

The role of bile acids in the development of Barrett's Oesophagus and Oesophageal Adenocarcinoma: a systematic review

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2 Adenocarcinoma: a systematic review

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36 **Abstract:**

37 Oesophageal adenocarcinoma (OAC) and its precursor, Barrett's oesophagus (BO), have
38 overlapping risk factors, including gastro-oesophageal reflux disease. Refluxed contents
39 contain bile acids (BAs) in an acidic environment. The aim of the current study was to
40 investigate, in human subjects, tissues and cell-lines, potential associations of BAs with
41 development or progression of BO to OAC, and to identify mechanisms underlying these
42 effects. A systematic review of six computerised databases was conducted on original
43 articles involving oesophageal tissue from human subjects or oesophageal cell-lines. All
44 articles retrieved for inclusion examined effects of BAs, at neutral pH, on development or
45 risk reduction of BO or OAC. Key findings from the 25 studies included were that
46 deoxycholic acid exerted effects on BA-induced BO and OAC through several potentially co-
47 operating mechanisms, including oxidative stress, DNA damage, inflammation, proliferation,
48 apoptosis, enhanced clonogenicity and angiogenesis. In BO, taurodeoxycholic acid was
49 associated with oxidative-stress, DNA damage and increased proliferation. Ursodeoxycholic
50 acid prevented deoxycholic-acid-induced inflammation in non-malignant human
51 oesophageal cells and BO. Lithocholic acid increased levels of SMAD4, promoting apoptosis
52 in BO. In conclusion, BAs are associated with biological features linked to cancer
53 development, which could be targeted therapeutically, through medication, bacterial
54 supplementation, or lifestyle modifications.

55

56 **Keywords:** Oesophageal Adenocarcinoma, Barrett's Oesophagus, bile acids, deoxycholic acid,
57 taurodeoxycholic acid, ursodeoxycholic acid, lithocholic acid

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69

70 **Conflict of Interest:**

71 The authors have no conflicts of interest to declare.

72

73 **Data Availability Statement:**

74 All of the data procured during the production of this systematic review has been presented
75 in tabular form in the present study. The keywords used in our database searches are
76 available in Supplementary Material. All ethics declarations have been made in the
77 individual studies.

78

79 **Code Availability:**

80 The production of code is irrelevant to this systematic review.

81

82

83 **Author Contributions:**

84 ALC designed the protocol for the present systematic review. She input the keywords into
85 the six databases, checked reference lists of the papers generated, compiled the shortlisted
86 papers in Rayyan, screened the shortlisted papers for eligibility and synthesised relevant
87 information from the papers in six separate tables. She also wrote-up the current paper.

88

89 ARV input keywords into the six databases, compiled the shortlisted papers in Rayyan,
90 screened the shortlisted papers for eligibility and synthesised relevant information from the
91 papers in six separate tables: information which was checked against ALC's findings.

92

93 SLMCK reviewed drafts of this article and provided feedback.

94

95 FJD reviewed drafts of this article and provided feedback.

96

97 JJM provided the hypothesis for the study, provided input at all stages of the research and
98 reviewed drafts of the article, providing feedback.

99 **Introduction:**

100 **Oesophageal Cancer and Oesophageal Adenocarcinoma**

101 Oesophageal malignancies are the sixth-leading cause of cancer-related mortality worldwide
102 (1,2). Over 600,000 new cases of OCs occurred globally in 2020 (3). Northern European
103 countries, including Ireland, rank among the highest incidences for oesophageal cancers (OCs)
104 worldwide (4). There are two main subtypes of OCs: oesophageal squamous cell carcinoma
105 and oesophageal adenocarcinoma (OAC) (1). The OAC subtype is the most common form of
106 OC in Westernized regions such as Northern Europe, Northern America and Oceania (5). OAC
107 is the most common form of OC in Ireland, where it accounts for 50.9% of all OCs diagnosed
108 from 2010 to 2014 (6). European and North American data also indicate that OAC is nine times
109 more common in men than in women (7).

110

111 OC survival rates vary from country to country (8,9). The age-standardised, five-year net
112 survival for OCs (2010-2014) were 23.5%, 16.3%, 14.7%, 16.9%, 19.4% and 16.2% for
113 Australia, Canada, Denmark, New Zealand, Norway and the UK, respectively (8). This
114 compares to the age-standardised, five-year net survival for OCs (2014-2018) in Ireland of
115 24% (9). OAC survival rates also vary from country to country (10–12). In most populations,
116 OAC has an overall 5-year survival of under 15% (11,13), with values as low as 11% for men,
117 and 13% for women, being reported in the United Kingdom (14).

118

119 The incidence of OCs and OAC is on the rise (3,15). The incidence of OAC in England, for
120 example, has increased more than six-fold in the last thirty years (16). This increase in OAC is
121 noted in conjunction with a rise in abdominal obesity, gastro-oesophageal reflux disease
122 (GORD) and Barrett’s oesophagus (BO), with a concomitant decrease in *Helicobacter pylori*

123 infections (17). Nine hundred and fifty-seven thousand new cases of OCs are predicted to
124 occur by 2040 worldwide (4). It is also predicted that new OAC cases will rise by 82% for the
125 nineteen year period of 2021 to 2040 (18). In England, for example, the age-standardised
126 incidence rate of OAC from between 1972 and 1992 increased from 4.8 to 12.3 per 100,000
127 in men and from 1.1 to 3 per 100,000 in women (16).

128

129 **Barrett's Oesophagus and Gastro-Oesophageal Reflux Disease**

130 BO is a risk factor for OAC (19). In BO, the normal squamous cells of the oesophagus are
131 replaced by columnar cells (19). In a systematic review of 103 studies, the prevalence of BO
132 in the general population was 3.89% and the prevalence of BO in patients with GORD was
133 approximately double (7.8%) (20). A meta-analysis revealed that the incidence (1966 to 2011)
134 of OAC in BO patients ranged from 1 in 500 to 1 in 300 (21). Patients with BO are 10-55%
135 times more likely to develop OAC (22). BO and OAC have overlapping risk factors including
136 male gender, ever smoking, obesity, prolonged GORD, hiatus hernia and an absence of an
137 *Helicobacter pylori* infection (12). However, the primary stimuli promoting BO- and OAC
138 development are unclear.

139

140 GORD is a condition where the contents of the stomach are regurgitated into the distal
141 oesophagus (23). It has a prevalence of 10-20% in Western Europe and is a major risk factor
142 for the development of both OAC and BO (24). Ten to fifteen percent of individuals with
143 reflux-predominant symptoms may have BO (25). The refluxed contents contain stomach acid
144 (hydrochloric acid, HCl), gastric secretions (pepsinogen, intrinsic factor, bicarbonate and
145 mucous) and bile acids (BAs) (25). The contribution of BAs to the development of OAC and BO
146 is currently unclear.

147

148 **Molecular processes and markers underpinning cancer development**

149 Several biological processes underlying cancer development have also been linked to OAC
150 (26–31). These include oxidative stress, DNA damage, inflammation, cell proliferation,
151 apoptosis, resistance to apoptosis, clonogenicity and angiogenesis (26–31). Each of these
152 cancer-related processes are associated with key, sometimes overlapping, molecular markers
153 (26–31).

154

155 *Oxidative stress* is typically measured by the production of reactive oxygen species (ROS) (32).
156 Another common marker is expression of Delta-like Protein 1 (DLL-1) (33). DLL-1 is a ligand of
157 the Neurogenic locus notch homolog protein (Notch) 1 and Notch 2 protein. These proteins
158 inhibit oxidative stress in non-malignant cells (34).

159

160 *DNA damage*, often observed in conjunction with oxidative stress, is associated with a range
161 of markers, including: Notch 1, K13, phospho-Histone 2A Family, Member X (p-H2AX), NADPH
162 Oxidase 5 (NOX5-S), Glutathione Peroxidase 1 (GPX1) and 8-Hydroxyguanosine (8OHdG) (26).

163

164 *Inflammatory markers* linked to cancer include: cyclooxygenase 2 (COX2), chemokine (C-X-C
165 motif) ligand (CXCL), interleukin (IL)-6, IL-8, IL-1 β , Nuclear factor kappa-light-chain-enhancer
166 of activated B cells (NF- κ B), nuclear factor of kappa light polypeptide gene enhancer in B-cells
167 inhibitor, alpha (I κ B α), tumour necrosis factor alpha (TNF- α), Notch 1-4, interferon gamma
168 (IFN- γ), granulocyte-macrophage colony stimulating factor (GM-CSF), p-H2AX, phospho-p65,
169 GPX1, catalase, prostaglandin E2 (PGE2) and Mucin 2 (MUC2) (26,29).

170

171 *Proliferation markers* include: Caudal Type Homeobox 1 (CDX-1), Caudal Type Homeobox 2
172 (CDX-2), phospho-p65, phospho-p38, Ki67, Krüppel-like Factor 4 (KLF 4), Octamer-binding
173 transcription factor 4 (OCT 4), Sphingosine-1-phosphate receptor 2 (S1PR2) and the
174 microRNAs, miR-221, miR-222, miR-143, miR-145 and miR-192 (28).

175

176 *Apoptosis-related* markers include B-cell lymphoma 2 (Bcl-2), Notch 1, hairy and enhancer of
177 split-1 (Hes1), CC3 and SMAD family member 4 (SMAD4) (30). There can also be significant
178 overlap between apoptosis markers and proliferation markers, for example, CDX-2, phospho-
179 p38, miR-221, miR-222 and miR-143, or inflammation markers NF-κB and Notch 1 and 4
180 (26,28–30).

181

182 *Clonogenicity markers* include cMyc and Lin28 (31). cMyc also overlaps with many of the
183 processes noted above, particularly apoptosis and proliferation (35).

184

185 *Angiogenesis* and related processes are often inferred through the detection of Vascular
186 Endothelial Growth Factor (VEGF) and miR-145 (27).

187

188 There has been no recent systematic study on whether BAs have an effect (positive or
189 negative) on these cancer-associated processes and their corresponding molecular markers.

190

191 **Bile acids**

192 BAs are cholesterol derivatives which exert both metabolic and hormonal effects on the
193 human body (36). They are a component of bile whose functions include emulsification of
194 lipids (to aid absorption in the small intestine), absorption of fat-soluble vitamins, metabolism

195 and excretion of cholesterol, excretion of bilirubin and the dissolution of gallstones (36).
196 There are both primary (made in the liver) and secondary (made in the small intestine) BAs
197 (36). Examples of primary BAs include cholic acid (CA) and chenodeoxycholic acid (CDCA),
198 whereas examples of secondary BAs include deoxycholic acid (DCA), taurodeoxycholic acid
199 (TDCA), ursodeoxycholic acid (UDCA) and lithocholic acid (LCA) (36). Secondary BAs are
200 produced through the metabolism of primary BAs in the presence of intestinal bacteria such
201 as *Bacteroides spp.*, *Bifidobacteria*, *Lactobacilli*, *Clostridia*, *Enterococci* and *Listeria* (36). BAs
202 exist in both unconjugated forms or conjugated to the amino acids, glycine or taurine (36).
203 Examples of conjugated BAs include glycocholic acid (GCA), glycodeoxycholic acid (GDCA),
204 glycochenodeoxycholic acid (GCDCA), taurocholic acid (TCA) and taurochenodeoxycholic acid
205 (TCDCA) (37,38). Conjugated BAs are the most predominant type in bile, accounting for
206 greater than 90% of total BAs in the bile mixture (36,37). The disparity between
207 concentrations of conjugated to unconjugated BAs in stomach juice have been highlighted in
208 a study by Zhao *et al.* (37). Here, gastric juice samples (with or without BAs present) were
209 taken from gastritis patients and healthy controls: the authors noted that, within the gastritis
210 group with BAs present, the concentration of conjugated BAs was 100 times higher than the
211 concentration of unconjugated BAs (37). This observation is in contrast to the
212 approximately equal proportion of conjugated-to-unconjugated BA ratio detected in the
213 gastric juice of healthy subjects (37). Healthy subjects have a wide spectrum of individual BAs
214 (23 in total) whereas patients with BA reflux had predominantly the conjugated BAs, GDCA,
215 TCDCA, TCA, GCDCA and GCA (all were present at concentrations higher than 50 μ M) (37). In
216 a separate BO study by Nehra *et al.*, DCA and TDCA were the most commonly featured BAs in
217 BO (38). The profile of BAs in the OAC refluxate also contains a higher proportion of
218 conjugated BAs (39). Specifically, a rat model of OAC showed that the refluxate contained

219 more TCA, TDCA, TCDCA and TUDCA than controls (39). BAs are either deposited into the
220 duodenum (primary BAs) or recirculated back to the duodenum (secondary BAs); from here,
221 they can enter the stomach and be refluxed into the distal oesophagus (36). Hydrophobic BAs,
222 including GCA, LCA and DCA, are considered to be the most toxic of BAs, given that they are
223 a major factor in inducing liver-cell death (40). The hydrophilic BAs include CA, CDCA and
224 UDCA and are reported to be cancer-protective (40). The toxic effects of BAs vary depending
225 on the pH of their environment (41).

226

227 The incidence of BO and OAC continues to rise, despite acid-neutralising and acid-suppressing
228 treatments being prescribed to GORD and BO patients (16,23,42). Such an observation
229 indicates that BAs alone may be exerting oncogenic effects, independent of acidity (23). The
230 resting pH of the distal oesophagus in GORD patients is between 5 and 6 (43). The pH of the
231 oesophagus of BO patients with GORD reaches between pH 2 and pH 4 more frequently than
232 in oesophagitis patients with GORD (44–46). There is a lack of data on the pH of the
233 oesophagus of OAC patients. The two most common treatments for GORD (proton pump
234 inhibitors and H₂ blockers) are both available over the counter. Up to 74% of patients with
235 achalasia (a failure of the lower oesophageal sphincter to close, resulting in acid reflux) are
236 treated with acid-suppressing medication (47). It is possible, given the correct dose of acid-
237 neutralising or acid-suppressing medication, that the pH of the oesophagus of at least some
238 OAC patients is neutral (pH 7) or close to neutral (pH 6.5 or over). Investigating the effects of
239 neutral-pH, BAs on the development of BO and OAC is therefore physiologically relevant for
240 at least some patients.

241

242 BAs themselves are acidic, with pKa values of unconjugated BAs being greater than 4, that of
243 glycine conjugates being greater than 6 and that of taurine conjugates being greater than 2
244 (38). It is known that exposure to BAs, in combination with a low-pH environment often
245 present in the oesophageal refluxate, is linked to the development of BO and OAC (48).
246 However, little research has focused on the effects of BAs alone, independent of acidity, on
247 the development of BO and OAC. It is thought that BAs upregulate the expression of
248 metaplasia-promoting genes leading to the columnar-cell phenotype characteristic of BO
249 (49). As GORD progresses to BO, and BO progresses to OAC, the proportion of HCl and
250 secretions in the refluxate decreases dramatically, leaving predominantly BAs (38,50,51). This
251 happens for a number of reasons (52–54). Firstly, BO and OAC patients are often obese and
252 human obesity is associated with altered BA metabolism (52). BO and OAC patients often take
253 acid-suppressing or acid-neutralising medication which reduces the proportion of acid in the
254 distal oesophagus (53). Finally, acid suppression through medication can lead to bacterial
255 overgrowth and increased secondary BAs (54). Unsurprisingly, BO patients have higher
256 concentrations (greater than 200 μ M in 50% of cases) and different profiles of BAs in their
257 refluxate, especially increased secondary BAs, compared to the refluxate of individuals
258 without BO (50). Collating the evidence on the effects of BAs alone, or a mixture of BAs, in a
259 neutral-pH environment would allow for a toxicity profile of individual BAs, relative to each
260 other and independent of the influence of acidic pH. Such a profile may identify individuals
261 most likely to progress onto BO or OAC and may present therapeutic avenues.

262

263 Two systematic reviews on BA-exposure in the oesophagus have been carried out: one in
264 2011 and the other, 2012 (19,55). They examined the effects of acidic environments (pH of
265 under 7) alone, BAs at a neutral pH and a combination of BAs in acidic environments (19,55).

266 McQuaid *et al.* examined 83 original articles on human participants, human oesophageal
267 tissue or human cell-lines (19). Their study outcomes included oesophagitis, BO and OAC and
268 any underlying mechanisms (19). Bus *et al.* examined 6 cell-line studies with BO and OAC
269 being primary outcomes (55). The authors of each review concluded, amidst variation in study
270 designs, that BAs (including those independent of acidic environments) may contribute to the
271 symptoms of oesophagitis, BO and OAC (19,55). There is thus a need for an update on the
272 topic, with an expanded focus on the effects of BAs at a neutral pH and on OAC development.

273

274 In the current study, we carried out a systematic review of research papers covering the topic
275 of BA-exposure on the development or risk of BO or OAC. Such a review was carried out on
276 human-subject, human-tissue and human cell-line studies only.

277

278 Specifically, we aimed to:

279 i) Investigate, in human-subject, human-tissue and human cell-line studies, the potential
280 association of neutral-pH, BAs with the development of BO or OAC.

281 ii) Identify any underlying neutral-pH, BA-related mechanisms leading to the development of
282 BO or OAC.

283 **Methods:**

284 **Search Strategy:**

285 We searched PubMed, EMBASE, CINAHL, Cochrane Library, Scopus and Web of Science for
286 articles addressing our study aims. We limited our search to papers published from the 1st
287 January 2013 to 31st December 2022. This was because two systematic reviews on the topic
288 of bile acids and the development of BO were published in 2011 and 2012, respectively
289 (55,56). A medical librarian assisted with the development of the search strategies. Details of
290 keywords used have been included in Supplemental Table S1. In brief, we searched keywords
291 for the following concepts: OAC, BO, BAs, cancer-biology outcomes and study design. Only
292 original articles were included. We did not search for abstracts or unpublished data. There
293 were no language restrictions. Any non-English papers were translated using Google
294 Translate.

295

296 Initial screening for study eligibility was performed by two authors (AC and ARV) who
297 independently screened all titles and abstracts on Rayyan (<https://rayyan.ai/>). Where there
298 was disagreement, the reason for inclusion or exclusion was discussed. Full articles were
299 retrieved for the abstracts meeting the inclusion criteria. A search of the bibliographies of
300 included articles was also carried out to retrieve articles potentially missed by the initial
301 search strategy.

302

303 **Study Inclusion Criteria:**

304 To determine eligibility for inclusion, the full text or abstract of all retrieved articles was
305 reviewed by two authors (AC and ARV). Disagreement was resolved by discussion between
306 the two reviewers.

307

308 For human studies, we included studies on male or female OAC patients, BO patients and
309 studies on patients with both conditions. Patients were all 18 years old or over. The effect of
310 BA-exposure (to either individual BAs or a mixture) was assessed. We included the following
311 study types: randomized controlled trials, cohort studies (prospective or retrospective) and
312 case-control studies. Studies involving *in vivo* experimentation on human oesophageal tissue
313 were included. There were no restrictions on patient ethnicity, patient socio-economic class
314 or geographical region in which the studies took place.

315

316 Human studies (or parts of studies) on oesophageal squamous cell carcinoma (OSCC) were
317 excluded. OAC patients had to be without secondary cancers. We excluded studies on acid
318 exposure alone and exposure to acid and BAs as a mixture. Studies which only measured
319 surrogate markers of oesophageal bile reflux, such as alkaline pH or bilirubin, were not
320 included. Studies focusing solely on cancer migration, invasion or metastasis-related
321 outcomes were excluded. We also excluded patients receiving treatment with proton pump
322 inhibitors, BA sequestrants, antacids, H-2 blockers, alginates and prokinetics. Studies on
323 patients receiving chemotherapy or radiation were excluded. We did not include narrative
324 reviews, murine studies or human cross-sectional studies.

325

326 For *in vitro* studies, we included OAC and BO cell-lines of both male and female origin, from
327 subjects with or without BO. Such cell-lines included FLO-1, OE33, OE19 and BAR-T cells. Any
328 studies on normal, human, oesophageal cells (EPC2-hTeRT or HET1A, for example) were also
329 examined. The effect of BA-exposure (to either individual BAs or a mixture) was assessed.

330

331 Studies (or parts of studies) on OSCC cell-lines were excluded. Non-human cell-lines or human
332 non-oesophageal cell-lines were not included. We excluded studies on exposure to acid alone
333 or to mixtures of acid and BAs. We excluded studies that involved exposing OAC or BO cells
334 to proton pump inhibitors, BA sequestrants, antacids, H-2 blockers, alginates and prokinetics.
335 Studies focusing solely on cancer migration, invasion or metastasis-related outcomes were
336 excluded. We excluded any study where cells were exposed to chemotherapy or radiation.
337 We excluded narrative reviews and human cross-sectional studies.

338

339 Data Abstraction and Validity Assessment:

340 A data abstraction form was developed prior to full article retrieval, tested by the authors on
341 several known articles and revised to improve data recording. All articles meeting the
342 inclusion criteria were reviewed independently by two authors and the data entered into the
343 abstraction form. Any disagreements about the data were discussed between the two
344 reviewers with consensus achieved in all cases. We used the Strengthening the Reporting of
345 Observational Studies in Epidemiology (STROBE) to assess human study quality (57). We used
346 the Quality Assessment Tool For In Vitro Studies (QUIN) to assess risk of bias in *in vitro* studies
347 (58). We did not perform formal quality assessment of the *in vivo* studies.

348

349 A protocol for the present systematic review was registered on PROSPERO (ID: 398556)

350 **Results:**

351 Our initial search identified 565 unique titles. This number was reduced to 416 after
352 duplicates were removed. Three hundred and seventy-three articles were excluded for the
353 following reasons: not an original article (62 articles); murine or rat studies (19 articles); study
354 on BAs and acid as a mixture (46 articles); no mention of BA alone (139 articles); no relevant
355 outcomes provided (94 articles); and articles exclusively focusing on cell migration, invasion
356 and metastasis (13 articles). After initial title and abstract review, by two authors, 43
357 complete articles were considered potentially relevant and retrieved for full review. Sixteen
358 abstract-only articles were excluded (full texts not retrieved). The final number of articles
359 included in the review was 25. All articles were published in English.

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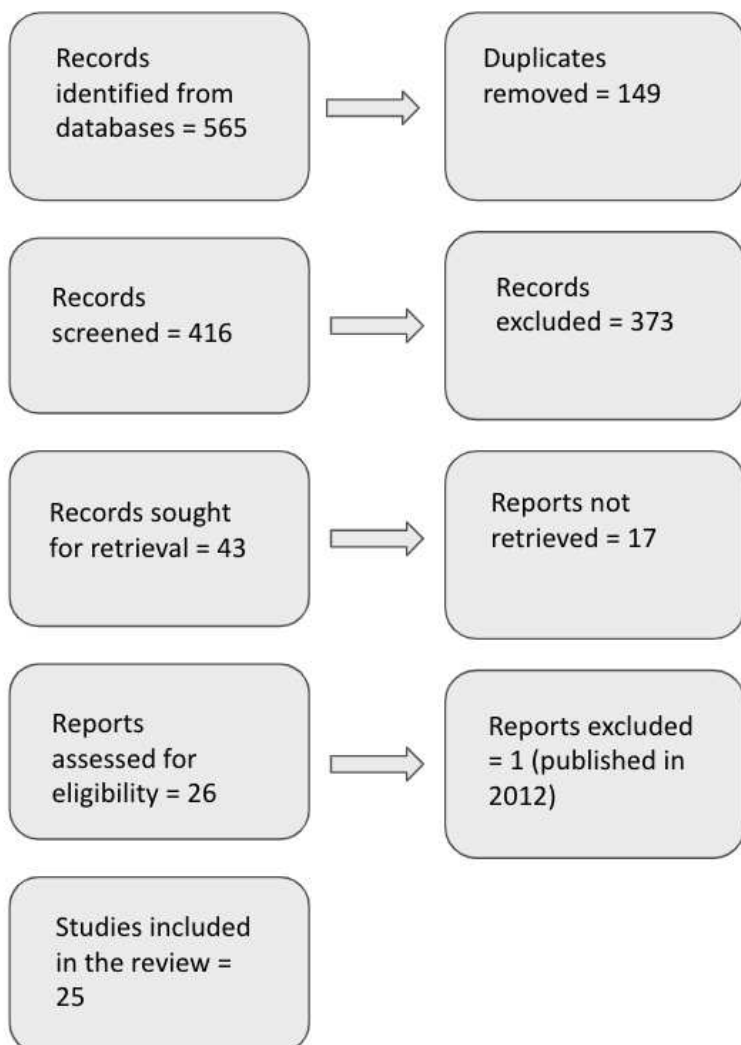
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374 **Figure 1. PRISMA Flow Chart depicting the Process for Final Article Retrieval.**

375 **Publications relating to Oxidative Stress:**

376 Four studies reported observations relating to oxidative stress (32,33,48,59), see Table 1. All
377 of the studies were on human cell-lines and all focused on BO (32,33,48,59). Three of the four
378 studies examined the effect of DCA on oxidative stress (32,33,59) while the other investigated
379 the effect of TDCA (48). Three studies used ROS production as a molecular marker (32,48,59)
380 , whereas one examined DLL-1 (33). DCA increased ROS production in two studies (32,59).
381 DCA increased DLL-1 in another study (33). The other BA examined, TDCA, also increased ROS
382 production (48).

383

384 **Publications relating to DNA Damage:**

385 Seven studies reported observations relating to DNA damage (48,51,60–63), see Table 2.
386 Three studies were carried out in human tissue (51,60,61), two on human cell-lines (48,63)
387 and one examined the effects of BAs on both human tissue and cell-lines (62). Two of these
388 studies focused on non-malignant oesophageal cells (61,62) while four focused on BO
389 (48,51,60,63). Three studies examined the effects of DCA on DNA damage (62,63) whereas
390 the effects of UDCA, TDCA, TCA + GCA mixture, UDCA and DCA (separate experiments) were
391 examined in one study each (48,51,60,61).

392

393 In non-malignant oesophageal cells, a mixture of TCA + GCA upregulated p-H2AX (61). DCA
394 suppressed Notch 1 and K13 gene expression in non-malignant oesophageal cells; such
395 inhibition normally results in DNA damage from external factors (62). Minority MOMP
396 (Mitochondrial Outer Membrane Permeabilization) is a process that can cause chronic cellular
397 damage and slow carcinogenesis (63). Minority MOMP presumably leads to ROS production
398 and oxidative stress and would include DNA damage (63). In BO studies, DCA promoted

399 Minority MOMP, which consequently led to DNA damage (63). Li *et al.* demonstrated, in BO,
400 that TDCA exposure induced DNA damage (48). Oral UDCA increased GPX1 levels and
401 prevented DNA-induced DNA damage in BO (51). 8-Hydroxyguanosine (8OHdG) is an RNA
402 nucleoside which is an oxidative derivative of guanosine. Levels of 8OHdG is used as a
403 biomarker of oxidative stress causing RNA damage (64). Exposure to UDCA did not change
404 8OHdG levels in BO (60).

405

406 **Publications relating to Inflammation:**

407 Ten studies focused on the effects of BAs on inflammation (32,51,62,65–71), see Table 3.
408 Seven studies were on human cell-lines (32,51,65,68–71) and the remaining three were
409 carried out on human tissue (62,66,67). Four studies were carried out on non-malignant
410 oesophageal cells (65,66,70,71), while others focused on BO (n=5) (32,51,62,67,69) and OAC
411 (n=1) (68). Most of the studies investigated the effects of DCA alone (n=5) (32,62,67,68,70).
412 The effects of UDCA and DCA (separate experiments) were examined in two studies (51,71).
413 The effects of TCA, GCA, TDCA, TCDCA (all separate experiments) and TCDCA were
414 investigated in one study each (65,66).

415

416 In non-malignant cell-lines, DCA increased lipid droplets, COX-2 and CXCL-8 expression and
417 IL-8 secretion (70). Another study in non-malignant oesophageal cells noted that DCA-induced
418 production of IL-6 and IL-8 was attenuated by UDCA (71). TCDCA treatment identified multiple
419 gene sets relating to inflammation in non-malignant cell-lines (66). Finally, in a study by Shan
420 *et al.*, none of the conjugated BAs examined (TCA, GCA, TDCA and TCDCA, all separate
421 experiments) induced IL-8 production in non-malignant oesophageal cells (65).

422

423 A substantial number of studies have been carried out on the effect of DCA on inflammatory
424 markers in BO and OAC (32,62,67,68,70). One BO study noted that DCA increased TNF- α , IL-
425 8, IL-6, IL-1 β (32); this study and another report on BO noted that DCA activated NF- κ B (32,51).
426 DCA also: induced expression of COX-2 and PGE2 (BO) (67); increased MUC2 expression (BO)
427 (62); decreased Notch 1-4 (BO) (32); and activated COX-2 and I κ B α expression (OAC)
428 (68). Peng *et al.* demonstrated that, in BO, DCA activated the inflammatory markers, p-H2AX,
429 phospho-p65 and NF- κ B (51); by contrast, UDCA upregulated levels of the anti-inflammatory
430 GPX1 and catalase enzymes in BO (51). BA exposure (specific BA not detailed) increased
431 expression of macrophage-recruiting cytokines, IFN- γ , TNF α and GM-CSF in BO (69).

432

433 **Publications relating to Cell Proliferation:**

434 Eleven studies examined the effect of BAs on proliferation (51,60,62,66–68,72–78), see Table
435 4. Four were human studies (60,62,66,77) and seven were carried out in human cell-lines
436 (68,72–76,78). Two studies were carried out on non-malignant oesophageal cells (66,72), four
437 were carried out on BO (60,62,73,77) and five on OAC (68,74–76,78). The effects of DCA were
438 examined in four studies (62,68,76,77). Two studies each were carried out on the effect of
439 TDCA and TCA, respectively (66,73–75). One study each examined the role of UDCA, CA and
440 CDCA (separate experiments) and a mixture of DCA + GCA + TCDCA (60,72,78).

441

442 In non-malignant oesophageal cells, TDCA did not enrich proliferation markers (66). In a
443 micro-RNA study on non-malignant oesophageal cells, exposure to a mixture of BAs (DCA +
444 GCA + TCDCA) did not significantly change levels of miR-143, -145 and -192 (72). In one BO
445 study, DCA increased phospho-38 (67). DCA suppressed Notch 1 activity in BO (62), whereas
446 TDCA exposure significantly increased Notch 4 in BO (73). UDCA did not change Ki67 levels in

447 BO (60). In OAC studies, DCA activated CDX2, KLF4 and OCT4 (68,76). In other OAC studies,
448 TCA promoted cell proliferation markers, including S1PR2 (74,75). CA or CDCA exposure
449 increased levels of miR-221 and -222 in OAC (78). CA and CDCA exposure degraded CDX2 in
450 OAC (78).

451

452 **Publications relating to Apoptosis:**

453 Nine studies investigated the effects of BAs on apoptosis (32,59,60,62,67,72,77–79), see
454 Table 5. Five studies were carried out on human cell-lines (32,59,72,78,79) and four were
455 based on human tissue (60,62,67,77). One of these studies was carried out on non-malignant
456 oesophageal cells (72), seven on BO (32,59,60,62,67,77,79) and one on OAC (78). The effects
457 of DCA on apoptosis were evaluated in five studies (32,59,62,67,77). One study each focused
458 on the following BAs: UDCA, LCA, CA and CDCA (separate experiments) and a mixture of DCA
459 + GCA + TCDCA (60,72,78,79).

460

461 In non-malignant cell-lines, the mixture of BAs (DCA + GCA + TCDCA) did not significantly
462 change miR-143 levels (72). In BO, DCA induced apoptosis of non-malignant cell-lines through
463 increasing the expression of molecules such as VEGF, Bcl-2, phospho-p38, Notch 1 and Hes 1
464 (32,62,63,67,77). DCA induced BO-related, apoptotic resistance through the action of NF-κB
465 and Bcl-2 (59). By contrast, DCA suppressed Notch 1 and Hes1, preventing apoptosis of BO
466 cells (62). A study on UDCA did not note any change in CC3 levels in BO (60). Finally, LCA
467 induced apoptosis of non-malignant cells through the upregulation of the SMAD4 gene in BO
468 (79). In OAC, neither CA nor CDCA increased levels of miR-221 and -222 (78). By contrast, CA
469 and CDCA exposure degraded the apoptosis-promoting CDX2 (78).

470

471 **Publications relating to Clonogenicity and Angiogenesis:**

472 One cell study focused on clonogenicity (63), see Table 6. It was carried out on OAC cells (63).

473 DCA increased clonogenicity in these cells (63).

474

475 Two human studies focused on angiogenesis (67,72), see Table 6. One examined effects on

476 non-malignant oesophageal cells (72) and the other on BO (67). The first study examined the

477 effects of DCA (67), while the other examined a mixture of DCA + GCA + TCDCa (72).

478

479 DCA induced VEGF expression (67), leading to angiogenesis, while exposure to the BA

480 mixture did not significantly change angiogenic miR-145 levels (72).

481 **DISCUSSION:**

482 The goal of the present study was to examine the effects that BAs (individually or as a
483 mixture), at neutral pH, might have on the development or risk of BO and OAC. Two
484 systematic reviews on the topic (carried out in 2011 and 2012, respectively) indicated that
485 BAs in media of various acidic pH conditions may play a role in the aetiology of these
486 conditions (55,56).

487

488 In the present systematic review, we examined 25 recent articles identified by a keyword
489 search of six databases. Our key findings were as follows: DCA was reported to affect the
490 biology of BO and OAC through a wide range of potentially co-operating mechanisms
491 (32,33,51,59,62,63,67,68,70,76,77); DCA suppressed Notch 1-4 genes in non-malignant
492 oesophageal cells (Notch 1 only) and BO, leading to oxidative-stress generation,
493 inflammation (32), DNA damage, proliferation and apoptosis (62); TDCA was associated with
494 oxidative-stress generation, DNA damage and increased cell proliferation in BO (65,66,73);
495 UDCA prevented DCA-induced inflammation in non-malignant oesophageal cells and BO
496 (51,71); and LCA increased levels of SMAD4, which can promote apoptosis in BO cells (79).

497

498 To add more context to our observations, the present systematic review reports BA-related
499 findings in the literature in diseases other than OAC. Blood and stool levels of BAs of healthy
500 individuals are generally tightly regulated (80); despite this, blood and stool samples of
501 diseased patients (specifically: colon cancer, colitis, gastric cancer, hepatocellular
502 carcinoma, primary biliary cholangitis, melanoma and hepatocellular carcinoma) show
503 increased levels of BAs compared to those of control subjects (80–84). It is currently
504 unknown whether high bodily levels of BAs are a cause or consequence of the diseases in

505 question. By contrast, breast cancer patients have decreased gut concentrations of BAs (85)
506 and the development of glioblastoma and neuroblastoma can be inhibited by UDCA and
507 LCA, respectively (86,87). The digestive cancer observations are particularly pertinent to our
508 study of BAs and OAC.

509

510 **The Role of DCA in BO and OAC Tumour Biology:**

511 *DCA and Oxidative Stress:*

512 DCA was associated with oxidative-stress in the findings from the present study
513 (32,33,48,59). Many authors cited potential mechanisms underlying the DCA-related
514 associations (62,88–112). In colon epithelial cells, the authors noted that DCA induced
515 mitochondrial oxidative stress through the activation of NF- κ B in these cells (88). It is
516 thought that DLL-1 interacts with Notch proteins in BO and results in oxidative stress (33).
517 DCA also induced oxidative stress in human colon adenocarcinoma cells, via the activation
518 of NADPH oxidases (99).

519

520 *DCA and DNA Damage:*

521 Five studies in the literature examined the effect of DCA on DNA damage
522 (92,98,100,102,103,113); these studies were carried out on non-malignant cells, BO and
523 OAC. In non-malignant oesophageal cells, DCA had a non-linear concentration response for
524 DNA damage (92); such a relationship provides researchers with the knowledge of the
525 extent of DNA damage at a given concentration of DCA. DCA induced DNA damage in
526 human colon epithelial cells in two studies (98,100). A study on benign Barrett's epithelial
527 cells observed that DCA caused DNA damage in this condition (113). One study focused on
528 the effect of DCA on DNA damage and OAC development (102). The DCA-related bile salt,

529 sodium deoxycholate, was associated with increased DNA damage in both non-malignant
530 and OAC cell-lines (102). The same study revealed that a mixture of sodium glycocholate,
531 glycocholic acid, sodium taurocholate and taurochenodeoxycholate led to DNA damage in
532 OAC cells (102).

533

534 *DCA and Inflammation:*

535 DCA induces inflammation through a variety of mechanisms (100,104–106). In the DNA-
536 damage-related study by Glinghammar et al., there was subsequent induction of
537 inflammatory markers such as caspases, COX-2 promoter activity, NF-κB and AP-1 (100).
538 DCA was found to induce gut dysbiosis and inflammation in the intestine (106). DCA has also
539 been reported to activate the NLRP3 inflammasome and aggravated colitis in mice (105). In
540 another murine study, intestinal inflammation was attenuated through the modulation of
541 the gut-microbiota-farnesoid-X-receptor axis (104).

542

543 *DCA and Cell Proliferation:*

544 DCA affected cell proliferation in four studies (two on BO and two on OAC) in the present
545 study (62,68,76,77). DCA increased cell proliferation in three out of these four studies
546 (68,76,77): the remaining study decreased non-cancerous proliferation in BO through the
547 suppression of Notch 1 function (62). Observations from the literature support the increase
548 of proliferation in response to DCA exposure. Ochsenkühn *et al.* noted that serum DCA
549 promoted hyperproliferation of the colonic mucosa, a key precursor to the development of
550 colon cancer (107). Two studies stated the DCA doses at which proliferation of cancer of the
551 colon occurred: 20μM and 5μM and 50μM, respectively (108,109).

552

553 *DCA and Apoptosis:*

554 Several studies in the literature state that DCA induced apoptosis, be it cancer-promoting or
555 cancer-preventing (89–91,93,110–113); several molecular mechanisms have also been cited
556 (89–91,93,110–113). DCA induced rat hepatocellular apoptosis through the inhibition of NF-
557 κ B production (89); such apoptosis can lead to fibrosis (89). Bcl-2 like protein 4 (Bax) is a key
558 regulator of apoptosis (114). DCA can induce apoptosis in the human, colon-cancer cell-line,
559 in the absence of Bax (91); such apoptosis disrupts the fine balance among proliferation,
560 differentiation and apoptosis and is thought to be tumour-promoting (91). By contrast, DCA
561 induced apoptosis in gastric-carcinoma cells through activation of an intrinsic mitochondrial-
562 dependent pathway (93).

563

564 *DCA and Apoptotic Resistance:*

565 In line with an observation in the present study (59), some studies noted that DCA induced
566 apoptotic resistance in BO (90,113). In Barrett's epithelial cells, DCA induced apoptotic
567 resistance in cells with DNA damage; such resistance led to increased likelihood of
568 worsening BO and was brought about by the activation of the same transcription factor, NF-
569 κ B (113). Apoptotic resistance was also a feature of progression to colon cancer in a study
570 by Bernstein *et al.* (90).

571

572 *DCA and Clonogenicity and Angiogenesis*

573 In the current study, DCA increased clonogenicity of OAC cells through the activation of
574 cMyc and Lin28 (115).

575

576 Angiogenesis and related processes have been noted in cancer cells (95–97). Song *et al.*
577 noted that DCA promoted vasculogenic mimicry (a tumour blood supply which takes place
578 independent of angiogenesis) through VEGF Receptor 2 activation; such activation further
579 exacerbated intestinal carcinogenesis (95). Such an observation contrasts with that of two
580 studies focusing on how a heparin-DCA conjugate can suppress angiogenesis and
581 subsequent tumour growth (96,97).

582

583 *DCA and Notch Proteins:*

584 In contrast to the upregulation of several biological processes, DCA suppressed Notch 1-4
585 protein production in studies focusing on DNA damage (non-malignant oesophageal cells),
586 inflammation (BO), proliferation (BO) and apoptosis (BO) (32,62). Notch proteins act as
587 tumour suppressors in their native state but become oncogenic if mutated (62). Wang *et al.*
588 showed that DCA suppressed Notch 1 activity in non-malignant oesophageal cells, leading to
589 DNA damage and inflammation (62). Wang *et al.* also illustrated that Notch 1 gene
590 suppression may lead to the development of BO, through the suppression of certain
591 proliferation markers, apoptosis markers and a DNA-damaging marker (62). Feng *et al.*
592 demonstrated that, in BO, DCA-exposure resulted in inflammation, which was partially
593 induced by the suppression of Notch genes 1-4 (32). Xiao *et al.* indicated, in porcine
594 enterocytes, that the inhibition of the Notch 1 protein increased oxidative stress, caused cell
595 apoptosis, reduced autophagy and aggravated cell inflammation after exposure to the
596 mycotoxin, deoxynivalenol (116). DCA appears to be toxic to the oesophagus and exerts its
597 toxic effects partially through the deactivation of Notch signalling (62).

598

599 **TDCA in BO and OAC Tumour Biology:**

600 TDCA, a conjugated form of DCA, was associated with oxidative-stress generation (BO), DNA
601 damage (BO) and increased proliferation (BO) in the current study (48,66,73). Contrary to
602 the DCA-related results, TDCA exposure significantly increased Notch-4 gene expression in
603 BO (73). This increased expression appears to increase BO cell proliferation (73). Although
604 we noted the generation of oxidative stress in the present study, there is a paucity of
605 studies examining the relationship between TDCA exposure and oxidative stress in the
606 literature. The data from the present study indicate that, in BO, TDCA induced DNA damage
607 through the activation of the NOX5-S protein (48).

608

609 *TDCA-related Biology in Other Malignancies:*

610 The results from some studies indicate that TDCA may be cancer protective. TDCA
611 supplementation alleviated mucosal damage and improved cell survival after inflammation-
612 induced intestinal injury (117). TDCA also increased intestinal epithelial cell proliferation
613 through c-myc expression (118): this increased proliferation of normal cells is thought to be
614 cancer protective. More research is needed to decipher the mixed results of TDCA cancer-
615 related studies.

616

617 **UDCA in BO and OAC Tumour Biology**

618 Evidence presented in the current review suggests that UDCA can attenuate DCA-induced
619 inflammation, as indicated by reduced IL-6 and IL-8 expression (71) and increased GPX1 and
620 catalase levels (51). By contrast, it had no effect on markers of DNA damage, proliferation or
621 apoptosis (60).

622

623 *UDCA-related Biology in Other Malignancies:*

624 The literature supporting a link between UDCA and inflammation is the most
625 comprehensive of all BAs studied, but no study has been carried out on the effects of UDCA
626 on OAC. In macrophages, UDCA inhibited the pro-inflammatory responses induced by
627 lipopolysaccharide (119). The immunosuppressive action of UDCA has also been noted in
628 dendritic cells (120). Indeed, inhibiting the function of dendritic cells, through the BA-
629 sensitive Farnesoid X Receptor, allows UDCA to suppress eosinophilic airway inflammation
630 (121). UDCA administered to rats with spinal-cord injury not only dampened inflammatory
631 responses but also promoted functional recovery (122). A mechanism underlying these
632 UDCA-related associations has also been illustrated (103). UDCA pre-treatment of cells
633 inhibited COX-2 upregulation, DCA-induced activation of NF- κ B and Activator Protein 1 and
634 translocation of NF- κ B (103).

635

636 *UDCA and Cell Proliferation:*

637 The lack of an effect of UDCA on proliferation markers contradicts findings reported in the
638 literature. Martínez *et al.* and Serfaty *et al.* both noted the inhibition of cell proliferation by
639 UDCA: inhibition which prevented the development of colon cancer (110,123). The same
640 reduction in proliferation was observed in primary biliary cholangitis (124); this study used
641 the same molecular marker, Ki67, as the report included in the present systematic review
642 (60,124). Ki67 was also used to measure proliferation in a colorectal cancer model: UDCA
643 inhibited tumour growth, as indicated by Ki67 levels, in a concentration-dependent manner
644 (125).

645

646 *UDCA and Apoptosis:*

647 The literature also points to UDCA improving health through apoptotic mechanisms
648 (86,126,127). Such observations were noted in human melanoma (126), glioblastoma (86)
649 and hepatocellular carcinoma (127). Several molecular mechanisms have been cited
650 (86,111,126–131). UDCA either inhibits cancer-promoting apoptosis or promotes the
651 programmed cell death of cancer cells (86,111,126–131). Cancer-promoting apoptosis can
652 occur at the level of the endoplasmic reticulum (ER) and in the mitochondrion (131). UDCA
653 inhibited glioblastoma progression via ER-stress-related apoptosis (86). Mitochondrial UDCA
654 effects were noted in human melanoma cells in two studies (111,126). A potential
655 mechanism postulated is that UDCA inhibits DCA-induced harmful apoptosis through the
656 modulation of mitochondrial transmembrane potential (as was observed in experiments on
657 otherwise healthy rats) (111). Apoptosis is also activated in order to kill cancer cells (127–
658 129). UDCA induced apoptosis of hepatocellular carcinoma (127,128). One study
659 demonstrated that this programmed cell death was due to the activation, by UDCA, of the
660 p53-caspase 8 pathway (128). DCA-induced apoptosis can also be attenuated by the UDCA-
661 related stimulation of Akt-dependent survival signalling (129).

662

663 *UDCA as a Therapeutic Target:*

664 Given its tumour protective characteristics, UDCA may be an appropriate therapeutic target;
665 the BA could, for example, be administered orally to potentially change the profile of BAs in
666 the stomach of BO and OAC patients. A human trial by Banerjee *et al.*, however,
667 demonstrated that high-dose UDCA supplementation for six months increased UDCA blood
668 levels but did not modulate selected markers of oxidative stress, DNA damage, cell
669 proliferation, and apoptosis in BO (60). This lack of change may be due to the small sample
670 size (29 patients), but a power calculation was not carried out (60). It could also be that

671 levels of these markers were not as elevated as they would be in OAC and, as such, no
672 significant change between normal oesophageal cells and BO was observed.

673

674 **LCA in BO and OAC Tumour Biology:**

675 LCA is a metabolite of UDCA (79). It is a hydrophobic BA and is often considered to be toxic
676 to human tissue (87). In data from the present systematic review, LCA induced SMAD4
677 expression and this in turn promoted apoptosis of BO cells (79). Furthermore, this apoptotic
678 effect was independent of the tumour suppressor, p53 (encoded by the TP53 gene) (79).

679 The LCA-stimulated apoptosis in BO is reinforced by the observation that LCA-associated
680 apoptosis does not occur when SMAD4 is deleted (79). A SMAD4-related result is pertinent
681 given that it is one of the three “driver genes” (along with TP53 and Mucin 5AC) for the
682 development of OAC: it is responsible for the worsening of OAC, whilst TP53 promotes the
683 progression of BO to OAC (132,133). The LCA-associated induction of SMAD4 in BO, rather
684 than in OAC, might mean that apoptosis by SMAD4 in BO prevents the progression of BO to
685 OAC; if so, LCA could prove to reduce the risk of BO to OAC progression. Since this beneficial
686 SMAD4-associated apoptosis occurs independent of TP53 mutations, SMAD4 could be
687 upregulated by LCA to prevent BO to OAC progression in patients with or without TP53
688 mutations. There is no evidence suggesting that LCA influences p53 function.

689

690 *LCA and Apoptosis:*

691 Despite the association presented in the present systematic review, no similar SMAD4 and
692 LCA association could be identified in the literature. Nevertheless, there are studies linking
693 LCA exposure and the activation or suppression of beneficial apoptosis (87,134–137). LCA
694 induced apoptosis of breast cancer cells and human neuroblastoma cells (87,134,135). In

695 colorectal carcinoma, LCA inhibited the cancer-promoting MDM2 and MDM4, which in turn
696 allowed for p53 to remain upregulated and p53-dependent apoptosis to occur (136). A
697 differential apoptotic effect was noted in normal colonic cells (stimulation of apoptosis with
698 LCA exposure) and in premalignant colon cells (nearly complete suppression of apoptosis
699 with LCA exposure) (137); this almost complete suppression of apoptosis in the latter
700 condition is likely a consequence of the disease.

701

702 **Limitations of the Present Study:**

703 The present study is not without its limitations. Most (44%) of the studies included
704 examined the potential effects of DCA on non-malignant oesophageal cells, BO and OAC
705 (32,33,51,59,62,63,67,68,70,76,77,115). More study on non-DCA BAs needs to be carried
706 out in order to compare BA-specific results in a more balanced way. In contrast to DCA
707 studies, relatively few studies (n=5) have examined the role of TDCA (48,66,73) and only one
708 study examined the effects of LCA (79). Despite their predominant existence in normal
709 oesophageal tissue, only nine studies (33%) in the current review focused on conjugated
710 BAs (48,61,65,66,72–75,78); three of these studies revealed no change in outcomes
711 measured (65,66,72). Only six (23%) of the studies assessed associations of BAs with OAC: a
712 value disproportionate to BO-related studies (50%). There is a lack of human-subject and
713 human-tissue studies (20%) compared to human *in vitro* studies (73.33%) or a combination
714 of these study types (6.67%). There is also a complete absence of randomised controlled
715 trials. There is thus a need for a human-subject study focused on BA profiles in OAC. Despite
716 the clear gender disparity associated with OAC in the literature, and the sexual dimorphisms
717 in cholesterol to BA conversion (138), no gender-related observations were made in the
718 included studies of the present systematic review.

719

720 **Potential for Intervention:**

721 The findings from the present systematic review lay the groundwork for further hypotheses,
722 relating in particular to lifestyle intervention. Blood levels of BAs increase with increasing
723 body mass index (52,139): as such, slow and steady weight loss may result in lower
724 concentrations of toxic BAs and would reduce the risk of gallstones (140). Additionally,
725 prophylactic UDCA given during a period of weight loss aids in reducing gallstone risk (140).
726 UDCA lowers total cholesterol: the precursor for BA synthesis (141). Similarly, beta glucan
727 (found in oats) binds to BAs and cholesterol for excretion (142). Plant sterols also inhibit
728 intestinal absorption of cholesterol (143). High-fat diets increase levels of DCA, raising the
729 possibility that low-fat diets may reduce the levels of these BAs or result in a more health-
730 promoting profile (144). The spice, turmeric (active compound: curcumin), reduces DCA
731 levels in those consuming a high-fat diet. Since DCA consistently upregulates the
732 inflammatory transcription factor, NF- κ B, high polyunsaturated-fat diets or omega-3
733 supplementation may be possible therapeutic avenues (145). Finally, diallyl disulfide, a
734 compound found in garlic, attenuated DCA-induced inflammation and apoptotic resistance
735 in BO (59).

736

737 **Conclusions:**

738 In conclusion, the current systematic review provides an update on the more recent
739 evidence linking exposure to BAs (independent of acidity) and the development of BO and
740 OAC; it also cites potential underlying mechanisms for the observed associations. Our
741 analysis highlights roles for DCA, TDCA, UDCA and LCA in particular. DCA exerted pro-
742 oncogenic effects on non-malignant oesophageal tissue, BO and OAC through a wide range

743 of cellular processes (32,33,51,59,62,63,67,68,70,76,77,115). DCA also suppressed Notch
744 signalling (32,62). Notch signalling is presumed to be tumour suppressive in the studies
745 examined, except when it interacts with DLL-1 (32,62). TDCA was associated with the
746 generation of oxidative stress in one BO study, the damaging of DNA in another BO study
747 and an increase in proliferation of BO cells in another (48,66,73). Less information is
748 available on the effects of TDCA on non-malignant oesophageal tissue, BO and OAC,
749 particularly in oxidative-stress generation. UDCA-exposure confers risk-reducing and
750 protective effects on non-malignant oesophageal cells, BO and OAC (51,60,71) and may be a
751 potential therapeutic target. Finally, the SMAD-4-related apoptosis results of the one study
752 of LCA action (79), while pertinent, need to be reinforced by further investigation. Further
753 research is needed to discern the tumour-promoting and tumour-suppressive functions of
754 Notch proteins in different contexts. The research on BAs and the development of BO and
755 OAC could be strengthened by conducting randomized controlled trials. A sample
756 randomized controlled trial would involve recruiting OAC patients and non-cancer controls.
757 Each group (OAC patients being Group A and non-cancer controls being Group B) would
758 either consume UDCA or plant sterols for a designated amount of time. Four outcomes
759 would be studied: changes (if any) in total BA concentration; improvement in BA profile;
760 reduction in oxidative stress and inflammation; and changes in OAC tissue morphology. To
761 our knowledge, the present systematic review is the most comprehensive study on the
762 effects of BA-exposure, independent of acidic pH environments, and BO and OAC
763 development to date. We show that BAs can act independently of acidic pH environments.
764 Taken together, BAs play a role in the development of BO and OAC, independent of acidic
765 environments, and could be targeted therapeutically, through medication, bacterial
766 supplementation, weight loss or dietary modification.

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774 **Data Availability Statement:**

775 All of the data procured during the production of this systematic review has been presented
776 in tabular form in the present study. The keywords used in our database searches are
777 available in Supplementary Material. All ethics declarations have been made in the
778 individual studies.

779

780

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<u>Table 1. Bile-Acid Exposure and Oxidative Stress in Barrett's Oesophagus Studies</u>							
<u>Authors</u>	<u>Year</u>	<u>Study Type</u>	<u>Condition</u>	<u>Bile Acid(s)</u>	<u>Outcome</u>	<u>Molecule(s)</u>	<u>Result(s)</u>
Feng et al.	2016	Cell	BO	DCA	Oxidative Stress	ROS	DCA increased intracellular ROS
Feng et al.	2017	Cell	BO	DCA	Oxidative stress	ROS	DCA induced ROS production in a dose-dependent manner
Tamagawa et al.	2016	Cell	BO	DCA	Oxidative stress	DLL-1	DCA exposure increased DLL-1 production
Li et al.	2016	Cell	BO	TDCA	Oxidative stress	ROS	TDCA induced oxidative stress

Table 1. Bile-Acid Exposure and Oxidative Stress in Barrett's Oesophagus Studies

BO = Barrett's Oesophagus; DCA = deoxycholic acid; TDCA = taurodeoxycholic acid; ROS = reactive oxygen species; DLL-1 = delta-like protein 1

Table 2. Bile-Acid Exposure and DNA Damage in Experiments on Non-Malignant Oesophageal Cells or Tissue and Barrett's Oesophagus

NON-MALIGNANT OESOPHAGEAL CELLS OR TISSUE

<u>Authors</u>	<u>Year</u>	<u>Study Type</u>	<u>Bile Acid(s)</u>	<u>Condition</u>	<u>Outcome</u>	<u>Molecule(s) Examined</u>	<u>Result(s)</u>
Wang et al.	2018	Human and cell	DCA	Non-malignant oesophageal cells	DNA damage	Notch 1 and K13	DCA suppressed Notch 1 and K13
Jiang et al.	2016	Human	TCA + GCA mixture	Non-malignant oesophageal tissue	DNA damage	p-H2AX	TCA + GCA mixture upregulated p-H2AX

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Xu et al.	2020	Cell	DCA	BO	DNA damage	Cytochrome C and caspase 3	DCA promoted minority MOMP. This resulted in DNA damage
Peng et al.	2014	Human	DCA	BO	DNA damage	p-H2AX and phospho-p65	Oesophageal perfusion with DCA increased p-H2AX and phospho-p65
Li et al.	2016	Cell	TDCA	BO	DNA damage	NOX5-S	TDCA induced DNA damage

Peng et al.	2014	Human	UDCA and DCA (separate experiments)	BO	DNA damage	GPX1	Oral UDCA increased GPX1 levels. Oral UDCA prevented DCA-induced DNA damage
Banerjee et al.	2016	Human	UDCA	BO	DNA damage	8OHdG	Exposure to UDCA did not change 8OHdG levels

Table 2. Bile-Acid Exposure and DNA Damage in Experiments on Non-Malignant Oesophageal Cells or Tissue and Barrett’s Oesophagus

DCA = deoxycholic acid; TCA = taurocholic acid; GCA = glycocholic acid; p-H2AX = phospho Histone Family, Member X; BO = Barrett’s Oesophagus; Minority MOMP = Minority Mitochondrial Outer Membrane Permeabilization; TDCA = taurodeoxycholic acid; NOX5-S = NADPH Oxidase 5; UDCA = Ursodeoxycholic acid; GPX1 = Glutathione Peroxidase 1; 8OHdG = 8-Hydroxyguanosine.

Table 3. Bile-Acid Exposure and Inflammation in Experiments on Non-Malignant Oesophageal Cells or Tissue, Barrett's Oesophagus and Oesophageal

Adenocarcinoma

NON-MALIGNANT OESOPHAGEAL CELLS OR TISSUE

<u>Authors</u>	<u>Year</u>	<u>Study Type</u>	<u>Bile Acid(s)</u>	<u>Condition</u>	<u>Outcome</u>	<u>Molecule(s)</u>	<u>Result(s)</u>
Carrossini et al.	2021	Cell	DCA	Non-malignant oesophageal cells	Inflammation	COX2, CXCL and IL-8	DCA increased LD, COX-2 and CXCL-8 expression and IL-8 secretion
Quilty et al.	2021	Cell	DCA and UDCA (separate experiments)	Non-malignant oesophageal cells	Inflammation	IL-6 and IL-8	DCA-induced production of IL-6 and IL-8 was attenuated by UDCA
Green et al.	2014	Human	TCDCA	Non-malignant oesophageal cells	Inflammation	NF-κB	TCDCA treatment identified multiple gene sets related to inflammation
Shan et al.	2013	Cell	TCA, GCA, TDCA, TCDCA (all separate experiments)	Non-malignant oesophageal cells	Inflammation	IL-8	None of the conjugated BAs, under a neutral condition, induced IL-8 production

BARRETT'S OESOPHAGUS

Feng et al.	2016	Cell	DCA	BO	Inflammation	TNF- α , IL-8, IL-6, IL-1 β , NF- κ B, Notch 1-4	DCA increased TNF- α , IL-8, IL-6, IL-1 β , NF- κ B. DCA decreased Notch 1-4
Fedder et al.	2020	Cell	Bile acid	BO	Inflammation	IFN γ , TNF α and GM-CSF	Increased expression of macrophage-recruiting cytokines IFN γ , TNF α and GM-CSF
Peng et al.	2014	Cell	UDCA and DCA (separate experiments)	BO	Inflammation	NF- κ B, p-H2AX, phospho-p65, GPX1, catalase.	DCA increased p-H2AX and phospho-p65. DCA activated NF- κ B. Oral UDCA increased GPX1 and catalase levels
Taddei et al.	2014	Human	DCA	BO	Inflammation	COX-2, PGE2	DCA induced expression of COX-2 and PGE2
Wang et al.	2018	Human	DCA	BO	Inflammation	MUC2	DCA increased MUC2 expression
OESOPHAGEAL ADENOCARCINOMA							
Yamada et al.	2014	Cell	DCA	OAC	Inflammation	COX-2 and I κ B α	DCA activated COX-2 and I κ B α expression

Table 3. Bile-Acid Exposure and Inflammation in Experiments on Non-Malignant Oesophageal Cells or Tissue, Barrett’s Oesophagus and Oesophageal Adenocarcinoma

DCA = deoxycholic acid; COX-2 = cyclooxygenase 2; CXCL1 = chemokine (C-X-C motif) ligand 1; IL-8 = interleukin 8; LD = lipid droplets; UDCA = ursodeoxycholic acid; IL-6 = interleukin 6; TDCA = taurodeoxycholic acid; NF- κ B = Nuclear factor kappa-light-chain-enhancer of activated B cells; TCA = taurocholic acid; GCA = glycocholic acid; TCDCA = taurochenodeoxycholic acid; BO = Barrett's Oesophagus; I κ B α = nuclear factor of kappa light polypeptide gene enhancer in B-cells inhibitor, alpha; TNF α = tumour necrosis factor alpha; IL-1 β = Interleukin 1 beta; IFN γ = Interferon gamma; GM-CSF= granulocyte-macrophage colony stimulating factor; p-H2AX = phospho Histone 2A Family Member X; GPX1 = glutathione peroxidase 1; PGE2 = prostaglandin E2; MUC2 = mucin 2; OAC = oesophageal adenocarcinoma

Table 4. Bile-Acid Exposure and Proliferation Experiments on Non-Malignant Oesophageal Cells or Tissue, Barrett’s Oesophagus and Oesophageal

Adenocarcinoma

NON-MALIGNANT OESOPHAGEAL CELLS OR TISSUE

<u>Authors</u>	<u>Year</u>	<u>Study Type</u>	<u>Bile Acid(s)</u>	<u>Condition</u>	<u>Outcome</u>	<u>Molecule(s)</u>	<u>Result(s)</u>
Green et al.	2014	Human	TDCA	Non-malignant oesophageal cells	Proliferation	CDX1 and CDX2	TDCA did not enrich proliferation markers
Bus et al.	2014	Cell	DCA + GCA + TCDCA (mixture)	Non-malignant oesophageal cells	Proliferation	miR-143, miR-145 and miR-192	Mixture of BAs did not significantly change levels of miR-143, -145 and -192

BARRETT’S OESOPHAGUS

Huo et al.	2020	Human	DCA	BO	Proliferation	phospho-p38	DCA increased phospho-38
Wang et al.	2018	Human	DCA	BO	Proliferation	Notch 1	DCA suppressed Notch 1
Cao et al.	2016	Cell	TDCA	BO	Proliferation	Notch 4	TDCA exposure significantly increased Notch 4

Banerjee et al.	2016	Human	UDCA	BO	Proliferation	Ki67	UDCA did not change Ki67 levels
OESOPHAGEAL ADENOCARCINOMA							
Yamada et al.	2014	Cell	DCA	OAC	Proliferation	CDX2	DCA activated CDX2
Chen et al.	2020	Cell	DCA	OAC	Proliferation	KLF 4 and OCT4	DCA promoted the expression of reprogramming factors KLF 4 and OCT4
Kanai et al.	2019	Cell	TCA	OAC	Proliferation	Calcein fluorescence ratio	TCA promoted cell proliferation in a dose-dependent manner
Liu et al.	2018	Cell	TCA	OAC	Proliferation	S1PR2	TCA promoted cell proliferation. TCA also activated S1PR2
Matsuzaki et al.	2013	Cell	CA and CDCA (separate experiments)	OAC	Proliferation	MiR-221 and MiR-222	CA or CDCA exposure increased levels of miR-221 and -222. CA and CDCA exposure degraded CDX2

Table 4. Bile-Acid Exposure and Proliferation in Experiments on Non-Malignant Oesophageal Cells or Tissue, Barrett’s Oesophagus and Oesophageal

Adenocarcinoma

TDCA = taurodeoxycholic acid; CDX1 / 2 = Caudal Type Homeobox 1 / 2; DCA = deoxycholic acid; GCA = glycocholic acid; TCDCA = taurochenodeoxycholic acid; miR-143 / 145 / 192 = micro-RNA 143 / 145 / 192; BO = Barrett's Oesophagus; UDCA = ursodeoxycholic acid; OAC = oesophageal adenocarcinoma; KLF4 = Krüppel-like Factor 4; OCT 4 = Octamer-binding transcription factor 4; TCA = taurocholic acid; S1PR2 = Sphingosine-1-phosphate receptor 2; CA = cholic acid; CDCA = chenodeoxycholic acid; miR-221 / 222 = micro-RNA 221 / 222.

Table 5. Bile-Acid Exposure and Apoptosis in Experiments on Non-Malignant Oesophageal Cells or Tissue, Barrett’s Oesophagus and Oesophageal

Adenocarcinoma

NON-MALIGNANT OESOPHAGEAL CELLS OR TISSUE

<u>Authors</u>	<u>Year</u>	<u>Study Type</u>	<u>Bile Acid(s)</u>	<u>Condition</u>	<u>Outcome</u>	<u>Molecule(s)</u>	<u>Result(s)</u>
Bus et al.	2014	Cell	DCA + GCA + TCDCA (mixture)	Normal oesophageal cells	Apoptosis	miR-143	Mixture of BAs did not significantly change miR-143 levels

BARRETT’S OESOPHAGUS

Taddei et al.	2014	Human	DCA	BO	Apoptosis	VEGF	DCA induced apoptosis
Feng et al.	2016	Cell	DCA	BO	Apoptosis	Bcl-2	DCA increased Bcl-2
Huo et al.	2020	Human	DCA	BO	Apoptosis	phospho-p38	DCA increased phospho-p38
Wang et al.	2018	Human	DCA	BO	Apoptosis	Notch 1 and Hes-1	DCA suppressed Notch 1 and Hes1
Feng et al.	2017	Cell	DCA	BO	Apoptosis	NF-κB and Bcl-2	DCA induced apoptotic resistance

Banerjee et al.	2016	Human	UDCA	BO	Apoptosis	CC3	UDCA did not change CC3 levels
Singh et al.	2018	Cell	LCA	BO	Apoptosis	SMAD4	LCA induced SMAD4, which in turn promoted apoptosis
OESOPHAGEAL ADENOCARCINOMA							
Matsuzaki et al.	2013	Cell	CA and CDCA (separate experiments)	OAC	Apoptosis	miR-221, miR-222 and CDX2	Neither CA nor CDCA increased levels of miR-221 and -222. CA and CDCA exposure degraded CDX2.

Table 5. Bile-Acid Exposure and Apoptosis in Experiments on Non-Malignant Oesophageal Cells or Tissue, Barrett’s Oesophagus and Oesophageal Adenocarcinoma

DCA = deoxycholic acid; GCA = glycocholic acid; TCDCA = taurochenodeoxycholic acid; miR-143 = micro-RNA 143; BO = Barrett’s Oesophagus; VEGF = vascular endothelial growth factor; Bcl-2 = B-cell lymphoma 2; Hes 1 = hairy and enhancer of split-1; NF-κB = Nuclear factor kappa-light-chain-enhancer of activated B cells; UDCA = ursodeoxycholic acid; LCA = lithocholic acid; SMAD4 = SMAD family member 4; CA = cholic acid; CDCA = chenodeoxycholic acid; OAC = oesophageal adenocarcinoma; miR-221 / 222 = micro-RNA 221 / 222; CDX2 = caudal type homeobox 2.

Table 6. Bile-Acid Exposure and Clonogenicity and Angiogenesis in Non-Malignant Oesophageal Cells or Tissue, Barrett’s Oesophagus and Oesophageal

Adenocarcinoma

CLONOGENICITY

<u>Authors</u>	<u>Year</u>	<u>Study Type</u>	<u>Bile Acid(s)</u>	<u>Condition</u>	<u>Outcome</u>	<u>Molecule(s)</u>	<u>Results</u>
Xu et al.	2017	Cell	DCA	OAC	Clonogenicity	cMyc and Lin 28	DCA increased clonogenicity in OAC cells

ANGIOGENESIS

Bus et al.	2014	Human	DCA + GCA + TCDC (mixture)	Non-malignant oesophageal cells	Angiogenesis	miR-145	BA exposure did not significantly change mi-145
Taddei et al.	2014	Human	DCA	BO	Angiogenesis	VEGF	DCA induced VEGF expression

Table 6. Bile-Acid Exposure and Clonogenicity and Angiogenesis in Non-Malignant Oesophageal Cells or Tissue, Barrett’s Oesophagus and Oesophageal Adenocarcinoma

DCA = deoxycholic acid; OAC = oesophageal adenocarcinoma; GCA = glycocholic acid; TCDCA = taurochenodeoxycholic acid; miR-145 = microRNA 145; BO = Barrett's Oesophagus; VEGF = vascular endothelial growth factor

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