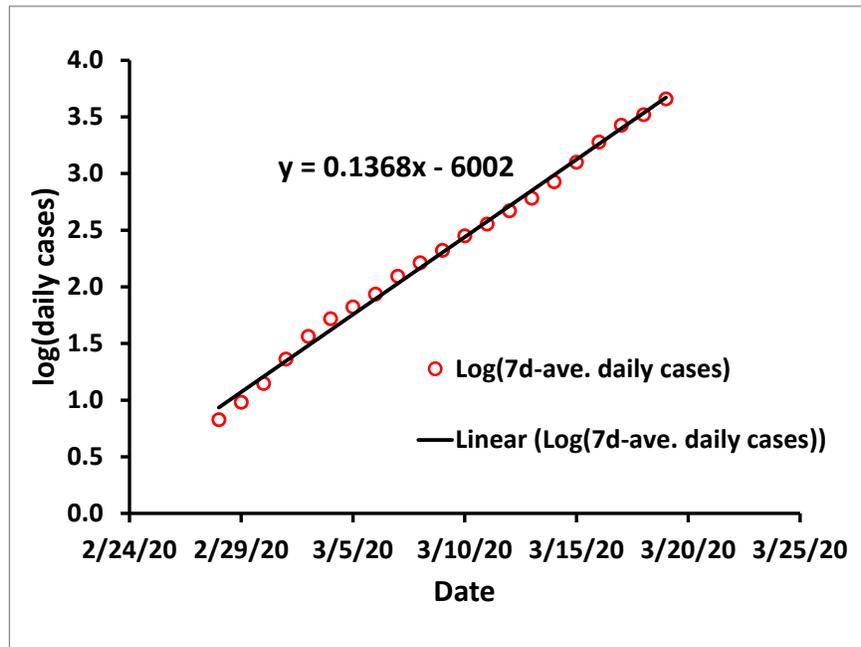
417

418

419

420

FIGURES



421

422 Figure 1. Logarithm of daily new cases in the U.S. in the early period between Feb 28, 2020 and Mar 19,
423 2020 is linear with date. The slope of the best fitting line is 0.1368.

424

425

426

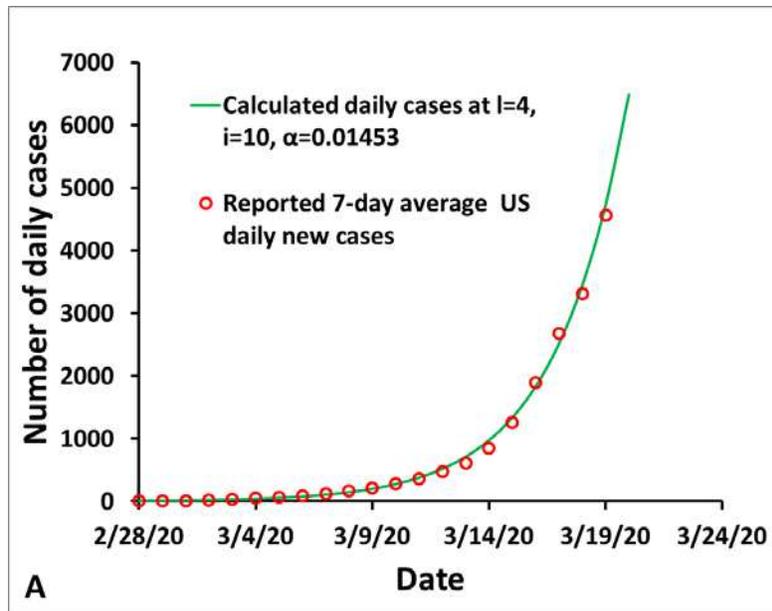
427

428

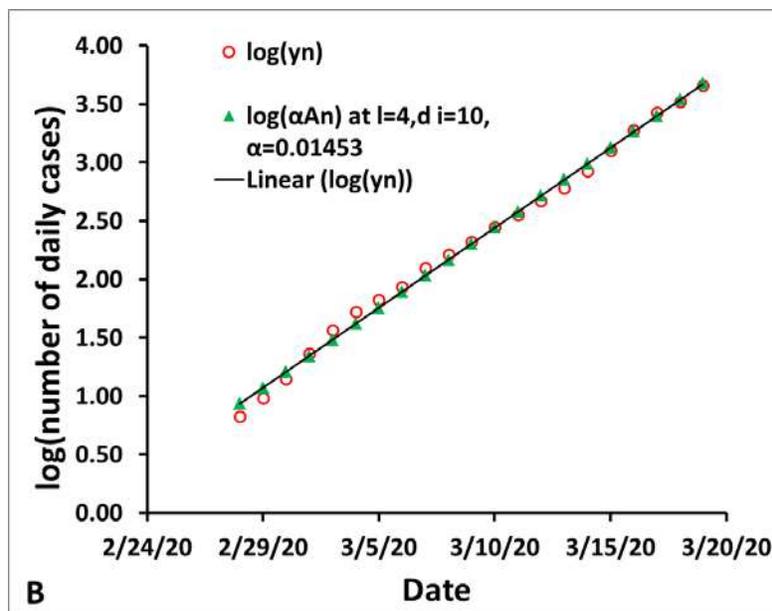
429

430

431



432

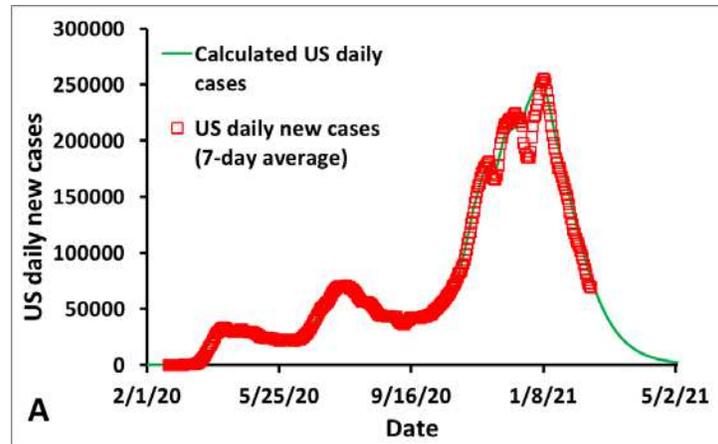


433

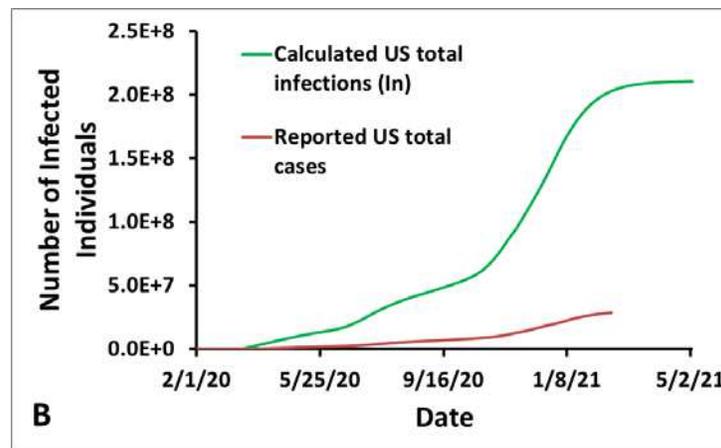
434 Figure 2. Exponential growth of daily cases in early period of COVID-19 outbreak in the United States
 435 between Feb 28 and Mar 19, 2020. (A) The red open circles represent centered 7-day moving average of
 436 the numbers of reported daily coronavirus cases (\bar{y}_n), and the green solid line represents the numbers of
 437 calculated daily coronavirus cases (αA_n) assuming $l=4$, $i=10$ and $\alpha=0.01453$. (B) The red open circles
 438 represents $\log(\bar{y}_n)$; the black solid line represents the best fitting line of $\log(y_n)$; and the green triangles
 439 represent logarithm of the calculated daily coronavirus cases ($\log(\alpha A_n)$).

440

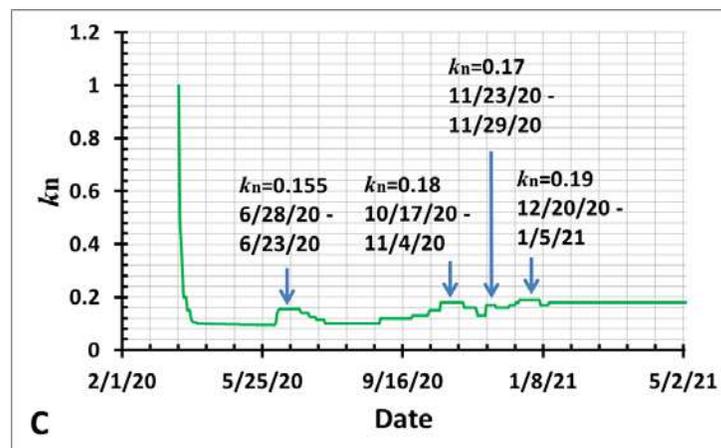
441



442

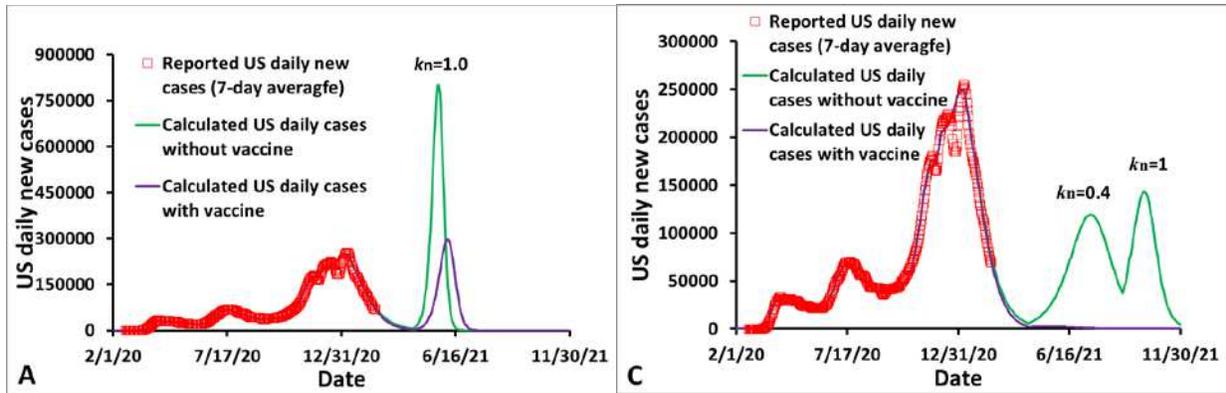


443

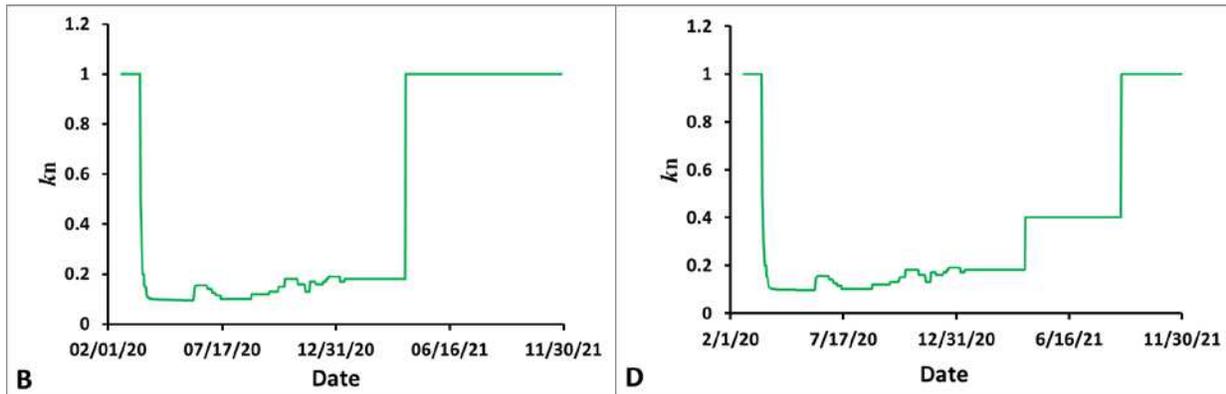


444 Figure 3. Determination of time-dependent transmission coefficient (k_n) and calculation of the number
445 of US cumulative infected individuals (I_n) by fitting the calculated number of US daily cases (αA_n) to the
446 centered 7-day moving average of reported number of US daily COVID-19 ceases (\bar{y}_n).
447

448



449



450 Figure 4. Simulations of future spread of COVID-19 in the United States under two different scenarios.

451

452

Figures

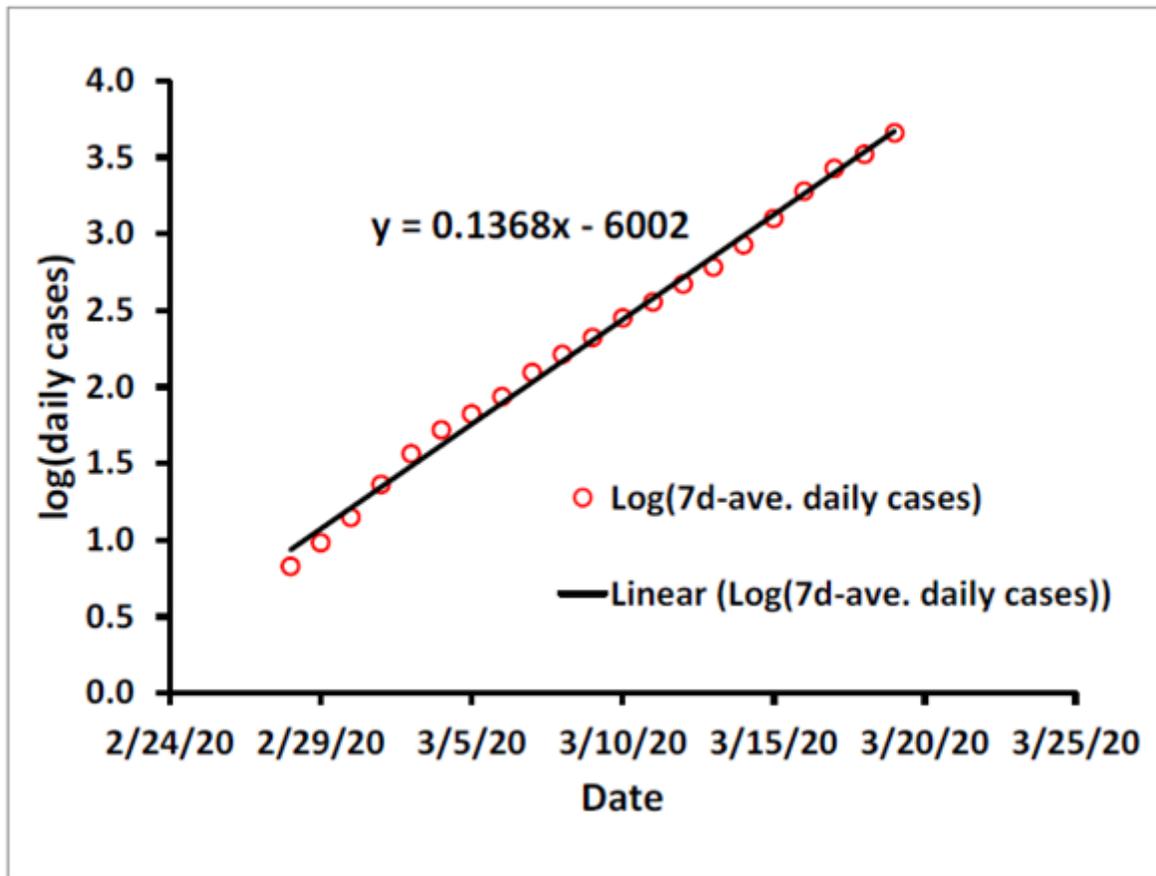


Figure 1

See manuscript for full figure caption.

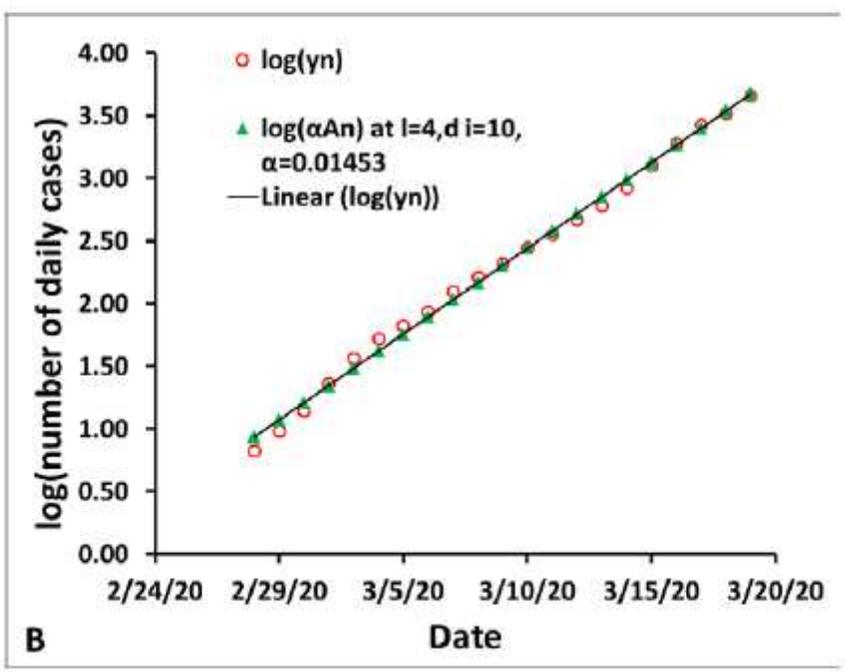
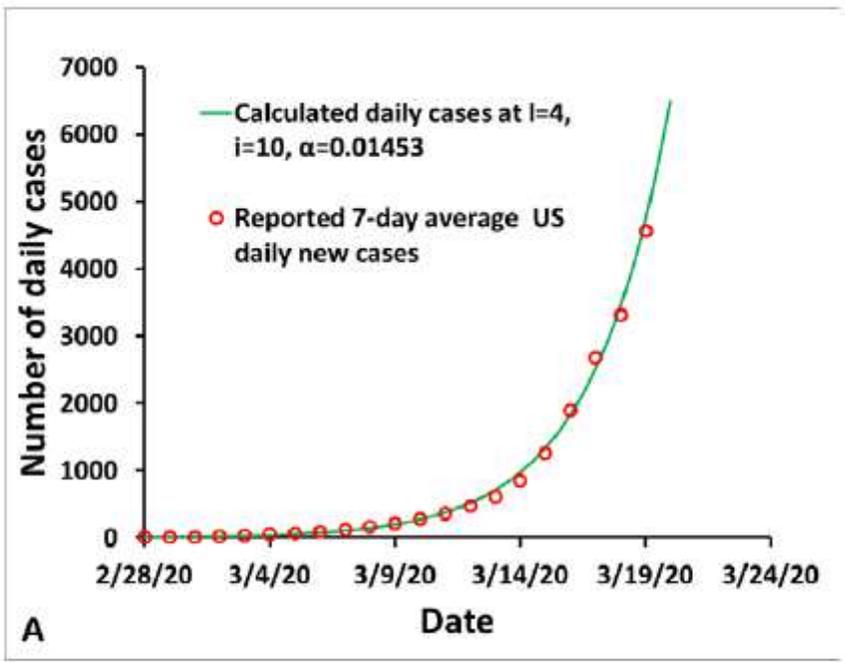


Figure 2

See manuscript for full figure caption.

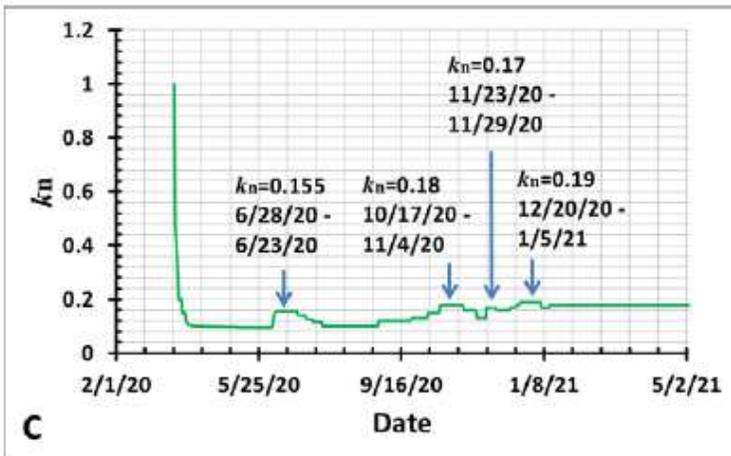
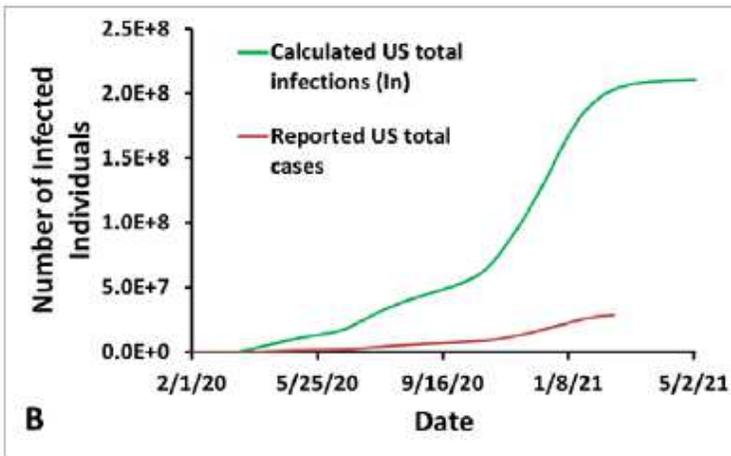
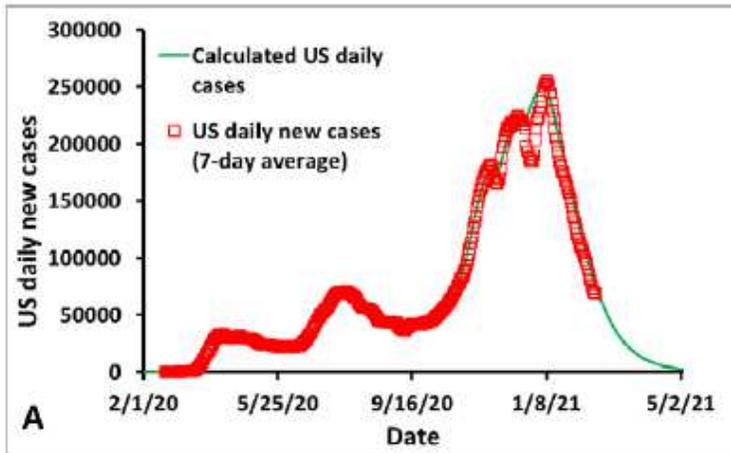


Figure 3

See manuscript for full figure caption.

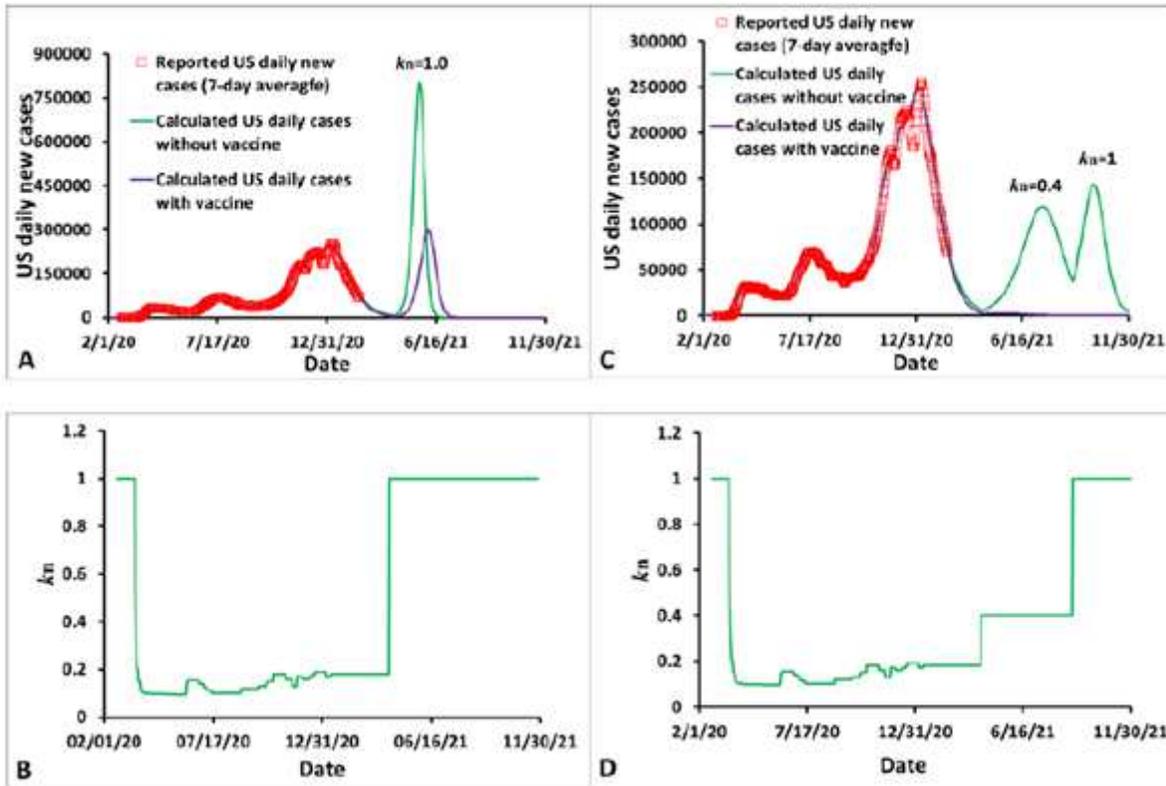


Figure 4

See manuscript for full figure caption.