

# Health literacy and health outcomes in China's floating population: mediating effects of health services

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## Research article

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1   **Health literacy and health outcomes in China's**  
2   **floating population: mediating effects of health**  
3   **service**

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1   **Abstract:** **Background:**The floating population in China consists primarily of internal  
2   immigrants and represents a typical health vulnerable group. Poor health literacy has recently  
3   become an obstacle in the accessibility and utilization of health services for the vulnerable  
4   population, leading to adverse health outcomes. This study aimed to examine whether health  
5   literacy affected health outcomes in China's floating population and whether health service  
6   utilization had a mediating effect between health literacy and health outcomes. **Method:** The  
7   current study utilized a cross-sectional stratified, multistage, proportional to scale (PPS) study in  
8   Zhejiang Province, China, in November and December 2019. In total, 657 valid self-reported  
9   questionnaires were recovered and used for data collection. Questionnaires included questions  
10   regarding sociodemographic characteristics, health literacy, health outcomes, and health service  
11   utilization. Confirmatory factor analysis was used to test questionnaire validity; descriptive  
12   statistics were used to understand the demographic characteristics of the floating population; and  
13   structural equation modeling was used to determine whether health service utilization mediated  
14   health literacy and health outcomes. **Results:** We report positive correlations between health  
15   literacy, health service utilization, and health outcomes. Mediation analysis demonstrated that  
16   health service utilization had partial mediating effects between health literacy and health  
17   outcomes. In the relationship between health literacy and health outcomes, the indirect effects of  
18   health service utilization accounted for 6.6%–8.7% of the total effects. **Conclusion:** Complete  
19   health literacy, through health care literacy and health promotion literacy, affects the mobile  
20   population's initiative to use health services, which, in turn, affects health outcomes. Thus,  
21   improving the health literacy of the floating population will help to improve health outcomes.  
22   Furthermore, health service providers should enhance the diversity of health service supply to  
23   ensure that the floating population has the external resources to improve personal health literacy.

1    **Keywords:** floating population, health literacy, health outcomes, health services

1      **BACKGROUND**

2      Health literacy, or lack thereof, has become a global problem. The World Health  
3      Organization has defined health literacy as “the cognitive and social skills which determine the  
4      motivation and ability of individuals to gain access to, understand and use information in ways  
5      which promote and maintain good health” [1]. Studies have found that health literacy is  
6      associated with access to health services, and lower health literacy leads to adverse health  
7      behavior and outcomes. Indeed, low health literacy not only directly leads to higher disease  
8      prevalence, but also limits individuals’ understanding of health information and health guidance,  
9      which further leads to poor disease self-management [2, 3]. Individuals with low health literacy  
10     fail to make full use of preventive and medical services, which heightens the cost of eventual  
11     hospital stays and medical care [4]. However, there has been little research on health literacy in  
12     non-English-speaking countries, and even less on vulnerable populations in those countries, such  
13     as China’s “Floating Population”.

14     The floating population is a concept that was developed under China's household registration  
15     system. Briefly, it refers to people who have left their domicile of origin but find they cannot  
16     enjoy the same health services as local populations because of differences in household  
17     registration. Notably, internal migration often leads to changes in employment, living  
18     environment, and social networks. Internal migration is a typical marker of economic  
19     development and social progress, and in the context of rapid ongoing urbanization, large-scale  
20     population migration is predicted to be an important factor in China's continuing socioeconomic  
21     development. However, population migration requires healthy citizens, which makes health a  
22     prerequisite for the development and survival of the floating population.

23     Migrant health is affected by various factors, including insufficient health awareness,

1 limited financial capacity, place of residence, and work environment [5]. China's floating  
2 population is particularly vulnerable to unhealthy lifestyles due to lack of health literacy. A  
3 survey in Zhejiang Province found that the incidence of measles was positively correlated with  
4 the proportion of individuals in the floating population, likely due to low vaccination rates  
5 among provincial floating populations [6]. Another study found that self-measurement scores of  
6 individuals in the floating population were significantly lower than those of the local population  
7 in areas of physical health (i.e., fatigue and gastrointestinal symptoms) and psychological health  
8 (i.e., anxiety and depression). Additionally, the psychological health of a floating population is  
9 typically correlated with how well they can integrate with local residents [7].

10 Research on migrant health outside of China shows similar findings. A United States-based  
11 study found that the risk of cardiovascular disease was higher in the floating population than in  
12 the local population [8]. Furthermore, among African immigrant youth in Canada, problems  
13 related to regional discrimination, identity, and cultural impact adversely affected psychological  
14 health [9]; however, only 13.3% were willing to seek out psychological health services [10].  
15 Immigrants tend to experience heavy mental burdens after settling in a new area [11], and this is  
16 certainly true of China's floating population. As such, it is important to focus on the health  
17 problems of this population.

18 Proposing that the individual is ultimately responsible for his or her health, the "Healthy  
19 China" strategy advocates for increasing health literacy, shifting the focus from disease treatment  
20 to prevention, and accelerating the adoption of healthy lifestyles. On a global scale, one study  
21 found that increased disease-prevention literacy in a rural Turkish population was positively  
22 correlated with vaccination rates [12]. Due to low health literacy, China's floating population is  
23 at higher risk for infectious disease transmission. Indeed, a lack of reproductive health

1 knowledge has increased the spread of sexually transmitted diseases [13]. Many youths in the  
2 floating population also have irregular diets, excess stress, and a lack of routine medical  
3 treatment, all of which could lead to adverse health outcomes. A Korean-based study found a  
4 correlation between the health literacy level of migrants and risk factors for type 2 diabetes [14];  
5 poor health literacy is also correlated with HIV infection among the African American population  
6 [15]. Another study found that although locals and immigrants face similar mental health  
7 problems, immigrants are less likely to use mental health services [16]. Finally, a recent study  
8 reported that poor health literacy could act as a barrier to accessing health services among  
9 vulnerable populations, thereby resulting in poor health outcomes [17].

10 Healthcare systems based on place of residence and employment status can make it difficult  
11 for migrants to access health services [18], although increased health service utilization  
12 (including medical, healthcare, and rehabilitation services) would likely reduce adverse health  
13 outcomes in vulnerable populations. One study in particular found that maternal health services  
14 significantly reduced mortality rates among pregnant women [19]. However, due to the  
15 restrictions of China's household registration system, the floating population has both low  
16 awareness [20] and poor utilization of health services, and subsequent lower vaccination rates  
17 compared to local populations [21]. Outside of China, health service utilization by immigrants is  
18 similarly affected by health literacy. In New York City, for example, low mental health service  
19 utilization rates among elderly Chinese immigrants were shown to increase the risk of mental  
20 illness [22]. Additionally, only 45.6% of immigrant subjects in Brazil used some form of medical  
21 service [23].

22 Health literacy and health service utilization both influence health outcomes. However, an  
23 effort to improve the initiative of the floating population to utilize health services through an

1 improvement in health literacy has not yet been investigated. We propose researchers and health  
2 advocates alike focus on three dimensions of health promotion to improve the health of the  
3 floating population: health awareness, health behavior, and supportive environments. Indeed,  
4 health awareness and health behavior are both part of the broader health literacy, while a  
5 supportive environment refers to external health services. An individual's health literacy must  
6 merge with a supportive external environment to ultimately improve health outcomes. Hence, the  
7 current study aimed to examine the relationship between health literacy and health outcomes in  
8 China's floating population and to determine whether health service utilization has a mediating  
9 effect in this relationship.

10

## 11 **METHOD**

12 The data used in this study were obtained from Zhejiang Province, China. Zhejiang province  
13 has had the second-largest floating population in China for 19 continuous years (~22.57% of  
14 China's 241 million-person floating population). Representative cities Hangzhou, Ningbo, and  
15 Wenzhou were selected as study sites due to their robust internal migrant populations. Before  
16 conducting the survey, a preliminary test was carried out in which 150 questionnaires were  
17 distributed and 148 were collected (recovery rate: 98%). The internal consistency reliability was  
18 tested by clonbach consistency coefficient, and the validity was tested by exploratory factor  
19 analysis and confirmatory factor analysis. The revised questionnaire was then used to carry out a  
20 large-scale survey in November 2019.

21 The 2017 annual floating population data for Zhejiang Province were used as the sampling  
22 frame basis. A stratified, multistage, scale-proportion probability proportional to size (PPS)  
23 method was used for sampling. Three sampling sites were randomly selected from Hangzhou,

1 Ningbo, and Wenzhou, and three communities were randomly selected in each sampling site.  
2 Researchers selected 20–40 people from the floating population in each selected community  
3 according to gender, age, and migration time. The inclusion criteria included people who had  
4 been in Zhejiang for at least one year before the survey, did not have a registered household  
5 address in the region (county, city), and were over age 15 in November 2019. The exclusion  
6 criteria included students and transient populations at train stations, harbors, airports, hotels, and  
7 hospitals. In order to improve the questionnaire recovery rate, the research team conducted  
8 online and offline training for the investigators and respondents and provided on-site guidance  
9 and rewards for the investigation. A total of 670 questionnaires were distributed online and  
10 offline, and 657 valid questionnaires were recovered (validity rate: 98%). The structural equation  
11 model research requires that the ratio of parameters to be estimated in the model should be  
12 between 1:5 and 1:10. The structural equation in this paper has 55 parameters to be estimated;  
13 thus, the sample size is required to be between 275 and 550. The number of samples recovered  
14 was 657, which meets needs of empirical research for the structural equation. Before each  
15 subject completed the self-reported questionnaire, the investigator explained the aim of study, the  
16 data collection method, and how to complete the survey. The investigators also informed subjects  
17 that participation was anonymous and voluntary. This study received ethical clearance from the  
18 Ethics Committee of Wenzhou Medical University.

## 19 **Measurements**

### 20 ***Health gain***

21 Health outcomes are used as outcome indicators in the form of self-rated reports. The  
22 dependent variables of self-evaluated health, physiological health, and psychological health were  
23 used to examine health status in the floating population. The short-form 36 questionnaire (SF-36)

1 from the United States was used to assess *self-evaluated health*[24]; it measures self-perceived  
2 health of an individual compared to peers using a five-point Likert scale. A simplified version of  
3 the Patient Health Questionnaire (PHQ-15) was used to measure *physiological health*; the  
4 Chinese version has shown good validity and reliability [25]. The scale includes questions  
5 related to headache, chest pain, and arthralgia, each assessed on a five-point Likert scale. Finally,  
6 a simplified version of the Hopkins Symptoms Check List (HSCL) was used for *psychological*  
7 *health*. The scale measures anxiety and depression using a five-point Likert scale; here, the  
8 higher the score, the poorer the perceived psychological health of the individual [26].

9 ***Health services***

10 The health service is effect modifiers. The “2017 Chinese Floating Population Health and Family  
11 Planning Dynamic Monitoring Questionnaire” was used to evaluate the level at which health  
12 services were accessed by the floating population. The questionnaire includes five content areas:  
13 (1) general status of family members, (2) movement trends and residence intention, (3)  
14 employment characteristics, (4) basic public health service utilization, and (5) social integration.  
15 This study used the basic public health service utilization section, which includes 14 basic public  
16 health service items (i.e., health record management, health education, prophylactic vaccination,  
17 health management of 0–6-year-old children, health management of pregnant women, health  
18 management of elderly people, health management of chronic disease patients (hypertension,  
19 diabetes), management of severe mental illness patients, health management of tuberculosis  
20 patients, infectious diseases and public health emergency reporting and management services,  
21 traditional Chinese medicine health management, health and family planning monitoring services,  
22 free contraceptive tools, and health literacy promotion). The Chinese Floating Population Health  
23 and Family Planning Dynamic Monitoring Questionnaire is divided into four dimensions of

1 vaccination services, health examination, health education, and physical examination; five-point  
2 Likert scales were used to assess health services provided in these four dimensions.

3 ***Health literacy***

4 The Health literacy is predictors. The European Health Literacy Survey Questionnaire was used  
5 to investigate health literacy in the floating population. This questionnaire is multidimensional  
6 and has been used to measure health literacy in European populations [27]. The questionnaire is  
7 available in three versions that measure health literacy in different ways. Here, we used the  
8 HLS-EU-Q47 questionnaire, as it is the most widely used, and has high validity and reliability in  
9 Asian contexts [28]. The HLS-EU-Q47 is based on a 4 (information processing: finding,  
10 understanding, judging, applying) × 3 (health domain: health care, disease prevention, health  
11 promotion) matrix and contains 47 questions that measure health literacy using a four-point  
12 Likert scale (1: extremely difficult to 4: extremely easy).

13 **Data analysis**

14 SPSS 22.0 was used for frequency analysis, reliability testing, and Pearson's correlation  
15 analysis. Amos 22.0 was used to establish a confirmatory factor model to provide validity,  
16 construct a standardized path test, and examine the hypothesis testing results; bootstrapping was  
17 used to test the mediating effects. In the reliability analysis, the baseline value for judging the  
18 questionnaire was determined to be 0.7, with a value greater than 0.7 indicating that the  
19 questionnaire was feasible. Similarly, the Amos test of questionnaire validity was carried out  
20 using the model fit index. The specific criteria for assessment were  $\text{cmin}/\text{df} < 5$  and GFI, AGFI,  
21 NFI, TLI, and  $\text{CFI} < 0.8$ , showing that the questionnaire had good validity. If a model has three  
22 questions, the constructed model is saturated and df is 0, and the model fit results will not be  
23 evaluated. In subsequent hypothesis testing, the collinearity test results were first used to ensure

1 the inflation factor of the variable did not exceed 10 to show that collinearity was absent between  
2 variables. At the same time, the common-method variance test was carried out. Harman's  
3 single-factor test was used to determine that the explanatory power of the first component in the  
4 initial eigenvalue was 42.972%, which is lower than 50%, showing that common-method  
5 variance was absent between variables. After that, path modeling and mediator model testing  
6 were conducted. The basis of the path modeling was the theoretical model (Figure 1). Figure 1  
7 shows that healthcare, disease prevention, and health promotion were the independent variables;  
8 health service utilization was a mediator; and health gain was the dependent variable; these were  
9 used to construct the path model.

10 At the same time, bootstrapping was used for the mediator test to obtain the total, direct, and  
11 mediating effects of various variables. Mediating effect analysis needs to meet the following  
12 conditions: 1) Health literacy is significantly correlated with health outcomes (total effect; Path c,  
13 Figure 1); 2) Health literacy is significantly related to the use of health services; 3) Control of  
14 health literacy, where health service utilization is significantly correlated with health outcomes  
15 (path b); and 4) The relationship between health literacy and health outcomes is reduced when  
16 controlling for health service utilization (indirect impact, a x b) (direct impact, pathway c'). A  
17 total of 2,000 random sampling calculations were used to obtain the 95% confidence interval of  
18 the estimated value.

19

## 20 RESULTS

21 Table 1 shows the sociodemographic characteristics of the study participants. Among the  
22 657 subjects, there were 244 males (37.1%) and 413 females (62.9%). The proportion of subjects  
23 aged <40 years was 75.6%, and 78.2% had an educational level of high school or below. The

1 proportion of subjects with a bachelor's degree was 9.4%.

2 Table 2 shows the reliability analysis of the scale and the variable means. Cronbach's  $\alpha$   
3 consistency coefficient was used to test internal consistency reliability, and the threshold value  
4 was 0.7. A Cronbach's  $\alpha$  greater than 0.7 indicated consistent reliability for that particular  
5 measurement; overall, the questionnaire was reliable. As indicated in Table 2, the analysis of  
6 health literacy showed that health promotion literacy of the floating population was better than  
7 healthcare literacy and disease-prevention literacy. Furthermore, analysis of health service  
8 utilization showed that utilization of health examination services in particular was better than  
9 utilization other types of health services. Finally, the mean value for health outcomes  
10 demonstrated that overall health of the floating population was poor, but psychological health  
11 appears better than the physiological health.

12 Table 3 shows the validity analysis results and specific discrimination criteria. We used  
13 Amos evaluation markers CMIN/DF, NFI, IFI, TLI, CFI, and RMSEA for structural equation  
14 modeling (SEM) and model discrimination. Fit indices for health literacy, health service  
15 utilization, and health outcomes were within the acceptable range as measured by the  
16 confirmatory factor analysis of the questionnaires.

17 Table 4 shows the correlations between variables. Total health-service utilization was  
18 significantly positively correlated with health literacy, health outcomes, and other various  
19 dimensions. Health literacy was also significantly positively correlated with total health gain and  
20 various variables.

21 Tables 5 and Fig.2 shows the path analysis. As mentioned above, significant correlational  
22 relationships exist between various hypothesis variables; we therefore constructed a path analysis  
23 model using Amos. Table 5 shows that all paths conformed to our hypothesis *except* the paths

1 from disease prevention literacy to health service utilization and health outcomes. Healthcare had  
2 significant positive effects on health service utilization,  $r(DF)=0.227, p < 0.001$ . Disease  
3 prevention *did not* significantly affect health service utilization,  $r(DF)=0.112, p = 0.135$ , nor did  
4 it significantly predict health outcomes,  $r(DF)=-0.084, p = 0.25$ . Health promotion had a  
5 significant positive effect on health service utilization,  $r(DF)=0.299, p < 0.001$ , and health  
6 outcomes,  $r(DF) = 0.248, p < 0.001$ . Health service utilization also had a significant positive effect  
7 on health outcomes,  $r(DF) = 0.29, p < 0.001$ , as did healthcare,  $r(DF) = 0.215, p < 0.001$ .

8 Table 6 shows the test results of confirmatory factor analysis. According to the comparison  
9 of results, the overall model of fit is appropriate, where  $CMIN/DF=1.723 < 3$ ; NFL, IFI, TLI, and  
10 CFI were all greater than 0.9, and  $RMSEA=0.033 < 0.05$ . Therefore, the data and model match  
11 very well, and the model is valid.

12 Table 7 shows the mediation test. We tested the significance of this indirect effect using  
13 bootstrapping procedures. Unstandardized indirect effects were computed for each of the 2,000  
14 bootstrapped samples, and the 95% confidence interval was computed by determining the  
15 indirect effects at the 2.5<sup>th</sup> and 97.5<sup>th</sup> percentiles. The mediation test was significant for  
16 healthcare effects on health outcomes through health service utilization, as the bootstrapped  
17 unstandardized indirect effect was 0.280, and the 95% confidence interval ranged from  
18 0.174–0.378. Thus, the indirect effect was statistically significant. Furthermore, the direct effect  
19 was 0.215, and the 95% confidence interval ranged from 0.108–0.313, indicating a true direct  
20 effect. Finally, the mediating effect size was 0.066, with a 95% confidence interval of  
21 0.023–0.299, indicating a partial mediation model. Hence, the results show that the total effect,  
22 direct effect, and mediating effect of disease prevention on health outcomes through health  
23 service utilization were *not* true, indicating that health service utilization was not a mediator

1 variable for the effects of disease prevention literacy on health outcomes. However, the  
2 mediation test was significant for health promotion on health outcomes through health service  
3 utilization, with a total effect size of 0.335, and a confidence interval ranging from 0.192–0.467,  
4 indicating that the total effect is true. The direct effect size was 0.248, with a confidence interval  
5 of 0.101–0.389, indicating a true direct effect. Finally, the mediating effect size was 0.087, with a  
6 confidence interval of 0.047–0.133, indicating that the total effect is true, and the model is a  
7 partial mediation model.

8

## 9 **DISCUSSION**

10 This study employed SEM to examine the relationship between health literacy and health  
11 outcomes in China's floating population and simultaneously analyzed the mediating effects of  
12 health service utilization. Health literacy affected health outcomes through two  
13 dimensions—healthcare literacy and health promotion literacy—but disease prevention did not  
14 directly affect health outcomes. Notably, health literacy had positive effects on health outcomes,  
15 and health service utilization had partial mediating effects between health literacy and health  
16 outcomes.

17 Healthcare literacy illustrates whether people are equipped with an understanding of and  
18 ability to communicate about health services and whether they possess medical skills for  
19 emergencies; healthcare literacy directly affects health outcomes. Notably, the majority of  
20 individuals in the floating population choose to either self-medicate or forgo medical treatment.  
21 One out of 5 individuals reported the choice to visit private/individual clinics or community  
22 health service stations/rural health centers. Finally, a small minority choose to seek care at a  
23 county-level hospital [29]. Such choices are the result of interactions between subjective and

1 objective factors and are associated with education level, income, occupation, health status, and  
2 disease. Self-medication is typically the first choice among individuals in the floating population  
3 after disease onset; this requires the individual to actively search for treatment information. If  
4 they are unable to self-medicate, they could suffer from a major disease and must seek  
5 professional medical services. This requires them to be able to communicate with physicians and  
6 understand medication instructions, among other aspects of treatment. Furthermore, income and  
7 social security limit the ability of the floating population to access medical services. They must  
8 therefore master certain self-treatment techniques, such as traditional massage, acupuncture, and  
9 cupping therapy.

10 Health promotion literacy directly affects health outcomes. Health promotion refers to a social  
11 behavior or strategy that uses administrative or organizational measures to coordinate various  
12 social departments, communities, families, and individuals to carry out individuals' health  
13 responsibilities to jointly maintain and promote health. In health literacy, health promotion refers  
14 to an individual's understanding of the factors affecting physical and mental health, such as  
15 governmental health policies, community facilities, social networks, work environments, and  
16 residential environments. It also refers to actively searching for relevant health education  
17 resources and making decisions about improving health. To better satisfy the health service needs  
18 of the floating population and improve their health levels, the government can rely on  
19 multicenter governance theory and social policy development directions to ask communities to  
20 construct a more comprehensive health service utilization system. Such a system would include  
21 three major providers of floating population health services: community residential (village)  
22 committees, community health service centers, and social workers/community organizations [30].  
23 The living conditions and work environments of the floating population are generally poor, and

1 these adverse factors have negative effects on health. Notably, the effects of adverse work  
2 environments are particularly prominent. Although participation in social activities, staying with  
3 family members, and socializing with local employees have important positive effects on  
4 promoting health among the floating population. Therefore, relevant departments should employ  
5 the necessary measures to improve the living conditions and work environments of the new  
6 generation of rural workers to improve their health [31].

7 Disease prevention did not directly affect health outcomes. Disease-prevention health  
8 literacy mainly refers to understanding the importance of health behaviors and health  
9 examinations, and it entails understanding the effects of smoking, low exercise intensity, and  
10 excessive drinking; knowing that vaccinations and health examinations can help prevent disease;  
11 and possessing the ability to improve health behaviors[32, 33]. Workers should also be able to  
12 decide how to protect themselves from disease based on suggestions from friends, family, and  
13 media. In this regard, this study's results conflict with previous findings[34]. It could be that the  
14 floating population is one that is naturally selected for health, and, generally, only healthy people  
15 can migrate. Most individuals in the floating population believe that "health" means the absence  
16 of disease; hence, the absence of obvious symptoms indicates a healthy body, so they may pay  
17 little attention to disease prevention information[35]. Meanwhile, most of China's floating  
18 population were originally farmers and manual laborers; their low educational levels may limit  
19 their understanding of nutrition, healthcare, and disease prevention. Furthermore, under profit  
20 maximization and industry competition pressure, small and medium-sized manual-labor  
21 enterprises may choose to increase manufacturing speed to produce more products. They employ  
22 a piece-rate payment system to encourage workers to work longer hours. This not only affects  
23 their health but also reduces normal rest and leisure time, which includes time for exercise and

1 attention to diet. Long working hours, poor living conditions, poor work environments, and  
2 stress related to integration cause members of the floating population to lack the energy needed  
3 to gain disease prevention knowledge and cultivate healthy lifestyle habits[36].Most of the  
4 floating population is in the most active period of economic activity of their life (in the age group  
5 of 18-49 years), and they will spend two-thirds of their time working. Therefore, in addition to  
6 the government's promotion of the importance of disease prevention literacy to health, the  
7 floating population should also understand the concept of prevention over treatment through the  
8 construction of healthy enterprises[37].

9 Health service utilization directly affects health outcomes. In 2016, China proposed the  
10 “Healthy China” strategy, establishing public health as a primary objective. However, data from  
11 the “2016 China Floating Population Development Report” showed that the health of the floating  
12 population, which is an important component of the labor force, is declining [38], highlighting  
13 the need to provide medical, preventive, healthcare, and rehabilitation services for this  
14 population. Studies have shown that different household registrations affect the preventive health  
15 services provided by public health departments. To enable the floating population to obtain basic  
16 public health services, it is necessary to eliminate the separation between rural- and  
17 urban-registered households, increase the permanent residence rate of the floating population,  
18 promote a basic health security system for the entire country, and strengthen employment  
19 training and education levels [39]. This requires synergistic effects from top and middle  
20 governments as well as grassroots involvement. After the top government integrates health into  
21 public policies, middle governments need to expand the structural intervention of public health  
22 services for health promotion. This also requires the government to focus on providing public  
23 health services and strengthening the municipal level as a health-related decision structure [40].

1 The grassroots level should refer to community pharmacies in the US, which can intervene to  
2 help prevent primary diseases through smoking cessation, weight management programs, needle  
3 exchange, and vaccination services, among others [41]. Moreover, the floating population does  
4 not passively receive public services, and their participation (PPI) has become an indispensable  
5 part of healthcare, with a focus on improving their participation in public affairs [42]. Research  
6 has also found that the effects of health service announcements are better received after people  
7 view health-related fictional programs [43]. Thus, public health media should not only utilize  
8 new media channels but also find ways to attract audiences and help them gain health knowledge.  
9 Lastly, health impact evaluation is a typical task in public health services. A study in Germany  
10 found that health assessment can clarify the responsibilities of health services [44]. Therefore,  
11 assessing the impact of various types of health services on health for resource matching is an  
12 additional option.

13 Health service utilization had partial mediating effects in the relationship between  
14 healthcare literacy and health promotion literacy and health outcomes. Health service utilization  
15 was not found to have a mediating effect in the relationship between disease prevention literacy  
16 and health outcomes. The effects of health literacy on health outcomes can be partially explained  
17 by health service utilization. For example, females with lower health literacy tend to use less  
18 preventive healthcare services, including flu vaccination and cervical and breast cancer screening  
19 [45]. Moreover, low health literacy is more common among elderly people [46]. A lack of health  
20 literacy also directly affects the effective utilization of health services and social welfare by  
21 chronic disease patients and is closely associated with disease management and health outcomes  
22 [47]. Therefore, good health literacy in the floating population can enable them to clearly  
23 understand, recognize, and control the relationship between their lifestyle and health status [48].

1 Improving health knowledge, changing unhealthy lifestyle and work habits (e.g., working  
2 overtime or working when sick), actively using various available health resources, and making a  
3 habit of regular physical exams can form a positive feedback loop of early diagnosis, treatment,  
4 and recovery. In summary, the floating population poses a top health priority, and the  
5 establishment of a national health management system should focus on family health advocacy.  
6 Regarding the fact that health service utilization does not have mediating effects in the  
7 relationship between disease prevention and health outcomes, the root cause is that disease  
8 prevention literacy did not affect health outcomes. This is because the floating population  
9 comprises mostly young people who have poor health-risk awareness. Meanwhile, disease  
10 prevention literacy involves professional preventive medical knowledge. Due to educational  
11 limitations, it is difficult for the floating population to have such understanding. In the future,  
12 subjects can be stratified by education level to examine the effects of disease prevention literacy  
13 on health outcomes. Given the current COVID-19 pandemic, the mobility of the floating  
14 population poses a huge health risk. Hence, there is an urgent need to strengthen their health  
15 literacy regarding disease prevention to reduce the risk posed by COVID-19.

16 This study has some limitations. First, the health literacy scale used in this study is a typical  
17 scale for critical health literacy, but there is a lack of scales for functional health literacy and  
18 communicative health literacy. In the future, the All Aspects of Health Literacy Scale (AAHLS)  
19 can be used to measure health literacy in the floating population as it is suitable for evaluating  
20 functional, communicative, and critical health literacy. In addition, there is a risk of bias when a  
21 self-evaluated health literacy tool is used since widespread optimism/pessimism and memory  
22 deficiencies can affect the outcomes. Second, the cross-sectional design did not allow for  
23 causality deduction. Conducting longitudinal studies and reassessing health outcomes will help

1 identify causality in health literacy. Moreover, the samples came from three prefecture-level  
2 cities. The educational levels of floating populations in different parts of China are different,  
3 which affects health literacy. This could have affected sample representativeness and the  
4 generalization of the results. Lastly, we did not investigate the connection between health literacy  
5 and health behavior. Examining this relationship in the future could aid in designing intervention  
6 measures at the individual level, thereby improving the health of vulnerable populations.

7

## 8 CONCLUSION

9 This study found that health service utilization had partial mediating effects between health  
10 literacy and health outcomes. Health literacy affects the proactiveness of the floating population  
11 in health service utilization through healthcare literacy and health promotion literacy, thereby  
12 affecting health outcomes. Improving health literacy in the floating population will help improve  
13 their health outcomes. Health service providers need to enhance the diversity of health services  
14 and ensure that the floating population has the necessary external conditions to improve their  
15 individual health.

## 16 Abbreviations

17 HLS: Health literacy Survey

18 PPS: Probability Proportional to Size

19 SF: Short Form

20 PHQ: Patient Health Questionnaire

21 HSCL: Hopkins Symptoms Check List

22 SEM: Structural Equation Modeling

23 PPI: Participation

1 AAHLS: All Aspects of Health Literacy Scale

2

3 **Declarations**

4

5 **Ethics approval and consent to participate**

6 This study received ethical clearance from Ethics Committee of Wenzhou Medical University.

7 Consent was directly obtained from the participants, and all participants signed an informed

8 consent form.

9

10 **Consent to publication**

11 Not applicable.

12

13 **Availability of data and materials**

14 The datasets used in this study can be obtained from the corresponding author upon reasonable

15 request.

16

17 **Competing interests**

18 The authors declare that they have no competing interests.

19

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23 writing, or study approval.

1

2   **Authors' contributions**

3   Hai-Yan Yu is the first author and corresponding author.HYY conducted the data analysis and  
4   drafted the preliminary manuscript. LWY participated in writing and improving the manuscript.  
5   HYY, LWY, and WLW participated in measurement and data analysis. LW conceptualized and  
6   designed the study and provided suggestions for data interpretation. HYY was the project  
7   coordinator and participated in all the work. All authors read and approved the final manuscript.

8

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1    **Figure Legends**

2    **Figure 1. Mediator model of health literacy, health outcomes, and health service utilization**

3    **Figure2. Path test of health literacy, health outcomes, and health service utilization**

4

1 **Table 1. Demographic descriptive statistics (N=657)**

|                 |                          | Frequency | Percentage | Effective<br>percentage | Cumulative<br>percentage |
|-----------------|--------------------------|-----------|------------|-------------------------|--------------------------|
| Gender          | Male                     | 244       | 37.1       | 37.1                    | 37.1                     |
|                 | Female                   | 413       | 62.9       | 62.9                    | 100.0                    |
| Age             | 30 years and below       | 223       | 33.9       | 33.9                    | 33.9                     |
|                 | 31–39 years              | 274       | 41.7       | 41.7                    | 75.6                     |
|                 | 40–49 years              | 135       | 20.5       | 20.5                    | 96.2                     |
| Education level | >50 years                | 25        | 3.8        | 3.8                     | 100.0                    |
|                 | Primary school and below | 39        | 5.9        | 5.9                     | 5.9                      |
|                 | Middle school            | 317       | 48.2       | 48.2                    | 54.2                     |
| Education level | Highschool/technical     | 158       | 24.0       | 24.0                    | 78.2                     |
|                 | Secondary school         |           |            |                         |                          |
|                 | Junior college           | 81        | 12.3       | 12.3                    | 90.6                     |
| Education level | Bachelor's degree        | 62        | 9.4        | 9.4                     | 100.0                    |

2

3

1 **Table 2. Reliability coefficient, mean, and standard deviation**

|                 | Reliability<br>coefficient |                       | Minimum | Maximum | Mean  | Standard<br>deviation |
|-----------------|----------------------------|-----------------------|---------|---------|-------|-----------------------|
| Health literacy | .939                       | Healthcare            | 1       | 4       | 2.822 | 0.642                 |
|                 | .916                       | Disease prevention    | 1       | 4       | 2.952 | 0.590                 |
|                 | .939                       | Health promotion      | 1       | 4       | 3.035 | 0.608                 |
| Health services | .760                       | Vaccination services  | 1       | 5       | 2.504 | 1.182                 |
|                 |                            | Health examination    | 1       | 5       | 2.763 | 1.374                 |
|                 |                            | Health education      | 1       | 5       | 2.616 | 1.295                 |
|                 |                            | Health record         | 1       | 5       | 2.501 | 1.306                 |
| Health outcome  | .874                       | Self-evaluated health | 1       | 5       | 2.282 | 1.050                 |
|                 |                            | Physiological health  | 1       | 5       | 2.379 | 1.173                 |
|                 |                            | Psychological health  | 1       | 5       | 2.414 | 1.150                 |

2

3

1 **Table 3. Model confirmatory factor analysis**

| Questionnaire              | CMIN/DF | NFI   | IFI   | TLI   | CFI   | RMSEA |
|----------------------------|---------|-------|-------|-------|-------|-------|
| Standard level             | <5      | >0.8  | >0.8  | >0.8  | >0.8  | <0.08 |
| Good level                 | <3      | >0.9  | >0.9  | >0.9  | >0.9  | <0.05 |
| Health literacy            | 2.99    | 0.844 | 0.89  | 0.885 | 0.89  | 0.055 |
| Health service utilization | 2.577   | 0.992 | 0.995 | 0.986 | 0.995 | 0.049 |
| Health gain                | -       | -     | -     | -     | -     | -     |

2

**Table 4. Variable correlation**

|                       | 1 | 2      | 3      | 4      | 5      | 6      | 7      | 8      | 9      | 10     | 11     | 12     |        |
|-----------------------|---|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Healthcare            | 1 |        |        |        |        |        |        |        |        |        |        |        |        |
| Disease prevention    |   | .799** | 1      |        |        |        |        |        |        |        |        |        |        |
| Health promotion      |   |        | .671** | .800** | 1      |        |        |        |        |        |        |        |        |
| Vaccination services  |   |        |        | .340** | .369** | .353** | 1      |        |        |        |        |        |        |
| Health examination    |   |        |        |        | .429** | .426** | .411** | .413** | 1      |        |        |        |        |
| Health education      |   |        |        |        |        | .391** | .421** | .426** | .411** | .544** | 1      |        |        |
| Health record         |   |        |        |        |        |        | .363** | .368** | .402** | .419** | .643** | .605** | 1      |
| Self-evaluated health |   |        |        |        |        |        |        | .381** | .359** | .396** | .234** | .278** | .275** |
| Physiological health  |   |        |        |        |        |        |        |        | .412** | .400** | .436** | .293** | .356** |
| Psychological health  |   |        |        |        |        |        |        |        |        | .354** | .331** | .697** | 1      |
|                       |   |        |        |        |        |        |        |        |        |        | .308** | .669** | .757** |
|                       |   |        |        |        |        |        |        |        |        |        |        |        | 1      |

|                            |        |        |        |        |        |        |        |        |        |        |        |
|----------------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Health service utilization | .186** | .182** | .175** | .490** | .604** | .581** | .632** | .165** | .280** | .259** | 1      |
| Health outcome             | .260** | .242** | .219** | .205** | .295** | .269** | .263** | .606** | .691** | .677** | .327** |
| Health literacy            | .904** | .944** | .898** | .387** | .462** | .451** | .412** | .414** | .455** | .412** | .198** |

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\*\*p < 0.01 (2-tailed)

**Table 5. Path test**

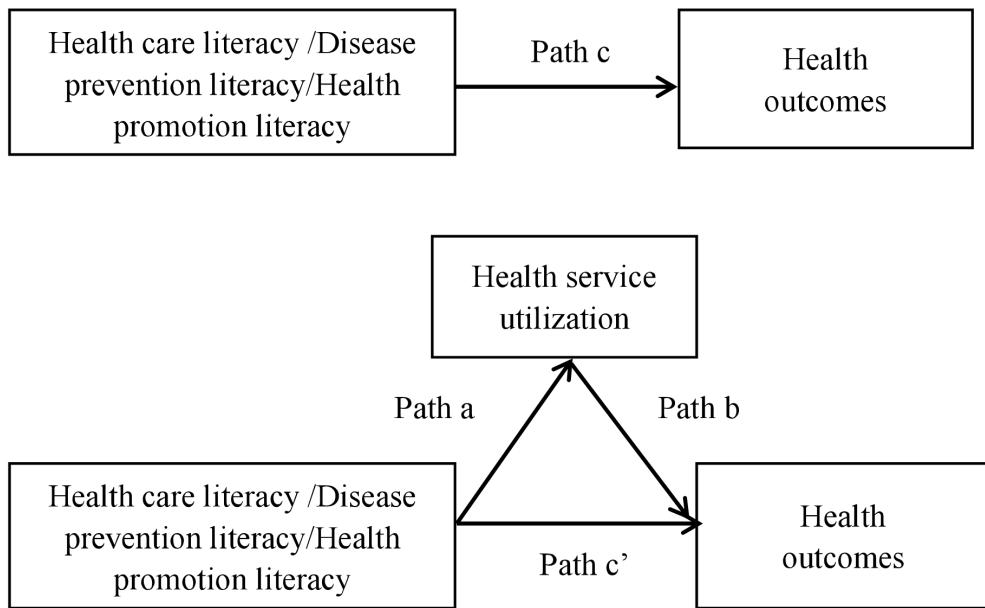
| Path   | Estimate | S.E.   | C.R.  | P           |
|--|----------|--------|-------|-------------|
| Health service utilization<---healthcare         | 0.219    | 0.227  | 0.06  | 3.643 ***   |
| Health service utilization<---disease prevention | 0.118    | 0.112  | 0.079 | 1.493 0.135 |
| Health service utilization<---health promotion   | 0.305    | 0.299  | 0.065 | 4.704 ***   |
| Health outcomes<---health service utilization    | 0.459    | 0.29   | 0.085 | 5.428 ***   |
| Health outcomes<---healthcare                    | 0.328    | 0.215  | 0.092 | 3.566 ***   |
| Health outcomes<---disease prevention            | -0.14    | -0.084 | 0.121 | -1.15 0.25  |
| Health outcomes<---health promotion              | 0.401    | 0.248  | 0.099 | 4.06 ***    |

**Table 6. Model confirmatory factor analysis**

| Questionnaire   | CMIN/DF | NFI   | IFI   | TLI   | CFI   | RMSEA |
|-----------------|---------|-------|-------|-------|-------|-------|
| Good level      | <3      | >0.9  | >0.9  | >0.9  | >0.9  | <0.05 |
| Resulting value | 1.723   | 0.987 | 0.995 | 0.991 | 0.995 | 0.033 |

**Table 7. Mediation effects test**

| Path  | Effects           | Effect size | S.E.  | P-value | LLCI   | ULCI  |
|---|-------------------|-------------|-------|---------|--------|-------|
| Healthcare→health service utilization→health outcomes         | Total effects     | 0.28        | 0.051 | 0.001   | 0.174  | 0.378 |
|   | Direct effect     | 0.215       | 0.052 | 0.001   | 0.108  | 0.313 |
|   | Mediating effects | 0.066       | 0.022 | 0.001   | 0.023  | 0.299 |
| Disease prevention→health service utilization→health outcomes | Total effects     | -0.051      | 0.08  | 0.112   | -0.206 | 0.112 |
|   | Direct effect     | -0.084      | 0.08  | 0.32    | -0.236 | 0.078 |
|   | Mediating effects | 0.033       | 0.021 | 0.112   | -0.007 | 0.075 |
| Health promotion→health service utilization→health outcomes   | Total effects     | 0.335       | 0.07  | 0.001   | 0.192  | 0.467 |
|   | Direct effect     | 0.248       | 0.072 | 0.001   | 0.101  | 0.389 |
|   | Mediating effects | 0.087       | 0.022 | 0.001   | 0.047  | 0.133 |



**Fig. 1**

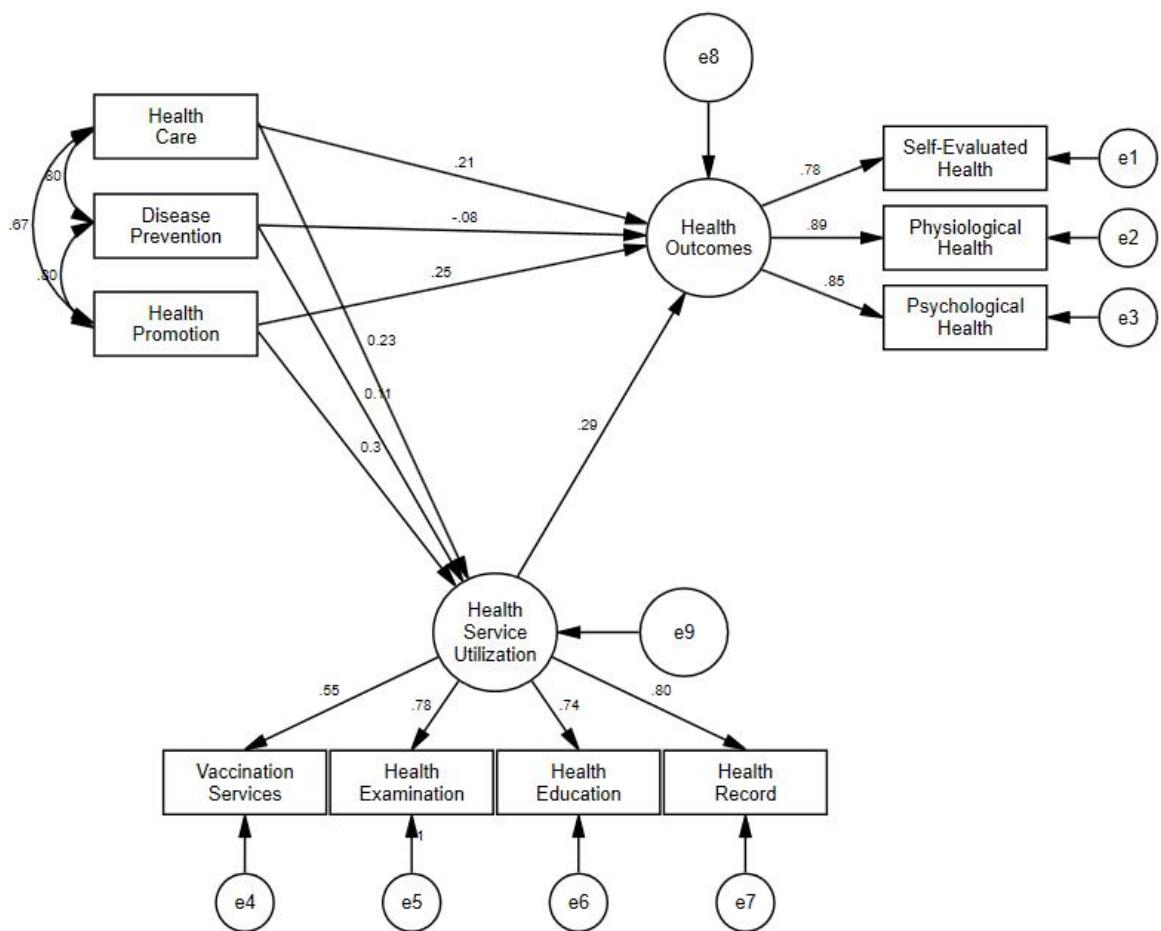


Fig.2

## Figures

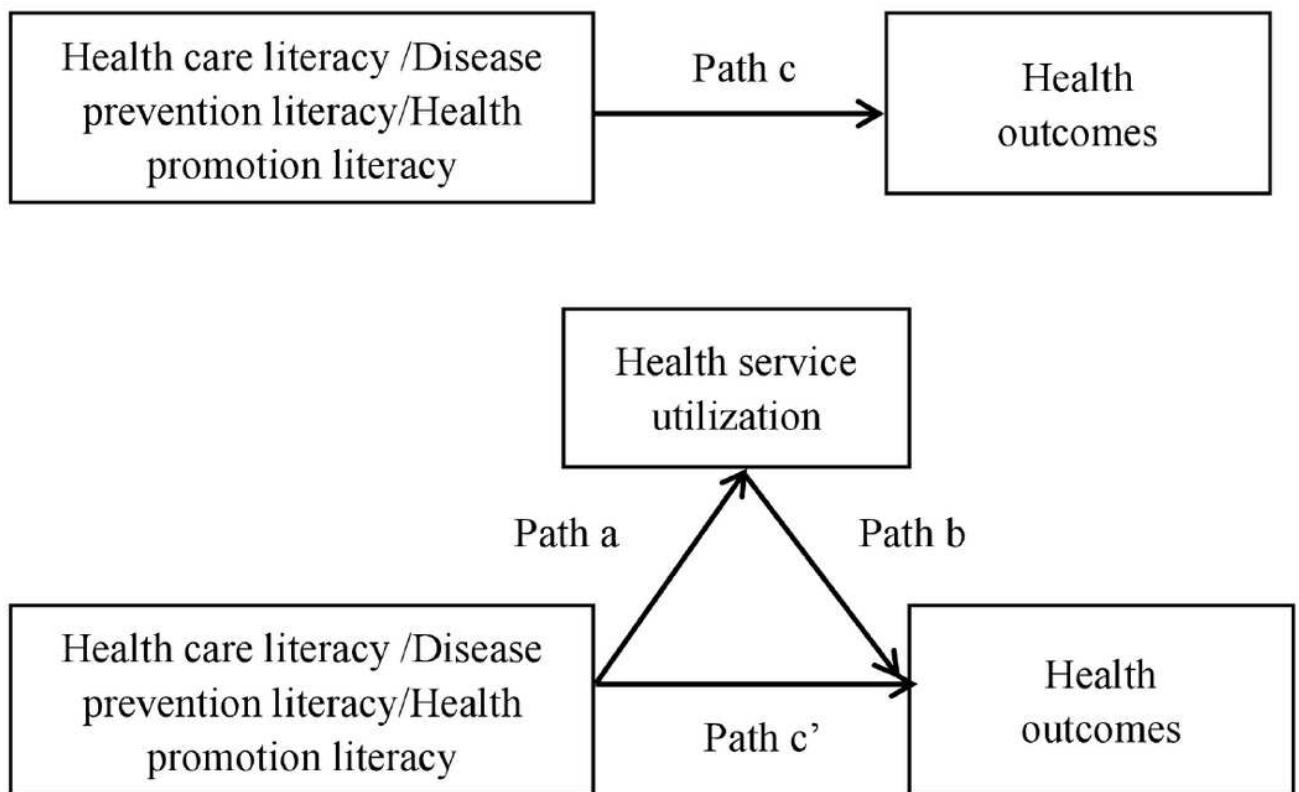
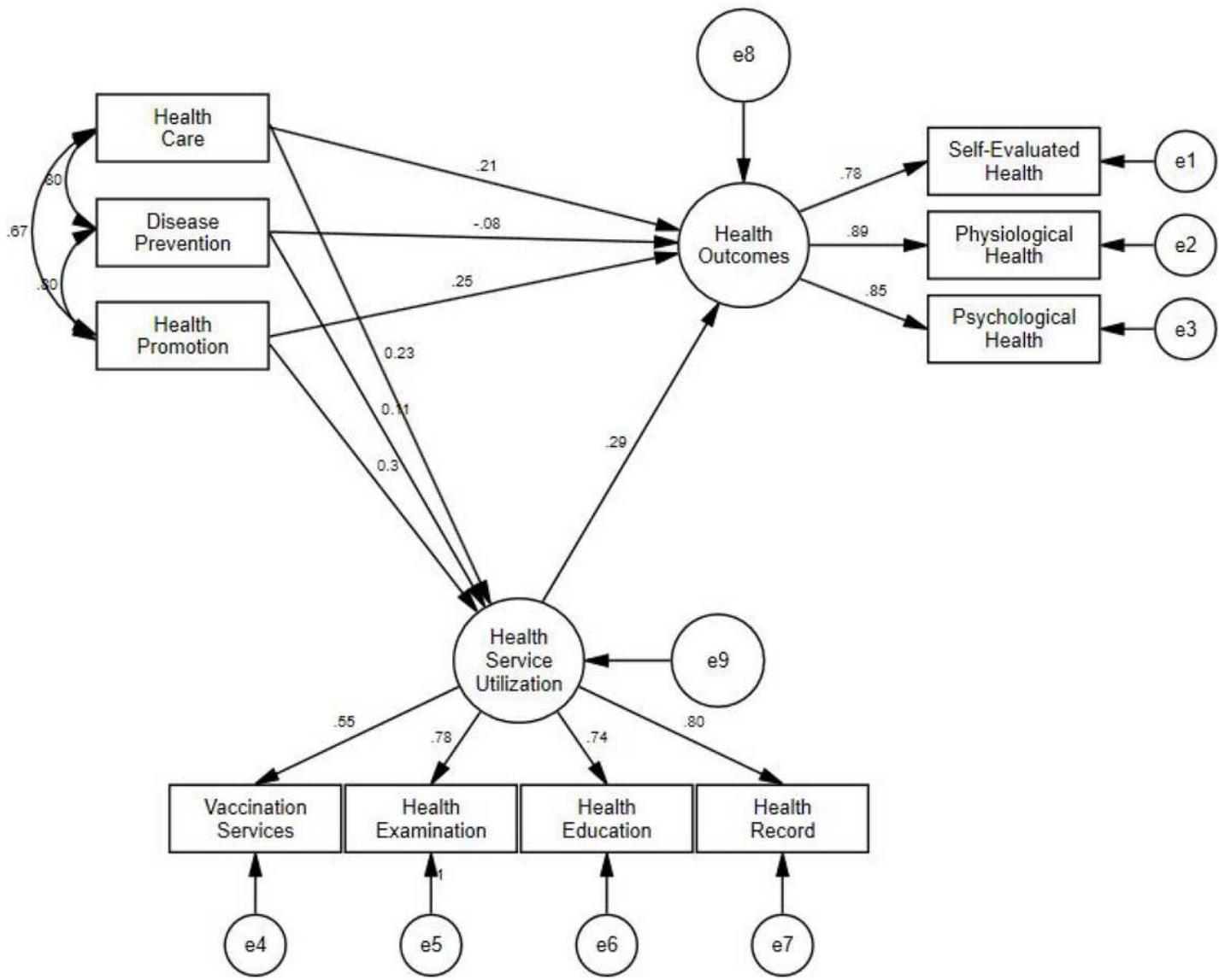


Figure 1

Mediator model of health literacy, health outcomes, and health service utilization



**Figure 2**

Path test of health literacy, health outcomes, and health service utilization