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Controlled Large-Area Lithium Deposition to Reduce Swelling of High-Energy Lithium Metal Pouch Cells in Liquid Electrolytes

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Article

Keywords:

Posted Date: August 16th, 2023

DOI: https://doi.org/10.21203/rs.3.rs-3256630/v1

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Additional Declarations: There is NO Competing Interest.

Version of Record: A version of this preprint was published at Nature Energy on March 21st, 2024. See the published version at https://doi.org/10.1038/s41560-024-01488-9.

Controlled Large-Area Lithium Deposition to Reduce Swelling of High-Energy Lithium Meta I Pouch Cells in Liquid Electrolytes

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17

1819 Abstract:

20

21 Lithium (Li) metal battery technology has attracted world-wide attention because of its high energy density, but its practical application is hindered by several challenges, with one significant issue 22 23 being the large volume change and cell swelling. While external pressure is known to have a profound effect on cell performance, there are currently no reports exploring the relationship 24 between external pressure and the electroplating of Li⁺ in large-format pouch cells to enhance 25 26 overall performance. Here we investigate the influence of externally applied pressure on the 27 electroplating and stripping of lithium metal in 350 Wh/kg pouch cells. A hybrid constant gap and constant pressure design is designed to apply a minimal external pressure for practical application. 28 29 The self-generated pressures are monitored and quantified which are further correlated to the 30 observed charge-discharge processes. A two-stage cycling process is observed. In the first stage, Li⁺ ions utilized are mainly supplied by the cathode which shuttle between the cathode and anode 31 32 with minimal Li loss which minimizes cell swelling but only happens when pressure is applied 33 appropriately. In the second stage, Li from the Li foil anode participates in the reaction and the thickness of the anode gradually increases. However, even after extensive cycling, cell swelling 34 35 remains less than 10%, comparable to that of state-of-the-art Li-ion batteries. In addition, the 36 pressure distribution along the horizontal direction across the surface of Li metal pouch cell reveals 37 a complex behavior of Li⁺ migration during the electroplating (charge) process. The external pressure encourages a preferred plating process of Li in the central region, necessitating the 38 39 development of new strategies to address uneven Li plating and utilization to advance Li metal 40 battery technology.

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42 Main

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44 Rechargeable lithium (Li) metal batteries have recently gained significant interest due to their 45 potential to double the cell-level energy of state-of-the-art lithium-ion batteries ¹. Great progress

potential to double the cell-level energy of state-of-the-art lithium-ion batteries ¹. Great progress
 has been made in both fundamental understanding of lithium dendrite formation ², interfacial

- reactions ^{3,4}, and cell failure mechanisms ^{5,6} and in increasing cell-level energy and cycling stability
 ^{7,8}. Key parameters, including the electrolyte amount, cathode mass loading, and lithium metal
 thickness, have been identified as crucial factors that dictate the cycling stability of lithium metal
 coin cells ³. These parameters must be carefully controlled to achieve meaningful results during
 coin cell testing and enable fair comparisons ^{9,10}.
- 52

However, practical applications of high-energy Li batteries are still hindered by several significant 53 54 challenges. Despite the great progress on the design and fabrication of practical high-energy pouch 55 cells⁸, the cycle life needs to be further improved. Another significant challenge is the large 56 volumetric change of the anode and subsequent cell swelling. For practical applications, the cell swelling needs to be limited to less than 10% ^{11,12}. Most modern Li-ion batteries with metal oxide 57 intercalation cathodes and graphite anodes can meet this requirement ¹³⁻¹⁵. For Li cells, the lithium 58 59 metal is repeatedly deposited on and stripped from the anode, and the morphology of the electrode 60 becomes increasingly porous, causing significant cell swelling. The degree of cell swelling depends on the cell chemistry and cell parameters, typically ranging from 50% to more than 100% 61 ⁷ for Li metal pouch cells. Even with a compatible electrolyte, the cell swelling is still between 20%62 to 50%⁸. Such a large cell swelling can be a key reason for cell failure and cannot be tolerated for 63 practical applications. External pressure has been playing a critical role on the electrochemical 64 processes of lithium metal ^{16,17}. External pressure is known to significantly enhance the cycling 65 stability of all solid-state lithium metal cells and shape the morphology of the deposited lithium 66 metal¹⁸. For lithium metal cells using liquid electrolyte, there have been several reports discussing 67 the impact of external pressure on coin cells ^{19,20}, single-layer pouch cells ^{21,22}, or small anode-free 68 pouch cells ^{16,23}. These investigations suggest that the external pressure can influence the structure 69 of the solid electrolyte interphase (SEI) layers and is beneficial for achieving long cycle life. 70

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72 However, to date, there have been no reports to understand the role of pressure on practical, large-73 format high-energy Li metal batteries, leaving key questions unanswered. Findings from small 74 areas in coin cells can significantly differ from those in large-format electrodes, as the surface 75 roughness of electroplated Li (and any other metal) becomes worse with increasing plating area². 76 For high-energy Li metal pouch cells, it remains unknown how the pressure stabilizes the cell 77 performance and whether the pressure or electrolyte amount plays the dominant role in 78 determining the cycle life. Achieving a high energy density pouch cell often involves using a lean 79 electrolyte, raising questions about how external pressure affects both the electrolyte distribution 80 and the utilization of lithium metal within pouch cells.

81

82 This work utilizes three identical 350 Wh/kg lithium metal pouch cells to investigate the 83 fundamental relationship between pressure and the electroplating process of lithium metal. Different external pressures are compared and correlated to the performance of these pouch cells. 84 85 The long-term cycling cell swelling is reduced from more than 20-40% in the previous studies to less than 6-8% in this work, which is now comparable to that of state-of-the-art Li-ion batteries. A 86 two-step utilization of Li stored in NMC and Li foil anode are discovered which explains the 87 88 substantial decrease of cell swelling. Additionally, the pressure distribution on the pouch cell 89 surface is mapped to understand the reaction activity across the entire cell surface during cycling, revealing a Li⁺ detour phenomenon leading to preferred plating of Li in the central area of anode. 90 91 New strategies are needed to extend the region of minimum swelling and mitigate the edge effect

92 to further improve the cell performance.

93 **Results and Discussion**

94

There are two common designs for applying external pressures on Li-ion pouch cells ²⁴: constant 95 96 gap or constant pressure. The former involves fixing a cell between two plates, which restricts outward expansion (Fig. S1a and 1d), while the latter typically adds constant spring force between 97 98 two plates (Fig. S1b and 1e). The "brace" design of constant gap works effectively for Li-ion 99 batteries due to the limited volume change of intercalation compounds used in Li-ion batteries. In 100 the case of lithium metal cells, the volume change during charge is substantial, especially when multiple layers of Li foils are stacked within the same cell. As a result, the screws used to secure 101 102 the two plates in the constant gap design get pushed up by the expanded cell, creating additional gaps between the plates during cycling. Therefore, more rigid enclosures are required to secure the 103 104 lithium metal pouch cell ¹⁶. In the constant pressure design, the addition of four springs (Fig. S1b) 105 on top of the two brace plates helps maintain the pressure or force within a fixed range, depending 106 on spring constant. By selecting appropriate springs, the deflection can be minimized, thereby maintaining an almost "constant" pressure on Li-ion pouch cells. However, due to the larger 107 108 volume expansion of Li metal batteries compared to Li-ion batteries during cycling, the deflection 109 of the spring cannot be minimized by solely using the "constant pressure" fixture depicted in Figure 110 S1b for Li metal pouch cells.

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In this work, a modified testing fixture is designed (Fig. S1c and 1f) which combines both constant gap and constant pressure. It includes an additional modified bolt in the center of the "constant pressure" brace. This hybrid fixture allows the cell to expand and the spring to deflect while limiting its movement along the length of the bolt. As a result, a relatively consistent pressure is maintained during most of the cycles, potentially preventing significant volume increase at the end of the charge.

118

119 Three identical Li metal pouch cells were prepared using the same cell parameters, each with a 120 total cell-level energy of 350 Wh/kg (see Table S1, cell design A for details). The three key parameters affecting lithium metal battery cycling stability were kept consistent in all three pouch 121 cells: (1) Li anode thickness of 50 µm on each side of the copper current collector, (2) 122 LiNi_{0.6}Mn_{0.2}Co_{0.2}O₂ (NMC622) cathode with a mass loading of 19.1 mg/cm² corresponding to 3.5 123 124 mAh/cm² of areal capacity on each side of the aluminum current collector, and (3) an electrolyte 125 amount of 1.9 g/Ah in all cells. Without precise control of these three key parameters, it is challenging to develop a conclusive understanding of the impacts of pressure. 126

127

128 In all pouch cells, the self-generated pressures (blue lines in Fig. 1a-1c) increase during charge due to the plating of additional Li metal layers on the anode side. It is important to note that in 129 Li/NMC622 chemistry, Li⁺ ions are stored in two different reservoirs, NMC cathode and the Li 130 131 foil anode. Li from NMC cathode is used for plating during charge and stripping during discharge, while the original 50 µm Li foil initially functions as a lithium reservoir and current collector 132 133 before the Li from the cathode is "depleted". The self-generated pressure decreases during 134 discharge as Li is stripped and travels back to the cathode lattice sites. The magnitude of changes 135 in self-generated pressure is inversely related to the externally applied pressure on the fresh pouch cells. When applying pressures of 16 and 26 psi, the peak pressures at the end of the first charge 136 137 reach 140 and 120 psi, respectively (Fig. 1a and 1b). However, when applying 36 psi to the pouch cell, the highest self-generated pressure is only 70 psi at the end of the first charge (Fig. 1c). 138

Correspondingly, a relatively high initial pressure leads to the reduced amplitude of maximumpressure observed during each charge (Fig. 1d-1f).

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142 During cycling, the pressure at the end of charge increases almost every cycle (Fig. 1d-1f) in all three pouch cells, indicating continuous "thickening" of the anode. Surprisingly, the pressures at 143 144 the end of each discharge (Fig. 1d-1f) show almost no change in the first 50-100 cycles (under 16 145 or 26 psi, Fig. 1d and 1e), or even 250 cycles (under 36 psi, Fig. 1f), and usually return to the 146 originally applied value. This result suggests that in the first tens or hundreds of cycles (depending 147 on the external pressure), there is barely cell thickening at the end of discharge. The only 148 explanation for this observation is that during this period, most of the Li being utilized comes from the NMC cathode which are reversible between two electrodes. During the charge and discharge 149 process, the Li from the cathode shuttles between the cathode and anode with minimum Li loss on 150 151 the anode, similar as what happens in a traditional lithium-ion cell. The higher initial pressure 152 reduces the irreversible loss of Li during each cycle because most of the Li (from NMC) can return to cathode, resulting in almost full recovery of the initial pressure observed at the end of discharge. 153 154 During this period, the Li foil is almost intact and functions primarily as a current collector (SEM 155 images). At the same time, very limited cell swelling happens. The discovery of "Li shuttling" phase is very important providing a new perspective to lithium metal batteries if such a stable 156 157 phase can be further extended.

158

159 For Li⁺ ions stored in original Li foil side, they gradually become involved in the electrochemical and chemical reactions upon cycling. This is reflected in the continuous increase of end-of-charge 160 161 pressure after each cycle due to anode thickening. Pressures at the end of charge and discharge steadily increase, particularly towards the end of cycling. Nevertheless, the amplitude of pressure 162 increase from the beginning to the end is always lower in the pouch cell tested with higher 163 164 externally applied pressures. It is worth noting that for liquid cells, applying a high external 165 pressure does not necessarily translate to better performance. Higher external pressure also increases the probability of an internal short (Fig. S2) proportional to the defective sites in the cell, 166 such as those near the tabbing area ²⁵, especially under elevated pressures. Notably, the highest 167 pressure used in this work, 36 psi, is considerably lower than the range of 2 MPa to 250 MPa (290 168 psi to 36259 psi) used for solid-state lithium metal batteries ²⁶⁻²⁸. 169

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171 It is also surprising that the cycling stability of the three pouch cells under different initial pressures does not exhibit significant differences. The cell under 16 psi experiences a capacity degradation 172 173 to 80% of its original capacity after 282 cycles (Fig. 1g). The pouch cells under 26 and 36 psi reach 174 80% of their initial capacity after 299 (Fig. 1h) and 318 cycles (Fig. 1i), respectively. To understand this, it is necessary to consider the dominant factors influencing the cycling stability of 175 Li metal batteries at different stages. As mentioned earlier, several parameters impact the cycling 176 177 of Li metal cells, such as cathode loading, Li thickness, and electrolyte amount. From the current 178 results, limited external pressure (again external pressure cannot be too high in order to avoid 179 internal short) does not significantly extend the cycling performance of lithium metal batteries if 180 the liquid electrolyte almost dries out. In liquid cells, the amount of available electrolyte 181 dominantly determines how long a Li metal battery last. Once the electrolyte is depleted, the nearly "dried" cell does not respond effectively to this minimal external pressure, resulting in similar 182 183 cycle life (Fig. 1g-1i) among all cells at different pressures. Another 350 Wh/kg pouch cell with an increased amount of electrolyte of 2.2 g/Ah (compared to 1.9 g/Ah in this work) demonstrated 184

185 approximately 459 stable cycles (Fig. S3 and cell design B in Table S1), confirming that the 186 amount of electrolyte plays a more critical role than external pressure in extending the cycle life 187 of Li metal batteries utilizing liquid electrolyte. In our previous published work using a 350 Wh/kg 188 pouch cell with 50 μ m Li, which also contained 2.2 g/Ah electrolyte, 430 stable cycles were 189 achieved ⁸. Therefore, external pressure only aids in extending the cycling of Li metal when there 190 is still enough liquid electrolyte available in the cell.

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192 The utilization of Li at different stages is summarized in Figure 2. There are two different Li 193 reservoirs in Li/NMC pouch cells, one is in NMC lattice while the second is the original Li foil 194 anode assembled in the cell. In the early stage of the charge-discharge process, Li⁺ ions from the NMC cathode shuttle back and forth between the cathode and anode. There is very limited cell 195 swelling at the end of the discharge state because most of Li⁺ ions from NMC can reversibly go 196 197 back to cathode (Fig. 2a). As cycling goes on, more Li⁺ ions from NMC are irreversibly lost, Li stored in the foil needs to participate in the electrochemical reaction if there is still enough 198 199 electrolyte (Fig. 2b). During the second stage of cell cycling, as Li⁺ from the Li foil anode begins 200 to participate in the reaction, the thickness of the entire anode gradually increases because Li foil 201 becomes porous accompanied by dead Li and SEI accumulation. Once the electrolyte is depleted, 202 a rapid capacity decay is typically observed (Fig. 2c).

203

204 The hybrid constant gap-pressure design greatly reduces cell swelling in all three pouch cells after extensive cycling (Fig. 3). Overall, the pouch cell swelling after extensive cycling is reduced from 205 206 20-40% in the previous studies to less than 6-8%, comparable to that of state-of-the-art Li-ion 207 batteries. Prior to cycling, the pouch cell had a measured thickness of 5.45 mm (Fig. 3a). Even under the lowest pressure of 16 psi, cell swelling is only 8.2% after 304 cycles (Fig. 3b). The other 208 209 two pouch cells under 26 and 36 psi external pressures expand by only 6-7% after more than 300 210 cycles (Fig. 3c-3d). Appropriate external pressure, combined with a balanced cell design, 211 effectively suppresses the aggressive volume expansion of the Li metal pouch cell during cycling. The cycled lithium metal anodes harvested from three pouch cells are further compared in Figure 212 213 3e-3g. The pouch cells were in a discharge state before disassembly, meaning the majority of Li from NMC side returns to the cathode. Figure 3e-3j mainly characterizes cycled Li foil. Intact 214 dense Li is present in all three cycled pouch cells. For consistency, the characterized Li is taken 215 216 from the same location in the three cells, as indicated in Figure 3k. Some unreacted Li forms 217 column-like structures extending from the current collector to the surfaces of the Li anode, suggesting that the entire Li pillar did not undergo any reaction (Fig. 3e-3g). These unused Li 218 219 columns are commonly found on the anode side in all three cycled pouch cells (see surface views 220 in Fig. 3h-3j). But the populations of intact Li columns are different on the edges and in the center of the Li foil, which will be discussed in a later section (Fig. 4). It has been observed that after 221 222 extensive cycling, the thickness of the cycled Li foil also increases, including the intact Li columns, 223 which, in some cases, become even thicker than 50 µm (Fig. 3e and Fig. 3f). These unreacted Li 224 columns are affected by the adjacent Li undergoing dense-to-porous conversion. Under external 225 pressures, intact Li columns elongates beyond their original 50 µm length. After extensive cycling, 226 the SEI and reacted porous Li are entangled forming porous structures in all cycled pouch cells 227 (Fig. S4).

228

The discussion above focuses on the overall response of the entire pouch cell to the externalpressures applied vertically on the cell. The change of self-generated pressures are average values

detected from the pouch cell upon cycling, which are created through changes of all the layers
assembled in the battery. How the pressure is distributed across the surface of the pouch cells and
its implications of large-scale electroplating of Li are still unknown. To investigate this question,
a pressure mapping system (see Methods for experimental setup) was employed to monitor the
pressure distribution on the surface of a 350 Wh/kg pouch cell (Fig. 4 and Fig. S5). After a resting
period of 2 hours at open circuit voltage, the average pressure on the cell surfaces was measured
to be 32.7 psi (Fig. 4a).

238

At the end of the first charge (Fig. 4b), the average pressure on the cell surfaces increased to 81.4 psi due to the plating of Li (from NMC) on the anode side. The pressure distribution at the end of the first charge does not follow the same pattern at OCV, and more hotspots are observed in the center (Fig. 4b) which is further amplified at the end of the 5th (Fig. 4c) and 20th charge (Fig. 4d). At the end of 50th (Fig. 4e) and 100th (Fig. 4f) charge, the hotspots propagate to the rest regions of cell surfaces, suggesting the availability of more active areas within the cells.

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246 The pressure distribution at the end of discharge (Fig. 4g-4k) follows a similar trend, but the 247 magnitude of pressure increase at the end of discharge is much lower compared to the end of 248 charge, consistent with our earlier discussions. For example, at the end of the first discharge, when 249 the plated Li (from NMC side) returns to the cathode, the average surface pressure reverts back to 250 34 psi, close to the OCV value of 32.7 psi (Fig. 4g). As cycling progresses, the average surface 251 pressure at the end of discharge increases with small amplitude (Fig. 4g-4k), indicating the 252 presence of residual materials after each cycle, such as entangled SEI and dead Li. The atomic 253 ratio of different elements (C, N, F, O, S, Li, Ni, Mn, Co) and components of SEI on the Li anode 254 at various locations (near-tab area, center, side, bottom) of electrode under different pressures (16 255 psi, 26 psi, 36 psi) do not exhibit significant differences, suggesting that external pressure, at least 256 within the range discussed in this study, pressure does not impact the SEI formation process 257 notably (Fig. S6-Fig. S7).

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259 Each time when reaching the end of the charge, it has been observed that the amplitude of pressure 260 increase in the central part of the pouch cell is consistently higher than in the rest of the regions on 261 the same surface. This indicates that more significant "thickening" occurs in the center of the anode. 262 To understand this phenomenon, the pressure mapping on the cell surface was stopped after about 263 100 cycles for post-mortem analysis. A representative Li metal anode from the cycled pouch cell is shown in Figure 41. In all harvested Li metal foils from the cell, the center part of the cycled 264 265 lithium anode consistently appears shinier than the four edges (Fig. 41). Unreacted dense Li columns (highlighted in Fig. 4m-4p) are generally observed in all four selected regions from the 266 267 cycled Li (Fig. 41), but the population of intact Li columns varies at different locations. The central part of the Li anode contains more intact Li (Fig. 4m) compared to the edges (Fig. 4n-4p), which 268 269 aligns with the color difference observed (Fig. 41). This suggests that less Li in the center area is 270 involved in electrochemical reactions, thus maintaining a dense morphology and metallic shine 271 better than the rest of the Li foil. This observation is common when examining both sides of the 272 same cycled Li foil (Fig. S8) as well as different Li foil anodes from the same pouch cell (Fig. S9 273 and \$10). Even after 300 cycles, harvested Li foils from the Li metal pouch cells in Figure 3 exhibit 274 similar attributes to those seen in Figure 4l, with the center part being much brighter than the rest 275 of the Li foils (Fig. S11), confirming that the consumption of Li in the center is slower than at the 276 edges.

278 The presence of more intact and shinier Li in the center part seems contradictory to the significant 279 increase in pressure detected in the center of the pouch cell (Fig. 4e and 4f). Note that the 280 accelerated pressure increase in the central part of pouch cell happens during charge meaning Li from NMC is covering anode surfaces. The pouch cells used for analysis, however, are all 281 282 disassembled in discharged status with the majority of Li from NMC already traveling back to 283 cathode. The less utilization of center Li foil indicates that the majority of Li involved in the 284 electrochemical reactions occurring in the central part of cell is from NMC side. Li originally 285 "stored" on the edges of the Li foil participates more in the cycling compared with the central Li.

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287 Li⁺ detour mechanism is proposed here to explain the observed phenomenon and illustrated in 288 Figure 5a. During charge, Li (from NMC) is not homogeneously plated on the Li foil anode side. 289 Instead, Li⁺ ions (from NMC) preferably plate in the center of Li foil anode leading to the much 290 faster increase of self-generated pressure in the central area of the pouch cell. Ideally, the 291 electroplating of Li (from NMC) should follow the ideal process in Figure 2. However, impacted 292 by external pressure, some of the Li⁺ ions that are supposed to deposit on the edges now detour to 293 the center of Li anode and are plated there, leading to a thicker deposition layer in the central area 294 of anode and thus higher pressure from that region. During the subsequent discharge process, more Li on the edges of Li foil anode participates in the electrochemical reaction due to less coverage 295 296 by electroplated Li layers compared to the central Li foil that is better "protected" by the Li 297 deposits from the NMC side. After repeated cycling, the utilization and darkening of Li occur 298 earlier on the edges than in the central region of the original Li foil. To prove the Li detour 299 hypothesis, a single layer pouch cell is charged and disassembled at the charge status after 3 cycles 300 (Fig. 5b and Fig.S12a) and 100 cycles (Fig.5c and Fig.S12b). In contrast to those cells 301 disassembled in discharge status, this time the central area is much darker than the edges of Li 302 anode indicating more nano particles of Li are plated in the center anode during charge.

303

304 In another parallel 350 Wh/kg Li metal pouch cell, cycling was continued for over 300 cycles (Fig. 305 S13). Significant pressure increase was observed across the entire cell surface, still with the highest pressure concentrated in the central area. This phenomenon is similar to the pressure mapping 306 results observed in Si-based pouch cells²⁹, although a different pressure fixture was used. Towards 307 the end of the cycling, the pressure distribution on the surface of the pouch cell stabilized with 308 minimal changes. The pressure mapping images at the 300th and 309th cycles (inset of Fig. S13) 309 displayed almost identical pressure distribution and amplitudes, confirming that the electrolyte had 310 311 almost completely dried out after 300 cycles. Limited external pressure does not extend the cycling 312 of the "dried" cell towards the end of cycling.

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314 Ab Initio Electric Fields:

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To gain a deeper understanding of the Li⁺ detour phenomenon during electroplating, we have calculated with ab initio electronic structure methods based on density functional theory, the electrostatic potentials (EP) and electric fields (EF) induced on the neighborhood of the surface of Li metal tablets representing structures at different applied pressures, and thus having different concentrations of Li-nuclei (supplement). We also calculated the EPs and EFs on a larger tablet containing two different nuclei concentrations: a high one around the center of the tablet and a lower one around the peripheral of the tablet (Fig. 5d).

- The specific movement of chemical species in any type of material (containing nuclei and electrons) can be studied using the electric field (\mathscr{B}), i.e., the force per unit of charge, created internally by the same system components in addition to the internal fields. The electric field, mainly, tells the magnitude and direction of the displacements of charged moieties (ions and counterions), as well as the rotational movement of neutral dipolar molecules (such as solvents and additives) to align their electric dipole in the direction of the electric field.
- 330

331 To directly demonstrate the effects of a non-uniform pressure, we analyzed a slab having two 332 different concentrations of Li nuclei (Fig. 5d). The full slab has an area of $3L \times 3L$, with 3L = 2.6333 nm. The area of the highest concentration site is a square of L×L sitting in the center of the 3Lx3L 334 surface. The remainder of the surface has a lower concentration of Li nuclei. Figure 5d shows the 335 lines of electric field (blue) originated by the Li-metal surface. They only represent the direction 336 of the trajectories. The values of the electric field are indicated with an orange background in the 337 most representative places approaching the anode and the electric potential of the black iso-338 potential contours appear with a green background. The low Li concentration at the left- and right-339 side surfaces show a repulsive behavior against Li-ions and the central one at high pressure features 340 a much higher attraction, even attracting any Li-ion positioned above the low-pressure sites.

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342 We conclude that the driving forces producing the observed trajectory deflection near the anode surface could be determined or controlled by the pressure applied to the pouch cell, increasing the 343 344 concentration of Li nuclei in the anode, and triggering further electric field changes that drive more 345 Li⁺ ions near the anode to the high-concentration or high-pressure zones of the anode. These induced electric fields in the vicinity of the anode arise from the quantum mechanical effects of 346 347 the charges carried by the electrons and nuclei of the anode. Therefore, ab initio results provide valuable insights into utilizing external pressure to facilitate preferred deposition in specific anode 348 349 regions, and such information may be used to optimize the Li deposition and stripping processes. 350

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352 Conclusions

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354 This study investigates the electrochemical plating of Li⁺ on a large scale in realistic 350 Wh/kg 355 Li metal pouch cells under varying pressures. It has been discovered that a higher external pressure helps to enhance the cycling and significantly minimize the cell swelling after extensive cycling. 356 However, the critical role that external pressure plays in extending cell cycle life is only observable 357 358 when there is still enough electrolyte present in the battery. Mapping the pressure distribution 359 across the surface of the pouch cell during cycling reveals a preferential plating of Li⁺ in the central 360 area of the anode side. This intriguing phenomenon of Li⁺ detour, influenced by pressure, is 361 explained through precise quantum theory calculations and experimentally validated in a customdesigned Li-free anode-limiting pouch cell, where the cathode area is larger than the anode. These 362 363 findings shed light on the distinct behaviors of Li⁺ during large-scale electrochemical plating driven by pressure and provide valuable insights for developing effective solutions to address 364 365 challenges in rechargeable Li metal batteries.

366

367 Methods

368 *Electrodes/electrolyte preparation*

369 The slurry for the NMC622 cathode (Targray, Canada was prepared by combining 96 wt.% NMC622, 2 wt.% conductive carbon (Super P C65, Timcal), and 2 wt.% polyvinylidene difluoride 370 (PVDF) binder (L1120 from Kureha, Korea) in N-methyl-2-pyrrolidone (NMP) solution. The 371 372 mixture was thoroughly mixed using a Thinky mixer in a dry room maintained at a constant temperature of 19 °C and a relative humidity of 0.1% (dew point controlled below -55 °C). 373 374 Additional NMP (Targray, Canada) was added to adjust the solid content to 51 wt%. The slurry 375 was then coated onto both sides of a 10-um-thick Al foil using a comma coating machine 376 (Mediatech, Korea) to achieve a controlled areal coating weight of 19-20 mg/cm² (~3.5 mAh/cm²). 377 or single layer pouch cells and the outer electrodes of multiple layer pouch cells, a single-side 378 coated NMC622 electrode was prepared. The coated cathode was calendared to 2.8 g/cm³, punched into rectangular pieces, and dried in a vacuum oven at 60 °C for 24 hours. After drying, the cathode 379 electrode was cut to dimensions of 36.0 mm wide and 54.0 mm long, and any residual NMC622 380 381 materials on the Al tab were removed with NMP prior to cell assembly. Free-standing Li foil (50 382 μ m, Li content \geq 99.9%) was obtained from China Energy Lithium and used directly in the dry room. The Li foil was laminated onto both sides of a Cu mesh current collector (MTI, USA) in the 383 384 dry room, and then punched into rectangular pieces. The Li anode electrode was cut to dimensions 385 of 37.5 mm wide and 55.5 mm long prior to cell assembly. Battery-grade lithium bis(fluorosulfonyl)imide (LiFSI) (Nippon Shokubai, Japan) was dried at 120 °C under vacuum for 386 24 hours before use. 1,1,2,2-tetrafluoroethyl-2,2,3,3-tetrafluoropropyl ether (TTE, 99%, SynQuest 387 Laboratories, USA) was dried with 4Å molecular sieves prior to use. LiFSI and 1,2-388 dimethoxyethane (DME, Gotion, Inc. China) were mixed together and then diluted with TTE to 389 390 create a 1.5 M LiFSI-DME-TTE electrolyte solution (with a DME/TTE molar ratio of 1.2:3) in 391 an argon-filled glove box with oxygen and moisture levels below 0.1 ppm.

392 *Pouch cell assembly*

393 All pouch cells were assembled in a dry room with a semi-automated cell manufacturing line 394 (MediaTech, Korea) at the Advanced Battery Facility Lab of the Pacific Northwest National Laboratory (PNNL). The assembly process involved slurry mixing, comma coating, calendaring, 395 396 Z-stacking of cathode, and separator, grid trimming, ultrasonic welding for connection with 397 Al (cathode) and Ni (anode) external tabs, packaging foil formation, top and side sealing, and 398 vacuum sealing with electrolyte injection. As seen in the cell design in Table S1, to achieve 399 350 Wh/kg energy density, the areal capacity of NMC622 cathode was controlled to 3.5 mAh/cm² 400 on each side of the Al foil. Each pouch cell consisted of 16 layers of double-sided anodes, 15 double-side coated and two single-side coated NMC622 cathode layers. With the 50 µm Li foil, 401 402 the N/P ratio or cell balance was 3.0:1, and the E/C ratio was 1.9 g/Ah. The total capacity of each 403 pouch cell exceeded 2.1 Ah.

- 404 For Li/NMC622 single-layer pouch (SLP) cells, the cathode and anode electrodes were similar as 405 described above for the multi-layer pouch cells. The capacity of the SLP cell was about 160 mAh. 406 The cell configuration (Fig.S12c) comprised of a layer of double-sided Li anode sandwiched with two layers of single side coated NMC622 cathode. Each SLP cell was filled with 1 g of electrolyte. 407 408 After resting for 24 hours, the SLP cells were clamped using a compression device (as shown in Fig. S1b) with an initial pressure of 36 psi. The initial pressure was measured using a digital force 409 gauge with a remote load cell (SHIMPO FG-7000L, USA). The testing procedure for the SLP cells 410 was same as that for the multiple-layer pouch cells. 411
- 412
- 413 *In situ pressure test*

414 For the in-situ pressure test, multiple-layer pouch cells were sandwiched in a stainless-steel 415 clamping device (shown in Fig. S1c) with a target initial external pressure. Two silicon mats were 416 placed between the pouch cell and the stainless-steel plates of the clamp to ensure uniform pressure 417 distribution. The pouch cell, along with the in-situ pressure measurement system (Loadstar Sensors, Model: DI-1000UHS, USA), was set in place, and the initial pressure of the cell was adjusted to 418 419 the target force and fixed with screws. The applied initial force was calculated based on the target 420 pressure and the battery area. For example, when the targeted initial pressure was 16 psi, so the applied initial force was 16 psi * 3.72 inch² (battery area: 4 cm * 6 cm=24 cm²=3.72 inch²) = 59.6 421 422 lb. The clamped Li metal pouch cells were placed in a gas-detecting safety chamber (Cincinnati 423 Sub-Zero, USA) filled with inert gas (N₂) at 25 °C. Galvanostatic cycling tests were conducted within a voltage range of 2.7 V to 4.4 V using Land battery testers (LANHE CT2001B, China). 424 The pouch cells were first charged/discharged at a constant current rate of 0.1 C for two initial 425 426 formation cycles, followed by charging at 0.1 C and discharging at 0.3 C in subsequent cycles (1 C corresponds to 3.5 mA/cm² or 2.1 A). The in-situ pressure measurement system recorded the 427 428 force every 10 seconds, and the average pressure was calculated as the average value of all the 429 pressure data points in a cycle.

430

431 *Pressure mapping test.*

432 For the pressure mapping test, the pouch cell was sandwiched with a clamping device similar to 433 Fig. S1b. Two silicon mats were placed between the pouch cell and the stainless-steel plates of the 434 clamp for uniform pressure distribution. A force mapping sensor (Tekscan, Model 5076, USA) was positioned between the bottom silicon mat and the stainless-steel plate, in contact with the 435 436 pouch cell. Pressure was applied to the pouch cell until the target pressure of 36 psi or 133.9 lb 437 force was reached. The testing protocol for the pouch cell was the same as the in-situ pressure test. 438 There were slight differences in measurement accuracy between the force mapping sensor and the 439 in-situ pressure measurement system. To make the results from the force mapping sensor relevant 440 to the in-situ pressure measurement system, a calibration was performed. The Tekscan force 441 mapping sensor was calibrated using the Loadstar in-situ pressure measurement system and the 442 SHIMPO digital force gauge. A pressure of 100 psi (or 372 lb force) was applied to the pouch cell, and the average pressure measured by the Tekscan force mapping sensor was recorded. The 443 calibration factor was calculated by dividing the applied pressure (100 psi or 372 lb) by the 444 445 recorded average pressure from the Tekscan mapping sensor. The average pressure of the pouch 446 cell at a certain voltage during the cycling test was obtained by multiplying the calibration factor 447 by the average pressure provided by the Tekscan force mapping sensor.

448

449 SEM/(S)TEM characterization

The surface and cross-section morphology of the cycled Li anodes were characterized using a Thermo Fisher Helios SEM. The cross-sections of the cycled Li anodes were obtained by cutting the anodes with a razor blade in an Ar-filled glove box. The cycled Li anode from the 2 Ah pouch cell at 16 psi was characterized using a 300 kV FEI Titan monochromated (S)TEM equipped with a probe aberration corrector. For the Li metal sample, a Cryo-holder was used to transfer the Li sample to the (S)TEM.

- 456
- 457 *XPS Experimental*

458 XPS measurements were performed using a Thermo Fisher NEXSA system. The system utilized

459 a focused monochromatic Al K α (1468.7 eV) source for excitation and a double-focusing

460 hemispherical analyzer with a multi-element input lens and a 128 channel detector. The X-ray

beam was incident to the sample at a normal angle, while the photoelectron detector was

462 positioned at a 60° angle relative to the sample normal. High-energy resolution spectra were

- 463 collected with a pass-energy of 50 eV, a step size of 0.1 eV, and a dwell time of 50 ms. The full-
- 464 width-at-half-maximum (FWHM) for the Cu 2p3/2 peak was measured to be 0.82 eV under the
- same conditions used for the collection of the narrow scan spectra.
- 466

467 *Ab Initio calculation*

468 The contribution of electrons to generate electric fields can be obtained solving the Schrödinger 469 Equation for a system of *n* electrons located at points $r_i(r^{(n)})$ and *N* nuclei located at points R_i 470 $(R^{(N)})$, thus,

471

472
$$\widehat{H}(r^{(n)}, R^{(N)})\Psi(r^{(n)}, R^{(N)}) = E(R^{(N)})\Psi(r^{(n)}, R^{(N)})$$
 (1)
473

In this situation, the array of nuclei positions $R^{(N)}$ is directly determined by the pressure applied to the pouch cell, the more pressure the more concentrated the nuclei (Fig. S14). Solving the above equation with an exact Hamiltonian operator (\hat{H}) using ab initio methods such as density functional theory (preferred) or a traditional ab initio (such as HF, MP, CC, CI, etc.), we obtain from this eigenvalue problem the wave function Ψ and the energy *E*. The wavefunction Ψ yields a Slater determinant that can be written as

481
$$\Psi = det |\psi_i(r_1) \ \psi_i(r_2) \ \psi_i(r_3) \ \cdots \ \psi_i(r_n)|$$
 (2)
482

Where the $\psi_i(r_j)$ are the crystal or molecular orbitals. In this shorthand notation of the determinant, the rows of the determinant are created running the dummy index *i* from 1 to *n*. And the wavefunction is a function of 3n variables. For the sake of simplicity, we use atomic units and ignore the *n* spin variables and normalization constants, but they are considered in the calculations. Thus, the electron density (ρ_e) at any point *r* can be obtained from summing all occupied orbitals, 488

489
$$\rho_e(r) = -\sum_i |\psi_i(r)|^2$$
 (3)
490

491 And the corresponding nuclei contribution, at points R_i with charges Z_i , using the Dirac δ -function 492 can be written as

493

494
$$\rho_N(r) = \sum_i \delta(r - R_i) Z_i \tag{4}$$

496 These two densities give us the electrical potential at any point r, V(r),

498
$$V(r) = \int \frac{\rho_N(r) - \rho_e(r)}{|r - r'|} dr$$
(5)

499

497

500 Finally, the electric field \mathscr{C} is obtained from the gradient (∇) of the potential,

501

502 $\mathscr{B}(r) = -\nabla V(r)$

504 For a surface under a non-uniform distribution of local pressures, for example a surface with only 505 two of the pressures/concentrations shown in Figure S14, the net electric field in this non-uniform 506 case can be estimated as the vector sum of their corresponding electric fields, since the interactions 507 have been calculated between elementary particles (nuclei and electrons) so their pair interactions are independent of any other particles around. This electric field is obtained through the formalism 508 509 explained in Equations 1-5 when applied to the nuclei and electrons of the anodes of different 510 densities that can be associated to different applied pressures. Obviously, for this case of having 511 two different pressures on the same surface, the site with the highest pressure will have the highest concentration of lines of force (8). This reasoning can be extended to a surface with any number 512 of different pressures on its surface. We remark that making a larger anode with a given pressure 513 514 simply adds the electric fields at the center of the anode surface, regardless of the size of the area, and in all cases the electric fields at the lateral boundaries of the anode surface will be mostly 515 repulsive or of reduced attraction with respect to those in the center of the anode. 516

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521 Acknowledgements

This research has been supported by the Assistant Secretary for Energy Efficiency and Renewable
Energy, Office of Vehicle Technologies of the U.S. Department of Energy (DOE) through the
Advanced Battery Materials Research Program (Battery500 Consortium). The SEM and TEM
were conducted in the William R. Wiley Environmental Molecular Sciences Laboratory (EMSL),
a national scientific user facility sponsored by DOE's Office of Biological and Environmental

527 Research and located at Pacific Northwest National Laboratory (PNNL). PNNL is operated by

528 Battelle for the DOE under Contract DE-AC05-76RL01830.

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603 Figure 1. In situ pressure monitoring of three 350 Wh/Kg Li/NMC622 pouch cells (2Ah) tested under 604 different initial external pressure: (a, d, g) 16 psi, (b, e, f) 26 psi and (c, f, i) 36 psi. (a-c) show the 605 evolution of self-generated pressures during charge/discharge for the first five cycles. (d-f) compare the 606 pressures detected at the end of charge/discharge for each cycle, highlighting the differences between the 607 pouch cells with varying initial pressures. The average pressure is also plotted in (d-f) to compare the amplitude of pressure change generated by the pouch cells during cycling. (g-i) represent the cycling 608 609 stability of the three Li metal pouch cells tested under different initial pressures: (g) 16 psi, (h) 26 psi and 610 (i) 36 psi. All cells were charged at a rate of 0.1 C and discharged at a rate of 0.3 C between 2.7 V and 4.4 611 V.











633 Figure 3. Li metal pouch cells before and after extensive cycling under different initial pressures. (a) 634 As-prepared 350 Wh/kg Li metal pouch cell with a thickness of approximately 5.45 mm. (b-d) Pictures of 635 pouch cells tested under initial pressures of (b) 16 psi after 304 cycles, (c) 26 psi after 313 cycles and (d) 636 36 psi after 335 cycles. The swelling rate of all three pouch cells is between 6-8% after extensive cycling. 637 (e-g) show cross-section and surface view SEM images of cycled Li metal anodes harvested from pouch 638 cells tested at initial pressures of (e-h) 16 psi, (f-i) 26 psi and (g-j) 36 psi. For each pouch cell, the 5th Li 639 anode assembled in the cell was taken out for further SEM characterization. On each harvested Li anode, a 640 small piece of cycled Li was cut from the same location on the long edge near the copper tab, as indicated 641 in (**k**). 642





645 Figure 4. Mapping of pressure distribution on the surface of a prototype 350 Wh/Kg Li/NMC622 646 pouch cell (2Ah) during cycling and correlation to lithium metal morphologies after cycling. (a) The 647 average pressure on the surface of the pouch cell is 32.7 psi at OCV. The pressure applied on the center is 648 slightly higher than the rest area of the pouch cell from the mapping system. Pressure increases continuously on the cell surface after the (b) 1st, (c) 5th, (d) 20th, (e) 50th and (f) 100th charge. The central part of the pouch 649 650 cell demonstrates a faster increase in pressure compared to the rest of the surface. Pressure distribution after 651 the (g) 1st, (h) 5th, (i) 20th, (j) 50th and (k) 100th discharge is also shown. The amplitude of pressure increase 652 on the pouch cell surface is much lower at the end of discharge compared to the charge status. (1) is a photo 653 of one of the cycled Li metal anodes harvested from the pouch cell used for pressure mapping. The central 654 part of the cycled Li metal anode maintains its metallic shine and is further analyzed using (m) SEM from 655 cross-section and top views. Additional three locations from the edges are also selected for SEM 656 characterizations. (n) displays SEM images of Li located on the short edges near copper tab. (o) represents 657 the cross-sectional and top view SEM images of Li located in the corner of the cycled Li foil anode, far 658 away from the tabbing. (**p**) shows SEM images of Li located in the long edge of the same Li metal anode 659 as indicated in (I). The pouch cell is in discharge status before being disassembled to harvest the cycled Li 660 metal anode. 661







669 cells (\sim 160 mAh) at charge status after (b) 3 and (c)100 cycles. Both sides of the Li anode are displayed. 670 The single-layer pouch cells were cycled at 0.1 C/0.33 C between 2.7 V and 4.4 V with an initial pressure 671 of 36 psi. They were disassembled at charge status. (d) Theoretical calculation of the electric field 672 distribution at the Li anode affected by two different external pressures. The electric field lines of force (blue trajectories) are induced by the nuclei and electrons at the anode, interacting with the incoming lithium 673 674 ions as they approach the anode, causing deviations from their straight paths due to the charging electric 675 field. The regions of highest concentration or pressure of Li nuclei (yellow cube) are the most attractive to 676 lithium ions. Although this seems to be at odds with Coulombic behavior, it is also clear that a higher 677 concentration of nuclei is accompanied by a higher concentration of electrons in the neighborhood. The red 678 parallelepiped (tablet shape) box is the full anode of Li-metal. The central atoms in the yellow 679 parallelepiped are at a higher concentration (pressure) than the reminder Li-nuclei in the red tablet. The 680 electric potential and the electric field are plotted on a vertical plane that cuts diagonally (on the dashed 681 light blue horizonal diagonal) the red and therefore the yellow parallelepipeds. The electric field values are 682 indicated in an orange background and the electric potentials in a green background.

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Supplementary Files

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