

Reconciling equatorward migration of Southern Ocean fronts with minor ice volume change during Miocene cooling

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4

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11 **Abstract:**

12 A Miocene phase of gradual climate cooling and CO₂ decline was recently shown not to be
13 associated with major ice volume expansion, challenging a fundamental paradigm in the functioning
14 of the Antarctic cryosphere. Here, we explore Miocene ice-ocean-climate interactions by presenting
15 a multi-proxy reconstruction of subtropical front (STF) migration, bottom water temperature (BWT)
16 and global ice volume change, using dinoflagellate cyst biogeography, benthic foraminiferal
17 clumped isotopes, and sea surface temperature (SST) reconstructions from offshore Tasmania. We
18 demonstrate a mid-late Miocene (16–9 Ma) equatorward migration (from ~53°S to ~42°S) and
19 strengthening of the STF, concurrent with SST decline. We expand evidence for strong BWT
20 decline and apparent absence of ice volume change into the late Miocene with new clumped isotope
21 data. To reconcile these counterintuitive findings, we argue based on new, idealized ice sheet model
22 simulations that the Miocene Antarctic ice sheet progressively lowered in height while expanding
23 seawards during the mid-Miocene, to maintain a stable volume. This can only be achieved with
24 rigorous intervention in model precipitation regimes and ice-ocean interactions and requires
25 rethinking the interactions between ice-ocean and climate during Neogene cooling.

26 **Introduction**

27 Temperature contrasts between the equator and high latitudes are mitigated through poleward
28 atmospheric and ocean heat transport^{1,2}. Variability in the latitudinal sea surface temperature (SST)
29 gradient is mostly a function of polar temperatures, which are much more variable than those at low
30 latitudes because of polar amplification³. In turn, polar SSTs, especially offshore Antarctica, vary
31 with prevailing cryosphere conditions, including sea ice extent^{4,5}. The steepest part of the latitudinal
32 SST gradient is at mid-latitudes, at the boundary between subtropical gyres and subpolar waters. On
33 the Southern Hemisphere, this is the subtropical front (STF): the northern limit of the Southern

34 Ocean and the Antarctic Circumpolar Current (ACC), and the center of ocean carbon uptake⁶ (Fig.
35 1). The ACC and associated oceanographic fronts, driven by westerlies and bathymetry⁷, regulate
36 deep ocean ventilation^{8–10} and heat exchange between low and high latitudes^{11,12}. In turn, the
37 latitudinal position of westerlies is influenced by the extent of sea ice around Antarctica^{13,14}.
38 Oceanographic conditions around the ocean fronts thus play a central role in the latitudinal
39 distribution of heat on the Southern Hemisphere, including the heat source that causes basal melt
40 and instability of marine-terminating Antarctic ice sheets⁶. Future projections of polar climate
41 change, and the consequences for cryosphere melt and sea level are highly uncertain¹⁵, because
42 changes in and interactions between Antarctic ice sheets, sea ice and oceanography bear numerous
43 poorly constrained, non-linear feedbacks^{6,16}. Important constraints on the functioning of this system
44 in a warming world might come from reconstructions of geologic episodes during which the partial
45 pressure of atmospheric CO₂ ($p\text{CO}_2$) was as high as projected for the future.

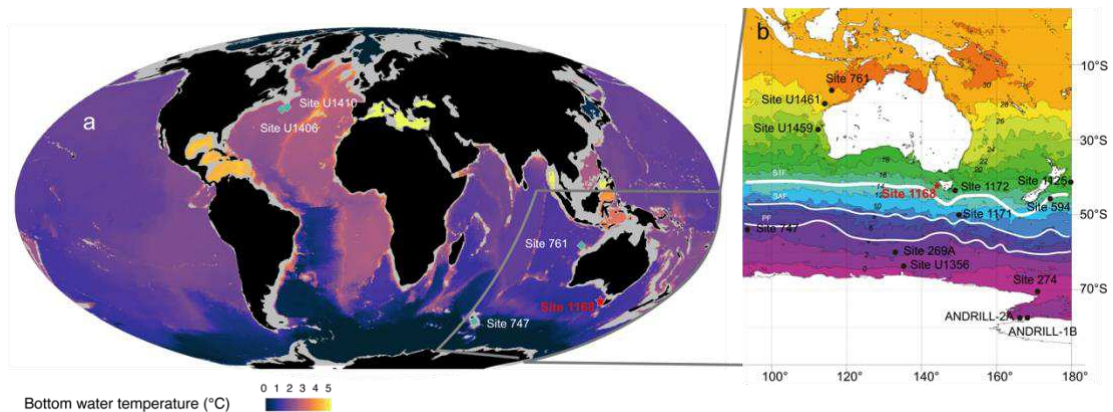
46
47 Throughout the Neogene (23–2.58 Ma), $p\text{CO}_2$ declined from 800 to 300 ppmv¹⁷, global
48 temperatures dropped^{18,19}, latitudinal SST gradients increased²⁰ and global ice volume^{19,21–23} and
49 sea ice expanded²⁴. The current paradigm assigns $p\text{CO}_2$ decline as the primary driver, which,
50 through polar amplification of cooling, stimulates ice growth and cooling in the regions of deep-
51 water formation^{18,25,26}. Yet, recent data have challenged this view. A recent study found that
52 Neogene SST gradients increased in the subtropical gyre but decreased from the subtropical front
53 to polar waters²⁷. With relatively stable equatorial^{28,29} and polar SSTs³⁰, this indicates that the mid-
54 latitudes, rather than the high-latitudes²⁷, cooled most profoundly in the Neogene. Antarctic-
55 proximal records suggest a retreated Antarctic ice sheet and warm Antarctic-proximal
56 conditions^{24,30,31} during the mid-Miocene Climatic Optimum (MCO) and profound seaward ice
57 sheet advance during subsequent cooling termed the Middle Miocene Climatic Transition
58 (MMCT)^{21,23,32–34}, in line with $p\text{CO}_2$ estimates³⁵. Along with a rise in deep ocean benthic
59 foraminifera oxygen isotope ratios ($\delta^{18}\text{O}_{\text{bf}}$), this suggests a strong increase in global ice volume. Yet,
60 the first series of clumped isotope measurements (Δ_{47} , which deconvolves temperature and ice
61 volume components in $\delta^{18}\text{O}_{\text{bf}}$ records) on Miocene benthic foraminifera^{36–38} suggest higher-than-
62 previously-estimated Bottom Water Temperatures (BWTs) during the MCO, and as a result, large
63 global ice volume. These records also indicate strong BWT cooling during the MMCT, explaining
64 most if not all of the $\delta^{18}\text{O}_{\text{bf}}$ rise, and therefore little to no ice volume buildup. However, the
65 uncertainties in clumped isotope data and the limited resolution and temporal range of the records
66 leave ambiguity on the true amount of BWT dropping and ice volume buildup during the mid-
67 Miocene.

68
69 Like the modern, changes in the Southern Ocean, notably regarding fronts and currents, were likely
70 vital for heat transport towards the ice sheet in the Neogene. A relatively weak ACC, initiated during
71 the Eocene³⁹, intensified in the late Oligocene ~26 Ma⁴⁰ but modern-like strengths only developed
72 in the late Neogene⁴¹. The development and latitudinal position of the fronts associated to the ACC

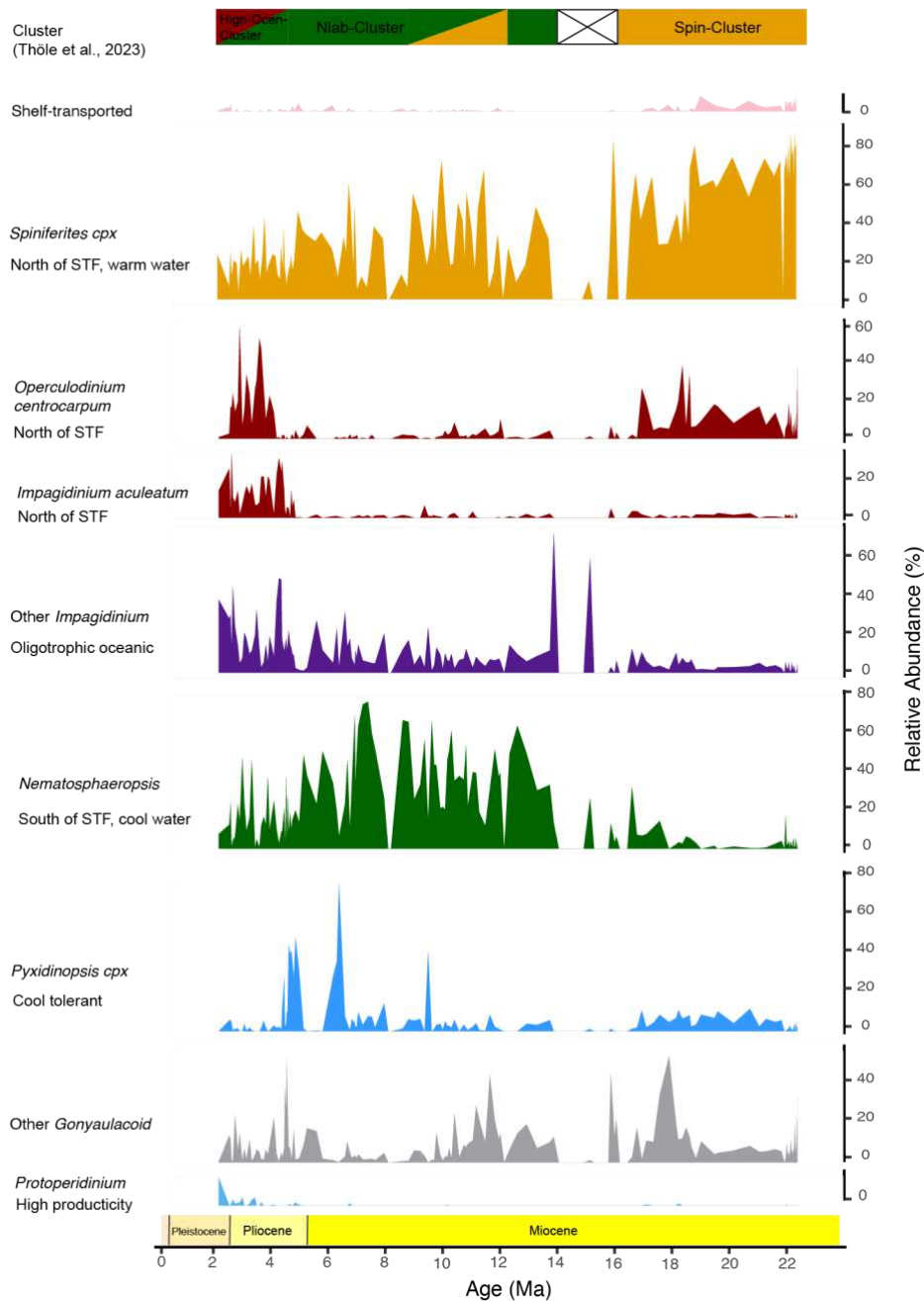
73 are, however, still poorly constrained. To shed light on the links between (Antarctic) ice volume
74 and dynamics, Southern Ocean oceanography and latitudinal SST gradients, we present a detailed
75 reconstruction of Neogene STF migration history and surface and bottom water temperature
76 offshore Tasmania, and pair these with new estimates of Antarctic ice volume change from the MCO
77 across the MMCT. We use dinoflagellate cyst (dinocyst) biogeography⁴² to reconstruct the position
78 of Southern Ocean currents and fronts and combine these with published SST reconstructions.
79 Finally, from benthic foraminiferal Δ_{47} , we assess deep-water temperature changes at the subtropical
80 front, as well as sea water $\delta^{18}\text{O}$ ($\delta^{18}\text{O}_{\text{sw}}$) as a proxy for Antarctic ice change.

81
82 We demonstrate that there is a strengthening and equatorward migration of the STF from $\sim 53^\circ$ to
83 $\sim 42^\circ$ between ~ 14 Ma and 7 MA, concurrent with progressive sea surface and bottom water cooling.
84 The deep ocean cooling can completely explain benthic foraminifer $\delta^{18}\text{O}$ evolution, implying stable
85 global ice volume. After 7 Ma, the northward shift of the STF is limited by the Australian continent,
86 even though the SSTs continue to decrease. To reconcile expansion of subpolar ocean conditions
87 and progressive Neogene Southern Ocean cooling with stable ice volume and compelling evidence
88 of ice advance, we argue that the Miocene Antarctic ice sheet progressively lowered in height while
89 expanding seawards during the mid-Miocene. We present idealized ice sheet model simulations that
90 physically constrain this hypothesis. This changed geometry induced strong regional oceanographic
91 responses with expansion of sea ice, cooling of the region of bottom-water formation and
92 northwards migration of ocean fronts.

93
94



95 Bottom water temperature ($^{\circ}\text{C}$) 0 1 2 3 4 5
96 **Figure 1: a. Global ocean bottom (>2500m) water temperature, in blue diamonds the sites from which Miocene**
97 **clumped isotope data has been generated^{136–38}, modified from ref⁴³. White line indicates the area of Fig 1b. b.**
98 **Map of the Southern Ocean Sites with modern sea surface temperature and frontal systems positions⁴⁴.**
99 **STF=subtropical front; SAF=subantarctic front; PF=polar front.**



101

102 **Figure 2: Dinoflagellate cyst assemblage results from ODP Site 1168, grouped by their ecological affinities**

103 **based on ref⁴² (see Table S1). Dinocysts are ordered from their known occurrence in in latitude from north**

104 **(bottom) to south, with uncertain groups and heterotrophic species to the top.**

105 **Dinoflagellate-based surface oceanographic reconstruction of the subtropical front**

106 The vast majority of the dinocysts encountered in the Neogene sediments from ODP Site 1168 are

107 extant species of the modern Southern Ocean. The use of inferences from modern biogeographic

108 distributions and affinities of dinocyst assemblage clusters⁴² (see methods) hence allows reliable
109 reconstructions of paleoceanographic conditions.

110

111 In early Miocene sediments at Site 1168, dinocyst assemblages are dominated by warm/temperate
112 *Spiniferites* spp. (Fig. 2). This assemblage resembles the Spin cluster of ref⁴², which now mainly
113 thrives along the northwest coast of Australia and in low latitudes in the eastern Indian Ocean⁴².
114 This cluster is associated with a modern SST of $\sim 29 \pm 0.5^\circ\text{C}$, a temperature in line with that derived
115 from biomarkers^{27,42} (Fig. 5a). Early Miocene SSTs at Site 1168 were $\sim 13^\circ\text{C}$ warmer than today, in
116 spite of a $\sim 10^\circ$ more poleward position of the site⁴⁵. Given these SSTs and dinocyst assemblages,
117 we infer a strong influence of the Leeuwin Current, delivering heat and sustaining low-latitude
118 dinoflagellate assemblages from western Australia towards the site. It implies that the STF was
119 located to the south of the site. Gradual increases of *Operculodinium* spp. in this interval suggests
120 gradually cooler-water influence, with an approaching STF from the south. We find occasional
121 northward migrations of the STF (e.g., at ~ 22 Ma) in sporadic abundance of *N. labyrinthus*,
122 concomitant to SST cooling (Fig. 5a).

123

124 Dinocysts are poorly preserved in MCO sediments (Fig. 2), and GDGT concentrations are low²⁷,
125 pointing to enhanced sediment oxidation. The available palynological data for the MCO shows that
126 the Spin cluster was replaced by *Impagidinium paradoxum* and *I. patulum*, which in the modern are
127 restricted to temperate to equatorial open ocean regions between sub-tropical and subpolar systems⁴².
128 Although it is unclear how this dinocyst assemblage differs from the Spin cluster in terms of ocean
129 temperature, the biomarker-based SSTs indicate continued warmth during the MCO at the site (Fig.
130 5a). In any case, Site 1168 remained north of the STF.

131

132 The mid Miocene Climatic Transition (MMCT, ~ 14.5 to 12 Ma) marks the first interval of prevailing
133 *N. labyrinthus* (Fig. 2). This species (Nlab cluster in ref⁴²) is found most abundant in sediments
134 south of the STF, in the modern subantarctic zone. We interpret the proliferation of Nlab and a
135 progressive cooling towards subantarctic zone-like conditions (Fig. 5a) as a northward migration of
136 the STF. At MMCT, the STF reached a similar position relative to that of Australia as during the last
137 glacial maximum⁴⁶⁻⁴⁸ (Fig. 4). Subsequent high-amplitude, short-term fluctuations of dinocyst
138 assemblages between the Nlab and Spin cluster, and, albeit less pronounced, SST (Fig. 5), indicate
139 strong (SSTs between 29°C and 11°C ; Fig. 5a) variability of the latitudinal position of the STF until
140 7 Ma (Fig. 2).

141

142 From ~ 7 Ma, *N. labyrinthus* started to decrease in abundance. The Nlab-Cluster and the slightly
143 warmer high-Ocen-Cluster alternate in the Pliocene (5–2 Ma) on orbital timescales, which is close
144 to the modern assemblage, and bracket the modern STF⁴² (SSTs between 25°C and 10°C ; Fig. 5a).
145 We interpret a southward migration of the frontal systems from the decline in Nlab and the return
146 of high-Ocen cluster. Apparently, this continued cooling is not related to continued shifts of ocean

147 frontal systems, but a cooling of the STF itself.

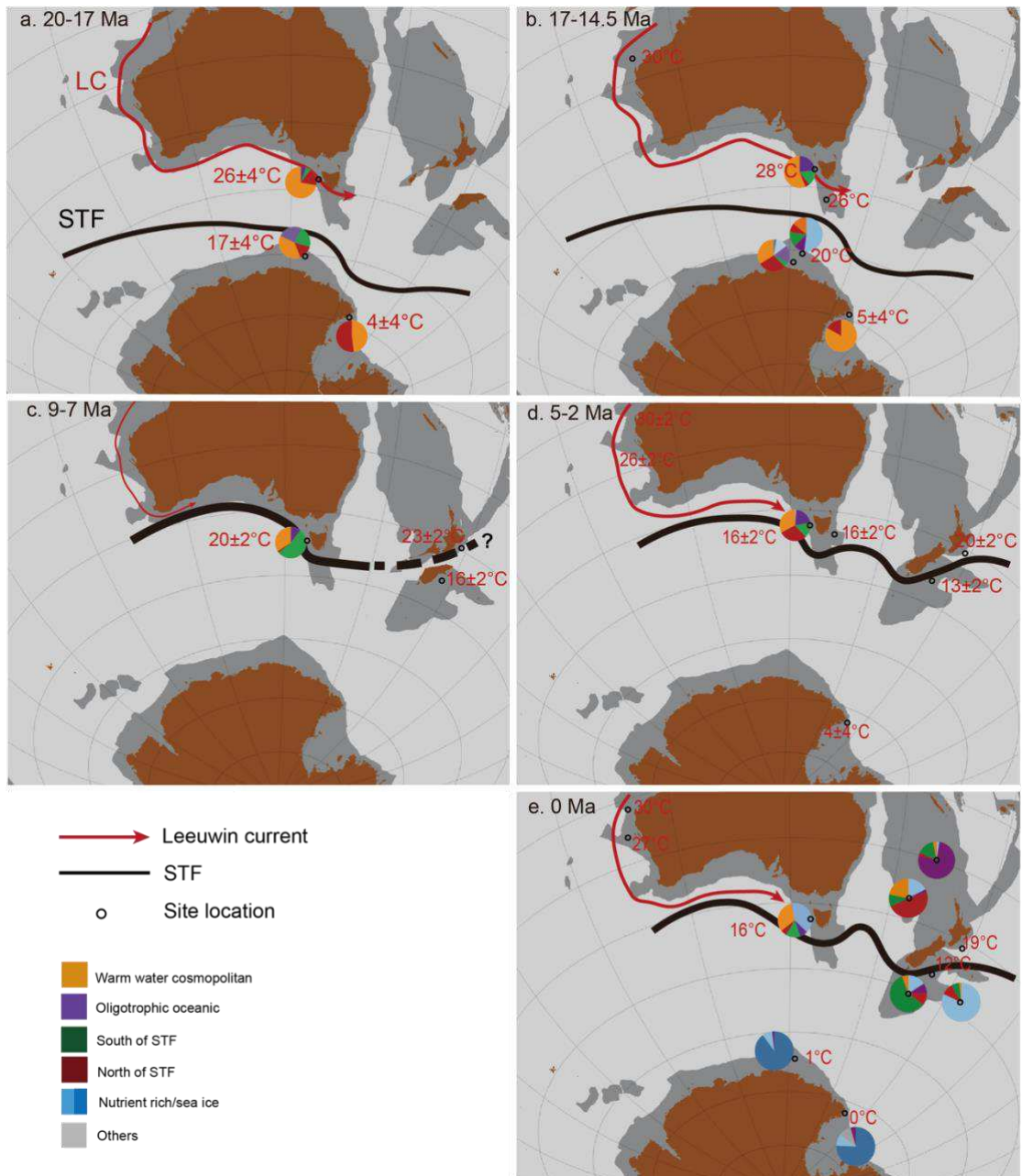
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149 Overall, we deduce long-term cooling from the dinocyst assemblages, despite the ~8 degrees
150 northward tectonic movement of the site during the Neogene. There was strong variability over
151 glacial-interglacial climate fluctuations. The STF moved gradually northwards from 22–7 Ma (Fig.
152 3a–c). We infer a concomitant strengthening of the STF from steepened latitudinal SST gradient
153 among mid latitudes²⁷, and from the fact that the STF was progressively pushed towards the
154 southern margin of the Australian continent. From 7–5 Ma, the STF moved south from the site again,
155 likely because of Australia’s continued northward drift. This allowed for the return of influence of
156 the warm Leeuwin Current at the Site (Fig. 3c, d).

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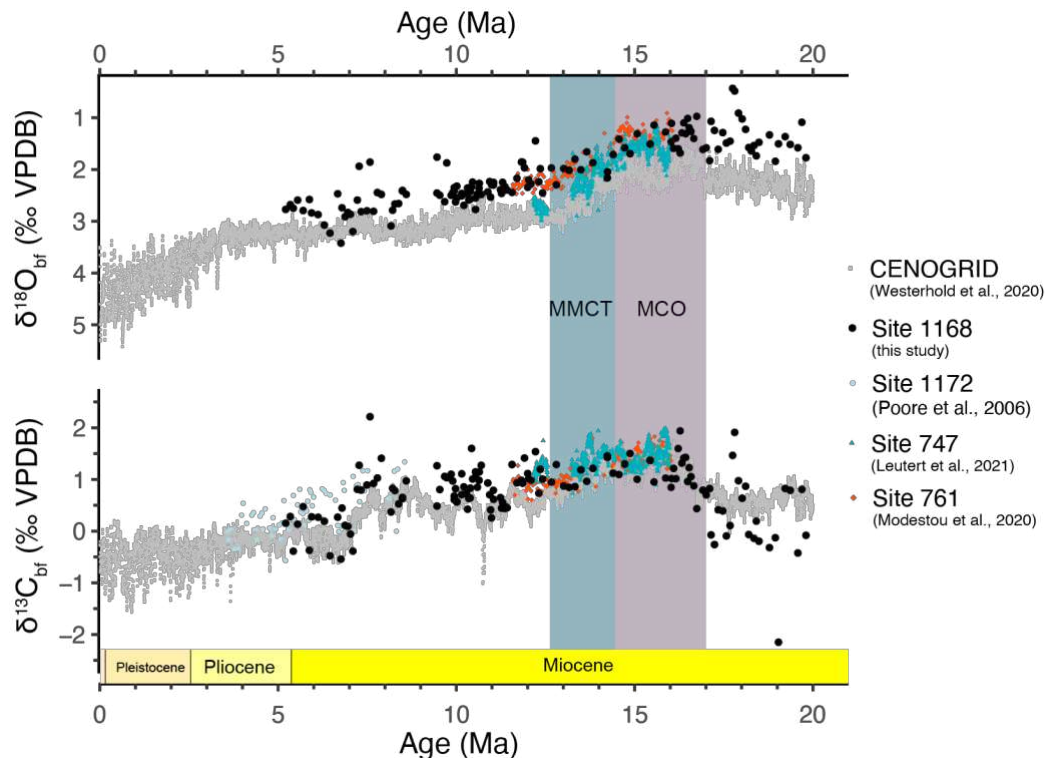


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Figure 3: Subtropical Front migration history in the southeast Indian and southwest Pacific Ocean in 5 time slices from the start of the Neogene. (a) early Miocene 20-17 Ma, (b) MCO, 17-14.5 Ma (c) 9-7 Ma (d) 5-2 Ma (e) modern, 0 Ma. Average dinocyst assemblages for these time slices at Site 1168 are presented along with those from Site U1356²⁴, Site 269A⁴⁹, Site 274⁴⁰ and ANDRILL-2A⁵⁰. The present-day dinocyst distribution is based on Thöle et al.⁴². Red arrow indicates the Leeuwin Current. Average SST for time slices at Site 1168 are presented along with those from U1356²⁴, Site 1172⁵¹, Site 1125²⁰, Site 594²⁰ and Ross Sea sites³⁰. Solid black line indicates the STF, whereby the thickness of the line denotes the relative strength of the STF. Paleogeographic position of the continents and sites are generated with GPlates^{52,53}. Dark brown areas indicate present-day landmass, dark grey indicates continental crust.

171 **Benthic foraminiferal stable isotope ratios, Δ_{47} and sea water $\delta^{18}\text{O}$**

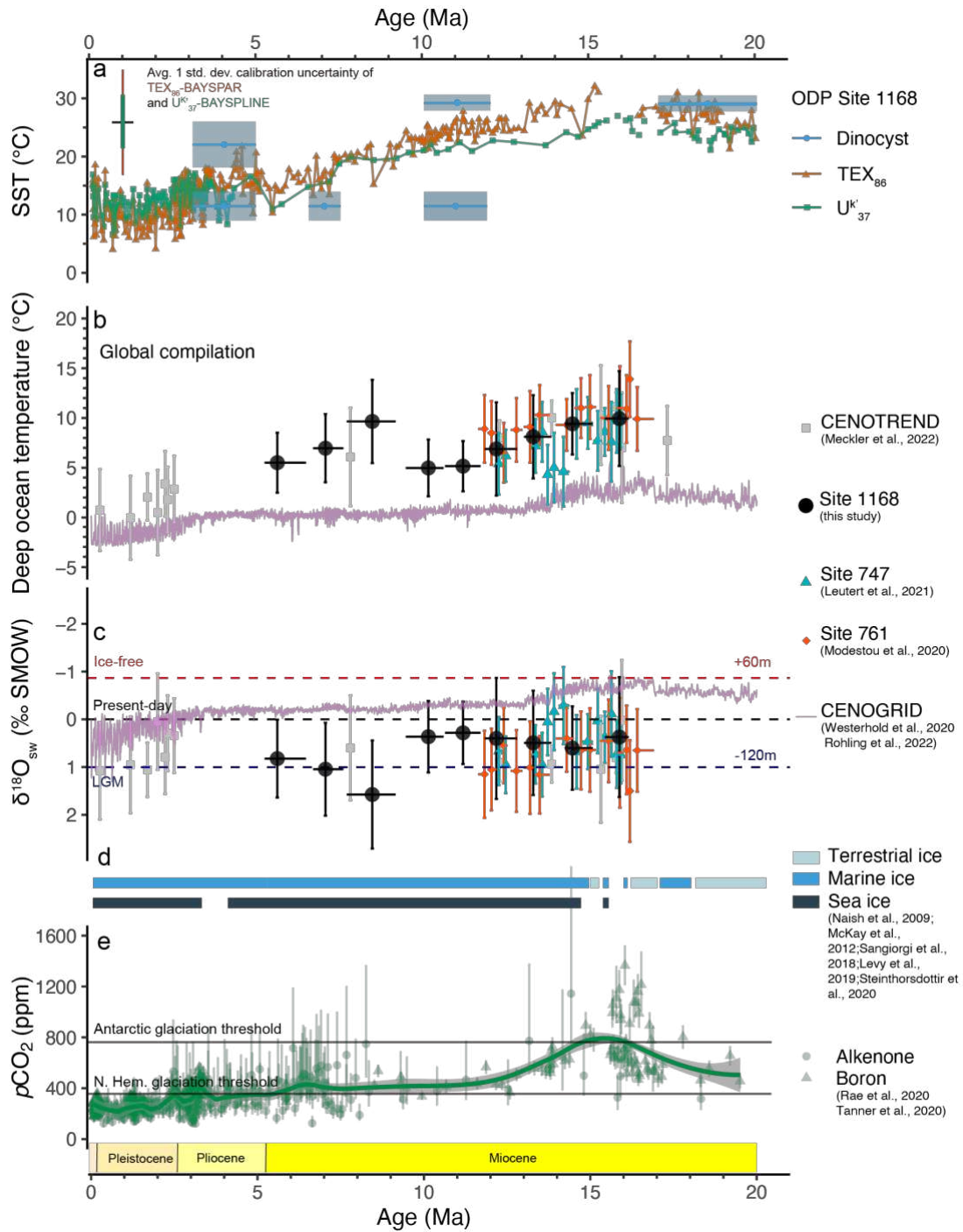
172 The $\delta^{18}\text{O}_{\text{bf}}$ and $\delta^{13}\text{C}_{\text{bf}}$ records generally follow trends recorded at other Southern Ocean sites^{36,37}
173 (Fig. 4), including a 1‰ negative offset in $\delta^{18}\text{O}$ compared to the CENOGRID compilation¹⁸. At ~10
174 Ma, $\delta^{18}\text{O}_{\text{bf}}$ gradually increases from 1.5‰ (MCO) to 2.5‰, followed by a further rise to ~2.8‰ at
175 the end of the Miocene (~5.3 Ma). Remarkably, we do not record pronounced steps across the
176 MMCT as seen in other records⁵⁴. The pronounced $\delta^{13}\text{C}_{\text{bf}}$ maxima (at 17 Ma) likely reflects the
177 Monterey carbon isotope excursion^{55–57} and values are in line with those in other records.



178
179 **Figure 4. Benthic foraminiferal oxygen and carbon stable isotopes of Site 1168 (black dots) together with data**
180 **from Site 1172⁵⁸ (blue dots), Site 747³⁷ (green triangles), Site 761³⁶ (red diamonds) and the CENOGRID stack¹⁸**
181 **(grey dots). MCO = Mid-Miocene Climatic Optimum, MMCT = mid-Miocene Climatic Transition.**

182
183 The benthic foraminiferal clumped isotope data from site 1168 fill critical mid- and late Miocene
184 gaps in existing BWT compilations³⁸ and thus BWT and Antarctic ice dynamics (Fig. 5b). BWT,
185 based on Δ_{47} data in ~1 Myr bins, at Site 1168 decreased gradually from $9.9 \pm 4.0^\circ\text{C}$ (95% confidence
186 interval) in the MCO (17–14.5 Ma) to $5.0 \pm 2.5^\circ\text{C}$ around 10–9 Ma (Fig. 5b). While the decreasing
187 trend in mid-Miocene BWT is evident, the confidence intervals on the individual data points leave
188 ambiguity on the significance of the point-to-point cooling. A Student's t-test on the bins, however,
189 proves a significant difference in Δ_{47} between the MCO (17–14.5 Ma) and late Miocene (10–9 Ma;
190 $p=0.02$; Table S1). Hence, the BWT cooling from the MCO to 9 Ma is significant. The ~8°C data
191 point at ~8 Ma has only 23 replicates and the longest binned time interval, and because of the
192 resulting high uncertainty we leave this data point out of our interpretations (Fig. S1). By the end of

193 the Miocene (5 Ma), BWTs were slightly elevated ($5-6 \pm 3^\circ\text{C}$) compared to the mid-late Miocene.
194
195 Previous studies have pointed out the unexpected warmth of mid-Miocene BWTs in their
196 reconstructions and discussed potential but undiscernible biases on Δ_{47} -based BWT from
197 recrystallization and pH^{36-38,59}. Since benthic foraminifera at Site 1168 are well preserved (Fig. S3),
198 and seawater chemistry, dissolution and recrystallization have very limited influence on benthic
199 foraminifera Δ_{47} composition^{60,61}, we consider our BWT reconstructions reliable and confirm from
200 Site 1168 the previous inferences of much warmer BWTs in the Miocene than present.
201



202

203 **Figure 5. Compilation of records for the Neogene. (a) sea (sub)surface temperature (SST) of Site 1168 based**

204 **on TEX_{86} , U^k_{37} ²⁷, and dinocyst assemblages (this study). TEX_{86} , U^k_{37} use bayspar^{62,63} and bayspline⁶⁴**

205 **calibrations, respectively. 95% confidence interval is indicated in the panel. Dinocyst-based SST estimates are**

206 **based on their environmental affinities⁴² in 4 time intervals (see methods). (b) Clumped isotope-based bottom**

207 **water temperature (BWT) and (c) bottom water $\delta^{18}O$ ($\delta^{18}O_{sw}$) of Site 1168 along with data from Site 747³⁷,**

208 **Site 761³⁶ and CENOTREND³⁸. Horizontal error bars indicate the time interval of each bin. Vertical error**

209 **bars indicate 95% confidence interval. Violet lines indicate the BWT and $\delta^{18}O_{sw}$ based on Rohling et al.¹⁹ (d)**

210 **Qualitative geological record of Antarctic land- and sea ice extent^{21,24,33,34,65,66}. (e) pCO_2 reconstructions based**

211 **on boron isotopes and alkenones $\delta^{13}C$ ^{35,67}. Vertical error bars indicate 95% confidence interval. Solid lines**

212 indicate the $p\text{CO}_2$ thresholds of glaciation based on DeConto et al.⁶⁸.

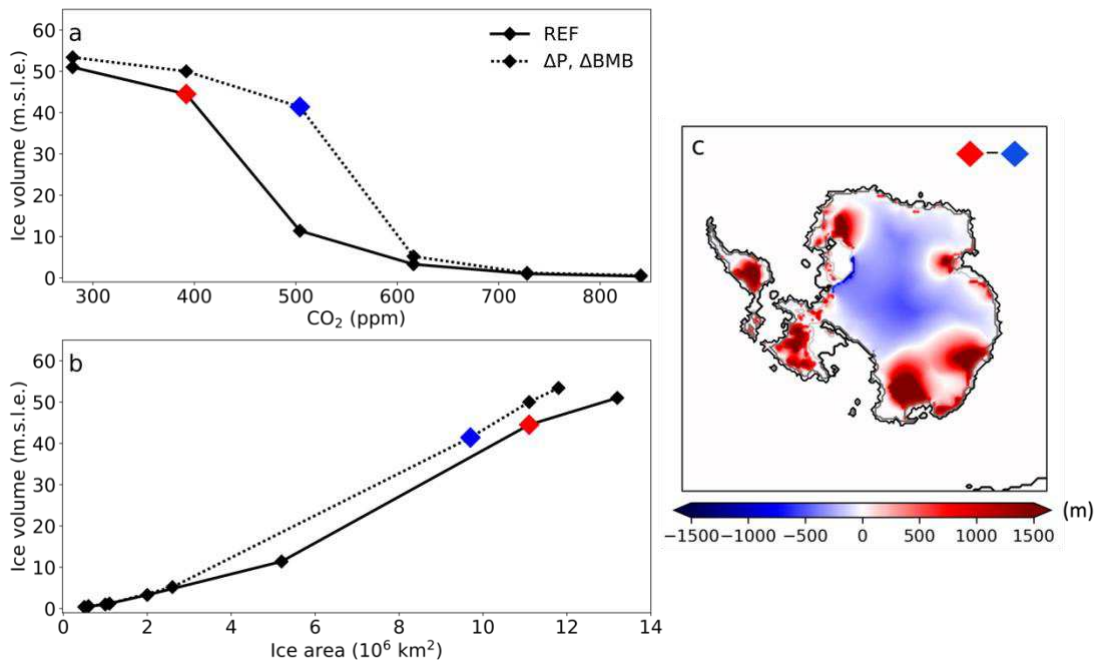
213 Discussion

214 The calculated $\delta^{18}\text{O}_{\text{sw}}$ values from Site 1168 BWTs are $0.3\pm 0.5\text{‰}$ throughout the MCO and MMCT
215 until 9 Ma (Fig. 5c). The increase in $\delta^{18}\text{O}_{\text{bf}}$ ($\sim 1\text{‰}$) from 16 to 9 Ma could in principle be all
216 reconciled with the $\sim 5^\circ\text{C}$ BWT drop we infer from the clumped isotope data (Fig. 5b, c). Thus, our
217 Δ_{47} -based record is different from previous far-field sea-level and deep-sea temperature syntheses
218 based on global $\delta^{18}\text{O}$ stack^{19,69}, one of which recently deconvolved the mid-Miocene $\delta^{18}\text{O}_{\text{bf}}$ decline
219 into in 2.5°C deep-sea cooling and 25 m of concurrent global average sea-level drop¹⁹. The
220 discrepancy in $\delta^{18}\text{O}_{\text{sw}}$ between the study by Rohling et al.¹⁹ and the clumped isotope data is driven
221 by the difference in absolute BWTs and the magnitude of BWT decline. The uncertainty of the Δ_{47} -
222 based BWT (from $9.9\pm 4^\circ\text{C}$ to $5\pm 2.5^\circ\text{C}$) may allow for some ice volume change ($0.3\pm 0.5\text{‰}$). Given
223 the very similar MCO BWTs derived from multiple sites globally^{36,37} we deem the average MCO
224 BWT of 9.9°C reliable. By binning our MCO data with that of other sites, the uncertainty in that
225 interval can be further reduced to $\pm 1^\circ\text{C}$. The $5\pm 2.5^\circ\text{C}$ BWT in the 10–9 Ma interval is based on most
226 replicates, and thus has the smallest uncertainty. Only when the 10–9 Ma BWT is at the high end of
227 its 95% confidence interval, can the global 25m RSL (Relative Sea Level) ice volume build-up of
228 Rohling et al.¹⁹ be replicated with our Δ_{47} data. Given the low probability of that scenario, we
229 conclude that the clumped isotope data imply a stronger cooling and thus less ice volume build-up
230 during MMCT than in the model of Rohling et al.¹⁹.

231

232 Although we have confidence in our Δ_{47} -based BWT reconstructions, the higher-than-modern
233 $\delta^{18}\text{O}_{\text{sw}}$ for the mid-Miocene (and thus a larger than modern global ice volume) seems difficult to
234 reconcile with evidence for Antarctic-proximal sea surface warmth^{24,30}, Mg/Ca-based deep-sea
235 warmth⁷⁰ and high $p\text{CO}_2$ ^{35,71,72} during the MCO. The relatively stable long term $\delta^{18}\text{O}_{\text{sw}}$ trend (Fig.
236 5c) also seems hard to reconcile with major episodes of seaward Antarctic ice expansion across the
237 MMCT, e.g., as suggested by ice-rafted debris^{23,24,73}. The only scenario that reconciles all these
238 observations is one whereby a thick AIS was situated inland at the MCO, without marine
239 terminations⁷⁴. Such a high, inland ice sheet would also lead to relatively low oxygen isotope ratios
240 of Antarctic ice^{75,76}, because the higher-altitude ice sheet would receive less precipitation, and with
241 a lower $\delta^{18}\text{O}$ ^{77,78}. Thus, smaller ice volume would be needed for the mass balance if the $\delta^{18}\text{O}$ of
242 mid-Miocene land ice was lower than previously assumed. The question is whether such a geometric
243 change in the ice sheet with stable ice volume is dynamically plausible, under realistic boundary
244 conditions. Understanding the detailed interactions between the ocean, climate and ice sheet
245 involved in this situation requires extensive modelling. Here, as a first step, we test the basic
246 viability of a significant change in the volume-to-area ratio of the Miocene Antarctic ice sheet using
247 a stand-alone ice sheet model⁷⁸, applying a prescribed precipitation anomaly in conjunction with
248 extreme ocean heat (Methods; Fig. 6a, b). This leads to large-scale glaciation at a ~ 100 ppm higher

249 CO₂ level than in the standard setup, yielding a thickened ice sheet interior while the build-up of ice
 250 shelves is prohibited and thereby ice area growth impeded (Fig. 6c). Furthermore, from an ice-
 251 dynamical perspective, the volume-to-area ratio of the Antarctic ice sheet waxing and waning on
 252 orbital timescales is also affected by the forcing amplitude and frequency, because the ice sheet area
 253 generally responds faster than volume to climate changes⁷⁹. This implies that a decreased frequency
 254 or amplitude around the same mean of forcing variability could lead to an ice sheet at glacial
 255 maximum that is less extended towards the margins but thicker in the interior, and hence equally
 256 voluminous⁷⁹.
 257



258
 259 **Figure 6: (a) Simulated equilibrated Antarctic ice volumes at different CO₂ levels, and (b) the relation between**
 260 **ice volume and ice area, yielded by a 3D thermodynamical ice sheet/shelf model (Methods). Results are**
 261 **obtained using the standard climate forcing (solid lines) and applying a fixed precipitation increase and**
 262 **extreme sub-shelf melt rates (dashed lines). (c) Equilibrated ice thickness difference between the reference**
 263 **simulation at 392 ppm and the simulation with anomalous forcing at 504ppm. This transition (from the blue**
 264 **to the red symbols) exemplifies our hypothesized Antarctic ice sheet change at the MMCT.**
 265

266 Following the hypothesis of a dynamic AIS geometry, then, at the MMCT the AIS increased in
 267 surface area, advanced seawards, and reduced in height (Methods; Fig. 6, switch from blue to red
 268 symbols). When the AIS undergoes spatial expansion, the periphery of the ice sheet receives a
 269 greater proportion of precipitation as compared to the central region. As a consequence of
 270 precipitation starvation in the hinterland, the overall elevation of the central AIS reduces. Such a
 271 change in geometry would have left global ice volume relatively unaltered but would have had large
 272 consequences for ice-ocean interactions and regional climate. Marine-terminating ice sheets provide
 273 profound regional cooling to have sea ice expanding⁸⁰. The latitudinal position of westerlies and the
 274 sea ice edge determine the position of the STF⁷, in absence of continental obstructions⁸¹. So, in

275 principle, the gradual northwards migration of the STF that we reconstruct is in line with the
276 abundant evidence of seawards land ice expansion across the MMCT^{21,23,34}: this induced more
277 marine-terminating ice sheets, and through that a more extensive sea ice. Also on orbital time scales,
278 it is found that marine-terminating ice sheets were strongly sensitive to local solar insolation
279 changes forced by obliquity³⁴ and so was Southern Ocean paleoceanography⁸² (Fig. 5d). This local
280 cooling of high latitudes reduced BWT and pushed ocean fronts northward.

281

282 The dinocyst assemblages, combined with previously published SST reconstructions²⁷ demonstrate
283 the profound latitudinal changes of the STF. In the mid-Miocene, dinocyst assemblages were
284 surprisingly similar between Site 1168 and the Antarctic margin^{24,31,50}. Yet, perhaps counterintuitive,
285 the latitudinal SST gradient between Australia²⁷ and the Ross Sea³⁰ was largest during MCO. This
286 is because the south Australian Margin was $\sim 10^{\circ}\text{C}$ warmer than today, while the inner basins of the
287 Ross Sea remained under local influence of the Antarctic ice sheet and thus relatively cold³⁰. In any
288 case, the strong latitudinal SST gradient testifies to the presence of ocean frontal systems that
289 separated mid-latitude water masses from polar water masses. Our reconstructed STF migration is
290 not without corroborating evidence. The southwards STF migration at <7 Ma is coincident with a
291 rapid drop in radiolarian abundance at the East Tasman Plateau^{58,83} and decreased K% (Potassium)
292 in southwest Australia⁸⁴, both interpreted as a southerly shift in the frontal systems and westerlies
293 relative to the Australian continent. At the same time, at the Agulhas Plateau⁶⁷ and in the South
294 Atlantic⁸⁵, oceanographic reconstructions suggest an equatorward migration of oceanic fronts,
295 rather than a southward migration as in Australia. This suggests an asymmetric behaviour of oceanic
296 fronts around Antarctica.

297

298 With BWTs around 5°C at 7-5 Ma, we can attribute the progressive rise in $\delta^{18}\text{O}_{\text{bf}}$ of 0.2‰ between
299 ~ 9 and ~ 6 Ma to about 20m RSL-equivalent global ice volume build-up (Fig. 5c). This is concurrent
300 with the first significant ice accumulation in Greenland and South America^{86,87}, and expansion of
301 the west AIS⁸⁸, along with enhanced ice-rafted debris off east Antarctica⁸⁹ (Fig. 5c). The current
302 clumped isotope data compilation (Fig. 5) points to this part of the Miocene as the phase of profound
303 global ice volume build-up, rather than the MMCT.

304

305 The long-term Southern Ocean BWT cooling signal reconstructed from Site 1168 reflects a high-
306 latitude surface ocean cooling, notably that of the region of deep-water formation. These surface
307 waters where deep-water formed were arguably impacted by the seaward expansion of the ice sheet
308 through katabatic winds⁹⁰. This process expanded sea ice, pushing the westerlies and the STF
309 northward (Fig. 4). In this scenario, the cooling of high latitude surface water and spatial extension
310 of ice reduces the ocean-land thermal contrast and strengthens the polar vortex, leading to less
311 moisture and precipitation transported into Antarctica^{91,92}. The progressive cooling of subantarctic
312 waters and increased vertical mixing induced by the northwards-migrated westerlies would have
313 increased the efficiency of the subantarctic ocean carbon sink, the largest single ocean carbon sink

314 system on the planet. As such, the geometric change of the ice sheet could have induced a more
315 efficient ocean carbon storage in the subantarctic zone, which in turn contributed to the lowering of
316 atmospheric $p\text{CO}_2$ ⁹³ in the Miocene (Fig. 5d).

317

318 Taken the above together, the available data show little evidence for Miocene ice volume increase
319 forced by CO_2 -induced global cooling with polar amplification²⁹. First, Neogene surface ocean
320 cooling was not amplified towards the polar regions, as the SST gradient was the largest in the warm
321 MCO and decreasing over the mid-to-late Miocene. Second, the combined STF, BTW and deep
322 ocean $\delta^{18}\text{O}$ reconstructions suggest that regional temperatures mostly changed due to geometric
323 changes of the Antarctic ice sheet, rather than the other way around. Northwards expansion of sea
324 ice and subpolar conditions occurred because of advancing marine-terminating ice sheets which
325 induced profound regional cooling. Finally, time intervals with progressive $p\text{CO}_2$ decline (MMCT)
326 seem to lack global ice volume increase, while time intervals with relatively stable $p\text{CO}_2$ (late
327 Miocene) seem to have profound ice volume growth, suggesting a large role for non-linear
328 feedbacks. These fundamental observations put a new perspective on the way radiative forcing and
329 complex feedbacks in ocean-ice-atmosphere interactions shaped Neogene ice volume and global
330 climate trends.

331

332 **Methods and Materials:**

333 **Site description**

334 ODP Site 1168 (42°36.5809'S; 144°24.7620'E; 2463 m modern water depth) (Fig. 1) is located on
335 the continental slope of the west-Tasmanian continental margin, with a modern seafloor temperature
336 of 2.5°C⁹⁴. The site sits on the northern edge of the Subtropical Convergence zone, which separates
337 warm, saline subtropical waters from comparably cold and fresh subantarctic water masses⁹⁵.
338 During the Neogene, the location of Site 1168 tectonically drifted along with Tasmania and Australia
339 from 52°S at 23 Ma to its modern position at 42°S⁵³. The Neogene bathymetry was lower
340 bathyal/upper abyssal (1000-2500m), midway on the continental slope⁹⁴. During this northward
341 tectonic drift, the Southern margin of Australia was continuously bathed by the eastward flowing
342 (proto-) Leeuwin Current^{40,96}. Hence, Site 1168 is well-suited to study the Neogene evolution of the
343 STF. We applied the same age model for the sediments as in Hou et al.²⁷ (Fig. S4).

344

345 **Palynology**

346 We studied 131 samples for palynological content. The processing of sedimentary samples for
347 palynological analysis followed standard procedures at the GeoLab of Utrecht University⁹⁷. Dried
348 sediment samples were crushed and weighed (on average 10 g, standard deviation, SD, of <1 g)

349 before they were dissolved with 30% hydrochloric acid (HCl) and 38% hydrofluoric acid (HF) for
350 carbonate and silicate removal, respectively. The remaining palynological residues were sieved on
351 a 10 µm nylon mesh, using an ultrasonic bath to disintegrate agglutinated organic particles. The
352 palynological residues were mounted on glass slides using glycerine, sealed, and counted (under
353 200 and 400 magnification) using an Olympus CX41 optical microscope. When possible, at least
354 200 dinocyst specimens were counted⁹⁸. Samples containing less than (including) 50 dinocyst
355 specimens were excluded for further analysis and interpretation.

356

357 We further applied the model of Thöle et al.⁴² (Fig. S5) to infer paleoceanographic conditions from
358 dinocyst assemblages. Specifically, we inferred the 25–75% SST ranges of the clusters in Thöle et
359 al.⁴² that the downcore assemblages compared most to (Fig. 2).

360 **Foraminiferal preparation**

361 Each sediment sample was freeze-dried, washed over a 63 µm sieve, oven-dried at 50 °C and then dry-
362 sieved into different size fractions. We mainly picked tests of *Cibicidoides mundulus* from the 250–355
363 µm size fraction for our measurements. We cracked open the picked specimens and ultrasonicated the
364 test fragments in deionized water (3*30 s) to remove adhering sediment, organic lining and nannofossils.
365 The test fragments were dried at room temperature overnight. In order to obtain enough material, other
366 benthic species are also processed. We use *Cibicidoides mundulus* and *Cibicidoides (Planulina)*
367 *wuellerstorfi* for both stable and clumped isotopes analyses. Data from other benthic or infaunal
368 species *Pyrgo* sp., *Gyroidina soldanii*, *Uvigerina peregerina* are only used for clumped isotopes
369 (Fig. S2).

370

371 **Clumped isotope analysis**

372 Clumped isotope measurements were performed using Thermo Scientific MAT 253 and 253 Plus mass
373 spectrometers at the GeoLab of Utrecht University. Both mass spectrometers were coupled to Thermo
374 Fisher Scientific Kiel IV carbonate preparation devices. CO₂ gas was extracted from carbonate samples
375 with phosphoric acid at a reaction temperature of 70°C. A Porapak trap included in each Kiel IV
376 carbonate preparation system was kept at 120°C to remove organic contaminants from the sample gas.
377 Between each run, the Porapak trap was heated at 120°C for at least 1 h for cleaning. Every measurement
378 run included a similar number of samples and carbonate standards⁹⁹. 3 carbonate standards (ETH-1, 2, 3)
379 with different δ¹³C, δ¹⁸O and Δ₄₇ compositions and ordering states were used for monitoring and
380 correction of the results¹⁰⁰. Two additional reference standards (IAEA-C2 and Merck) were measured in
381 each run to monitor the long-term reproducibility and stability of the instrument. We achieve the
382 necessary precision by averaging ~30 clumped isotope values measured on small (70–100 µg) carbonate
383 samples^{100–103}. External reproducibility (1 standard deviation) in Δ₄₇ of IAEA-C2 after correction was
384 0.033‰. The δ¹³C and δ¹⁸O values (reported relative to the VPDB scale) of IAEA-C2 showed an external

385 reproducibility (1 standard deviation) of 0.18‰ and 0.21‰, respectively¹⁰⁴.

386 **Deep sea temperature and $\delta^{18}\text{O}_{\text{sw}}$ calculation**

387 We converted the sample Δ_{47} values (averages over ~30 separate measurements each) into temperature
388 (T, in °C) using a calibration based on various recent datasets from core-top-derived foraminifera,
389 corrected with the same carbonate standards as used in our study¹⁰⁵:

$$390 \quad T = \sqrt{\frac{0.0431 \times 10^6}{\Delta_{47} - 0.1876}} - 273.15$$

391 Δ_{47} -based BWTs were used in combination with $\delta^{18}\text{O}_{\text{bf}}$ to calculate $\delta^{18}\text{O}_{\text{sw}}$ (reported relative to
392 VSMOW) with Eq. (9) of Marchitto et al.¹⁰⁶:

$$393 \quad \delta^{18}\text{O}_{\text{bf}} (\text{VPDB}) - \delta^{18}\text{O}_{\text{sw}} (\text{VSMOW}) + 0.27 = (-0.245 \pm 0.005) \times T + (0.0011 \pm 0.0002) \times T^2 + (3.58 \pm 0.02)$$

394 For these calculations, $\delta^{18}\text{O}_{\text{bf}}$ values of the genus *Cibicidoides* were averaged over the same intervals as
395 have been used for Δ_{47} averaging. Calibration uncertainties and measurement error were addressed
396 by applying error propagation. The Meinicke et al.¹⁰⁵ calibration was propagated using a Matlab
397 script that utilized a variance-covariance matrix of the slopes and intercepts, following the
398 mathematics of the supplement of Huntington et al.¹⁰⁷. It should be noted that the calibration error
399 is very small compared to the analytical error.

400 **Ice sheet modelling**

401 To demonstrate the viability of a precipitation regime change leading to a fundamentally different
402 volume-to-area ratio of the Antarctic ice sheet, we deploy the 3D thermodynamical ice sheet/shelf model
403 IMAU-ICE v1.1.1¹⁰⁸. In the standard set-up⁹², climate forcing follows from pre-run warm and cold
404 snapshot climate simulations¹⁰⁹. The applied climate forcing is transiently calculated based on the
405 prescribed CO_2 concentration and the modelled ice sheet size, through a matrix interpolation method¹⁰⁸.
406 Equilibrium experiments are performed at various CO_2 levels between preindustrial and 3x preindustrial
407 CO_2 values, with insolation at present-day levels and initiated from an ice-free Miocene Antarctic
408 topography¹¹⁰. Here, we perform additional sensitivity experiments, in which we apply a fixed
409 precipitation increase and extreme sub-shelf melt rates. The precipitation anomaly is calculated as 25%
410 of the warm snapshot precipitation fields, sub-shelf melt rates are set to 400 m/yr.

411 These sensitivity experiments yield large-scale glaciation at a higher CO_2 level (Fig. 6a), and an overall
412 increased volume-to-area ratio (Fig. 6b). Notably, simultaneously reducing the CO_2 level from 504 to
413 394 ppm and removing the anomalous forcing, leads to significantly larger ice sheet area, while the
414 interior ice sheet height is severely reduced (Figs. 6c, S6). These idealized experiments exemplify our
415 hypothesized Antarctic ice sheet change at the MMCT.

416 **Data availability:**

417 Raw palynological counting, grouped dinocyst data, dinocyst-based SST, BWT bins and stable isotopes

418 data are archived in Zenodo: <https://doi.org/10.5281/zenodo.8146850>; Clumped isotope data is archived
419 in the EarthChem database: <https://doi.org/10.26022/IEDA/112993>.

420

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672 PKB designed the research. SH, MN and FSH processed and analyzed samples for palynology. SH, RP
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674 the clumped isotope data. LBS performed the ice sheet modelling. SH wrote the paper with input from

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676 **Competing interests:**

677 The authors declare no competing interests.

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