

# Development and Characterization of a Thermoforming Apparatus Using Axiomatic Design Theory and Taguchi Method

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## Original Article

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## Title page

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## ORIGINAL ARTICLE

# Development and Characterization of a Thermoforming Apparatus Using Axiomatic Design Theory and Taguchi Method

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**Abstract:** In this study, an experimental investigation of the development of a thermoforming apparatus and the thickness uniformity of its samples was performed based on the axiomatic design theory in conjunction with the Taguchi method. Thermoforming is a powerful tool for both consumer product needs and packaging industry. Such traditional technology has been investigated in many aspects for a long time ago. However, there are still needs for the development and characterization of thermoforming devices aiming at shorter construction time and less cost involved. Therefore, an experimental analysis was performed to systematically realize all the functional structures of the device using axiomatic design theory. The combination of orthogonal array (OA) and analysis of variance revealed the influences of the relational processing factors, showing that the thickness of the plastic sheet and areal draw ratio had crucial roles to play. Furthermore, an optimization process using the Taguchi method was utilized to determine all accurate and optimum processing parameters. The outcomes obviously verified that the present combination method can overcome the current development and optimization method's limitation and also conclusively give accurate optimal outcomes without using complicated algorithms and software solutions. Therefore, it promises a simple and powerful tool for engineers on-site in medium or small scale manufactories.

**Keywords:** Axiomatic design theory • Optimization • Taguchi technique • Thermoforming • Thickness uniformity

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## 1 Introduction

Thermoforming is one of the most popular and economical manufacturing technologies to fabricate plastic products [1]. By bringing a heated flat sheet of plastic into contact with a mold with desired shapes and utilizing a vacuum force or a pressure force or a combination of both, a thermoformed plastic product can be precisely fabricated. Generally, thermoforming has several benefits over other techniques such as low manufacturing cost, high manufacturing efficiency in a limited processing time, and high surface quality [2]. And it deals with large area thin wall plastic products which another powerful technique, injection molding, faces great difficulties. To these merits, there have been many investigations toward thermoforming technology.

Nowadays, thermoforming can be considered as a traditional manufacturing technique for plastic materials. Therefore, in many aspects of this traditional manufacturing process, in order to be accepted for publication, the authors used very complex optimization techniques. For instance, Sala et al. [3] proposed an optimization method for the preliminary set-up of the process parameters to achieve a better management of thickness distribution, cycle-time reduction and material saving. Using G'Sell's constitutive law and CoxMerz's empirical law to develop a FEM code, PAM-STAMP software solution was then utilized to simulate the thermoforming process for thickness prediction. However, this complexity process did not present optimized process parameters for a better control of thickness distribution yet. A commercial software solution was also used, but Abaqus®, O'Connor et al. [4] utilized Ogden and

Arruda-Boyce models to numerically simulate the thermoforming process for thickness distribution. Afterwards, the authors continued to use the Sweeny viscoelastic model to simulate and optimize the process [5]. However, optimized process parameters were still missed. Other authors also simulated the thermoforming process via Abaqus® using Ogden model [6]. Also using the Ogden model, in [7], Chang et al. combined with the Mooney-Livlin model to improve optimization quality. Azdast et al. [8] continued to utilize a Mooney-Livlin hyperelastic model as the constitutive equation for thickness distribution simulation. Similarly, the modified Lagrangian model and the Newton-Raphson method were used to simulate the thermoforming process, without optimized parameter results [9]. However, also optimizing the thermoforming process, Yang et al. [10] used the inverse neural network, a rather complex algorithm. Using the inputted part thickness distribution, this inverse step was also a difficulty for engineers on-site. In [11], the authors used an artificial neural network model (with back propagation and the Levenberg-Marquardt training algorithm) combined with an analysis of variance (ANOVA). In particular, the process has been optimized, optimal parameters were able to predict, but the benefits achieved commensurate with the complexity of the optimization process or have not been verified. Also using an algorithm, the authors in [12] used the Van der Waals equation and Prony series relaxation function to build an 18-parameter model to control and optimize the thickness of the products for a plug-assisted thermoforming. Also using the Van der Waals equation, but Makradi et al. from France [13] combined with Bergstrom and Boyce's model based on Doi and Edwards theory to model the thermoforming process. In addition to amorphous resins [13], these authors have also applied their model to semi-crystalline resins [14]. As for traditional optimization methods, in other words, simple optimization methods, like the Taguchi method, must be combined with one or more other algorithms. For instance, in [15], the authors combined the Taguchi method with utility concept or utility function. The optimum setting of the process conditions for a multi-characteristic product was determined. However, a different set of optimal conditions was achieved for a different set of weights originated from the utility concept, which had a certain degree of uncertainty. While Bae et al. [16] performed a response surface analysis to obtain a regression equation. The optimal process parameters were then determined utilizing the sequential quadratic programming (SQP) method. As short, the common disadvantage of these investigations is

too complicated for engineers to apply on-site. Some studies even did not result in any operation parameters which were important in real manufacturing.

Our survey also reveals a fact that the construction step, or design step, which is a crucial step in the development of a thermoforming device, is not given much attention from researchers. Therefore, selection of an appropriate design approach for the thermoforming device is a must. There is a wide range of software solutions available nowadays for finite element analysis both in force analysis, durability calculation and optimization for a single machine element. Meanwhile, the design of machine systems, although there are several supporting tools such as idea tree, decision matrix method, design for manufacturing (DFM), Quality Function Deployment (QFD), design for assembly (DFA) and so on, but not yet systematic, mainly based on trial and error, prototyping - testing - editing, based on subjective assessments, poor management of design information [17]. Originating from that need, Professor Nam Pyo Suh from MIT, USA, established a novel design theory according to certain rules, provided a scientific and systematic basis for making design decisions [18].

Axiomatic Design Theory (ADT) right after being developed and introduced by Professor Nam Pyo Suh in 2001 [18] has been widely applied [19]. Also, in 2001, Lee et al. from the USA successfully applied the ADT to develop a chemical-mechanical polishing (CMP) in industrial scale. The device then operated without significant errors or drawbacks and was then used as an example of applying the ADT [20]. Beom-Seon Jang et al. [21] from South Korea also used this theory to design ship propellers. Many other authors from South Korea utilized the ADT for their investigations, such as Bae, Tae-Sung and Lee, Kwon-Hee designed a main starting valve [22], Hwang et al. [23] developed a vibratory gyroscope using an unbalanced inner torsion gimbal, Park, Jong Man [24] improved and evaluated reconfiguration manufacturing system (RMS) adaptability in small and medium manufacturing industry. Bang, In Cheol & Heo, Gyunyoung [25] constructed nanofluid coolants, and so on. In addition, R.J. Urbanic et al. from Canada [26], also applied the ADT to develop a type of connecting rod, which has plenty of room for further improvements according to market requirements. Meanwhile, in China, Dunbing Tang et al. [27] used the ADT to solve the limitation of Design Structural Matrix (DSM) which is very difficult to create a design matrix for a new product that has never been designed before. And Feng-Tsai Weng & Shien-Ming Jenq from Taiwan [28] successfully applied the ADT to agile manufacturing, a highly developed form of lean

manufacturing. As a typical use of the ADT to overcome the limitations of existing design methods, Jose´ Antonio Carnevalli and colleagues from Brazil [29] using the ADT to overcome the three main weaknesses of the throne method. The quality house (quality function deployment method - QFD) includes (1) difficulty in expressing customer needs, (2) identifying and prioritizing quality characteristics and (3) handling large matrices. Meanwhile, António M. Gonçalves-Coelho et al. [30] from Portugal used the ADT to solve the difficulties in designing a crawler crane. Recently, Panday H. and Bhattacharya B. from India [31] successfully combined the ADT and TRIZ in the context of system design. And many more researchers have successfully applied the ADT to their designs, theoretical investigations or practical productions showing the power of this tool.

To the needs of development and characterization of a thermoforming device to be applied in medium or small-scale manufactories, a new technique integrating between the ADT and the Taguchi method is presented in this study. At first, the axiom of maintaining the independence of functional requirements (FRs) is utilized to explore all needed DPs, or physical components of the apparatus. The Taguchi method is then applied with investigation for the major processing conditions of the thermoforming process, giving out optimal values. These obtained values were then verified for a better thickness distribution result. Using this method, the influences of processing conditions on thickness uniformity of the thermoformed part were analyzed utilizing the conditions that resulted minimum thickness variation.

## 2 Design and characterization methods

### 2.1 Axiomatic design theory

The main theme of this investigation is based on axiom 1, the independence axiom. This axiom elucidates the independence of FRs should be maintained by a suitable selection of DPs [18]. Then, the FRs are stated as the minimal combination of independent requirements which characterize the design targets **Error! Reference source not found.** Mathematically, the correlation between the DPs and the FRs vectors are described in matrix form as [32]:

$$\{FRs\} = |A| \{DPs\} \quad (1)$$

where FRs is the vector of functional requirement, A is the design matrix that characterize the design, DPs is the vector of design parameters.

To obey the independence axiom, the design matrix A must be either diagonal or triangular [33]. The FR is able

to be fulfilled independently by one DP when the design matrix is the diagonal matrix. And the design is stated as an uncoupled design. In the case of a triangular matrix, the independence of FRs may be maintained if and only if the DPs are defined in a correct sequence. And the design is stated as a decoupled design. With any other forms, the design matrix will be a coupled design [23].

### 2.2 Design of experiment (DOE)

One of many DOE approach utilized in this study is The Taguchi method [34]. In particular, it investigates the effects of various factors on the average results and the process variance [35]. Additionally, an orthogonal array is implemented to characterize the influences of certain factors on the process by just conducting a minimal and optimized number of experiments. Therefore, the Taguchi method is a hugely efficient method and is employed in many fields due to its advantage of identifying the importance of each factor influencing product quality [36].

The signal-to-noise (S/N) ratio is particularly utilized in the Taguchi method to study the influence of each factor [37]. Because the uniformity, the typical of interest in this investigation, should be as close as possible to zero, a “smaller the better” formula is employed for the S/N ratio calculation as follows [39],[40]:

$$S/N = -10 \log \left( \frac{1}{n} \sum_{i=1}^n y_i^2 \right) \quad (2)$$

where y is the observation, n is the number of experiments.

The effects of processing conditions can also be analysed using an ANOVA study [38], which determines the percentage that each parameter contributes [36]. The total sum of squared deviations  $SS_T$  from the total mean S/N ratio, is described below:

$$SS_T = \sum_{i=1}^n (SS_i - SS_M)^2 \quad (3)$$

where  $SS_M$  is the sum of squared deviations of all parameters.

## 3 Analysis and discussions

### 3.1 Analyzing of a desired thermoforming apparatus using the ADT

Among the common thermoforming principles [1], the chosen thermoforming principle was vacuum forming with male mold, which was the most simple and low cost. From this working principle, the thermoforming device was decomposed into functional requirements and physical components to implement them as illustrated in Figure 1. The basic FR of designing a thermoforming device could be described as “fabricate thermoformed samples”. The corresponding DP in the physical domain is “thermoforming apparatus”. Because the design matrix has

only one element, this is an uncoupled mapping from FR to DP. Consequently, the FRs at first level were decomposed from the basic FR. Then a zigzagging process was used to decompose the design into hierarchies by alternating between parts of domains.

The process of decomposition and mapping was continued until the design solutions could be implemented. The detailed FRs and DPs were summarized in Table 1. The design equation for the selected FRs and DPs is as:

$$\begin{Bmatrix} FR_1 \\ FR_2 \\ FR_3 \\ FR_4 \\ FR_5 \\ FR_6 \\ FR_7 \\ FR_8 \end{Bmatrix} = \begin{bmatrix} \times & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \times & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \times & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \times & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \times & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \times & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \times & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \times \end{bmatrix} \begin{Bmatrix} DP_1 \\ DP_2 \\ DP_3 \\ DP_4 \\ DP_5 \\ DP_6 \\ DP_7 \\ DP_8 \end{Bmatrix} \quad (4)$$

In Eq. (4), the design matrix [A] is a diagonal one. Hence, each of the FRs can be fulfilled independently by a correspondent DP. Therefore, the design can be considered as an uncoupled design. Hence, from the ADT’s point of view, the initial selections of FRs and DPs were suitable for the next decomposition steps.

From Table 1, the design equations for FRs and DPs of the lower level are yielding coupled design, except the design equation for FR<sub>6s</sub> and DP<sub>6s</sub>:

$$\begin{Bmatrix} FR_{61} \\ FR_{62} \\ FR_{63} \end{Bmatrix} = \begin{bmatrix} \times & 0 & 0 & 0 & 0 \\ 0 & \times & 0 & 0 & 0 \\ 0 & 0 & \times & \times & \times \end{bmatrix} \begin{Bmatrix} DP_{61} \\ DP_{62} \\ DP_{63} \\ DP_{64} \\ DP_{65} \end{Bmatrix} \quad (5)$$

Because there are more DP<sub>6s</sub> than FR<sub>6s</sub>, based on Theorem 3, the design is called redundant design. From the ADT’s viewpoint, to solve this redundant design, the design parameter “DP<sub>63</sub>: Fitting” was selected as the key DP. The size of this brass fitting is usually H = 12 mm. Then, based on constraint “C<sub>62</sub>: Flexible connection” and key DP, a silicone connection tubing and a quick coupling for the vacuum chamber side were selected to connect the vacuum pump and the vacuum chamber.

### 3.2 The apparatus fabrication and preliminary experiments

On the ground of the achieved results of the concept design, the apparatus was successfully designed using Solid-Works®. The apparatus was then fabricated as shown in Figure 2.

During the preliminary tests, because of insufficient clamping force, the PET plastic sheet was deformed during heating. Hence, the design matrix of the clamping device needed to be re-considered. The original design matrix was stated as an uncoupled design:

$$\begin{Bmatrix} FR_{21} \\ FR_{22} \\ FR_{23} \end{Bmatrix} = \begin{bmatrix} \times & 0 & 0 \\ 0 & \times & 0 \\ 0 & 0 & \times \end{bmatrix} \begin{Bmatrix} DP_{21} \\ DP_{22} \\ DP_{23} \end{Bmatrix} \quad (6)$$

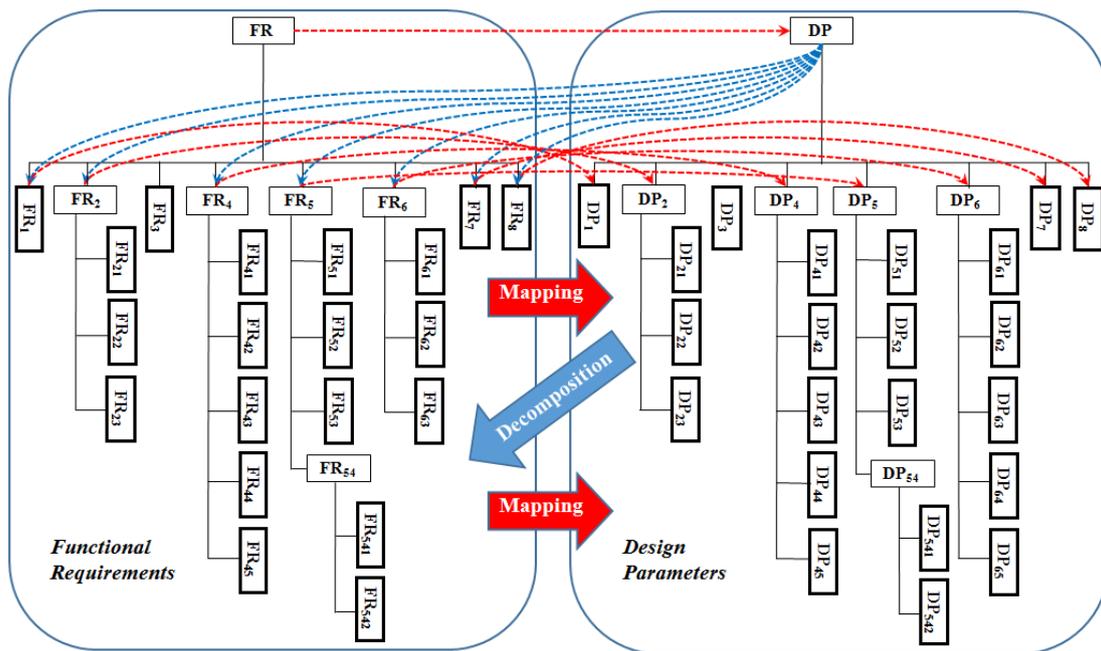


Figure 1 Mapping and decomposition process

**Table 1** Detailed results of FRs and DPs in Figure 1

Level	Functional requirements	Design parameters
0	FR: Fabricate thermoformed samples	DP: Thermoforming apparatus
	<b>FR: Fabricate thermoformed samples</b>	<b>DP: Thermoforming apparatus</b>
	FR <sub>1</sub> : Labscale	DP <sub>1</sub> : Size of clamps is 100×155
	FR <sub>2</sub> : Plastic sheet is clamped	DP <sub>2</sub> : Clamping device
	FR <sub>3</sub> :Desired shape of thermoformed samples	DP <sub>3</sub> : Male mold
1	FR <sub>4</sub> :The plastic sheet is heated to be soften	DP <sub>4</sub> : Heating device
	FR <sub>5</sub> : The plastic sheet is brought into contact with the mold	DP <sub>5</sub> : Sliding structure
	FR <sub>6</sub> : The plastic sheet is shaped	DP <sub>6</sub> : Vacuum device
	FR <sub>7</sub> : The plastic sheet is cooled down and obtained the shape of the mold	DP <sub>7</sub> : Material of the male mold is metal
	FR <sub>8</sub> : The product is easily removed	DP <sub>8</sub> : Draft angle in the mold
	<b>FR<sub>2</sub>: Plastic sheet is clamped</b>	<b>DP<sub>2</sub>: Clamping device</b>
	FR <sub>21</sub> : The polymeric sheet can be positioned	DP <sub>21</sub> : 2 clamping plates
	FR <sub>22</sub> : Short loading time	DP <sub>22</sub> : Hinge
	FR <sub>23</sub> : The clamping force is large enough	DP <sub>23</sub> : Snaplock
	<b>FR<sub>4</sub>:The plastic sheet is heated to be soften</b>	<b>DP<sub>4</sub>: Heating device</b>
	FR <sub>41</sub> : Heat source	DP <sub>41</sub> : 2 ceramic heaters
	FR <sub>42</sub> : Restrict heat loss	DP <sub>42</sub> : Cover
	FR <sub>43</sub> : Know the temperature of the heat source	DP <sub>43</sub> : Sensor
	FR <sub>44</sub> : Adjustable temperature of the heat source	DP <sub>44</sub> : Temperature controller
	FR <sub>45</sub> : The position of the heat source is fixed	DP <sub>45</sub> : Frame
	C <sub>41</sub> : Working temperature (~150°C) because of PET material	
2	<b>FR<sub>5</sub>: The plastic sheet is brought into contact with the mold</b>	<b>DP<sub>5</sub>: Sliding structure</b>
	FR <sub>51</sub> : Reciprocating motion up and down	DP <sub>51</sub> : Slide bar
	FR <sub>52</sub> : Smooth motion	DP <sub>52</sub> : Slide bush
	FR <sub>53</sub> : The clamping device is positioned in parallel with the heat source	DP <sub>53</sub> : The number of slide bar and bush sets is 4
	FR <sub>54</sub> : The plastic sheet is kept in the position to be heated	DP <sub>54</sub> : Clamping device holder
	<b>FR<sub>6</sub>: The plastic sheet is shaped</b>	<b>DP<sub>6</sub>: Vacuum device</b>
	FR <sub>61</sub> : Vacuum	DP <sub>61</sub> : Vacuum pump
	FR <sub>62</sub> : Uniform vacuum	DP <sub>62</sub> : Vacuum chamber
	FR <sub>63</sub> : Vacuum is transmitted from pump to chamber	DP <sub>63</sub> : Fitting
		DP <sub>64</sub> : Connection tubing
		DP <sub>65</sub> : Quick coupling
	C <sub>61</sub> : Flexible connection	
	<b>FR<sub>54</sub>: The plastic sheet is kept in the position to be heated</b>	<b>DP<sub>54</sub>: Clamping device holder</b>
3	FR <sub>541</sub> : Exact position	DP <sub>541</sub> : Clamping bolt
	FR <sub>542</sub> : Quickly release	DP <sub>542</sub> : Fastener

After the preliminary experiments, the design matrix for this device was re-established as:

$$\begin{Bmatrix} FR_{21} \\ FR_{22} \\ FR_{23} \\ FR_{24} \end{Bmatrix} = \begin{bmatrix} \times & 0 & 0 & 0 \\ 0 & \times & 0 & 0 \\ 0 & 0 & \times & \times \\ 0 & 0 & \times & \times \end{bmatrix} \begin{Bmatrix} DP_{21} \\ DP_{22} \\ DP_{23} \\ DP_{24} \end{Bmatrix} \quad (7)$$

where FR<sub>24</sub>: Tightly sealing, no pressure leakage; DP<sub>24</sub>: The flatness of the clamping plates. Because of these new DP and FR, the design matrix was no longer a diagonal one. Hence, it became a coupled design.

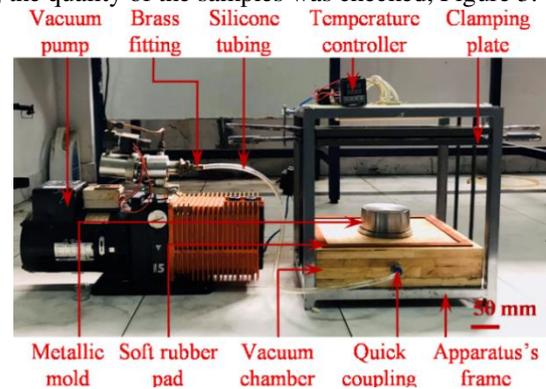
To overcome this problem, a soft rubber pad with tape attached was used. Thus, the tightness no longer depended on the flatness of the clamping plates. And snaplock was replaced by manual clamping. Then the Eq. 7 became:

$$\begin{Bmatrix} FR_{21} \\ FR_{22} \\ FR_{23} \\ FR_{24} \end{Bmatrix} = \begin{bmatrix} \times & 0 & 0 & 0 \\ 0 & \times & 0 & 0 \\ 0 & 0 & \times & 0 \\ 0 & 0 & 0 & \times \end{bmatrix} \begin{Bmatrix} DP_{21} \\ DP_{22} \\ DP_{23} \\ DP_{24} \end{Bmatrix} \quad (8)$$

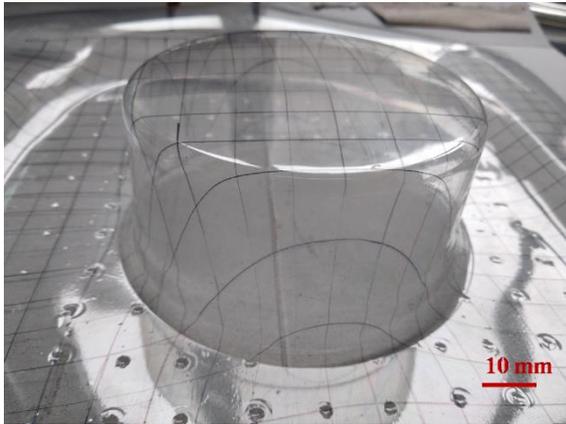
where FR<sub>21</sub>: The polymeric sheet can be positioned; FR<sub>22</sub>: Short loading time; FR<sub>23</sub>: The clamping force is large

enough; FR<sub>24</sub>: Tightly sealing, no pressure leakage; and DP<sub>21</sub>: 2 clamping plates; DP<sub>22</sub>: Gravity of the clamping plates; DP<sub>23</sub>: Manual clamping; DP<sub>24</sub>: A soft rubber pad with tape attached.

The thermoformed samples were then fabricated. By consideration of the deformation of the 10 mm × 10 mm net, the quality of the samples was checked, Figure 3.



**Figure 2** Photograph of the lab-scale thermoforming setup employing the ADT



**Figure 3** Thermoformed sample with its deformed net

### 3.3 Effect of thermoforming parameters

#### 3.3.1 Selection of thermoforming parameter

In our thermoforming process, a thin plastic sheet was clamped in a clamping device at a certain distance with the heater. It was then heated to a certain temperature well above its glass transition temperature in order that it became soft and rubbery. The plastic sheet was then brought into contact with the mold and stretched to obtain the shape of the mold using differential pressure. The subsequent factors may influence the quality of the thermoformed samples [15]:

1. Temperature related parameters - heating temperature and heating time;
2. Pressure related parameters – positive pressure, vacuum pressure;
3. Material related parameters – type of material, thickness;
4. Equipment related parameters – assisting plug, moving speed, moving distance or distance between heater and plastic sheet.

Considering the results of the preliminary experiments, five processing parameters, including heating temperature (°C), distance between heater and plastic sheet (mm), heating time (s), draw ratio (-), and thickness of the plastic sheet (mm), were investigated in the inchoative approach towards characterization. It is worth noting that three levels of the processing parameter for each factor were set relied on the suggested ranges. Table 2 demonstrates the processing factors and the three levels of them used in this investigation. Using this method, a DOE-based study could be systematically built.

From the number of determined factors as well as their corresponding levels, a subset of the  $L_{18}(3^5)$  OA was chosen as presented in Table 3. Experimental experiments

of the thermoforming process were carried out utilizing the condition sets of the OA. The uniformity of the thermoformed parts, obtained from the experiments, and the respective S/N ratios were also shown in Table 3. Since the uniformity, the target of this investigation, should be minimized in order to get a precious sample, the “smaller the better” formula of the S/N ratio was utilized to determining each S/N ratio.

**Table 2** Processing conditions and their specified levels analysed in the current investigation.

Symbol	Parameter	Level		
		1	2	3
A	Heating temperature (°C)	290	300	310
B	Distance (mm)	38	40	42
C	Heating time (s)	26	27	28
D	Draw ratio (-)	1.5	2	2.5
E	Thickness (mm)	0.2	0.25	0.3

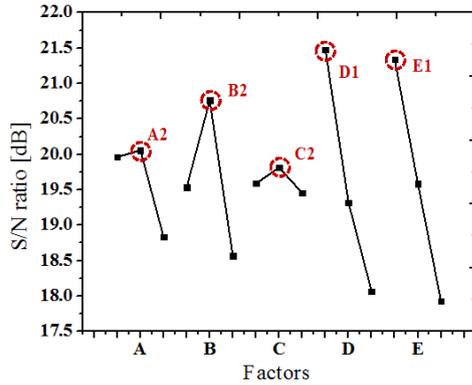
#### 3.3.2 Characterization of thermoforming parameters

The resulting S/N ratios for each processing factor are shown in Table 4. The outcomes are also illustrated in Figure 4, which plainly illustrates the influences of the processing factors. For example, the uniformity of the thermoformed sample was observed to increase with not only increasing draw ratio (parameter D) but also thickness (parameter E) within the characteristic range of utilizing. By considering the difference between the minimum and maximum S/N ratio results, the contribution of each factor was also achieved. Figure 5 presents the contribution chart of the processing factors. As presented, the draw ratio was determined to be the most important factor affecting uniformity.

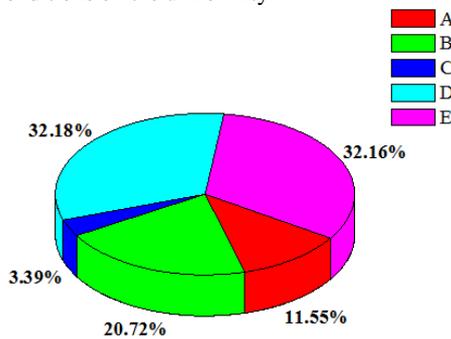
To study more about the contributions of the related processing factors, an ANOVA consideration was performed as listed in Table 5. Having an agreement with the S/N ratio study, draw ratio and thickness were considered to be significant factors with respect to uniformity improvement. Even though apparently there was a qualitative similarity between ANOVA studies and the S/N ratio, a slight discrepancy in the magnitudes of the contributions of processing parameters was still observed. The ANOVA investigation demonstrated solely two parameters, draw ratio and thickness, as having higher  $F$  results than  $F_{(0.05,2,7)}$ , thereby indicating that only these two parameters were statistically meaningful.

It is worth noting that the three parameters, heating temperature, heating time, distance show a similar trend. When their values were smaller, the thermoformed samples did not replicate the contour of the mold completely. Therefore, the uniformity, which was the difference between the maximum and minimum thickness of the

thermoformed sample, became larger. While with greater values of these parameters, the samples deformed too much. As a result, the uniformity also became high. In addition, when the heating time was too long or the heating temperature was too high or the distance was too close, discoloration phenomena occurred.



**Figure 4** Results of S/N ratio study illustrating the influence of processing conditions on the uniformity



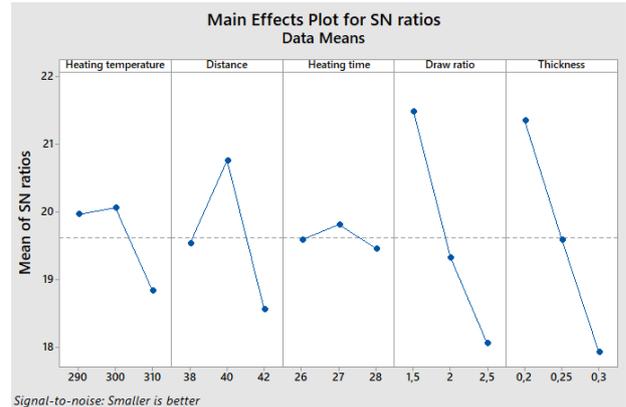
**Figure 5** Contribution chart of each processing condition in the S/N ratio study

3.3.3 Validation test

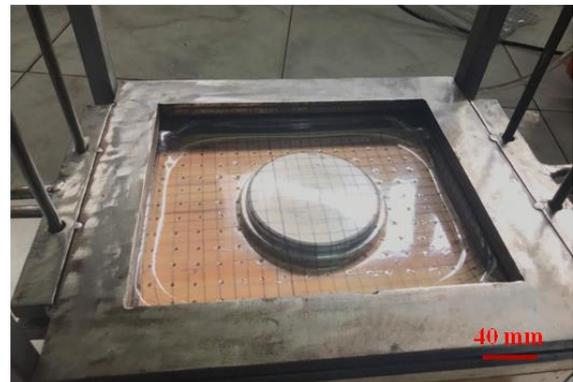
Through the S/N ratio study, it was found that better uniformity could be achieved using the processing parameters of A2-B2-C2-D1-E1 as indicated in Figure 4. This result was then verified using a commercial software solution, Minitab®. Validation results using Minitab® (Figure 6) showed consistency with the manual calculation results using Excel®. Different from academic fields, engineers in manufactories only utilize commercial software solutions, such as Minitab®, to minimize the time of DOE and analysis of results.

An additional experiment was performed under this condition as a validation test, indicating that the maximal uniformity in the sample was 0.06 mm. This outcome was smaller, or better, than the uniformity outcomes of all the cases evaluated in the OA showed in Table 4.

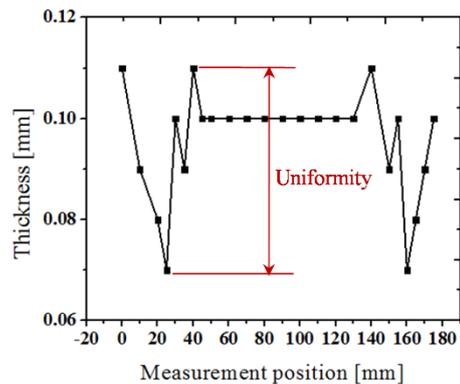
Figures 7 and 8 illustrate the thermoformed sample from the validation test and its thickness distribution under the processing parameters in the S/N ratio study. Via the validation test, the Taguchi method showed a better results of processing parameters for a better uniformity.



**Figure 6** Validation using a commercial software solution - Minitab®



**Figure 7** Validation experiment under the recommended parameters from S/N ratio analysis



**Figure 8** Thickness distribution of the validation sample and its uniformity value

**Table 3** The OA utilized in the current investigation: Detailed parameters for experimental combinations and achieved uniformity (MSD is mean standard deviation).

No.	Processing parameters					Uniformity	MSD	S/N ratio
	A	B	C	D	E			
1	1	1	1	1	1	0.073	0.00533	22.73354
2	1	2	2	2	2	0.1	0.01	20
3	1	3	3	3	3	<b>0.18667</b>	0.03484	14.57866
4	2	1	1	2	2	0.11	0.0121	19.17215
5	2	2	2	3	3	0.12667	0.01604	17.94675
6	2	3	3	1	1	0.08	0.0064	21.9382
7	3	1	2	1	3	0.12333	0.01521	18.17839
8	3	2	3	2	1	0.09	0.0081	20.91515
9	3	3	1	3	2	0.16333	0.02668	15.7385
10	1	1	3	3	2	0.11333	0.01284	18.91285
11	1	2	1	1	3	0.07667	0.00588	22.30787
12	1	3	2	2	1	0.08667	0.00751	21.24296
13	2	1	2	3	1	0.09	0.0081	20.91515
14	2	2	3	1	2	<b>0.07</b>	0.0049	23.09804
15	2	3	1	2	3	0.13667	0.01868	17.28675
16	3	1	3	2	3	0.13667	0.01868	17.28675
17	3	2	1	3	1	0.09667	0.00934	20.29447
18	3	3	2	1	2	0.09333	0.00871	20.59926
Sum						1.953	0.22935	
Average						0.1085		19.61919

**Table 4** S/N ratio outcomes for each processing condition.

Parameters	A	B	C	D	E	Total
Level 1	19.96265	19.53314	19.58888	21.47588	21.33991	
Level 2	20.05951	20.76038	19.81375	19.31729	19.58680	58.85757
Level 3	18.83542	18.56406	19.45494	18.06440	17.93086	
Difference	1.22409	2.19632	0.35881	3.41149	3.40905	10.59976
Contribution %	11.55	20.72	3.39	32.18	32.16	100

**Table 5** Results from ANOVA study.

Parameters	S	f	V	F	F(0.05,2,7)	P%	Rank
A	0.00072878	2	0.000364389	1.43315	9.54658	4.18	
B	0.00290915	2	0.001454574	5.72089	9.54658	16.67	3
C	0.0002747	2	0.000137352	0.54021	9.54658	1.57	
D	0.00566804	2	0.002834019	<b>11.14629</b>	9.54658	<b>32.48</b>	2
E	0.00609026	2	0.00304513	<b>11.97660</b>	9.54658	<b>34.90</b>	1
Error	0.0017798	7	0.000254257				
Total	0.01745072	17					

It should be pointed that Jeon, Byung Joo et al. [41] utilized a combination of the ADT and the Taguchi method to optimize a highly foamed polyolefin extrusion process to the fabrication of insulation for coaxial cable. According to their optimization flow chart, they used the ADT to design the process parameters. After an S/N analysis using the Taguchi method, they employed the ADT again to optimize those parameters, establishing a fairly complicated optimization process. While, in this study, a simple linear combination of the ADT and the Taguchi method for development and characterization of a thermoforming apparatus was proposed. Utilizing the advantages of the ADT in systematically realizing all the functional structures of the device as well as the Taguchi method in characterizing the effects of the processing parameters, the

proposed method promises a simple but powerful tool for engineers on-site.

#### 4 Concluding remarks

In the current investigation, a combination technique was utilized to develop a thermoforming apparatus and study the influences of processing conditions on the uniformity of its products, and also to define the optimum parameters for a minimal difference in thickness. The combination technique utilized in this investigation basically included the ADT utilized in conjunction with the orthogonal array technique of the Taguchi method. At first, the ADT was utilized in systematically realizing all the functional

structures of the device. In the experiments of the thermoforming process, five processing factors were then examined. Using the S/N ratio study and ANOVA on the basis of the Taguchi method having an  $L_{18}(3^5)$  orthogonal array, the draw ratio and thickness of the plastic sheet were determined as the most important parameters for the minimization of the uniformity in the final plastic product. Through this, the optimum parameter results were also effectively collected and confirmed via an extra experiment.

The simple and preminent presentation of the combination method utilized in the current investigation presents its utility in many manufacturing fields and existent manufacturing locations, where commercial tools for optimizing are not consistently at hand. The current study was also carried out towards filling the gap between academics and industries. The proposed method promised a simple but powerful tool for engineers on-site to develop and characterize new devices for manufacturing quickly and effectively.

## 5 Declaration

### Acknowledgements

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### Availability of data and materials

The data during the current study are available from the corresponding author on reasonable request.

### Authors' contributions

The author's contributions are as follows: TK Nguyen and AD Pham were in charge of the whole trial; TK Nguyen and AD Pham wrote the manuscript; MQ Chau and HS Nguyen supported for optimization theory and building experiment; XC Nguyen, HAD Pham, MH Pham, TP Nguyen assisted with sampling and laboratory analyses. All authors read and approved the final manuscript.

### Competing interests

The authors declare that there are no conflicts of interest regarding the publication of this paper.

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# Figures

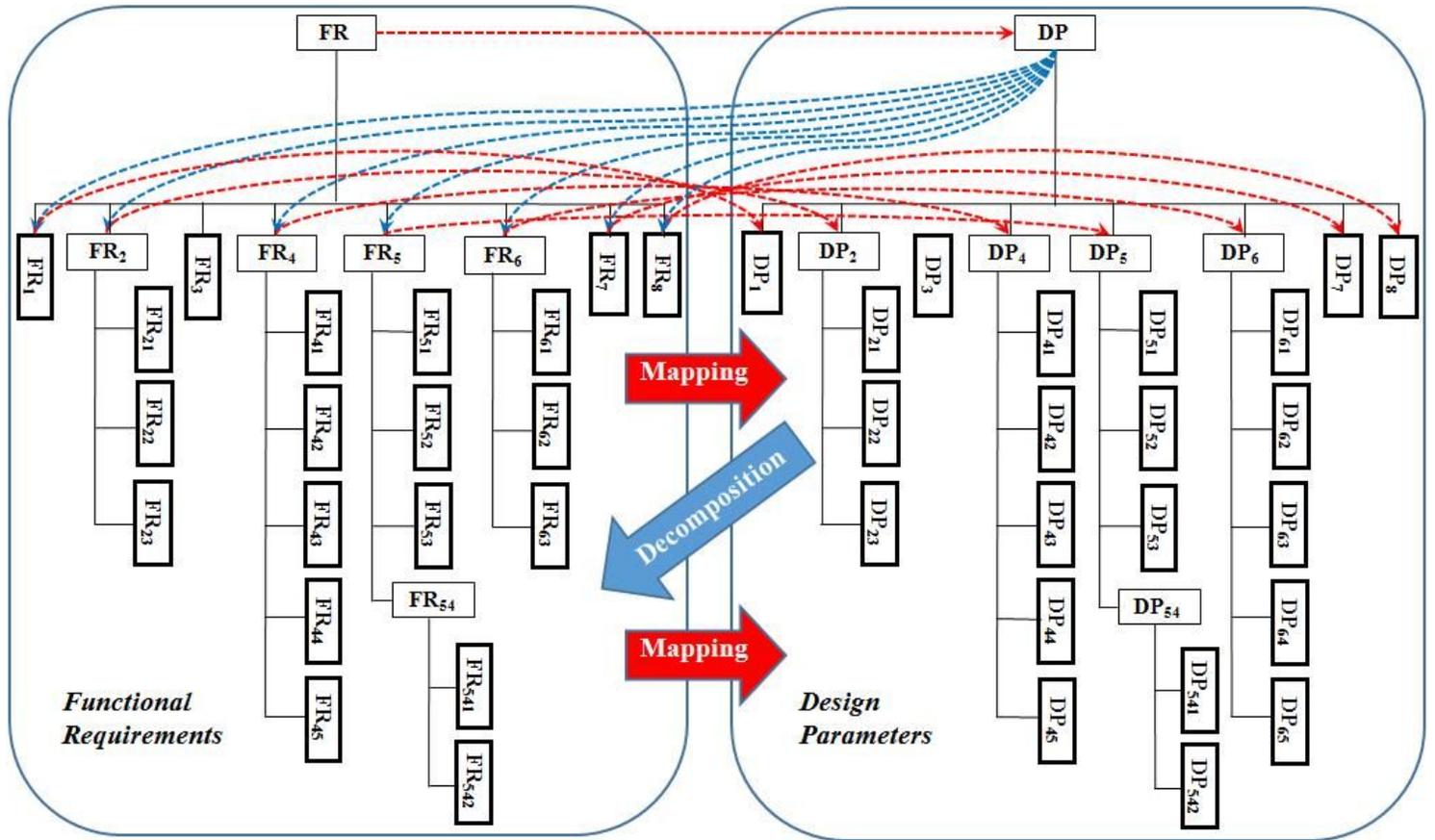


Figure 1

Mapping and decomposition process

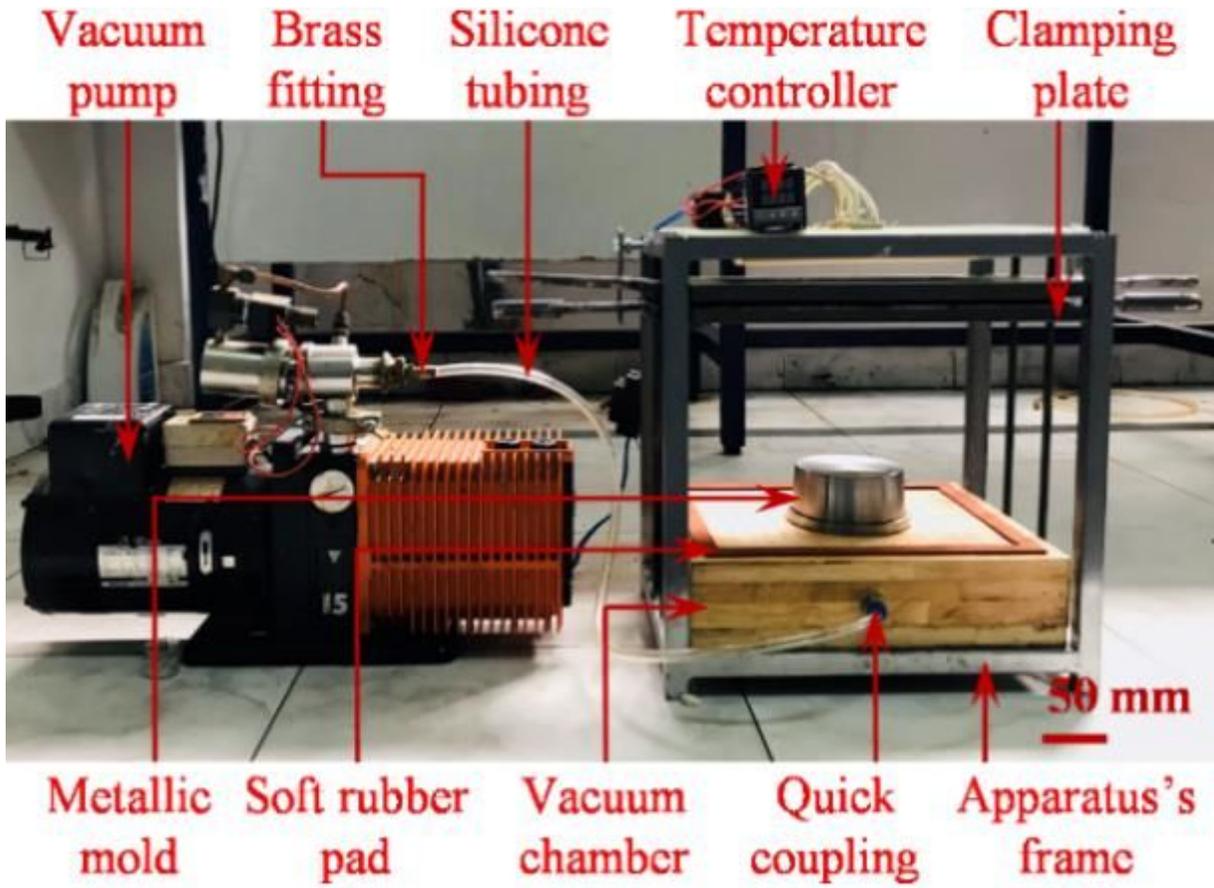
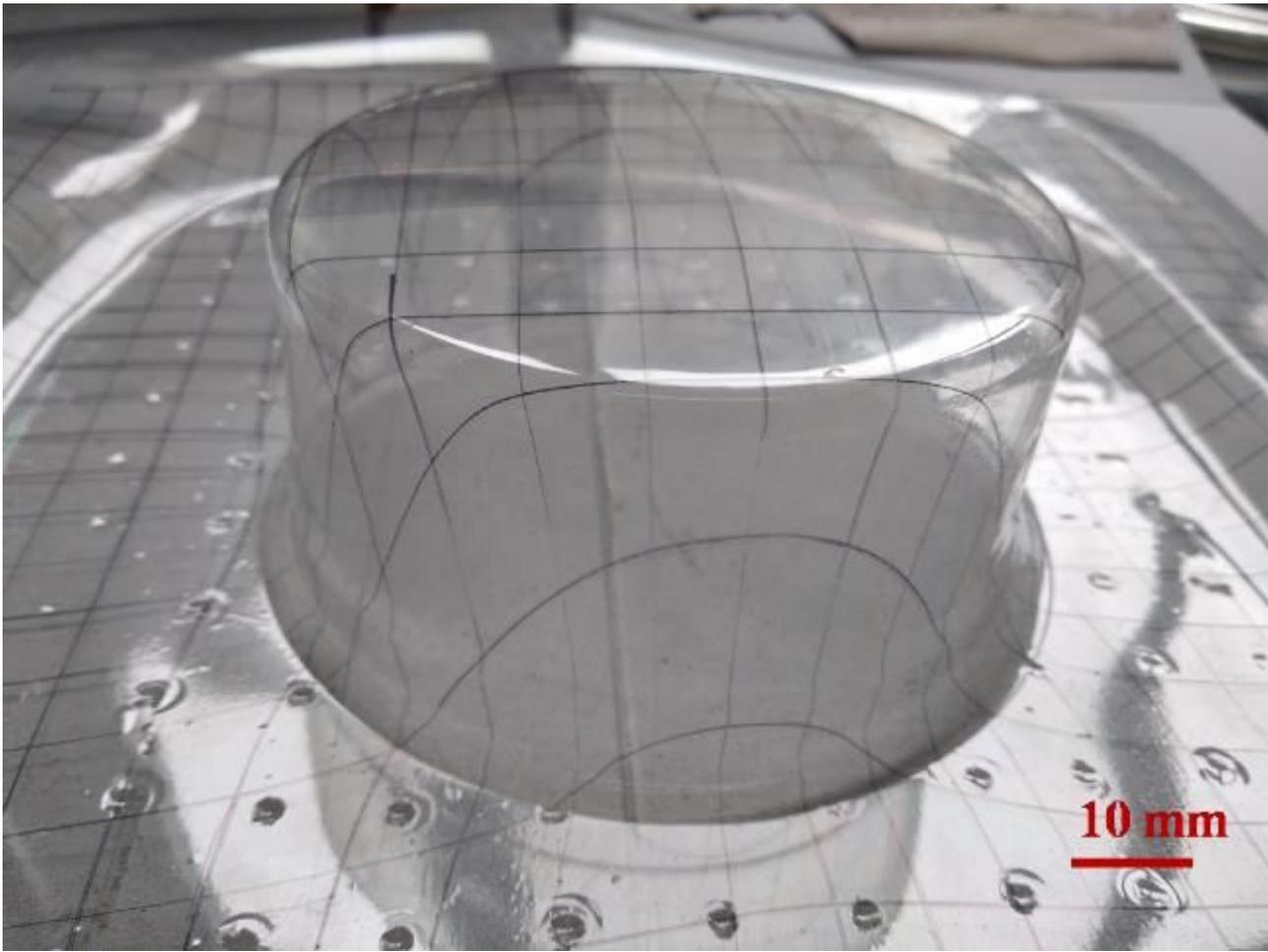


Figure 2

Photograph of the lab-scale thermoforming setup employing the ADT



**Figure 3**

Thermoformed sample with its deformed net

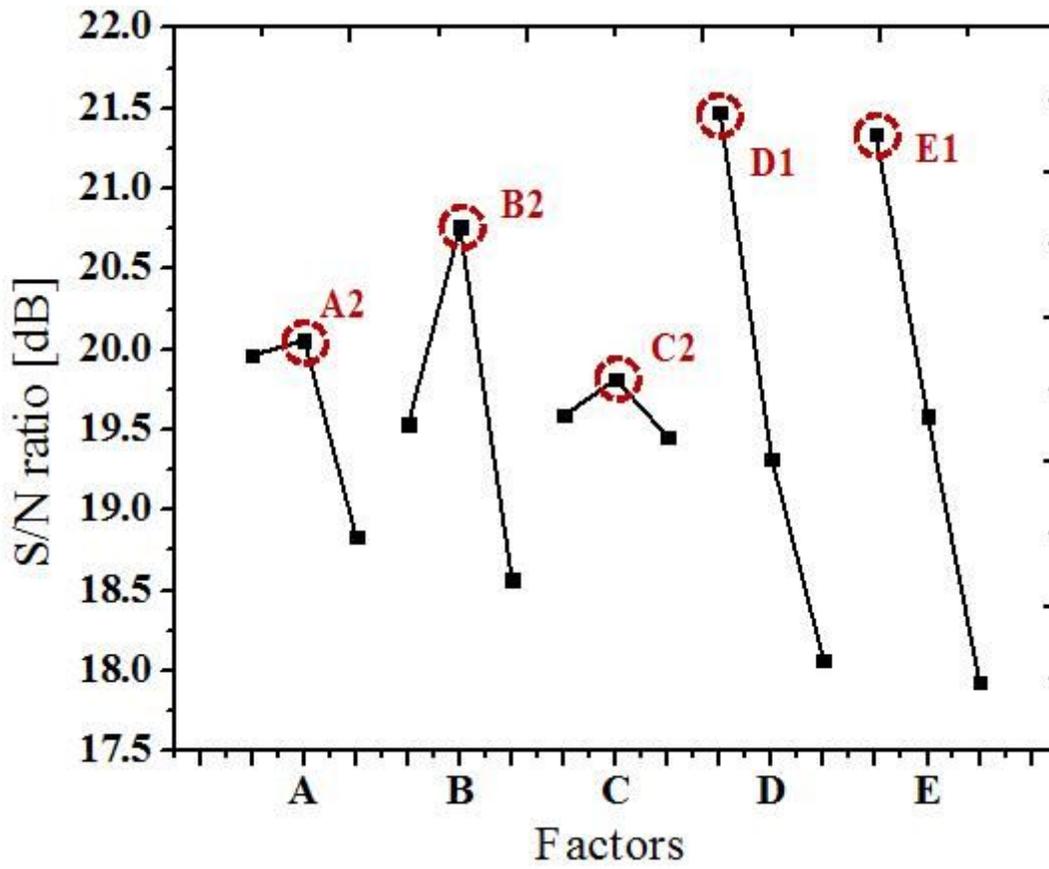


Figure 4

Results of S/N ratio study illustrating the influence of processing conditions on the uniformity

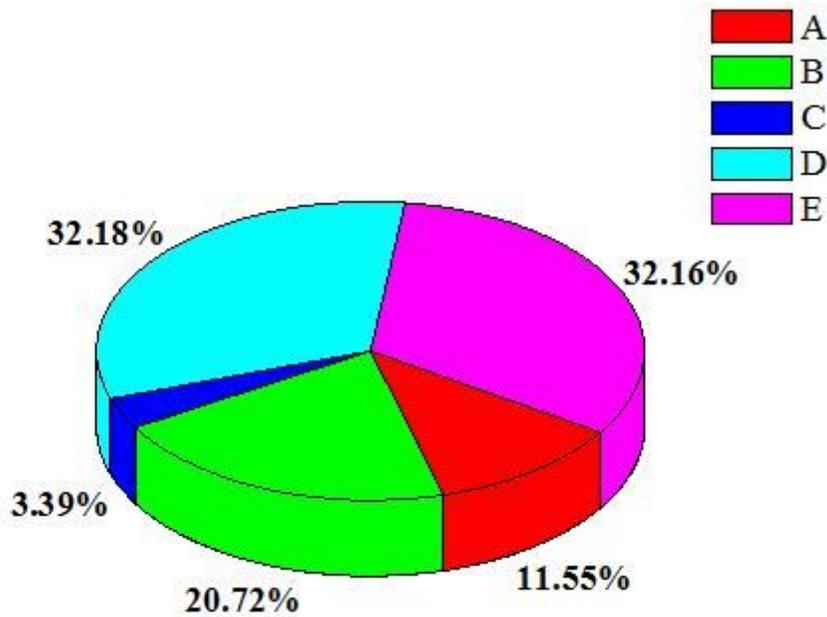


Figure 5

Contribution chart of each processing condition in the S/N ratio study

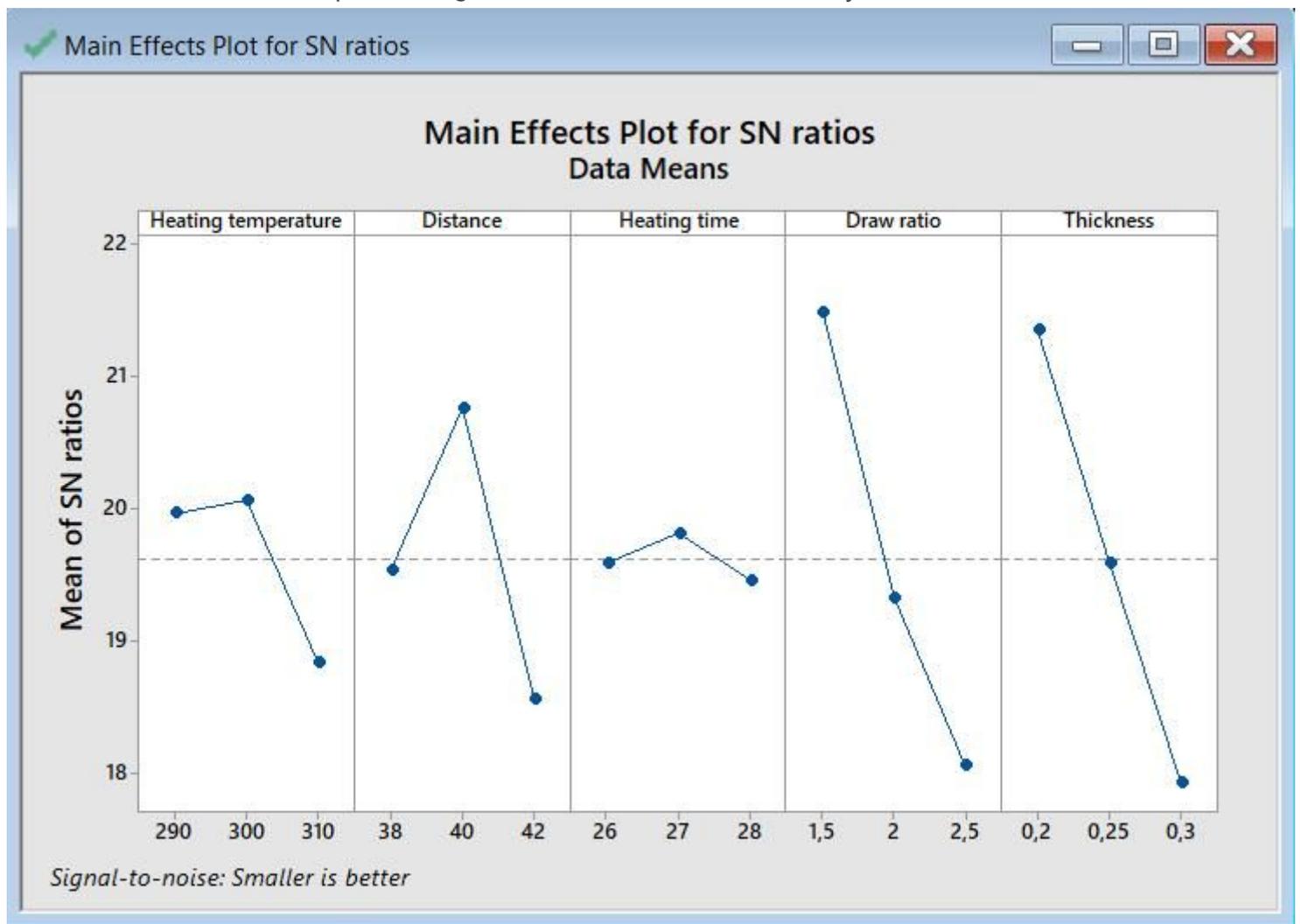


Figure 6

Validation using a commercial software solution - Minitab®

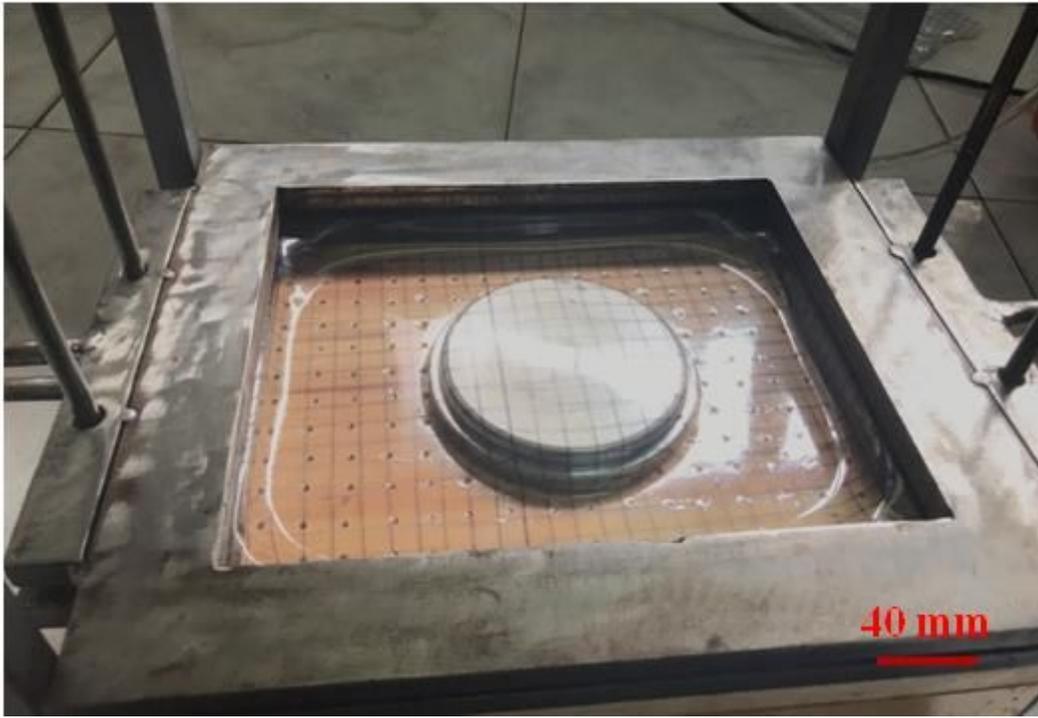


Figure 7

Validation experiment under the recommended parameters from S/N ratio analysis

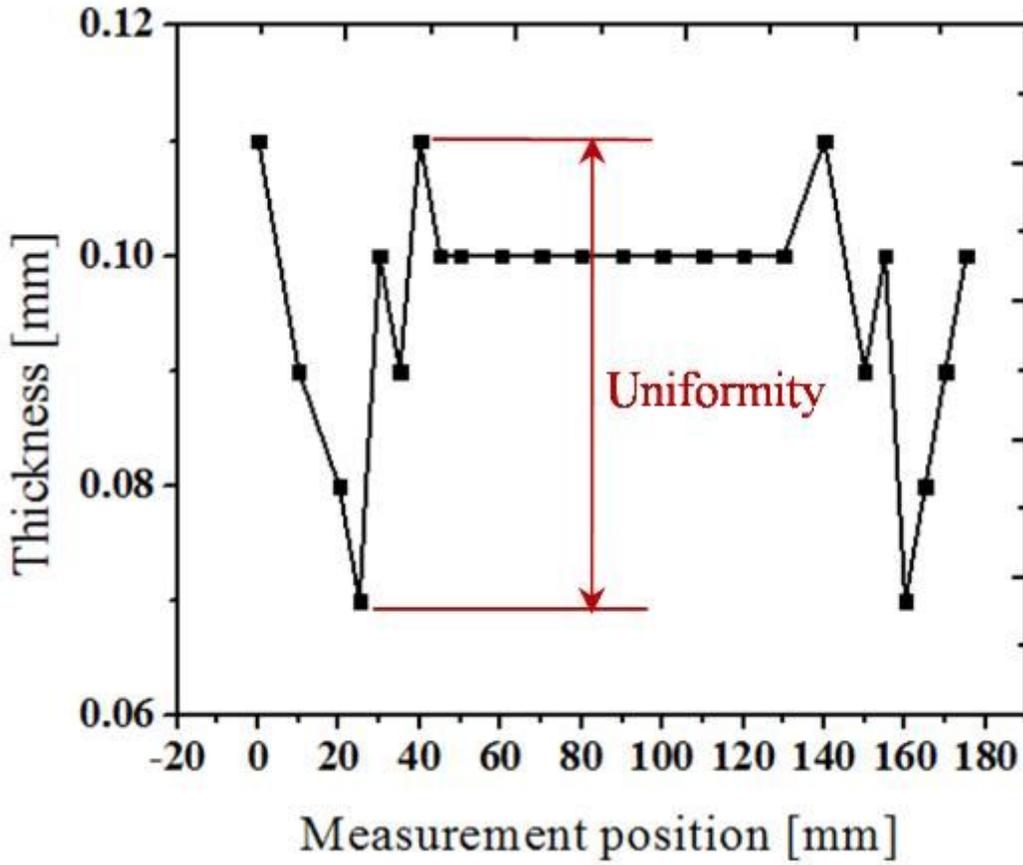


Figure 8

Thickness distribution of the validation sample and its uniformity value