

# Zonal Wave 3 Pattern in the Southern Hemisphere generated by tropical convection

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## Article

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17 **Abstract**

18

19 A distinctive feature of the Southern Hemisphere (SH) extratropical atmospheric circulation  
20 is the quasi-stationary zonal wave 3 (ZW3) pattern, characterized by three high and three  
21 low-pressure centers around the SH extratropics. This feature is present in both the mean  
22 atmospheric circulation and its variability on daily, seasonal and interannual timescales.  
23 While the ZW3 pattern has significant impacts on meridional heat transport and Antarctic  
24 sea ice extent, the reason for its existence remains uncertain, although it has long been  
25 assumed to be linked to the existence of three major land masses in the SH extratropics.  
26 Here we use an atmospheric general circulation model to show that the stationary ZW3  
27 pattern is instead driven by zonal asymmetric deep atmospheric convection in the tropics,  
28 with little to no role played by the orography or land masses in the extratropics. Localized  
29 regions of deep convection in the tropics form a local Hadley cell which in turn creates a  
30 wave source in the subtropics that excites a poleward and eastward propagating wave train  
31 which forms stationary waves in the SH high latitudes. Our findings suggest that changes in  
32 tropical deep convection, either due to natural variability or climate change, will impact the  
33 zonal wave 3 pattern, with implications for Southern Hemisphere climate, ocean circulation,  
34 and sea-ice.

35

36 **Introduction**

37 The quasi-stationary ZW3 pattern is a prominent feature in the SH extratropical circulation,  
38 with significant impacts on Antarctic sea-ice<sup>1,2</sup>, meridional heat and momentum transport<sup>3</sup>  
39 and CO<sub>2</sub> uptake<sup>4</sup>. The ZW3 pattern is evident in the time mean but exhibits seasonal  
40 variations in location and significant variability in both amplitude and phase at sub-monthly to  
41 monthly timescales<sup>5,6</sup>. ZW3 exhibits a quasi-stationary pattern with small longitudinal  
42 movement (between 15-25 degrees) between austral autumn and austral winter<sup>3</sup>. Previous

43 studies have suggested that the quasi-stationary ZW3 pattern evident in the time-mean  
44 circulation in the SH extratropics is linked to the land-ocean distribution in the SH mid-  
45 latitudes, in particular, the presence of three separated land masses and three ocean  
46 basins<sup>2,3,7-10</sup>. This conjecture seems plausible given the presence of the annual mean ZW3  
47 surface pressure ridges on or near the southern flank of the three continents and troughs in  
48 the three ocean basins between them<sup>3,7</sup>. However, a similar stationary ZW3 pattern is also  
49 present in the Northern Hemisphere (NH) extratropics<sup>11</sup>, where there is no obvious threefold  
50 symmetry in the land-ocean distribution. Therefore, the mechanisms responsible for the  
51 generation of this stationary ZW3 pattern in the SH extratropics require further examination.

52

53 Planetary wave activity in the SH extratropics is dominated by the presence of stationary  
54 ZW1 and ZW3 at sub-monthly to interannual timescales<sup>5,6</sup>. It has been suggested that ZW1  
55 is maintained by both the Rossby wave activity that is forced from lower latitudes<sup>12-16</sup> and  
56 from the high orography of Antarctica<sup>15,17,18</sup>. ZW3 is a prominent feature in geopotential  
57 height and wind fields and dominates the zonally asymmetric extratropical circulation at  
58 sub-monthly<sup>19,20</sup>, seasonal<sup>21</sup> and interannual timescales<sup>22,23</sup>. ZW3 also plays an important  
59 role in winter-time SH blocking events<sup>24</sup> and has been suggested to be the most persistent  
60 mode of SH eddy circulation<sup>25</sup>. The magnitude of the ZW3 pattern shows a maximum near  
61 55°S and explains ~8% of variance in Empirical Orthogonal Function (EOF) analysis of  
62 monthly geopotential height south of 20°S<sup>3</sup> and greater than 45% of the variance in monthly  
63 meridional wind fields at 55°S<sup>23</sup>.

64

65 ZW3 also plays an important role in the variability of meridional heat and momentum  
66 transport<sup>3</sup>, and therefore has a substantial impact on Antarctic sea ice and SH extratropical  
67 climate<sup>1,2</sup>. The ZW3 pattern is evident in regression patterns of winds, sea level pressure,

68 and geopotential height onto the Southern Annular Mode (SAM) index; with the SAM  
69 representing the major mode of climate variability in the SH on monthly and interannual  
70 timescales (Fig.1a). The ZW3 pattern is also a prominent feature in the projected future  
71 mean sea level pressure changes in the SH extratropics (Fig. 1b). In recent years, extremes  
72 in the strength of the ZW3 pattern have been linked to the unprecedented 2015-16 Antarctic  
73 sea-ice decline<sup>26-28</sup> and the SH blocking highs<sup>29</sup>. Given the importance of the ZW3 pattern for  
74 Antarctic and SH climate, it is important to understand the generation and maintenance of  
75 this persistent atmospheric pattern in the SH extratropics. While the presence of this pattern  
76 in the SH has been previously linked to the land-ocean distribution in the extratropics<sup>2,3,7-10</sup>,  
77 there has been no previous modelling work that substantiates this explanation. In this study,  
78 we undertake a series of sensitivity experiments using an Atmospheric General Circulation  
79 Model (AGCM) subject to different land-ocean configurations to uncover the mechanisms  
80 responsible for generating a stationary ZW3 pattern in the SH extratropics.

81

## 82 **Experimental setup**

83 We use the National Center for Atmospheric Research (NCAR) Community Earth System  
84 Model (CESM v1.2.2) which was part of the Coupled Model Intercomparison Project 5  
85 (CMIP5). All model simulations are forced by prescribed sea surface temperatures (SST)  
86 and sea-ice and include active atmospheric and land model components. The control model  
87 experiment includes globally realistic land masses and orography, and climatologically and  
88 geographically varying SST forcing. A series of simulations is then configured with different  
89 land-ocean and SST configurations to examine the mechanisms that generate the stationary  
90 ZW3 in the SH extratropics (Methods), building up in complexity from a simple aquaplanet  
91 simulation with zonally uniform SST forcing. The subsequent experiments then include

92 additional tropical, extratropical, and polar land masses and orography relative to the control  
93 experiment.

94

95 Based on a first order comparison, the control simulation captures the pattern and  
96 magnitude of the ZW3 reasonably well compared to the European Centre for Medium Range  
97 Weather Forecasts (ECMWF) Reanalysis (ERA-Interim<sup>30</sup>, Fig. S1a, S1b). While the  
98 magnitude of the modeled ZW3 is weaker than that estimated from the ERA-Interim  
99 reanalysis, this is a common problem with CMIP-type simulations, which systematically  
100 underestimate the amplitude of ZW3 in the SH<sup>1</sup>. Comparison across different Atmospheric  
101 Model Intercomparison Project (AMIP) simulations of CMIP5 models, that use the observed  
102 sea surface temperature and sea-ice boundary conditions, shows that the CESM model  
103 simulates both the amplitude and phase of the ZW3 pattern in the SH extratropics  
104 reasonably well (see Fig. S1c for a model intercomparison).

105

## 106 **Results**

107 Fourier analysis is used to separate the wave activity associated with each zonal  
108 wavenumber across the experimental set. Stationary waves are defined as the time-mean  
109 component of each wave. Therefore, by definition, a purely random wave (having different  
110 phases at different timesteps) will have zero stationary wave component. In order to build  
111 our understanding of the factors important for ZW3, we first analyze the aquaplanet  
112 simulation (Methods). Using monthly averaged data, only waves with zonal wavenumbers  $k$   
113  $\leq 5$  are present (higher wavenumbers become important at shorter timescales than are  
114 retained by monthly averaging). Wave 5 dominates the 300 hPa meridional wind fields north  
115 of  $\sim 50^\circ\text{S}$ , with maximum strength between  $30\text{-}40^\circ\text{S}$  (supplementary Fig. S2a). This agrees  
116 well with previous studies,<sup>31-34</sup> and these waves are believed to be trapped within the jet

117 stream and to be maintained by baroclinic energy conversion<sup>34</sup>. However, waves in the  
118 aquaplanet simulation are not phase locked i.e., they possess random phases at different  
119 times (Fig. 2a, supplementary Fig. S3; see caveat below). Indeed, the lack of zonal  
120 asymmetry in the aquaplanet should preclude any phase locking of the waves; however, a  
121 weak phase locking can be seen. This likely relates to the finite radiation timestep used in  
122 the model, which creates asymmetries because of the sun warming the same locations after  
123 a certain fixed interval of time, leading to small zonal asymmetries in solar heating. The  
124 resulting time mean ZW3 signal is however more than an order of magnitude smaller than  
125 the signals found in subsequent experiments.

126

127 To understand the role of SH landmasses in creating and maintaining a phase locked ZW3  
128 pattern, we next add a single flat (at sea level) landmass to the aquaplanet configuration  
129 (Fig. 2b; see methods for details). We choose South America as it extends the furthest  
130 towards the south, has a tropical extension and also has the highest topography (i.e., the  
131 Andes) among the three landmasses in the SH midlatitudes. The wave energy in this  
132 simulation shifts to lower wavenumbers compared to the aquaplanet simulation  
133 (supplementary Fig. S2a) with ZW1 and ZW3 now dominating, and very little energy at  
134 wavenumbers 4 and above (supplementary Fig. S2b). A clear stationary (phase locked)  
135 ZW3 is now apparent with an amplitude comparable to the control simulation, although the  
136 phase is different (Fig. 2b). This suggests that a single land mass in the SH can generate a  
137 phase locked ZW3 pattern. Longer waves (i.e., ZW1 and ZW2) are also phase locked in this  
138 simulation (supplementary Fig. S4).

139

140 To examine whether the meridional location of the land mass is important to generate a  
141 stationary ZW3 structure, two additional simulations are next investigated, one with only the

142 tropical part of the South American land mass and another with only the extratropical South  
143 American land (Fig. 2c, 2d and methods). These simulations reveal that a stationary ZW3  
144 pattern is only present in the tropical land mass simulation (with amplitude and phase almost  
145 identical to the simulation with all of South America present; Fig. 2c); conversely, in the  
146 midlatitude-only simulation, the wave phase is almost random (only a weak stationary ZW3  
147 is present with similar phase and amplitude to the aquaplanet experiment; Fig. 2a and 2d).  
148 These simulations suggest that while a land mass in the extratropics plays little role in  
149 generating a stationary ZW3 pattern, a single land mass in the tropics is sufficient to  
150 generate a large amplitude stationary ZW3.

151

152 In the above experiments the land masses were all added without orography (i.e., flat land  
153 masses at sea level). To examine if the presence of three land masses in the SH  
154 extratropics can generate a phase locked ZW3 in a more realistic configuration, we next  
155 examine a simulation in which three extratropical land masses are all present with realistic  
156 orography (i.e., SA, Africa and Australia, added south of 20°S; Fig. 2e). Mountains are  
157 known to play an important role in creating phase locked zonal waves in the NH extratropics,  
158 particularly due to the presence of the Rockies and the Plateau of Tibet<sup>35</sup>. Even though there  
159 are fewer high orographic features in the SH, the Andes are as high as 2900 meters south of  
160 20°S in the model and may play a role in phase locking the waves, such as the wavenumber  
161 3 pattern. Yet in this simulation with added extratropical land masses and orography, there is  
162 no enhanced stationary ZW3 over and above that seen in the aquaplanet (Fig. 2e). The ZW3  
163 signal present in this simulation possesses a random phase in time i.e., it is not stationary.  
164 The model resolution precludes orography of the Andes that precisely matches the real  
165 world (2900-m in the model compared to 3400-m in reality), however, recent studies<sup>36</sup> have  
166 found that the Andes being lower in coarse-resolution models has little effect on the wave

167 activity in the SH. This indicates that the presence of SH extratropical land masses  
168 (including orography) does not play a primary role in generating the phase locked ZW3  
169 pattern in the SH extratropics; the Andes have too narrow a longitude range to generate a  
170 strong stationary wave as compared to their NH counterparts, where the mountains are  
171 higher and have much larger zonal extent. This finding is in contrast to the hypothesis put  
172 forward in previous studies<sup>2,3,7-10</sup>.

173

174 ZW3 is also phase locked in other experiments we tested with tropical land masses added  
175 elsewhere (e.g., Africa, the maritime continent), with the resulting phases and amplitudes  
176 differing across these simulations compared to the tropical South America simulation  
177 (supplementary Fig. S5). We hypothesize that the tropical-extratropical teleconnection  
178 relates to deep adiabatic heating in the tropics that can be generated by any of the  
179 landmasses but also by warm tropical SSTs. This will be examined in the next section.

180

181 Finally, Antarctica is known to generate the stationary ZW1 in the SH extratropics<sup>15,17,18</sup>,  
182 however, it is not thought to generate a stationary phase locked ZW3 pattern in the SH  
183 extratropics<sup>37</sup>. This has been confirmed in an additional experiment where we added  
184 Antarctica with orography to the aquaplanet configuration (supplementary Fig. S6). This  
185 experiment shows a stationary ZW1, but no stationary ZW3 is found in this simulation  
186 (supplementary Fig. S6).

187

### 188 **Mechanism to generate stationary ZW3**

189 We now elucidate how a localised zonal asymmetry in the tropics can generate a stationary  
190 ZW3 pattern in the extratropics. We begin by examining the tropical SA experiment in more  
191 detail. The presence of land in the tropics therefore provides a low-level perturbation to the

192 otherwise zonally uniform flow and results in convergence at the surface over the land mass.  
193 This low-level convergence causes convective heating in the atmosphere (supplementary  
194 Fig. S7) which results in enhanced upward motion (Fig. 3a) and divergence with an  
195 associated anticyclonic vorticity anomaly at upper levels (Fig. 3b). The response in the lower  
196 troposphere is similar to a Gill-type response<sup>38</sup> for a heat source in the tropics with two  
197 cyclonic circulations present on either side of the Equator (Fig. 3c). The lower-level  
198 perturbation is mostly confined near the heating source and has a weaker response in the  
199 extratropics; however, this is not the case in the upper troposphere where strong  
200 perturbations extend farther into the SH extratropics (Fig. 3b and 3c). The source (land  
201 mass) is present in the tropics where the mean flow is easterly, however, Rossby waves  
202 need westerly flow to propagate. This gap is bridged by the divergence in the upper  
203 tropospheric flow in the tropics, which results in sinking motion in the subtropics forming a  
204 local Hadley cell (Fig. 3a). This results in upper level convergence in the subtropics which  
205 then acts as a Rossby wave source because of the presence of westerlies in the  
206 subtropics<sup>13</sup>. In the upper troposphere (at 300 hPa), a wave train is set up poleward and  
207 eastward of the source region (Fig. 3b). This is similar to the wave train dynamics described  
208 by Hoskins and Karoly (1981)<sup>12</sup> for a subtropical heating source and by Trenberth et al  
209 (1998)<sup>13</sup> for a tropical heating source. These eastward and poleward propagating waves  
210 (supplementary Fig. S8) reflect from the high latitudes where the meridional gradient of  
211 absolute vorticity approaches zero, and then decay in the tropics where the zonal wind is  
212 zero<sup>12</sup>. The lowest wavenumbers ( $k \leq 3$ ) have the strongest meridional group velocities so can  
213 propagate further poleward<sup>12,13</sup> before being reflected back to the tropics (Fig. 3b). The  
214 response is basically a dispersive Rossby wave train with each wavenumber following a  
215 different ray path<sup>12</sup>. The lowest wavenumber (ZW1) therefore travels the furthest poleward  
216 followed by progressively higher wavenumbers, which then create stationary zonal waves in

217 the SH extratropics (supplementary Fig. S9). The stationary wavenumber ( $K_s$ ) profile in the  
218 SH (supplementary Fig. S9) suggests that wavenumber 3 is the dominant wavenumber in  
219 the SH extratropical region (between 50°S-65°S), which explains why ZW3 dominates in this  
220 latitude band.

221

222 The eastward and poleward propagating wave train from the poleward flank of the heating  
223 source generates stationary waves in the SH with a stationary (phase locked) ZW3 present  
224 in the extratropics, with a maximum near 55°S (Fig. 3d and 3e). This wave train structure  
225 has been observed in previous studies using simple barotropic and baroclinic models with  
226 prescribed diabatic heating anomalies<sup>12,13</sup>. However, here we use a more sophisticated  
227 atmospheric general circulation model which is known to simulate realistic atmospheric  
228 stationary waves<sup>9,39</sup>. These poleward moving wave trains are absent in all simulations we  
229 consider unless a source of zonal asymmetry in the deep convection is present in the  
230 tropics. Without any tropical zonal asymmetry, there are no upper-level changes generated  
231 to drive a poleward propagating Rossby wave (supplementary Fig. S10). This is likely due to  
232 the lack of deep convection in mid-latitudes<sup>12</sup> (Fig. S10a). While a low-level perturbation  
233 (heating) is balanced by strong vertical advection in the tropics, in the mid-latitudes, it is  
234 balanced by horizontal advection of cold air from polar latitudes near the surface<sup>40</sup>.

235

236 Changing the location and extent of the land mass in the tropics experiments in turn  
237 changes the location and extent of maximum deep convection - and hence the location of  
238 the Rossby wave source in the subtropics - which in turn changes the phase of the ZW3  
239 pattern in the SH extratropics (supplementary Fig. S5). In other words, the phase of the ZW3  
240 pattern is dependent on the longitudinal location of the Rossby wave source in the tropics.

241 The combined effect is however non-linear, i.e., the amplitude of the combined ZW3 for all

242 three individual tropical land mass cases is different to that of the full tropical land mass  
243 simulation (supplementary Fig. S5).

244

245 While the above experiments use an idealized landmass to provide a tropical source of  
246 heating, the strongest tropical heating actually occurs over the Indo-Pacific warm pool and  
247 therefore acts as the strongest source of deep convection in the tropics (refer to vertical  
248 velocity in *CTRL*, Fig. S11a). An additional experiment (*Tropics<sub>land+SST</sub>*) is carried out which  
249 has a realistic tropical configuration with landmasses and climatological SSTs between 10°S  
250 - 10°N and zonally uniform setup everywhere else (refer to Fig. 2f and methods for details).

251 A clear stationary ZW3 pattern is found in this simulation (Fig. 2f), with higher amplitude than  
252 the previous simulations that included just tropical landmasses, and similar in magnitude to  
253 the *CTRL* run. This suggests that convection over the Indo-Pacific warm pool has a strong  
254 role in generating the stationary ZW3 in the SH extratropics. We note that a wave train also  
255 propagates into the Northern Hemisphere and may play a role in ZW3 formation (Fig. 2f),  
256 although the higher and more extensive Tibetan plateau and Rockies may also be  
257 important<sup>35</sup>. Small differences in the ZW3 in *Tropics<sub>land+SST</sub>* simulation as compared to *CTRL*  
258 simulation are expected because the mean circulation in this simulation is slightly different to  
259 *CTRL* because of the absence of realistic extratropical landmasses, and because the  
260 refractive effects on the waves are determined by the mean atmospheric circulation (Fig.  
261 S9).

262

263 In summary, the presence of zonal asymmetries in deep convection in the tropics acts as a  
264 stationary source of wave activity. The wave travels eastward and poleward from the source  
265 region (Indo-Pacific warm pool) generating phase locked zonal waves in the SH extratropics.

266 In addition to ZW3, the other low frequency waves which dominate the wave spectrum (i.e.,  
267 ZW1 and 2) are also phase locked in simulations with a tropical source of deep convection.

268

## 269 **Summary and conclusions**

270 Using atmospheric general circulation model simulations, we have examined the factors  
271 responsible for generating the stationary ZW3 pattern in the SH extratropics. We show that  
272 contrary to widely held opinion, the presence of three land masses in the SH extratropics is  
273 not the primary cause of the stationary (phase locked) ZW3 pattern. Instead, the presence of  
274 a single localized source of deep convection in the tropics (in particular over the Indo-Pacific  
275 warm pool) is sufficient to generate Rossby waves in the SH extratropics that can set up a  
276 stationary ZW3 structure. The teleconnection from the tropics to the extratropical latitudes is  
277 controlled by the upper level atmospheric flow, where the perturbation is provided by the  
278 presence of the lower boundary acting as a localized source of deep convection (Fig. 4).  
279 Deep convection in the tropics forms a local Hadley cell which subsides in the subtropics  
280 where westerlies are present. The localized upper level convergence generated because of  
281 the local Hadley cell generates a Rossby wave source in the subtropics. Rossby waves with  
282 strong meridional group velocities then move poleward from the subtropics and create  
283 phase-locked stationary zonal waves in the SH extratropics. The complete process that  
284 emerges is represented in a schematic shown in Fig. 4 and the supplementary animation. In  
285 contrast to this tropical driving mechanism, we found that neither the presence of  
286 extratropical land nor orography could generate significant phase locking of the stationary  
287 ZW3 pattern in the SH extratropics.

288

289 Our work suggests that the Indo-Pacific warm pool SSTs play a major role in generating a  
290 stationary ZW3. Indeed, a clear wave train is found to be propagating poleward and

291 eastward from the Indo-Pacific warm pool in the CTRL simulation (Fig. S11b). In addition to  
292 this, there is more than one source of convection in the tropics (Fig. S11) and these sources  
293 vary in time either because of changes in natural variability both at the shorter time scales  
294 such as the Madden Julian Oscillation (MJO) and monsoon variability, and at longer  
295 timescales such as El-Niño Southern Oscillation (ENSO) or the Indian Ocean Dipole (IOD),  
296 or because of climate change<sup>39,41</sup>.

297

298 Zonal wave 3 also shows a strong variability at sub-monthly as well as seasonal timescales,  
299 with stronger ZW3 found during austral fall and winter and weaker ZW3 in spring and early  
300 summer<sup>6</sup>. Our work suggests that the climatological mean ZW3 pattern is strongly  
301 dependent not only on tropical deep convection but also on the background atmospheric  
302 circulation; it might further be expected that variability in ZW3 also depends on these two  
303 factors. This is analysed using a comparison of different AMIP model simulations (Fig. S1c,  
304 S1d), which show a similar spread in the bias in both the magnitude and phase as was  
305 found in the coupled CMIP5 model simulations. As the AMIP simulations are forced by the  
306 same observed SST and sea-ice fields, the presence of a similar bias across AMIP and  
307 CMIP simulations suggests that there are other factors at play apart from model SST  
308 differences. This is because wave propagation from the tropics to the extratropics is affected  
309 by the convective patterns simulated by each model, as well as the refractive effects of the  
310 background circulation (Fig. S9).

311

312 Our analysis suggests that any future changes in the ZW3 will be primarily dependent on  
313 changes in (a) tropical SST warming and (b) changes in the atmospheric circulation in the  
314 SH. Future warming of tropical SST is expected to weaken the tropical-extratropical  
315 teleconnections because of the projected weakening in tropical convective circulation in the

316 future<sup>39</sup>. Future warming of tropical SST is projected to result in upper tropospheric warming  
317 in the tropics, which in turn leads to an increase in the static stability in the tropics<sup>39,41</sup>.  
318 Increased static stability results in weaker vertical motions from increased SST warming and  
319 weaker upper-level divergence, which could lead to weaker tropical-extratropical  
320 teleconnections. Zonal winds in the SH extratropics are also projected to intensify with global  
321 warming<sup>42</sup>. Stationary wave theory implies that wavenumber scales inversely with the  
322 strength of the zonal flow, which therefore suggests a decrease in wavenumber in the future.  
323 While this is a simple assessment of expected future changes in the ZW3 pattern under  
324 global warming, uncertainties remain. For example, changes in the tropical wave source  
325 resulting from possible reorganization of convection in the tropics<sup>43</sup> and a projected poleward  
326 shift in the zonal winds in the SH extratropics<sup>42</sup> may also play a role in driving future changes  
327 in the ZW3 pattern. This has important implications for climate variability and climate change  
328 in the region.

329

### 330 **Author contributions**

331 R.G. conceived the study and along with M.J., A.S.G. and M.H.E. formulated the  
332 experimental design. R.G. conducted the atmospheric model simulations and produced all  
333 the analyses examined in the study. All authors contributed to interpreting the results,  
334 discussion of the associated dynamics and writing the paper.

335

### 336 **Competing interests**

337 The authors declare no competing financial interests

338

339

340

341 **Methods**

342 *Climate Model*

343 NCAR CESM v1.2.2 model is used with prescribed Sea Surface Temperatures (SSTs) and  
344 sea-ice. The atmospheric component of the model is the Community Atmosphere Model  
345 (CAM)<sup>44</sup> Version 4 and is coupled to the Community Land Model (CLM)<sup>45</sup> Version 4. CAM4 is  
346 run with a 1.875 x 2.5° finite volume grid with 26 hybrid sigma levels. The atmospheric  
347 composition and prescribed SSTs and sea-ice are set to a pre-industrial configuration.

348

349 *Model experiments*

350 Model simulations with CESM are carried out to understand the origin and maintenance of  
351 the stationary ZW3 in the SH extratropics. For all the simulations, the model uses pre-  
352 industrial levels of greenhouse gases, aerosols and other forcing. A control simulation  
353 (*CTRL*) is carried out with a repeat cycle of climatological monthly SSTs, sea-ice and ozone.  
354 The control simulation has a global realistic land-sea configuration as well as orography. An  
355 aquaplanet (*Aqua*) simulation is integrated in which land is removed everywhere (Fig. 2a)  
356 and ozone, SSTs and sea-ice are all set to zonally averaged monthly climatological fields.  
357 Another simulation is then carried out in which only South America (with orography  
358 removed) is added to the aquaplanet (Fig. 2b). In this experiment the presence of land alters  
359 the albedo and changes the surface fluxes because of the differences in the heat capacity,  
360 surface roughness and moisture availability over land as compared to the ocean. Separate  
361 experiments are then configured with land present only over tropical South America  
362 (between 10°S - 10°N, Fig. 2c) and only over South America poleward of 20° S (Fig. 2d),  
363 respectively. Another simulation is carried out in which land and orography over the three  
364 continents is present south of 20°S (Fig. 2e). The model resolution precludes having  
365 orography that precisely matches the real world. Nevertheless, the maximum height of the

366 mountains south of 20°S is similar to reality (2900 m in CESM and 3400 m in reality). Lastly,  
367 another simulation (*Tropics<sub>land+SST</sub>*) is then integrated in which landmasses and climatological  
368 SSTs in the tropics between 10°S - 10°N are added to the zonally symmetric setup of the  
369 aquaplanet simulation (Fig. 2f). In all the simulations except *CTRL* and *Tropics<sub>land+SST</sub>*,  
370 zonally symmetric monthly climatological SSTs and sea-ice are prescribed. In the control  
371 simulation, zonally varying monthly climatological SSTs and sea-ice are prescribed. All  
372 model simulations are integrated for 120 years. The first 20 years are discarded as a spin-up  
373 period and the remaining 100 years are used for the analyses presented here.

374

#### 375 *Analysis methods*

376 Monthly mean geopotential height, zonal winds and meridional winds at different vertical  
377 levels are used to examine the horizontal and vertical structure of the eddy field in the SH.  
378 To isolate ZW3 variability we consider a band of geopotential height at 55°S and 300 hPa.  
379 Fourier analysis is used to separate the activity associated with each zonal wavenumber.

380

#### 381 *Other analysed data*

382 Monthly averaged mean sea level pressure (MSLP) and geopotential height data from the  
383 European Centre for Medium Range Weather Forecasts (ECMWF) Reanalysis (ERA-  
384 Interim)<sup>30</sup>, ECMWF Reanalysis (ERA-5)<sup>46</sup> National Centre for Environmental Prediction-  
385 National Centre for Atmospheric Research (NCEP-NCAR) reanalysis<sup>47</sup> from 1979-2008 are  
386 used in the study. MSLP and 850 hPa winds from the coupled model runs of the Community  
387 Earth System Model (CESM) which were submitted to Coupled Model Intercomparison  
388 Project 5 (CMIP5) are also analysed. CESM model data from 8 ensembles of the historical  
389 (1900-2005) as well as 8 ensembles of the RCP8.5 (2006-2100) simulations are analysed in  
390 this study. 300 hPa geopotential height fields from the pre-industrial control simulations of 18

391 CMIP5 models and Atmospheric Model Intercomparison Project (AMIP) simulations from 23

392 CMIP5 models are also used in this study.

393

394 **Data Availability Statement**

395 ERA-Interim data used in the study can be downloaded from

396 <https://apps.ecmwf.int/datasets/data/interim-full-moda/levtype=sfc/>. The data generated from

397 the model simulations will receive a DOI and will be made available using a public

398 repository.

399

400 **Code Availability Statement**

401 Python scripts used for the analysis described in this study can be obtained from the

402 corresponding author upon reasonable request.

403 **References**

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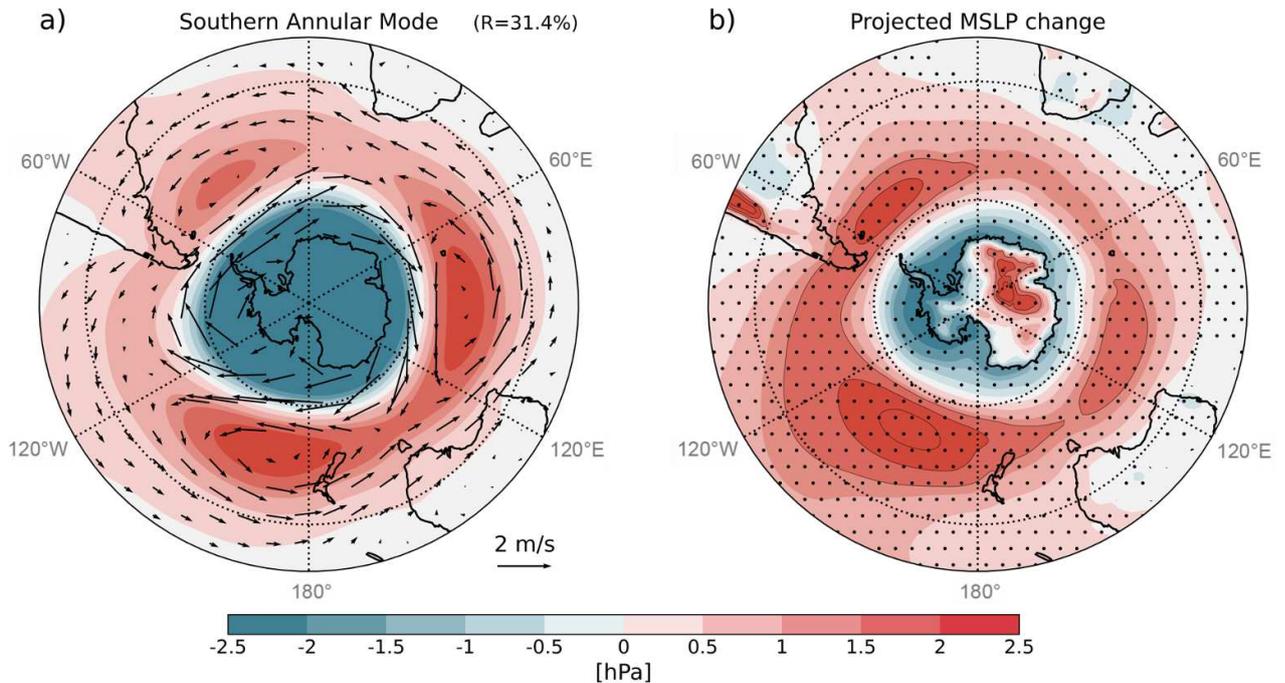
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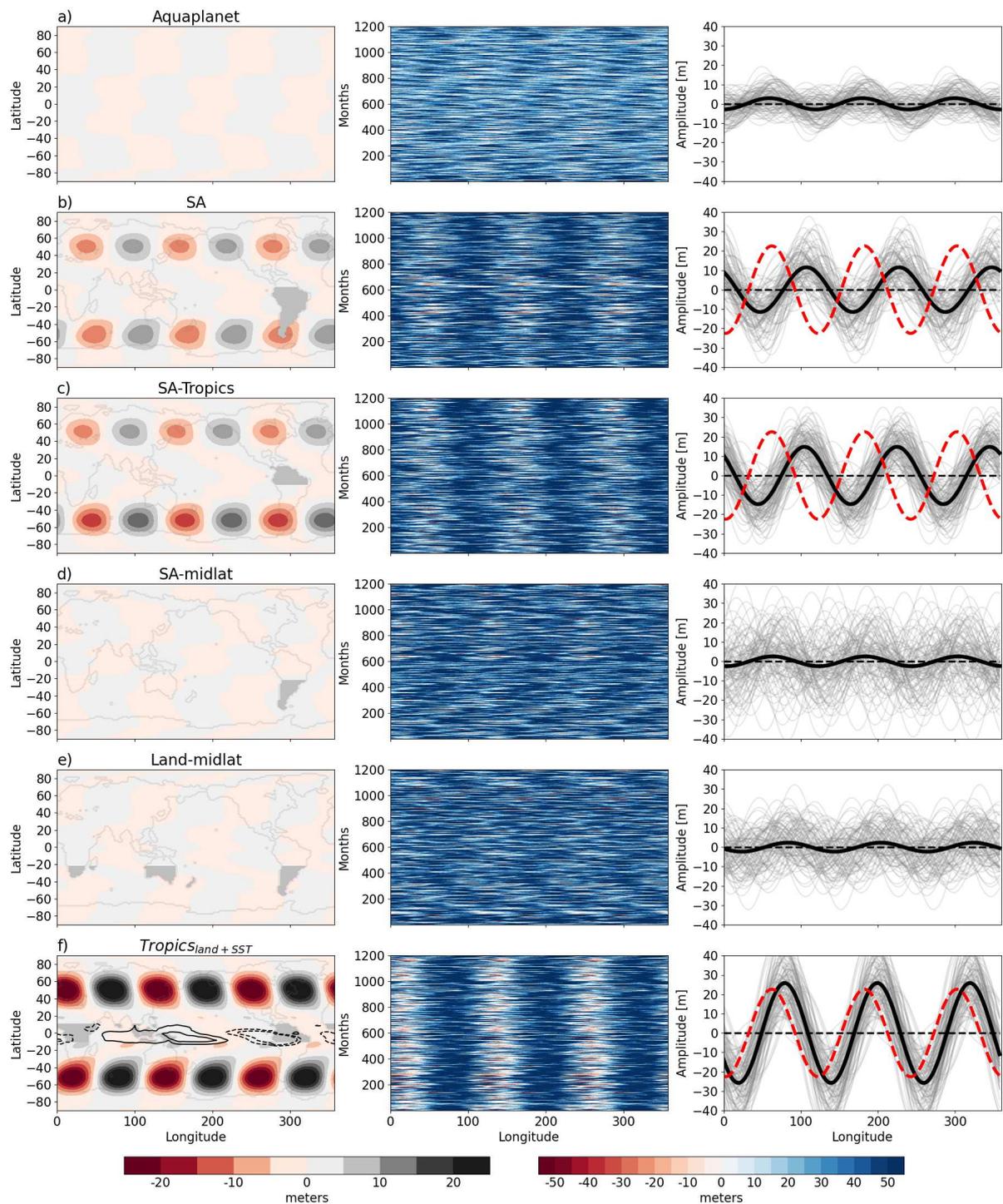
517 **Figure 1 | Sea level pressure variability and projected 21<sup>st</sup> Century change in the**  
518 **Southern Hemisphere extratropics.** Shading in panel a) shows Southern Annular Mode  
519 (SAM) obtained from the average of 8 ensembles of coupled CESM model runs for the  
520 historical time-period from 1900-2005. Vectors represent regression of the SAM index onto  
521 surface winds. The SAM is defined here as the leading empirical orthogonal function (EOF)  
522 of the mean sea level pressure (MSLP) south of 20°S and the SAM index is then taken as  
523 the principal component of the first EOF mode. Panel b) shows the difference between the  
524 climatological mean MSLP in the late 21<sup>st</sup> Century (2050-2100 average) and that in the late  
525 20<sup>th</sup> Century (1950-2000 average). Stippling in (b) represent regions where differences are  
526 significant at the 95% confidence level.

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533 **Figure 2 | Zonal wave 3 (ZW3) amplitude and phase in model simulations with**  
 534 **different land-sea configuration.** ZW3 phase and amplitude in a) aquaplanet, b) full South  
 535 America, c) tropical South America, d) mid-latitude South America, e) land in SH  
 536 midlatitudes (with orography) simulations and f) realistic tropics (land + SSTs) configuration.  
 537 Grey shaded map regions in the left column shows the region where the land is present in  
 538 each model simulation. Black contours in the first column of 2f) shows SST at 1°C intervals

539 after zonal mean has been removed. Shading in the first column shows the stationary (time  
540 mean) ZW3 component filtered from the 300 hPa geopotential height field. The middle  
541 column shows longitude-time Hovmöller plots for ZW3 at 55°S for each month for 100 years  
542 showing the time evolution of ZW3 phase and amplitude. The right column shows  
543 interannual variation in the ZW3 at 55°S with thin grey lines showing annual mean ZW3 for  
544 each year of the simulation (100 lines for 100 years), thick black line showing the mean over  
545 the entire 100-year period and dashed red line in 2f) shows mean over the entire 100-year  
546 period in control simulation. Dashed black line in the right column represents the zero line.

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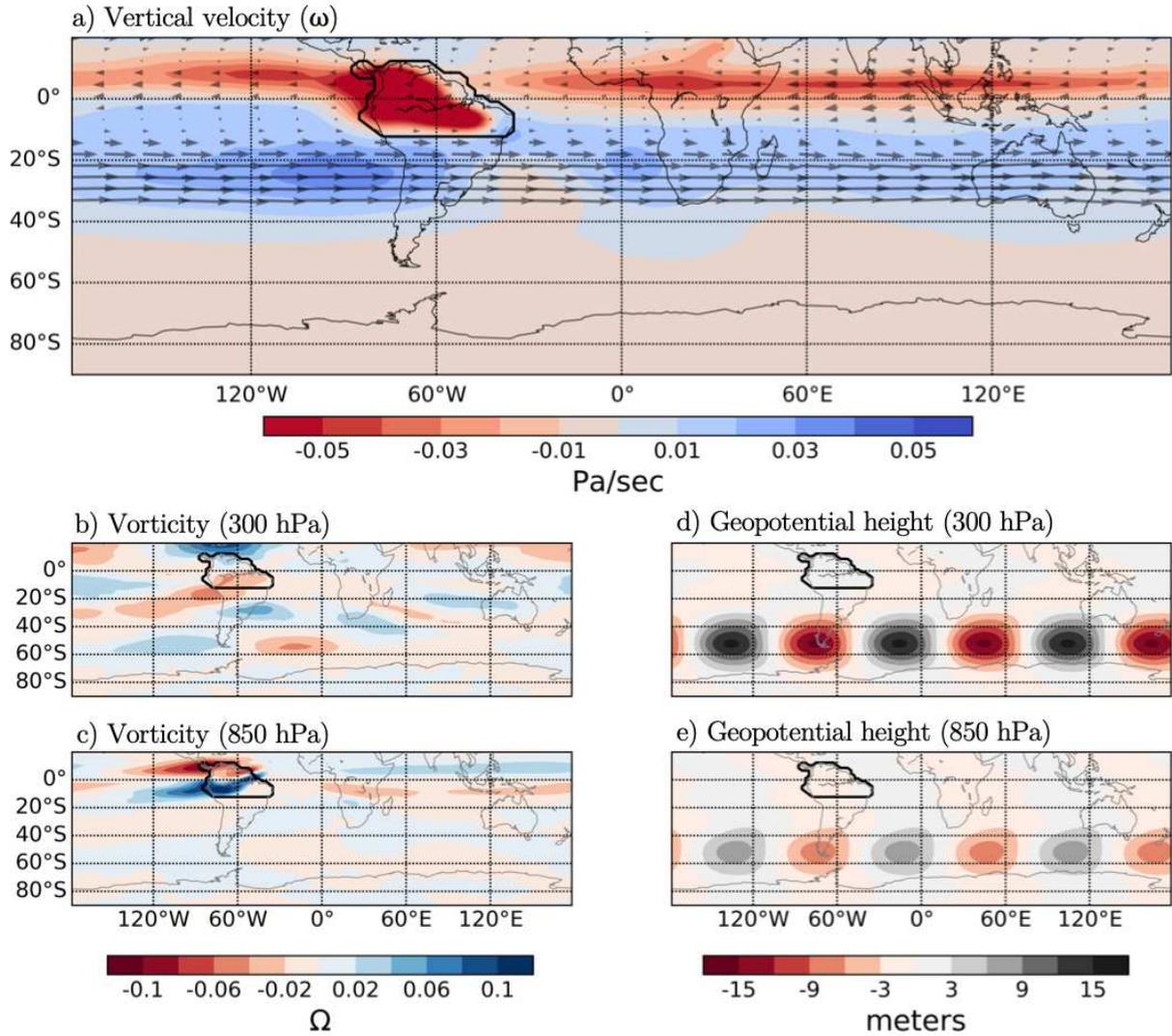
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557 **Figure 3 | Vertical velocity (omega), perturbation vorticity and geopotential height**

558 **(corresponding to zonal wavenumber 3) for the tropical South America simulation.**

559 Shading in Panel a) shows vertical velocity (Pa/s) at 300 hPa and vectors show the zonal

560 wind at 300 hPa between 35°S - 20°N. Panels b) and c) respectively show perturbation

561 vorticity (units are  $\Omega$ , where  $\Omega = 7.29 \times 10^{-5}$  rad/sec, is the rotational rate of earth) at 300 hPa

562 and 850 hPa. Panels d) and e) show the filtered zonal wavenumber 3 component in the

563 geopotential height (in meters) at 300 hPa and 850 hPa respectively.

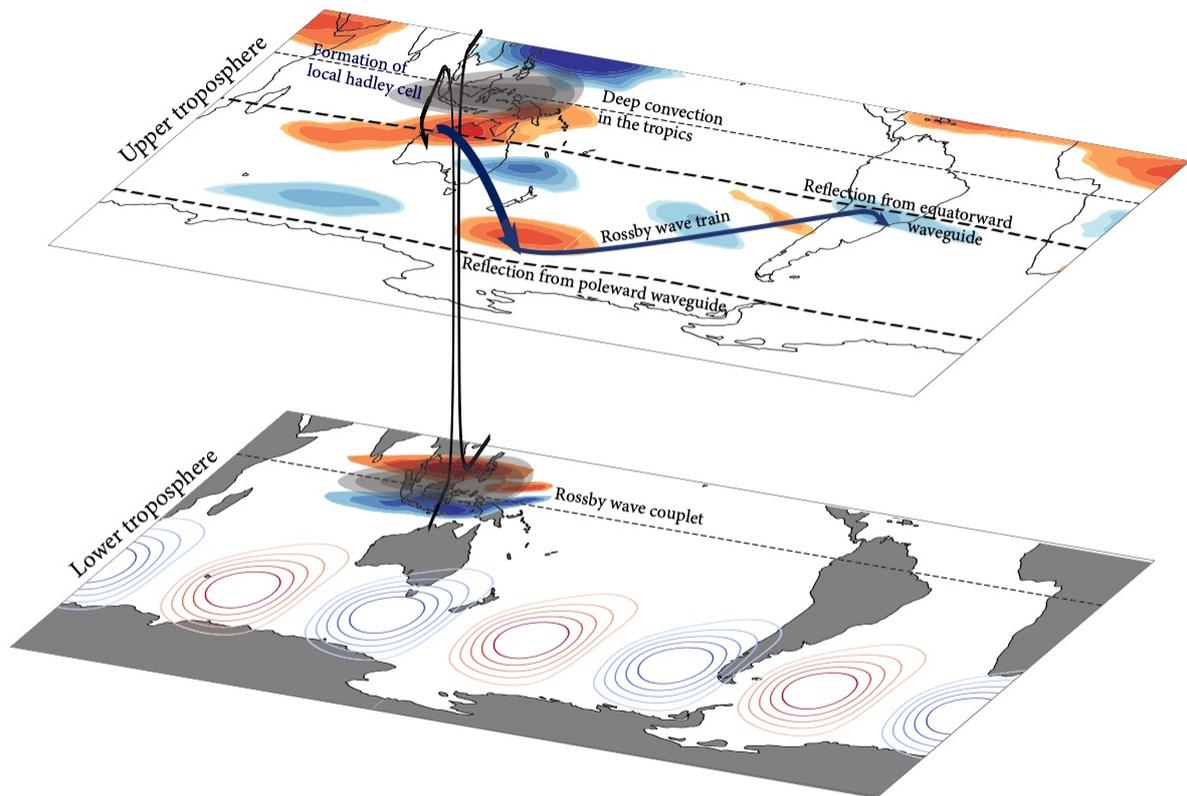
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570 **Figure 4 | Schematic summarizing the role of tropical convection in generating Zonal**  
 571 **Wave 3 in the Southern Hemisphere extratropics.** Grey shading represents outgoing  
 572 longwave radiation (OLR) and the vertical arrows represent deep convection in the tropics.  
 573 Perturbation vorticity is shown by the coloured shading representing a Rossby wave train  
 574 travelling poleward and eastward from the source region before reflecting from the poleward  
 575 and equatorward waveguides. The poleward and equatorward waveguides are represented  
 576 by the thick dashed lines. Contours show the Fourier filtered zonal wave 3 from the 300hPa  
 577 geopotential height field.

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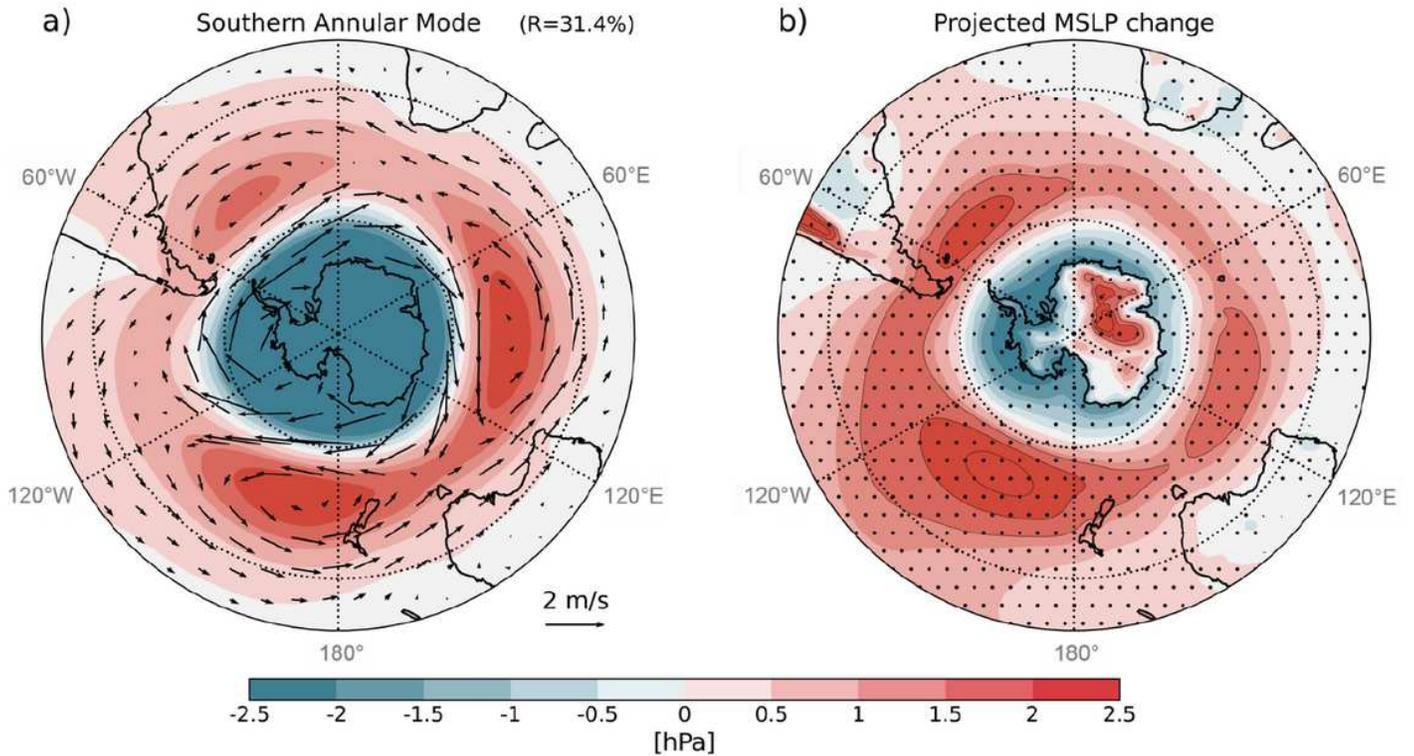
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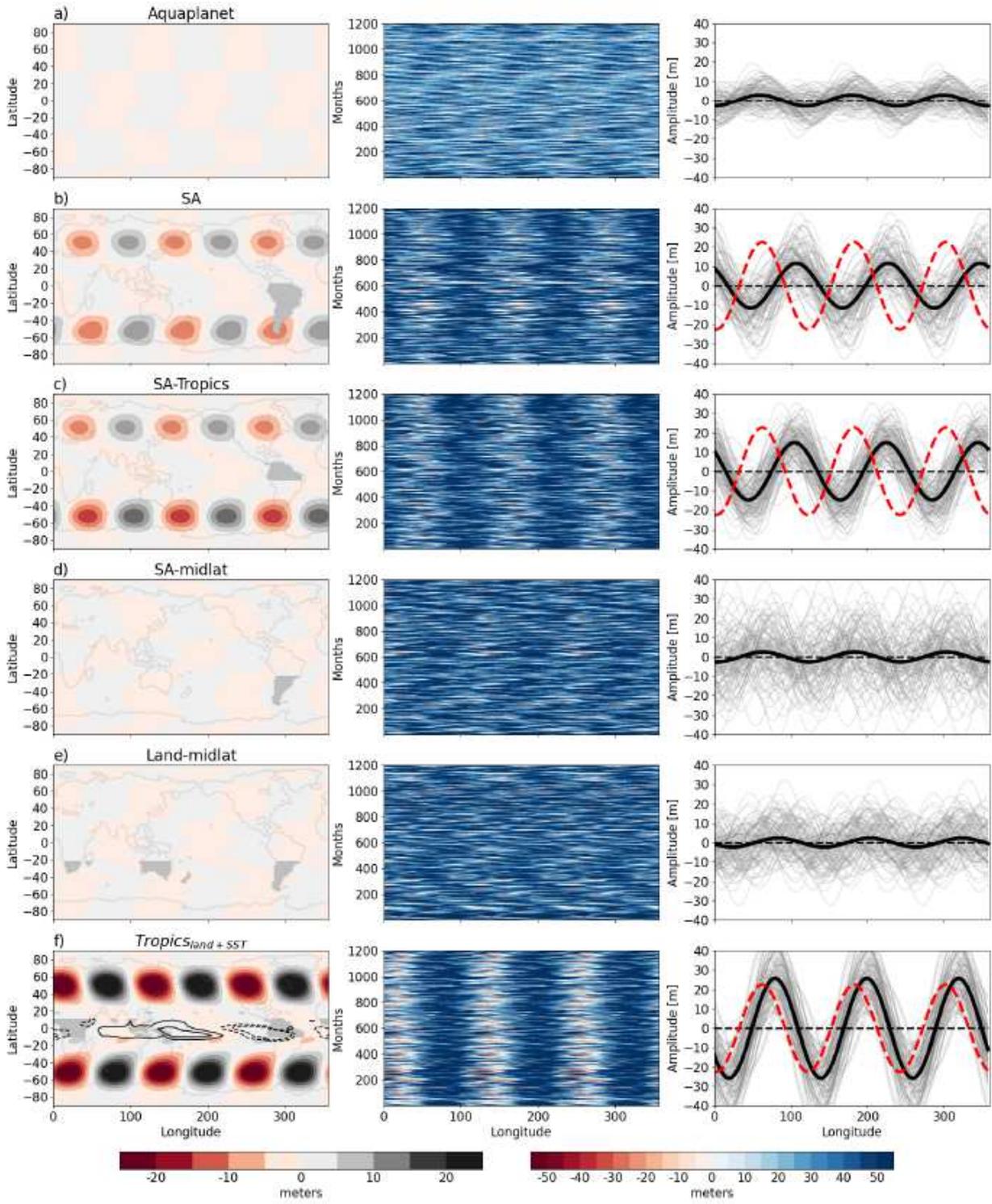
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# Figures



**Figure 1**

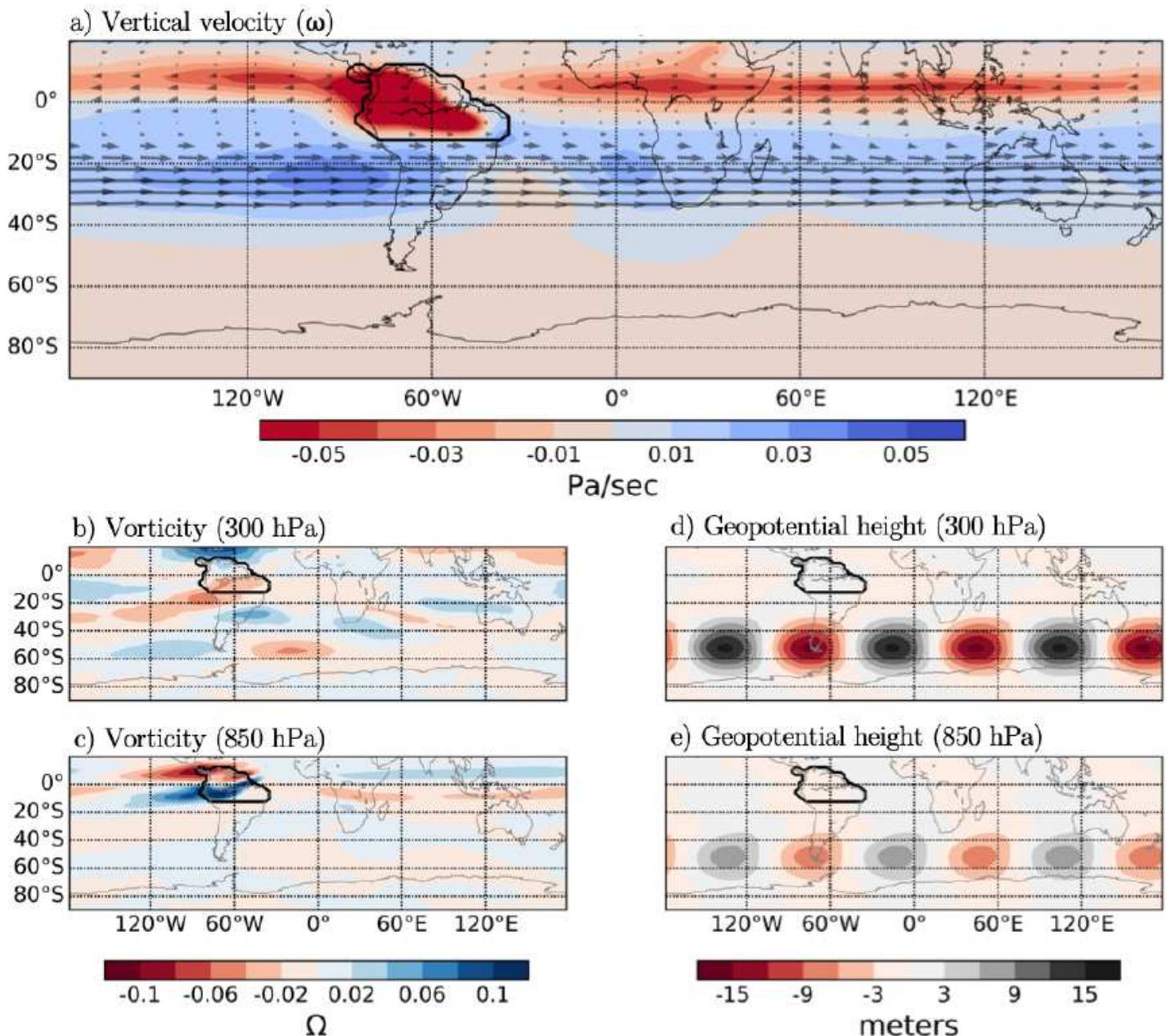
Sea level pressure variability and projected 21st Century change in the Southern Hemisphere extratropics. Shading in panel a) shows Southern Annular Mode (SAM) obtained from the average of 8 ensembles of coupled CESM model runs for the historical time-period from 1900-2005. Vectors represent regression of the SAM index onto surface winds. The SAM is defined here as the leading empirical orthogonal function (EOF) of the mean sea level pressure (MSLP) south of 20°S and the SAM index is then taken as the principal component of the first EOF mode. Panel b) shows the difference between the climatological mean MSLP in the late 21st Century (2050-2100 average) and that in the late 20th Century (1950-2000 average). Stippling in (b) represent regions where differences are significant at the 95% confidence level. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 2**

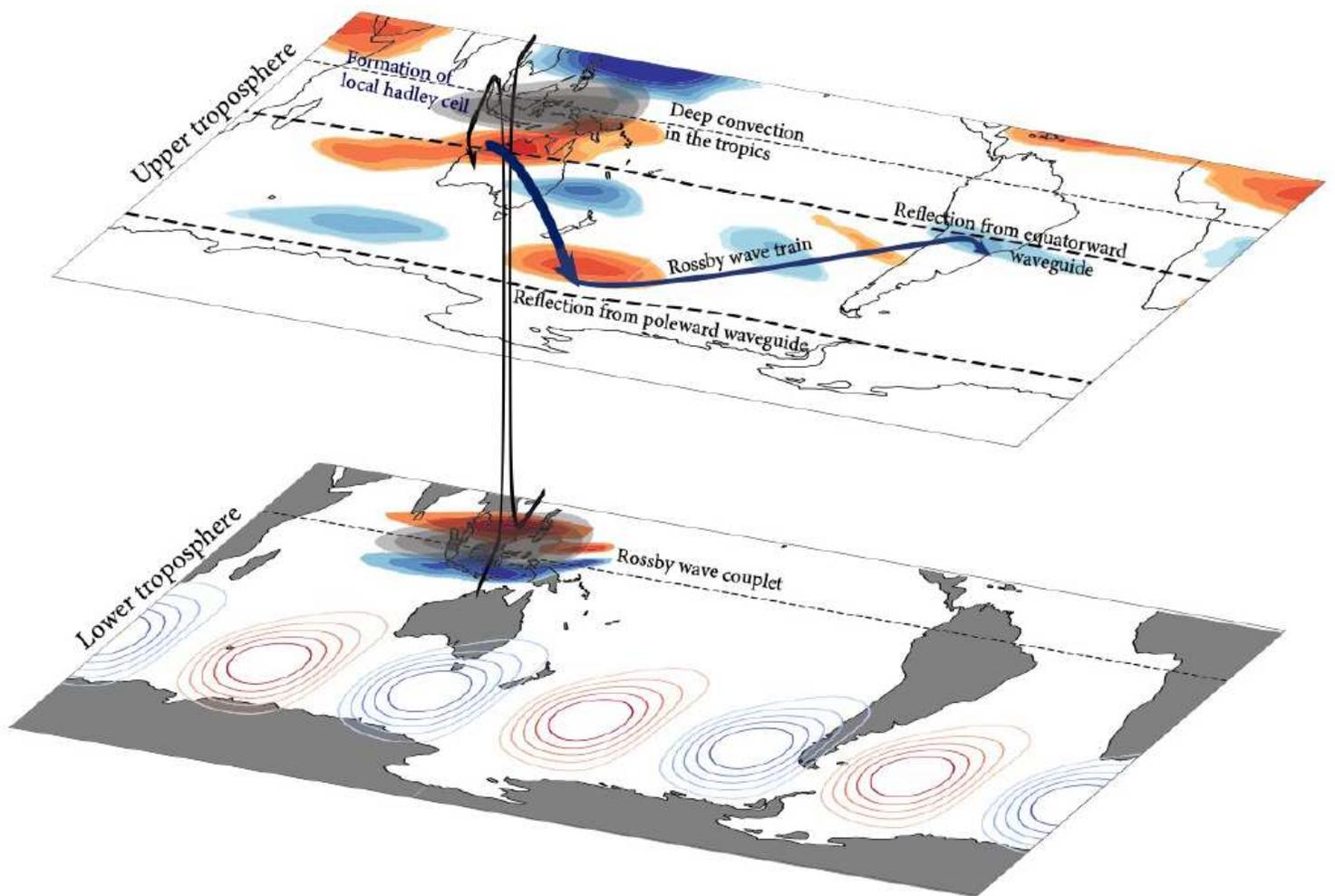
Zonal wave 3 (ZW3) amplitude and phase in model simulations with different land-sea configuration. ZW3 phase and amplitude in a) aquaplanet, b) full South America, c) tropical South America, d) mid-latitude South America, e) land in SH midlatitudes (with orography) simulations and f) realistic tropics (land + SSTs) configuration. Grey shaded map regions in the left column shows the region where the land is present in each model simulation. Black contours in the first column of 2f) shows SST at 1°C intervals

after zonal mean has been removed. Shading in the first column shows the stationary (time mean) ZW3 component filtered from the 300 hPa geopotential height field. The middle column shows longitude-time Hovmöller plots for ZW3 at 55°S for each month for 100 years showing the time evolution of ZW3 phase and amplitude. The right column shows interannual variation in the ZW3 at 55°S with thin grey lines showing annual mean ZW3 for each year of the simulation (100 lines for 100 years), thick black line showing the mean over the entire 100-year period and dashed red line in 2f) shows mean over the entire 100-year period in control simulation. Dashed black line in the right column represents the zero line. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 3**

Vertical velocity ( $\omega$ ), perturbation vorticity and geopotential height (corresponding to zonal wavenumber 3) for the tropical South America simulation. Shading in Panel a) shows vertical velocity (Pa/s) at 300 hPa and vectors show the zonal wind at 300 hPa between 35°S - 20°N. Panels b) and c) respectively show perturbation vorticity (units are  $W$ , where  $W = 7.29 \times 10^{-5}$  rad/sec, is the rotational rate of earth) at 300 hPa and 850 hPa. Panels d) and e) show the filtered zonal wavenumber 3 component in the geopotential height (in meters) at 300 hPa and 850 hPa respectively. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 4**

Schematic summarizing the role of tropical convection in generating Zonal Wave 3 in the Southern Hemisphere extratropics. Grey shading represents outgoing longwave radiation (OLR) and the vertical arrows represent deep convection in the tropics. Perturbation vorticity is shown by the coloured shading representing a Rossby wave train travelling poleward and eastward from the source region before

reflecting from the poleward and equatorward waveguides. The poleward and equatorward waveguides are represented by the thick dashed lines. Contours show the Fourier filtered zonal wave 3 from the 300hPa geopotential height field. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

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