

# Ambient PM<sub>2.5</sub> induces brain injury through PI3K/AKT/FoxO1 pathway

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## Research

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2 **PI3K/AKT/FoxO1 pathway**

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19

20 **Abstract**

21 **Background** Fine particulate matter (PM<sub>2.5</sub>)-induced neurological and mental diseases,  
22 such as cognitive impairment and stroke, tend to cause disability. In this study, we plan to  
23 find the appropriate biological indicators reflecting the early effects of PM<sub>2.5</sub> exposure  
24 and explore the toxicity of inhaling PM<sub>2.5</sub> along with its pathological mechanism on  
25 nervous system. **Methods** Male C57BL/6 mice were divided into 6 groups and exposed  
26 to concentrated PM<sub>2.5</sub> or filtered air for 2, 4 and 6 months, respectively. Their  
27 performances of ethology were tested by Morris water maze, elevated plus maze and  
28 buried food pellet test. Weight of whole brain and olfactory bulbs were recorded when  
29 the mice were sacrificed and the brain structure was observed by HE staining. ROS,  
30 SOD, IL-6, TNF- $\alpha$ , IL-1 $\beta$ , BDNF,  $\beta$ -hydroxybutyric, IgG, albumin, fibrinogen and  
31 plasminogen were tested. The mRNA expressions of the cortex were detected by RNA  
32 sequencing and real-time PCR, and the corresponding proteins were detected by Western  
33 blot. **Results** The spatial learning memory ability were impaired and the mice performed  
34 anxiously after PM<sub>2.5</sub> exposure. Relative brain weight decreased along with age and  
35 PM<sub>2.5</sub> inhaled exposure exceeded the process. But neuronal morphology, inflammatory  
36 cytokines, ROS,  $\beta$ -hydroxybutyric, BDNF, IgG and fibrinogen did not change.  
37 Intriguingly, SOD and albumin decreased, while plasminogen increased after PM<sub>2.5</sub>  
38 exposure. PI3K-AKT-FoxO1 pathway was activated after 6-month PM<sub>2.5</sub> exposure.  
39 **Conclusion** Albumin, A/G value and plasminogen are main serum indicators of early-  
40 stage (2 month) PM<sub>2.5</sub> exposure, and long-term (6 month) PM<sub>2.5</sub> exposure induced brain  
41 injury potentially through the activation of PI3K/AKT/FoxO1 pathway.

42 **Keywords**

43 PM<sub>2.5</sub>, brain injury, FoxO, oxidative stress, ethology

44

## 45 **Introduction**

46 The global disease burden study shows that air pollution induced 4.9 million people death  
47 in 2017, and fine particulate matter (PM<sub>2.5</sub>) induced 2.94 million people death, an  
48 increase of 21.6% compared with 2007 [1]. Ambient particulate pollution can increase  
49 the risk of cardiovascular diseases, while it can also increase the risk of cerebrovascular  
50 diseases. The relationship between PM<sub>2.5</sub> and central nervous system injuries such as  
51 cognitive decline, stroke and neurodegenerative diseases has been revealed in epidemic  
52 studies [2, 3]. Both short-term [4] and long-term [2] particulate matter exposure can  
53 increase the risk of morbidity and mortality of ischemic stroke. The risk ratio of ischemic  
54 stroke is 1.21 (95% CI 1.04~1.41) for every 10 µg/m<sup>3</sup> increase of PM<sub>2.5</sub> [2]. Furthermore,  
55 Jordi Sunyer et al. prospectively studied 2715 children aged from 7 to 10 years in 39  
56 schools in Barcelona, and the results showed that children attending schools with high  
57 traffic pollution had less improvement in cognition [5]. The study published in  
58 *Environmental Health Perspectives* in 2016 shows that long-term exposure to PM<sub>2.5</sub> can  
59 increase the risk of dementia, Alzheimer's disease and Parkinson's disease in humans [3].  
60 In addition, exposure to PM<sub>2.5</sub> may also increase the risk of brain tissue atrophy and aging  
61 [6], and lead to the damage of cerebral white matter.

62 Neurological and mental diseases tend to cause disability and increase disability-adjusted  
63 life years (DALYs), which greatly affects quality of life and labor. In recent years,  
64 studies have shown that ultrafine particles can enter into the brain of rats directly through  
65 the olfactory bulbs [7], harming brain. Another theory claims that PM<sub>2.5</sub> enters into the  
66 body through the respiratory tract and causes inflammation in the nasal epithelium or  
67 lungs, triggering a systemic inflammatory response (SIR) that leads to brain impairment.  
68 To reveal the major mechanism of how PM<sub>2.5</sub> causes nervous system injury, a number of  
69 great progresses have been made in molecular biology researches, indicating that  
70 inflammation, oxidative stress, brain derived neurotrophic factor (BDNF) and microRNA  
71 (miRNA) work on it. However, the main pathogenesis of PM<sub>2.5</sub>-induced brain injury is  
72 still unclear and needs further study.

73 Beyond that, self-recovery from PM<sub>2.5</sub>-induced injury is also an important issue which  
74 should not be ignored since the dose-response relationship has not been established yet.  
75 Previous study has reported that mice can repair themselves after high dose of PM<sub>2.5</sub>  
76 exposure for 8 weeks [8]. Thus, it is necessary to evaluate PM<sub>2.5</sub>-induced injuries along  
77 with the exposure time, which is helpful to find the early biological indicators and clarify  
78 the potential repair or exacerbation during the impairment.

79 In this study, we planned to find the appropriate biological indicators reflecting the early  
80 effects of PM<sub>2.5</sub> exposure and explore the toxicity of inhaling PM<sub>2.5</sub> along with its  
81 pathological mechanism on nervous system.

82

## 83 **Results**

### 84 **PM<sub>2.5</sub> concentration**

85 The mean concentrations of ambient PM<sub>2.5</sub> were 64.71 µg/m<sup>3</sup>, 46.36 µg/m<sup>3</sup> and 39.76  
86 µg/m<sup>3</sup> during our 2, 4 and 6 months' experiments, respectively. The mean concentrations  
87 of PM<sub>2.5</sub> in filtered air (FA) and PM chamber were 14.48 µg/m<sup>3</sup> vs 95.10 µg/m<sup>3</sup> (2  
88 months), 11.32 µg/m<sup>3</sup> vs 75.26 µg/m<sup>3</sup> (4 months) and 11.83 µg/m<sup>3</sup> vs 63.79 µg/m<sup>3</sup> (6  
89 months). The concentration of concentrated PM<sub>2.5</sub> chamber was approximately six-fold  
90 higher than the filtered air chamber in this study.

### 91 **The spatial learning memory ability was impaired after PM<sub>2.5</sub> exposure**

92 Learning dysfunction is a typical deficit after PM<sub>2.5</sub> exposure [9]. Morris water maze test,  
93 a classic ethological experiment, was performed to assess the spatial learning and  
94 memory of mice. During the training trials, the mice did not show a significantly longer  
95 latency to platform (Figure 1A~C), but there was the tendency of less frequencies to  
96 platform in test after PM<sub>2.5</sub> exposure (Figure 1F). The time in target quadrant and the  
97 speed of swimming were also detected and analyzed, but there was no significant  
98 difference among the 6 groups (Supplemental Figure 1).

99 **PM<sub>2.5</sub>-exposed mice were more anxious in the elevated plus maze**

100 The elevated plus maze was used to evaluate the anxiety of mice. The frequencies of  
101 entering and the time spent in open arms and close arms were calculated. In Figure 2, the  
102 entries to open arms were comparable among the 6 groups. FA2 mice stayed longer in  
103 open arms than PM2, FA4 and FA6 mice, while there was no significant difference  
104 among other groups. Neither did the corresponding parameters of the close arms  
105 (Supplemental Figure 2). Thus, the mice performed more anxious after first 2-month  
106 PM<sub>2.5</sub> exposure but then they maintained the same degree of anxiety.

107 **PM<sub>2.5</sub> exposure exceeded the decrease of relative brain weight**

108 In order to explore the functional changes of olfactory bulbs which might be the entrance  
109 of metal particles into the brain [10], the buried food pellet test was performed and the  
110 durations that mice on a restricted diet used to find the buried food were not different. As  
111 important indicators of evaluating the impairment of brain, whole brain weight, olfactory  
112 bulb weight and body weight were detected. The relative brain weight (brain weight as  
113 the percentage of body weight) shown in Figure 3B decreased along with the age of mice  
114 since this parameter dropped gradually within the FA groups and PM groups,  
115 respectively. The decline of the brain weight and the increase of body weight shown in  
116 Supplemental Figure 3 might lead to the change of relative brain weight. Although PM<sub>2.5</sub>  
117 exposure did not affect the brain weight or the body weight individually, the relative  
118 brain weight indeed declined after 2-month and 4-month exposure of PM<sub>2.5</sub> and returned  
119 to the same level until 6-month exposure. It implied that PM<sub>2.5</sub> exposure exceeded the  
120 decrease of relative brain weight.

121 **PM<sub>2.5</sub> did not influence neuronal morphology,  $\beta$ -hydroxybutyric and BDNF**

122 Furthermore, in order to determine whether the structure of brain was damaged, the brain  
123 of mice was cut into slices and observed through HE staining. The structure of  
124 hippocampus and cortex were complete in Supplemental Figure 4. Thus, PM<sub>2.5</sub> did not  
125 influence cellular morphology in hippocampus and cortex observed by HE staining.

126 Consistently, the  $\beta$ -hydroxybutyric and BDNF, which could enter the brain from serum,  
127 remained stable in this study (Supplemental Figure 5).

### 128 **The ability of anti-oxidation was impaired after 6-month PM<sub>2.5</sub> exposure**

129 Oxidative stress is an essential pathway of PM<sub>2.5</sub>-induced impairment. Reactive oxygen  
130 species (ROS) and superoxide dismutase (SOD) are the major indicators of oxidative  
131 stress. There was no difference of ROS in either hippocampus or cortex (Supplemental  
132 Figure 6). SOD is usually treated as the item to evaluate oxidation resistance inside the  
133 body. In FA groups, the SOD activity did not change in the first 4-month exposure, while  
134 it rose sharply in 6-month exposure (Figure 3C). However, there was a bilateral change of  
135 SOD among PM groups—the SOD activity was firstly up-regulated and then down-  
136 regulated along with the time of PM<sub>2.5</sub> exposure. At the same time, we should not ignore  
137 the rising tendency in PM2 group when compared to FA2 group, although the post hoc  
138 test was not statistically different ( $P = 0.080$ ). Significantly, SOD activity decreased in  
139 PM6 group compared with FA6 group.

### 140 **Age reduced systematic inflammation and PM<sub>2.5</sub> exposure might influence the** 141 **interleukin-1 $\beta$ (IL-1 $\beta$ ) after 6-month exposure**

142 IL-6 and tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ) showed a downward trend along with the  
143 feeding time, while PM4 group exhibited lower TNF- $\alpha$  compared with FA4 group  
144 (Figure 3D~E). Another proinflammatory cytokine IL-1 $\beta$  went up firstly in both FA and  
145 PM groups and then went down only in FA groups (Figure 3F). Besides, it should not be  
146 ignored that IL-1 $\beta$  had a non-significant rise between FA6 and PM6 group ( $P = 0.064$ ).

### 147 **PM<sub>2.5</sub> reduced A/G value by decreasing albumin without increasing IgG**

148 In Figure 4A, IgG increased rapidly with age, characterized by the difference among  
149 FA2, FA4 and FA6 as well as PM2, PM4 and PM6. However, PM<sub>2.5</sub> exposure did not  
150 make a difference. But when it came to albumin (Figure 4B), it was clear that this  
151 indicator went up firstly and then went down, and PM groups downregulated it from 2-

152 month exposure to 6-month exposure. The value of A/G is the percentage of albumin  
153 over IgG. A/G value went down along with feeding time in FA groups, while this trend  
154 disappeared among the PM groups (Figure 4C). Besides, both PM2 and PM4 group  
155 showed lower A/G when compared with corresponding FA2 and FA4 group,  
156 respectively.

### 157 **PM<sub>2.5</sub> influenced fibrinolysis through increasing plasminogen but not fibrinogen**

158 Fibrinogen is an important substance for coagulation synthesized by liver and it is the  
159 most common clotting factor in plasma. Fibrinogen went up along with the feeding time  
160 while the increase was disrupted by PM<sub>2.5</sub> in 6-month exposure group (Figure 4D).  
161 Plasminogen is an item reflecting the fibrinolysis inside the body. It rose the same way  
162 like fibrinogen in FA groups, but it only increased in 6-month PM group when compared  
163 with 4-month PM group (Figure 4E). In addition, plasminogen was obviously higher in  
164 PM2 and PM6 group when compared with the corresponding FA groups. This difference  
165 did not exist between the FA4 and PM4 group.

### 166 **PM<sub>2.5</sub> influence mRNA expression in the cortex of mice detecting by RNA** 167 **sequencing**

168 PM<sub>2.5</sub> did harm to the body after 6-month exposure according to the above results  
169 including impairing the spatial learning memory ability, causing anxiety, decreasing the  
170 relative brain weight and so on. To explore how PM<sub>2.5</sub> worked on brain, we detected the  
171 whole-genome expression through RNA sequencing, and counts of 55281 genes were  
172 filtered. When compared with FA6 group, a total of 2719 genes (1188 upregulated and  
173 1531 downregulated) in PM6 group were identified and visualized by volcano plot  
174 (Figure 5A). This result showed that 6-month PM<sub>2.5</sub> exposure influenced a number of  
175 gene expressions in the cortex of brain which could further affect the functional  
176 performances such as learning or memory, cognition and signal release (Figure 5B).

177 The Forkhead box O (FoxO) family is an important transcription factor including FoxO1,  
178 FoxO3a, FoxO4 and FoxO6 molecules in mammals. The phosphatidylinositol 3-

179 kinase/protein kinase B (PI3K/Akt) signaling pathways can phosphorylate FoxO,  
180 transport it from the nucleus to the cytoplasm, lower its transcriptional activity and inhibit  
181 the expressions of downstream genes. Combing with the results of KEGG enrichment  
182 analysis, among the genes we found that the expressions of *FoxO1* ( $P_{\text{adjust}}=8.70\times 10^{-7}$ ) and  
183 *FoxO6* ( $P_{\text{adjust}}=0.000406$ ) decreased in PM6 group, while no significant changes of *PI3K*,  
184 *AKT*, *FoxO3a* or *FoxO4* were found between FA6 and PM6 group. Since PI3K/AKT  
185 signaling pathway is closely related to cellular physiological processes, such as  
186 metabolism, aging, cancer [11] and PM<sub>2.5</sub>-induced damages [12], PI3K/AKT/FoxO1  
187 pathway might explain how PM<sub>2.5</sub> worked on nervous system.

### 188 **PI3K-AKT-FoxO1 pathway was activated after 6-month PM<sub>2.5</sub> exposure**

189 Since we found that PI3K/AKT/FoxO1 pathway might be an important intracellular  
190 signaling pathway in PM<sub>2.5</sub>-induced damages from the above RNA sequencing test, the  
191 mRNA expressions in the cortex of mice were detected by RT-PCR. As shown in Figure  
192 5 and Supplemental Figure 7, 6-month feeding sharply increased the mRNA expression  
193 of *PI3K*, *Akt* and *FoxO* family members except for *FoxO3a* when compared with the  
194 corresponding 2- or 4-month groups. Interestingly, PM<sub>2.5</sub> exposure increased mRNA  
195 expression of *PI3K* and *AKT*, and decreased mRNA expression of *FoxO1* only in 6-  
196 month group. Detection of other 3 molecules in FoxO family did not display any  
197 difference between the corresponding FA and PM groups.

198 The same trend of PI3K, p-AKT and FoxO1 were found between FA6 and PM6 group by  
199 Western Blot (Figure 6). As the major downstream target of AKT, p-FoxO1 had a higher  
200 level in PM6 group although its total FoxO1 decreased. Interestingly, *PI3K* and *AKT*  
201 showed an increase of mRNA rather than protein along with feeding time. Specifically,  
202 the increased trend of *PI3K* and *AKT* at mRNA among FA2, FA4 and FA6 groups  
203 disappeared entirely at protein, while the difference between PM2 and PM6 group still  
204 existed. Total FoxO1, the corresponding protein of *FoxO1*, performed the same as it did  
205 at mRNA among FA groups, but did not display an increase among the PM groups. In

206 addition, the elevated PI3K and p-AKT actually increased the p-FoxO1 in PM6 group  
207 compared with FA6 group.

208

## 209 **Discussion**

210 In 2019, the average annual concentration of PM<sub>2.5</sub> in China is 36 µg/m<sup>3</sup>, lower than that  
211 in 2017 (43µg/m<sup>3</sup>), but still higher than both Chinese standard (35µg/m<sup>3</sup>) and U.S EPA  
212 standard (12 µg/m<sup>3</sup>). As consequence, it is necessary to focus on the adverse health  
213 effects caused by chronic low-concentration exposure of PM<sub>2.5</sub>.

214 PM<sub>2.5</sub> is prone to cause metabolic damages, such as atherosclerosis, lipids and glucose  
215 metabolism disorder, and cardiovascular diseases, the pathogenesis of which we have  
216 studied previously [13, 14]. Moreover, brain injury is also an important impairment  
217 caused by PM<sub>2.5</sub>, including cerebrovascular injury and neurological damage, which can  
218 further lead to stroke, cognitive decline and mental diseases.

## 219 **Albumin, A/G value and plasminogen are sensitive and accessible indicators** 220 **reflecting effects of early-stage PM<sub>2.5</sub> exposure**

221 The brain weight is partly related to the body weight because it increases with the age  
222 during puberty. Then, the brain weight decreased during the span of adult human life  
223 [15]. The loss of brain weight over the corresponding physiological value in adults is  
224 associated with brain atrophy and cognitive impairment. Loss of relative brain weight  
225 was clear in this experiment, and this might be explained by the change of both brain  
226 weight and body weight. There was no significant difference of whole brain weight or  
227 body weight between FA and PM groups. The whole brain weight of PM groups showed  
228 a trend of decline over time, and body weight of PM mice did not show the same increase  
229 over time as FA groups did, which eventually led to the different relative brain weight  
230 between FA and PM groups at 2- and 4-month. Interestingly, there was no difference  
231 between FA6 group and PM6 group, suggesting that age had a greater effect on the loss  
232 of relative brain weight in adult mice than PM<sub>2.5</sub>. Thus, relative brain weight is an

233 important indicator of brain damage caused by PM<sub>2.5</sub>, especially in mice younger than 24  
234 weeks.

235  $\beta$ -hydroxybutyric is a kind of ketone which can be directly used as the energy donor in  
236 the brain besides glucose, and its increase in serum can be caused by diabetic  
237 ketoacidosis, chronic hunger, malnutrition and strenuous exercise. Although acute high-  
238 concentration exposure of PM<sub>2.5</sub> can make an impact on the systemic energy metabolism  
239 as we studied before [16],  $\beta$ -hydroxybutyric did not change in this study. The reason  
240 might be that the mice did not suffer fat metabolism disorder developed by severe  
241 glucose metabolism disorder after chronic low-concentration exposure of PM<sub>2.5</sub>.

242 BDNF has exactly the same amino acid sequences in humans, mice and pigs, and its  
243 structure is similar to that of nerve growth factor (NGF), which belongs to the nerve  
244 growth factor family and affects the development, differentiation and synaptic plasticity  
245 of neurons. Both epidemiological studies and animal experiments have found that PM<sub>2.5</sub>  
246 reduces the content of BDNF [17] [18-20]. Interestingly, the change of BDNF was not  
247 observed in this study. This may indicate that BDNF changes more after acute exposure  
248 to PM<sub>2.5</sub> and less for chronic exposure.

249 Oxidative stress and inflammatory response are important mechanisms of diseases caused  
250 by PM<sub>2.5</sub>, and they can jointly promote the pathological processes. In this study, neither  
251 inflammatory factors nor ROS, an indicator of oxidative stress, changed due to PM<sub>2.5</sub>.  
252 However, SOD, an antioxidant in vivo, significantly decreased after 6-month PM<sub>2.5</sub>  
253 exposure. The results suggested that long-term exposure to moderate concentrations of  
254 PM<sub>2.5</sub> can indeed affect balance of oxidation and antioxidation, which is consistent with  
255 previous study [21].

256 Albumin is an important protein synthesized by the liver, which is responsible for  
257 maintaining a stable plasma colloid osmotic pressure. Furthermore, human serum  
258 albumin (HSA) effectively protects neurons after cerebral ischemia or related injuries  
259 [22], and regulates metabolism of nervous system during development [23]. Albumin is  
260 significantly reduced after exposure to PM<sub>2.5</sub>, and the reasons might be associated with

261 the decreased albumin synthesis caused by PM<sub>2.5</sub>-induced liver damage or the increased  
262 albumin leakage caused by PM<sub>2.5</sub>-induced vascular diseases. Additionally, IgG, an  
263 important immunoglobulin, showed an increasing trend in both FA and PM mice since 4-  
264 month feeding, indicating that the immune response was indeed enhanced in the mice  
265 after 4-month feeding. However, PM<sub>2.5</sub> had little effect on IgG. At the same time, due to  
266 the high IgG in older mice, the absolute value of albumin better reflects the impact of  
267 PM<sub>2.5</sub> exposure than the A/G value.

268 Another two serum indicators, collagen and plasminogen, can reflect the health of blood  
269 system and were affected by age in this experiment. But only plasminogen was able to  
270 reflect the impact of 2-month and 6-month PM<sub>2.5</sub> exposure.

271 Combining with above sections, PM<sub>2.5</sub> can delay or accelerate the effects of age on some  
272 indicators. Although the relative brain weight is sensitive to PM<sub>2.5</sub>, it is hard to elevate it  
273 without sacrificing the mice. The best serum indicators observed in the early stage (2  
274 month) are albumin, A/G value and plasminogen, which are more sensitive to PM<sub>2.5</sub> and  
275 highly accessible, especially for humans.

#### 276 **Self-recovery might happen after 4-month PM<sub>2.5</sub> exposure**

277 Limited studies focus on the following recovery after PM<sub>2.5</sub> exposure. Thus, we detected  
278 a number of biological indicators at 3 different time to figure out whether self-recovery  
279 exists during PM<sub>2.5</sub> exposure. SOD, TNF- $\alpha$  and plasminogen, which represents the ability  
280 of antioxidation, inflammation and fibrinolysis, respectively. All these 3 dimensions are  
281 the major pathological mechanisms of PM<sub>2.5</sub>-induced injuries. In this study, the activity  
282 of SOD in serum was downregulated in PM4 group, which might be due to its  
283 consumption for resisting oxidative stress. TNF- $\alpha$  decreased in PM4 group and was lower  
284 than that of FA4 group, but other inflammatory cytokines, IL-6 and IL-1 $\beta$ , did not show  
285 this pattern. This indicates a partial downregulation of inflammation after 4-month PM<sub>2.5</sub>  
286 exposure. Plasminogen in PM4 group did not exhibit a significant increase when  
287 compared with FA4 or PM2 group. It might come to the conclusion that fibrinolysis was

288 rapidly impaired by 2-month PM<sub>2.5</sub> exposure, recovered from 4 month and kept going  
289 worse after 6-month PM<sub>2.5</sub> exposure.

290 Previous study has shown that after 4, 8, and 12 weeks of consecutive exposure to high  
291 levels of PM<sub>2.5</sub> in male C57BL/6 mice, the mice exhibit a damage-repair-imbalance  
292 response, which ultimately led to depressive behavior and upregulation of pro-  
293 inflammatory cytokines [8]. Consistently, pro-inflammatory cytokines and chemokines  
294 elevated after 4-week PM<sub>2.5</sub> exposure, and reversed to normal levels in the following 2  
295 week [24]. Combining with our research, self-recovery from PM<sub>2.5</sub> exposure might exist  
296 in partial physiological processes, such as antioxidation, inflammation and fibrinolysis,  
297 but it still needs further studies to figure out whether and when self-recovery happens in  
298 other PM<sub>2.5</sub>-induced impairments.

#### 299 **Ambient PM<sub>2.5</sub> induces brain injury through PI3K/AKT/FoxO1 pathway**

300 FoxO, which is present in most of the tissues in mammals, regulates multiple  
301 physiological and pathological processes such as oxidative stress, inflammatory response,  
302 energy metabolism and apoptosis which are also closely related to the pathogenic  
303 mechanism of PM<sub>2.5</sub>. FoxO factors are key downstream targets of insulin, growth factor,  
304 nutrient, and oxidative stress stimuli that coordinate a wide range of cellular functions.  
305 Post-translational modification is the major regulation of FoxO. It can be phosphorylated  
306 by PI3K/AKT pathway which is active after PM<sub>2.5</sub> exposure [12]. FoxO1 is inactivated  
307 through phosphorylation by AKT at Thr24, Ser256 and Ser319 resulting in nuclear export  
308 and inhibition of transcription factor activity [25]. However, it can also be  
309 phosphorylated by JNK at Thr 447 and Thr 451 which active the transcription factor  
310 activity [26]. Active Foxo1 can promote SOD [27] and downregulate plasminogen  
311 activator inhibitor 1 (PAI-1), which can promote the transformation of plasminogen into  
312 plasmin working on fibrinolysis. In this study, PI3K/AKT/FoxO1 pathway in the brain  
313 tissue was activated after long-term (6-month) PM<sub>2.5</sub> exposure by transcription,  
314 translation and post-translation modification, resulting in the reduction of FoxO1 activity,  
315 down-regulation of SOD and albumin, and increased plasminogen. It further reduced the

316 ability of antioxidation, repairment and fibrinolysis in nervous system, in turn affected  
317 learning and memory, consequently increased the risk of cerebrovascular damage and  
318 stroke. This might be due to the cumulative effect of consecutive PM<sub>2.5</sub> exposure since  
319 the pathway was not activated before 6-month exposure. Therefore, to improve the  
320 expression and function of FoxO1 may be a feasible method to prevent and treat PM<sub>2.5</sub>-  
321 induced brain damage.

322 In addition, result of RNA sequencing showed differences of a large number of genes  
323 after 6-month PM<sub>2.5</sub> exposure. Although some differences were not detected by RT-PCR,  
324 such as *FoxO6*, a gene that regulates memory consolidation and synaptic function in  
325 mammals, it still provided reliable genetic targets for further studies of brain injuries  
326 caused by long-term PM<sub>2.5</sub> exposure.

327

## 328 **Conclusions**

329 In conclusion, albumin, A/G value and plasminogen are great serum indicators of early-  
330 stage (2 month) PM<sub>2.5</sub> exposure, and long-term (6 month) PM<sub>2.5</sub> exposure induces brain  
331 injury potentially through the activation of PI3K/AKT/FoxO1 pathway.

332

## 333 **Methods**

### 334 **Animals**

335 Male C57BL/6 mice were bought from Shanghai JSJ Laboratory Animal Company  
336 (Shanghai, China) and equilibrated for 2 weeks before experimental enrollment in the  
337 animal center in Fudan University. The mice were randomly divided into 6 groups (FA2,  
338 FA4, FA6, PM2, PM4 and PM6 group) and exposed to concentrated PM<sub>2.5</sub> or FA using  
339 Shanghai Meteorological and Environmental Animal Exposure System (Shanghai-  
340 METAS) [13, 14] for 2, 4 or 6 months, respectively (10 hours per day, 7 days per week  
341 with food and water freely). The study was approved by the Fudan University Animal

342 Care and Use Committee and all animal procedures were treated in accordance with the  
343 National Institutes of Health guide for the care and use of Laboratory animals.

#### 344 **PM<sub>2.5</sub> exposure**

345 As our previous studies [13, 14], “real-world” exposure to ambient PM<sub>2.5</sub> was carried out  
346 in animals through the use of Shanghai-METAS. Two PM<sub>2.5</sub> monitors (pDR-1500,  
347 Thermo Scientific, Waltham, MA, USA) were connected to the air inlet of the exposure  
348 and control chambers for the use of measuring the real-time concentration of PM<sub>2.5</sub>.

#### 349 **Morris water maze**

350 The experimental equipment consists of a circular water pool (120 cm in diameter, 40 cm  
351 in height), containing water ( $21 \pm 1$  °C) to a depth of 15.5 cm. A platform (4.5 cm in  
352 diameter, 14.5 cm in height) was placed at the target quadrant and submerged 1 cm below  
353 the water surface. A video camera was set in the ceiling and connected to an analysis-  
354 management system Ethovision XT version 8.5 (Noldus, Wageningen, Netherlands). The  
355 pool was surrounded by 4 visual cues at different locations: N, E, W, S. Each mouse was  
356 trained 4 times per day for 5 consecutive days. Latency to platform was observed and  
357 calculated. On Day 6, the platform was removed and the mouse was allowed to swim  
358 freely for 60 s in the same pool. The latency and frequencies to the hidden platform and  
359 target quadrant were measured.

#### 360 **Elevated plus maze**

361 The elevated plus maze apparatus consists of two open arms with no sides and two close  
362 arms with sides. A video camera was set in the ceiling and connected to the analysis-  
363 management system Ethovision XT version 8.5 (Noldus, Wageningen, Netherlands).  
364 Mice were placed on the middle of the maze and allowed for exploring the maze freely  
365 for 5 min during which entries to and time spent in the open arms and closed arms were  
366 measured.

367 **Buried food pellet test**

368 The mice were on a restricted diet (1g food per day per mouse were given for 3  
369 consecutive days) 3 days before the test and they were allowed to stay in the testing cage  
370 for 10 min 24 h before the test. The test was conducted in a clean mice cage filling with  
371 usual bedding, and the food pellet (1.0 cm×1.0 cm×0.5 cm) was randomly buried 1 cm  
372 deep in the bedding. Then, the mouse was placed into the cage to explore for up to 5 min,  
373 and the latency to find the pellet was calculated.

374 **Weight of body, brain and olfactory bulbs**

375 The body weight of mouse was recorded every week throughout the experiment period.  
376 The weight of brain and olfactory bulbs were measured immediately after the mouse was  
377 sacrificed.

378 **Histopathology**

379 Brain of the mouse was perfused with 4% paraformaldehyde and embedded with  
380 paraffin. The sections were stained with hematoxylin & eosin (HE) and visualized using  
381 optical microscope.

382 **RNA sequencing**

383 The preparation of whole transcriptome libraries and deep sequencing were performed by  
384 Novogene (Beijing, China). 100 mg of cortical tissues were collected and used to extract  
385 RNA. Then, mRNA was enriched by Oligo(dT) beads, fragmented into short fragments ,  
386 and used as templates for cDNA generation. cDNA fragments were purified, added with  
387 poly(A), ligated to Illumina sequencing adapters, and sequenced by using Illumina  
388 HiSeq™ 2500. The read counts were expressed as fragments per kilobase of exon per  
389 million mapped reads (FPKM), estimating gene expression level. Principal component  
390 analysis (PCA) was performed for sample relationship analysis, and DESeq2 was used to  
391 identify genes with  $P_{\text{value}} < 0.05$  and  $|\log_2\text{FoldChange}| > 0$  as significant genes. The

392 different mRNAs were submitted to KEGG database for pathway analysis and GO  
393 database for GO category analysis.

#### 394 **ELISA**

395 Inflammatory cytokines including IL-1 $\beta$ , IL-6 and TNF- $\alpha$ , IgG, albumin, fibrinogen,  
396 plasminogen,  $\beta$ -hydroxybutyric and BDNF in serum were measured using ELISA kit  
397 (Biosource international, Thermo, USA) following the manufacturer's instructions. After  
398 adding the stop solution, the absorbance was measured within 15 min.

#### 399 **SOD activity**

400 The serum was used to measure SOD activity by using the SOD reagent kit (Nanjing  
401 Jiancheng Bioengineering Institute, Nanjing, China) following the manufacturer's  
402 instruction.

#### 403 **Flow cytometry**

404 The tissues of hippocampus and cortex were digested into single-cell suspension ( $1 \times 10^6$   
405 cells/ml) and stained with ROS Assay Kit (Beyotime, Shanghai, China). The cells were  
406 detected by flow cytometry.

#### 407 **Real-time PCR**

408 *PI3K*, *AKT*, *FoxO1*, *FoxO3a*, *FoxO4*, *FoxO6* and *GAPDH* mRNA expression in cortex  
409 were detected by the real-time PCR (RT-PCR) method. A total of 20 mg cortex tissues  
410 were taken to extract the total RNA by Trizol (Sigma-Aldrich, St. Louis, MO). The  
411 cDNA was synthesized using PrimeScript RT Reagent Kit (Takara, Japan) according to  
412 the manufacturer's protocol. Quantitative RT-PCR was performed using SuperReal  
413 PreMix Reagent Kit (Tiangen Biotech, China). The reaction system was 10  $\mu$ l and the  
414 value of  $-\Delta\Delta C_t$  was used for analysis. The sequences of primers were listed in the  
415 Supplemental Table 1.

416 **Western blot**

417 Total proteins in cortex of the mice were lysed in radio-immunoprecipitation assay lysis  
418 buffer. The proteins were separated on 8% to 10% SDS-PAGE gel and transferred to  
419 polyvinylidene difluoride membranes. The membranes were blocked for 1.5 h at room  
420 temperature with 5% bovine serum albumin (BSA), reacted with anti-PI3K (1:1000),  
421 anti-phospho-AKT (phosphorylation at Ser473) (1:1000), anti-AKT (1:1000), anti-  
422 phospho-FoxO1 (phosphorylation at Ser256) (1:1000), anti-FoxO1 (1:1000) and anti-  
423 GAPDH (1:1000) at 4°C over-night. HRP-conjugated antibody (1:5000, Cell Signaling  
424 Technology, USA) was used to incubate the membranes for 1 h at room temperature.  
425 Finally, the blots were detected by ECL (Thermo Scientific) and the band density was  
426 quantitatively analyzed through ImageJ (National Institutes of Health, USA).

427 **Statistical analyses**

428 The results were shown as means  $\pm$ SD. Statistical analysis were performed by one-way  
429 analysis of variance (ANOVA) with LSD post hoc test by IBM SPSS statistics 22.0  
430 software.  $P < 0.05$  was considered statistically significant.

431

432 **List of abbreviations**

433 PM<sub>2.5</sub>: Fine particulate matter; DALYs: disability-adjusted life years; FA: filtered air;  
434 SIR: systemic inflammatory response; BDNF: brain derived neurotrophic factor; miRNA:  
435 microRNA; FoxO: Forkhead box O; PI3K: phosphatidylinositol 3-kinase; Akt: protein  
436 kinase B; NGF: nerve growth factor; HSA: human serum albumin; PAI-1: plasminogen  
437 activator inhibitor 1; Shanghai-METAS: Shanghai Meteorological and Environmental  
438 Animal Exposure System; HE: hematoxylin & eosin; FPKM: fragments per kilobase of  
439 exon per million mapped reads; PCA: principal component analysis; IL: interleukin;  
440 TNF- $\alpha$ : tumor necrosis factor  $\alpha$ ; SOD: superoxide dismutase; ROS: reactive oxygen  
441 species; RT-PCR: real-time PCR; ANOVA: one-way analysis of variance.

442

443 **Declarations**

444 **Ethics approval and consent to participate**

445 The study was approved by the Fudan University Animal Care and Use Committee and  
446 all animal procedures were treated in accordance with the National Institutes of Health  
447 guide for the care and use of Laboratory animals.

448 **Consent for publication**

449 Not applicable

450 **Availability of data and materials**

451 The data that support the findings of this study are available but restrictions apply to the  
452 availability of these data. Data are however available from the authors upon reasonable  
453 request.

454 **Competing interests**

455 No potential conflicts of interest were disclosed.

456 **Funding**

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459 **Authors' contributions**

460 Liying Song designed and performed the experiment, and wrote the paper. Kun Pan  
461 performed the experiment and analyzed the data. Xihao Du, Shuo Jiang, Xuejiao Zeng,  
462 Jia Zhang, Lei Lei, Mengdi Zhang and Yuwen Zhang performed the experiment. Ji Zhou  
463 provide technical support of the exposure equipment. Yuquan Xie revised the paper.  
464 Jinzhuo Zhao designed the expriement and revised the paper. All authors read and  
465 approved the final manuscript.

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467 Not applicable

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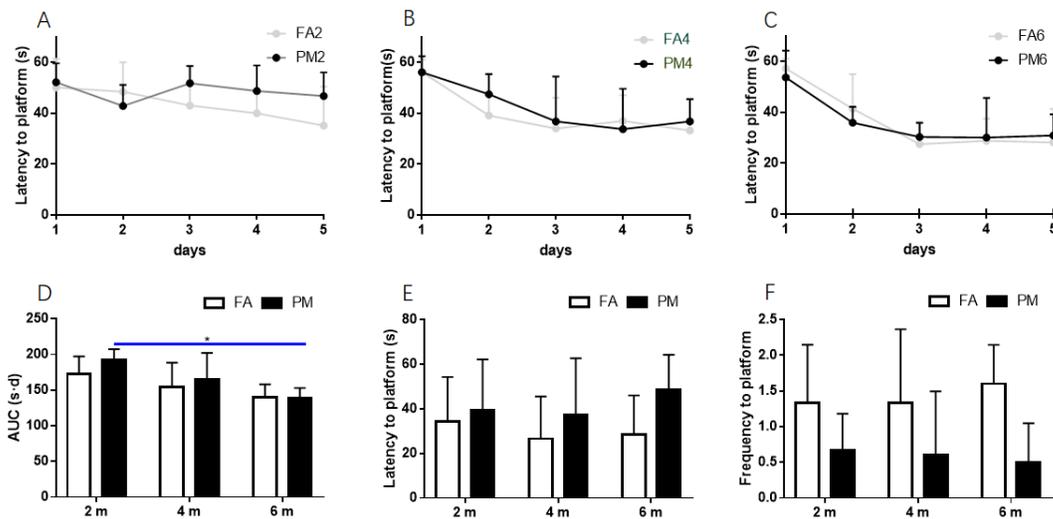
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547 **Figures and Tables**

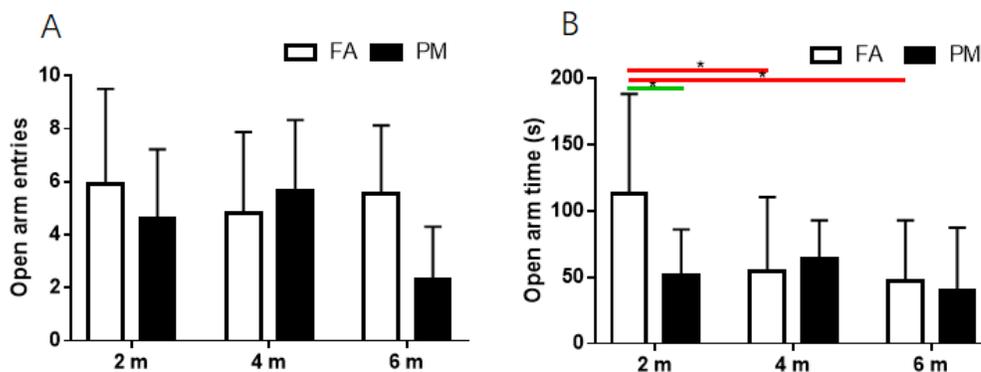
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549

550 **Figure 1.** The spatial learning memory ability was impaired after PM<sub>2.5</sub> exposure  
551 detected by Morris water maze. **A~C**, Latency to find the platform below the water in  
552 training days (Day 1~Day 5) was measured. **D**, The area under the curve (AUC) of A~C  
553 was calculated. **E~F**, Latency and frequencies to find the hidden platform in testing day  
554 (Day 6) were measured. \* $P < 0.05$

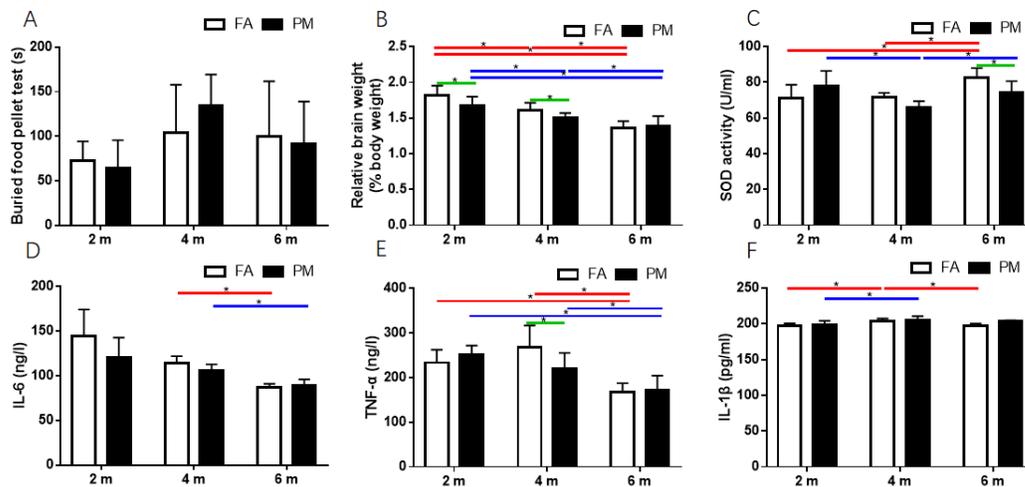
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557 **Figure 2.** PM<sub>2.5</sub>-exposed mice were more anxious in the elevated plus maze. **A**, The  
558 entries of open arms were measured. **B**, The time staying in open arms was measured.

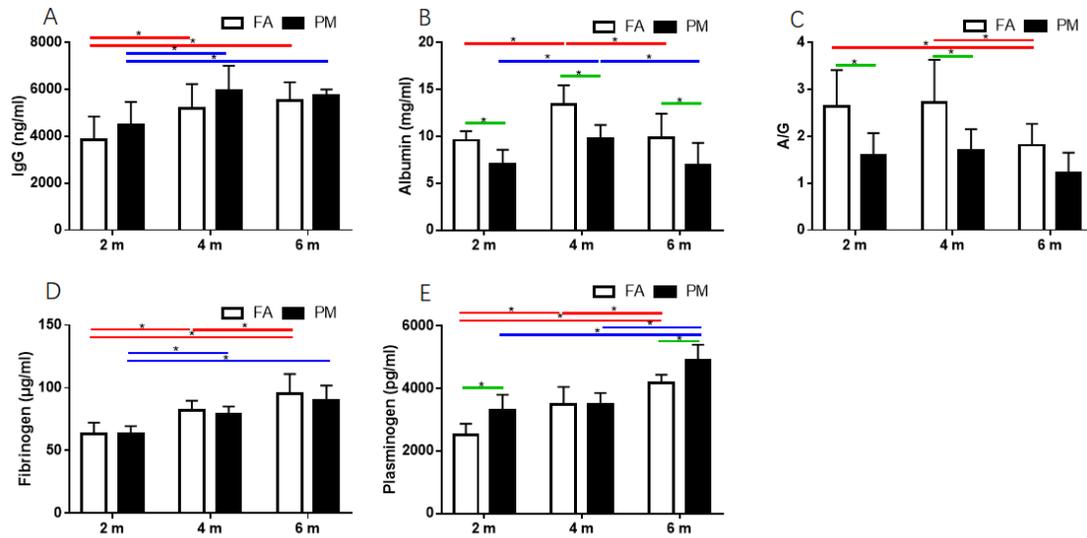
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561 **Figure 3.** Olfactory response and biological indicators changed after PM<sub>2.5</sub> exposure. **A**,  
562 Olfactory response to food was detected by buried food pellet test. **B**, Relative brain  
563 weight was calculated. **C**, Activity of SOD was measured by the SOD reagent kit. **D-F**,  
564 The expressions of inflammatory cytokines IL-6, TNF-α and IL-1β in serum were  
565 measured by ELISA.

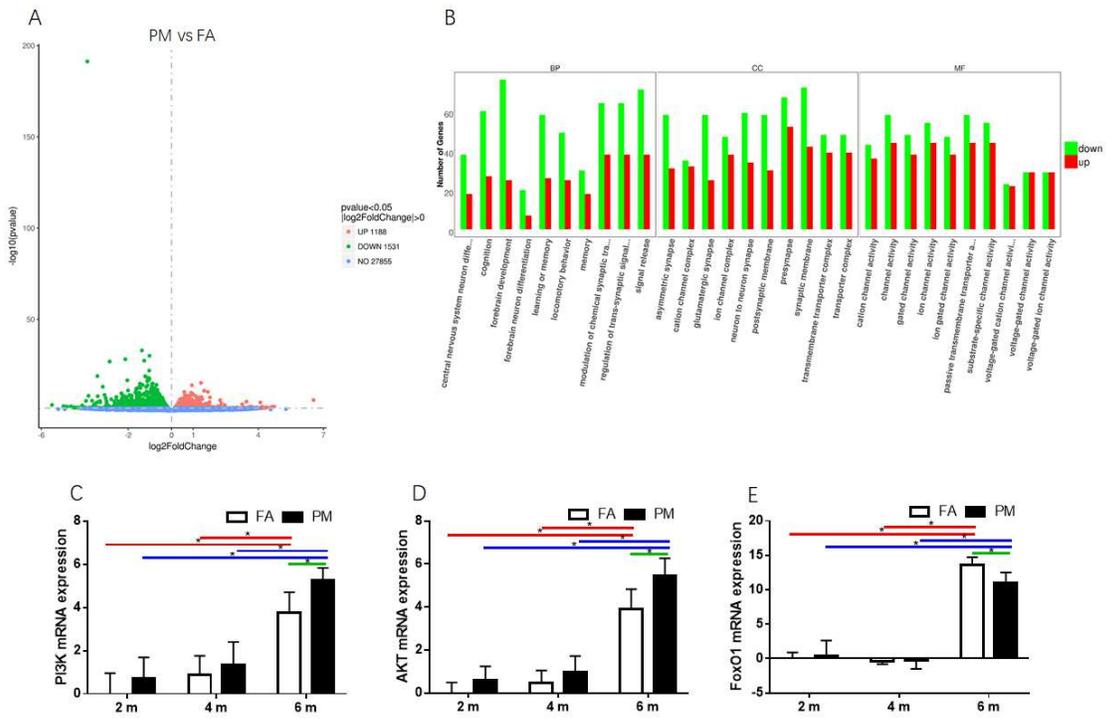
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567

568 **Figure 4.** Biological indicators of blood system were influenced. **A~B**, Absolute  
569 concentrations of IgG and albumin were measured by ELISA. **C**, A/G value (the  
570 percentage of albumin over IgG) was calculated. **D**, Absolute concentrations of  
571 fibrinogen and plasminogen were measured by ELISA.

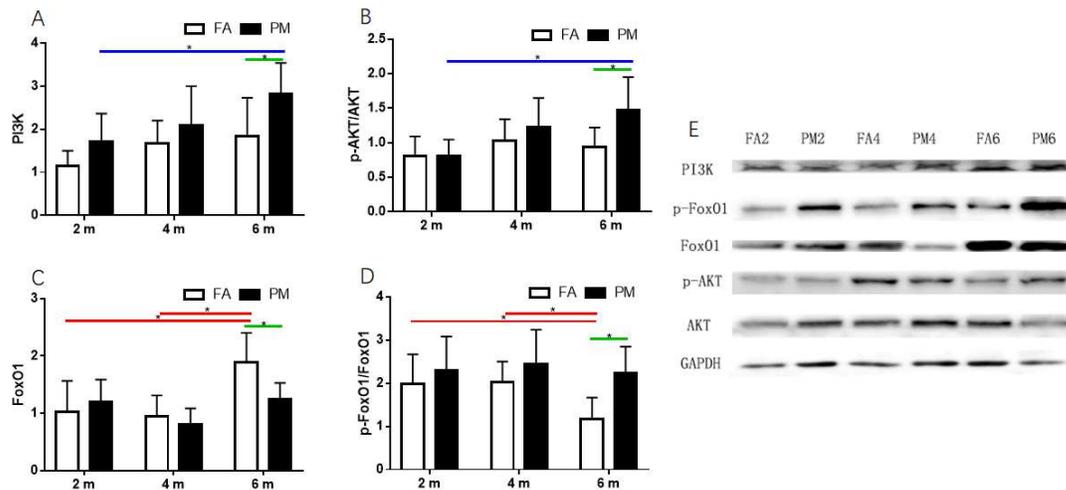
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573

574 **Figure 5.** Gene expressions in the cortex were detected by RNA sequencing and RT-  
 575 PCR. **A**, Volcano plot. **B**, Bar chart for GO enrichment analysis. **C~E**, mRNA  
 576 expressions of *PI3K*, *AKT* and *FoxO1* were detected by RT-PCR.

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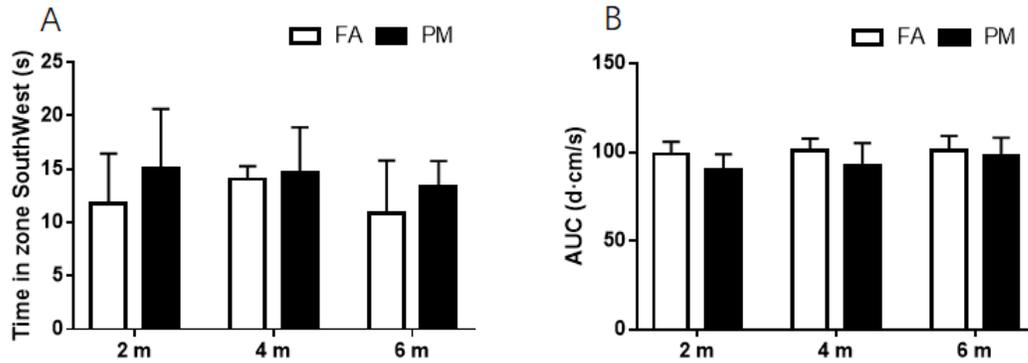
579 **Figure 6.** Ambient PM<sub>2.5</sub> induced brain injury through PI3K/AKT/FoxO1 pathway.  
 580 **A~D,** The relative gray value of PI3K/GAPDH, p-AKT/AKT, FoxO1/GAPDH and p-  
 581 FoxO1/FoxO1 in the cortex of mice was detected by Western blot and calculated by  
 582 ImageJ. **E,** The protein expressions of PI3K, p-FoxO1, FoxO1, p-AKT, AKT and  
 583 GAPDH were detected by Western blot.

584

585 **Supplemental Table 1.** Sequence of qPCR primers

Gene	Sequence
PI3K	Upper: 5'- ACACCACGGTTTGGACTATGG -3' Lower: 5'- GGCTACAGTAGTGGGCTTGG -3'
AKT	Upper: 5'- ATGAACGACGTAGCCATTGTG -3' Lower: 5'- TTGTAGCCAATAAAGGTGCCAT -3'
FoxO1	Upper: 5'- GGACAGCCGCGCAAGACCAG -3' Lower: 5'-TTGAATTCTTCCAGCCCGCCGA -3'
Foxo3a	Upper: 5'- TGAATGTGGGGAACCTCACTG -3' Lower: 5'- TTGGCAAAGGGTTTTCTCTGT -3'
FoxO4	Upper: 5'- ACTTTGAGCCAGATCCCTGAGTCAC -3' Lower: 5'- TAAGGACAGGCCTGGCTCCACC -3'
FoxO6	Upper: 5'- AAGAGCTCCCGACGGAAC -3' Lower: 5'- GGGGTCTTGCCTGTCTTTC -3'
GAPDH	Upper: 5'-CAATGTGTCCGTCGTGGATCT -3'

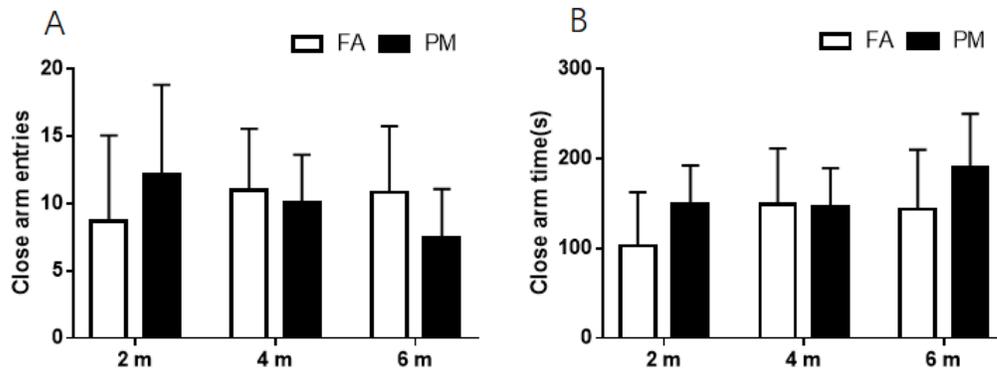
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588 **Supplemental Figure 1.** The time mice stayed in the target quadrant and the speed of  
589 swimming in Morris water maze. **A**, The time mice stayed in the target quadrant was  
590 measured. **B**, AUC of the speed of swimming was calculated.

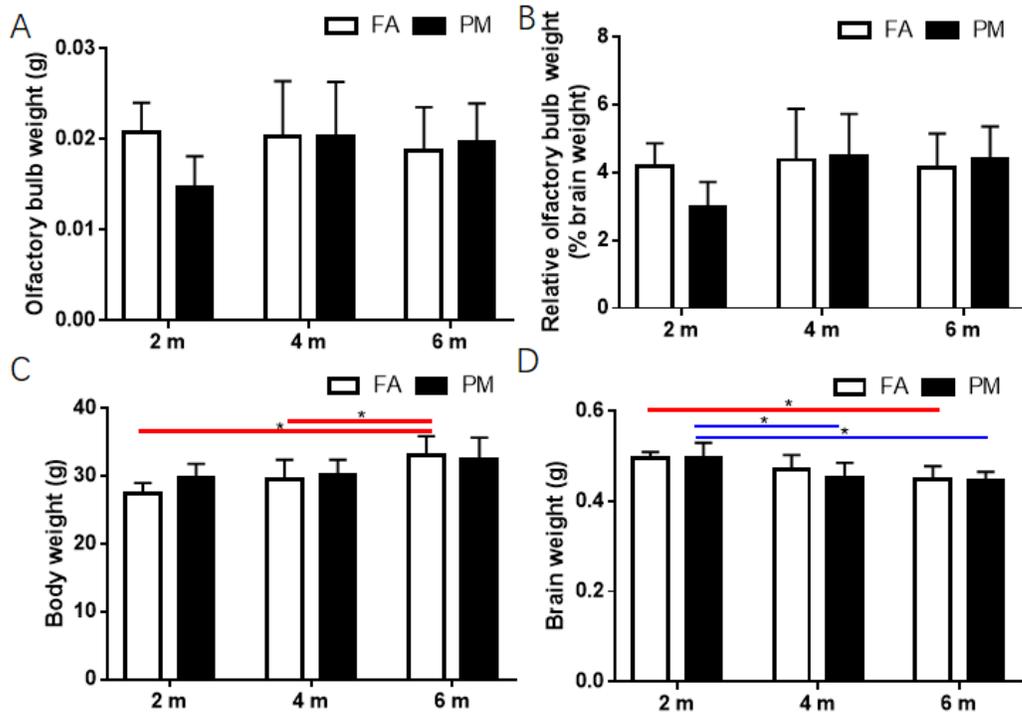
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593 **Supplemental Figure 2.** The entries of and time in close arms in elevated plus maze. **A**,  
594 The entries of close arms were measured. **B**, The time stayed in close arms was  
595 measured.

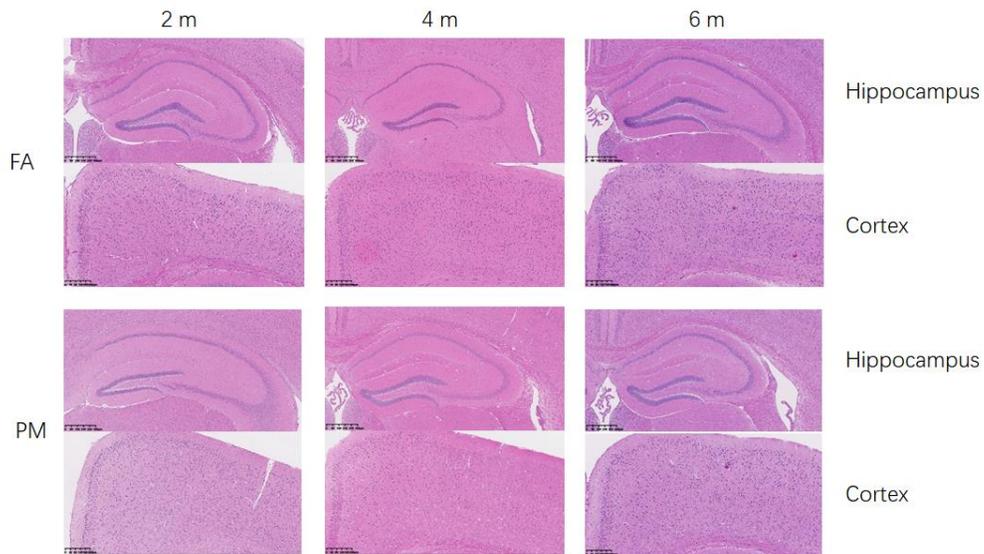
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598 **Supplemental Figure 3.** The weight of different brain structures. **A**, The weight of  
 599 olfactory bulbs was measured. **B**, The percentage of olfactory bulbs weight over brain  
 600 weight was calculated. **C~D**, The weights of body and brain were measured. \* $P < 0.05$ .

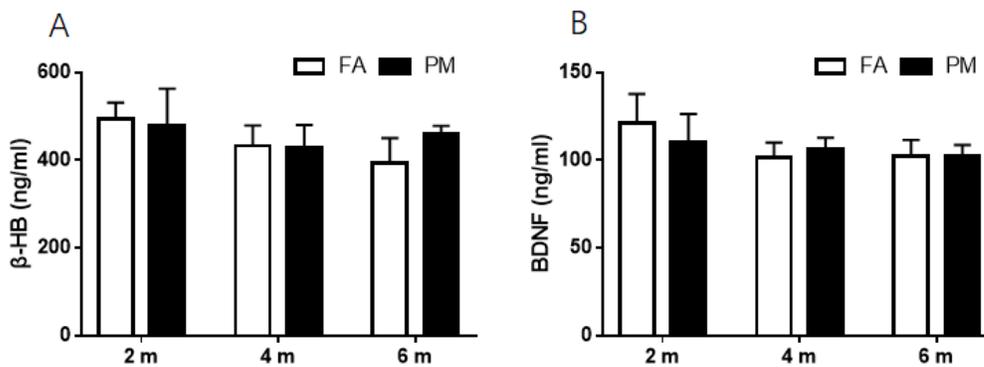
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603 **Supplemental Figure 4.** Representative images of HE staining of hippocampus and  
 604 cortex.

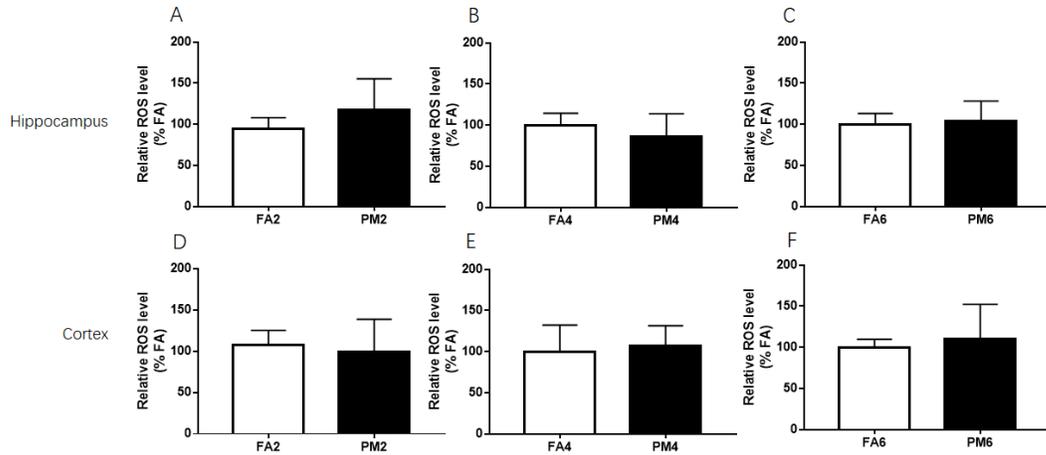
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607 **Supplemental Figure 5.** The expressions of  $\beta$ -hydroxybutyric (A) and BDNF (B) in  
 608 serum were detected by ELISA.

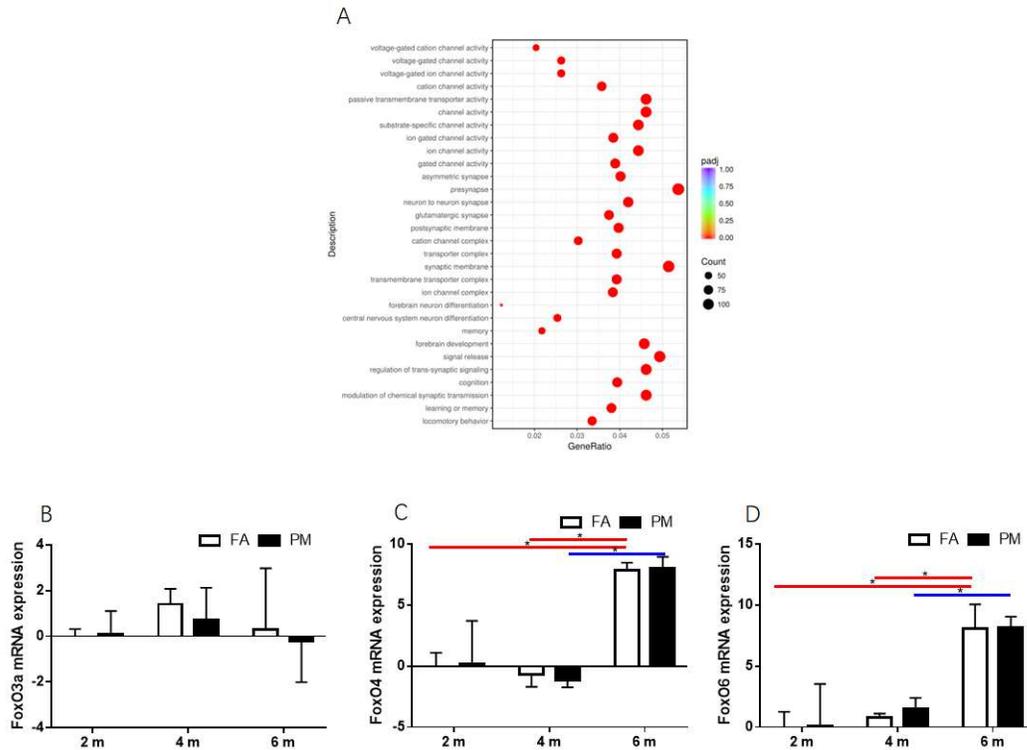
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611 **Supplemental Figure 6.** ROS in hippocampus (A~C) and cortex (D~F) was detected by  
 612 flow cytometry.

613



614

615 **Supplemental Figure 7.** Gene expressions detected by RNA sequencing and RT-PCR.  
616 **A,** Results of KEGG enrichment analysis. **B~D,** mRNA expressions of *FoxO3a*, *FoxO4*  
617 and *FoxO6* were detected by RT-PCR.

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# Figures

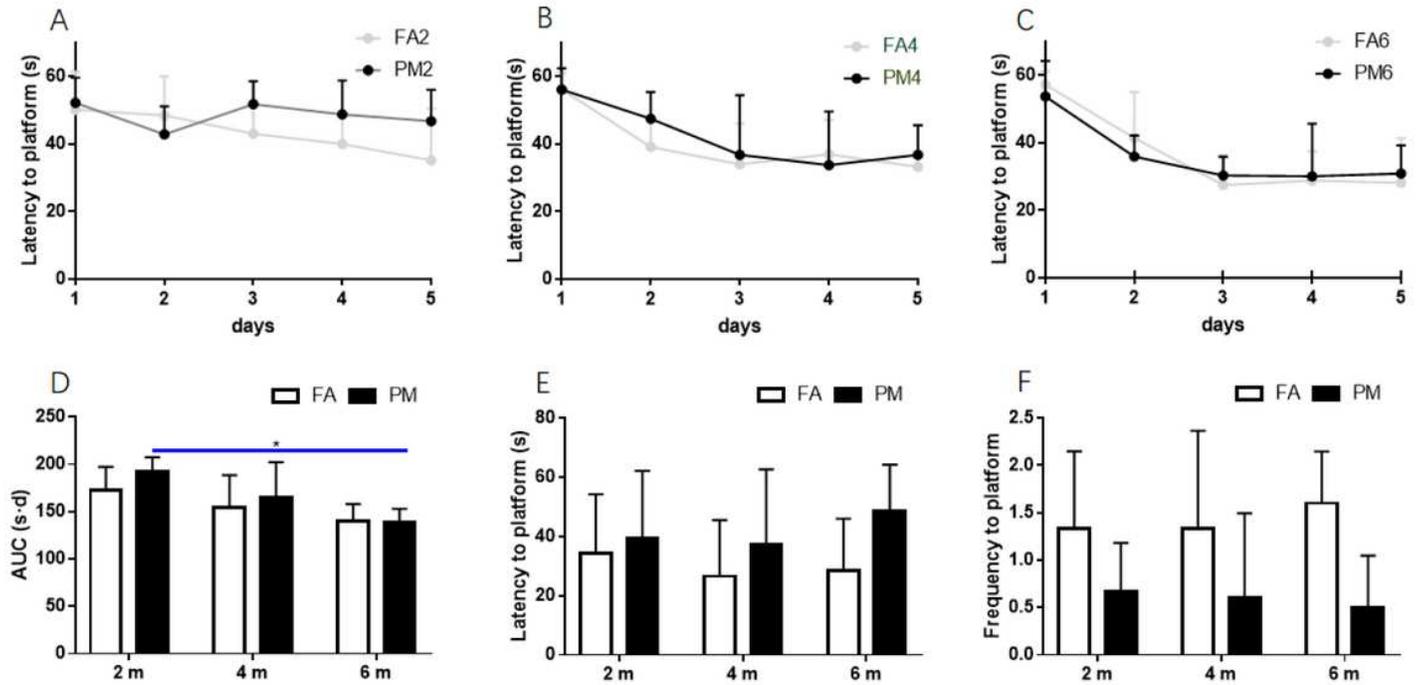


Figure 1

The spatial learning memory ability was impaired after PM2.5 exposure detected by Morris water maze. A~C, Latency to find the platform below the water in training days (Day 1~Day 5) was measured. D, The area under the curve (AUC) of A~C was calculated. E~F, Latency and frequencies to find the hidden platform in testing day (Day 6) were measured. \*P<0.05

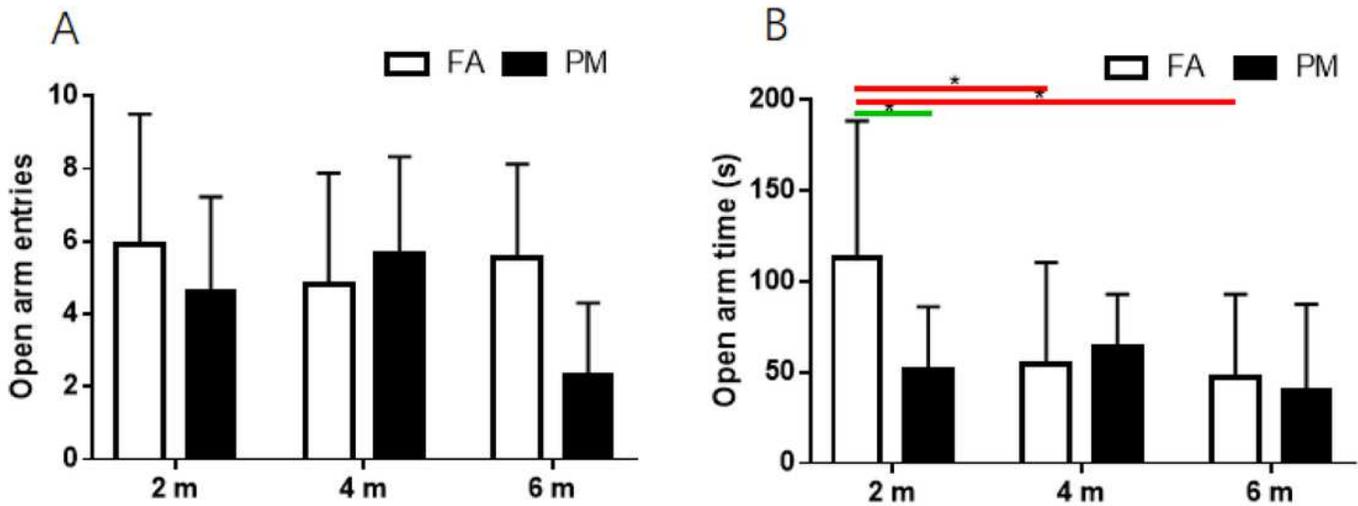
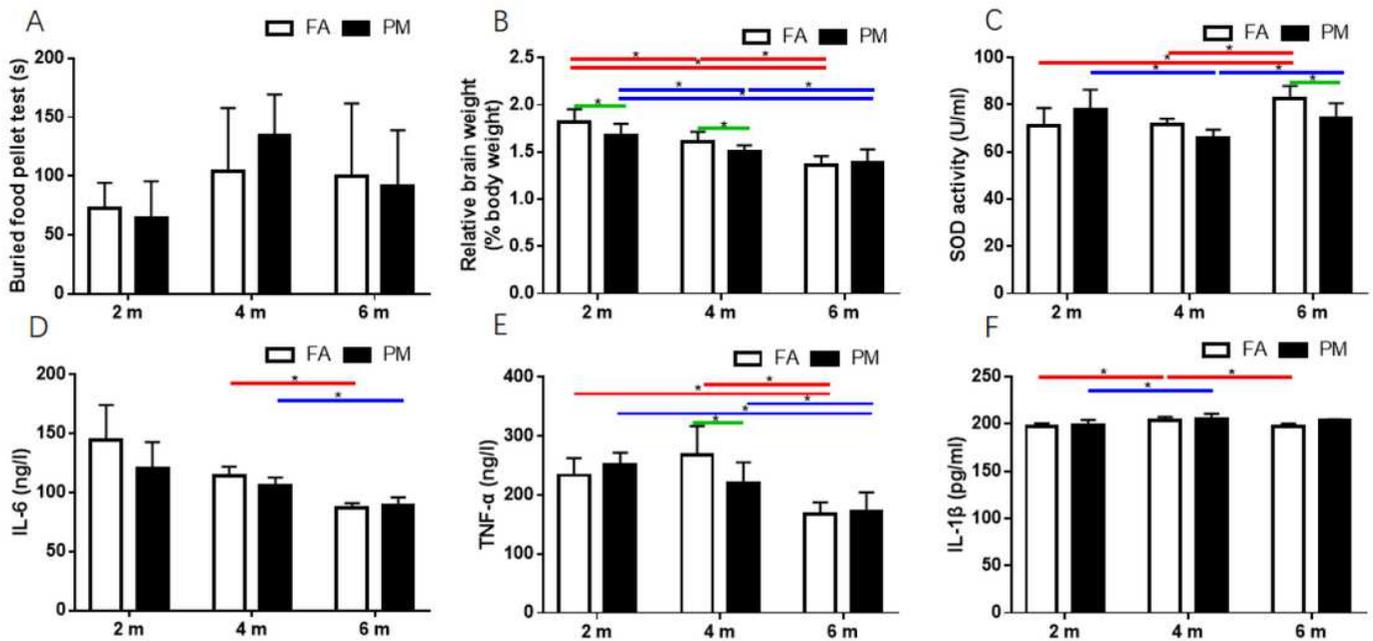


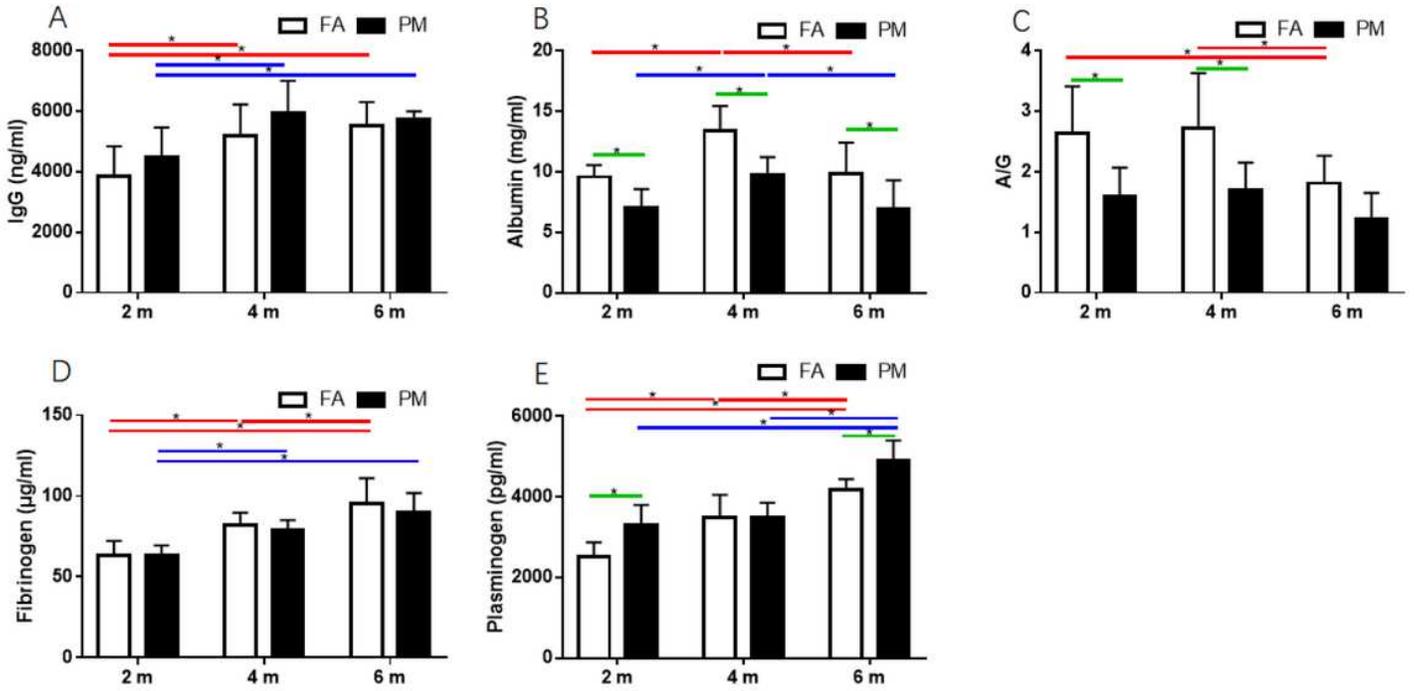
Figure 2

PM2.5-exposed mice were more anxious in the elevated plus maze. A, The entries of open arms were measured. B, The time staying in open arms was measured.



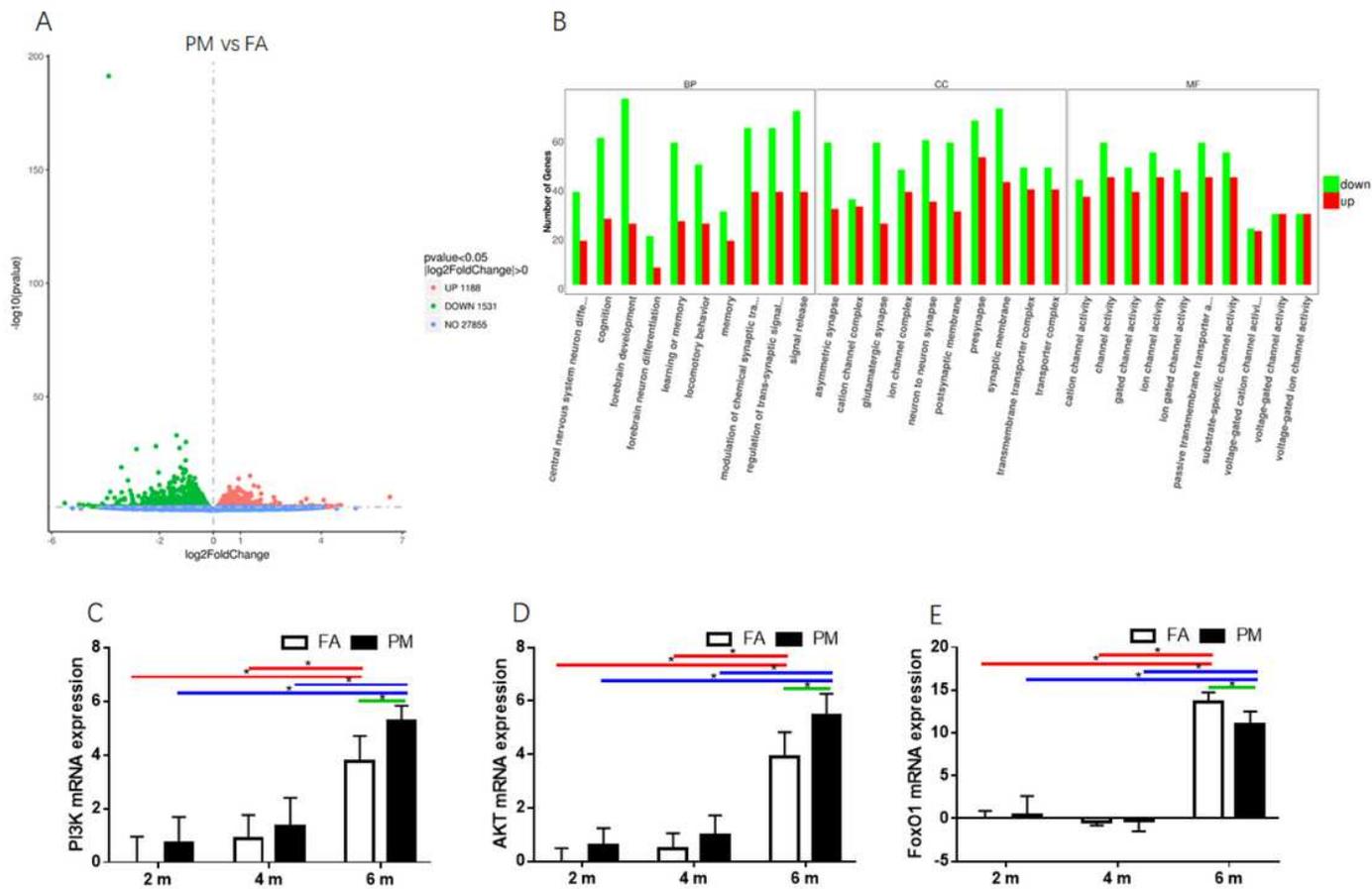
**Figure 3**

Olfactory response and biological indicators changed after PM2.5 exposure. A, Olfactory response to food was detected by buried food pellet test. B, Relative brain weight was calculated. C, Activity of SOD was measured by the SOD reagent kit. D~F, The expressions of inflammatory cytokines IL-6, TNF- $\alpha$  and IL-1 $\beta$  in serum were measured by ELISA.



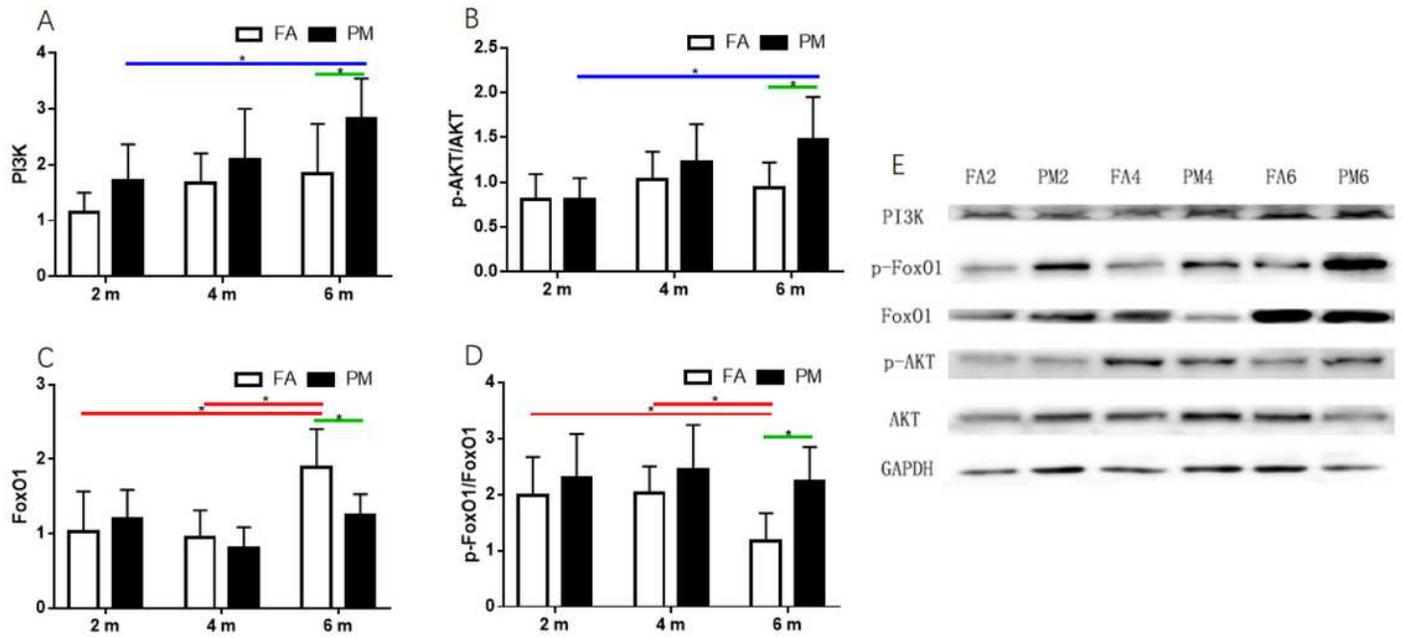
**Figure 4**

Biological indicators of blood system were influenced. A~B, Absolute concentrations of IgG and albumin were measured by ELISA. C, A/G value (the percentage of albumin over IgG) was calculated. D, Absolute concentrations of fibrinogen and plasminogen were measured by ELISA.



**Figure 5**

Gene expressions in the cortex were detected by RNA sequencing and RT-PCR. A, Volcano plot. B, Bar chart for GO enrichment analysis. C~E, mRNA expressions of PI3K, AKT and FoxO1 were detected by RT-PCR.



**Figure 6**

Ambient PM<sub>2.5</sub> induced brain injury through PI3K/AKT/FoxO1 pathway. A~D, The relative gray value of PI3K/GAPDH, p-AKT/AKT, FoxO1/GAPDH and p-FoxO1/FoxO1 in the cortex of mice was detected by Western blot and calculated by ImageJ. E, The protein expressions of PI3K, p-FoxO1, FoxO1, p-AKT, AKT and GAPDH were detected by Western blot.