

1 Mucosa-associated Cultivable Aerobic Gut Bacterial microbiota among Colorectal Cancer Patients
2 Attending at the Referral Hospitals of Amhara Regional State, Ethiopia

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20 **Abstract**

21 **Background:** Colorectal cancer is one of the top ten cancer death in the world. Despite an
22 increased prevalence of colorectal cancer has been documented from developing countries, reports
23 on gut microbiota among colorectal cancer patients are none especially in Ethiopia. Therefore, the
24 current study evaluated cultivable aerobic bacterial distributions among malignant tissue of
25 colorectal cancer and its adjacent normal biopsies.

26 **Methods:** Fifteen CRC patients who were undergoing colorectal cancer resection surgery during
27 April 2017 to February 2018 at Felege Hiwot Referral and University of Gondar Teaching
28 Hospitals were included. Biopsy specimens were taken from malignant and its adjacent normal
29 tissues. Bacterial cultivation, quantification and characterization of saline washed biopsies were
30 performed under aerobic and candle jar conditions. Differences in bacterial microbiota
31 compositions between malignant and normal tissue biopsies were evaluated and analyzed using
32 Microsoft excel 2010 and GraphPad Prism5 statistical software.

33 **Results:** Fifteen CRC patients were participated with a mean age of 53.8 ± 10.8 years old and
34 majorities (73.3%) of patients were in between the age groups of 40 and 60 years old. The mean \pm
35 SD bacterial microbiota of malignant biopsies ($3.2 \times 10^5 \pm 1.6 \times 10^5$ CFU/ml) was significantly fewer
36 than that of adjacent normal tissue biopsies ($4.0 \times 10^5 \pm 2.2 \times 10^5$ CFU/ml). This dysbacteriosis is
37 positively correlated with the occurrence of CRC ($p=0.019$). Proteobacteria (55.6%), Firmicutes
38 (33.3%) and Fusobacteria (11.1%) were the most frequently isolated phyla from non-malignant
39 biopsies while only Proteobacteria (58.8%) and Firmicutes (41.2%) were from malignant ones.
40 Family level differences were observed among phyla (Firmicutes and Proteobacteria) isolated from
41 the study participants. For instance, the relative abundance of family Bacillaceae from malignant

42 (26%) was lower than the normal biopsies (39%). On other hand, family Enterobacteriaceae was
43 twice more abundant in malignant tissues (45%) than in its matched normal tissues (23%).
44 Furthermore, the family Enterococcaceae (14%) of family Firmicutes was solely isolated from
45 malignant tissue biopsies.

46 **Conclusion:** The overall microbial composition of normal and malignant tissues was considerably
47 different among the study participants. Further culture independent analysis of mucosal microbiota
48 will provide detail pictures of microbial composition differences and pathogenesis of CRC in
49 Ethiopian settings.

50 **Key words:** Gut microbiota, culture-based, mucosal biopsies, colon cancer, Ethiopia

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61 **1. Background:**

62 Colorectal cancer (CRC) is the fourth most common causes of cancer deaths in the world with
63 almost 900, 000 deaths annually (1) next to lung cancer (2). It accounts for approximately 10% of
64 cancer-related mortality in western countries (3). Although a population based data is unavailable
65 from Ethiopia, colorectal cancer is a problem of significant magnitude with unresectable tumor (4).
66 Based on a single cancer registry data of Addis Ababa City, the Global cancer statistics center
67 reported 4,716 (7%) new CRC cases in 2018 (2) which makes CRC ranked at the third of cancer
68 cases in Ethiopia.

69 Various environmental factors are commonly associated with the occurrence of colorectal cancer.
70 These include; dietary change, smoking habit, heavy alcohol consumption, and history of
71 inflammatory bowel diseases [Crohn's diseases and ulcerative colitis] (5). Colitis-associated
72 cancer (CAC) is a chronic intestinal inflammation that possibly as a result of defective intestinal
73 barrier function and host-microbiota interaction (6).

74 In spite of microbial composition of the human intestine is obviously correlated to the health
75 conditions, human gut microbiota have emerged as a major environmental factor that modulate the
76 risk of colorectal cancer. Dysbiosis of gut microbiota (7)(8) is now assumed to be an underlying
77 factor in the development of colorectal cancer. Currently several researches are trying to associate
78 the change in the composition of human intestinal microbiota with colorectal cancer occurrence.
79 However, most studies might not show strong association due to different constraints including use
80 of non-intestinal biopsy investigations and convenience of specimen (9). Mucosa-associated
81 microbiota potentially affects CRC risk primarily through direct interaction with the host (10) and
82 its significantly differed organization in CRC patients and healthy individuals (11).

83 Granting an increase in prevalence of colorectal cancer has been documented from developing
84 countries (2)(12), reports on gut microbiota in relation to colorectal cancer are not yet issued
85 particularly in Ethiopia. Phenotypic, genotypic and toxin gene analyses of gut microbiota
86 composition have not yet been done among colorectal cancer patients in the study area and in
87 Ethiopia at large. Therefore, this study is aimed at determining the microbial distribution and
88 characterizing cultivable aerobic gut mucosal associated bacteria among cancerous and adjacent
89 apparently normal tissues of colorectal cancer patients.

90 **2. Method and materials**

91 **2.1. Patient recruitment and mucosal biopsy**

92 At Gastroenterology and Digestive Clinics of Felege Hiwot and University of Gondar Teaching
93 Hospitals, 15 confirmed CRC patients who underwent surgical resections of cancerous tissues were
94 enrolled in the study during April 2017 to February 2018. Ethical clearance was obtained from the
95 ethical review committee of College of Medicine and Health Sciences, Bahir Dar University and
96 Amhara National Regional Health Bureau. Informed consent from each study participant was
97 obtained and information was kept confidential. Two biopsies (with 5-7 x 5-7mm dimensions)
98 were obtained from malignant and adjacent normal areas of the colorectal lumen of CRC patients
99 during colorectal cancer open resection surgery. Each biopsy specimen was aseptically collected
100 and immediately transported to the bacteriology laboratory. Saline washed biopsy suspensions
101 were used for aerobic cultivation. Biopsy specimens were preserved at 4°C where delayed analysis
102 was unavoidable. Findings were analyzed and interpret accordingly using statistical software.

103 **2.2. Bacterial count and identification**

104 All collected biopsies were intensively washed with 5 ml of normal saline. Twenty μ l suspension
105 of each saline-washed specimen was suspended on to each three plates of meat peptone agar.
106 MacConkey agar, a selective media, was also employed to isolate common pathogenic bacteria like
107 *Salmonella* and *Shigella* species. Colony forming unit (CFU) count, morphological characteristics
108 of bacterial isolates at average logarithmic growth phase and identification of bacterial species
109 using a series of biochemical tests were aseptically performed. Sterility and performance of the
110 prepared media were checked by parallel inoculation of locally available control strains of
111 American Type Culture Collection: *S. aureus* (ATCC[®]-25923), *P. aeruginosa* (ATCC[®]-27853) and
112 *E. coli* (ATCC[®]-25922).

113 **2.3. Statistical Analysis**

114 Statistical data analysis and plotting were performed by means of Microsoft excel 2010 and
115 GraphPad Prism5 software. Statistically significant level was considered at $p \leq 0.05$.

116 **3. Result**

117 Fifteen colorectal cancer patients were recruited from two referral hospitals: Felege Hiwot Referral
118 Hospital (n=8) and University of Gondar Teaching Hospital (n=7). Nine (60%) were males with a
119 male to female ratio of 1.5:1. The cumulative mean age \pm SD of the study participants were $53.8 \pm$
120 10.8 years with a range of 38 and 79 years old. Eleven (73.3%) of the study participants were
121 between the age groups of 40 and 60 years while elders with ≥ 60 years old were only 4 (26.7%)
122 (Table 1).

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125 Table 1: Socio-demographic characteristics of colorectal cancer cases

		1 st Quartile	2 nd Quartile	3 rd Quartile	Total
		N(%)	N(%)	N(%)	N(%)
		4(26.7)	7(46.6)	4(26.7)	15(100)
	Mean ± SD	40.8 ± 3.6	54.6 ± 4.7	65.5 ± 9.1	53.8 ± 10.8
Age [in years]	Median	39.5	56	61.5	56
	Minimum	38	48	60	38
	Maximum	46	59	79	79
	Male N (%)	3(75)	4(57)	2(50)	9(60)
Sex	Female N (%)	1(25)	3(43)	2(50)	6(40)
	Male : Female ratio	1.5 : 1			

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127 The overall abundance of cultivable aerobic bacteria was recovered from triplicate culture plates
 128 and compared with types of biopsies. The mean ± SD population of aerobic bacteria cultivated
 129 from normal-featuring biopsies was approximately $4.0 \times 10^5 \pm 2.2 \times 10^5$ CFU/ml while it was 3.2×10^5
 130 $\pm 1.6 \times 10^5$ CFU/ml from malignant tissues. According to the Pearson r test, significant correlation
 131 was observed between a reduced bacterial microbiota (dysbacteriosis) of washed malignant tissue
 132 suspensions and the occurrence of colorectal cancer ($p=0.019$, Pearson $r=0.596$, 95% CI=0.120–
 133 0.849) (Figure 1).

134 As the Box-Whiskers appearance indicates, the mean bacterial population of malignant was
 135 significantly different from the adjacent normal tissues biopsies at $p<0.05$ (Figure 1). The relative
 136 abundance of bacteria at family or genus level in each cancerous specimen was much smaller
 137 compared to the other equivalent normal tissue biopsies. The upper range value of bacterial
 138 abundance of malignant tissues [6.8×10^5 CFU/ml] was reduced at a minimum by 2.0×10^5 CFU/ml

139 of washed biopsy suspension from its matched normal tissue biopsies count [8.6×10^5 CFU/ml].
140 Similarly, the lower range value of washed malignant tissue biopsies [1.7×10^5 CFU/ml] was also
141 2.0×10^4 CFU/ml fewer than its equivalent counts of adjacent normal tissues [1.9×10^5 CFU/ml]
142 (Figure 1).

143 Figure 1: Box – Whisker plot of bacterial microbiota abundance in normal and malignant tissue
144 biopsies of CRC patients.

145 The plot shows median values, means (+ sign in boxes), interquartile ranges (IQR) (boxes) and 1.5
146 \times IQR (whiskers). Bacterial population isolated from paired biopsies of CRC patients was
147 significantly associated with the occurrence of tumor at ($*p \leq 0.05$) or being normal tissue at
148 ($**p \leq 0.01$).

149 Comparing the mucosal microbiota of malignant niche to its matched adjacent normal tissues
150 indicated varied bacterial compositions over those two groups of samples of CRC patients. Three
151 bacterial phyla; Proteobacteria (55.6%), Firmicutes (33.3%) and Fusobacteria (11.1%) were over
152 represented in non-malignant tissues of CRC patients (Figure 2) while only two phyla; Firmicutes
153 (41.2%) and Proteobacteria (58.8%) were recovered from malignant biopsies of CRC patients
154 (Figure 3). In addition, more bacterial diversity has been observed from apparently healthy tissue
155 specimens group than its equivalent particularly among the age groups of 55 to 65 years (Figure 2
156 and 3).

157 Figure 2: Age - bacterial phylum distribution isolated from normal tissue biopsies of CRC
158 patients

159 Figure 3: Age - bacterial phylum distribution isolated from malignant tissue of biopsies of CRC
160 patients

161 Though most members of phylum Fusobacteria are obligate anaerobic bacteria, a genus
162 *Streptobacillus* (Figure 4) under a family Leptotrichiaceae (20%) with a microaerophilic nature
163 was recovered only from the normal tissue biopsies using CO₂ enriched cultivation. Phyla
164 Firmicutes and Proteobacteria recovered from both groups of tissues showed no difference while
165 bacterial family level differences between groups were observed. The relative abundance of
166 family Bacillaceae isolated from non-malignant tissue biopsies was at (39%) of the total isolated
167 bacterial families while it was much lower proportion (26%) from malignant tissue biopsies. On
168 the other hand, the relative abundance of family Enterobacteriaceae (45%) isolated from
169 malignant tissue was twice higher than from the matched control biopsies (23%). Furthermore,
170 the family Enterococcaceae (14%) was isolated only from malignant biopsies (Figure 4).

171 Figure 4: Bacterial family distribution in Normal and Malignant biopsies of CRC patients.
172 Numbers are in percentage of the total family coverage.

173 **Discussion**

174 The variability of microbial population of gastrointestinal tract is currently correlated to the
175 occurrence of different disorders including colorectal cancer. Though several recent advanced
176 researches use metagenomic approaches to measure microbial cells in fecal or mucosal
177 specimens, there is no any published data related to the overall microbiota profile of mucosal or
178 fecal specimens of CRC patients in Ethiopia. Therefore, the current study was aimed at
179 determining the distribution of at least cultivable aerobic bacterial microbiota of cancerous and
180 normal-featuring tissues of CRC patients.

181 The dysbiosis of bacterial microbiota abundance and distribution in malignant tissues from
182 adjacent normal biopsies is currently become an indicative in the diagnosis and prognosis of

183 CRC patients. These alterations are also demonstrated in our study by the presence of abundant
184 bacterial microbiota in normal biopsies [$\bar{x}=4.0 \times 10^5$ CFU/ml] while much smaller bacterial
185 population [approximately by 2.0×10^5 CFU/ml less] from malignant tissue biopsies of CRC
186 patients (Figure 1).

187 In this study, we found higher abundance of bacterial composition of phyla; Proteobacteria
188 (55.6%), Firmicutes (33.3%) and Fusobacteria (11.1%) in normal biopsies of CRC patients
189 (Figure 2). However, it is much different from a study reported by Eckburg *et al.* (13), in which
190 90% of bacterial composition of normal luminal microbiota belongs to the phyla; Firmicutes and
191 Bacteriodes, the remaining minor constituents were Proteobacteria and Actinobacteria.

192 Among members of phylum Fusobacteria (14), only a genus *Streptobacillus* in the family
193 Leptotrichiaceae was isolated from normal tissues of CRC patients (Figure 2). It could be due to
194 the alternative method we employed, candle jar for fastidious bacterial cultivation, probably
195 supported the growth of microaerophilic bacteria. Other most fusobacterial members strictly
196 require anaerobic environment to grow (15) and are associated greatly with cancer tissues than in
197 normal tissues (16). Despite the genera Bacteriodes (17), Leptotrichia species (18)(19) and
198 Fusobacteria (17)(20) were the most frequently identified and reported bacteria from malignant
199 tissues of colorectal cancer, our study didn't showed any above mentioned species while we
200 employed candle jar cultivation.

201 According to the author Lau *et al.* (21), *Streptobacillus hongkongensis* is a novel bacterial
202 species that permanently found in human oropharynx and there might be more other
203 *Streptobacillus* species probably also residing in human oropharynx. This genus might get easy
204 access to the lumen of the colorectal regions (22).

205 The microbial abundance of family Bacillaceae in malignant biopsies (26%) was lower than the
206 abundance in non-malignant tissues (39%) while the family Enterobacteriaceae, a member of
207 phylum Proteobacteria (23) was over-represented (45%) from malignant group of tissue (Figure
208 4). This observation could be supported by the fact that family Enterobacteriaceae is
209 considerably a member of the carcinogenic bacteria that constitute Lipopolysaccharides (LPS),
210 D-Lactate and other bacterial components which positively correlated with the incidence and
211 progression of inflammatory bowel diseases (IBD) as well as colorectal cancer (24)(25)(26).

212 Our study also revealed that significant abundance of family Enterococcaceae was identified only
213 from malignant biopsies (Figure 4). This finding supports previously reported evidences that
214 patients with ulcerative colitis and Crohn's disease have larger members of family
215 Enterococcaceae than healthy controls (27)(28)(29).

216 The imbalance of these bacteria and their gene products (30)(31) that underlies mucosal surface
217 of intestinal microvilli would facilitate the replication of opportunistic pathogens which might
218 have direct contribution in the onset and progression of severe gastrointestinal inflammation
219 leading to colorectal cancer. Hence, these findings could be a focus of future investigations on
220 potential pro-oncogenic pathogens of gasterointestinal cancers in the study area.

221 **Limitations:**

222 Since our study employed a culture-based aerobic cultivation, huge segment of mucosal-
223 associated microbiota; obligate anaerobes, fungal agents and uncultivable microbes were not
224 addressed. Microbial distributions in relation to anatomic positions of colorectal biopsies, cancer
225 stage, anticancer or antibiotic use, comorbid diseases and long term dietary habit were not well
226 considered. However, with these limitations, the study will provide base line information for

227 future development of culture independent studies of gut microbiota in the study area.

228 **Conclusion**

229 The findings presented in the current study suggested a relative abundance and distributions of
230 cultivable aerobic bacterial microbiota of malignant tissues were significantly different from its
231 adjacent normal tissue biopsies. Our study also showed that families of Enterobacteriaceae and
232 Enterococcaceae were the most frequently recovered bacterial family from malignant tissues
233 while detail considerations of these bacteria in the initiation and progression of colorectal cancer
234 remains unclear. Therefore, large scale and deep metagenomic analysis of gut microbiota
235 differences in Ethiopian population play key roles in the future development of advanced
236 diagnostic, prognostic and therapeutic strategies of colorectal cancer patients.

237 **List of Abbreviations:**

238 CAC – Colitis-associated cancer

239 CFU – Colony forming unit

240 CRC – Colorectal cancer

241 IBD – Inflammatory bowel disease

242 IQR – Interquartile range

243 IRB – Institutional Review Board

244 **Declarations:**

245 **Ethics approval and consent to participate**

246 Ethical clearance was obtained from Institutional Review Board (IRB) of Amhara Regional

247 Health Bureau, Bahir Dar. Then permission letter was obtained from Felege Hiwot Referral
248 Hospital and University of Gondar Teaching Hospital. Informed consent was obtained from
249 study participants after explaining the objective of the study. Any study participant who was not
250 willing to participate in the study was not forced to participate. Data obtained from the study
251 participants were kept confidential using only codes.

252 **Data Availability Statement**

253 The datasets collected and analyzed in the present study are available with the first author up on
254 request.

255 **Competing interests**

256 We authors declare that we have no competing interest.

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260 **Authors' contributions**

261 YA contributed to study conception and design, laboratory investigations, acquisition of data,
262 data analysis and interpretation and wrote the first draft and final version of manuscript; MG and
263 MO contributed to study participant enrolment, demonstrated the quality of biopsies, final
264 manuscript preparation. YZ contributed to study conception and design, laboratory
265 investigations, acquisition of data, final manuscript preparation. All authors read and approved
266 the final manuscript.

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