

Emergent constraint on the projected central tropical Pacific warming and northwestern Pacific monsoon trough change

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Article

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1 Emergent constraint on the projected central tropical Pacific warming and
2 northwestern Pacific monsoon trough change

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17
18 **Abstract**

19 The northwestern Pacific monsoon trough (NWPMT) deeply impacts socio-economic development
20 and human security over East Asia by supplying moisture to the summer monsoon rainfall and
21 modulating tropical cyclone activities. However, considerable inter-model spreads in the Coupled
22 Model Inter-comparison Project Phase 6 models make the future projection of the NWPMT less
23 reliable. Here, we find that the inter-model spread of the NWPMT change is significantly correlated
24 with the central equatorial Pacific sea surface temperature change, and mainly determined by the
25 equatorial thermocline sharpness in the historical simulations. According to the emergent constraint
26 method, the central equatorial Pacific SST would warm up about 8% slower than the multi-model
27 mean with 56% uncertainty reduced. Correspondingly, the NWPMT would slacken westward with
28 36% uncertainty reduced. Results here emphasize the importance of examining and reducing
29 systematic model biases in simulating subsurface fields that have been overlooked in past literatures,
30 before achieving more reliable future projections.

31
32 **Keywords**

33 northwestern Pacific monsoon trough, tropical Pacific mean-state change, thermocline sharpness,
34 CMIP6, emergent constraint method.

35 **Introduction**

36 The northwestern Pacific monsoon trough (NWPMT) is associated with convergence of the
37 southwesterly monsoon winds and the prevailing northeasterly trade winds over the northwestern
38 tropical Pacific¹⁻⁴ in boreal summer. The induced weak vertical wind shear and cyclonic vorticity
39 provide a favorable environment for the genesis and development of tropical cyclones (TCs) in
40 boreal summer^{5,6}. Therefore, anomalous shifts in the NWPMT location have great socio-economic
41 impacts on East and Southeast Asia where billions of people live in⁷⁻¹⁰.

42 Although state-of-the-art climate models cannot simulate key features of TCs to investigate the
43 future change of TCs activities directly¹¹⁻¹³, it has been suggested that projected extension
44 (withdrawal) of the NWPMT is a good indicator of more (less) strong TCs over the northwestern
45 Pacific in boreal summer under global warming¹⁰. However, the projected zonal shifts of the
46 NWPMT under global warming remain inconclusive due to considerable inter-model spreads in the
47 projected tropical Pacific sea surface temperature (SST) change in the future¹⁰.

48 The reliability of the tropical Pacific SST change in the latest climate models suffers from
49 systematic biases¹⁴⁻²³. While previous works focused on biases in simulating the climatological
50 SST^{19,20,22-25}, air-sea feedbacks^{19,21,22}, and interactions among tropical oceans^{18,19}, biases in
51 simulating the subsurface ocean of the tropical Pacific have not been examined yet. With respect to
52 the tropical Pacific SST change, the slow response to the anthropogenic forcing in the subsurface
53 may be more important than the fast response in the atmosphere²⁶⁻²⁹, and the biases in the subsurface
54 may thus have more important and straightforward impacts.

55 Here, we suggest for the first time that the inter-model spreads in the projected central
56 equatorial Pacific SST and NWPMT changes are significantly correlated with the simulated
57 equatorial Pacific thermocline sharpness in the Coupled Model Inter-comparison Project Phase 6
58 (CMIP6) models. Based on the emergent constraint method^{20-23,32,33,38}, we show that the central
59 tropical Pacific SST is projected to warm up about 8% slower than the raw projection with about
60 56% reduction in uncertainty. Correspondingly, the NWPMT is projected to slacken westward under
61 global warming with about 36% reduction in uncertainty.

62

63 **Results**

64 The cross-equatorial flows turn into the southwesterly wind over the South China Sea (SCS),
65 and converge with the prevailing easterly winds over the northwestern tropical Pacific in boreal
66 summer (July-October). The induced positive relative vorticity extends southeastward from the SCS
67 to the equatorial Pacific with negative relative vorticity lying on both sides (Fig. 1A). Following
68 previous works^{8,10}, the NWPMT index is defined as the eastern-most longitude of zero-zonal wind
69 contour within positive vorticity region at 850 hPa (Fig. 1A). The spatial pattern of the NWPMT in
70 the CMIP6 multi-model mean (MME) in both the historical simulation³⁰ (Fig. 1B) and the Shared
71 Socioeconomic Pathway (SSP) 245 scenario³¹ (Fig. 1C) are similar to that in the observation.

72 The change of NWPMT index between SSP245 (2059-2098) and historical simulation (1981-
73 2020) is used to represent the zonal shift of NWPMT (see “Methods”). Although the CMIP6 MME
74 suggests that the NWPMT will extend slightly eastward in future, the inter-model spread is quite
75 large. More specifically, half of 22 CMIP6 models project that the NWPMT would retreat westward,
76 while other models project that it would extend further eastward (Fig. 1D).

77 Based on the high-quality observational datasets over the past 40 years, the zonal shift of the
78 NWPMT seems to be not determined by the local SST anomaly, because the negative correlations
79 between precipitation and SST anomaly signify that the SST anomaly is the response, rather than
80 the forcing of the convective anomaly over the northwestern Pacific in boreal summer³⁴ (Fig. S1A).
81 In fact, the interannual and interdecadal shifts of NWPMT are mainly determined by variabilities of
82 the central equatorial Pacific SST (Figs. S1B, C). Consistently, the projected shift of the NWPMT
83 is also significantly correlated with the projected change in the central equatorial Pacific SST (Fig.
84 2A).

85 Interestingly, the spatial pattern of the inter-model correlation coefficients between the
86 projected shift of the NWPMT and the change in the equatorial Pacific SST can be well captured by
87 the leading empirical orthogonal function (EOF) mode of the inter-model spread of the equatorial
88 Pacific SST change; the pattern correlation coefficient reaches 0.92 (Figs. 2A, B). The leading EOF
89 mode accounts for about 45% of inter-model variance among CMIP6 models, and shows the SST
90 warming center over the central equatorial Pacific (Fig. 2B). Moreover, the projected shift of the
91 NWPMT is significantly correlated with the principal component of the leading mode (PC1, Fig.
92 2C). According to the Matsuno-Gill response³⁵, the CMIP6 models that project a faster SST
93 warming over the central equatorial Pacific, by strengthening the westerly winds, positive relative

94 vorticity, and rainfall over the northwestern tropical Pacific (Fig. S2), would thus project a larger
95 eastward shift of the NWPMT (Fig. 2C).

96 To unravel the root cause of the inter-model uncertainty in central equatorial Pacific SST
97 change, correlation coefficients between the PC1 and the vertical potential ocean temperature
98 gradient (i.e., $\frac{dT}{dz}$) in the historical simulations are examined. It is found that both meridionally and
99 zonally averaged correlation coefficients are significantly negative along the thermocline (Figs. 3A,
100 B). More specifically, the CMIP6 models with larger vertical ocean temperature gradient across the
101 thermocline in the historical simulation correspond to a smaller PC1, and would project a slower
102 central equatorial Pacific SST warming in the future. Hereafter, the vertical temperature gradient
103 across the thermocline in each model is measured by the depth between 20°C and 16°C isotherms,
104 and called the thermocline sharpness.

105 According to the emergent constraint method^{32,33,38} (see “Methods”), the thermocline sharpness
106 in the observed datasets can be used to derive a more robust and reliable central equatorial Pacific
107 SST change than that in the original projection in the CMIP6 MME (Fig. 4A). It is found that only
108 4 out of 22 CMIP6 models locate within the range of three observed and assimilated datasets and
109 could thus realistically simulate the thermocline sharpness, while 4 models simulate excessively
110 sharp thermocline and 14 models simulate too diffuse thermocline. The PC1 constrained by the
111 observed thermocline sharpness equals about -1.75, while the raw one projected by the CMIP6
112 MME equals to 0. Accordingly, the projected central equatorial Pacific SST change in boreal
113 summer after emergent constraint would warm slower than that projected by the MME (Fig. S3A).

114 The physical mechanism underpinning the emergent constraint method may be explained as
115 follows: The CMIP6 models with sharper thermocline have larger vertical temperature gradient
116 across the thermocline, and thus could cool the SST more efficiently by the thermocline feedback³⁶
117 (Fig. 3). Compared with the CMIP6 models with more diffuse thermocline, the SST warming due
118 to the anthropogenic forcing over the central-eastern equatorial Pacific would be greatly damped in
119 the CMIP6 models with realistic thermocline sharpness. The induced faster SST warming over the
120 western equatorial Pacific than that over the central-eastern equatorial Pacific would further benefit
121 the slower SST warming over the central-eastern equatorial Pacific according to the Bjerknes
122 feedback³⁷ (Fig. 4A).

123 In addition, the uncertainty in PC1 of equatorial Pacific SST change can also be greatly reduced
124 after emergent constraint^{32,38}. The probability density function of standardized PC1 can be assumed
125 to have a Gaussian distribution with a mean of zero and a standard deviation of 1 (Fig. 5A). Since
126 the inter-model correlation coefficient between the projected PC1 and the simulated thermocline
127 sharpness reaches 0.75 (Fig. 4A), the variance explained by the PC1 is about 56% of the total
128 variance. The residual variance of the PC1 is 44% of the total variance, and the standardized PC1
129 after emergent constraint still has a Gaussian distribution but with a mean of -0.35 and a standard
130 deviation of 0.66 (Fig. 5A). Thus, the equatorial Pacific SST is projected to warm up about 8%
131 slower than the MME, and its uncertainty is reduced by 56% after constraining the thermocline
132 sharpness along the equatorial Pacific.

133 The more diffuse thermocline simulated in the CMIP6 MME would also exaggerate the
134 projected expansion of the NWPMT under global warming (Fig. 4B). More specifically, the CMIP6
135 models with more diffuse thermocline would project faster SST warming over the central equatorial
136 Pacific, and further result in stronger westerly winds and thus stronger positive vorticity over the
137 NWPMT region. Correspondingly, the NWPMT would expand more to the east under global
138 warming. On the other hand, the projected NWPMT in all of the 4 models with realistic thermocline
139 sharpness will slacken westward in the future (Fig. 5B). As a result, the NWPMT after emergent
140 constraint would retreat westward in the future, in contrast with the slight eastward expansion of the
141 NWPMT projected by the CMIP6 MME (Fig. S3B). Moreover, the uncertainty in the projected shift
142 of the NWPMT is reduced by 36%, because the inter-model correlation coefficient between the
143 NWPMT change and thermocline sharpness is 0.60 (Fig. 5B).

144

145 **Summary and discussion**

146 Changes in the zonal location of the NWPMT have great socio-economic impacts on East Asia.
147 However, without a reliable future projection of the NWPMT change, no proper measures for
148 disaster prevention and mitigation can be undertaken. Our results here suggest that the SST over the
149 central equatorial Pacific would warm up slower than the CMIP6 MME projection with about 56%
150 uncertainty reduced, and the NWPMT would slacken westward with about 36% uncertainty reduced
151 by constraining thermocline sharpness along the equatorial Pacific. Considering the anti-phase shift

152 of NWPMT and northwestern Pacific Subtropical high (NWPSH), our results here are in agreement
153 with the previous work that projected the future strengthening of the NWPSH³².

154 Although the mechanism underpinning the emergent constraint method is straightforward and
155 easy to understand, the cause of the systematic bias in simulating the thermocline sharpness^{39,40}
156 requires further investigations.

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249

250 **Data availability**

251 ERA5 SST: [https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels-](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels-monthly-means?tab=form)
252 [monthly-means?tab=form](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels-monthly-means?tab=form);

253 EN4.2.1: <https://www.metoffice.gov.uk/hadobs/en4/download-en4-2-1.html>;

254 EN4.2.2: <https://www.metoffice.gov.uk/hadobs/en4/download-en4-2-2.html>;

255 GODAS: <https://www.psl.noaa.gov/data/gridded/data.godas.html>;

256 CMIP6: <https://esgf-node.llnl.gov/search/cmip6/>.

257

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264 the supercomputing system in the Supercomputing Center of Nanjing University of
265 Information Science and Technology.

266

267 **Author contributions**

268 T.T. (1st author) and L.Q conceived the central idea of the study. T.T. (1st author)
269 performed all analyses, prepared the figures, and wrote the paper. All authors
270 contributed to interpreting results, discussion of associated dynamics, and writing.

271

272 **Competing financial interests**

273 The authors declare no competing financial interests.

274 **Methods**

275 **Models and datasets.**

276 The monthly SST (tos), precipitation (pr), multiple-level zonal (ua) and meridional wind (va), and
277 ocean water potential temperature (thetao) in the 22 CMIP6 models (see Fig. 2) are used here. In this
278 research, seasonal-mean during boreal summer (i.e., July-October) are analyzed. A 40-year period from
279 1981 to 2020 is used for the historical simulation. Since the historical runs mostly end in 2014, outputs
280 from 2015 to 2020 are from the Shared Socioeconomic Pathway (SSP) 245 scenario. On the other hand,
281 outputs from the last 40-year (2059-2098) of the SSP 245 scenario are used for the future projections.
282 The MME mean is defined as the equal weight average of the 22 models. Only one ensemble (i.e.,
283 r1i1p1f1) of each CMIP6 model is used in this research.

284 The observational SST and precipitation in the ERA5 datasets⁴¹ are used. The subsurface
285 temperature for ocean includes the EN4.2.1⁴², EN4.2.2⁴³ from the Hadley Centre and the ocean data
286 assimilation product (i.e., GODAS)⁴⁴ from the National Centers for Environmental Prediction (NCEP).
287 The mean of three ocean water potential temperature datasets is used in the emergent constraint method
288 to yield the optimal constraint. The same 40-year period from 1981 to 2020 are used as the model
289 baselines. All model outputs and reanalysis datasets are interpolated to the common 1°x1° grid.

290

291 **Definitions of SST, precipitation, relative vorticity, horizontal wind speed at 850hPa and the**
292 **NWPMT change in the future projections.**

293 The change of each variable mentioned above is computed by taking the difference between the
294 future projections (2059-2098) and historical simulations (1981-2020). Additionally, the change of each
295 variable is normalized by the globally averaged SST change in each model to account for the different
296 sensitivity of each model to global warming.

297

298 **Inter-model EOF analysis.**

299 The leading modes of the inter-model SST change over the equatorial Pacific (10°S-10°N, 120°E-
300 60°W) are obtained by the conventional EOF method, applied to model-spatial dimensions:

301
$$\Delta SST'(m, s) \cong \sum_{i=1}^n (PC_{i,m} \times EOF_{i,s}).$$

302 Here, $\Delta SST'$ denotes SST change, m denotes the model number, s represents the spatial grid, and n
303 denotes the mode number. Prime means the deviation from the multi-model mean.

304

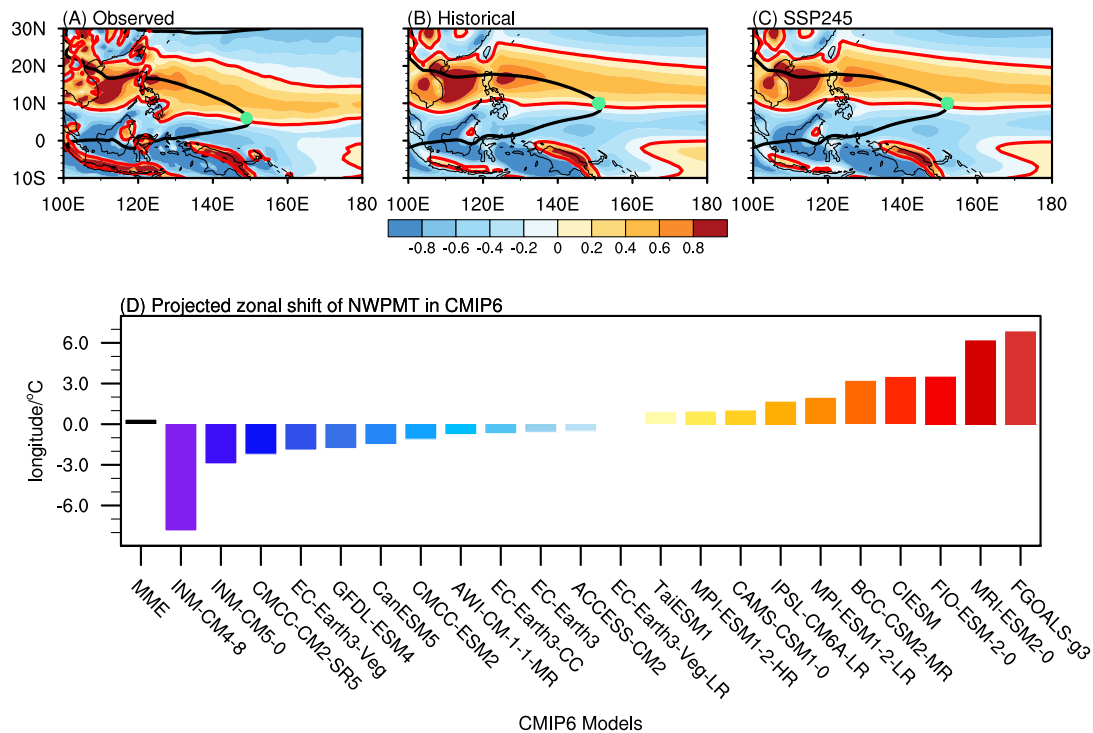
305 **Emergent constraint method.**

306 The emergent constraint method has been widely used to reduce uncertainty in future
307 projections^{32,33,38}. By establishing a physical linkage between the target future projection Y and the
308 historical simulation X , the observational constraint method can be described as follows:

309
$$Y = aX + b.$$

310 Here, a is the regression coefficient, and b is the intercept. In this study, Y is the PC1 of the
311 equatorial Pacific SST change among the CMIP6 models, or the NWPMT index change, and X is
312 the thermocline sharpness in the historical simulations.

313 According to this relationship between the historical simulations and the future projections, we
314 can obtain optimal constraint results of the PC1 and the NWPMT index change in the future.



315

316 **Figure 1. Geographical location of the northwestern Pacific monsoon trough (NWPMT) and**

317 **its projected shift in the Coupled Model Intercomparison Project Phase 6 (CMIP6) future**

318 **projections. (A), (B), (C) Climatological locations of the NWPMT (green dot) in the observed**

319 **datasets (1981-2020), and the multi-model mean of the historical simulations (1981-2020) and**

320 **future projections (2059-2098) in boreal summer, respectively. The color shading denotes the**

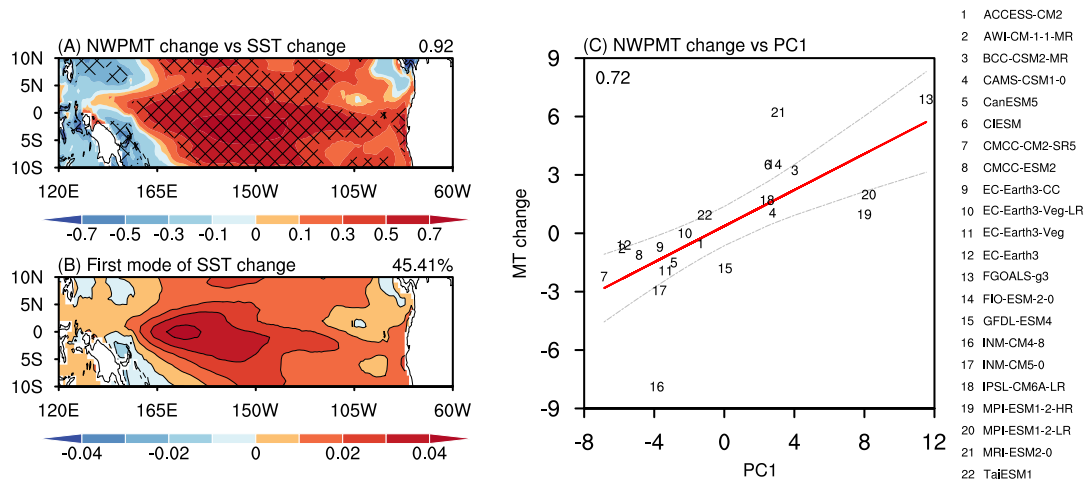
321 **relative vorticity (10^{-5} s^{-1}). The black and red solid curves denote the zero-zonal wind contour (m s^{-1})**

322 **and the zero relative vorticity contour, respectively. (D) Rank of the NWPMT index change**

323 **(longitude $^{\circ}\text{C}^{-1}$) in the CMIP6 future projections. The black bar represents the multi-model mean.**

324 **Note that the zonal shift of NWPMT has been normalized by the corresponding globally averaged**

325 **SST change in each model to account for different sensitivity of each model to global warming.**



326

327 **Figure 2. Correlation between the projected NWPMT and the central equatorial Pacific sea**

328 **surface temperature (SST) change. (A) Inter-model correlation coefficients between the NWPMT**

329 **change and the tropical Pacific SST change in CMIP6. Hatching indicates correlation coefficients**

330 **significant at the 95% confidence level with a Student t-test. (B) The first empirical orthogonal**

331 **function (EOF) mode of equatorial Pacific SST change among CMIP6 models. The spatial pattern**

332 **correlation between panel (A) and panel (B) is indicated in the upper right of panel (A), and the**

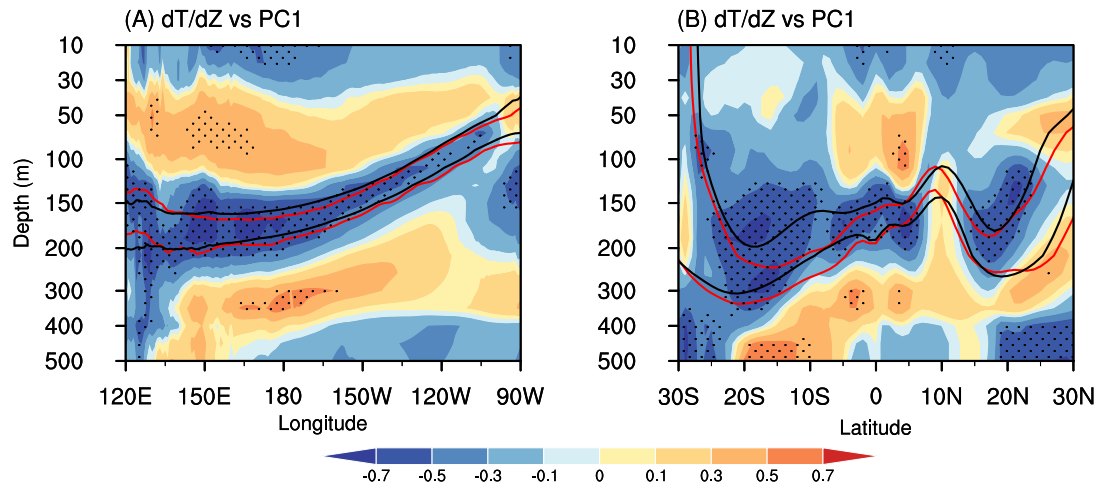
333 **variance contribution of the leading mode is indicated in the upper right of panel (B). (C) Scatter**

334 **plot constructed by the principal component of the leading mode (PC1, X axis), and NWPMT**

335 **change (Y axis) in each CMIP6 model. The red solid line denotes the regression line, while the gray**

336 **dashed lines represent the 95% confidence range of the linear regression. Their correlation**

337 **coefficient is indicated in the upper left.**



338

339 **Figure 3. Correlation coefficients between PC1 and vertical ocean temperature gradient. (A)**

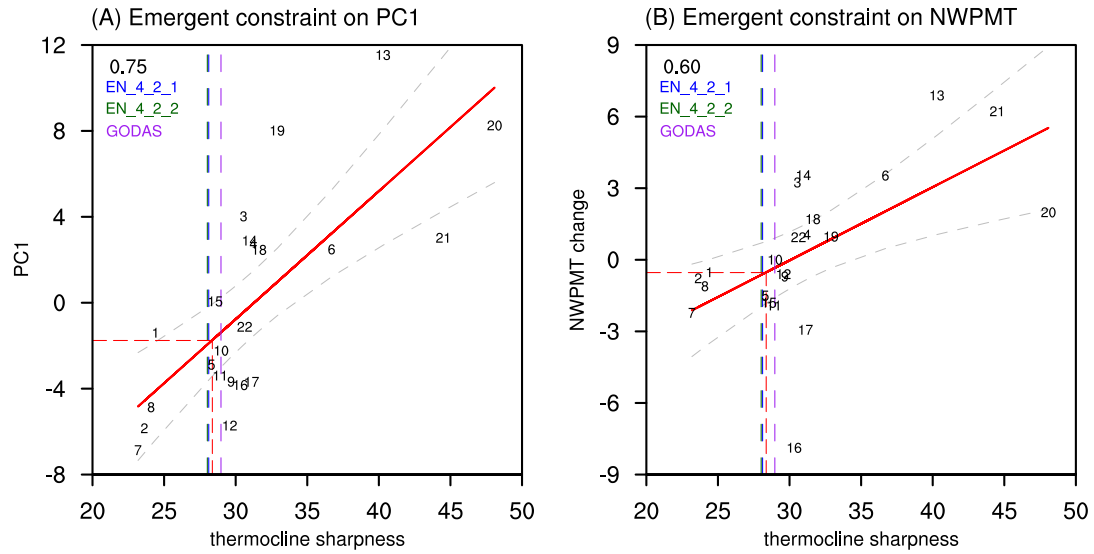
340 Zonal cross section of correlation coefficients averaged along the equatorial (5°S-5°N) Pacific. (B)

341 As in (A), but for the meridional cross section averaged over 150°E-150°W. Stippling indicates

342 correlation coefficients significant at the 95% confidence level with a Student t-test. The red and

343 black solid curves denote the 20°C and 16°C isotherms in the mean of the observed datasets and the

344 multi-model mean of historical simulations in the CMIP6, respectively.



345

346 **Figure 4. Inter-model relationship between simulated thermocline sharpness and future**

347 **projections. (A)** Inter-model correlation between thermocline sharpness (m) that is measured by

348 the difference between the depth of 20°C and 16°C isotherms over the central equatorial Pacific

349 (5°S-5°N, 150°E-150°W) and PC1. The red solid line denotes the linear regression line, while the

350 gray dashed lines represent the 95% confidence range of the linear regression. The blue, green and

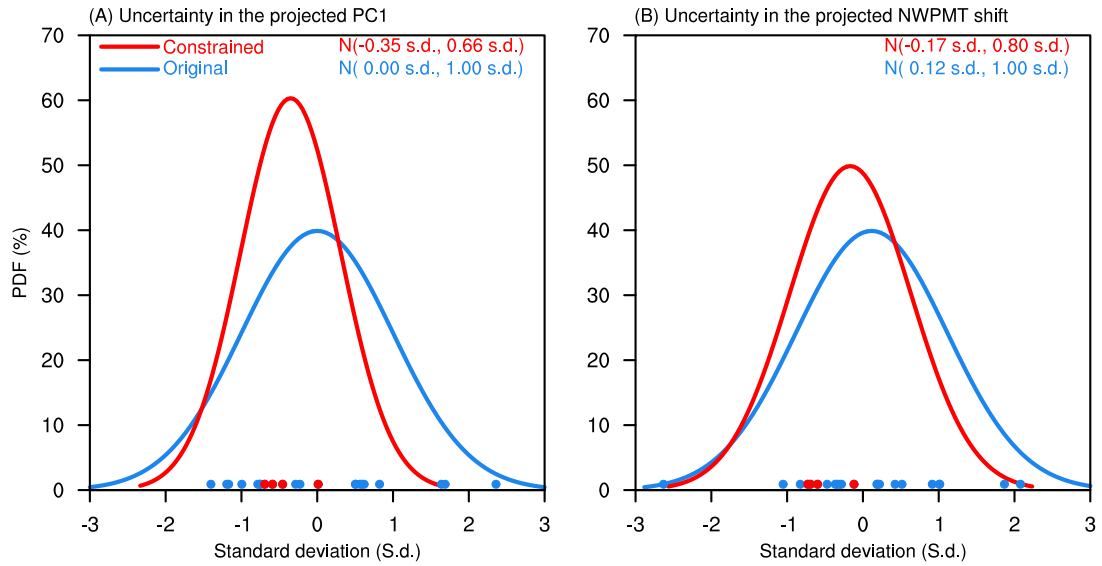
351 purple vertical dashed lines denote the thermocline sharpness in the EN4.2.1, EN4.2.2 and GODAS,

352 respectively. The red dashed vertical line denotes the mean of three observed datasets, and the

353 induced optimal projection according to emergent constraint is represented by the red horizontal

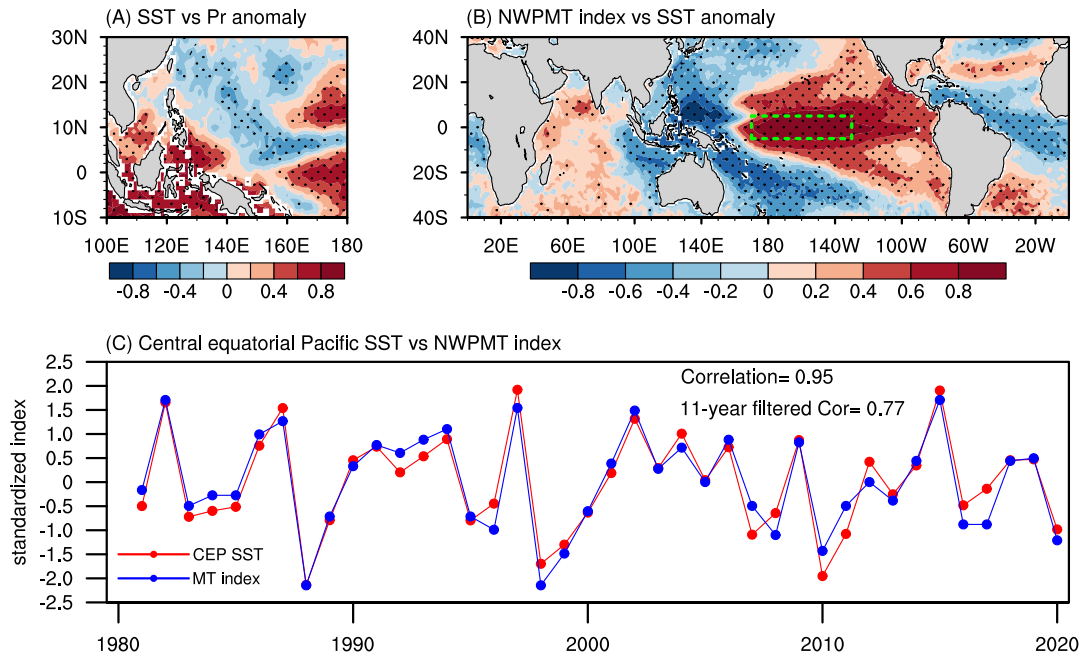
354 dashed line. (B) As in (A), but for thermocline sharpness and the projected NWPMT shift. The inter-

355 model correlation coefficient is indicated in the upper left of each panel.



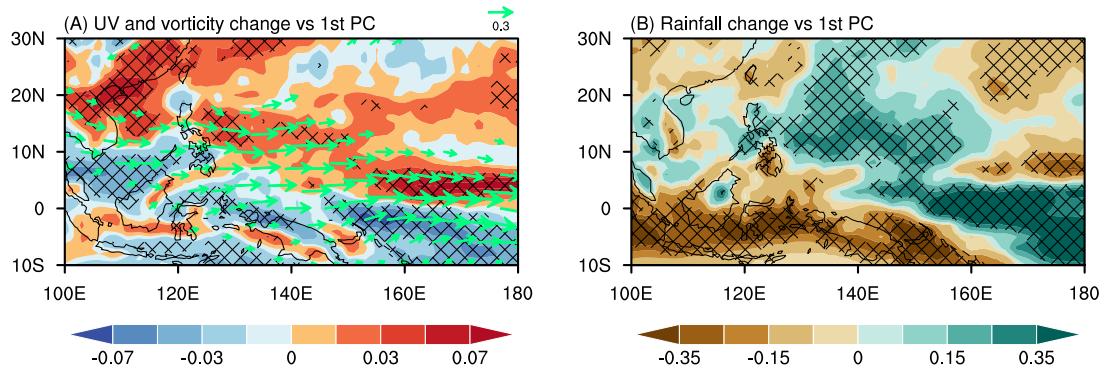
356

357 **Figure 5. Probability density function of original and constrained future projections.** (A)
 358 Probability density function (PDF) of the standardized principal components (PC1) of the leading
 359 mode of the equatorial Pacific SST change differences among CMIP6 models are generated under
 360 Gaussian assumption. The red (blue) curve denotes the PDF distribution of the original (constrained)
 361 PC1. The values in parentheses at the top are mean and standard deviation of the Gaussian
 362 distribution. The red and blue dots at the bottom represent four CMIP6 models with realistic
 363 thermocline sharpness and other CMIP6 models, respectively. (B) As in (A), but for the PDF
 364 distributions of the standardized NWPMT index change in CMIP6 models.



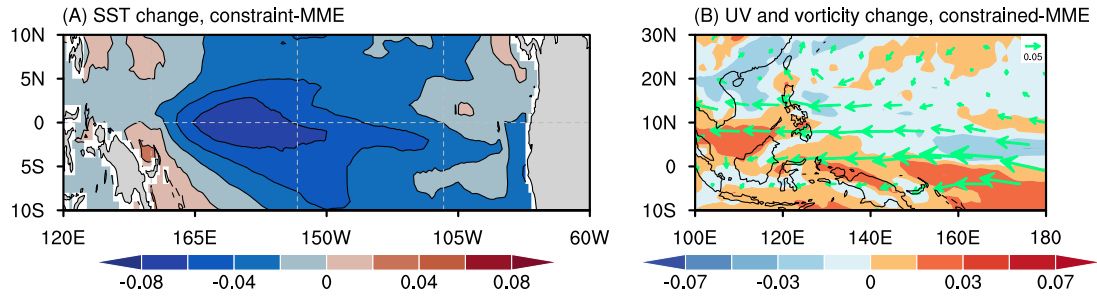
365

366 **Supplementary Figure 1. Relationship between NWPMT shift and central equatorial Pacific**
 367 **SST variabilities in the observational datasets.** (A) Point-wise linear correlation coefficients
 368 between precipitation and SST anomalies in boreal summer (July-October). (B) Linear correlation
 369 coefficients between annual NWPMT index and SST anomalies averaged over boreal summer.
 370 Stippling indicates the correlation coefficients significant at the 95% confidence level with a Student
 371 t-test. (C) Annual timeseries of the standardized NWPMT index (blue) and SST anomalies averaged
 372 over the central equatorial Pacific (5°S-5°N, 170°E-130°W, green dashed box in panel (B), red).
 373 Correlation coefficients are indicated in the upper right.



374

375 **Supplementary Figure 2. Projected change of atmospheric circulations over the northwestern**
 376 **Pacific due to per inter-model standard deviation of the PC1. (A) Relative vorticity ($10^{-5} \text{ s}^{-1} \text{ }^{\circ}\text{C}^{-1}$)**
 377 **1) and horizontal wind changes ($\text{m s}^{-1} \text{ }^{\circ}\text{C}^{-1}$) at 850 hPa due to per inter-model standard deviation of**
 378 **the PC1. Hatching (green vector) indicates the regression coefficients between the PC1 and relative**
 379 **vorticity (horizontal wind) change significant at the 95% confidence level with a Student t-test. (B)**
 380 **As in (A), but for the precipitation change ($\text{mm day}^{-1} \text{ }^{\circ}\text{C}^{-1}$).**



381

382 **Supplementary Figure 3. Difference of projected SST, 850 hPa horizontal wind and relative**

383 **vorticity change between the constrained projections and the multi-model ensemble mean**

384 **(MME). (A) Difference of SST change ($^{\circ}\text{C } ^{\circ}\text{C}^{-1}$) between the projections after constraining and**

385 **CMIP6 MME. (B) Differences of horizontal wind change ($\text{m s}^{-1} ^{\circ}\text{C}^{-1}$, green vector) and relative**

386 **vorticity change ($10^{-5} \text{ s}^{-1} ^{\circ}\text{C}^{-1}$, color shading) due to the differences of SST change.**