

2 pigments in tea leaves based on Raman spectroscopy 3 and calibration model transfer

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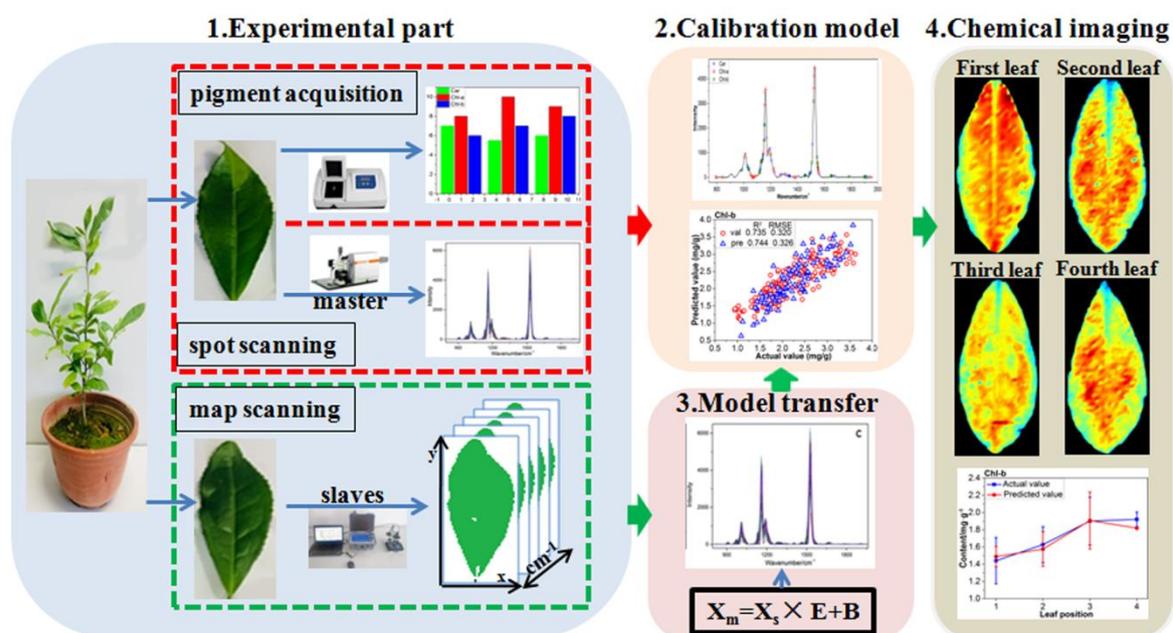
10 Abstract

11 **Background:** Photosynthetic pigments participating in the absorption, transformation and transfer
12 of light energy play a very important role in plant growth. While, the spatial distribution of foliar
13 pigments is an important indicator of environmental stress, such as pests, diseases and heavy metal
14 stress.

15 **Results:** In this paper, in situ quantitative visualization of chlorophyll and carotenoid was realized
16 by combining the Raman spectroscopy with calibration model transfer, and a laboratory Raman
17 spectral model was successfully extended to a portable field spectral measurement. Firstly, a
18 nondestructive and fast model for determination of chlorophyll and carotenoid in tea leaf was
19 established based on confocal micro-Raman spectrometer in the laboratory. Then the spectral
20 model was extended to a real-time foliar map scanning spectra of a field portable Raman
21 spectrometer through calibration model transfer, and the spectral variation between the confocal
22 micro-Raman spectrometer in the laboratory and the portable Raman spectrometer were effectively
23 corrected by the direct standardization (DS) algorithm. The portable map scanning Raman spectra
24 of the tea leaves after the model transfer were got into the established quantitative determination

25 model to predict the concentration of photosynthetic pigments at each pixel of the tea leaves. The
 26 predicted photosynthetic pigments concentration of each pixel was imaged to illustrate the
 27 distribution map of foliar pigments. Statistical analysis showed that the predicted pigment contents
 28 were highly correlated with the real contents.

29 **Conclusions:** It can be concluded that the Raman spectroscopy was applicable for in situ,
 30 non-destructive and rapid quantitative detecting and imaging of photosynthetic pigment
 31 concentration in tea leaves, and the spectral detection model established based on the laboratory
 32 Raman spectrometer can be applied to a portable field spectrometer for quantitatively imaging of
 33 the foliar pigments.



34 **Keywords:** photosynthetic pigments, concentration distribution imaging, feature extraction, model
 35 evaluation, quantitative analysis, Raman spectroscopy

36 Background

37 Tea is one of the world's three major beverages. The world's tea production exceeds 4 million
 38 tons per year and more than 2 billion people consume tea [1]. Tea, which contains high levels of
 39 antioxidants and can prevent many diseases including cardiovascular and cancer, has received more
 40 and more attention from people [2]. Photosynthesis is the determinant of productivity and the basis
 41 of plant growth and development, and an important source of carbon in plants. The photosynthesis

42 of tea leaves is closely related to the quality and yield of tea. Photosynthetic pigments including
43 chlorophyll a (Chl-a), chlorophyll b (Chl-b), and carotenoids (Car) play a very important role in
44 plant growth. Furthermore, the spatial distribution of foliar pigments is an important indicator of
45 environmental stress, such as pests, diseases and heavy metal stress [3, 4]. In addition, the color and
46 lustre of tea plays an important role in the consumption and production of tea, and the pigment
47 concentration of tea is also an important factor affecting the color of tea. Therefore, developing a
48 method for nondestructive detection and quantitative visualization of foliar photosynthetic pigment
49 content is an important task for plant protection, cultivation and tea processing [5].

50 Most of the existing imaging studies on photosynthetic pigments concentration are based on
51 visible-near infrared hyperspectral imaging technology. Previous studies have investigated crops
52 such as cucumber leaf [6, 7], spinach [8], pepper leaf [9], tomato [10] and so on, and have achieved
53 good results. Zhao et al. [11] used visible-near infrared hyperspectral technology to extract the
54 corresponding feature parameters and built models based on 7 algorithms. The chlorophyll
55 concentration was predicted by the models and the distribution map of chlorophyll concentration
56 was drawn. Raman spectroscopy is a non-destructive analytical technique, which is based on the
57 scattering interaction between light and chemical bond in materials. It can provide detailed
58 information of chemical structure, phase and morphology, crystallinity and molecular interaction of
59 samples. Compared to visible-near infrared hyper-spectrum, Raman spectroscopy has the
60 advantages of high resolution, which can provide more spatial information for pest and heavy metal
61 detection, and help to further study the mechanism of pests and heavy metal stress.

62 There are a lot of studies on the use of Raman spectroscopy for chemical imaging, but few
63 studies have been done on the applicability of Raman quantitative detection model. Schulz et al. [12]
64 used a NIR-FT-Raman spectrometer to detect carotenoid in various fruits and vegetables such as
65 carrot, tomato, and nectarine, and found Raman spectral fingerprint peaks of carotenoid in plants.
66 The characteristic peaks were used to image the carotenoid distribution inside the plants. Qin et al.
67 [13] developed a bench-top point-scan Raman chemical imaging system to detect and visualize the

68 internal distribution of lycopene in postharvest tomato, and established a Raman chemical image to
69 visualize the spatial distribution of lycopene at different stages of maturity. Yang et al. [14] used a
70 custom row-scan Raman hyperspectral imaging system to detect and display the main chemical
71 components of maize seeds. The characteristic peaks associated with corn starch, mixture of oil and
72 starch, zeaxanthin, lignin and oil were found. Each single band image corresponding to the
73 characteristic band successfully represents the spatial distribution of chemical components in seeds.

74 In addition to the above qualitative analysis, Raman spectroscopy also has many applications in
75 quantitative detection. Baranska et al. [15] detected lycopene and carotene in tomato and its
76 products by FT Raman spectroscopy, and its modeling effect was better than that of near infrared
77 spectrum but slightly lower than that of infrared spectrum. Bhosale et al. [16] and Dane et al. [17]
78 detected the carotenoid concentration in kinds of fruits and vegetables (such as tomato, carrot,
79 mango, etc.) using Raman spectroscopy. The result showed that there was a high correlation
80 between the Raman spectrum signal intensity and the carotenoid concentration, and the correlation
81 coefficient (R) was up to 0.9618. The above researches shows that Raman spectroscopy has great
82 potential for quantitative detection of pigments, but the applicability of the quantitative model of
83 Raman spectroscopy, especially the field applicability of the laboratory model, has not been studied
84 so far.

85 In practical applications of spectral determination model, it is often encountered that a
86 multivariate calibration model developed based on one instrument (Master) cannot be used on
87 another instrument (Slave) of the same type as the Master. Or there will be big biases in the
88 prediction result. The poor adaptability of this spectral model greatly limits the application prospect
89 of spectral detection technology, and the spectral models that took a lot of time and money to build
90 in the laboratories are difficult to be used in field or production practice. A strategy of model transfer
91 has been frequently adopted to solve this problem. The essence of model transfer is to overcome the
92 inconsistency between the measured signals (or spectra) of samples on different instruments [18].
93 Confocal micro-Raman spectrometer has high resolution and precision, and can capture more useful

94 spatial information, but this instrument is too expensive and heavy to be used in field or in vivo
95 plant detection. Portable Raman spectrometer is compact and convenient, and can be used for in
96 vivo or field detection, but it has the disadvantage of low resolution. Linking the two through model
97 transfer not only can be applied in actual production, but also make the model more accurate. Ji et al.
98 [19] used a direct standardization (DS) model transfer algorithm to remove the environmental
99 factors from the field spectrum so as to effectively estimate the soil properties. Wang et al. [20]
100 proposed a model transfer algorithm based on genetic algorithm, which makes the partial least
101 squares model of aviation fuel density successfully transferred from one instrument to another, and
102 the accuracy of model prediction result is close to the calibration model and higher than the DS
103 model. Good results have been obtained in the previous literatures, but the effect of model transfer
104 method on the variation correction of Raman spectrometers has not been reported. Here we are
105 committed to building a high-precision Raman spectral pigment measurement model based on
106 high-performance instrument in the laboratory, and study the application of this spectral model to
107 the portable field measurement equipment.

108 In order to solve the above problems, this paper aims to study the Raman spectral
109 characteristics of tea leaf and establish a in situ quantitative analysis model between the
110 concentration of photosynthetic pigment and its confocal micro-Raman spectra in tea leaf. On this
111 basis, the portable Raman mapping data after model transfer were brought into the established
112 model, the concentration of each pixel was predicted, and the chemical imaging was carried out to
113 obtain the distribution map of the concentration of photosynthetic pigment in tea leaf at different
114 position.

115 **Materials and Methods**

116 *Materials and instruments*

117 Material preparation

118 The tea variety is longjing 43 (*Camelliasinensis(L.) O.Kuntze*). In the summer of 2012, longjing 43
119 seedlings were obtained from the tea garden in Fuyang, Hangzhou, China. Tea seedlings were
120 planted in pots in the agricultural internet of things exhibition center of college of biosystems
121 engineering and food science, Zhejiang University, receiving natural light and artificial watering.

122 Material 1: in total of 315 leaves including the first four leaves in shoot (as shown in Fig. s1)
123 were plucked for Raman spectral collection, then all the leaves were stored in the refrigerator within
124 4 °C immediately. Following, approximately 0.1 g of weighed leaf, excluding central vein was
125 taken for reference measurement of concentration of chlorophyll and carotenoid according to the
126 Reference [8] based on the ultraviolet spectrophotometer with the unit $\text{mg}\cdot\text{g}^{-1}$. In detail, 10 mL
127 pigment extraction solution (95% alcohol solution) was added to the cut and ground sample, and
128 stored in a dark room for about 24 h.

129 Material 2: in total of 16 leaves including 4 leaves in each position (as shown in Fig. s1) were
130 collected for different four tea plants. And the photosynthetic pigment concentration of the 16 leaves
131 was measured in the same method as in the previous section.

132 Instrument

133 Master spot scanning: a laser confocal micro-Raman spectrometer (Renishaw, United
134 Kingdom/Via-Reflex 532/XYZ) was used for collecting Raman spectra of the Material 1. Specific
135 parameters were as follow: the excitation wavelength is 532 nm; the spectral collection range is
136 579-3062 Raman shift/ cm^{-1} with a spectral resolution of 0.2 nm, the laser intensity is 50 mW, the
137 exposure time is 1 s, and the objective lens multiple is 5. Each sample was collected at three points
138 from top to base, and the average spectrum was used as the representative Raman spectrum of the
139 sample. So, a total of 315 spectra were obtained.

140 Slave map scanning: a portable Raman spectrometer (Ocean optics QE Pro, United States) was
141 used for spectral collection of Material 2. Specific parameters were as follow: the excitation
142 wavelength is 532 nm, the spectral collection range is 77-2146 Raman shift/ cm^{-1} , the laser intensity is

143 100 mW, the exposure time is 3 s, and the average time is 2 s. The 16 leaves were map scanned by the
144 Raman spectrometer with horizontal and vertical spatial resolution of 1 mm.

145 In this experiment, the software WIRE 3.3 (Renishaw, United Kingdom) was used to collect and
146 extract Raman spectral data. The pictures in this paper were drawn by Origin 9.0 (Originlab, United
147 States) and Photoshop CS6 (Adobe, United States), and all the preprocessing and modeling methods
148 were performed in Matlab 2013b (MathWorks, United States).

149 *Spectral analysis methods*

150 Spectral pretreatment

151 The obtained spectral information contains not only the chemical structure information of the
152 sample, but also many background and noise signals from the interference source such as the
153 instrument itself and the experimental operating environment. Therefore, in order to eliminate the
154 influence of the extraneous and interfering signals on the sample signal, the original data can be
155 preprocessed [21]. In this study, five data preprocessing methods were applied including
156 multiplicative scatter correction (MSC), wavelet transform (WT), standard normal variate (SNV),
157 rolling-circle filter (RCF) and adaptive iteratively reweighted penalized least squares (airPLS).

158 The full-band Raman spectrum contains a large amount of redundant information and noise
159 [22]. These interference signal not only affect the prediction performance of the model, but also are
160 not conducive to further detecting the Raman spectral response mechanism of chlorophyll. So, the
161 competitive adaptive re-weighted algorithm (CARS) was used to extract the effective band for
162 spectral measurement of the photosynthetic pigments. Based on the effective bands from CARS, the
163 computational complexity of the spectral modeling can be reduced. Furthermore, the Raman
164 spectral response mechanism of photosynthetic pigment may be discovered based on the
165 assignment of these characteristic bands.

166 Modeling and evaluation methods

167 Partial least squares regression (PLSR) was adopted to establish a quantitatively relationship
168 between the concentration of photosynthetic pigments and Raman spectra of leaf. The PLSR has the
169 advantages of simplicity, accuracy, convenience and wide applicability. It is the most commonly
170 used and most effective multivariate statistical method in chemometric modeling analysis [23]. The
171 performance of the PLSR model was evaluated by the following indicators including coefficient of
172 determination (R^2), root mean square error (RMSE) [24]. In detail, the R^2_C , R^2_{CV} , R^2_P and $RMSE_C$,
173 $RMSE_{CV}$, $RMSE_P$ represent the determination coefficient and root mean square error of calibration,
174 cross validation and prediction, respectively.

175 Considering the subsequent model transfer, the spectral bands of the two instruments need to
176 be unified. The common band range of the two spectrometers is 579-2146 cm^{-1} , but there are obvious
177 high-frequency noise signals at the both ends. So the spectral range of 792-1961 cm^{-1} was selected for
178 modeling.

179 *Model transfer*

180 In order to test the prediction result of the model, the sample after the scanning should measure
181 3 photosynthetic pigments concentration, and compare the actual value with the predicted value.
182 Scanning takes a long time, and if it is detected in vitro, it will inevitably affect the accuracy of
183 photosynthetic pigment concentration. Although the master also has a scanning function, its
184 structure is complicated and impossible to perform living body detection of tea leaves. The slave is
185 small, portable, simple in structure, and can perform scanning without removing the tea leaves.
186 Therefore, the slave is used to scan four leaves of different leaf positions.

187 The direct standardization (DS) method was adopted to improve the adaptability of the spectral
188 model, which is a multivariate full-spectrum model transfer algorithm [25]. The advantage is that
189 the principle is simple, the difference between the standard spectral data and the spectral data to be
190 corrected can be compared, and each wavenumber is sequentially corrected by the full band to
191 realize the transfer of the model [20].

192 The common spectral range of 579-2146 cm^{-1} of the two Raman spectrometers was selected, and
 193 to remove the high-frequency noise signals at both ends, the range of 792-1961 cm^{-1} was selected for
 194 further model transfer. Since the spectral resolutions of the two spectrometers are different,
 195 interpolation processing was performed to form a uniform number of spectral variables. It is
 196 important for model transfer to choose representative samples to define the differences between the
 197 master and slave instruments. For the slave instrument, the average spectrum of all pixels for each
 198 leaf (minus the background) map scanning was taken as the representative spectrum of the leaf, so a
 199 total of 16 spectral profiles were obtained. And 4 representative spectra were selected by the
 200 Kennard-Stone (KS) algorithm from the 16 spectra. While, for the master instrument, 4 spectra were
 201 also selected by KS algorithm from the 315 spectra of master spot scanning. Model transfer was
 202 performed by selecting 4 spectra (including all of the leaf positions) from the master and slave
 203 instrument.

204 The flow of the DS algorithm is as follow [26, 27]. The spectral matrices of master and slave are
 205 X_m , X_s , respectively. Both X_m and X_s have size $m \times p$, where m represents the number of
 206 representative transfer spectra (4 in this case) and p represents the number of wavenumbers.

$$207 \quad X_m = X_s \times E + B, \quad (1)$$

208 Where E is the transfer matrix with size $p \times p$ of unknown parameter, which accounts for the
 209 variation in both X_m and X_s , and B is the background correction matrix [19].

210 The spectrum S_s of sample to be tested measured on the slave can be used for analysis after
 211 conversion:

$$212 \quad S_{s,\text{std}} = S_s \times E + B, \quad (2)$$

213 The spectral data $S_{s,\text{std}}$ represents the corrected slave spectra through transferred by the DS
 214 algorithm, which will be transmitted to the photosynthetic pigments determination model
 215 developed by the master. And the prediction accuracy of the model for the slave sample is the
 216 performance of model transfer.

217 Results and Discussion

218 *Establishment of quantitative determination model*

219 Sample division

220 The 315 samples from master spot scanning were divided into a training set and a test set based
 221 on 2:1 ratio. First, the samples were arranged in ascending order according to their concentrations of
 222 Chl-a, Chl-b and Car, and each three is one set in turn. Then, the second sample in each set is divided
 223 into the test set, and the rest are set as the training set. So, 210 training set samples and 105 test set
 224 samples were obtained. The statistical information of the sample sets were shown in Table 1.

225 Table 1. The statistical information of the sample set.

		Max ¹ (mg·g ⁻¹)	Min ² (mg·g ⁻¹)	Mean ± SD ³	Num ⁴
Car	Training set	1.4917	0.1901	0.8461 ± 0.2282	210
	Test set	1.4786	0.2557	0.8465 ± 0.2267	105
Chl-a	Training set	10.4111	1.877	5.6513 ± 1.4116	210
	Test set	10.4111	2.3599	5.6559 ± 1.4191	105
Chl-b	Training set	5.7826	0.6288	2.2675 ± 0.6804	210
	Test set	5.7826	0.9380	2.2785 ± 0.7128	105

226 ¹ Max, maximum value; ² Min, minimum value; ³ SD, standard deviation; ⁴ Num, number of samples.

227 Raman spectral quantitative determination of photosynthetic pigments in tea leaf

228 As shown in Table 2, different pretreatment methods produced different results referring to the
 229 values of R² and RMSE, indicating that pretreatment has a great influence on the performance of the
 230 model. In regard of the Car, model 5 based on the WT preprocessing method is obviously better than
 231 model 1 based the original data. In detail, the R²_p of the model 1 increased from 0.614 to 0.713 of the
 232 model 5, and RMSE_p of the model 1 decreased from 0.140 to 0.108 of the model 5. For Chl-a and
 233 Chl-b, model 10 and model 16 respectively obtained the best results based on the optimal
 234 pretreatment method of RCF. Comparing with the model 7 based on the original data, the R²_p of
 235 model 10 increased from 0.597 to 0.800, and RMSE_p of model 10 decreased from 0.900 to 0.599. While,

236 the R^2_p and $RMSE_p$ of model 16 were respectively 0.734 and 0.330, which were obviously better than
 237 the relevant parameters (0.718 and 0.342) of model 13. Furthermore, the difference among calibration,
 238 validation and prediction of the model 5, 10 and 16 was also relatively small, which indicates that the
 239 stability of these models is improved through pretreatment.

240 Table 2. PLSR modeling results of different pretreatment methods.

Model	Pretreatment	Calibration		Validation		Prediction		
		$RMSE_c$	R^2_c	$RMSE_{cv}$	R^2_{cv}	$RMSE_p$	R^2_p	
Car	1	Origin	0.082	0.843	0.107	0.732	0.140	0.614
	2	MSC	0.080	0.847	0.106	0.738	0.134	0.650
	3	SNV	0.080	0.848	0.105	0.744	0.135	0.642
	4	RCF	0.122	0.653	0.142	0.532	0.131	0.662
	5	WT	0.086	0.826	0.106	0.737	0.108	0.713
	6	airPLS	0.123	0.646	0.140	0.549	0.199	0.227
Chl-a	7	Origin	0.463	0.870	0.577	0.798	0.900	0.597
	8	MSC	0.557	0.812	0.692	0.712	0.867	0.626
	9	SNV	0.834	0.650	0.898	0.600	0.886	0.609
	10	RCF	0.535	0.827	0.653	0.745	0.599	0.800
	11	WT	0.095	0.806	0.116	0.715	0.108	0.721
	12	airPLS	0.622	0.773	0.820	0.615	1.078	0.421
Chl-b	13	Origin	0.240	0.854	0.300	0.774	0.342	0.718
	14	MSC	0.284	0.784	0.339	0.695	0.366	0.677
	15	SNV	0.282	0.789	0.343	0.690	0.353	0.701
	16	RCF	0.295	0.810	0.347	0.717	0.330	0.734
	17	WT	0.299	0.772	0.349	0.690	0.354	0.698
	18	airPLS	0.247	0.844	0.340	0.707	0.385	0.644

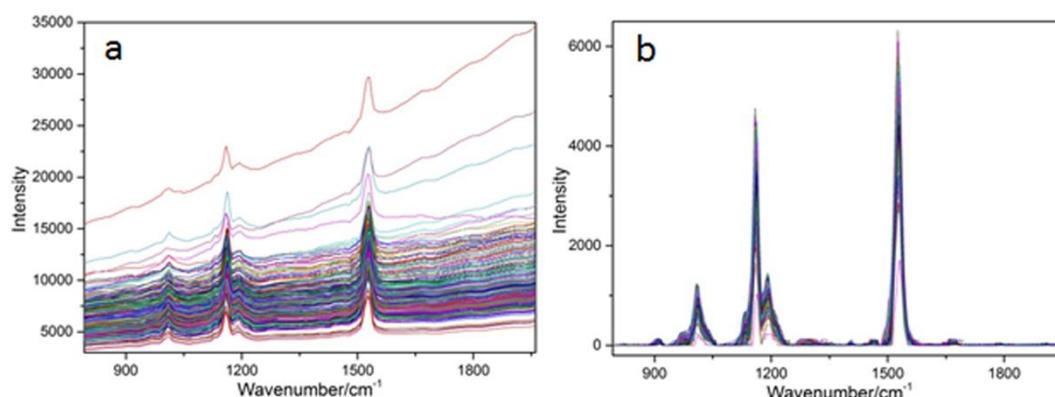
242 Raman spectroscopy provides a wide range of spectral information. In this research, there are
 243 1005 and 1044 spectral variables from the master and the slave instruments respectively. And, there
 244 are still remaining 448 spectral variables after intercepting the common wavenumbers and removing
 245 the two ends of the spectrum seriously disturbed by noise. The Raman spectra contain not only
 246 biological, physiological and structural information related to detection objects, but also redundant
 247 information [22]. In order to explore the mechanism of the detection of photosynthetic pigment in
 248 tea leaves by Raman spectroscopy, a large number of redundant and interference information were
 249 excluded. Furthermore, selecting a small number of effective band can shorten the modeling time
 250 and improve the accuracy of the model. The CARS was adopted to extract the effective band for
 251 spectral measurement of the photosynthetic pigments based on the spectral data pretreated by the
 252 WT and RCF pretreatment, and the models based on the characteristic bands were established, and
 253 the modeling results were shown in Table 3. It can be found that the RCF was better than the WT
 254 pretreatment for all the three pigments, and the models based on these characteristic bands were
 255 better compared with the full-band models (as shown in Table 2).

256 Table 3. PLSR modeling results based on characteristic band.

Model	Number of characteristic bands	Pretreatment	Calibration		Validation		Prediction		
			RMSE _c	R ² _c	RMSE _{cv}	R ² _{cv}	RMSE _p	R ² _p	
Car	19	40	RCF	0.109	0.739	0.124	0.669	0.101	0.769
	20	40	WT	0.125	0.702	0.133	0.661	0.123	0.706
Chl-a	21	37	RCF	0.586	0.793	0.623	0.767	0.517	0.852
	22	37	WT	0.603	0.782	0.777	0.753	0.623	0.835
Chl-b	23	32	RCF	0.298	0.769	0.320	0.735	0.326	0.744
	24	32	WT	0.343	0.746	0.443	0.708	0.389	0.726

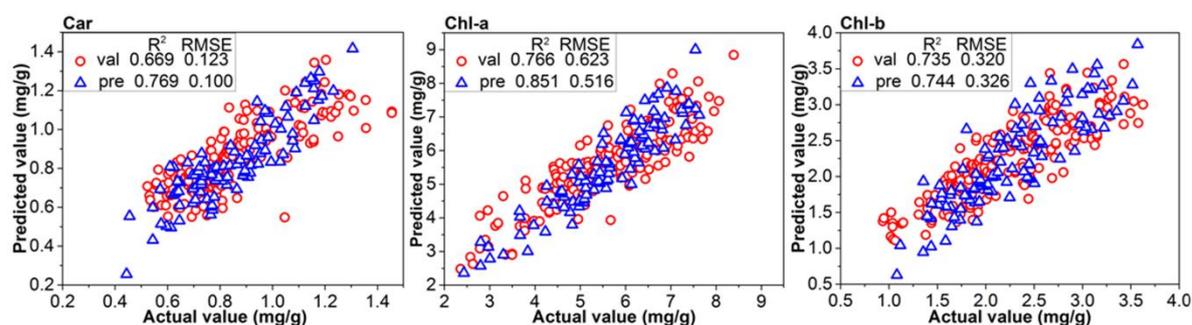
257 The spectral profiles before and after the RCF pretreatment were shown in Fig. 1, it can be found
 258 that the RCF method eliminated the fluorescence background and increased the signal-to-noise ratio

259 of spectra, this may be the reason why the RCF pretreatment can improve the performance of the
 260 spectral determination models. Oh et al. [28] used RCF pretreatment in real-time estimation of
 261 glucose concentration in algae by Raman spectroscopy, and the result was also improved.



262
 263 Fig. 1. Raman spectral profiles of tea leaf samples from master instrument. (a) original spectra; (b)
 264 spectra processed by the RCF.

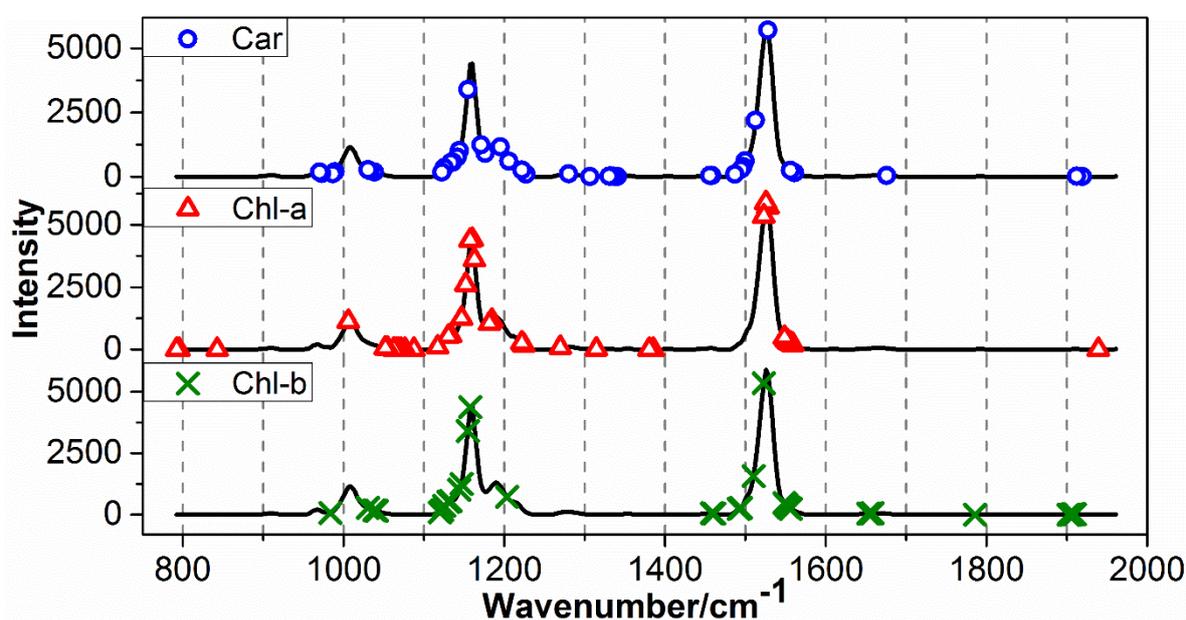
265 Scatter diagram of prediction values and real values of the models (model 19, 21 and 23) for
 266 training and test samples were shown in Fig. 2. It can be found that the models based on the
 267 characteristic wavenumbers had achieved better result than the model based on the full-band model
 268 (as shown in Table 2). In addition, low dimensional input variables of characteristic wavenumbers
 269 greatly reduce the complexity of the model and improve the calculation speed of the model.



270
 271 Fig. 2. PLSR model result based on the characteristic wavenumbers.

272 As the Raman spectroscopy can reflect the fingerprints information of the composition and
 273 structure of substances, an assignment of these characteristic wavenumbers was implemented to
 274 further explore the substance basis of quantitative determination of pigment by Raman spectroscopy.

275 The characteristic bands corresponding to each model were showed in Fig. 3. There were three
 276 distinct peaks in the figure, including the rocking vibration in the CH₃ plane at 1008 cm⁻¹, the C-C
 277 stretching vibration at 1159 cm⁻¹, and C=C stretching vibration at 1528 cm⁻¹ which are the
 278 characteristic peaks of photosynthetic pigment [29, 30]. And the assignment of these characteristic
 279 wavenumbers was shown in Table 4, it can be seen that most of the wavenumbers were related to
 280 photosynthetic pigment, which explains the reason why models based on characteristic
 281 wavenumbers obtained good results.



282

283

Fig. 3. Characteristic wavenumbers selected based on CARS algorithm.

284

Table 4. Chemical assignment of Raman characteristic wavenumbers.

Wavenumbers/cm ⁻¹	Assignment	References
788/800/1046/1073/1114/1222/1553	Chlorophyll a	[31]
971	β-carotene [τ (C ₁₁ -C ₁₂)]	[32]
988	Chlorophyll	[33]
1026	β-carotene [ρ (9Me), ν (C ₈ -C ₉)]	[32]
1068/1155/1165/1265/1392/1530/1555	Chlorophyll a	[34]
1128/1160/1210/1380/1465/1523/1567/1644	Chlorophyll b	[34]
1133	β-carotene	[35]

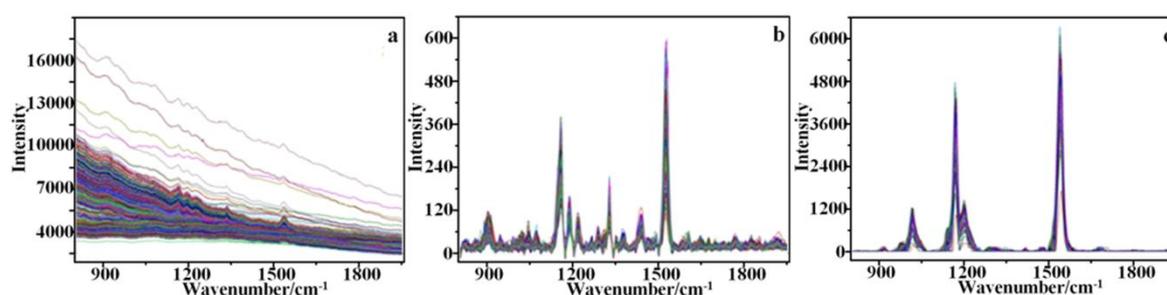
1137	β -carotene [$\nu(\text{C}_{10}\text{--}\text{C}_{11})$]	[32]
1144	Chlorophyll [$\nu(\text{CN})$, $\delta(\text{CNC})$]	[33]
1145	Chlorophyll a [$\nu(\text{C}_a\text{N})$, $\delta(\text{C}_a\text{NC}_a)$]	[32]
1149	β -carotene [14–15, 15H, 15=15']	[35]
1157	β -carotene [$\nu(\text{C}_{14}\text{--}\text{C}_{15})$, $\delta(\text{C}_{15}\text{--}\text{H})$]	[32]
1172	β -carotene [5–4, 18r, 6–7]	[35]
1186	Chlorophyll a [$\nu(\text{C}_m\text{C}_{10})$, $\delta(\text{C}_b\text{H})$]	[32]
1187	β -carotene [10H, 11H, 8–9]	[35]
1191	β -carotene [$\delta(\text{C}_{10}\text{--}\text{H})$, $\delta(\text{C}_{11}\text{--}\text{H})$]	[32]
1216	β -carotene [$\nu(\text{C}_{12}\text{--}\text{C}_{13})$, $\delta(\text{C}_{14}\text{--}\text{H})$]	[32]
1225	Chlorophyll [$\delta(\text{CH})$, $\delta(\text{CH}_2)$]	[33]
1226	β -carotene [12–13, 14H, 15=15']	[35]
1281	β -carotene [15H, 14H, CCC15b]	[35]
1310	β -carotene [12H, 11=12, 15=15']	[35]
1322	β -carotene [$\delta(\text{C}_{12}\text{--}\text{H})$, $\nu(\text{C}_{11}\text{--}\text{C}_{12})$]	[32]
1347	β -carotene [12H, 11=12, 15=15']	[35]
1450	β -carotene [$\delta_{as}(9\text{Me})$, $\delta_{as}(13\text{Me})$]	[32]
1485	β -carotene [13=14, 11=12, 12H]	[35]
1518	Lutein [$\text{C}=\text{C}$ stretching vibration]	[36]
1524	Chlorophyll a [$\nu(\text{C}_b\text{C}_b)$, $\nu(\text{C}_a\text{C}_b)$]	[32]
1528	Carotenoids [$\nu_1(\text{C}=\text{C})$]	[33]
1542	Chlorophyll a [$\nu(\text{C}_b\text{C}_b)$]	[32]
1552	Chlorophyll a [$\nu(\text{C}_a\text{C}_b)$, $\nu(\text{C}_b\text{C}_b)$]	[32]
1562	β -carotene [11=12, 15H, 12H]	[35]

286 In addition, several characteristic wavenumbers extracted in this study were also related to
 287 protein (1651 cm^{-1}) and nucleic acid (1665 cm^{-1}) [36], etc., this may be due to that the concentration
 288 of photosynthetic pigments is the percentage of the amount of pigment to the mass of dry matter in
 289 tea leaves, in other words, the quantity of other dry matter in tea will also affect the percentage of
 290 pigment, so the characteristic peaks of other dry matter in the tea will also be selected. Furthermore,
 291 the wavelength selection algorithm based on data mining may also select some bands without
 292 specific component assignment as a benchmark for data processing.

293 *Calibration model transfer*

294 Direct standardization of spectral data from the master and slave instruments

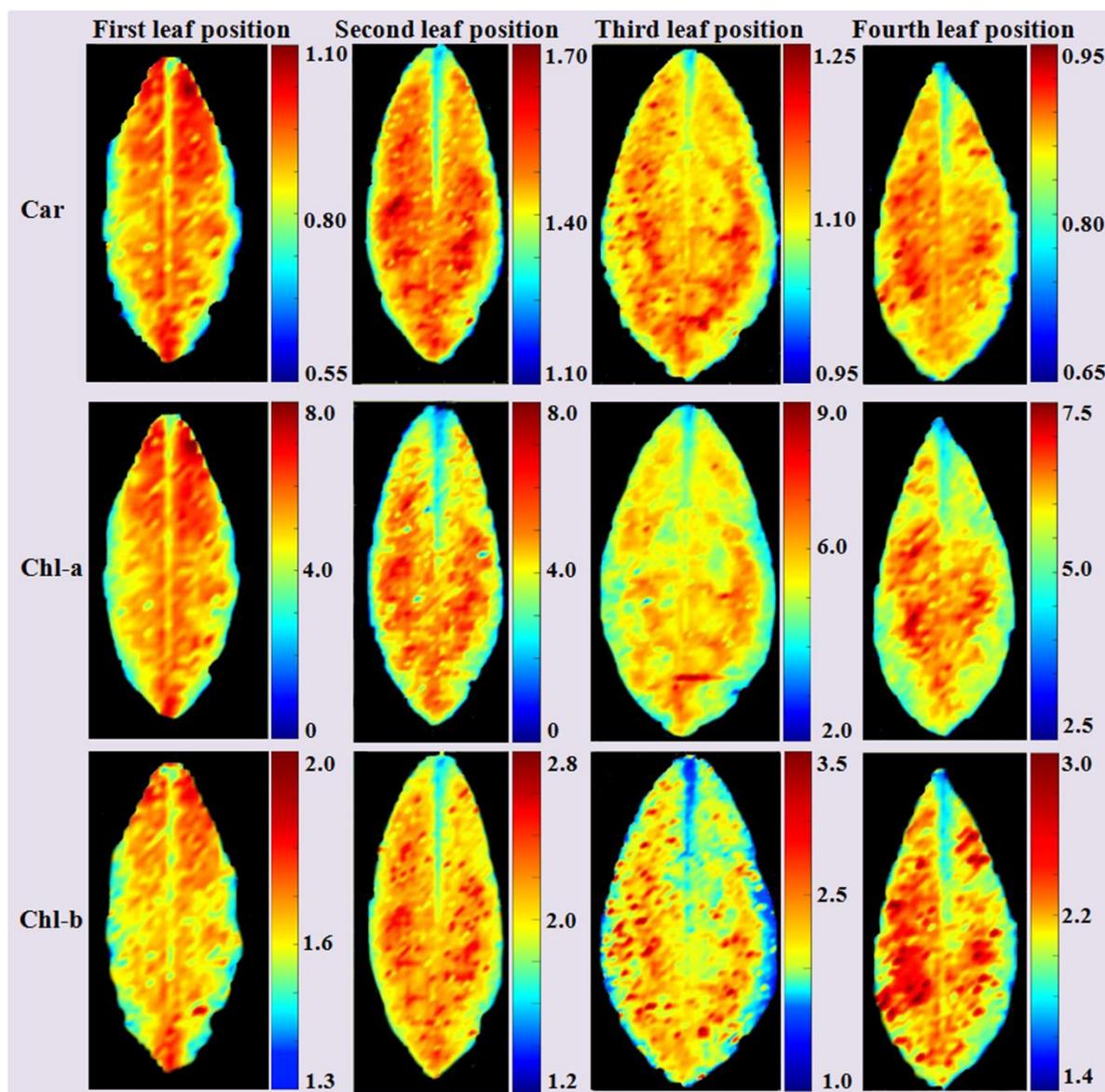
295 The direct standardization (DS) method was adopted to standardize the Raman spectral
 296 responses from the slave instrument. It can be seen from the Fig. 1(a) and Fig. 4(a) that the spectral
 297 data measured by the two instruments all had distinct fluorescent background, and the trend of the
 298 fluorescent background was different due to the different instruments. The spectra after removing
 299 the fluorescent background by using RCF was respectively shown in Fig. 1(b) and Fig. 4(b), it can be
 300 found that the RCF pretreatment greatly improves the signal to noise ratio of the spectra, which is
 301 conducive to the subsequent analysis. Slave spectral after DS was shown in Fig. 4(c). Comparing
 302 with Fig. 1(b), it can be found that the spectra of slave instrument (as shown in Fig. 4(c)) after DS was
 303 similar with that of master instrument, indicating that the spectral variation between the master and
 304 slave Raman spectrometer can be effectively eliminated.



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 306 Fig. 4. (a) Raman spectral profiles of tea leaf samples from slave instrument. (a) original spectra; (b)
 307 spectra processed by the RCF; (c) spectra after DS.

308 Imaging photosynthetic pigment in tea leaf based on model transfer

309 Through the above analysis, the quantitative relationship between photosynthetic pigment
310 concentration in fresh leaves and their master Raman spectroscopy had been verified, and the
311 quantitative determination models of chlorophyll and carotenoid concentration based on
312 characteristic wavenumbers had been established. In order to realize the in situ and non-destructive
313 imaging of chlorophyll and carotenoid concentration in fresh leaves of tea, the slave Raman spectra
314 of material 2 after DS were transported into the established model 19, 21, 23, respectively in
315 pixel-wise order, so the photosynthetic pigment concentration of each pixel in tea leaf was predicted.
316 The predicted photosynthetic pigment concentration was imaged, and the image was subjected to
317 filter filtering to obtain distribution maps of photosynthetic pigments as shown in Fig. 5.



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Fig. 5. Photosynthetic pigment concentration distribution map.

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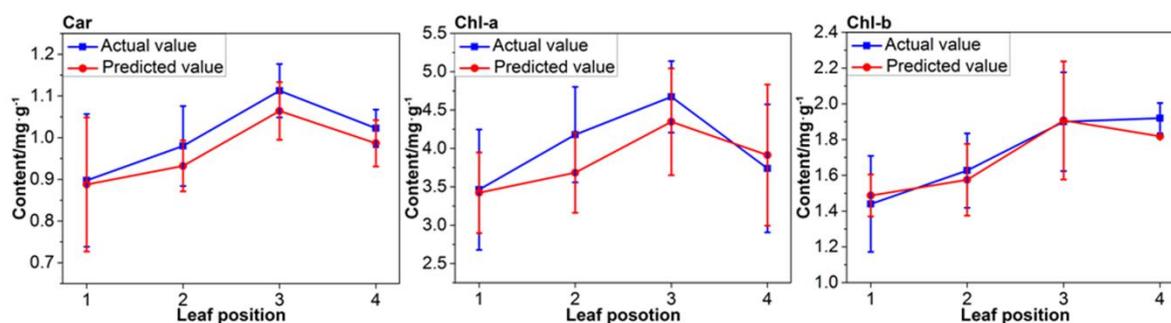
By imaging the photosynthetic pigments concentration, it can be found that the pigments concentration in the central vein and margin of the leaf is significantly lower than that in other region, which is related to the maximum efficiency of photosynthesis. This is consistent with the finding of Zhao et al. [11]. In Zhao's paper, $RMSE_C$, R^2_C , $RMSE_P$ and R^2_P of Chl-b model are 9.918, 0.711, 8.601, and 0.693, respectively, which is obviously worse than the result of our research. Therefore, the above results show that it is feasible to predict the concentration of photosynthetic pigments based on Raman spectroscopy. Furthermore, the spectral calibration model constructed in

327 the laboratory (master Raman spectrometer) can be used to measure the distribution of foliar
328 pigment with portable instruments (slave) in the field through model transfer.

329 Evaluation of performance of the calibration model transfer

330 After the map scanning spectra of the slave spectrometer were corrected, the spectrum at each
331 pixel was brought into the quantitative determination model to predict the photosynthetic pigment
332 concentration at that pixel. Then the photosynthetic pigment concentration of the foliar pixels was
333 averaged to represent the pigment concentration of the leaf. Furthermore the predicted average
334 value of pigment concentration was compared with the actual value to evaluate the performance of
335 this calibration model transfer, in detail, the R^2 and RMSE were shown in Fig. s2. It can be found that
336 the predicted value of the model for the foliar map scanning spectra is highly correlated with the
337 actual value, which indicates that the pigment determination model based on the master instrument
338 can predict the spectrum of the slave instrument after calibration model transfer. The imaging of
339 foliar pigments results and the correlation analysis proved that the model transfer of the two
340 spectrometers had achieved good results, and this method is feasible.

341 The mean and variance of the actual and predicted value of photosynthetic pigment in four
342 leaves of the same leaf location were calculated, as shown in Fig. 6. As can be seen from the Fig. 6,
343 the trend of the actual value of photosynthetic pigment increase firstly and then decrease, this is
344 consistent with the finding of Vicente et al. [37]. When at first leaf position, the photosynthesis ability
345 of the leaf is weak, the concentration of photosynthetic pigment is low. With the increase of leaf age,
346 the leaves develop gradually, the photosynthetic pigment concentration increase, and the
347 photosynthesis rate reach the highest value; while the third leaf position enter the aging stage, the
348 photosynthetic enzyme expression ability decrease, and the photosynthetic pigment concentration
349 decrease [9]. The result show that the model established in this paper also has a prospect in the study
350 of the leaf position and leaf age.



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Fig. 6. Line chart of actual and predicted values of photosynthetic pigment concentration.

353 Conclusions

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In the study, the potential of Raman spectroscopy for in situ, non-destructive and rapid quantitative detection and imaging of photosynthetic pigment concentration in tea leaves was proved. Based on the Raman spectral pretreatment method combined with the CARS characteristic bands selection, the quantitative determination models of chlorophyll and carotenoid concentration were established by regression analysis. By comparison, it can be found that the best pretreatment RCF was most suitable to eliminate the fluorescence interference and other noise in Raman spectrum. And the Raman spectral characteristic bands for pigments detection selected by CARS have been proved to be the Raman-active molecular vibration of pigment components.

In addition, model transfer method was applied to the master and slave spectrometers in order to obtain a model that can be available both in vivo or in the field and with high prediction accuracy. This is the first attempt to establish a connection between the two types of instruments, and achieved good results. The foliar map scanning spectra after DS was brought to the pigments determination model established based on master instrument, and the concentration of photosynthetic pigment of each pixel in tea leaves could be predicted. Calculating the R^2 between the predicted value and the actual value, the range was in the range of 0.752-0.866. The predicted chlorophyll and carotenoid concentration of each pixel were imaged to obtain the distribution map of photosynthetic pigment in tea leaves, illustrating that the concentration of photosynthetic pigment in the central vein and leaf margin was lower than other parts was obtained, which is consistent with other studies. Therefore, the technology of Raman spectroscopy can be used to imaging the concentration of photosynthetic

373 pigment in different leaf positions, which provided a technological basis for the effective detection of
374 the growth and nutrient distribution of tea tree, as well as diseases, pests and heavy metal stress.

375 It is worth noting that we have successfully improved the applicability of the Raman spectral
376 model for determination of photosynthetic pigments in tea leaf. Through the calibration model
377 transfer, the tea pigment spectral detection model based on the laboratory spectrometer was
378 successfully applied to the portable quantitative detection of leaf pigment in the field. The model
379 transfer method can effectively eliminate the spectral variation between the master and slave Raman
380 spectrometers and improve the applicability of the spectral model, which will greatly promote the
381 application process of the nondestructive and fast spectra measurement technique.

382 **Abbreviations**

383 DS: direct standardization; Chl-a: chlorophyll a; Chl-b: chlorophyll b; Car: carotenoids; MSC:
384 multiplicative scatter correction; WT: wavelet transform; SNV: standard normal variate; RCF:
385 rolling-circle filter; airPLS: adaptive iteratively reweighted penalized least squares; CARS:
386 competitive adaptive re-weighted algorithm; PLSR: partial least squares regression; R^2 : coefficient of
387 determination; RMSE: root mean square error; KS: Kennard-Stone.

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390 **Authors' Contribution**

391 XL^{*} and HZ designed the overall scheme, performed the experiment of Raman spectra acquirement, and
392 advised on the initial design of and oversaw the manuscript. JZ and SZ determined the concentration of
393 photosynthetic pigment, WL and JS made major contributions in data processing. YH and XL³ wrote the
394 manuscript. BZ advised on schematic drawings. All authors read and approved the final manuscript.

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400 Availability of data and materials

401 All the seed material and raw imaging data can be obtained from the authors upon request.

402 Ethics approval and consent to participate

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404 Consent for publication

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406 Competing interests

407 The authors declare that they have no competing interests.

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